



Potential use of Folate-appended Methyl- β -Cyclodextrin as an Anticancer Agent

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To obtain a tumor cell-selectivity of methyl- β -cyclodextrin (M- β -CyD), we newly synthesized folate-appended M- β -CyD (FA-M- β -CyD), and evaluated the potential of FA-M- β -CyD as a novel anticancer agent *in vitro* and *in vivo*. Potent antitumor activity and cellular association of FA-M- β -CyD were higher than those of M- β -CyD in KB cells, folate receptor (FR)-positive cells. FA-M- β -CyD drastically inhibited the tumor growth after intratumoral or intravenous injection to FR-positive Colon-26 cells-bearing mice. The antitumor activity of FA-M- β -CyD was comparable and superior to that of doxorubicin after both intratumoral and intravenous administrations, respectively, at the same dose, in the tumor-bearing mice. All of the tumor-bearing mice after an intravenous injection of FA-M- β -CyD survived for at least more than 140 days. Importantly, an intravenous administration of FA-M- β -CyD to tumor-bearing mice did not show any significant change in blood chemistry values. These results strongly suggest that FA-M- β -CyD has the potential as a novel anticancer agent.

Chemotherapy is often used to treat cancer, and it is expected to destroy the tumor cells for maximum treatment efficacy, while minimizing side effects to other organs¹. However, conventional anticancer agents often have some unexpected limitations such as poor distribution, tissue damage, and lack of target specificity. In order to overcome these problems, the drug delivery technique to tumor cells has attracted considerable attention. To give an active targeting-ability to a drug carrier, chemical modification by tumor targeting ligands is known, e.g. antibody^{2–5}, sugar⁶, folic acid (FA)^{7–11}, transferrin^{12–14}, epidermal growth factor^{15,16}, and Arg-Gly-Asp-Ala-Pro-Arg-Pro-Gly peptide¹⁷.

Recently, FA has emerged as a prominent targeting moiety capable of specific interaction with cells expressing the folate receptor (FR)¹⁸. FR consists of a high affinity folate binding protein (FBP) (K_d : 10^{-9} – 10^{-10} M) and is attached to the plasma membrane through a glycosylphosphatidylinositol (GPI) anchor¹⁹. FR is overexpressed in many human tumor cells, including malignancies of the ovary, brain, kidney, breast, myeloid cells and lung, and has little expression in normal tissues^{9,20–22}. This overexpression of FR provides tumor cells with increased amounts of the FA essential for DNA synthesis, and seems to aid in aggressive tumor growth. In patients diagnosed with cancer, the overexpression of FR isoform α (FR- α) correlates with a higher histological grade and more advanced stage of the disease²³. Therefore, FR is one of the strongest candidates, both as an attractive marker and a target molecule for diagnosis and therapy of cancer²⁴.

Cyclodextrins (CyDs) and their hydrophilic derivatives form inclusion complexes with hydrophobic molecules. CyDs can improve the solubility, dissolution rate and bioavailability of the drugs, and so the widespread use of CyDs is well known within the pharmaceutical field^{25,26}. CyDs have been reported to interact with cell membrane constituents such as cholesterol and phospholipids, resulting in the induction of hemolysis of human and rabbit red blood cells at high concentrations of CyDs^{27–29}. Additionally, methyl- β -cyclodextrin (M- β -CyD) is acknowledged to disrupt the structures of lipid rafts and caveolae^{30,31}, which are lipid microdomains formed by lateral assemblies of cholesterol and sphingolipids in the cell membrane, through the extraction of cholesterol from the microdomains³². Furthermore, we demonstrated that 2,6-di-O-methyl- β -cyclodextrin (DM- β -CyD) induced apoptosis through the inhibition of PI3K-Akt-Bad pathway, leading to cholesterol depletion from lipid rafts in NR8383 cells, a rat alveolar macrophage cell line³³. Notably, Grosse *et al.* reported that intraperitoneal administration of M- β -CyD showed signs of antitumor activity in human tumor xenografted athymic nude mice³⁴. However, parenteral use of M- β -CyD is not allowed in humans³⁵, because the antitumor activity of M- β -CyD lacks tumor cell-selectivity.

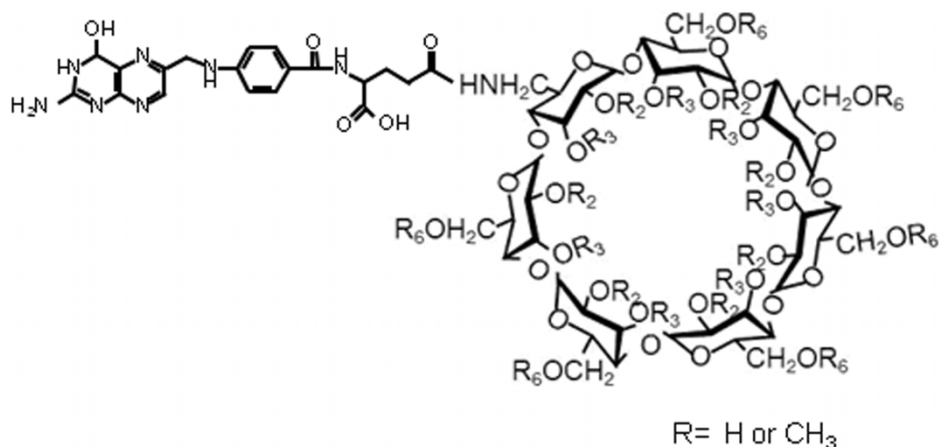


Figure 1 | Chemical structure of FA-M- β -CyD.

Most recently, in an attempt to confer a tumor-selective cytotoxic activity to M- β -CyD, we newly synthesized folate-appended M- β -CyD (FA-M- β -CyD, Fig. 1) having an average degree of substitution of FA (DSF) of 1.0³⁶. FA-M- β -CyD possesses several advantages as

an antitumor agent compared to antibody drugs: 1) the physico-chemical stability is high, 2) the pharmacokinetics after intravenous administration is rarely affected by serum proteins due to its low molecular weight compound, 3) the batch difference in bioactivity

