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# Between Scylla and Charybdis: Hydrophobic Graphene-Guided Water Diffusion on Hydrophilic Substrates

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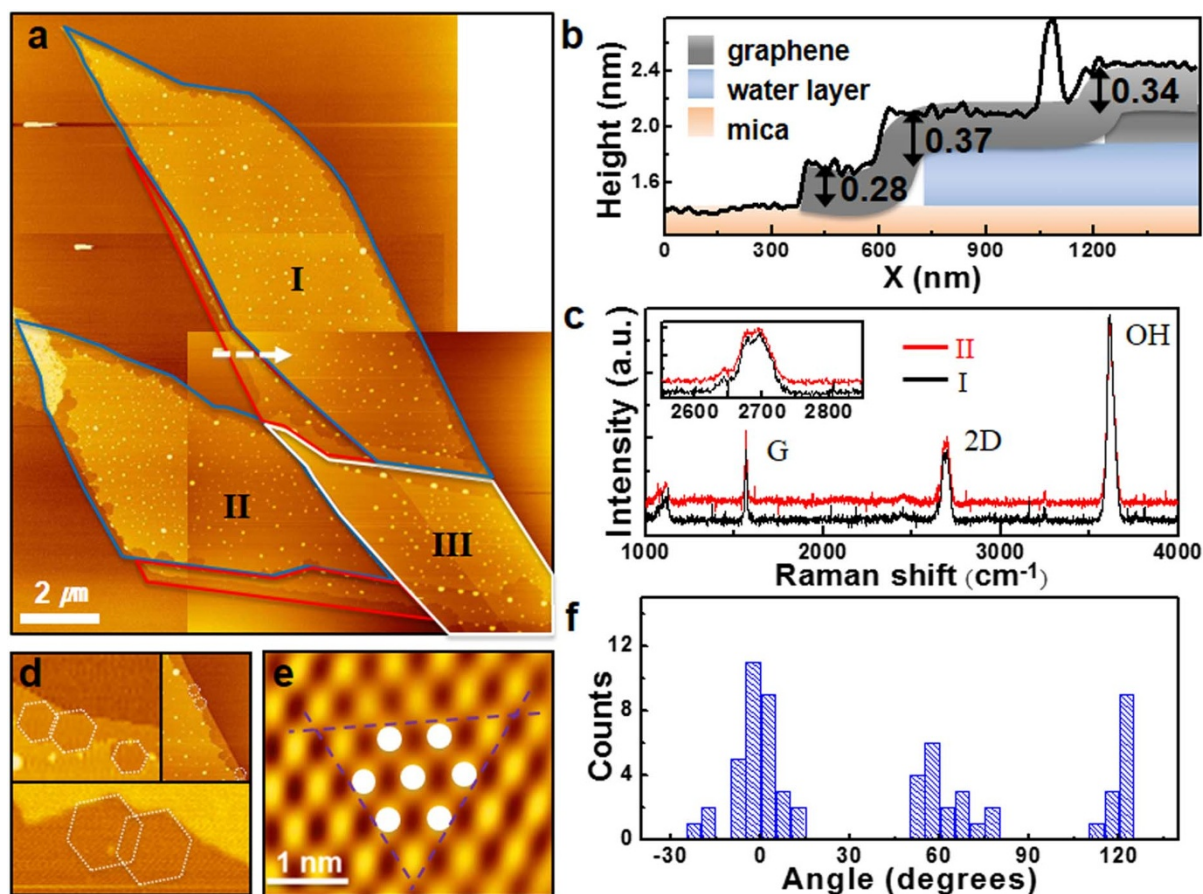
**The structure of water confined in nanometer-sized cavities is important because, at this scale, a large fraction of hydrogen bonds can be perturbed by interaction with the confining walls. Unusual fluidity properties can thus be expected in the narrow pores, leading to new phenomena like the enhanced fluidity reported in carbon nanotubes. Crystalline mica and amorphous silicon dioxide are hydrophilic substrates that strongly adsorb water. Graphene, on the other hand, interacts weakly with water. This presents the question as to what determines the structure and diffusivity of water when intercalated between hydrophilic substrates and hydrophobic graphene. Using atomic force microscopy, we have found that while the hydrophilic substrates determine the structure of water near its surface, graphene guides its diffusion, favouring growth of intercalated water domains along the C-C bond zigzag direction. Molecular dynamics and density functional calculations are provided to help understand the highly anisotropic water stripe patterns observed.**

