

## ORIGINAL ARTICLE

## An epigenome-wide DNA methylation study of PTSD and depression in World Trade Center responders

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Previous epigenome-wide association studies (EWAS) of posttraumatic stress disorder (PTSD) and major depressive disorder (MDD) have been inconsistent. This may be due to small sample sizes, and measurement and tissue differences. The current two EWA analyses of 473 World Trade Center responders are the largest to date for both PTSD and MDD. These analyses investigated DNA methylation patterns and biological pathways influenced by differentially methylated genes associated with each disorder. Methylation was profiled on blood samples using Illumina 450 K Beadchip. Two EWA analyses compared current versus never PTSD, and current versus never MDD, adjusting for cell types and demographic confounders. Pathway and gene set enrichment analyses were performed to understand the complex biological systems of PTSD and MDD. No significant epigenome-wide associations were found for PTSD or MDD at an FDR  $P < 0.05$ . The majority of genes with differential methylation at a suggestive threshold did not overlap between the two disorders. Pathways significant in PTSD included a regulator of synaptic plasticity, oxytocin signaling, cholinergic synapse and inflammatory disease pathways, while only phosphatidylinositol signaling and cell cycle pathways emerged in MDD. The failure of the current EWA analyses to detect significant epigenome-wide associations is in contrast with disparate findings from previous, smaller EWA and candidate gene studies of PTSD and MDD. Enriched gene sets involved in several biological pathways, including stress response, inflammation and physical health, were identified in PTSD, supporting the view that multiple genes play a role in this complex disorder.

*Translational Psychiatry* (2017) 7, e1158; doi:10.1038/tp.2017.130; published online 27 June 2017

## INTRODUCTION

The experience of trauma can have a pronounced negative impact on mental health, eliciting various forms of psychopathology. The most common sequelae of trauma are posttraumatic stress disorder (PTSD) and major depressive disorder (MDD).<sup>1–5</sup> It is unclear how trauma elicits these disorders; however, recent evidence from animal models and human clinical studies suggests that changes to DNA methylation resulting from trauma may be one such mechanism.<sup>6–9</sup>

## Epigenetics of PTSD and depression

The majority of methylation studies of PTSD have focused on candidate genes selected on the basis of animal models studies (for example, glucocorticoid receptor (*NR3C1*;<sup>10,11</sup>) or genetic association findings, such as *FKBP5*,<sup>12,13</sup> *SLC6A4*,<sup>14,15</sup> *BDNF*<sup>16</sup> and *ADCYAP1*.<sup>17</sup> Differential methylation regions within these candidate genes were also found to be associated with MDD (for example, refs 18–22), which is expected given their shared risk factors. Because knowledge about the etiology of PTSD and MDD is limited, the preferred method of explicating the genetic architectures underlying these disorders is the Epigenome Wide Association Study (EWAS) design. The EWAS design allows for a thorough investigation of the epigenetic patterns without relying on *a priori* knowledge of genetic risk factors.<sup>23,24</sup>

## PTSD EWAS findings

To date, there are three microarray-based EWAS examining epigenetic patterns in peripheral blood of cases with PTSD compared to trauma-exposed controls. First, Uddin *et al.*<sup>25</sup> investigated methylation profiles of 100 participants (23 with lifetime PTSD). The study found that genes involved in the immune system were more likely to be un-methylated among individuals with PTSD. Second, Smith *et al.*<sup>26</sup> found an overall increased level of methylation in PTSD in a sample of 110 participants (50 with current PTSD). The authors also reported differential methylation of five genes previously associated with inflammation (*TPR*, *CLEC9A*, *ANAPC5*, *ANXA2* and *TLR8*). Third, Mehta *et al.*<sup>27</sup> examined methylation profiles of 169 trauma-exposed participants (61 with current PTSD). PTSD was associated with methylation alterations that were in turn linked to gene expression differences in central nervous system development, apoptosis and growth rate networks. A comprehensive review of DNA methylation findings in PTSD can be found elsewhere.<sup>28,29</sup> Overall, the most promising results from human epigenetic studies of PTSD suggest methylation differences in immune function genes in cells of peripheral blood. However, the specific differentially methylated CpG sites identified in each study do not replicate across studies, possibly due to small sample sizes and methodological differences in cohort characteristics, types of trauma and platforms.

