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# Co<sub>3</sub>O<sub>4</sub> nanocages with highly exposed {110} facets for high-performance lithium storage

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Functional materials with both exposed highly reactive planes and hollow structures have attracted considerable attentions with respect to improved catalytic activity and enhanced electrochemical energy storage. Herein, we report the synthesis of unusual single-crystal  $Co_3O_4$  nanocages with highly exposed {110} reactive facets via a one-step solution method. When tested as anode materials in lithium-ion batteries, these  $Co_3O_4$  nanocages deliver a high reversible lithium storage capacity of 864 mAh g<sup>-1</sup> at 0.2C over 50 cycles and exhibit an excellent rate capability. The dominantly exposed {110} planes, a high density of atomic steps in nanocages, and the large void interiors lead to the regarded superior electrochemical performance.

Ver the past few years, design and synthesis of nanostructures with exposed highly reactive crystal planes have great potentials for many applications such as new catalysts, and electrochemical energy storage<sup>1-5</sup>. Among them,  $Co_3O_4$  nanocrystals with highly reactive facets have been paid more and more attentions due to their many promising properties. For example, a breakthrough has been made through the synthesis of  $Co_3O_4$  nanorods with 41% high energy {110} exposed facets. The materials showed surprisingly high catalytic activity for CO oxidation at temperatures as low as  $-77^{\circ}C^6$ . In addition, Li and coworkers<sup>7</sup> systematically studied the relationship between crystal plane structures of  $Co_3O_4$  and their Li ion storage performance, and observed that  $Co_3O_4$  nanooctahedrons with {111} planes showed better cyclic and rate properties than nanocubes with {001} planes. It was also reported that a high capacity of 380 mAhg<sup>-1</sup> at a high current density of 1000 mAg<sup>-1</sup> can be delivered by a  $Co_3O_4$  nanomesh with exposed {112} high energy facets<sup>8</sup>. In principle, (110) facet for  $Co_3O_4$ nanocrystals is desirable for lithium-ion batteries (LIBs) when compared with (001) and (111) planes, because the former not only contains 2  $Co^{3+}$  in one unit cell, but also more  $Co^{2+}$  ions (2.5  $Co^{2+}$ ) than the latter two planes (1.875  $Co^{2+}$  for (111) and 1  $Co^{2+}$  for (001)). However, highly reactive {110} facets for  $Co_3O_4$  nanocrystals usually have high surface energy, and thus are hard to be prepared in the equilibrium state or via the traditional methods.

Notably, hollow nanostructures have also been actively explored in recent years due to their unique properties and applications specially related to anode materials in LIBs<sup>9-13</sup>. For instance, a hollow structured  $Co_3O_4$  phase has high theoretical capacity (890 mAhg<sup>-1</sup>), three times larger than the theoretical capacity of currently used graphite ( $<372 \text{ mAhg}^{-1}$ ); and hollow interior can effectively buffer the large volume changes during Li insertiondeinsertion, enables enhanced lithium storage performances<sup>14-16</sup>. In realistic state if  $Co_3O_4$  materials not only have the exposed {110} high energy facets but also hollow features, their electrochemical performance is believed to be greatly improved. Such ideal architecture can simultaneously provide: (1) more active Co atoms on the surface and an improved ion diffusion rate within  $Co_3O_4$  resulting from {110} plane effect, (2) structural stability due to hollow shell. However, this unique architectures for  $Co_3O_4$  have not been achieved, because it requires that the shape of as-made  $Co_3O_4$  must not be the traditional polycrystalline hollow sphere but unusual single-crystal polyhedral nanocage.

