scientific REPORTS

OPEN

SUBJECT AREAS: ATMOSPHERIC OPTICS ENVIRONMENTAL MONITORING MARINE BIOLOGY PHYSICAL OCEANOGRAPHY

> Received 27 June 2013

Accepted 23 December 2013 Published 17 January 2014

Correspondence and requests for materials should be addressed to X.Q.H. (hexianqiang@ sio.org.cn)

A new simple concept for ocean colour remote sensing using parallel polarisation radiance

Xianqiang He^{1,3}, Delu Pan^{1,2,3}, Yan Bai^{1,2}, Difeng Wang¹ & Zengzhou Hao¹

¹ State Key Laboratory of Satellite Ocean Environment Dynamics, Second Institute of Oceanography, State Oceanic Administration, Hangzhou, China, ²Institute of Remote Sensing and Earth Sciences, Hangzhou Normal University, Hangzhou, China, ³Department of Ocean Science and Engineering, Zhejiang University, Hangzhou, China.

Ocean colour remote sensing has supported research on subjects ranging from marine ecosystems to climate change for almost 35 years. However, as the framework for ocean colour remote sensing is based on the radiation intensity at the top-of-atmosphere (TOA), the polarisation of the radiation, which contains additional information on atmospheric and water optical properties, has largely been neglected. In this study, we propose a new simple concept to ocean colour remote sensing that uses parallel polarisation radiance (PPR) instead of the traditional radiation intensity. We use vector radiative transfer simulation and polarimetric satellite sensing data to demonstrate that using PPR has two significant advantages in that it effectively diminishes the sun glint contamination and enhances the ocean colour signal at the TOA. This concept may open new doors for ocean colour remote sensing. We suggest that the next generation of ocean colour sensors should measure PPR to enhance observational capability.

he successful launch of the Coastal Zone Colour Scanner (CZCS) in 1978 was a milestone in the history of satellite ocean colour remote sensing¹. Since then, more than twenty ocean colour satellite sensors have been launched (www.ioccg.org/sensors_ioccg.html). These sensors capture continuous global ocean colour data (e.g. chlorophyll concentration, primary production), which provide significant benefits for research in areas such as biological oceanography^{2,3} and climate change studies⁴⁻⁶. According to the current framework for ocean colour remote sensing, the satellite sensor first measures the intensity of the upward spectral radiation at the top-ofatmosphere (TOA). The varying intensities are then used to retrieve the water-leaving radiance after atmospheric correction, leading to the further retrieval of the optically active marine components (e.g. phytoplankton, minerals and coloured dissolved organic matter)7. However, the current framework does not consider the polarisation of light, which could be helpful in the retrieval of water properties⁸. Previous studies based on radiative transfer simulations have revealed that polarisation information on the upwelling radiation at the sea surface can improve the retrieval of the suspended particulate matter^{9,10} and water inherent optical properties (e.g., absorption, scattering and backscattering coefficients) in coastal waters^{11,12}, and help in the separation of organic and inorganic particles¹³⁻¹⁵. In addition, the polarisation information at the TOA measured by satellite and airborne sensors is consistent with radiative transfer simulations and field measurements^{16,17}, and can be used to classify aerosol types, thus improving the water-leaving radiance retrieval¹⁸⁻²⁰. However, despite the many advantages of using polarisation information, none of the planned future ocean colour missions include polarimetric ocean colour sensors (www.ioccg.org/sensors/scheduled.html), which limits the capacity to advance polarisation ocean colour remote sensing. Moreover, the past and current polarimetric satellite optical sensors, such as the POLDER (Polarisation and Directionality of the Earth's Reflectance) sensors on the ADEOS-I (Nov 1996 - Jun 1997), ADEOS-II (Apr 2003 - Oct 2003) and PARASOL (Dec 2004 - present) satellites, were mainly designed to measure the radiative and microphysical properties of clouds and aerosols²¹.

In this study, we propose a new simple concept for ocean colour satellite remote sensing using the parallel polarisation radiance (PPR) instead of the traditional radiation intensity. Although the horizontal and vertical polarisation radiances have been widely used in microwave satellite remote sensing, they have never been used in ocean colour remote sensing. The reason for the choice of the PPR instead of the vertical polarisation radiance (VPR) is that the sea surface reflectance of PPR is general less than that of the VPR. In addition, unlike the Stokes vector and its polarisation components, PPR is a scalar parameter which is similar to the traditional radiation intensity, and it could be easily understood and exploited by the ocean colour community.

Results

The concept of parallel polarisation radiance (PPR). The upward radiation at the TOA can be fully described by the Stokes vector as follows²²:

$$S = \begin{bmatrix} I \\ Q \\ U \\ V \end{bmatrix} = \begin{bmatrix} E_{l}E_{l}^{*} + E_{r}E_{r}^{*} \\ E_{l}E_{l}^{*} - E_{r}E_{r}^{*} \\ E_{l}E_{r}^{*} + E_{r}E_{l}^{*} \\ i(E_{l}E_{r}^{*} - E_{r}E_{l}^{*}) \end{bmatrix}$$
(1)

where E_l and E_r are components of the electric vector in the meridian plane (determined by the viewing direction of the satellite and the local zenith) and in the plane perpendicular to the meridian plane, respectively. E_l^* and E_r^* are the respective conjugate values of E_l and E_r^* . It should be noted that we neglect a common multiplier in Eq. (1). *I* is the total radiance (i.e., the intensity measured by the ocean colour sensor), *Q* is the radiance linearly polarised in the meridian plane or perpendicular to the meridian plane, *U* is the radiance linearly polarised in the direction 45° to the meridian plane and *V* is the radiance circularly polarised. *I*, *Q* and *U* can be analytically deduced if a satellite sensor measures the upward radiation intensities at three angles. Generally, *V* is negligible for the upward radiation at the TOA above oceans.

