

## ORIGINAL ARTICLE

## Common polymorphisms in dopamine-related genes combine to produce a 'schizophrenia-like' prefrontal hypoactivity

A Vercammen<sup>1,2,3,4</sup>, CS Weickert<sup>1,2,3</sup>, AJ Skilleter<sup>1,2,3</sup>, R Lenroot<sup>1,2,3</sup>, PR Schofield<sup>2,3,5</sup> and TW Weickert<sup>1,2,3</sup>

Individual changes in dopamine-related genes influence prefrontal activity during cognitive-affective processes; however, the extent to which common genetic variations combine to influence prefrontal activity is unknown. We assessed catechol-O-methyltransferase (COMT) Val108/158Met (rs4680) and dopamine D2 receptor (DRD2) G-T (rs2283265) single nucleotide polymorphisms and functional magnetic resonance imaging during an emotional response inhibition test in 43 healthy adults and 27 people with schizophrenia to determine the extent to which COMT Val108/158Met and DRD2 G-T polymorphisms combine to influence prefrontal response to cognitive-affective challenges. We found an increased number of cognitive-deficit risk alleles in these two dopamine-regulating genes predict reduced prefrontal activation during response inhibition in healthy adults, mimicking schizophrenia-like prefrontal hypoactivity. Our study provides evidence that functionally related genes can combine to produce a disease-like endophenotype.

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**Keywords:** catechol-O-methyl-transferase COMT genotype; dopamine D2 receptor gene; emotional inhibition; hypofrontality; prefrontal cortex; schizophrenia

## INTRODUCTION

In humans, the dopamine system has a crucial role in mediating cognitive and affective processes. Aberrant dopamine neurotransmission is thought to underlie the symptoms of schizophrenia, a disease with a genetic basis. The extent to which common genetic variations controlling cortical dopamine signalling can combine to influence cognitive-affective neural processing is uncertain.

Pharmacological intervention studies with dopamine antagonists/agonists in healthy individuals have revealed dopaminergic modulation of prefrontal cortex activity during executive control and working memory<sup>1–3</sup> and in limbic circuitry during emotion perception and regulation.<sup>4,5</sup> Polymorphisms in genes controlling human dopamine neurotransmission influence prefrontal activity.<sup>6–8</sup> Common single nucleotide polymorphisms (SNPs) in the catechol-O-methyltransferase (COMT) gene (rs4680) determines activity of the main enzyme that catabolizes cortical dopamine<sup>9</sup> and the dopamine D2 receptor (DRD2) gene (rs2283265) results in an increase in alternatively spliced short (D2S) isoform relative to long isoform (D2L) in the cortex.<sup>6,10</sup> Prefrontal function and its dependent cognitive processes are regulated by opposing D1- and D2-mediated action.<sup>10,11</sup> Dopamine-dependent prefrontal response arguably relies on the regulation of both dopamine availability and the relative balance of D2S/D2L receptor-mediated action.<sup>6,10</sup>

Association studies of COMT and DRD2 polymorphisms have produced inconclusive results in relation to schizophrenia risk.<sup>12,13</sup> Several studies have reported an association between the COMT Val allele or the DRD2 T allele and reduced performance on prefrontal cognitive tests in conjunction with changes in prefrontal activity in healthy individuals.<sup>6,14,15</sup> This is consistent with the idea that genetic variability in dopamine signalling

relates more directly to the intermediate endophenotype of relatively compromised prefrontal function as opposed to psychiatric diagnoses. What is not known is whether and how these genetic variations combine to confer a prefrontal 'risk state' in healthy people during cognitive-affective challenges. Given evidence of differential effects of dopamine genotypes on prefrontal function in schizophrenia,<sup>15,16</sup> it is also unclear whether this putative genetic influence in healthy individuals would be similar to the illness state.

We aimed to determine the extent to which COMT Val108/158Met (rs4680) and DRD2 G-T (rs2283265) polymorphisms combine to influence prefrontal response to cognitive-affective challenges in healthy individuals and in schizophrenia. We predicted that inheritance of a greater number of prefrontal dysfunction 'risk alleles' (COMT Val and DRD2 T alleles) would be associated with reduced prefrontal activation in healthy individuals, producing a state similar to prefrontal hypoactivity observed in schizophrenia during cognitive-affective processing.<sup>17</sup> We further predicted that because of DRD2 antagonism by antipsychotics, the oligogenic influence on prefrontal activity may be obscured in schizophrenia.

