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Empagliflozin effects on iron metabolism as a possible mechanism for improved clinical outcomes in non-diabetic patients with systolic heart failure

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Sodium-glucose co-transporter-2 (SGLT2) inhibitors improve clinical outcomes in patients with heart failure (HF), but mechanisms of action are incompletely understood. In the EMPA-TROPISM trial, empagliflozin reversed cardiac remodeling and increased physical capacity in stable non-diabetic patients with systolic HF. Here we explore, post hoc, whether treatment effects in this cohort, comprising patients who had a high prevalence of iron deficiency, were related to iron metabolism. Myocardial iron content estimated by cardiac magnetic resonance T2* quantification increased after initiation of empagliflozin but not placebo (treatment effect: P = 0.01). T2* changes significantly correlated with changes in left ventricular volumes, mass and ejection fraction, peak oxygen consumption and 6-minute walking distance; concomitant changes in red blood cell indices were consistent with augmented hematopoiesis. Exploratory causal mediation analysis findings indicated that changes in myocardial iron content after treatment with empagliflozin may be an important mechanism to explain its beneficial clinical effects in patients with HF.ClinicalTrials.gov: NCT03485222.

Sodium-glucose co-transporter-2 (SGLT2) inhibitors rapidly reduce cardiovascular risk in patients with heart failure $(HF)^{1-3}$, but underlying mechanisms have remained incompletely understood^{4,5}.

Among multiple other effects, SGLT2 inhibitors enhance myocardial energetics⁵⁻⁸, reverse cardiac remodeling and improve exercise capacity^{7,9-13}, irrespective of diabetes status. Several mechanistic trials reported changes in laboratory iron markers, red blood cell (RBC) indices or erythropoietin levels¹⁴⁻²⁰, and, more recently, proteomics research revealed complex proteome shifts induced by SGLT2 inhibitors, implicating modifications of iron homeostasis proteins and

¹Comprehensive Heart Failure Center, Würzburg University and University Hospital Würzburg, and Department of Medicine 1, University Hospital Würzburg, Würzburg, Germany. ²Atherothrombosis Research Unit, Icahn School of Medicine at Mount Sinai, New York, NY, USA. ³Mount Sinai Heart, Icahn School of Medicine at Mount Sinai, New York, NY, USA. ⁴Institute of Medical Biometry and Epidemiology, University Hospital Hamburg-Eppendorf, Hamburg, Germany. ⁵University Center of Cardiovascular Science, University Heart and Vascular Center, University Hospital Hamburg-Eppendorf, and German Center of Cardiovascular Research, Partner Site Hamburg - Kiel - Lübeck, Hamburg, Germany. ⁶Department of Stem Cell Biology and Regenerative Medicine, Eli and Edythe Broad Center for Regenerative Medicine and Stem Cell Research, Keck School of Medicine of the University of Southern California, Los Angeles, CA, USA. ⁷Department of Medicine V, University Medical Centre Mannheim, Mannheim, Germany. ^Ce-mail: angermann_c@ukw.de erythropoietin, among other changes^{21,22}. Secondary analyses from clinical trials suggest that SGLT2 inhibitors increase iron mobilization and use and enhance hematopoiesis^{17,18,20}. Given the high prevalence and adverse prognostic significance of iron deficiency and anemia in people with HF²³⁻²⁶, better clarification of possible biological links between changes in iron status and the beneficial clinical effects of SGLT2 inhibitors is desirable.

Using cardiac magnetic resonance (CMR) imaging, the 'Are the 'cardiac benefits' of empagliflozin independent of its hypoglycemic activity?' (ATRU-4; EMPA-TROPISM) trial showed that 6 months' treatment with empagliflozin induced reverse cardiac remodeling and improved exercise capacity^{II}. The EMPATROPISM-FE substudy was designed post hoc (1) to determine whether treatment with empagliflozin is associated with changes in myocardial iron content as estimated by CMR-derived T2*; (2) to explore whether changes in myocardial iron content correlate with changes in left ventricular (LV) structure and function and in physical performance that were observed in EMPA-TROPISM^{II}; (3) to assess concomitant changes in systemic iron status, RBC indices, hepcidin and erythropoietin; and (4) to identify potential mediators of the LV structural and functional changes.

Results

Study patients

Recruitment took place between May 2018 and August 2019. The last patient completed follow-up on 14 February 2020. One patient in the placebo group died, three patients were lost to follow-up and none received iron supplementation, meaning that 80 of the original 84 EMPA-TROPISM participants were eligible for EMPATROPISM-FE.