The Stokes vector describes electromagnetic radiation in terms of measurable quantities, including the polarisation state of the electromagnetic field. For satellite applications, we define PPR as:

$$I_p = 2E_l E_l^* \tag{2}$$

Then:

$$I_p = I + Q \tag{3}$$

For unpolarised light, such as the incident solar radiance at the TOA, then $I_p = I$. Although PPR is a basic parameter in classical electromagnetic radiation theory, it has never been applied in ocean colour remote sensing. In the following sections, we demonstrate two advantages of ocean colour remote sensing using PPR.

Diminishing sun glint. Sun glint is a major issue for radiation intensity based ocean colour remote sensing²³. In most cases, sun glint contaminated pixels are directly masked and excluded from the data analysis²⁴. To demonstrate the effectiveness of PPR in diminishing sun glint, we simulated the upward Stokes vector at the TOA using the vector radiative transfer model named PCOART²⁵ (see section Methods). The radiative transfer simulations with flat sea surface reveal that the total radiance at the TOA contains strong sun glint at the specular reflectance geometries for all solar zenith angles (Figs. 1(a) and 1(c)). However, for the PPR at the TOA, the sun glint decreases with the solar zenith angle and disappears for solar zenith angles larger than 35° (Figs. 1(b) and 1(d)). For rough surface, we can see that the total radiance at the TOA still contains sun glint at the specular reflectance geometries for all solar zenith angles (see the supplement Fig. S1). Yet, for the PPR at the TOA under rough surface, the sun glint decreases with solar zenith angle and disappears for solar zenith angles larger than 35°, which is similar with the results under flat surface. Comparing to the strong sun glints along the principal plane under flat surface, sun glints are weaker and wider range of azimuth (off principal plane) under rough surface.

We used polarimetric remote sensing data from the POLDER sensor on the ADEOS-II satellite to further validate the ability of diminishing sun glint by PPR under real world conditions. The POLDER on ADEOS-II could measure *I*, *Q* and *U* at three bands (443 nm, 670 nm and 865 nm) at 14 observing angles. Fig. 2 shows the reflectance of total radiance and PPR at 670 nm on 10 July 2003 at the 12th observing angle. It should be noted that the data for the

13th and 14th observing angles on this day are unusable. At the 12th observing angle, strong sun glint clearly exists in the southern hemisphere in the total radiance map (Fig. 2(a)). However, the glint disappears in the map of the PPR (Fig. 2(b)) because sun glint is generally located in regions where the solar zenith angle is larger than 35° (Fig. 2(c)). It needs to note that real polarimetric remote sensing data cover different atmosphere and ocean conditions including the variations of aerosol type, aerosol optical thickness and rough sea surface. Therefore, the POLDER polarimetric observations fully support the results of the radiative transfer simulation.

In summary, the radiative transfer simulation and satellite observations demonstrate that PPR is capable of diminishing the sun glint at solar zenith angles larger than 35°. This reduced sun glint can improve the data availability of ocean colour remote sensing, especially for early morning and late afternoon observations by geostationary ocean colour satellite²⁶, and for middle to high latitude observations by polar-orbit ocean colour satellite.

Enhancing the ocean colour signal. The ocean colour signal for the total radiance at the TOA can be expressed as²⁷:

$$I_{oc} = I_t - I_b \tag{4}$$

where I_b is the background upward radiance at the TOA with atmosphere and pure seawater, while I_t is the upward radiance at the TOA with atmosphere and seawater including the ocean colour components (e.g. chlorophyll, suspended particle matter). The first requirement of ocean colour remote sensing is that the ocean colour signal should be sensitive to the variation in the ocean colour components and that the capacity to discern the changes in the ocean colour components increases with the sensitivity of the signal.

We normalise Eq. (4) according to I_b and then get the normalised ocean colour signal for the total radiance as:

$$I_{oc(N)} = I_{oc} / I_b = I_t / I_b - 1$$
(5)

Similarly, the normalised ocean colour signal for PPR can be expressed as:

$$I_{poc(N)} = I_{pt} / I_{pb} - 1 \tag{6}$$

where I_{pb} is the background PPR at the TOA with atmosphere and pure seawater, while I_{pt} is the PPR at the TOA with atmosphere and seawater including the ocean colour components. Generally, the greater the absolute value of the normalised ocean colour signal, the more the ocean colour signal can contribute to the total signal at the TOA.

We simulated the total radiance and the PPR at the TOA for different concentrations of chlorophyll a (Chla) and suspended particulate matter (Tsm) using the PCOART radiative transfer model (see section 4 Methods) and obtained the normalised ocean colour signals for total radiance and PPR. Here, we only show the results for the solar-viewing relative azimuth angles of 0° , 45° , 90° , 135° and 180° (corresponding to the specular plane) in Fig. 3 for Chla and Fig. 4 for *Tsm*. For low solar zenith angles as represented by 0° , the PPR significantly enhances the ocean colour signal for viewing zenith angles larger than 20° as compared with the total radiance, and this enhancement increases rapidly with the viewing zenith angle (Figs. 3(a) and 4(a)). For moderate solar zenith angles as represented by 30°, the PPR continues to enhance the ocean colour signal for both Chla and Tsm, especially at the relative azimuth angles of 135° and 180° (Figs. 3(b) and 4(b)). For large solar zenith angles as represented by 60° , the PPR enhances the ocean colour signal at relative azimuth angles of 0°, 135° and 180° under a low viewing zenith angle, while it slightly reduces the ocean colour signal at relative azimuth angles of 45° and 90° (Figs. 3(c) and 4(c)).