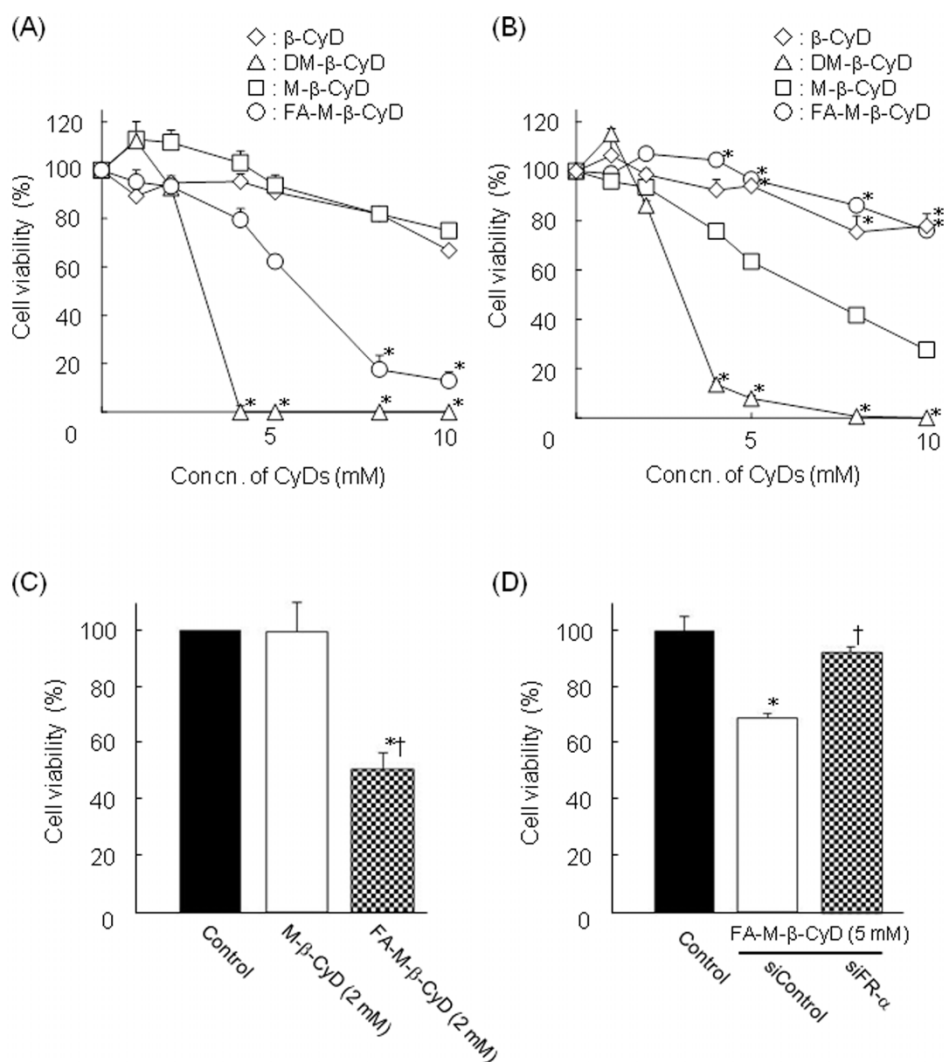


Figure 2 | Antitumor activity of β -CyDs. (A) KB cells, (B) A549 cells, (C) Colon-26 cells, (D) FR-knockdown KB cells. Each point and values represents the mean \pm S.E.M. of 3 experiments. * $p < 0.05$, compared with Control.

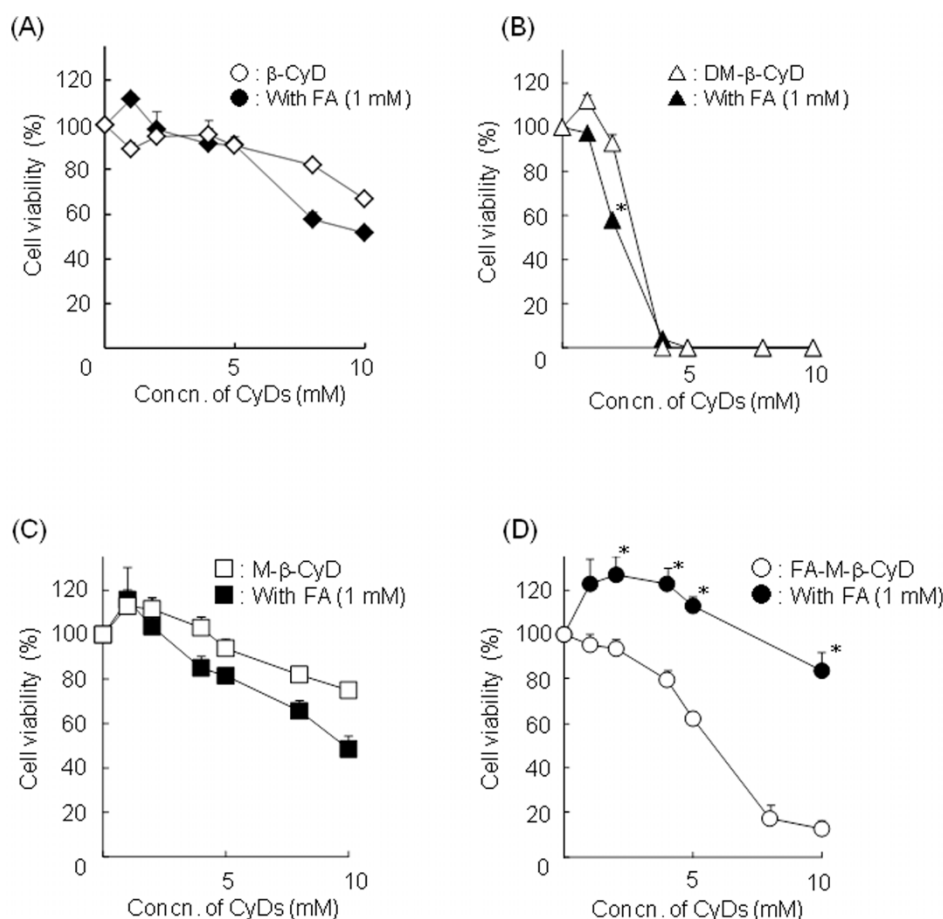


Figure 3 | Effect of FA on antitumor activity of β -CyDs for KB cells (FR (+)). KB cells were pretreated with 1 mM of FA for 1 h, and then incubated with β -CyDs (0–10 mM) and FA (1 mM) for 2 h. Each point represents the mean \pm S.E.M. of 3 experiments. * $p < 0.05$, compared with β -CyDs.

does not occur as it is a chemically synthesized product, and 4) the cost performance is superior to that of biosynthesis products. However, the tumor cell-specific cytotoxic activity of FA-M- β -CyD *in vitro* and *in vivo* still remains unclear. Therefore, in the present study, we investigated whether FA-M- β -CyD provides the FR-expressing cell-selective antitumor activity and its safe profile *in vitro* and *in vivo* or not.