Herein, we developed a new one-pot strategy to synthesize  $Co_3O_4$  unusual single-crystal nanocages with dominantly exposed {110} facets. Surprisingly a high density of atomic steps is found to be produced at the edges of these nanocages. To the best of our knowledge, it is the first report on fabrication of this kind of  $Co_3O_4$ nanocages. When used as anode materials in LIBs, as-made nanocages exhibit excellent cycling performance and rate capabilities. They deliver a high reversible lithium storage capacity of 864 mAh g<sup>-1</sup> at 0.2C over 50 cycles, near to theoretical capacity of  $Co_3O_4$  (890 mAh g<sup>-1</sup>). The enhancement of electrochemical performance can be



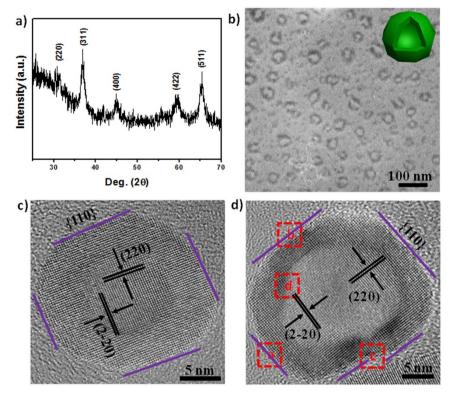


Figure 1 | Phase and Morphology analysis of  $Co_3O_4$  hollow nanocages. (a) XRD pattern of single-crystal  $Co_3O_4$  hollow nanocages (CoNs), (b) Lowmagnification TEM image of CoNs. Inset showing the schematic drawing of a polyhedral nanocage. (c–d) HRTEM images of a single CoNs, the purple lines denote the exposed {110} facets.

attributed to the highly exposed {110} planes, a high density of atomic steps on surfaces, and the large void interiors.

#### Results

Characterization of Co<sub>3</sub>O<sub>4</sub> nanocages with highly exposed {110} facets. The products were obtained after heat treatment of a mixed solution containing the cobalt glycolate (Fig. S1-S3, Supporting Information)<sup>14</sup> and H<sub>2</sub>O<sub>2</sub> accompanied by a constant stirring. Xray powder diffraction (XRD) patterns (Fig. 1a) demonstrate that the samples have identical peaks, which can be perfectly indexed to a pure Co<sub>3</sub>O<sub>4</sub> (JCPDS card no. 42-1467)<sup>14</sup>. The broader peak implies the small size of the obtained products. Figure 1b shows a general morphology of Co<sub>3</sub>O<sub>4</sub> products, in which the obvious contrast between the dark edge and pale center indicates their hollow nature. The size of resulting hollow particles is relatively uniform (~25 nm). High-resolution TEM (HRTEM) images of an individual product provide further insights into the structures and morphologies. As shown in Figures 1c-d, some relatively regular/ flat edges are found in these capsules, that indicates that the shape of samples is not spherical, but unusual polyhedral. Although it is difficult to figure out the exact polyhedral morphology for each particle due to the plasticity and randomness of bubble soft templates used in this work, here we arbitrarily depict a schematic drawing of these unusual polyhedral nanocages (inset Fig. 1b). In addition, the hollow nanocages (CoNs) exhibit single-crystalline nature. As observed from Figures 1c-d, two sets of square crossing 2.8 Å fringes agree well with the  $\{220\}$  lattice spacing of fcc Co<sub>3</sub>O<sub>4</sub><sup>1</sup> and its thickness is  $\sim$ 2–5 nm. From the edges of nanocages shown in Figures 1c–d, one can see that there are four sets of spacious {110} plane terraces. This suggests that {110} is the primarily exposed plane.