In summary, PPR can enhance the ocean colour signal relative to the total radiance at the TOA, especially for large satellite viewing

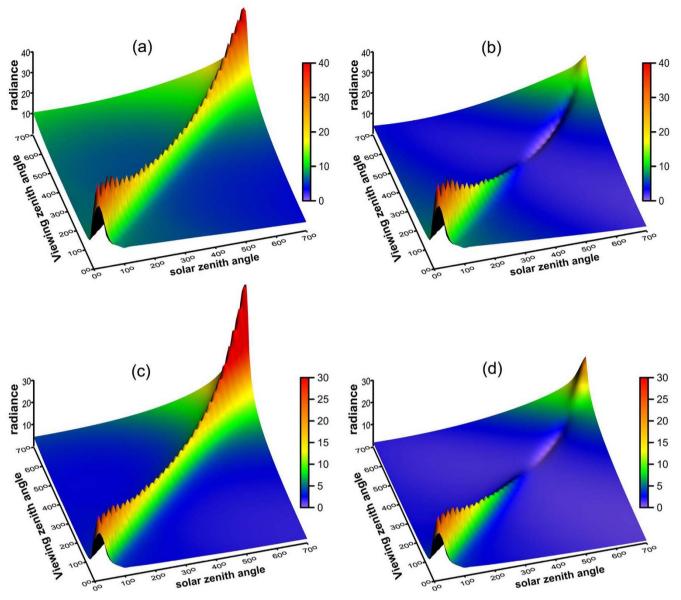


Figure 1 | The upward total radiance and PPR at the TOA simulated by PCOART. The radiances were observed in the specular plane corresponding to the solar incident direction with units of $mW/(cm^2 \cdot \mu m \cdot sr)$. The solar zenith angles were taken from 0° to 70° with a step of 1°. It should be noted that the discrete peaks (sun glint) were caused by the discrete solar zenith angles with a step of 1°, and the actual sun glint should be a continuous curve. (a) The total radiance at 443 nm, (b) PPR at 443 nm, (c) total radiance at 670 nm, (d) PPR at 670 nm.

zenith angles under a low to moderate solar zenith angle, which can improve the ocean colour component retrieval at the edge of the view of the ocean colour satellite.

Application to ocean colour remote sensing. The greatest challenge for polarimetric ocean colour remote sensing relates to the retrieval of the water-leaving radiation from the satellite measured *I*, *Q* and *U*, or the corresponding atmospheric correction. The total radiance measured by the satellite sensor can be written as^{28} :

$$I_t(\lambda) = I_r(\lambda) + I_a(\lambda) + t_v(\lambda)I_w(\lambda)$$
(7)

where I_r is the Rayleigh-scattering radiance in the absence of aerosols, I_a is the aerosol scattering radiance including the interactive scattering between molecules and aerosols, I_w is the desired water-leaving radiance and t_v is the diffuse transmittance from sea surface to satellite. It should be noted that we ignore the reflectance from whitecaps and sun glint. Similarly, Q at the TOA can be expressed as the sum of the contributions from Rayleigh scattering

 (Q_r) , aerosol scattering (Q_a) and water-leaving radiation transmitted to the TOA $(Q_w)^{29}$, as follows:

$$Q_t(\lambda) = Q_r(\lambda) + Q_a(\lambda) + Q_w(\lambda)$$
(8)

We obtain the PPR at the TOA by Eq. (7) plus Eq. (8) as:

$$I_p(\lambda) = [I_r(\lambda) + Q_r(\lambda)] + [I_a(\lambda) + Q_a(\lambda)] + Q_w(\lambda) + t_v(\lambda)I_w(\lambda)$$
(9)

The Rayleigh-scattering components I_r and Q_r can be accurately calculated using a vector radiative transfer model (e.g., PCOART) with the knowledge of the atmospheric pressure and wind speed²⁸. However, the aerosol scattering is highly variable and the components I_a and Q_a cannot be predicted a priori²⁸. In the first stage, we use the method proposed by Gordon and Wang (1994)²⁸ to estimate the aerosol scattering PPR over open ocean. This method uses two near-infrared bands to select the appropriate aerosol models from a set of predefined candidate models and extrapolates the aerosol scattering radiation at the near infrared wavelength to a visible light spectral regime. In addition, t_v can be estimated by the

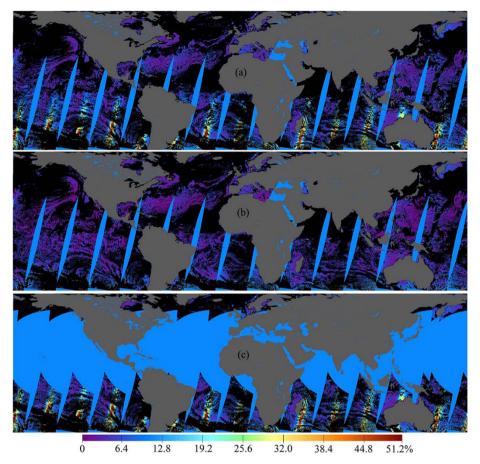


Figure 2 | The reflectance of the upward total radiance and PPR at 670 nm measured by POLDER at the 12th observing angles on 10 July 2003. The reflectance is defined as $R = \pi L/F_0 \cos(\theta_0)$, where *L*, *F*₀ and θ_0 are the total radiance (or PPR), extra-terrestrial solar irradiance and solar zenith angle, respectively. (a) Reflectance of total radiance, (b) reflectance of PPR, (c) reflectance of total radiance with solar zenith angle larger than 35°. The maps were generated by the Microsoft Visual C++ 6.0 and Adobe Photoshop CS softwares.

determined aerosol model and the optical thickness. Therefore, the only unknown for the retrieval of the water-leaving radiance is Q_w . Based on the simulations in section 2.3 (see section 4 Methods), we