Confined water attracts significant interest because of its ubiquity in common phenomena, such as fluid flow and lubrication<sup>1–4</sup>. In spite of this, a molecular-level understanding of water structure and dynamics near the confining boundaries is lacking<sup>5,6</sup> and yet it is fundamental to understand the biological functionality of proteins and membranes, the wettability of surfaces, and boundary slippage conditions, which are of particular interest today in the emerging area of nanofluidic science. Because the water structure is likely to be perturbed significantly near interfaces, new properties can be expected in extreme confinement. It has been reported, for example, that water exhibits exceptional diffusion properties inside hydrophobic carbon nanotubes (CNT) via fast ballistic motion<sup>7</sup> and unexpected phases, depending on the diameter of the CNT<sup>8</sup>. An active discussion is ongoing about these observations, with conflicting reports as different techniques and calculation methods are used<sup>9–12</sup>.

Here, we report the results of our study on the intercalation and diffusion of water between graphene and hydrophilic substrates using atomic force microscopy (AFM), a technique that provides information about the crystallographic orientation of the confining surfaces. These materials, at opposite ends of interaction strength, one hydrophobic and the other hydrophilic, provide a unique laboratory to study the structure of confined water, its intercalation, and diffusion<sup>13–16</sup>. As we will show, the opposite affinities of these two surfaces for water give rise to competition between the tendency to order induced by the mica and diffusion along the slippery directions induced by graphene.

## Results

Figure 1(a) shows an AFM topographic image of graphene flakes deposited on hydrophilic and single-crystalline mica (GM). In this image, monolayer, bi-layer, and few-layer graphene flakes can be observed, with boundaries