Results

Antitumor activity of FA-M- β -CyD. To clarify the FR-selective antitumor activity of FA-M- β -CyD (Fig. 1), we evaluated antitumor activity of FA-M- β -CyD in KB cells, FR-positive cells, and A549 cells, FR-negative cells. FA-M- β -CyD displayed potent antitumor activity, compared to M- β -CyD in KB cells (Fig. 2A), but not in A549 cells (Fig. 2B). In contrast, DM- β -CyD showed significant antitumor activity in both KB cells and A549 cells (Fig. 2). Additionally, in Colon-26 cells (FR-positive), FA-M- β -CyD showed potent antitumor activity, compared to M- β -CyD (Fig. 2C). Meanwhile, the antitumor activity of FA-M- β -CyD was significantly attenuated in FR knockdown-KB cells produced by treatment with FR- α siRNA (Fig. 2D). These results suggest that FA-M- β -CyD has FR-expressing cell-selective antitumor activity.

Next, we investigated the effect of FA, as a competitor of FR, on antitumor activity of β -CyDs in KB cells. The antitumor activity of FA-M- β -CyD, but not β -CyD, M- β -CyD or DM- β -CyD, was significantly inhibited by the addition of FA (Fig. 3). These results suggest the occurrence of FR-mediated antitumor activity of FA-M- β -CyD.

Effects of M- β -CyDs on caspase 3/7 activity. Activation of caspase 3/7 is considered an essential event during apoptosis. To investigate whether FA-M- β -CyD-induced cell death is accompanied by apoptotic feature, we next examined the caspase 3/7 activity in KB cells after treatment with CyDs using the CellEvent[®] Caspase-3/7 Green Detection Reagent (Fig. 4). This caspase 3/7 detection reagent is intrinsically non-fluorescent as the DEVD peptide inhibits the ability of the dye to bind to DNA. However, after activation of caspase 3/7 in apoptotic cells, the DEVD peptide is cleaved and enabled the dye to bind to DNA and produce a bright, fluorogenic response. Treatment of KB cells with DM- β -CyD, but not M- β -CyD or FA-M- β -CyD, for 2 h, caused caspase-3/7 activation (Fig. 4). These results suggest that FA-M- β -CyD caused cell death in KB cells in an apoptosis-independent pathway.

Cellular association of FA-M- β -CyD. To gain insight into the mechanism for the FR-mediated antitumor activity of FA-M- β -CyD, we examined the cellular association of FA-M- β -CyD after treatment for 1 h with KB cells and A549 cells (Fig. 5). In contrast to the general belief that CyDs are unable to enter cells, the cellular association of FA-M- β -CyD with KB cells was significantly higher than that with A549 cells (Fig. 5A). As expected, M- β -CyD was only very slightly associated with KB cells (Fig. 5B). These results suggest that cellular association of FA-M- β -CyD could be mediated by FR on KB cells.

Effects of β -CyDs on cholesterol efflux from tumor cells. Lipid rafts are mainly composed of cholesterol and sphingolipids in the cell membranes, and contain various signal transduction molecules

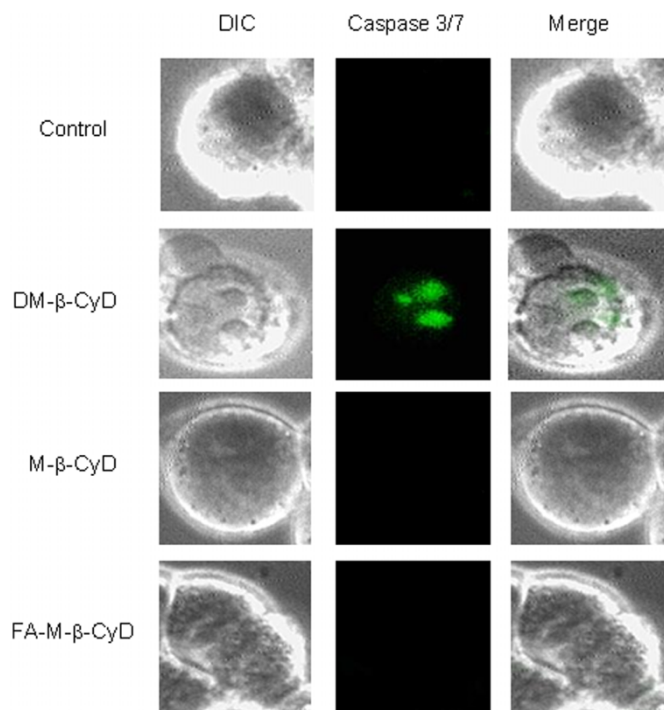


Figure 4 | Effects of M- β -CyDs on the caspase 3/7 activity in KB Cells (FR (+)). KB cells were treated with M- β -CyDs (5 mM) for 2 h, and then the cells were treated with caspase 3/7 detection kit. The experiments were performed independently three times, and representative images are shown.

including growth factor receptors³⁷. We previously reported that CyDs showed hemolytic activity at high concentration through the extraction of cell membrane components such as cholesterol and phospholipids from lipid rafts^{27,29}. Furthermore, we demonstrated that DM- β -CyD induces apoptosis through cholesterol depletion in NR8383 cells, a rat alveolar macrophage cell line³³. Therefore, to reveal whether cell death induced by FA-M- β -CyD is apoptosis through cholesterol depletion in FR-positive cells, we investigated the effects of CyDs on the release of cholesterol from KB cells and A549 cells. The amount of cholesterol released in the culture medium after incubation with 5 mM CyDs for 1 h was determined by Cholesterol-test Wako® (Fig. 6). The extent of cholesterol extracted by treatment with FA-M- β -CyD was significantly higher than that with β -CyD, M- β -CyD and DM- β -CyD in KB cells (Fig. 6A). Similar results were observed in A549 cells (Fig. 6B), although FA-M- β -CyD did not display antitumor activity under the same conditions. Furthermore, we confirmed that FA-M- β -CyD reduced in neither DNA content nor mitochondrial transmembrane potential in KB cells (manuscript in preparation). Taken together, these results suggest that the extraction ability of FA-M- β -CyD on cholesterol from plasma membranes is not associated with antitumor activity, and the mechanism of antitumor activity of FA-M- β -CyD is not apoptosis in KB cells.