Based on the simulations in section 2.3 (see section 4 Methods), we get the Q_w at the TOA as:

$$Q_w = Q_t - Q_{bg} \tag{10}$$

where Q_{bg} is the background value from the atmospheric scattering in the absence of seawater and Q_t is the value from both the atmospheric and seawater scatterings. Fig. 5 shows the percentage of the contribution of Q_w to I_p at the TOA for different wavelengths (443 nm for Chla and 670 nm for Tsm), solar zenith angles, relative azimuth angles, and Chla and Tsm concentrations. The percentage is relative larger at short wavelength (443 nm) than it at long wavelength (670 nm), but generally less than 1%, indicating that it is reasonable to neglect Q_w as compared to I_p at the TOA. Actually, both the radiative transfer simulations and polarimetric remote sensing data showed that the polarized reflectance at the TOA was virtually insensitive to biomass content over open ocean^{17,30}. Two factors are expected to be responsible for this result, one is that the water-leaving radiation is mostly induced by underwater multi-scattering processing, which results in low polarisation; the other is that the atmospheric scattering further reduces the polarisation of the water-leaving radiation when it transmits from the sea surface to the TOA. It should be noted that for some specific observing geometries (mainly in the specular plane) Q_w cannot be neglected, as the percentages in these cases can be up to 3%. Previous studies have also revealed that the polarisation information of the water-leaving radiation at the sea surface at the specular plane can be helpful for the

SCIENTIFIC **REPORTS** | 4 : 3748 | DOI: 10.1038/srep03748

retrieval of suspended particulate matter^{9,14}. Here, we only focus on the retrieval of the water-leaving radiance by neglecting Q_w . Therefore, the retrieval of Q_w is beyond the scope of this study and needs to be investigated in future studies.

In addition to the simulation, we used the polarimetric remote sensing data from POLDER on 10 July 2003 to validate the feasibility of using the PPR from real satellite data. Because the POLDER sensor only has three polarisation bands, 443 nm, 670 nm and 865 nm, we were unable to apply the method proposed by Gordon and Wang (1994)²⁸ to estimate the aerosol scattering PPR based on the aerosol model selection and extrapolation. Similar to the traditional atmospheric correction processes, we obtained the total radiance and PPR of the aerosol scattering at 865 nm using the assumption that water-leaving radiation can be neglected at the near-infrared wavelength over open ocean. We further assumed that the aerosol scattering is "white", which means that the aerosol scattering reflectance is equal for all bands³¹, and obtained the total radiance and PPR of the aerosol scattering at 443 nm and 670 nm. In the future, if satellites have two or more NIR bands with polarisation observation, we can determine the aerosol models from the satellite data directly. As in the radiative transfer simulations, we neglected the Q_w and finally obtained the desired water-leaving radiances at 443 nm and 670 nm from both the total radiance and the PPR (Fig. 6 for an example at the 12th observing angle; Supplement Figs. S4-S15 for all the 12 observing angles). The normalised waterleaving radiances retrieved by the total radiance and the PPR are reasonably consistent for both 443 nm and 670 nm. In addition,

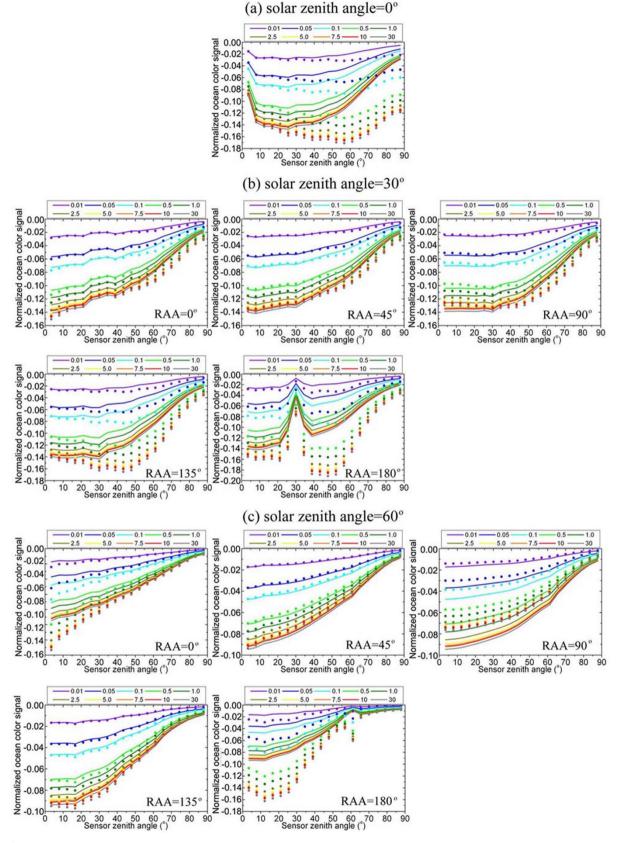


Figure 3 | Comparison of the normalised ocean colour signal for the total radiance (solid lines) and the PPR (points) at the TOA for different *Chla* concentrations. RAA is the relative azimuth angle between the solar incident and sensor viewing angles. (a) Comparison for 0° solar zenith angle (same for different azimuth angles), (b) comparison for 30° solar zenith angle, (c) comparison for 60° solar zenith angle.