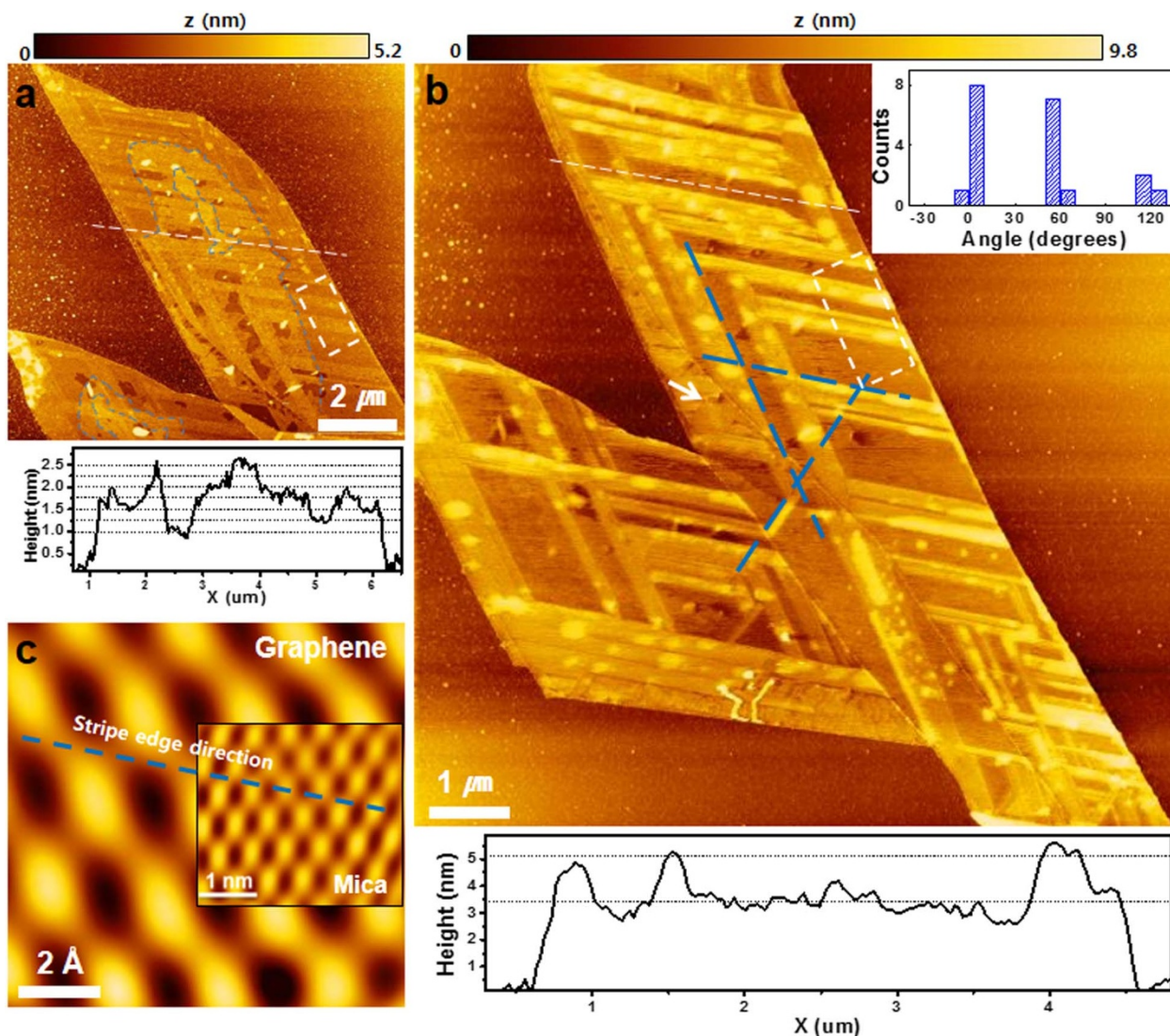
**Figure 1 | Water intercalated between graphene and mica.** (a) AFM topographic image of graphene flakes deposited on mica at 30–40% RH using the mechanical exfoliation method. Red, blue, and white lines have been drawn around the flake contours to indicate monolayer, bilayer, and few-layer graphene, respectively. (b) Height profile obtained along the dashed white arrow in (a). The first ( $0.28 \pm 0.06$  nm), second ( $0.37 \pm 0.04$  nm), and third ( $0.34 \pm 0.04$  nm) steps correspond to the thicknesses of the monolayer graphene directly on the mica substrate, the ice monolayer intercalated between the mica and the monolayer graphene, and the second graphene layer, respectively. Notice that the confined water film boundaries have receded from those of the graphene flake. (c) Spatially-resolved Raman spectra of the graphene bilayer regions I and II in (a). The inset shows expanded Raman spectra near the 2D peak position. (d) Selected AFM images of boundary regions where the water edges follow well-defined hexagonal shapes. (e) Low-pass filtered stick-slip image obtained on the mica surface. White circles indicate hollow sites in the hexagonal structure. The distances between the circles are  $0.55 \pm 0.06$  nm, which are comparable to the in-plane lattice constant of mica (0.52 nm). The purple dashed lines are the crystallographic orientations of the mica surface. (f) Statistics for the orientation of the edges in (d) with respect to the near-horizontal purple dashed line in (e).

marked by red, blue, and white lines, respectively. As in previous work<sup>17</sup>, we find that water intercalates between the graphene and mica, forming a flat layer that fills most of the interior of the first graphene monolayer, except for a narrow region with jagged boundaries 200–300 nm wide from the graphene edge. Figure 1(b) shows the height profile obtained along the white dashed arrow in Fig. 1(a). The height of the first graphene monolayer over the mica substrate in the dry edge region is  $0.28 \pm 0.06$  nm, which is smaller than the layer spacing in graphite (0.34 nm). This value is also lower than those previously reported ( $0.4 \sim 0.9$  nm)<sup>17–19</sup>. A second step, with a height of 0.37 nm, appears at the boundary of the water layer<sup>17</sup>. This height is similar to the spacing between the basal planes of  $I_h$  ice. The last step height is 0.34 nm, which corresponds to the distance between the graphene layers in graphite. To confirm the number of graphene layers, micro-Raman spectra were measured in regions I and II (Fig. 1(c)). The spectra show G and 2D peaks at  $1585$   $\text{cm}^{-1}$  and  $2694$   $\text{cm}^{-1}$ , respectively. As shown in the inset of Fig. 1(c), the 2D peak shape implies that regions I and II consist of bi-layer graphene, consistent with the height profile shown in Fig. 1(b). The strongest peak ( $3625$   $\text{cm}^{-1}$ ) is due to the O-H peak from mica<sup>17</sup>. Region III is 6-layer graphene overlapping the bilayer graphene of regions I and II,