Hemolytic activity. As described above, FA-M- β -CyD extracted cholesterol from plasma membranes of KB cells, which suggests high hemolytic activity. One of the most substantial requirements for drugs is that they have either no or acceptably low levels of local irritancy. The studies with isolated rabbit red blood cells (RRBC), which have no nucleus, mitochondria, endoplasmic reticulum or other organelles, may provide a simple and reliable measure to determine the local irritancy induced by CyDs. Therefore, we investigated hemolytic activity of FA-M- β -CyD in order to estimate its local irritation. Herein, RRBC do not express FR on

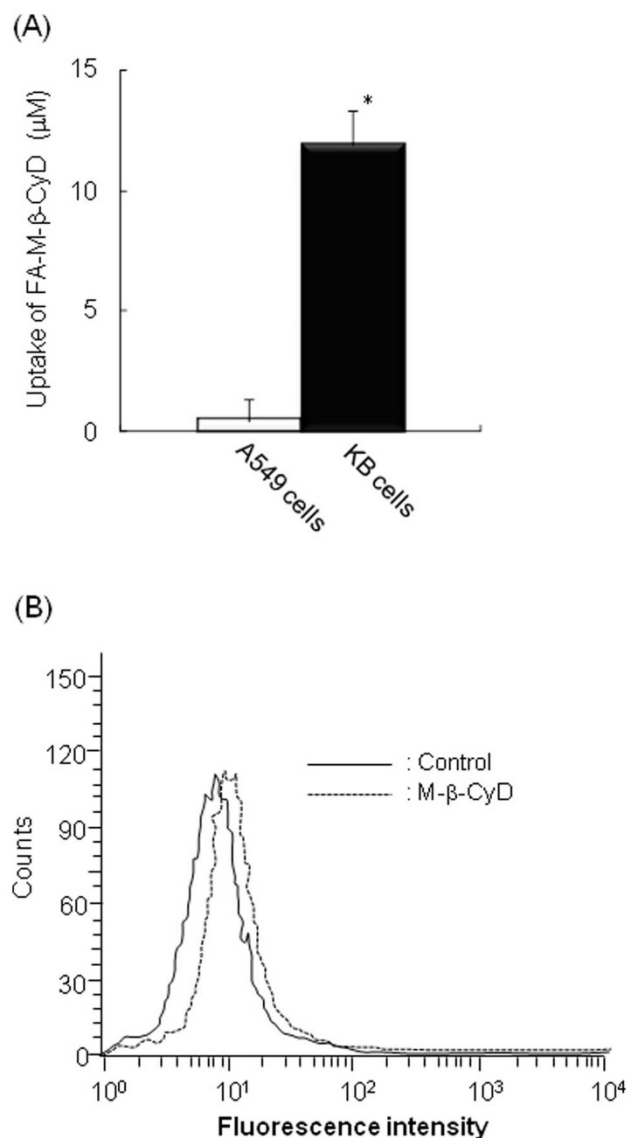


Figure 5 | Cellular association of FA-M- β -CyD and TRITC-M- β -CyD. (A) Cells were incubated with FA-M- β -CyD for 1 h. The concentration of FA-M- β -CyD associated with cells was determined by a fluorescence spectrophotometer. Each value represents the mean \pm S.E.M. of 3–4 experiments. * p < 0.05, compared with A549 cells. (B) KB cells were incubated with TRITC-M- β -CyD for 1 h. The fluorescence intensity of TRITC associated with KB cells was determined by a flow cytometer.

plasma cell membranes. Figure 7 shows hemolytic profiles of β -CyD, M- β -CyD, DM- β -CyD and FA-M- β -CyD. It is apparent that the hemolytic activity of FA-M- β -CyD was weaker than those of β -CyD, M- β -CyD and DM- β -CyD. For example, the hemolysis onset at about 0.5 mM, 1 mM, 3 mM and 8 mM, and the concentrations to induce 50% hemolysis were about 1.3 mM, 3.5 mM, 5.7 mM and 10.9 mM for DM- β -CyD, M- β -CyD, β -CyD and FA-M- β -CyD, respectively. These results suggest that local irritancy of FA-M- β -CyD is quite low.

Antitumor Activity of FA-M- β -CyD in tumor-bearing mice. To investigate antitumor activity of FA-M- β -CyD *in vivo*, we injected FA-M- β -CyD solution intratumorally or intravenously to tumor-bearing mice. Herein, we confirmed that Colon-26 cells express FR using a RT-PCR method. As shown in Fig. 8A, an intratumoral injection of the FA-M- β -CyD at a dose of 30 mg/kg significantly inhibited the tumor growth, compared to that of control (5%

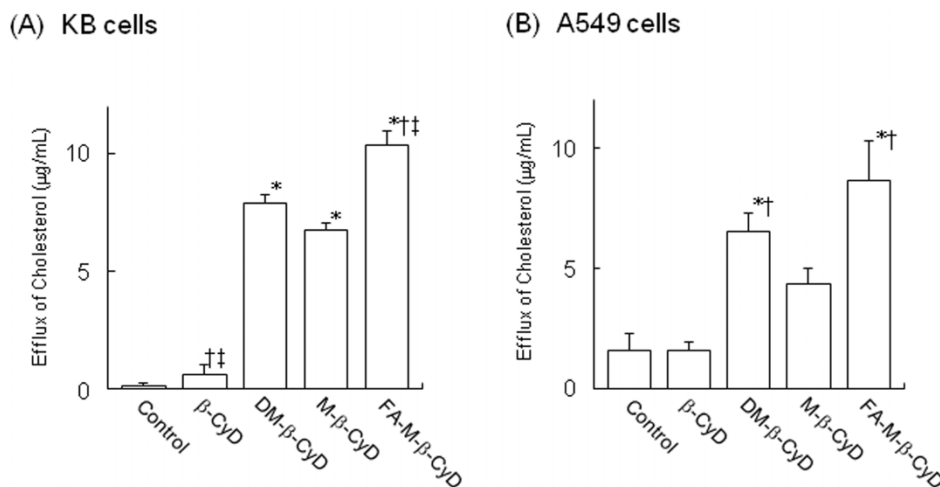


Figure 6 | Effects of β -CyDs on efflux of cholesterol from KB cells (FR (+)) and A549 Cells (FR (-)). (A) KB cells and (B) A549 cells were incubated with β -CyDs (5 mM) for 1 h. Each value represents the mean \pm S.E.M. of 4–5 experiments. * $p < 0.05$, compared with control. † $p < 0.05$, compared with M- β -CyD. ‡ $p < 0.05$, compared with DM- β -CyD.

mannitol solution). The similar results of the inhibitory effects on tumor growth were observed in both the doxorubicin and the M- β -CyD systems. To make sure there were no side effects, the body weight of mice after an intratumoral injection of FA-M- β -CyD or M- β -CyD was slightly increased as the time passed (Fig. 8B). However, in the doxorubicin system, the body weight of mice did not increase up to 30 days after intratumoral injection, probably due to the side effects of doxorubicin (Fig. 8B). These results suggest that FA-M- β -CyD has the potent antitumor activity after intratumoral injection to tumor-bearing mice with less systemic side effect.