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Received 16 March 2017; revised 12 May 2017; accepted 16 May 2017

### Depression EWAS findings

Candidate gene studies of MDD have reported differential methylation of specific genes including NR3C1, BDNF and SLC6A4 (for review see Januar *et al.*<sup>30</sup>). Seven EWAS of depression have been conducted. However, only two studies had samples of more than 100 participants: Nagy *et al.*<sup>31</sup> analyzed methylation differences in post-mortem prefrontal cortex samples from 76 depressed individuals who committed suicide and 45 healthy controls. They found 115 differentially methylated regions, including regions related to astrocytic function, such as GRIK2 (glutamate receptor, ionotropic kainate 2) and BEGAIN (brain-enriched guanylate kinase-associated protein). Weder *et al.*<sup>32</sup> conducted EWAS on saliva samples from 94 maltreated children and 94 controls and found that methylation in three genes—GRIN1, ID3 and TPPP—was correlated with depression symptoms. Their study also confirmed several candidate genes, including BDNF, NR3C1, and FKBP5. Among the 5 small N EWAS, 3 identified additional differentially methylated genes,<sup>33–35</sup> although Sabunciyani *et al.* (2012)<sup>35</sup> were unable to confirm their findings in an independent cohort; and 2 studies found global methylation level differences but no significant results for specific genes.<sup>36,37</sup> Importantly, EWA studies of MDD to date used a variety of tissue sources (post-mortem brain, saliva or blood), which might be one of the reasons, alongside differences in cohort characteristics, why differentially methylated CpG do not replicate across studies.

### Limitations of PTSD and MDD EWAS

Overall, the EWAS approach has provided potentially promising insights into the pathophysiology of both PTSD and MDD, although to date, few if any results have been independently confirmed. Importantly, failure to replicate might also have occurred because EWA studies of depression have not controlled for exposure to extreme stressors, potent triggers of depression<sup>38,39</sup> (although Dempster *et al.*<sup>34</sup> reported the same number of stressful life events in cases and controls). EWAS of PTSD and MDD also have not corrected for differences in the proportion of cell types within the tissue sample interrogated. This is particularly problematic in studies of whole blood, as specific regions of variable DNA methylation are responsible for defining cell lineage, and thus cell heterogeneity may act as a confounder when measuring DNA methylation in samples of peripheral blood without proper adjustment for differential cell counts.<sup>40,41</sup>

### Current study

Our study was designed to address the aforementioned limitations. We conducted two EWA analyses of DNA derived from peripheral blood to identify DNA methylation differences associated with PTSD and with MDD respectively, allowing us to test hypothesized genes (15 genes that emerged in previous literature are listed in Table 1) and investigate novel ones. We used the state-of-the-art 450k DNA methylation array and recruited a large sample ( $n = 473$ ) directly exposed to the 11 September 2001 World Trade Center (WTC) disaster. The current study contains the largest sample size to date for PTSD and MDD EWAS and to our knowledge, is the first to study methylation patterns that emerge for these conditions side by side. Finally, we used methylation findings to identify biological pathways that characterize PTSD and MDD. Cellular processes are regulated by a set of genes working in concert; thus biological pathway analysis has emerged as an important and perhaps more biologically valid approach for interpreting results from large-scale EWAS.

## MATERIALS AND METHODS

### Participants

Participants were recruited through the Stony Brook WTC-Health Program, part of a consortium of Clinical Centers of Excellence in the New York

**Table 1.** Comparison of CpG sites mapping to reported genes in PTSD and MDD literatures

Gene	PTSD			MDD			Reference
	N	Hypo	Hyper	N	Hypo	Hyper	
FKBP5	1	1	0	1	1	0	Binder <i>et al.</i> <sup>12</sup> Klengel <i>et al.</i> <sup>13</sup> Weder <i>et al.</i> <sup>32</sup>
NR3C1	1	1	0	6	6	0	McGowan <i>et al.</i> <sup>10</sup> Yehuda <i>et al.</i> <sup>11</sup> Weder <i>et al.</i> <sup>32</sup>
BDNF	4	3	1	2	2	0	Roth <i>et al.</i> <sup>16</sup> Weder <i>et al.</i> <sup>32</sup>
SLC6A4	0	0	0	1	1	0	Chang <i>et al.</i> <sup>14</sup> Koenen <i>et al.</i> <sup>15</sup>
TPP	0	0	0	0	0	0	Smith <i>et al.</i> <sup>26</sup>
CLEC9A	0	0	0	0	0	0	Smith <i>et al.</i> <sup>26</sup>
ANAPC5	0	0	0	0	0	0	Smith <i>et al.</i> <sup>26</sup>
ANXA2	1	1	0	2	2	0	Smith <i>et al.</i> <sup>26</sup>
TLR8	1	0	1	0	0	0	Smith <i>et al.</i> <sup>26</sup>
GRIK2	0	0	0	2	2	0	Nagy <i>et al.</i> <sup>31</sup>
BEGAIN	0	0	0	0	0	0	Nagy <i>et al.</i> <sup>31</sup>
GRIN1	0	0	0	2	0	2	Weder <i>et al.</i> <sup>32</sup>
ID3	0	0	0	0	0	0	Weder <i>et al.</i> <sup>32</sup>
TPPP	0	0	0	2	0	2	Weder <i>et al.</i> <sup>32</sup>
ADCYAP1	3	2	1	2	2	0	Ressler <i>et al.</i> <sup>17</sup>

Abbreviations: Hyper, hypermethylation in case relative to control; Hypo, hypomethylation in case relative to control; MDD, major depressive disorder; PTSD, posttraumatic stress disorder. N, number of CpG sites at nominal  $P \leq 0.05$ .

metropolitan area established in 2002 to monitor and treat WTC-related conditions in responders to the WTC disaster.<sup>42</sup> Enrollees with documented WTC experience were enlisted from extensive outreach efforts involving partnerships with volunteer organizations, labor unions and public outlets. The current study was approved annually by the Committees on Research Involving Human Subjects at Stony Brook University (IRB number: 604113). Written informed consent was obtained.