according to optical microscopy images and AFM height profiles (not shown here).

The boundaries of the intercalated water film under the graphene are jagged, with sides forming angles of approximately  $120^\circ$ , as visualized by the white hexagons in Fig. 1(d), corresponding to selected images of the water layer edges from Fig. 1(a). These sides are compared to the lattice directions of the mica that could be obtained using friction force microscopy<sup>20</sup>. They are aligned with the compact lattice directions of the mica surface, shown by the dashed lines in the low-pass filtered stick-slip image of Fig. 1(e). Figure 1(f) shows statistics of the angles between the water layer edges and the lattice direction of the mica, with reference to the near-horizontal dashed line in Fig. 1(e). This orientation preference of water agrees with a previous literature report<sup>21</sup> and supports the notion that water intercalated between the graphene and mica forms an ice-like layer. On the other hand, intercalation of water under exfoliated graphene on a hydrophilic, amorphous  $\text{SiO}_2$  substrate (GS) does not show crystalline features under similar humidity conditions (Supplementary Fig. S1).

To study the intercalation pathways of water, we exposed the GM sample to high relative humidity (RH) for one week ( $>50\%$  RH). The topographic image in Fig. 2(a) obtained after this exposure shows

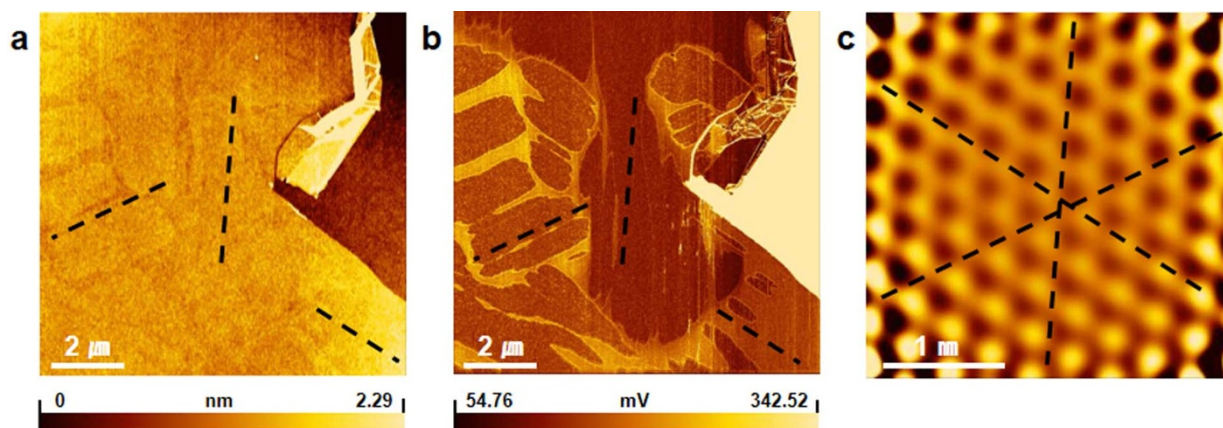


**Figure 2 | Water diffused in between graphene and mica.** AFM topographic images obtained (a) after one week of exposure to high RH (>50%) and (b) after exposure to similar high RH for one additional week. The height profiles below (a) and (b) were obtained along the white dashed lines in (a) and (b), respectively. The blue contour lines in (a) denote the edges of layered water structures and blue dashed lines in (b) delineate the directions of the stripe patterns, which form angles of  $\sim 60^\circ$  with each other. (c) Low-pass filtered stick-slip image of graphene measured in the region denoted by the white arrow in (b). The inset in (c) shows a low-pass filtered stick-slip image of mica duplicated from Fig. 1(e). The blue, dashed line in (c) is copied from the near-horizontal direction of stripe patterns in (b). The inset in (b) shows the distribution of the relative angle of the stripe patterns with respect to one zigzag direction of graphene in (c).