Next, we investigated the effects of an intravenous injection of FA-M- β -CyD (5 mg/kg) to tumor-bearing mice on tumor growth, body weight change and survival rate (Fig. 9). As shown in Figure 9A, an intravenous injection of doxorubicin or M- β -CyD slightly suppressed the tumor growth. Remarkably, FA-M- β -CyD drastically inhibited the tumor growth after an intravenous injection (Fig. 9A). Furthermore, the tumor inoculated subcutaneously completely disappeared after treatment with FA-M- β -CyD (Fig. 9B). Surprisingly, all of the tumor-bearing mice after intravenous injection of FA-M- β -CyD survived for at least 140 days without any relapse,

while the mice treated with doxorubicin and M- β -CyD died of sickness within 70 days (Fig. 9C). Additionally, the body weight of mice after an intravenous injection of FA-M- β -CyD was increased slightly as the time passed, suggesting that FA-M- β -CyD does not have any significant side effect (Fig. 9D). In terms of blood chemistry data, doxorubicin tended to elevate the alanine aminotransferase (ALT) and lactate dehydrogenase (LDH) values, and M- β -CyD significantly increased blood urea nitrogen (BUN), aspartate aminotransferase (AST) and LDH levels, compared to control, suggesting induction of systemic side effects of doxorubicin and M- β -CyD. Strikingly, no significant changes in the blood chemistry values such as creatinine (CRE), BUN, AST, ALT and LDH were observed 24 h after an intravenous injection of FA-M- β -CyD, compared to control (5% mannitol solution) at the same dose as doxorubicin and M- β -CyD (Table 1). These results strongly suggest that FA-M- β -CyD has the potential as a novel antitumor agent with negligible systemic side effects even after intravenous injection.

Discussion

In the present study, we revealed that FA-M- β -CyD showed a FR-expressing cell-selective *in vitro* antitumor activity through FR-mediated endocytosis. In addition, FA-M- β -CyD had great inhibitory effects on tumor growth after both intratumoral and intravenous injections to FR-positive tumor cells-bearing mice, without any significant change in blood chemistry values.

Having targeting ability is one of the most important factors for antitumor agents to provide not only potent antitumor activity but also to reduce side effects for cancer treatment. FA-M- β -CyD showed FR-expressing cell-selective antitumor activity. In fact, FA-M- β -CyD elicited cytotoxic activity to KB cells rather than A549 cells (Fig. 2). In addition, the antitumor activity of FA-M- β -CyD was significantly inhibited by the addition of FA, suggesting that FR-mediated endocytosis is important for the induction of antitumor activity by FA-M- β -CyD (Fig. 3). GPI-anchored proteins including FR were acknowledged to be endocytosed through clathrin-independent carrier/GPI-anchored proteins enriched early endosomal compartment (CLIC/GEEC)³⁸. Therefore, FA-M- β -CyD may be endocytosed through CLIC/GEEC after the recognition by FR on KB cells. Three isoforms of the FR, FR- α , FR- β and FR- γ , have been identified and cloned to date. The FR- α levels are high in specific malignant tumors of epithelial origin, compared to normal cells, and are positively associated with tumor stage and grade^{23,39}. Generally, FR- α recognizes the α -carboxy group of folate and internalizes folate

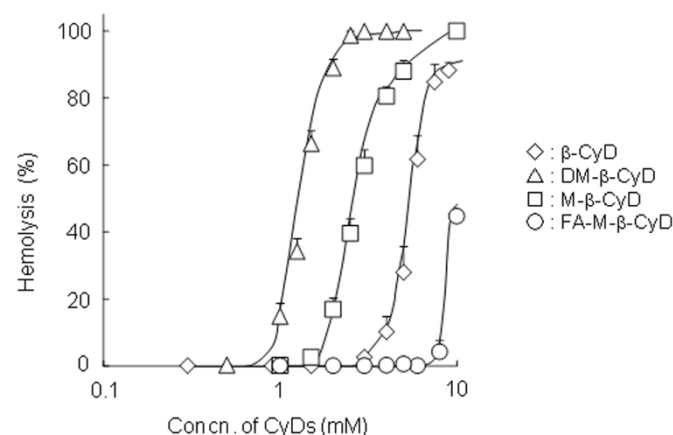


Figure 7 | Hemolytic activity of β -CyDs on RRBC. The RRBC suspension (0.1 mL) was added to the PBS (pH 7.4, 1 mL) containing β -CyDs at various concentrations. The mixture was incubated for 30 min at 37°C. The release of hemoglobin from the RRBC was determined by a UV spectrophotometer at 543 nm. Each point represents the mean \pm S.E.M. of 3 experiments.

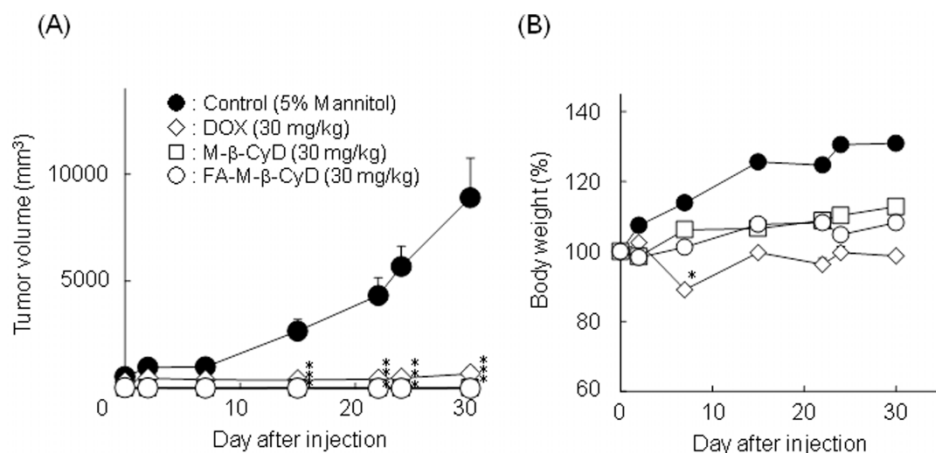


Figure 8 | Effects of intratumoral administration of FA-M-β-CyD on tumor growth (A) and body weight (B) in tumor-bearing mice. Each point represents the mean ± S.E.M. of 3–14 experiments. * $p < 0.05$, compared with control (5% mannitol solution).

to confer a growth advantage to the tumor⁴⁰. Recently, Guaragna *et al.* demonstrated that the conjugation of anticancer agent chlorambucil to γ -carboxy group of FA via suitable linkers enhanced the selective drug delivery to the FR-expressing tumor cells⁴¹. Furthermore, we previously reported that FA-M-β-CyD was synthesized by a condensation reaction between γ -carboxy group of FA and amino group of NH₂-M-β-CyD³⁶. Therefore, it is suggested that the α -carboxy group of FA in FA-M-β-CyD is recognized by FR- α in KB cells (FR-positive) and internalized by CLIC/GEEC endocytosis. In

fact, the cellular association of FA-M-β-CyD with KB cells was significantly higher than that with A549 cells (FR-negative) (Fig. 5A), strongly suggesting the potential of FA-M-β-CyD as a FR-expressing cell-selective anticancer agent. However, further elaborate studies on cellular uptake of FA-M-β-CyD are required using FR- α -deficient KB cells.