Another important feature of CoNs is that there are many zig-zag interfaces in both exteriors and interiors of nanocages (Fig. 1c-d, Fig. 2). It demonstrates that high energy facets may be present on

their surfaces, and further suggests that the resulting products are indeed with unusual polyhedral architecture. It is because the unusual polyhedral structure can provide more freedom to produce kink interfaces (or atomic steps) than spherical or regular polyhedral ones. As revealed in Figure 2, surfaces of CoNs are terminated by a high density of atomic steps. Fig. 2a–c shows the exterior surfaces of the shell, in which a very high density of atomic steps and kinks for

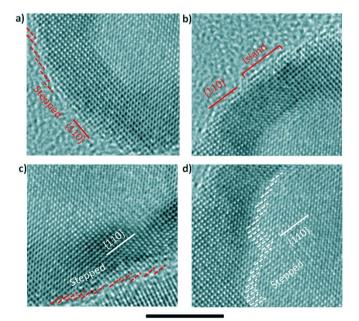


Figure 2 | Structure analysis of the edges of  $Co_3O_4$  hollow nanocages. HRTEM images taken from areas labeled with squares in Figure 1d: (a–c) The outer shell of CoNs, (d) The inner shell of CoNs. Scale bar: 5 nm.

both convex and concave curvatures is frequently observed. For example, only a small piece of the surface in site a taken from Figure 1d, shown in Figure 2a, is composed of 7 terraces separated by atomic steps. Even in some flat surfaces, monoatomic-layer islands can always be found. For example, as observed in Figure 2b, a 3 nm island locates on a flat (110) terrace, which is probably stabilized by the out-of-plane convex curvature of the CoNs. In addition, the curved surfaces with a high density of atomic steps and kinks can also be seen from the concave curvatures, as depicted in Figure 2c. Note that the general feature of the (110)terminated facets is that the non-ordered terraces are always seen (Fig. 2a-c). This may be consistent with their higher surface free energies, when compared with (111) plane ( $\gamma_{(110)} = 2.561 \text{ Jm}^{-2}$ ,  $\gamma_{(111)} = 0.974 \text{ Jm}^{-2}$ )<sup>18,19</sup>. We also investigate the interior region of the hollow nanocages. As illustrated in Figure 2d, their internal surfaces involve a complex arrangement of {110} surfaces and a significant number of kinks within the surface steps. Generally, the presence of a high density of atomic steps has been predicted to be one of the important origins of the high catalytic activity of small nano-catalysts<sup>20-22</sup>. Similarly, this feature may facilitate the enhancement of the electrochemical performance of Co<sub>3</sub>O<sub>4</sub>.

The possible formation mechanism. Formation process of the CoNs products was further investigated at different reaction stages. After the initial reaction time (10 min), dot-like  $Co_3O_4$  nanoparticles (Fig. 3a, Fig. S4, Supporting Information) in the size range of  $\sim 3-6$  nm were produced via a heat-driven decomposition of the cobalt glycolate compound<sup>14</sup>. When the reaction time increased upto 30 min, several as-made nanoparticles would aggregate together. As observed in Figure 3b, five nanocrystals are recognized to

gather together, because the shadow (size and shape) of the original particles, as well as their crystalline orientation, is visible. Note that as-prepared product at this stage showed a curled morphology and holes in it. Finally, these assemblies transformed into singlecrystalline nanocages after continuous heating for 2–5 h. As mentioned previously, the resulting products showed four remarkable structural characteristics: highly exposed {110} planes, hollow interior, unusual polyhedral shape, and single-crystal.

Our results strongly support the idea that both the assembly of ethylene glycol (EG, from precursors, Fig. S3, Supporting Information) on the {100} surfaces and the presence of bubble templates play the important roles in the formation of the present structures, as illustrated in Figure 3c. Firstly, similar to the reported assemblies with cubic structures<sup>23,24</sup>, EG molecules as the complexing agents may densely cover the surface of the {100} nuclei and thus  $\sim$  3-6 nm nanoparticles are obtained. Packing EG on the {100} planes may be partly proofed by the fact that the nanocrystal fusion or growth towards <110> not <100> axes. As revealed in inset HRTEM image in Figure 3a, an angle of 135° can be identified, which indicates the presence of {110} surface planes. A reasonable model for such a small crystallite is depicted in the inset of Figure 3a. In consequence it leads to the exposed highly reactive {110} surfaces for nanoparticles and also for final nanocages. On the other hand, O<sub>2</sub>containing bubble templates released from H<sub>2</sub>O<sub>2</sub> according to the reaction shown in Figure 3c, may also take effect. The bubble-like soft templates can partly be evidenced by formation of many cracked shells in final products (Fig. 1b, Fig. S5, see Supporting Information). As a result, in order to further minimize the whole system energy, several nanocrystals gather together on the surface of bubbles and thus the curled frameworks form (Fig. 3b), and these structures