Patients had a mean age of 62 ± 12 years, and 60% were men. A high proportion of patients from minority ethnic groups was enrolled, and most had ischemic HF etiology. In both study arms, 18% of patients had chronic kidney disease (estimated glomerular filtration rate (eGFR) <60 ml/min/1.73 m²), but average eGFR was normal. Baseline characteristics were similar regarding demographic and clinical features, comorbidities, prescription rates of guideline-recommended medical therapy (GRMT) and devices (Table 1), and neither vital parameters nor any of the efficacy outcomes studied in EMPATROPISM-FE differed between study arms (Table 2).

Changes in vital status

Empagliflozin reduced systolic and diastolic blood pressure and heart rate (Table 2), with adjusted treatment effects (95% confidence interval (CI) of -14.9 (-22.7, -7.1) mmHg and -7.5 (-11.7, -3.4) mmHg and -9.5 (-13.4, -5.6) beats per minute, respectively).

Changes in myocardial T2*

At the 6-month follow-up, T2* had decreased significantly in the empagliflozin group but remained unchanged in the placebo group (adjusted change (95% Cl) -1.3 (-2.1, -0.5) ms versus +0.2 (-0.6, 1.0) ms, respectively, and overall treatment effect -1.5 ms (95% Cl -2.7, -0.4)) (Fig. 1a).

Changes in measures of LV remodeling and physical capacity

At the 6-month follow-up, LV volumes and mass had decreased, and left ventricular ejection fraction (LVEF) had improved in patients receiving empagliflozin but not in patients in the placebo group (Table 2). Baseline-adjusted treatment effects (95% CI) for empagliflozin versus placebo were: left ventricular end-diastolic volume (LVEDV) -23(-34, -11) ml; left ventricular end-systolic volume (LVESV) -25(-35, -16) ml; LV mass -17(-11, -24) g; and LVEF +6.1(+8.0, +4.2) %. Across study groups, correlations were found between the changes in myocardial T2* and changes in LVEDV (r = 0.50), LVESV (r = 0.47), LV mass (r = 0.27) and LVEF (r = -0.35) (Fig. 2a).

After 6 months, exercise capacity had improved in patients on empagliflozin and declined in those on placebo (Table 2). The treatment effect (95% CI) with empagliflozin (n = 31) versus placebo (n = 22)

Table 1 | Patient demographic and clinical characteristics at baseline

Variable	Empagliflozin (<i>n</i> =40)	Placebo (n=40)
Age, years	64±11	60±13
Male sex	25 (63)	23 (57)
Ethnicity		
Caucasian	15 (38)	6 (15)
Hispanic/Latino	18 (45)	22 (55)
African American	7 (18)	9 (23)
Asian	0	3 (8)
HF features and comorbidities		
Ischemic etiology	23 (57)	19 (49)
Hospitalization for HF (last 12 months)	13 (33)	12 (30)
NYHA class I/II	31 (78)	36 (90)
NYHA class III	9 (23)	4 (10)
Hypertension	32 (80)	26 (67)
Atrial fibrillation	8 (20)	7 (18)
CKD	7 (18)	7 (18)
HF therapies		
ACEi/ARB/ARNi	35 (88)	31 (79)
ARNi	19 (48)	14 (36)
Beta-blocker	34 (85)	36 (92)
Loop diuretic	20 (50)	23 (59)
Thiazide diuretic	3 (8)	1 (3)
MRA	10 (25)	12 (31)
Pacemaker or ICD	6 (15)	4 (10)
CRT	1 (3)	1 (3)

Values are mean±s.d. or number of patients (%). ARB, angiotensin receptor blocker; ACEi, angiotensin-converting enzyme inhibitor; ARNi, angiotensin receptor neprilysin inhibitor; CKD, chronic kidney disease (eGFR <60 ml/min/1.73 m²); CRT, cardiac resynchronization therapy—pacemaker; HF, heart failure; ICD, implantable cardioverter defibrillator; MRA, mineralocorticoid receptor antagonist; NYHA, New York Heart Association.

was +1.7 (0.4, 3.1) ml/kg/min. T2* changes correlated inversely with changes in peak oxygen consumption (VO₂) across study groups (r = -0.64; Fig. 2b, left). The treatment effect (95% Cl) for empagliflozin on 6-minute walking distance (6-MWD) was 112 (82, 141) m. Albeit weakly, T2* changes were also inversely correlated with changes in 6-MWD (r = -0.25; Fig. 2b, right) across study groups.