new layered structures (irregular shapes denoted by the blue contour lines), and stripe-like structures (narrow straight segments), formed by intercalation of additional water. We confirmed that water intercalation occurred at the interface between the mica and graphene and not between the graphene layers, since no changes in the Raman peaks of graphene were observed, except for an increase in the O-H peak (Supplementary Fig. S2). The layered structures, with various thicknesses, have been frequently observed when graphene is deposited on mica at high humidity (RH  $\sim 90\%$ )<sup>17</sup>. The height of a layered structure is  $\sim 0.25$  nm according to the height profile measured along the white dashed line in Fig. 2(a). The water stripes in the white dashed rectangle of Fig. 2(a) have an average height of 0.34 nm, which is similar to the height of the hexagonal waterlayer<sup>22,23</sup>. Additional exposure to high humidity ( $\sim 50\%$  RH) for another week increases the stripe thickness to 1.23  $\sim$  1.49 nm, which corresponds to 3 or 4 layers (white dashed rectangle in Fig. 2(b) and height profile below Fig. 2(b)). At the same time, the layered structure disappears almost completely. There are two important observations from these

experiments: one is that the stripe patterns grow in three well-defined directions, forming angles of  $\sim 60^\circ$  with each other (blue dashed lines in Fig. 2(b)); the other is that the growth in thickness of the stripes implies poor wetting beyond the first layer.

To investigate the correlation between the water stripe structure and that of mica and graphene, we compared the stripe orientation with the lattices of these two materials, which we obtained from the stick-slip (friction) images, as shown in Figs. 1(e) and 2(c). As can be seen, the stripe orientation (marked by the dashed blue line) coincides with the C-C zigzag directions of graphene. The distribution of the measured angles between one zigzag direction of graphene and the water stripes is very narrow, with peak counts near  $0^\circ$ ,  $60^\circ$ , and  $120^\circ$ , as shown in the inset of Fig. 2(b). Although the atomic lattice of graphene in Fig. 2(c) is that of the upper layer of the bi-layer graphene, the zigzag direction of the upper graphene layer is the same as the lower one (Supplementary Fig. S3). It should be noted that the mica and graphene lattice directions in these experiment differ by  $15^\circ$ .



**Figure 3 | Water diffusion guided by graphene on SiO<sub>2</sub>.** (a) AFM topographic image and (b) simultaneously-obtained friction image of graphene on a SiO<sub>2</sub> substrate under high RH (~60%). (c) Low-pass filtered stick-slip image obtained on the graphene. Black dashed lines indicate the zigzag directions of the graphene, which are determined in (c).

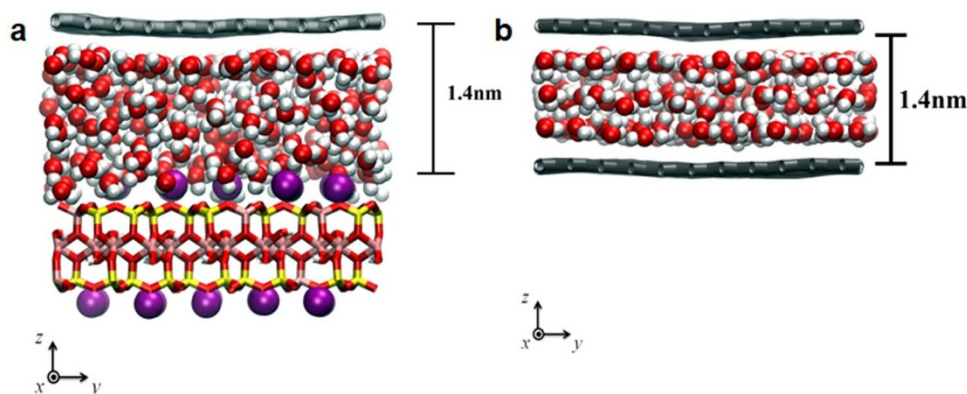
To examine whether the water stripe pattern is only guided by the graphene overlayer, we carried out similar water diffusion experiments for a GS sample at a RH of ~60%. As shown in the contact topographic AFM image in Fig. 3(a), the graphene has bulging regions due to water intercalation. These regions show a lower friction (Fig. 3(b)), indicating that the intercalated water acts as a subsurface lubricant<sup>24</sup>. As shown from the lattice-resolved stick-slip image of graphene in Fig. 3(c), the edges of the water domains also follow the zigzag directions of graphene. These indicate that the growth of the water patterns is guided by the crystallographic orientation of the graphene overlayer and not by the strongly-binding hydrophilic substrate.