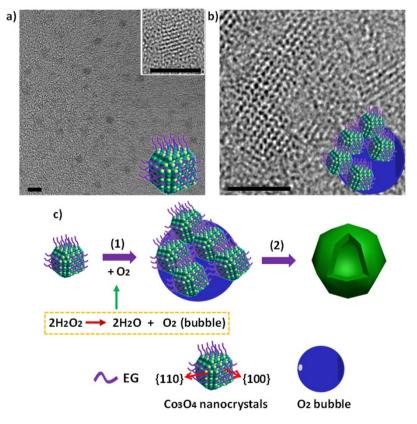


Figure 3 | Formation process of  $Co_3O_4$  nanocages. (a) TEM image of ultra-small  $Co_3O_4$  nanoparticles at early stages of the reaction (10 min). The inset shows a single nanoparticle and model structure of a truncated cuboctahedral shape. (b) HRTEM image of a product composed of nanoparticles at the following reaction stage (30 min). The inset shows schematic illustration of arrangement of several nanoparticles on the bubble surface. Note that some holes are observed. (c) Schematic illustration of the formation process of a single-crystalline hollow nanocage from small  $Co_3O_4$  nanoclusters. The inset depicts the reaction forming  $O_2$ -containing bubbles. The scale bar: 5 nm.

seem to be unstable and then undergo surface reconstruction, resulting in single-crystalline hollow nanocages (Fig. 1c). It is worth noting that the average thickness of as-made CoNs is thinner than the original building blocks by up to 10-30%. That is because the diffusion and accommodation of the atoms under favorable thermodynamic conditions will occur in the rebuilding process. It also demonstrates that only few-layered (e.g. monolayer) building blocks can be placed on the surface of bubbles, which may be related to the strains within them. As for the formation of unusual polyhedral morphology, it may be associated with the flexibility of bubble soft template and aggregation modes of nanocrystals. In brief, unusual polyhedral nanocages with dominantly exposed {110} planes and high concentration of atomic steps are successfully synthesized via a feasible method. In contrast, only solid aggregates consisting of nanocrystals (Fig. S6, Supporting Information) formed in the absence of H<sub>2</sub>O<sub>2</sub>. From this point of view, hollowing is not only driven by minimizing high-energy surfaces, but also dependent on the soft templates.

Electrochemical properties of  $Co_3O_4$  nanocages for lithium storage. The electrochemical performance of the samples was evaluated by using the standard half-cell. Figure 4a shows the 1<sup>st</sup>, 2<sup>nd</sup>, 10<sup>th</sup>, 30<sup>th</sup>, and 50<sup>th</sup> cycle discharge-charge voltage profiles for CoNs at a current density of 0.2C. The curves are characteristic of a  $Co_3O_4$ electrochemical pathway. The first discharge capacity is about 1116 mAhg<sup>-1</sup>, which is larger than the theoretical values (890 mAhg<sup>-1</sup>). This is usually attributed to the formation of a solid electrolyte interphase (SEI) layer<sup>16</sup>. A relatively low irreversible capacity loss (25%) occurs for the first charging curve at 3.0 V due to the transformation of  $\text{Co}_3\text{O}_4$  into CoO. Note that after the 2<sup>nd</sup> cycle there is no obvious performance degradation. The cycling performance together with the Coulombic efficiency of the sample is depicted in Figure 4b. As-prepared CoNs exhibit excellent cyclic capacity retention with a stable capacity. Even after 50 chargedischarge cycles, a reversible capacity as high as 864 mAhg<sup>-1</sup> can still be retained. This value can be comparative to the theoretical values (890 mAhg<sup>-1</sup>) and is ~2.3 times larger than the theoretical capacity of graphite. In addition, our samples also show much improved rate capabilities, as shown in Figure 4c. CoNs maintain high capacity of ~700 mAhg<sup>-1</sup> when the current density was increased to 2C. Even at a much larger current density of 5C, our products still show a considerable reversible capacity of 261 mAhg<sup>-1</sup>. It further indicates that the present nanocages are beneficial for the improvement of Co<sub>3</sub>O<sub>4</sub> anode materials.