The sample consisted of 473 responders assessed between February, 2012 and March, 2014. All participants provided blood samples for the epigenetics assays. Inclusion criteria were signed informed consent, sufficient English language skills to participate in a diagnostic interview, and being male. We included only males because females show notably different methylation patterns from males, and the cohort monitored at the Stony Brook WTC clinic is >90% male. We oversampled individuals with PTSD in order to have sufficient power for planned analyses. Participants were 49.5 years of age on average, predominantly Caucasian (>80%), and had similar rates of current PTSD and MDD (Table 2).

### Clinical assessment

Master's level clinical assessors were trained to administer the PTSD and MDD modules of the Structured Clinical Interview for DSM-IV (SCID<sup>43</sup>) with interval instructions (that is, worst episode of symptoms since 11 September 2001). SCID items were modified to assess PTSD symptoms in relation to traumatic WTC exposures (Criterion A). Before conducting the assessment, the interviewers reviewed participants' occupational and medical histories in order to facilitate rapport and enhance the accuracy of interpretation of responses. Inter-rater agreement for 55 independently rated audio-tapes was very good ( $\kappa \geq 0.82$ ). Diagnoses were coded as (a) currently meets criteria for the disorder (current group), (b) met criteria since 11 September 2001 but does not meet currently (past group), and (c) did not meet criteria since 11 September 2001 (never group). Primary EWA analyses compared responders with current WTC-related PTSD to responders who never developed WTC-PTSD; these analyses were repeated for current MDD versus no MDD.

### Illumina Infinium human methylation 450K beadchip

Blood samples were obtained from each participant via venipuncture and sent to Roswell Park Cancer Institute for DNA extraction. Genomic DNA was

**Table 2.** Clinical characteristics of PTSD and MDD samples. Mean (s.d.) were reported for Age

PTSD	Current (Case) n = 171	Past n = 100	Never (Control) n = 202	P-value
Age	49.5 (7.6)	48.3 (7.8)	50.0 (8.4)	0.504
<i>Race</i>				
Caucasian	139 (81.3)	78 (78.0)	165 (81.7)	1
Other	32 (18.7)	22 (22.0)	37 (18.2)	
<i>Smoker</i>				
Yes	28 (16.4)	10 (10.0)	9 (4.5)	< 0.01
No	143 (83.6)	90 (90.0)	193 (95.5)	
MDD <sup>a</sup>	Current (Case) n = 116	Past n = 60	Never (Control) n = 282	P-value
Age	50.0 (8.0)	49.2 (8.2)	49.2 (8.0)	0.433
<i>Race</i>				
Caucasian	94 (81.0)	46 (76.7)	230 (81.6)	1
Other	22 (19.0)	14 (23.3)	52 (18.4)	
<i>Smoker</i>				
Yes	18 (15.5)	11 (18.3)	18 (6.4)	< 0.01
No	98 (84.5)	49 (81.7)	264 (93.6)	

Abbreviations: MDD, major depressive disorder; PTSD, posttraumatic stress disorder. Number (%) were reported for Race and Smoker within each group. The *P*-values were computed from *t*-test (for Age) and  $\chi^2$ -test (for Race, Smoker and PTSD and MDD comorbidity) comparing current to control. PTSD and MDD cases correspond to current PTSD and current MDD, respectively. <sup>a</sup>MDD for 15 patients were not recorded.

isolated from 0.3 ml of whole blood using the Qiagen BioRobot Universal System and the QIAamp DNA blood BioRobot MDx Kit (Qiagen, Valencia, CA, USA) following the manufacturer's recommended protocol. DNA methylation profiling was performed by Roswell Park Cancer Institute using the Human Methylation 450 K BeadChip (Illumina, San Diego, CA, USA). DNA extraction and methylation profiling were done blinded to group assignment. Five hundred nanogram of high-quality genomic DNA measured by picogreen quantitation (Life technologies, Grand Island, NY, USA) was bisulphite converted, amplified, fragmented and hybridized to the Illumina Infinium Human Methylation 450 K Beadchip using standard Illumina protocol. Data were processed using Illumina's GenomeStudio methylation module (v1.9.0).