In spite of the impressive treatment developed, few options for cancer cells are available. A number of promising agents with multiple mechanisms of action are under investigation. Recent

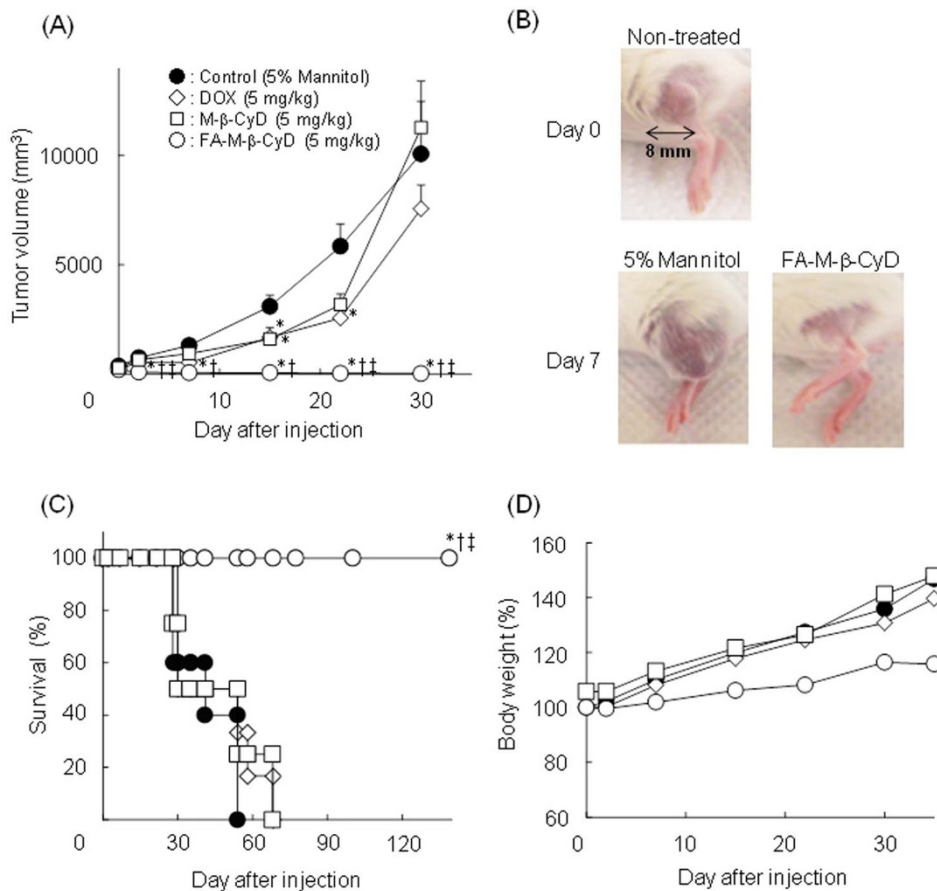


Figure 9 | Effects of intravenous administration of FA-M-β-CyD on tumor growth (A, B), survival rate (C) and body weight (D) in tumor-bearing mice. Each point represents the mean ± S.E.M. of 5 experiments. Each line represents the survival of 5–7 experiments. * $p < 0.05$, compared with control (5% mannitol solution). † $p < 0.05$, compared with doxorubicin. ‡ $p < 0.05$, compared with M-β-CyD.



Table 1 | Blood chemistry data after intravenous administration of doxorubicin and FA-M-β-CyD in BALB/c mice bearing tumor cells

Formulation	CRE ^{a)} (mg/dL)	BUN ^{b)} (mg/dL)	AST ^{c)} (U/L)	ALT ^{d)} (U/L)	LDH ^{e)} (U/L)
Control	0.1±0.00	18.5±2.23	51.6±4.78	17.4±1.33	488±25.03
Doxorubicin	0.1±0.02	19.9±1.00 [†]	144.0±23.72	46.1±18.17	1120±206.43
M-β-CyD	0.1±0.00	26.2±1.71*	189.6±36.07*	28.0±6.13	1562±203.77*
FA-M-β-CyD	0.1±0.02	17.0±2.70 [†]	42.7±7.51	22.0±5.77	371±32.99 [†]

a) Creatinine.

b) Blood urea nitrogen.

c) Aspartate aminotransferase.

d) Alanine aminotransferase.

e) Lactate dehydrogenase. Each value represents the mean±S.E.M. of 3–10 mice.

**p* < 0.05, compared with control (5% mannitol solution).†*p* < 0.05, compared with M-β-CyD.

studies exploring the cell death machinery have led to the discovery of alternative pathways for modulating cell death and also novel compounds inducing cancer cell demise⁴². Among cell death mechanisms, apoptosis plays essential roles in cell survival, growth and tumorigenesis⁴³. M-β-CyD is often used to disrupt lipid rafts because of its ability to deplete cholesterol stores on cell membranes^{30,31}. A number of studies have also demonstrated that the disruption of lipid rafts by M-β-CyD can harm cancer cells and cause cell death. In human epidermoid carcinoma cells, for example, cholesterol depletion by M-β-CyD induced apoptosis and caveolae internalization⁴⁴. Furthermore, we previously demonstrated that DM-β-CyD induced apoptosis through the inhibition of the PI3K-Akt-Bad pathway, leading to cholesterol depletion from lipid rafts in NR8383 cells³³. We also confirmed that DM-β-CyD induced apoptosis in KB cells (Fig. 4), probably due to the cholesterol depletion, leading to a decrease in not only DNA content but also mitochondrial transmembrane potential. In the present study, 5 mM FA-M-β-CyD released significant amount of cholesterol from KB cells and A549 cells to culture medium, compared to that of DM-β-CyD (Fig. 6). However, FA-M-β-CyD caused cell death without lowering the DNA content and mitochondrial transmembrane potential (manuscript in preparation) and also activation of caspase 3/7 (Fig. 4), indicating that cell death induced by FA-M-β-CyD in KB cells is not involved in apoptosis. Additionally, FA-M-β-CyD did not induce cell death in A549 cells even through its potent cholesterol depletion ability, compared to the other β-CyDs, under the present experimental conditions (Fig. 2B and Fig. 6). Meanwhile, M-β-CyD elicited apoptosis in A549 cells through not only lowering DNA content but also reducing mitochondrial transmembrane potential (Supplementary Fig. 1). Collectively, these results suggest that the extraction of cholesterol from plasma membranes by FA-M-β-CyD is not associated with the induction of cell death.