#### Discussion

The excellent electrochemical performance of CoNs is believed to originate from their unique structural features. Firstly, the crystal plane effect plays the important role, similar to the case of mesoporous NiO nanosheets with highly exposed (110) planes<sup>25</sup>. In our case, (110) planes are also dominantly exposed, which will result in more cobalt atoms on the surfaces of  $Co_3O_4$  crystals when compared with (111) and (001) facets. As illustrated in Figure 5a, 2.5  $Co^{2+}$  and 2  $Co^{3+}$  belong to (110) facets, while only 1.875  $Co^{2+}$  (Fig. 5b) and 1  $Co^{2+}$  (Fig. 5c) are present in (111) and (001) facets, respectively. Furthermore, the analysis of  $Co_3O_4$  surface electronic states<sup>18</sup> based on the first principle calculations testifies that (110) surface terminations enable partial metallization of  $Co_3O_4$  crystals. It will

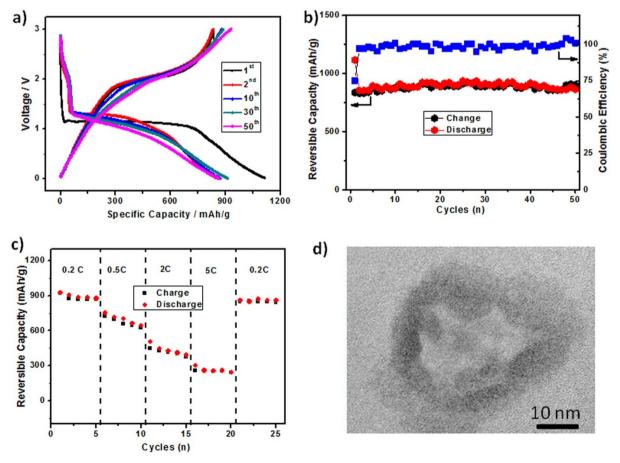


Figure 4 | Electrochemical characterizations and Shape changes during the charge/discharge process. (a) Discharge-charge voltage profiles of CoNs at a current density of 0.2 C. (b) Cycling performance and Coulombic efficiency of CoNs at a current density of 0.2 C. (c) Rate performance of CoNs at different current density, (d) TEM image of CoNs electrodes taken from fully discharged states after 50 cycles.



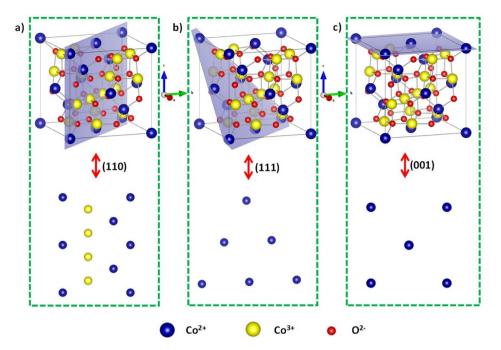


Figure 5 | Theoretical models of the different planes of  $Co_3O_4$ . The 3 D and 2 D surface atomic configurations in (a) the (110) plane, (b) the(111) plane, and (c) the (001) plane of  $Co_3O_4$ .