## MATERIALS AND METHODS

## Participants

Forty-eight healthy adults and 39 people with schizophrenia or schizoaffective disorder participated in the study. All participants were screened for the following exclusion criteria: (1) a history of neurological disorder, (2) head injury with loss of consciousness, (3) cardiovascular or metabolic disease such as uncontrolled hypertension or diabetes, (4) a history of developmental disorder, such as dyslexia, (5) substance dependence or abuse in the past 5 years, and (6) contraindications

<sup>1</sup>School of Psychiatry, University of New South Wales, Sydney, NSW, Australia; <sup>2</sup>Schizophrenia Research Lab, Neuroscience Research Australia, Sydney, NSW, Australia; <sup>3</sup>Schizophrenia Research Institute, Darlinghurst, NSW, Australia; <sup>4</sup>School of Psychology, Australian Catholic University, Strathfield, NSW, Australia and <sup>5</sup>School of Medical Sciences, University of New South Wales, Sydney, NSW, Australia. Correspondence: Dr TW Weickert, Neuroscience Research Australia, Barker Street, Randwick, NSW 2031, Australia.

E-mail: t.weickert@unsw.edu.au

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for magnetic resonance imaging (MRI), including the presence of ferromagnetic implants, pregnancy and claustrophobia. Healthy participants were also excluded if they had a personal history of any psychiatric disorder and/or a first degree relative with a psychotic disorder and people with schizophrenia were also excluded if they had a concurrent Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition axis I diagnosis. See Table 1 for a demographic characterization of the groups.

Diagnosis in people with schizophrenia or schizoaffective disorder was confirmed by means of a standardized Structured Clinical Interview for Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition.<sup>18</sup> Symptom severity was assessed with the Positive and Negative Syndrome Scale.<sup>19</sup> Estimates of current full-scale intelligent quotient were obtained from an abbreviated version of the WAIS-III<sup>20</sup> that includes Digit Symbol Substitution, Arithmetic, Picture Completion and Similarities subtests, and premorbid intelligent quotient estimates were assessed using the WTAR<sup>21</sup> in people with schizophrenia. All of the people with schizophrenia were receiving antipsychotics: amisulpride ( $n=3$ ), aripiprazole ( $n=2$ ), clozapine ( $n=8$ ), clozapine and aripiprazole ( $n=1$ ), clozapine and risperidone ( $n=1$ ), olanzapine ( $n=4$ ), quetiapine ( $n=2$ ), quetiapine and ziprasidone ( $n=1$ ), risperidone ( $n=3$ ), risperidone and olanzapine ( $n=1$ ), zuclopentixol and quetiapine ( $n=1$ ).

All participants gave written informed consent according to the procedures approved by the South Eastern Sydney and Illawarra Area Health Service and the University of New South Wales Human Research Ethics Committees.

### Genotyping and oligogenic score

DNA was isolated from 8 ml samples of whole blood collected in EDTA tubes using a PUREGENE DNA purification kit (QIAGEN, Chadstone Centre, VIC, Australia) following the manufacturer's protocols. Genomic DNA from each individual was prepared at a dilution of 10 ng/ $\mu$ l. Genotyping was performed using Applied Biosystems (Mulgrave, VIC, Australia) TaqMan SNP assays designed for use with an ABI Prism 7900HT Fast Real Time quantitative PCR system for the DRD2 SNP rs2283265 (G-T) and the COMT Val108/158Met SNP rs4680. A PCR solution consisting of 2.5  $\mu$ l of 2 $\times$  Universal mastermix with ROX, 0.125  $\mu$ l genotyping probe and 0.375  $\mu$ l double-distilled H<sub>2</sub>O was prepared, added into a 384-well plate containing 1  $\mu$ l of genomic DNA from each sample and pipetted up and down to ensure the genomic DNA and PCR solution were sufficiently mixed. All SNP genotyping results were then analysed with Sequence Detection Software version 2.3 (ABI, Life Technologies, Mulgrave, VIC, Australia). Both SNPs

were found to be in the Hardy-Weinberg equilibrium in both the healthy sample and people with schizophrenia.

We tallied the number of risk alleles for each individual to generate an 'oligogenic score', which we tested as a predictor of prefrontal cortex activation. Oligogenic score was defined by the number of Val alleles and T alleles, such that individual scores ranged from 0 to 4 (see Table 2). To calculate the oligogenic score, we propose a parsimonious model of combined genetic influence by assuming an equal and additive contribution of both genetic polymorphisms based on the observation of a similar magnitude of change in prefrontal DRD2 mRNA levels<sup>6,15</sup> and prefrontal COMT enzymatic activity<sup>9</sup> based on these SNPs and similar odds ratios<sup>22-25</sup> for these SNPs.