The observations can be summarized as follows. The structure of the first intercalated water layer is strongly dependent on the structure of the hydrophilic substrates, which, in the case of mica, is crystalline and epitaxially oriented. When more water intercalates between the graphene and the substrate, additional water appears to not wet the first water layer, resulting in the formation of multilayer stripes, a phenomenon theoretically described by Wang<sup>25</sup>. The water stripes are elongated with the long edge in the direction of the C-C atomic zigzag chains of graphene. The dewetting of the water stripe pattern was confirmed by environment control (Supplementary Fig. S4).

## Discussion

Modeling and calculations can provide insights into the formation and alignment of the water stripe patterns after exposure to high

humidity. Since the water stripes have widths on the order of micrometers, their internal structure is not necessarily determined by the orientation and dynamics of their edges. To simulate the flow of water with stripe patterns underneath the graphene, we performed molecular dynamics (MD) simulations on water layers at 300 K. The simulation box is similar to the experimental configuration shown in Fig. 4(a), where water molecules are sandwiched between the graphene and mica surfaces separated by 1.4 nm. Diffusivity of this nano-confined water as well as that of water between two mica surfaces is reduced roughly by a factor of 2 compared to bulk water, consistent with previous MD simulations<sup>26</sup> (Supplementary Table I). The differential water diffusivity projected along the zigzag ( $D_z$ ) vs. armchair ( $D_a$ ) directions was then calculated after 10 ns equilibrium simulations. We observe a 40% enhancement in water diffusivity along the zigzag direction, compared to the armchair direction. Since both graphene and mica surfaces have six-fold symmetry with directional anisotropy, we considered an additional two model systems: one with only graphene surfaces (Fig. 4(b)) and the other with only mica surfaces (Supplementary Fig. S5(a)). When water molecules are confined between two graphene surfaces, instead of one graphene and one mica, the relative diffusivity  $D_z/D_a$  increases from 1.4 to 3.9, while the diffusion becomes almost 2D-isotropic when the confining surfaces are both mica. On the basis of these results, the primary role of the hydrophilic mica surfaces in the hydrophilic/hydrophobic amphiphilic interface seems to be to anchor the water layer in crystallographic commensurability with its lattice. The directional diffusion of water, however, is controlled by the graphene



**Figure 4 | Atomic model of molecular dynamics (MD) simulation.** Snapshots of MD simulations for water molecules (a) between graphene and mica and (b) between graphene and graphene. The mica structure is a 2:1 layer-type dioctahedral aluminosilicate with the muscovite formula  $K_2Al_4(Al_2Si_6)O_{20}(OH)_4$ . Colour codes used: potassium (purple), silicon (yellow), aluminium (pink), oxygen (red), and hydrogen (white).



surface. A related system that would more dramatically reflect the different diffusional behavior of water along different chirality of the graphitic surfaces would be CNT filled with water due to the increased number of interfacial water molecules. Thus, we performed MD simulations for the water-filled (16,16) and (28,0) CNT 2.1 nm in diameter (Supplementary Fig. S5(b)). We obtained a similar 4.5-fold increase in water diffusivity along the zigzag flow direction, as compared to the armchair direction, consistent with the present experimental observations with the graphene surface guiding the water flow.