Changes in iron and inflammation markers and in RBC indices

Overall, 69 patients (91%) had a plasma iron level \leq 13 µmol L⁻¹, and 70 patients (92%) had a transferrin saturation (TSAT) <20% and were, therefore, classified as having iron deficiency²⁵. Plasma ferritin was <100 µg L⁻¹in 41 patients (54%). Depending on the definition (hemoglobin <13/<12 g dl⁻¹ or hematocrit <39%/<36% in men/women), anemia was present in 24 (30%) or 20 (25%) patients at baseline. Distribution of patients with iron deficiency and/or anemia was similar across study arms (Table 2).

In patients receiving empagliflozin, there was a 12% increase in soluble transferrin receptor (sTfR) after 6 months, whereas mean ferritin and hepcidin had declined to 76% and 68% of baseline values, respectively, and iron and transferrin levels, TSAT and high-sensitivity C-reactive protein (hsCRP) were unchanged (Table 2 and Fig. 3). In the placebo group, no changes occurred in any of these markers. Figure 3 shows the treatment effects.

Six months' treatment with empagliflozin was associated with increases in hemoglobin, RBC count and hematocrit, whereas these

Table 2 | Data for efficacy outcome parameters at baseline and observed changes at 6-month follow-up

Variable Empagliflozi		gliflozin (n=40)	(n=40) Placebo (n=40)	
	Baseline	6months	Baseline	6 months
Vital status				
Systolic blood pressure, mmHg	126.5±19.9	116.5±14.5	120.8±18.3	129.8±20.9
Diastolic blood pressure, mmHg	77.3±9.5	72.8±8.9	76.9±12.3	80.2±12.0
Heart rate (ECG), beats per minute	73.7±16.6	67.8±11.2	77.5±14.8	78.8±10.1
CMR imaging				
T2 [*] , ms	41.9±4.8	40.5±5.3	41.0±4.9	41.2±5.2
LVEDV, ml	219.8±75.8	194.6±69.7	210.4±68.9	208.9±72.8
LVESV, ml	143.6±66.3	117.0±60.0	135.1±54.8	134.5±58.9
LVEF, %	36.2±8.2	42.2±9.2	36.5±8.0	36.3±8.5
LV mass, g	135.2±45.2	122.0±40.6	131.8±54.4	135.8±61.0
Cardiopulmonary exercise testing				
Peak VO ₂ , ml/kg/min	15.3±4.3	16.4±4.4	14.5±3.9	14.0±4.2
6-MWD, m	419.8±93.4	501.3±100.0	451.8±100.6	417.3±110.9
Laboratory markers of iron status and inflammation				
Iron, µmolL ⁻¹	6.2 (4.8–9.9)	6.6 (4.8-8.4)	7.4 (4.8–8.5)	7.8 (5.3–9.4)
Iron deficiency ^a (by iron level), n (%)	35 (92)	37 (97)	34 (89)	34 (92)
Transferrin, mgdl ⁻¹	248.8 (233.0–273.5)	259.0 (230.5–293.5)	264.8 (228.5–292.5)	260.5 (214.5–285.5)
TSAT, %	11.2 (7.5–15.8)	10.5 (7.5–14.7)	10.9 (7.1–13.9)	12.0 (9.2–15.3)
Iron deficiency ^a (by transferrin saturation), <i>n</i> (%)	36 (95)	35 (92)	34 (89)	34 (92)
sTfR, ngml ⁻¹	1,393 (1,167–1,678)	1,436 (1,266–1,787)	1,522 (1,182–1,789)	1,338 (1,149–1,711)
Ferritin, µg L⁻¹	108.1 (144.7–177.3)	74.2 (40.7–134.1)	83.2 (47.5–128.9)	89.9 (54.5–122.6)
Ferritin <100 μg L ^{-1 b} , <i>n</i> (%)	18 (47)	24 (63)	23 (61)	23 (62)
Hepcidin, µgL ⁻¹	9.3 (4.3–23.5)	6.8 (2.7–13.8)	7.3 (3.7–15.3)	6.7 (4.9–11.2)
hsCRP, mgdl ⁻¹	1.9 (0.9–4.3)	2.0 (0.8–4.6)	2.7 (1.3–6.3)	2.4 (1.1–7.3)
RBC indices and hematocrit				
Hemoglobin, gdl ⁻¹	13.2±1.6	13.7±1.8	13.4±1.6	13.5±1.7
Anemia° (by hemoglobin), <i>n</i> (%)	13 (33)	9 (23)	11 (28)	8 (20)
Hematocrit, (%)	40.1±4.6	41.7±5.4	41.0±5.0	41.1±5.0
Anemia ^c (by hematocrit), <i>n</i> (%)	11 (28)	8 (20)	9 (23)	8 (20)
RBC count, ×10 ¹² per L	4.5±0.5	4.7±0.6	4.6±0.7	4.6±0.6
MCV, fL	89.1±6.2	89.4±6.4	89.0±6.0	90.2±6.0
MCHC, g dl ⁻¹	33.0±0.6	32.8±0.7	32.8±0.8	33.0±0.7
MCH, pg	29.4±2.3	29.5±2.2	29.2±2.3	29.8±2.2
RBC distribution width, %	14.2 (13.5–15.4)	14.4 (13.8–15.8)	14.4 (13.8–16.4)	14.0 (13.4–15.1)
Erythropoietin				
Erythropoietin concentration, mUml ⁻¹	10.1 (7.6–15.7)	10.3 (6.4–15.4)	10.9 (9.0–15.6)	9.5 (6.9–14.5)