### Magnetic resonance imaging

MRI was performed using a 3 Tesla Phillips Achieva MRI scanner, with an eight-channel bird cage head coil at Neuroscience Research Australia, Randwick, Australia. A T1-weighted high-resolution anatomical scan was obtained for each participant for registration purposes and to screen for anatomical abnormalities (TR: 5.4 ms; TE: 2.4 ms; FOV: 256 mm; matrix: 256  $\times$  256; sagittal plane; slice thickness: 1 mm; 180 slices). Functional T2\*-weighted images were obtained using a gradient echo-planar imaging sequence, TR/TE = 3000/30; 32 interleaved slices, covering the whole brain, thickness = 3 mm, gap = 1 mm; voxel size: 3  $\times$  3  $\times$  3 mm<sup>3</sup>; scan repetitions = 212; flip angle = 90°; field of view = 24 cm.

### Emotional go/no-go task

All participants received a functional MRI (fMRI) scan while completing an emotional go/no-go test in which they respond to visually presented words with neutral meaning while inhibiting responses to words with negative emotional meaning. We selected a verbal emotional response inhibition test as it robustly produces activation of prefrontal cognitive control circuitry in healthy people and it is sensitive to diagnostic differences in which people with schizophrenia show prefrontal hypoactivity.<sup>17</sup> The words used in the emotional go/no-go test were selected from the Affective Norms for English Words<sup>26</sup> stimulus set, which provides normative valence and arousal ratings. Four conditions were alternated in a block design: (1) responding to negative words while inhibiting responses to neutral words, (2) responding to neutral words

**Table 1.** Demographic, clinical and neuropsychological characteristics of the samples of healthy adults and people with schizophrenia

	Healthy adults (n = 43)	People with schizophrenia (n = 27)	Statistic (df)	P-value
Age, in years	31.0 (7.0)	35.9 (7.9)	$t(68) = 2.7$	0.01
Gender, n	M: 19, F: 24	M: 19, F: 8	$\chi^2(1) = 4.6$	0.03
Handedness, n	R: 40, L: 2, A: 1	R: 26, L: 1	$\chi^2(2) = 0.68$	0.71
Education level, in years	15.6 (1.8)	13.4 (2.9)	$t(68) = 4.0$	< 0.001
WAIS-III FSIQ	110.1 (14.0)	92.0 (13.5)	$t(68) = 5.5$	< 0.001
WTAR	110.3 (5.9)	104.9 (6.7)	$t(68) = 3.6$	< 0.001
<b>PANSS</b>				
Positive	—	15.3 (6.0)		
Negative	—	16.3 (6.7)		
General	—	33.6 (11.1)		
<b>Medication</b>				
Daily CPZ equivalent dose	—	637 (402) Min = 50; max = 1596		

Abbreviations: A, ambidextrous; CPZ, chlorpromazine equivalent dose; F, female; FSIQ, full-scale intelligence quotient, derived from a four-subtest version of the Wechsler Adult Intelligence Scale, 3rd edition; L, left; M, male; n, number; PANSS, Positive and Negative Syndrome Scale; R, right; WTAR, Wechsler Test of Adult Reading. Unless noted otherwise, values represent means with s.d. given in parentheses.

**Table 2.** Distribution of genetic polymorphisms in the groups of healthy individuals and people with schizophrenia, and calculation of oligogenic scores

Group	COMT rs4680	DRD2 rs2283265 genotype			Row total
		GG	GT	TT	
People with schizophrenia	Met/Met	6 (OS = 0)	1 (OS = 1)	0 (OS = 2)	7
	Val/Met	12 (OS = 1)	4 (OS = 2)	0 (OS = 3)	16
Column total	Val/Val	3 (OS = 2)	1 (OS = 3)	0 (OS = 4)	4
		21	6	0	27
Healthy individuals	Met/Met	6 (OS = 0)	5 (OS = 1)	0 (OS = 2)	11
	Val/Met	17 (OS = 1)	3 (OS = 2)	1 (OS = 3)	21
Column total	Val/Val	9 (OS = 2)	2 (OS = 3)	0 (OS = 4)	11
		32	10	1	43
Group	Oligogenic score				
	0	1	2	3	4
People with schizophrenia	6	13	7	1	0
Healthy individuals	6	22	12	3	0
Column total	12	35	19	4	0