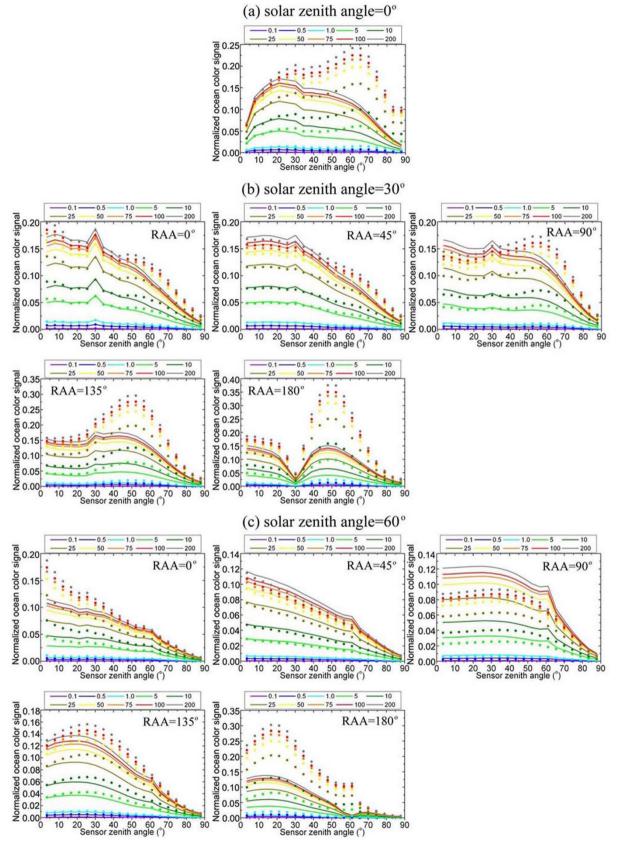


Figure 4 | Same as Fig. 3, but for different *Tsm* concentrations.

the PPR enabled the water-leaving radiance to be retrieved in the sun glint areas of the total radiance with solar zenith angles larger than 35° , which provides further evidence of the capacity of PPR to diminish sun glint contamination. Quantitative comparison of the

normalised water-leaving radiance retrieved by the total radiance and the PPR at all 12 observing angles combined revealed correlation coefficients of 0.92 and 0.97 with standard deviations of 0.32 and 0.09 for 443 nm and 670 nm, respectively, indicating the

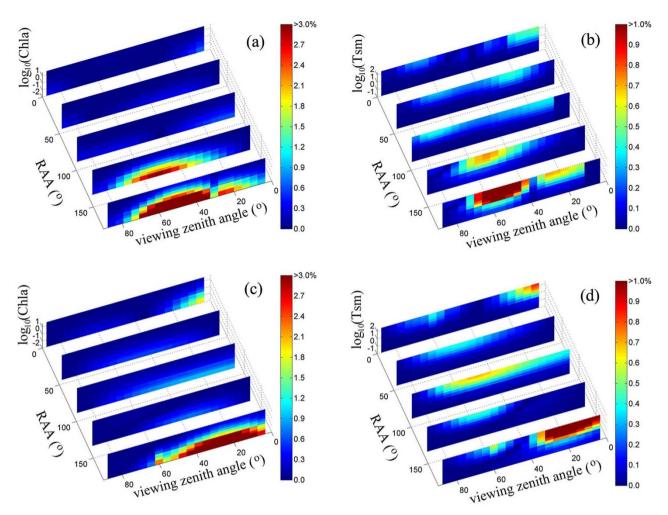


Figure 5 | The percentage of the contribution of Q_w to I_p at the TOA for different levels of *Chla* and *Tsm*. RAA is the relative azimuth angle between the solar incident and sensor viewing angles. (a) and (b) are the results for 30° solar zenith angle; (c) and (d) are the results for 60° solar zenith angle.

feasibility of using PPR for ocean colour remote sensing (Fig. 7; Supplement Figs. S16–S17 for the results for each observing angle).

Discussion

Our results from both the radiative transfer simulation and the polarimetric satellite data reveal that the use of PPR can significantly reduce the sun glint at moderate to high solar zenith angles. Although the total radiance in sun glint regions is generally one order greater than in non-glint regions, the linear polarisation component *Q* is also one order larger than in the non-glint regions but in negative value³². Therefore, the compensating effect between the total radiance and *Q* reduces the sun glint in the PPR.

Furthermore, the results from the radiative transfer simulations show that PPR can increase the overall ocean colour signal relative to the total radiance at moderate to high viewing zenith angles. The reason for this result is similar to that for the reduced sun glint using PPR. The total radiance at the TOA increases with the viewing zenith angle due to the increase in the atmospheric path length, while the water-leaving radiance transmitted to the TOA decreases due to the reduced atmosphere diffuse transmittance. Thus, the ocean colour signal generally decreases as the viewing zenith angle increases, as revealed in Figs. 3 and 4. Yet, for the PPR at the TOA, although the total radiance increases with the viewing zenith angle, Q also increases correspondingly but generally in negative value. As the negative value of Q slows the increase in the PPR as the viewing zenith angle increases, the PPR thus enhances the ocean colour signal in relation to the total radiance. Of course, the advantages demonstrated in this study are only part of the merits of polarimetric observations, as it is well known that polarimetric radiances are useful for the retrieval of aerosol optical properties^{18,19,33,34}, and can further improve the atmospheric correction of the ocean colour remote sensing²⁰.

In conclusion, we propose a new simple concept for ocean colour satellite remote sensing that uses parallel polarisation radiance (PPR) instead of the traditional radiation intensity. Since PPR is a scalar parameter which is the form used by the current ocean colour remote sensing based on the radiation intensity, it could be easily understood and exploited by the ocean colour community. Thus, our concept may open new doors for satellite ocean colour remote sensing and is potentially applicable to forthcoming satellite missions dedicated to measuring the polarimetric properties of radiation, such as the NASA "Preparatory Aerosols, Clouds and Ecosystems" (PACE) mission and the European Space Agency (ESA) "Multi-directional, Multi-polarisation and Multispectral" (3 MI) mission, which are scheduled for launch around 2018. However, the polarisation observations of these satellite missions are not designed for ocean colour remote sensing. Although PACE was driven by the ocean colour community, its polarimeter instrument was designed for the observation of the aerosols and clouds. The low signal-tonoise ratio and small number of the polarimetric bands of these sensors limit their application to polarimetric ocean colour remote sensing. Thus, we suggest that the next generation of ocean colour sensors should measure PPR to enhance their observational capability.