Most importantly, our most recent study demonstrated that FA-M-β-CyD induces autophagy, not apoptosis, in KB cells. That is, FA-M-β-CyD was found to elevate the expression of LC3-II, an autophagosome marker, in autophagic membranes in KB cells, through its FR-mediated cellular uptake (manuscript in preparation). An implication of this finding is that FA-M-β-CyD may be an antitumor drug with a curious mechanism. However, it still remains unclear whether FA-M-β-CyD affects the dephosphorylation and inactivation of mammalian target of rapamycin (mTOR), which induces autophagy, and the activation of class III PI3K, which is involved in autophagosome formation. Clearly, the present study needs to be followed by further studies on the mechanism of autophagy induced by FA-M-β-CyD.

It should be noted that the *in vivo* antitumor activity of FA-M-β-CyD was superior to that of M-β-CyD and doxorubicin after an intravenous injection to FR-positive Colon-26 cells-bearing mice (Fig. 9). Generally, the blood vessels of cancerous tumors are leaky^{45,46} and poorly organized^{47,48}. This can increase the interstitial fluid pressure inside tumors and reduce blood supply to them, which

impairs drug delivery^{49,50}. Most recently, Chauhan *et al.* reported that the nanomedicines (diameter, 12 nm) are ideal in cancer therapy due to their superior tumor penetration, rather than those with a larger size of nanoparticles (diameter, 125 nm)⁵¹. Herein, the particle size of FA-M-β-CyD was less than 10 nm, suggesting that FA-M-β-CyD could penetrate into interstitial space of tumor tissues via blood vessels of cancerous tumors after an intravenous injection. Following that, FA-M-β-CyD may actively enter tumor cells through FR-mediated endocytosis to exert potent antitumor effects. To validate the *in vitro* findings that FA-M-β-CyD can induce autophagy rather than apoptosis in FR-expressing tumor cells, further elaborate studies such as histological analysis such as TUNEL assay with immunohistochemistry and *in vivo* antitumor activity against FR-negative tumor cells-bearing mice are in progress.

Intriguingly, an intravenous administration of FA-M-β-CyD to Colon-26 cells-bearing mice did not show any significant change in blood chemistry values (Table 1). Grosse *et al.* reported that the intraperitoneal injections of M-β-CyD (800 mg/kg) once a week significantly suppressed tumor growth of MCF7 cells xenograft in nude mice, compared to those of doxorubicin (2 mg/kg)³⁴. However, due to the lack of target specificity, M-β-CyD may have the possibility to cause cytotoxicity not only to tumor cells but also to normal cells. Actually, the LDH value of M-β-CyD was significantly higher than that of control, suggesting the induction of the systemic side effects (Table 1). Furthermore, M-β-CyD was reported to be accumulated in the kidney³⁴, through glomerular filtration after intraperitoneal injection to tumor-bearing mice, resulting in risk of renal disorder. Actually, in the present study, M-β-CyD elevated the value of BUN 24 h after an intravenous injection, compared to control (Table 1). In sharp contrast, FA-M-β-CyD did not change the values of BUN and CRE, suggesting that pharmacokinetic profile of FA-M-β-CyD may differ somewhat from that of M-β-CyD. So, further elaborate study on pharmacokinetics of FA-M-β-CyD following intravenous administration is in progress. Besides, the hematological toxicity caused by FA-M-β-CyD after an intravenous administration is assumed to be low, because FA-M-β-CyD, which is recognized by FR-α through α-carboxy moiety of FA, does not appear to associate with hematopoietic cells expressing FR-β. Therefore, these lines of evidence make it tempting to speculate that FA-M-β-CyD provides the drastic antitumor activity *in vivo* with its high safety profiles.

In conclusion, in the present study, we evaluated the potential of FA-M-β-CyD as a novel anticancer agent *in vitro* and *in vivo*. FA-M-β-CyD displayed potent antitumor activity *in vitro*, compared to M-β-CyD in KB cells, FR-positive cells, but not in A549 cells, FR-negative cells. The cellular association of FA-M-β-CyD with KB cells was much higher than that with A549 cells. Furthermore, FA-M-β-CyD drastically inhibited tumor growth after an intratumoral or intravenous injection to tumor-bearing mice, compared to doxorubicin and M-β-CyD, without any significant change in blood chemistry values after an intravenous administration. These results strongly suggest that FA-M-β-CyD has the potential as a novel antitumor agent.



Methods

Materials. β -CyD was donated by Nihon Shokuhin Kako (Tokyo, Japan). M- β -CyD with an average degree of substitution (DS) of methyl group of 12.2 was obtained from Junsei Chemical (Tokyo, Japan). DM- β -CyD was purchased from Wako Pure Chemical Industries (Osaka, Japan). FA was purchased from Nakalai Tesque (Kyoto, Japan). RPMI-1640 culture medium (FA-free) was purchased from GIBCO (Tokyo, Japan). Dulbecco's modified Eagle's medium (DMEM) and fetal bovine serum (FBS) were purchased from Nissui Pharmaceuticals (Tokyo, Japan) and Nichirei (Tokyo, Japan), respectively. Tetramethylrhodamine isothiocyanate (TRITC) was obtained from Funakoshi (Tokyo, Japan). FR- α siRNA (sc-39969) was purchased from Santa Cruz Biotechnology (CA, USA). LipofectamineTM2000 reagent was obtained from Invitrogen (Tokyo, Japan). Doxorubicin was donated by Daiichi Sankyo (Tokyo, Japan). All other chemicals and solvents were of analytical reagent grade, and deionized double-distilled water was used throughout the study.

Cell culture. A549 cells, a human lung epithelium cell line, and colon-26 cells, a mouse colon carcinoma cell line, were cultured as reported previously³⁶. KB cells, a human oral squamous carcinoma cell line, were grown in a RPMI-1640 culture medium (FA-free) containing penicillin (1×10^5 mU/mL) and streptomycin (0.1 mg/mL) supplemented with 10% FBS at 37°C in a humidified 5% CO₂ and 95% air atmosphere. FR knockdown KB cells were prepared by the transfection with the FR- α siRNA complex with LipofectamineTM2000.