Abbreviations: COMT, catechol-O-methyltransferase; DRD2, dopamine D2 receptor; OS, oligogenic score. Numbers represent the numbers of participants in each category.

while inhibiting responses to negative words, (3) responding to positive words while inhibiting responses to neutral words and (4) responding to neutral words while inhibiting responses to positive words. A simple instruction cue (for example, 'NEGATIVE') was presented on screen at the start of each block indicating the valence of the stimuli requiring a response. All stimuli were visually presented in the centre of the screen. Participants were asked to press a response button as quickly as possible when a stimulus of the required valence appeared. Each task block consisted of 10 stimuli and each condition was presented four times for a total of 160 stimuli. For the purpose of this study, we focused on the negative versus neutral conditions, given prior evidence of more pronounced diagnostic group differences on negative go/no-go conditions<sup>17</sup> and the association among negative affect, COMT genotype,<sup>27–29</sup> and D2 receptor blockade.<sup>30</sup>

## Statistical analyses

**Behavioural analyses.** Before scanning, all participants rated the word stimuli used in the fMRI test as positive, negative or neutral using a tick box questionnaire format, which allowed us to take into account individual differences in stimulus ratings when analysing the behavioural performance data acquired during fMRI scanning (for example, if a normative 'neutral' stimulus was rated as 'negative' by a participant, a button press response following that stimulus was scored as correct on a 'NEGATIVE' task block and as an error on a 'NEUTRAL' block). Repeated measures analysis of variances were performed on the mean percentage correct and on the average reaction times (RTs) for 'GO' trials with group (healthy controls vs people with schizophrenia) as a between-subjects variable and task condition (inhibit negative vs inhibit neutral) as a within-subjects variable. The analysis was repeated with 'risk status' (people with schizophrenia vs high-risk controls vs low-risk controls) as the between-subjects variable. Finally, a series of correlation analyses was performed to test the relationship of oligogenic score to demographic (including education and intelligence) and performance variables (RT and accuracy) in the healthy control sample.

**fMRI processing and analysis.** All processing and analyses were performed with SPM8 (Wellcome Trust Centre for Neuroimaging). All data sets were screened for excessive motion (>3 mm in *x*, *y* or *z* direction or >3° rotation) and magnetic resonance artefacts. We excluded five participants because of incidental findings of abnormalities on structural MRI (two healthy controls; three patients), seven because of excessive movement (two healthy controls; five patients), four because of scanning artefacts (one healthy control; three patients) and one patient because of very poor task performance (at chance level), such that the analysed sample consisted of 70 people (27 patients and 43 healthy adults). Movement parameters were also included as regressors in the first-level model. Three dummy scans were obtained before each fMRI data acquisition to allow for the equilibration of the MRI signal. Functional images were realigned to the first image in the time series and coregistered to the anatomical image. All images were normalized to the Montreal Neurological Institute (MNI) anatomical template using a nonlinear 12 parameter affine transformation. Images were smoothed with a 10-mm full width half maximum Gaussian kernel.

At the first level of analysis, the contrast of interest was defined as condition 2 (inhibit responses to negative words) minus condition 1 (inhibit responses to neutral words) to assess the magnitude of the difference in blood oxygenation level-dependent (BOLD) signal for inhibiting responses to negative words. At the second level, we conducted a whole-brain single sample *T*-test in the healthy control group to reveal areas of significant activation at the group level. To correct for false-positive errors, we used a modified double threshold approach, which was originally proposed by Forman *et al.*<sup>31</sup> To ensure that we were able to identify all the major task-relevant activation clusters, we used a *P*-value of 0.005 combined with a voxel extent of 58, based on Monte Carlo simulations conducted with a custom script (`cluster_threshold_beta.m` obtained from [www2.bc.edu/~slotnics/scripts.htm](http://www2.bc.edu/~slotnics/scripts.htm)), employing the following parameters: acquisition matrix (80×80), original voxel dimensions (3×3×3), number of slices (32), full width half maximum set to 10 resampled voxel resolution (2×2×2), mask (none), corrected *P*-value (0.05), voxel-based *P*-value (0.005) and iterations (1000).