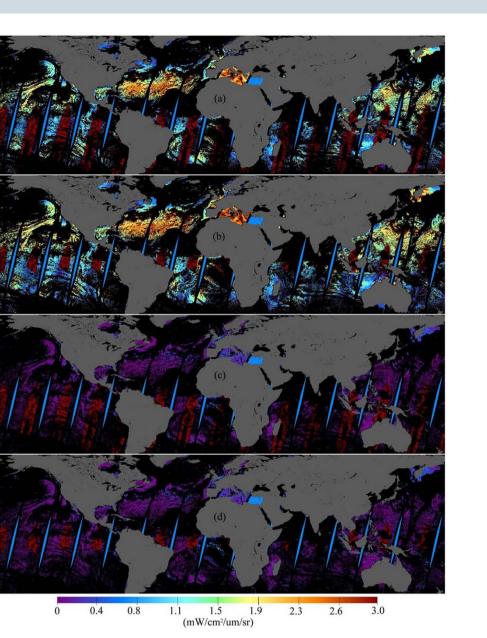


Figure 6 | Comparison of the normalised water-leaving radiance (Lwn) retrieved by the total radiance and the PPR from POLDER data on 10 July 2003 under the 12th observing angle. The red areas are the regions masked by sun glint. (a) Lwn at 443 nm retrieved by the total radiance, (b) Lwn at 443 nm retrieved by the PPR, (c) Lwn at 670 nm retrieved by the total radiance, (d) Lwn at 670 nm retrieved by the PPR. The maps were generated by the Microsoft Visual C++6.0 and Adobe Photoshop CS softwares.

Methods

We used the PCOART vector radiative transfer model to simulate the upward radiation Stokes vector at the TOA. PCOART uses the matrix-operator method to solve the vector radiative transfer in a coupled ocean-atmosphere system and has been validated by standard radiative transfer problems in the atmosphere and ocean, and by real polarimetric remote sensing data from POLDER²⁵.

Radiative transfer simulation for sun glint. Using PCOART, we simulated the upward radiation Stokes vector at the TOA in the specular plane using the following conditions:

- (1) The atmosphere-ocean system is divided into three layers. The upper layer comprises atmospheric molecules with optical thicknesses of 0.2361 (443 nm) and 0.0872 (670 nm). The middle layer is the maritime aerosol with 90% relative humidity, and an optical thickness of 0.2 for both 443 nm and 670 nm. The bottom layer is full absorption seawater with a flat surface. The refractive index of the seawater is 1.34 for both 443 nm and 670 nm²⁵.
- (2) The incident solar irradiances at the TOA are 196.98 mW/(cm²·μm) (433 nm) and 159.31 mW/(cm²·μm) (670 nm).
- (3) The solar zenith angle varies from 0° to 70° with a step of 1° .

By summing the simulated total radiance and the linear polarisation component *Q*, we get the PPR at the TOA in the specular plane for different solar and viewing zenith angles.

It needs to note that we use a two-layer atmosphere with all molecules placed on top of the aerosols in the simulation. Based on scalar Monte Carlo simulations, Antoine and Morel (1998)³⁵ found that the differences of total radiances at the TOA between 50-layer and two-layer atmospheres were below 0.5% on average, and they concluded that the total radiances at the TOA were safely computed by using a two-layer atmosphere for viewing zenith angle as great as 70° if the aerosols were not absorbing. Here, we further validated the rational of the two-layer atmosphere for the simulation of the polarisation radiances by simulating the Stokes vector at the TOA with twolayer atmosphere and mixed-layer atmosphere (aerosols mixed together with molecules), respectively (see the supplement Figs. S2–S3). Similar to the results of the total radiances at the TOA between these two atmospheres are quite small for viewing zenith angle less than 70°.

Radiative transfer simulation for the ocean colour signal. Using PCOART, we simulated the upward radiation Stokes vector at the TOA at solar zenith angles of 0° , 30° and 60° for pure atmosphere, pure seawater and seawater with different concentrations of *Chla* and *Tsm*, using the following steps:



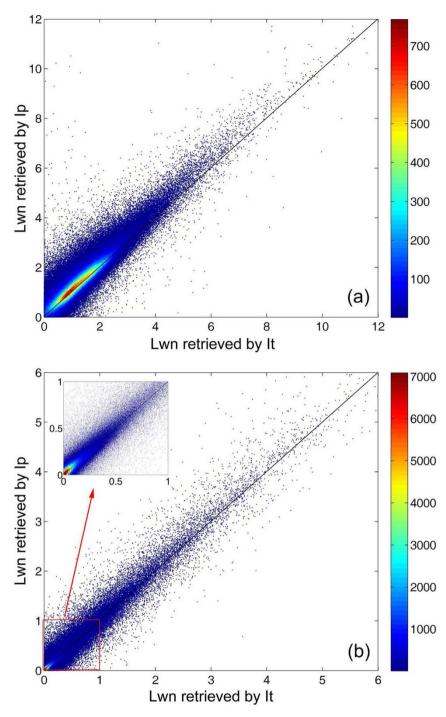


Figure 7 | Comparison of the normalised water-leaving radiance (unit of $mW/(cm^2 \cdot \mu m \cdot sr)$) retrieved by the total radiance and the PPR at the TOA from the 12 observing angles of the POLDER data on 10 July 2003. The colour bars show the point densities. (a) Comparison at the 443 nm band, (b) comparison at the 670 nm band.