#### Data pre-processing and normalization

The 450K BeadChip methylation data from the GenomeStudio were imported into R (<http://cran.r-project.org>). The methylation data at each CpG probe were represented as a beta ( $\beta$ ) values, that is, the ratio of methylated probe intensities to the total probe intensities. Pre-processing of methylation data at the 485 557 CpG probes was performed as follows. Probes with detection *P*-value > 0.001 were set to missing, and probes with more than 20% missing were filtered. Beta mixture quantile (BMIQ) normalization<sup>44</sup> was applied to the beta values for correction of bias due to the type I and type II probes. Non-specific, cross-hybridized probes,<sup>45,46</sup> probes overlapping with a single-nucleotide polymorphism, and probes mapping to repeat regions were filtered. The final data consisted of 375 223 CpG sites and 473 samples.

#### Estimation of blood cell type proportions

Cell type proportions have been implicated in DNA methylation analysis of whole blood samples.<sup>40,47</sup> The proportions of CD8T, CD4T, natural killer (NK), Bcell, monocytes (Mono) and granulocytes (Gran) were estimated using the R packages *minfi* and *FlowSorted.Blood.450* based on the procedures described previously.<sup>40</sup> We normalized the sum of the proportions per sample to one, and included five (CD8T, CD4T, NK, Bcell

and Mono) out of six estimated cell types as an adjustment factor in our EWAS analysis. The association between each cell type and phenotype, adjusting for age, smoking status and race (Caucasian vs non-Caucasian), was carried out using linear regression.

#### Estimation of population stratification

Population stratification was estimated using the principal component approach based on the annotation file of autosomal CpG sites, which were within 10 bps of a variant identified in the 1000 Genome Project (Phase 1) with MAF > 0.01.<sup>48</sup>

#### Statistical method for EWA analyses

To identify CpG sites associated with each phenotype, separate linear regression for each CpG was first fitted on logit transformed beta values ( $\log(\beta/(1-\beta))$ ) as response and diagnosis (current vs never), adjusting for age, smoking status, race, and cell types. We also compared the results by adjusting for first (1) 2 and (2) 10 estimated population stratification principal components instead of race in our model. The logit transformed  $\beta$  values, also known as the M-values, had been recommended for conducting differential methylation analysis.<sup>49,50</sup> A false discovery rate (FDR)<sup>51</sup> was used to account for multiple testing. *Post hoc* analysis was carried out to examine whether the past PTSD (MDD) group show differential methylation patterns on candidate CpG sites in comparison to methylation values of current and never groups. Principal component method was used to reduce the multiple candidate CpG sites into a single eigen CpG. The *P*-values for the *post hoc* comparison were computed from two-tailed two sample *t*-tests. Global methylation level was defined as the average methylation (beta values averaged over all the CpG sites). We also considered the average methylation defined by the beta values averaged over the 22 622 CpG sites which overlapped with the Infinium HumanMethylation27 Beadchip. The *P*-values for comparison of global methylation level were computed from two-tailed two sample *t*-tests. The effect of WTC exposures<sup>3</sup> on the EWA analyses were also examined (Supplementary Materials), but did not change the results in a way that would alter the interpretation.

#### Pathway and gene ontology analyses

Pathway and gene ontology analyses were carried out using the *gometh* function in the Bioconductor package *missMethyl*.<sup>52</sup> Since the number of CpG sites mapping to each gene varied in the Methylation 450 K BeadChip, pathway and gene ontology analysis would be biased and inaccurate.<sup>53</sup> *gometh* accounted for the varying number of CpG sites per gene by providing a prior probability for each gene based on gene length, followed by a modified hypergeometric test for over-representation of a gene set.<sup>54</sup> We tested for over-representation among the top 100 to 500 (in increment of 50) CpG sites for each analysis, against the background list of 375 223 CpG sites. In all, 5776 gene ontologies including biological processes, molecular functions and cellular components, and 290 KEGG pathways (minimum and maximum number of genes for each gene set were 15 and 500, respectively) were tested. Overlapping gene sets significant at FDR 0.05 for all the top K CpG sites were reported.

#### Data availability

The methylation data is available at the Psychiatric Genomics Consortium (PGC) website <https://www.med.unc.edu/pgc/>.

## RESULTS

#### EWAS analyses

EWAS analyses with each diagnostic group did not identify statistically significant CpG sites at FDR 0.05. The list of CpG sites at a nominal *P*-value 0.0001 that did not reach a significant FDR cutoff is provided in Table 3. The signs of the estimated coefficients remain consistent within the subset analysis, that is, PTSD case vs control within never MDD and MDD case vs control within current PTSD. The top suggestive CpG for PTSD was cg05693864 at gene body of ZDHHC11, with the mean methylation difference between current and never groups of 2.7%. The top suggestive CpG for MDD was cg19722082 on EDIL3 gene (−2.5% mean methylation difference). Only three CpG sites