The resulting clusters were selected as functional regions of interest (ROIs) and contrast values were extracted for each ROI using MarsBar,<sup>32</sup> representing the mean value across all voxels within that ROI. Before running between groups' ROI analyses, outlier contrast values were

defined as  $\pm 2$  s.d. from the group mean and data were removed from further analysis if outlier values occurred for the majority of ROIs. This resulted in an additional exclusion of data from one patient and two controls, such that  $n = 41$  for the controls and  $n = 26$  for the patients in the ROI analysis. Differences in BOLD response as a function of diagnostic group were assessed by means of the general linear model, which included group as a between-subjects factor and age, education level and gender as demographic covariates. The contrast values for each of the ROIs were subsequently entered into separate regression analyses with the oligogenic score as the predictor variable separately in the control and patient groups.

To further assess whether an increased load on the prefrontal risk alleles was associated with schizophrenia-like hypofrontality, the control group was divided into a low-allelic load ('low risk') group (oligogenic score < 2;  $n = 15$ ) and a high-allelic load ('high risk') group (oligogenic score  $\geq 2$ ;  $n = 26$ ). We performed univariate analysis of variances on the contrast values from each of the ROIs, with a group factor (high-allelic load controls, low-allelic load controls and people with schizophrenia) while controlling for age, sex and education. Significant main effects were followed-up with *post hoc* least significant difference tests. Finally, as concurrent DRD2 blockade via antipsychotics may affect the same neural pathways as those presumed to be influenced by dopaminergic polymorphisms,<sup>33</sup> we examined the effect of mean daily chlorpromazine equivalent dose<sup>34,35</sup> on brain activation in people with schizophrenia. We constructed general linear models for each of the ROIs with mean daily chlorpromazine equivalent dose as a continuous predictor to assess the relationship with BOLD response.

## RESULTS

### Behavioural results

Significant main effects of group (schizophrenia vs control) indicated that people with schizophrenia were impaired relative to the healthy controls in terms of accuracy,  $F(1,68) = 14.44$ ,  $P < 0.001$  and RT,  $F(1,68) = 7.80$ ,  $P < .01$ . The pattern of responding across conditions was similar between groups, as indicated by a main effect of condition on accuracy,  $F(1,68) = 7.1$ ,  $P < 0.01$  and RT,  $F(1,68) = 54.4$ ,  $P < 0.001$ , and no significant interaction ( $F < 1$  for accuracy and RT). Responses were more accurate and faster in the 'inhibit neutral' as compared with the 'inhibit negative' condition (see Supplementary Data 1). An additional analysis of variance was performed to examine performance differences among the healthy controls categorized by their oligogenic score (high-risk healthy controls versus low-risk healthy controls) and people with schizophrenia. The main effect of task condition on accuracy,  $F(1,67) = 6.10$ ,  $P = 0.016$  and on RT,  $F(1,67) = 54.10$ ,  $P < 0.001$  showed slower and less accurate responses during inhibition to negative as compared with neutral words. There were significant main effects of group on accuracy,  $F(2,67) = 7.19$ ,  $P = 0.001$  and on RT,  $F(2,67) = 4.07$ ,  $P = 0.021$ , but no significant interaction effects for accuracy or RT ( $F < 1$ ). Least significance difference *post hoc* tests revealed main effects of group with increased accuracy for both the high- and low-risk control groups relative to the people with schizophrenia during the inhibit negative condition, whereas no significant differences were observed between the high-risk and low-risk control subgroups in terms of accuracy or RT (see Supplementary Data 1 for detailed results).