- Simulate the upward radiation Stokes vectors at 443 nm and 670 nm for pure atmosphere. The atmosphere-ocean system has the same setup as that in the simulation of the sun glint.
- (2) Simulate the upward radiation Stokes vectors at 443 nm and 670 nm for pure seawater. Similar to the simulation of the sun glint, the atmosphere-ocean system is divided into three layers, with the bottom layer consisting of pure seawater with absorption and scattering coefficients taken from Smith and Baker (1981)³⁶.
- (3) Simulate the upward radiation Stokes vectors at 443 nm for different *Chla* concentrations. The atmosphere-ocean system has the same setup as the simulation of pure seawater, except the bottom layer is a mix of pure seawater and phytoplankton (character by *Chla*). The *Chla* concentrations are taken as 0.01, 0.05, 0.1, 0.5, 1, 2.5, 5.0, 7.5, 10.0 and 30 µg/l with homogeneous vertical distribution. The absorption and scattering coefficients

of the phytoplankton are determined by the *Chla* concentrations according to the bio-optical models in Morel (1991)³⁷ and Gordon and Morel (1983)³⁸, respectively. The scattering phase matrix of the phytoplankton (refractive index 1.05) is calculated by Mie theory with a Junge power law size distribution ranging from 0.1 to 50 μ m with a slope of -3.0° . The optical thickness of the water layer is taken as 1000 to eliminate the reflective effect of the seawater bottom.

(4) Simulate the upward radiation Stokes vectors at 670 nm for different *Tsm* concentrations. The setup of the atmosphere-ocean system is the same as for step (3), except the *Chla* is replaced by *Tsm*. The *Tsm* concentrations are taken as 0.1, 0.5, 1, 5, 10, 25, 50, 75, 100 and 200 mg/l with homogeneous vertical distribution. The absorption and scattering coefficients of the particles are determined by the *Tsm* concentration according to the bio-optical model adopted from Bowers et al. (1998)³⁹. The scattering phase matrix of the

Tsm (refractive index 1.2) is calculated by Mie theory with a Junge power law size distribution ranging from 0.1 to 50 μ m with a slope of -3.0° .

We selected the 443 nm and 670 nm bands because 443 nm is highly sensitive to *Chla* and 670 nm is highly sensitive to *Tsm*⁹. Based on the simulated upward radiation Stokes vector, we finally get the PPR at the TOA for different concentrations of *Chla* and *Tsm*.

- Hovis, W. A. *et al.* NIMBUS-7 coastal zone color scanner-system description and initial imagery. *Science* 210, 60–63 (1980).
- Platt, T. & Sathyendranath, S. Oceanic primary production: estimation by remote sensing at local and regional scales. *Science* 241, 1613–1620 (1988).
- 3. Morel, A. & Antoine, D. Small critters big effects. Science 296, 1980–1982 (2002).
- Gregg, W. W., Conkright, M. E., Ginoux, P., O'Reilly, J. E. & Casey, N. W. Ocean primary production and climate: global decadal changes. *Geophys. Res. Lett.* 30, 1809, doi: 10.1029/2003GL016889 (2003).
- Behrenfeld, M. J. et al. Controls on tropical Pacific Ocean productivity revealed through nutrient stress diagnostics. Nature 442, 1025–1028 (2006).
- Martinez, E., Antoine, D., D'Ortenzio, F. & Gentili, B. Climate-driven basin-scale decadal oscillations of oceanic phytoplankton. *Science* 326, 1253–1256 (2009).
- IOCCG. Remote Sensing of Ocean Colour in Coastal and Other Optically-Complex Waters. Sathyendranath, S. (ed.), Report of the International Ocean-Colour Coordinating Group, No.3, IOCCG, Dartmouth, Canada (2000).
- Waterman, T. H. Polarization patterns in submarine illumination. Science 120, 927–932 (1954).
- Chami, M., Santer, R. & Dilligeard, E. Radiative transfer model for the computation of radiance and polarization in an ocean-atmosphere system: polarization properties of suspended matter for remote sensing. *Appl. Opt.* 40, 2398–2416 (2001).
- Chami, M. & McKee, D. Determination of biogeochemical properties of marine particles using above water measurements of the degree of polarization at the Brewster angle. *Opt. Express* 15, 9494–9509 (2007).
- 11. Chami, M. & Platel, M. D. Sensitivity of the retrieval of the inherent optical properties of marine particles in coastal waters to the directional variations and the polarization of the reflectance. *J. Geophys. Res.* **112**, C05037 (2007).
- 12. Ibrahim, A. *et al*. The relationship between upwelling underwater polarization and attenuation/absorption ratio. *Opt. Express* **23**, 25662–25680 (2012).
- Gilerson, A. *et al.* Retrieval of chlorophyll fluorescences from reflectance spectra through polarization discrimination: modeling and experiments. *Appl. Opt.* 45, 5568–5581 (2006).
- Chami, M. Importance of the polarization in the retrieval of oceanic constituents from the remote sensing reflectance. J. Geophys. Res. 112, C05026 (2007).
- Tonizzo, A. *et al.* Estimating particle composition and size distribution from polarized water-leaving radiance. *Appl. Opt.* 50, 5047–5058 (2011).
- Loisel, H., Duforet, L., Dessailly, D., Chami, M. & Dubuisson, P. Investigation of the variations in the water leaving polarized reflectance from the POLDER satellite data over two biogeochemical contrasted oceanic areas. *Opt. Express* 16, 12905–12918 (2008).
- Chowdhary, J. *et al.* Sensitivity of multiangle, multispectral polarimetric remote sensing over open oceans to water-leaving radiance: analyses of RSP data acquired during the MILAGRO campaign. *Remote Sens. Environ.* **118**, 284–308 (2012).
- Chowdhary, J., Cairns, B., Mishchenko, M. I. & Travis, L. D. Retrieval of aerosol properties over the ocean using multispectral and multiangle photopolarimetric measurements from the Research Scanning Polarimeter. *Geophys. Res. Lett.* 28, 243–246 (2001).
- Chowdhary, J., Cairns, B. & Travis, L. D. Case studies of aerosol retrievals over the ocean from multiangle, multispectral photopolarimetric remote sensing data. *J. Atmos. Sci.* 59, 383–397 (2002).
- Harmel, T. & Chami, M. Influence of polarimetric satellite data measured in the visible region on aerosol detection and on the performance of atmospheric correction procedure over open ocean waters. *Opt. Express* 19, 20960–20983 (2011).
- Bréon, F. M. & Goloub, P. Cloud droplet effective radius from spaceborne polarization measurements. *Geophys. Res. Lett.* 25, 1879–1882 (1998).
- 22. Hulst, H. C. Multiple Light Scattering: Tables, Formulas and Applications. (Academic Press, 1980).
- Steinmetz, F., Deschamps, P. Y. & Ramon, D. Atmospheric correction in presence of sun glint: application to MERIS. *Opt. Express* 19, 9783–9800 (2011).
- Wang, M. & Bailey, S. W. Correction of the sun glint contamination on the SeaWiFS ocean and atmosphere products. *Appl. Opt.* 40, 4790–4798 (2001).
 Ho, X. O., Bai, Y., Zhu, O. K. & Cong, F. A. Juctor radiative transfer model of
- He, X. Q., Bai, Y., Zhu, Q. K. & Gong, F. A vector radiative transfer model of coupled ocean-atmosphere system using matrix-operator method for rough seasurface. J. Quant. Spectrosc. Radiat. Transfer 111, 1426–1448 (2010).