Values are mean±s.d., median (interquartile range) or number of patients (%). ECG, electrocardiogram; IQR, interquartile range; peak VO2, peak oxygen consumption. ^aIron deficiency was defined as plasma iron level <13 µmolL⁻¹ or transferrin saturation <20%. ^bFerritin <100 µgL⁻¹ also indicates iron deficiency in patients with HF. ^cAnemia was defined as hemoglobin <13 gdl⁻¹ (<12 gdl⁻¹) in men (women) or hematocrit <39% (<36%) in men (women).

variables were unchanged in the placebo group (Table 2 and Fig. 4). There were no major changes from baseline in mean corpuscular volume (MCV), mean corpuscular hemoglobin (MCH) and mean corpuscular hemoglobin concentration (MCHC) with empagliflozin, whereas all these indices increased in the placebo group (Table 2 and Fig. 4). RBC distribution width tended to increase with empagliflozin and to decrease with placebo. No significant treatment effects on erythropoietinwere observed (Table 2 and Fig. 4). Figure 4 shows the treatment effects.

No correlations were found among baseline T2* values, systemic iron markers, hepcidin or hsCRP levels or of changes of these variables

after 6 months' treatment, nor were the changes in T2* related to baseline iron status, hepcidin or hsCRP levels (Extended Data Table 1).

Causal mediation analysis

Depending on the outcome-mediator combinations examined, univariable exploratory causal mediation analysis (CMA) identified changes in T2*, vital parameters and RBC indices as potentially relevant mediators of empagliflozin effects on changes in LV structure and function and changes in exercise capacity, whereas markers of systemic iron status, hepcidin, erythropoietin and hsCRP did not mediate relevant



Fig. 1 | **Estimation of myocardial iron content using CMR T2* mapping. a**, Baseline-adjusted intra-group changes with 95% CI in myocardial T2* from baseline to 6-month follow-up in patients treated with empagliflozin (40 patients) versus placebo (36 patients). Estimated using a baseline-adjusted linear regression model with a two-sided significance level of 0.05, without correction

1.5T Magnetom Avanto (Siemens)
Multiecho gradient T2* sequence with 8 echo times (mid-ventricular short-axis image)
Region of interest (septal myocardium)
T2* analysis using cvi42 (Circle CV Imaging)
Monoexponential decay model, (mean signal intensities for T2*, nonlinear curve fitting algorithm)
Blinded analysis (regarding timepoint/treatment allocation), mean values of two oberservers

h

for multiplicity. Results show a significant treatment effect due to a decline of myocardial T2* values in patients treated with empagliflozin. **b**, Mapping technology to quantify parametric T2* as a surrogate marker of myocardial iron content, with lower values suggesting higher myocardial iron content⁴¹. See text for further details. CV, cardiovascular; ROI, region of interest.

proportions of the empagliflozin effect (Extended Data Table 2). Of these variables, those pertaining to the same mechanistic category were grouped into the following clusters: change in T2*; change in vital parameters (systolic and diastolic blood pressure and heart rate); and change in RBC indices. For each outcome, the adjusted total effects (TE) and the direct effects after controlling for the three clusters of variables were estimated in stepwise multivariable CMA (Figs. 5 and 6). The graphs illustrate that addition of mediators from each cluster shifted the treatment effect toward the zero line. The greater this shift, the higher the proportion of the treatment effect that is explained via the mediator(s) (that is, proportion mediated (PM)) and the smaller the proportion of the treatment effect that would remain if it were possible to eliminate/control for the effect of the mediator(s) on the outcome (controlled direct effect (CDE)).