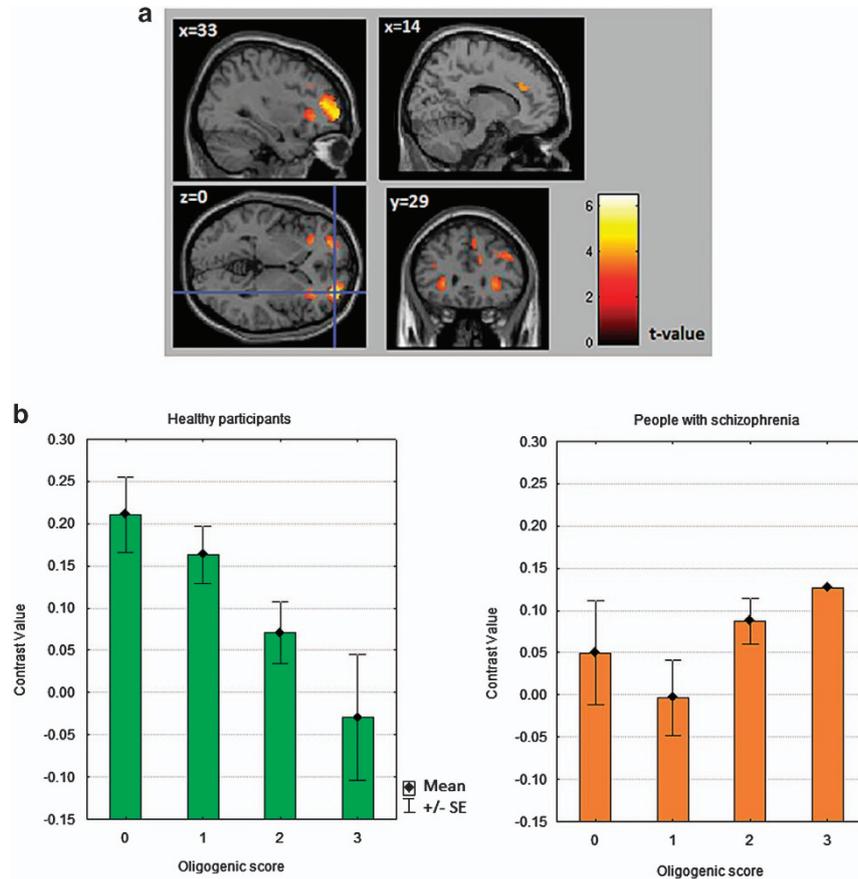
Within groups, correlation analysis revealed no significant relationships between oligogenic score and task performance (accuracy and RT) or measures of general cognitive ability in either the people with schizophrenia or the healthy controls (see Supplementary Data 2).

### fMRI results

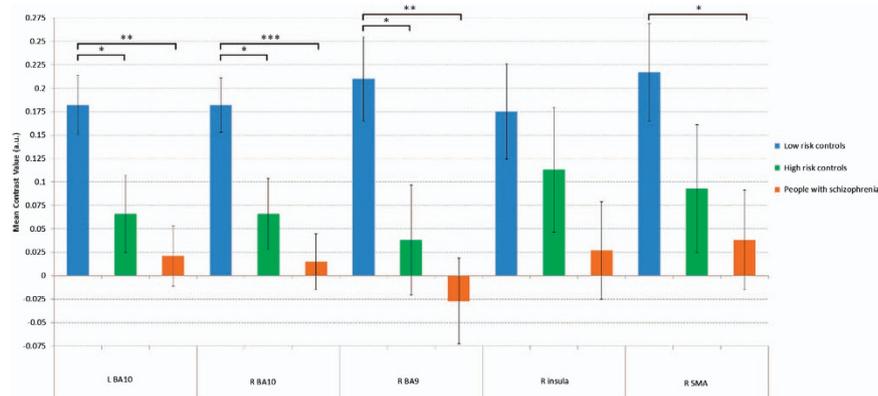
Whole-brain fMRI analysis in healthy individuals revealed five large clusters of increased activation during response inhibition to negative emotional words: right insula (MNI peak coordinates: 32 32 -2), left middle frontal gyrus (Brodmann area (BA) 10) (MNI peak coordinates: -28 48 10), right middle frontal gyrus (BA 10) (MNI peak coordinates: 28 52 10), right supplementary motor area (MNI

peak coordinates: 10 24 50) and right middle frontal gyrus (BA 9) (MNI peak coordinates: 46 34 30). These areas were defined as ROIs for further analysis (see Figure 1a; for detailed results see Supplementary Data 3). There were no activation clusters surviving the statistical threshold in the sample of people with schizophrenia.

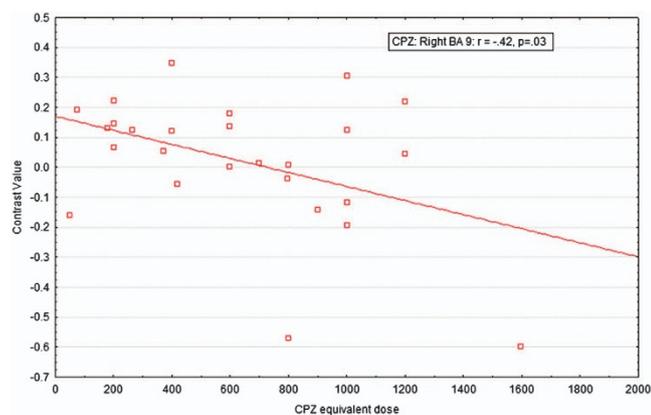
Analysis of diagnostic group differences in BOLD response in the ROIs revealed a relative decrease in activation in people with schizophrenia during inhibition of responses to negative words in the left BA 10,  $F(1,62)=5.59$ ,  $P=0.021$ , right BA 10,  $F(1,62)=8.06$ ,  $P=0.006$  and right BA 9,  $F(1,62)=6.03$ ,  $P=0.017$ . No significant differences were observed in the insula and supplementary motor