These MD simulation results can be understood more clearly by performing first-principles calculations on the potential energy surfaces of water on graphene. The mica substrate is simply regarded as a confining wall in the present calculations of the activation barrier. We found that two ice layers underneath the graphene form a hexagonal-like structure with a crystallographic orientation that coincides with one of graphene, being similar to the water structure without mica<sup>27</sup>. From the atomic models projected along the graphene zigzag direction (Supplementary Fig. S6(a)) and along the armchair direction (Supplementary Fig. S6(b)), we can see that the inter-ice layer interactions are anisotropic. We calculated the total energy changes of the system when the ice layer nearest to graphene slides along various directions, with all other parameter systems remaining the same. As expected from the local hydrogen bonding structures, the energy barrier for sliding is highly anisotropic, where the energy barrier for sliding along the armchair direction ( $\sim 1.7$  eV) is three times higher than that ( $\sim 0.6$  eV) along the zigzag direction. In addition, we confirmed that this anisotropy in the energy barrier was enhanced by the confining effect of the mica substrate (see Supplementary Fig. S6 for more details). These results are consistent with the potential energy surfaces for a water molecule inside CNTs of different chirality but similar diameter where the lower activation barrier and thus faster water dynamics were observed for water inside the (16,16) CNT with the zigzag flow direction<sup>28</sup>.

In conclusion, we have discovered new wetting and diffusion phenomena of water when confined between surfaces of opposite affinity, one hydrophilic and the other hydrophobic. The hydrophilic surface strongly anchors the first water to form a uniform monolayer film. Additional water intercalates to form multilayers with poor wetting characteristics, a phenomenon due to the hydrophobic surface. In addition, the hydrophobic surface determines the diffusion pathways of water along the zigzag directions of graphene. Our model and calculations provide a rationale for these observations: the energy barrier increases for directions away from the optimal zigzag. This should further stimulate fundamental studies of boundary slippage, both theoretically and experimentally, because such strong-weak mixed interactions of fluids with confining layers are prevalent in nature, particularly in biological and environmental phenomena, rock weathering and flow, etc.

## Methods

**Specimen preparation.** Graphene sheets were prepared via the standard mechanical exfoliation method using Kish graphite flakes on the surface of cleaved muscovite mica and SiO<sub>2</sub> substrate at ambient relative humidity (RH) ranging from 30% to 40% without further treatment. The thin graphene samples were sorted using optical microscopy. A high-humidity treatment was carried out, with the sample surrounded by, but not contacting, ultrapure water with a specific resistivity  $> 18$  Mohm·cm in a sealed polyester bag. The humidity in the sealed polyester bag increased logarithmically with time and reached  $\sim 90\%$  after one week.

**AFM experiments.** Topographic and friction AFM images were obtained using tapping and contact modes, respectively, with a Seiko SPA-300HV AFM. We used diamond-like carbon tips with a typical curvature radius of 1 nm (NSG01\_DLC from NT-MDT) to get topographic, phase, and stick-slip images, and lateral friction tips (PPP-LFMR with a spring constant of 0.2 N/m from Nanosensors) to get topographic and friction images at ambient conditions. We used Pt-coated silicon AFM tips with a tip radius less than 25 nm (PPP-NCHPt from Nanosensors) to simultaneously get topographic and phase images at low temperature and pressure. The topographic images were processed with line- and plane-subtraction corrections to compensate for scanning drift. Low-pressure measurements were performed in the AFM chamber

evacuated to  $10^{-3}$  Torr by means of a JANIS turbo-pumping station (TP-75-DR). The temperature in the AFM vacuum chamber was controlled using a built-in temperature controller (model E5CN from OMRON electronics). The height distributions of the water stripe patterns were obtained using Gwyddion scanning probe microscopy data analysis software (<http://gwyddion.net/>).

**Raman experiments.** For the micro-Raman measurements, the 514.5-nm line of an Ar ion laser was used as the excitation source. The laser beam was focused onto the graphene sample by a 40X microscope objective lens. The spatial resolution was less than 1  $\mu\text{m}$ , and the spectral resolution was about 1  $\text{cm}^{-1}$ .