**Table 3.** Top ranking CpG sites for PTSD and MDD and at nominal *P*-value 0.0001

CpG	Chromosome	Location	Gene	Location	Diff	Coef	PV
<i>PTSD</i>							
cg05693864	5	844184	ZDHC11	Body	0.027	0.25	1.73E-06
cg06182923	1	33985406	CSMD2	Body	-0.0312	-0.143	4.73E-05
cg08696494	20	61447272	COL9A3	TSS1500	0.0153	0.0765	5.39E-05
cg25664402	1	244013908	Intergenic	Intergenic	-0.00602	-0.146	5.80E-05
cg05569176	3	33841973	PDCD6IP	Body	0.0129	0.164	7.82E-05
cg09370982	16	2547559	TBC1D24	Body	0.00326	0.21	8.97E-05
cg07654569	8	79577796	FAM164A	TSS1500	-0.0184	-0.311	9.91E-05
<i>MDD</i>							
cg19722082	5	83681563	EDIL3	TSS1500	-0.0253	-0.215	1.63E-06
cg01145119	8	144441955	Intergenic	Intergenic	0.0199	0.0843	2.16E-06
cg18155359	1	39663908	MACF1	Body	0.00849	0.602	1.67E-05
cg08843623	16	85627648	Intergenic	Intergenic	0.0244	0.0934	2.14E-05
cg22058452	2	95662214	Intergenic	Intergenic	0.0038	0.674	2.73E-05
cg23606623	17	79373017	BAHCC1	TSS1500	-0.00566	-0.198	2.87E-05
cg27081103	16	3241159	Intergenic	Intergenic	-0.00956	-0.238	3.21E-05
cg06182923	1	33985406	CSMD2	Body	-0.0347	-0.154	3.28E-05
cg08059112	19	2294887	LINGO3	5'UTR	0.0153	0.0672	3.71E-05
cg02798899	12	125028166	Intergenic	Intergenic	0.0161	0.0908	4.47E-05
cg18074834	7	143085529	ZYX	Body	0.0114	0.0514	4.47E-05
cg04654716	5	74162924	FAM169A	TSS1500	0.0112	0.0503	4.55E-05
cg15727507	17	80981575	B3GNTL1	Body	0.0164	0.0669	4.78E-05
cg05693864	5	844184	ZDHC11	Body	0.0233	0.226	5.42E-05
cg08696494	20	61447272	COL9A3	TSS1500	0.0178	0.081	5.74E-05
cg25810455	13	103253707	TPP2	Body	0.00448	0.957	5.82E-05
cg03710029	17	79265601	SLC38A10	Body	0.0153	0.063	5.87E-05
cg26628751	17	80981644	B3GNTL1	Body	0.0196	0.0736	5.94E-05
cg04854089	11	46355137	DGKZ	Body	0.0106	0.137	6.59E-05
cg16657453	17	17735704	SREBF1	Body	0.00563	0.454	6.88E-05
cg07970325	6	106497542	Intergenic	Intergenic	0.0119	0.0535	7.22E-05
cg12033248	8	36763165	KCNU1	Body	-0.00818	-0.245	8.29E-05
cg21429107	8	144790317	LOC100130274	TSS200	0.0262	0.132	8.43E-05
cg16371598	X	72433997	NAP1L2	1stExon	-0.00682	-0.238	8.77E-05
cg09664445	17	2612406	KIAA0664	5'UTR	0.0124	0.0507	8.86E-05
cg23606775	1	9790616	CLSTN1	Body	0.0132	0.0559	9.70E-05
cg01604412	12	132663741	Intergenic	Intergenic	0.0167	0.0727	9.83E-05

Abbreviations: MDD, major depressive disorder; PTSD, posttraumatic stress disorder. Columns 4–6 report the beta difference between case and control, estimated coefficient and estimated *P*-values, respectively. The CpG annotation was obtained from Bioconductor package IlluminaHumanMethylation450kanno.ilmn12.hg19 based on Infinium Human Methylation450K manifest.

common to both PTSD and MDD emerged at the suggestive level. The most prominent of them was cg06182923, located on the gene body of CSMD2 (-3.1% in PTSD and -3.5% in MDD). Due to the high correlation between the estimated cell type proportions and the population stratification principal components, our primary analyses were based on a model which adjusted for age, smoking status, race and cell types (Supplementary Table 1). Additional details on the secondary analyses based on a model which adjusted for population stratification principal components were provided in Supplementary Materials.

Principal component analyses were performed on the list of CpG sites at nominal *P*-value 0.0001 for each of PTSD and MDD. The methylation profiles were represented using the first principal component, that is, the eigen CpG. Figure 1 compares the eigen CpG for current, past and never groups of PTSD and MDD. The past PTSD group exhibited intermediate eigen CpG values ( $P=1.12e-05$  for current vs past,  $P=0.00331$  for past vs never), suggesting that the overall methylation profiles of the top ranking CpG sites were associated with disease progression. For MDD, the past group was not statistically different from the never group ( $P=0.0902$ ), whereas the current was statistically different from the past group ( $P=0.00915$ ).