lead to enhanced conductivity of Co<sub>3</sub>O<sub>4</sub>, which is beneficial for the improvement of the electronic transport properties. Secondly, similar to researches on hollow structured anodes, the void space in nanocages can effectively buffer the volume changes during lithium insertion-release9,13, hence alleviating the problem of pulverization of the electrode materials and improving the cycling performance. TEM characterization of these samples at the fully lithiated states after 50 cycles nicely supports this hypothesis. As shown in Figure 4d, CoNs nanocages still maintain the shape integrity after 50 cycles and their hollow structure is also preserved. It reveals excellent structural stability of CoNs. In addition, similar to the high catalytic activity of nano-catalysts with stepped surfaces<sup>20</sup>, the presence of a high density of atomic steps and kinks in the facets may catalytically facilitate the reaction of Li with Co<sub>3</sub>O<sub>4</sub>. Moreover, the ultrathin nanoshells (2-5 nm) in CoNs not only render a very short transport length for lithium ions during insertion/extraction, but also bring a high surface area, favoring a high rate performance<sup>26-36</sup>. The above synergetic effects peculiar to nanocages are responsible for the excellent electrochemical performance of the whole electrode.

In summary,  $Co_3O_4$  nanocages with highly exposed {110} facets were successfully fabricated via a one-step solution method. Both densely packed EG on the {100} surfaces and bubble templates play the key roles in the formation process. A good cycle performance and much improved rate capability for  $Co_3O_4$  nanocages in LIBs were achieved because of the regarded unique structures: highly exposed {110} planes, high concentration of the stepped surface atoms on surfaces, and the large void interiors.

#### Methods

Synthesis of Co<sub>3</sub>O<sub>4</sub> nanocages with exposed {110} planes. The cobalt precursors were synthesized by a polyol process in hydrothermal conditions. 1 g of Co(AC)<sub>2</sub>·4H<sub>2</sub>O was loaded into a 100 mL stainless steel autoclave, which was then filled with 80 mL ethylene glycol. The autoclave was sealed and maintained at 185°C for 24 h, and then cooled down to room temperature. The precursors were centrifuged, rinsed with distilled water and ethanol several times to remove any impurities. 500 mg of as-prepared precursors were dispersed into a 10 mM H<sub>2</sub>O<sub>2</sub> solution (200 ml), and then heated at 80–100°C for 2–5 h. The precursor were centrifuged, rinsed with distilled water and ethanol several times to remove any impurities.

**Characterization.** The sizes and morphologies of the as-obtained samples were characterized by a field emission scanning electron microscope (FESEM, JSM-4300, JSM-4800, JEOL, Japan), a transmission electron microscope (TEM, JSM-1011, JEOL, Japan), and a high-resolution transmission electron microscope (HRTEM, JSM-3000F, JEOL, Japan) operating at 300 kV. Phases were identified in an X-ray diffractometer (XRD, X-Pert, PANalytic, Netherlands) with Cu K $\alpha$  radiation (40 kV, 30 mA). The unit cell lattice parameters were obtained by the least-squares fitting method ( $2\theta$  range,  $10-70^\circ$ ) of the d-spacings and the *h k l* values. FT-IR spectra were taken on a FTS-45RD Bio-Rad infrared spectrophotometer.

**Electrochemical test**. For the test of products, the working electrode was prepared by pressing the slurry of 80% sample powders, 10% acetylene black, and 10% PVDF binder (by weight) onto the copper current collector. The electrolyte consisted of a solution of 1 M LiClO<sub>4</sub> in ethylene carbonate (EC)/dimethyl carbonate (DMC)/ diethyl carbonate (DEC) (1:1:1, in wt%) obtained from Ferro Corp. A galvanostatic cycling test of the assembled cells was carried out on an charge-discharge unit (Hokuto Denko Co., Ltd.).

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#### **Author contributions**

D. Liu, X. Wang performed experiments and testing. X. Wang, D. Liu and D. Golberg designed the experiments, discussed the results and co-wrote the manuscript. All authors discussed the results and commented on the manuscript.

#### Additional information

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