**MD simulations.** The water-carbon interactions were modelled using Lennard-Jones 12-6 potentials  $E^{LJ12-6} = 4\epsilon \left\{ \left( \frac{\sigma}{r} \right)^{-12} - \left( \frac{\epsilon}{r} \right)^{-6} \right\}$ , where  $\epsilon_{C-H} = 0.0318$  kcal/mol,  $\epsilon_{C-O} = 0.113$  kcal/mol,  $\sigma_{C-C} = 3.39$  Å,  $\sigma_{O-O} = 3.17$  Å,  $\sigma_{C-H} = 2.80$  Å,  $\sigma_{C-O} = 2.95$  Å. The interactions between water and the mica structure were obtained by the Lorentz-Berthelot combination rules,  $\epsilon_{ab} = \sqrt{\epsilon_a \epsilon_b}$  and  $\sigma_{ab} = \frac{\sigma_a + \sigma_b}{2}$ . The force field

parameter for the mica structure was taken from ref. 29. The extended simple point charge (SPC/E) water model was used. To test the sensitivity of our computed diffusivity results to force field parameters, we repeated our simulations using the TIP3P water model widely used in CNT-water systems and found quantitatively similar results within 10% error. A simulation box with dimensions  $2.7 \times 2.7 \times 1.4$  nm<sup>3</sup> containing  $270 \pm 30$  water molecules was used. The confined water density was modelled to be in equilibrium with liquid water at 1 atm. We then performed the 10 ns constant temperature and constant pressure (NPT) dynamics at 1 atm and 300 K, using temperature and barostat coupling constants of 0.1 and 2.0 ps, respectively. A 10 Å cutoff was used for Van der Waals (vdW) and real space electrostatics, with the vdW energies and forces tapered smoothly to zero from 9 Å. All simulations were performed using the LAMMPS 2011 software package<sup>30</sup>. The diffusivity of water was calculated using the mean square displacements (MSD) of water based on the Einstein expression. The time correlation function (TCF) formalism was also used to calculate diffusivity, but yielded the same results as MSD for the two-graphene system; therefore, the MSD method was used throughout all systems.

**Density functional calculations for water migration.** The electronic structure of the system was obtained by first-principles calculations using the SIESTA pseudopotential code<sup>31</sup>. All calculations were carried out using the generalized gradient approximation (GGA-PBE)<sup>32</sup> previously used for modelling graphene-water-SiO<sub>2</sub> substrate interactions<sup>23</sup>. A full optimization of the atomic positions was performed. During optimization, the electronic ground state was consistently found using norm-conserving pseudo-potentials for cores, a double- $\zeta$  plus polarization basis of the localized orbitals for silicon, carbon, and oxygen, and a double- $\zeta$  basis for hydrogen. Optimization of the force and total energy was performed with an accuracy of 0.04 eV/Å and 1 meV, respectively. All calculations were carried out with an energy mesh cut-off of 300 Ry and a  $k$ -point mesh of  $4 \times 4 \times 4$  in the Monkhorst-Park scheme<sup>33</sup>. Modeling of the graphene over mica was performed for a graphene  $3 \times 3$  supercell containing 32 carbon atoms over 6 layers of  $\alpha$ -SiO<sub>2</sub> substrate containing 25 silicon and 50 oxygen atoms. As in previous work<sup>23</sup>, the small mismatch between the lattices of the graphene supercell and mica substrate was neglected. We considered the structural confinement effects of mica on water but not the electrostatic or chemical effects.

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## Author contributions

J.-S.K. and B.H.P. planned the projects; J.-S.K., J.S.C., B.H.P., J.Y.P. and M.S. designed the experiments; J.-S.K., J.S.C. and M.J.L. carried out the AFM measurements; D.Y. and H.C. obtained and analyzed the micro-Raman spectroscopy data; Y.-W.S., D.B., J.-N.Y. and Y.J. performed and analyzed the calculations; J.-S.K., B.H.P., H.C., Y.-W.S., Y.J., J.Y.P. and M.S. interpreted the results; all authors discussed the results and commented on the manuscript.

## Additional information

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