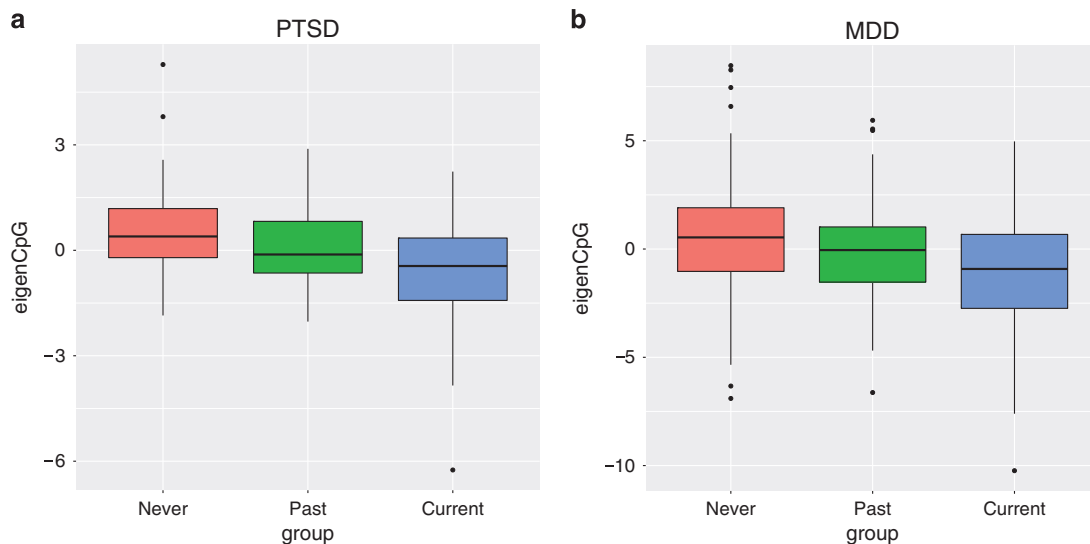
Of note, none of CpG sites mapping on the previously identified candidate genes (*FKBP5*, *NR3C1*, *BDNF*, *SLC6A4*, *ADCYAP1*, *TPR*,

*CLEC9A*, *ANAPC5*, *ANXA2*, *TLR8*, *GRIK2*, *BEGAIN*, *GRIN1*, *ID3* and *TPPP*) was significant at nominal *P*-value.0001. To evaluate any trends in these genes, we examined the direction of methylation on CpG sites that exhibited differential methylation between current and never groups at nominal *P*-value  $\leq 0.05$  (Table 1). These CpG sites were mostly hypomethylated in PTSD and MDD. One study<sup>26</sup> observed a higher average methylation level in PTSD relative to non-PTSD based on the Infinium HumanMethylation27 Beadchip. However, our global methylation pattern (defined using either all CpG sites or CpG sites which overlapped with the Infinium HumanMethylation27 Beadchip) did not show a statistically significant difference ( $P$ -value=0.589 and 0.884, respectively) comparing current PTSD to control. The *P*-values were 0.911 (all CpG sites) and 0.942 (Infinium HumanMethylation27 CpG sites) comparing current MDD to control.

#### Pathway and gene ontology analyses

Twenty-three KEGG pathways were significant at FDR 0.05 for PTSD, and two were significant for MDD (Table 4). The top KEGG pathways that emerged for PTSD included cGMP-PKG, oxytocin and MAPK signaling, insulin resistance, cholinergic synapse and inflammatory bowel disease pathways. The two KEGG pathways that emerged for MDD are implicated in phosphatidylinositol





**Figure 1.** Boxplots comparing the first principal component computed on 7 and 27 CpG sites at nominal  $P$ -value 0.0001 for (a) PTSD and (b) MDD, respectively. The  $P$ -values were computed from two-tailed two sample  $t$ -tests. MDD, major depressive disorder; PTSD, posttraumatic stress disorder.