- He, X. Q. *et al.* Using geostationary satellite ocean color data to map the diurnal dynamics of suspended particulate matter in coastal waters. *Remote Sens. Environ.* 133, 225–239 (2013).
- Antoine, D. & Morel, A. A multiple scattering algorithm for atmospheric correction of remotely sensed ocean colour (MERIS instrument): principle and implementation for atmospheres carrying various aerosols including absorbing ones. *Int. J. Remote Sensing* 20, 1875–1916 (1999).
- Gordon, H. R. & Wang, M. Retrieval of water-leaving radiance and aerosol optical thickness over the oceans with SeaWiFS: a preliminary algorithm. *Appl. Opt.* 33, 443–452 (1994).
- Harmel, T. & Chami, M. Determination of sea surface wind speed using the polarimetric and multidirectional properties of satellite measurements in visible bands. *Geophys. Res. Lett.* 39, L19611, doi:10.1029/2012GL053508 (2012).
- 30. Harmel, T. & Chami, M. Invariance of polarized reflectance measured at the top of atmosphere by PARASOL satellite instrument in the visible range with marine constituents in open ocean waters. *Opt. Express* 16, 6064–6080 (2008).
- 31. He, X. Q., Bai, Y., Pan, D. L., Tang, J. W. & Wang, D. F. Atmospheric correction of satellite ocean color imagery using the ultraviolet wavelength for highly turbid waters. *Opt. Express* **20**, 20754–20770 (2011).
- 32. Harmel, T. & Chami, M. Estimation of the sunglint radiance field from optical satellite imagery over open ocean: multidirectional approach and polarization aspects. J. Geophys. Res. 118, doi:10.1029/2012JC008221 (2013).
- Mishchenko, M. I. & Travis, L. D. Satellite retrieval of aerosol properties over the ocean using polarization as well as intensity of reflected sunlight. *J. Geophys. Res.* 102, 16989–17013 (1997).
- 34. Hasekamp, O. P. & Landgraf, J. Retrieval of aerosol properties over the ocean from multispectral single-viewing-angle measurements of intensity and polarization: Retrieval approach, information content, and sensitivity study. *J. Geophys. Res.* 110, doi:10.1029/2005JD006212 (2005).
- 35. Antoine, D. & Morel, A. Relative importance of multiple scattering by air molecules and aerosols in forming the atmospheric path radiance in the visible and near-infrared parts of the spectrum. *Appl. Opt.* 37, 2245–2259 (1998).
- Smith, R. C. & Baker, K. Optical properties of the clearest natural waters. *Appl. Opt.* 20, 177–184 (1981).
- 37. Morel, A. Light and marine photosynthesis: a spectral model with geochemical and climatological implications. *Prog. Oceanog.* **26**, 263–306 (1991).
- Gordon, H. R. & Morel, A. Remote Assessment of Ocean Color for Interpretation of Satellite Visible Imagery, a Review. Lecture Notes on Coastal and Estuarine Studies, Volume 4, (Springer Verlag, 1983).
- Bowers, D. G., Boudjelas, S. & Harker, G. E. L. The distribution of fine suspended sediments in the surface waters of the Irish Sea and its relation to tidal stirring. *Int. J. Remote Sensing* 19, 2789–2805 (1998).

Acknowledgments

This study was supported by the National Basic Research Programme ("973" Programme) of China (grant #2009CB421202), the Public Science and Technology Research Funds Projects for Ocean Research (grant #200905012), the National Natural Science Foundation of China (grants #41322039, #41271378 and #40976110), the National Key Technology Support Program of China (grant #2013BAD13B01 and #2012BAH32B01), and the "Global Change and Air-Sea Interaction" project of China. We thank the French space agency Centre National d'Etudes Spatiales (CNES) for providing the POLDER data.

Author contributions

X.H. performed the radiative transfer simulations, designed the algorithm, and wrote the manuscript. D.P. designed the algorithm. Y.B. analyzed the data, and wrote the manuscript. D.W. processed the satellite data. Z.H. analyzed the data.

Additional information

Supplementary information accompanies this paper at http://www.nature.com/ scientificreports

Competing financial interests: The authors declare no competing financial interests.

How to cite this article: He, X.Q., Pan, D.L., Bai, Y., Wang, D.F. & Hao, Z.Z. A new simple concept for ocean colour remote sensing using parallel polarisation radiance. *Sci. Rep.* 4, 3748; DOI:10.1038/srep03748 (2014).