**Figure 1.** Regions showing significant activation in the healthy adults during performance of the emotional go/no-go test. **(a)** The contrast shown reflects inhibition of responses to negative stimuli versus neutral stimuli in the middle frontal gyrus (Brodmann area (BA) 10), the right dorsolateral prefrontal cortex (BA 9), the right supplementary motor area and the right insula. A detailed overview of the activation clusters is presented in Supplementary Data 3. **(b)** The bar graphs illustrate the differential effect of oligogenic score on the brain activity in healthy controls and in people with schizophrenia relative to comparison group in one of the ROIs (right BA 10). Bar graphs for the additional ROIs showing a linear relationship between oligogenic score and brain activation are provided in Supplementary Data 4.



**Figure 2.** Results from the univariate analysis of variances (ANOVAs) on the contrast values from each of the ROIs, with a group factor (high-allelic load controls, low-allelic load controls and people with schizophrenia) while controlling for age, sex and education. After obtaining a significant ANOVA, we performed *post hoc* Least significance difference (LSD) tests to compare the groups directly. The high-allelic load group did not differ from people with schizophrenia on any of the ROIs. \* $P < 0.05$ ; \*\* $P < 0.01$ , \*\*\* $P < 0.001$ .



**Figure 3.** Scatter plot demonstrating the negative association between daily dose of antipsychotics expressed in daily chlorpromazine equivalents (CPZ) and dorsolateral prefrontal cortex activation in schizophrenia. Medication dose significantly predicted reduced activation of the dorsolateral prefrontal cortex (right Brodmann area (BA) 9) during the emotional response inhibition test.

area ROIs. This confirms earlier findings of reduced prefrontal activation in people with schizophrenia during cognitive-affective inhibition.<sup>17</sup>

Further analysis, breaking down the healthy control group into high-risk and low-risk groups, revealed significant group differences in BOLD response in the left BA 10,  $F(2,61) = 5.75$ ,  $P = 0.005$ , the right BA 10,  $F(2,61) = 7.68$ ,  $P = 0.001$  and the right BA 9,  $F(2,61) = 6.23$ ,  $P = 0.003$ . *Post hoc* tests revealed that within the healthy control group, the subgroup with low genetic risk showed significantly higher levels of activation of the bilateral middle frontal gyrus (BA 10) and right middle frontal gyrus (BA 9) compared with the subgroup with high genetic risk, and the schizophrenia group (see Figure 2). The high prefrontal risk allele load subgroup did not differ significantly from the schizophrenia group in relation to activation in any of the ROIs.

As predicted, we detected a significant linear association between increasing risk allele load and reduced activation of the left rostral prefrontal cortex BA 10,  $\beta = -0.47$ ,  $t(39) = 3.28$ ,  $P = 0.002$ , right rostral prefrontal cortex BA 10,  $\beta = -0.43$ ,  $t(39) = 2.98$ ,  $P = 0.005$ , right supplementary motor area,  $\beta = -0.31$ ,  $t(39) = 2.05$ ,  $P = 0.047$  and right dorsolateral prefrontal cortex BA 9,  $\beta = -0.37$ ,  $t(39) = 2.52$ ,  $P = 0.016$ , in healthy participants (see Figure 1b and Supplementary Data 4). We then determined whether this allele-dose response was present or absent in schizophrenia. We found no relationship between risk allele load and brain activation in the same ROIs in schizophrenia (see Figure 1b and Supplementary Data 4, all regions  $P$ 's > 0.3). Supplementary Data 4 also provides results of a power analysis to determine the power of detecting an effect in our patient sample that would have been equivalent to the effect obtained in the healthy controls. Across all ROIs, the power was estimated to be medium to large.

Finally, we also determined whether the brain activity was related to antipsychotic dosage in schizophrenia. We observed a negative association between daily chlorpromazine dose and activation of the right dorsolateral prefrontal cortex (BA 9) during the task in schizophrenia,  $R^2 = 0.18$ ,  $\beta = -0.42$ ,  $t(25) = 2.27$ ,  $P = 0.033$  (see Figure 3).