**Table 4.** List of significant KEGG pathways (FDR < 0.05) for PTSD and MDD among the top 100 CpG sites

Pathway	N	DE	FDR	Genes
<i>PTSD</i>				
cGMP-PKG signaling pathway	167	5	0.000481	ADCY3;MEF2C;NFATC1;PIK3R2;CACNA1D
Oxytocin signaling pathway	158	5	0.000481	ADCY3;MEF2C;NFATC1;PIK3R2;CACNA1D
AGE-RAGE signaling pathway in diabetic complications	101	4	0.000917	FIGF;NFATC1;PIK3R2;TGFB3
MAPK signaling pathway	254	5	0.002	MEF2C;NFATC1;RPS6KA2;TGFB3;CACNA1D
Pantothenate and CoA biosynthesis	18	2	0.00771	PANK4;BCAT1
cAMP signaling pathway	200	4	0.00771	ADCY3;NFATC1;PIK3R2;CACNA1D
Focal adhesion	200	4	0.00771	COL9A3;FIGF;PIK3R2;TNXB
Progesterone-mediated oocyte maturation	89	3	0.00771	ADCY3;PIK3R2;RPS6KA2
Dilated cardiomyopathy	89	3	0.00868	ADCY3;TGFB3;CACNA1D
Osteoclast differentiation	125	3	0.00906	CTSK;NFATC1;PIK3R2
HTLV-1 infection	255	4	0.00906	ADCY3;NFATC1;PIK3R2;TGFB3
Insulin resistance	109	3	0.00975	PIK3R2;RPS6KA2;OGT
Cholinergic synapse	111	3	0.015	ADCY3;PIK3R2;CACNA1D
Hepatitis B	135	3	0.0159	NFATC1;PIK3R2;TGFB3
PI3K-Akt signaling pathway	324	4	0.0176	COL9A3;FIGF;PIK3R2;TNXB
Adrenergic signaling in cardiomyocytes	148	3	0.0188	ADCY3;PIK3R2;CACNA1D
Carbohydrate digestion and absorption	41	2	0.0188	PIK3R2;CACNA1D
Inflammatory bowel disease (IBD)	63	2	0.033	NFATC1;TGFB3
Pathways in cancer	396	4	0.0354	ADCY3;FIGF;PIK3R2;TGFB3
Rap1 signaling pathway	212	3	0.0411	ADCY3;FIGF;PIK3R2
Rheumatoid arthritis	85	2	0.0411	CTSK;TGFB3
Protein digestion and absorption	84	2	0.0479	COL9A3;COL27A1
Hypertrophic cardiomyopathy (HCM)	83	2	0.0489	TGFB3;CACNA1D
<i>MDD</i>				
Phosphatidylinositol signaling system	99	3	0.0425	INPP5D;DGKZ;MTMR2
Cell cycle	124	3	0.0425	MCM2;RAD21;TGFB3

Abbreviations: FDR, false discovery rate; MDD, major depressive disorder; PTSD, posttraumatic stress disorder. These pathways were also significant when we considered top 150 to 500 CpG sites (increment of 50 CpG sites). N is the size of the pathway, DE is the number of genes in our list overlapping with the pathway, Genes is the list of overlapping genes.

signaling system and cell cycle. No gene ontologies were enriched at FDR 0.05 for both PTSD and MDD. The results of the cell type proportion analyses are presented in Supplementary Figure S1. In addition, both the results from EWAS and pathway analyses remained consistent after taking into account potential confounding due to exposure (Supplementary Materials).

## DISCUSSION

The current study reports results from the largest EWA analyses of PTSD and of MDD to date, investigating DNA methylation differences underpinning these two conditions. We found no significant genome-wide methylation differences between responders with current versus no WTC-related disorder, suggesting that links

between individual methylation sites and these conditions are too subtle to be detected in such a sample. However, we identified disorder-specific biological pathways underpinned by differentially methylated genes. PTSD was associated with pathways regulating neuron signaling, inflammation and multiple aspects of physical health. The current study makes an important contribution to the understanding of methylation in PTSD and in MDD, evaluating hypotheses that emerged in research on these phenotypes.

#### EWAS findings

Our null findings are in contrast to previously published EWAS results of PTSD and depression. Using a much larger sample than previous studies, we did not confirm the genes implicated in prior studies of DNA methylation in PTSD or MDD. Previous work has suggested that differentially methylated sites were significantly overrepresented in immune system genes for both disorders. However, no individual immune system gene was identified in this study. Also, we did not observe statistically significant methylation differences in 15 candidate genes identified by prior studies. Furthermore, analyses of trends suggested hypomethylation of FKBP5, NR3C1, BDNF and SLC6A4 in PTSD and MDD, whereas prior studies found hypermethylation in NR3C1, BDNF and SLC6A4,<sup>15,30,55,56</sup> raising questions about their role in the methylation signature of PTSD and MDD.

Methodological differences between our study and previous EWA studies might account for some of the discrepancies in the results, and as such our findings need to be considered in the context of the current sample characteristics (especially gender composition) as well as methodology. First, our study focused on men, while previous studies included more women than men. Growing literature demonstrates that DNA methylation changes occur in a sex-specific manner.<sup>57</sup> Second, previous PTSD EWA studies were performed on populations that were predominantly of African American ancestry, while our sample is mostly of European American ancestry. Recent reports have found significant differences in DNA methylation between African American and Caucasian ancestry subjects. This suggests that ancestry-specific genetic background may play a role in shaping the epigenetic landscape of the human genome in ways similar to SNP-GWAS population stratification.<sup>48</sup> Third, trauma exposure in our study was a specific event common to all responders—the WTC disaster. Previous studies considered retrospectively reported traumas that were not common to all individuals and were uncorroborated.<sup>58–60</sup>

Fourth, previous EWAS of MDD used a variety of tissue sources, with only one study<sup>33</sup> using blood. Fifth, previous EWAS of MDD did not consider trauma-exposed populations, which might be a subgroup characterized by somewhat different depression etiology.<sup>58–60</sup> Finally, prior studies did not control for blood cell types. This is particularly problematic because findings in PTSD of differential methylation amongst genes involved in immune response could represent greater number of immune cells in the blood sample. Controlling for differences in the number of immune cells likely affects the ability to detect these differences. Overall, each study to date, including the current study, applied different methodologies and thus cannot be considered as direct replications of one another.