In vitro antitumor activity. *In vitro* antitumor activity was assayed by the WST-1 method (a Cell Counting Kit, Wako Pure Chemical Industries, Osaka, Japan), as reported previously^{52,53}. Briefly, KB, A549 and Colon-26 cells were seeded at 2×10^4 cells onto 96-well microplate (Iwaki, Tokyo, Japan), and FR knockdown KB cells were seeded at 1×10^5 cells onto 24-well microplate (Iwaki, Tokyo, Japan), and then incubated for 24 h in a humidified atmosphere of 5% CO₂ and 95% air at 37°C. Cells were washed twice with RPMI-1640 culture medium (FA-free), and then incubated with 150 μ L of RPMI-1640 culture medium (FA-free) containing 0–10 mM β -CyDs or Tween 20 for 2 h at 37°C. For the competition study, cells were pretreated with RPMI-1640 culture medium containing 1 mM FA for 1 h, and then incubated with RPMI-1640 culture medium containing 1 mM FA and 0–10 mM β -CyDs for 2 h. After washing twice with phosphate-buffered saline (PBS, pH 7.4) to remove β -CyDs, 100 μ L of fresh Hanks' balanced salt solution (HBSS, pH 7.4) and 10 μ L of WST-1 reagent were added to the plates and incubated for 30 min at 37°C. The absorbance at 450 nm against a reference wavelength of 630 nm was measured with a microplate reader (Bio-Rad Model 550, Tokyo, Japan).

Activation of caspase 3/7. KB cells ($1 \times 10^6/35$ mm dish) were incubated with 5 mM M- β -CyDs for 2 h. After washing twice with 1 mL of RPMI-1640 (folic acid-free) medium to remove the samples, cells were added to 10 μ M CellEventTM Caspase-3/7 Green Detection Reagent (Invitrogen, Tokyo, Japan) and incubated at 37°C for 30 min. The cells were washed with RPMI-1640 (folic acid-free) medium twice, and added 1 mL of RPMI-1640 (folic acid-free) medium. The cells were observed by a fluorescence microscope (KEYENCE Biozero BZ-8000, Tokyo, Japan).

Cellular association of M- β -CyD. KB cells and A549 cells ($1 \times 10^6/35$ mm dish) were incubated with 1 mL of RPMI-1640 culture medium (FA-free) and DMEM, respectively, containing 10 μ M of TRITC-labeled M- β -CyD at 37°C for 1 h. After washing twice with 1 mL of PBS (pH 7.4) to remove the sample, and immediately scraped with 1 mL of PBS (pH 7.4). The cells were collected and filtered through nylon mesh. Data were collected for 1×10^4 cells on a FACSCalibur flow cytometer using CellQuest software (Becton-Dickinson, Mountain View, CA).

Cellular association of FA-M- β -CyD. KB cells ($1 \times 10^6/35$ mm dish) were incubated with 1 mL of RPMI-1640 culture medium (FA-free) containing 1 mM FA-M- β -CyD at 37°C for 1 h. After washing twice with 1 mL of PBS to remove the sample, cells were lysed by the addition of 1 mL of 1 N NaOH. The cellular association of FA-M- β -CyD was determined by a fluorescence spectrometry (Ex : 286 nm, Em : 350 nm, Hitachi F-4500, Tokyo, Japan).

Determination of cholesterol in the culture medium. KB cells or A549 cells ($1 \times 10^6/35$ mm dish) were incubated with 5 mM β -CyDs in RPMI-1640 culture medium (FA-free) or DMEM, respectively, at 37°C for 1 h. After centrifugation (10,000 rpm, 5 min) of the culture medium, the supernatant was recovered. Total cholesterol in the culture medium was determined using a Cholesterol-test Wako® (Wako Pure Chemical Industries, Osaka, Japan).

Hemolytic activity. RRBC were isolated from Japanese white male rabbits (Kyudo, Tosu, Japan) as described previously^{27,29}. Isolated RRBC were centrifuged at 3,000 rpm for 5 min, and washed three times with PBS (pH 7.4). Five percents of RRBC suspension in PBS were incubated with 2 mL of PBS (pH 7.4) containing β -CyDs at 37°C for 30 min. After centrifugation at 3,000 rpm for 10 min, the optical density of the supernatant was measured at 543 nm. The results were expressed as a percent of total hemolysis, which was obtained when RRBC were incubated in water only. All hemolytic assays were carried out on the same day of blood collection.

Evaluation of antitumor effect of FA-M- β -CyD. Four-weeks-old BALB/c male mice (ca. 20 g) were subcutaneously injected the suspension containing Colon-26 cells (5×10^5 cells/100 μ L), FR-expressing cells. About 10 days later, the mannitol solution

(5%) dissolved with doxorubicin, M- β -CyD or FA-M- β -CyD was administered by the single intratumoral or intravenous injection to tumor bearing mice. The concentrations of doxorubicin, M- β -CyD and FA-M- β -CyD were 30 mg/kg for intratumoral injection and 5 mg/kg for intravenous injection. The tumor volumes were determined by the equation (Volume = $LW^2/2$), where L is the longest dimension parallel to the skin surface and W is the dimension perpendicular to L and parallel to the surface. The body weight changes and survival rates of tumor-bearing mice were monitored for 30 days and 140 days, respectively. Animal experiments were approved by the Ethics Committee for Animal Care and Use of Kumamoto University (Approval ID: 24-286).

Determination of blood chemistry values. Blood samples were taken from the vital artery 24 h after an intravenous injection of the mannitol solution (5%) dissolved with doxorubicin (5 mg/kg), M- β -CyD (5 mg/kg) or FA-M- β -CyD (5 mg/kg) to tumor-bearing mice. After centrifugation, serum was collected, and the blood chemistry values of CRE, BUN, AST, ALT and LDH were determined by a clinical chemistry analyzer, JCA-BM2250 (JEOL, Tokyo, Japan).

Data analysis. Data are given as the mean \pm S.E.M. Statistical significance of mean coefficients for the studies was performed by analysis of variance followed by Scheffe's test. *p*-Values for significance were set at 0.05.

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Author contributions

R.O., K.M., A.O. and T.H. performed the experiments. R.O., K.M., T.H. and H.A. analysed and interpreted the data. R.O., K.M., T.H. and H.A. planned the research. K.M., R.O. and H.A. wrote the manuscript. H.A. supervised the work. All authors discussed the results.

Additional information

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