## DISCUSSION

These results provide evidence that genetic variation controlling DRD2 characteristics and synaptic dopaminergic availability

combine to shape prefrontal cortical response during cognitive-affective challenges and that common genetic variation may relate to schizophrenia endophenotypes through small but additive effects. In this case, inheritance of only two risk alleles on different chromosomes, both associated with prefrontal functional changes, combined to produce blunted prefrontal brain activation similar to that found in people with schizophrenia. Prefrontal cortical dopamine acting through the dopamine D1 receptor has been shown to be critical for sustaining neuronal activity during 'prefrontal' tasks.<sup>36</sup> Our results extend the role of DRD2 by suggesting that prefrontal dopamine acting through DRD2 can also make critical contributions to neuronal activity during inhibitory control.

Our second main finding was that this allele-dose effect on prefrontal activation was not present in people with schizophrenia who were currently receiving antipsychotics. If prefrontal response is determined in part by dopamine acting through cortical DRD2<sup>6,10</sup> then exogenous application of a DRD2 antagonist, as occurs with antipsychotic treatment, would be expected to obscure the additive effects of common genetic polymorphisms that normally translate into functional variability in the healthy prefrontal cortex. Indeed, a higher relative dose of DRD2 blockade correlated with decreased activity of the dorsolateral prefrontal cortex, which fits with previous findings that drugs with higher affinity to the DRD2 cause a decrease in cortical BOLD signal.<sup>37</sup> Thus, antipsychotic treatment could be considered an overriding environmental factor that blunts underlying dopaminergic genetic effects in people with schizophrenia who have been administered antipsychotics. This suggests that hypofrontality, commonly observed in schizophrenia in the context of antipsychotic treatment,<sup>17,38</sup> has at least two potential sources: first, inheritance of risk alleles biasing the prefrontal cortex to be underactive during cognitive-affective challenges and, second, as a consequence of DRD2 blockade.

The current study has some limitations. First, the sample sizes were relatively small. This may limit generalizability and the findings thus require replication in a larger sample. However, the detection of a significant relationship between oligogenic score and brain activation in the control group does suggest that the combination of dopaminergic gene variants examined here could have a robust impact on prefrontal function and that the study was not statistically underpowered to detect this effect. In addition, the novel finding of a schizophrenia-like prefrontal activation pattern in high-risk controls is certainly noteworthy, but requires replication. Second, the absence of a linear relationship between brain function and oligogenic score in schizophrenia does not preclude that a non-linear relationship exists in schizophrenia, or that it is simply obscured by greater variability in prefrontal response and cognitive function. We performed a power analysis and the results showed that our power to detect an effect in the ROIs examined for the patient sample was medium to large, which suggests that the results are not because of lack of power in the smaller patient sample. Third, there were behavioural differences between high-risk controls and people with schizophrenia. This result may appear to be incongruent with the finding of hypofrontality in high-risk controls. This may suggest that while genetic variability in dopamine signalling in healthy individuals may have an impact on prefrontal brain activation, this is not necessarily reflected in a simple and linear way to alterations in behavioural output. However, the emotional inhibition test used in our study was not designed to be difficult and it did not have varying degrees of difficulty as other more typical executive tests such as the n-back working memory task. Thus, hypofrontality during this cognitive-affective challenge may not be reflected very well in behaviour owing to the low task demands. Regarding performance decline obtained in people with schizophrenia, it is probable that additional illness-related factors negatively affect both neural responses and associated behavioural outcomes in

the emotional go/no-go task. Finally, the patient sample consisted of chronically ill patients who were medicated at the time of testing. Although our findings suggest that medication effects may have a role in reducing brain activity, many other factors contribute to increased variability in schizophrenia samples, including generalized cognitive deficits. On the basis of our findings, acutely ill, medication-free, first-episode patients would be predicted to show activation patterns that were consistent with the high-risk control group, but further research is required to clarify the relative impact of genetic variability on dopaminergic function in the context of varying illness severity or stage of illness.

In summary, we found that common polymorphisms in dopaminergic regulating genes can additively combine to produce a hypofrontality endophenotype that is characteristic of schizophrenia. However, DRD2 blockade may also contribute to prefrontal hypoactivity, which could explain the relative treatment resistance of cognitive dysfunction, suggesting that some restoration of DRD2-mediated prefrontal dopaminergic signalling may be of therapeutic benefit in schizophrenia.

## CONFLICT OF INTEREST

The authors declare no conflict of interest.

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