#### Biological pathways

We also conducted pathway analyses in the current sample in order to identify significantly enriched gene sets associated with PTSD and MDD. PTSD was characterized by a wide range of pathways, many of which map onto the biological mechanisms implicated by previous methylation studies of PTSD. First, the cGMP-PKG signaling pathway has been identified as the top KEGG pathway for PTSD, which was shown to regulate synaptic plasticity

and fear memory consolidation in the lateral amygdala.<sup>61</sup> The second most significant pathway for PTSD was oxytocin signaling. Neuropeptide oxytocin has been shown to be an important anti-stress factor of the brain<sup>62</sup> and implicated in PTSD.<sup>63,64</sup> Third, we identified several pathways related to immunity (for example, inflammatory bowel disease and rheumatoid arthritis pathways), which is in line with a large body of evidence that PTSD is associated with altered inflammatory processes.<sup>65–67</sup> Several genes (most notably FKBP5) are thought to play a role in regulation of the HPA axis and immunological responses to stress.<sup>13,68,69</sup> Although the current study has not confirmed differential methylation of these individual genes, the observed immunity-related pathways are consistent with previous research. Fourth, several cancer-related pathways (for example, MAPK signaling pathway), as well as pathways related to cardiovascular and metabolic disease (for example, insulin resistance pathway) and the nervous system (for example, cholinergic synapse pathway) emerged for PTSD, which are in line with the considerable co-occurrence of PTSD with a range of physical disorders.<sup>3,70–72</sup>

Conversely, only two pathways emerged for MDD. First, phosphatidylinositol signaling system was identified as the top significant KEGG pathway. The phosphatidylinositol signaling system has broad physiological significance, and has been shown to be affected by antidepressants in several studies.<sup>73–76</sup> The second pathway significant in MDD was cell cycle, a broad pathway without specific links to depression. It is possible that the smaller number of MDD cases resulted in lower power to detect significant MDD pathways.

#### Limitations

The current study had several strengths, including the largest EWAS sample to date in research on PTSD and MDD, and exposure to a common traumatic event for all participants. Nonetheless, our findings must be considered in the context of several limitations. First, since our study is cross-sectional, we cannot determine whether observed alterations in the epigenome of PTSD affected patients are a consequence of the disease or a part of its etiology. By identifying a trauma-exposed, unaffected comparison group, we guarded against differential methylation being just a consequence of trauma exposure. Second, the sample size of our study is relatively small for EWAS, even if it is the largest among PTSD and MDD methylation studies.<sup>77,78</sup> Third, our methylation analysis was performed in DNA samples derived from peripheral blood cells and were thus a mix of cell types. We sought to control for the mix statistically, which emerged as the state-of-the-art method, but future work needs to isolate and examine each cell type individually. Fourth, as discussed above, sample characteristics, tissue used and other methodological aspects of the current study differed substantially from previous EWAS of PTSD and MDD. In particular, we focused on males while prior studies relied on predominantly female samples. Larger samples are needed to investigate the impact of gender differences on methylation in PTSD, an important open question. Future studies designed as direct replications of prior research need to be conducted, ideally using large sample sizes, to confirm previous findings. Finally, a stronger association between methylation and diagnoses could potentially be derived by considering allele specific methylation as observed in Klengel *et al.*<sup>13</sup> or by also considering lifetime disorders not associated with 9/11.

#### CONCLUSIONS

The current study aimed to provide a better understanding of the relationship between epigenetic alteration and PTSD and MDD. We found no epigenome-wide significant hits for either condition, and as such did not confirm findings from previous, smaller EWAS

and candidate gene studies of PTSD and MDD. Nonetheless, we identified enriched gene sets involved in several biological pathways associated with PTSD, including stress response, inflammation, neural signaling and physical health.

## CONFLICT OF INTEREST

The authors declare no conflict of interest.

## ACKNOWLEDGMENTS

The study was funded by CDC/NIOSH award U01 OH010416-01 (PI: Benjamin J. Luft). We gratefully acknowledge the support of the first responders and rescue/recovery workers for participating in this study. We also thank the staff of the Stony Brook World Trade Center Health Program and the World Trade Center Health Program Data Monitoring Center for ongoing support. We are also grateful to Sean Glenn at the Roswell Park Cancer Institute for his contributions to the methylation assessment. We also thank Sandro Galea (Boston University), Steve Horvath (UCLA), Andrew Ratanatharathorn (Columbia University), and Sean Clouston (Stony Brook University) for their invaluable input on the project. The findings and conclusions in this article are those of the authors and do not represent the official position of NIOSH, the CDC or the US Public Health Service. The analysis was supported by U01 OH010416-01 (PI: Benjamin J. Luft) from Centers for Disease Control and Prevention (CDC) and The National Institute for Occupational Safety and Health (NIOSH).

## DISCLAIMER

The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

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Supplementary Information accompanies the paper on the *Translational Psychiatry* website (<http://www.nature.com/tp>)