Discussion

Key findings from EMPATROPISM-FE are as follows. First, after 6 months' exposure to empagliflozin, there was a significant treatment effect on CMR-derived myocardial T2* compared to placebo, indicating repletion of myocardial iron content. Although most EMPATROPISM-FE participants had iron deficiency at baseline, treatment with empagliflozin appeared to be associated with further depletion of systemic iron stores. The specific molecular pathways of these changes remain elusive. Beyond the effects of empagliflozin, myocardial iron content is likely to be modulated by other mechanisms implicated in both systemic and intracellular iron homeostasis^{27,28}. Second, the magnitude of changes in myocardial iron content correlated with the improvements in measures of cardiac remodeling and physical performance that were previously reported after 6 months' treatment with empagliflozin¹¹. This was consistent across study groups. T2* increases were associated with progressive adverse remodeling. Third, treatment with empagliflozin was associated with changes in various markers of systemic iron status, suggesting increased mobilization and utilization of stored iron²⁰. Fourth, changes and trends observed in RBC indices collectively implied augmented hematopoiesis. Finally, exploratory CMA suggested that T2* changes were the most important mediator of the treatment effects observed in EMPA-TROPISM.

Iron is a ubiquitous co-factor for the biogenesis of enzymes, lipids and proteins and for multiple oxidative metabolism processes,

erythropoiesis and oxygen transport and storage^{27,29}. Experimental data suggest that myocardial iron deficiency leads to progressive cardiac remodeling and hypertrophy and impaired mitochondrial respiration^{30,31}. The high prevalence of iron deficiency among people with HF may be related to reduced dietary intake, attenuated absorption due to systemic inflammation and intestinal congestion, drug effects, blood loss or genetic disposition^{23,27,29}, but dysregulated iron homeostasis with low TSAT and elevated sTfR levels has also been linked to increased norepinephrine levels³². Neurohormonal activation may induce changes in the regulatory molecules of cellular iron homeostasis, resulting in downregulation of mRNA expression of transferrin receptor 1 and inactivation of iron regulatory proteins, thus leading to intracellular iron deficiency and mitochondrial dysfunction^{28,33,34}. On the other hand, robust evidence suggests that myocardial iron content is not closely related to systemic iron status^{33,35,36}, which may help explain why EMPATROPISM-FE did not, neither at baseline nor during treatment, demonstrate a relationship between myocardial T2*and systemic iron markers and their changes.

A recent randomized trial in iron-deficient patients with HF and reduced LVEF demonstrated that intravenous ferric carboximaltose (FCM) reduced CMR-derived myocardial T2* (ref. 37). Although baseline values were similar in both studies, the decrease in myocardial T2* after application of FCM at days 7 and 30 was more than twice that observed in EMPATROPISM-FE. The decrease in T2* induced by FCM correlated with improvements in LVEF at day 30, but 6-MWD remained unchanged³⁷. Although T2* decreased more with FCM, functional improvements with empagliflozin were greater and accompanied by reverse remodeling and improved physical performance. Bypassing the tight systemic and cellular homeostatic mechanisms, intravenous iron replacement ameliorates systemic iron deficiency but is unlikely to change the biological mechanisms underlying myocardial iron depletion, such as neurohormonal activation^{33,34}. In contrast, our CMA results provide evidence that reduced sympathetic nervous system activity (as suggested by the lower blood pressure and heart rate in EMPATROPISM-FE participants on empagliflozin) may contribute to the beneficial treatment effects on cardiac structure and function and on physical performance.

FCM increases ferritin and TSAT. In contrast, we observed in EMPATROPISM-FE a decline in ferritin, a trend toward lower TSAT



§ baseline-adjusted bivariate correlation, available case analysis

Fig. 2 | Group-specific correlations and regression lines of changes in T2* and changes in measures of LV remodeling and exercise capacity at 6-month follow-up. a,b, Group-specific correlations and regression lines of changes in T2* and changes in measures of LV remodeling (a) and exercise capacity (b) at 6-month follow-up. Pearson correlation coefficients are presented for both study groups and compared for equal correlations using the Jennrich test. In case of a non-significant test, the Pearson correlation coefficient over all patients is given. Results show significant correlations between the changes across study groups. BL, baseline.



Fig. 3 | Effect of 6 months' treatment with empagliflozin versus placebo on laboratory markers of iron status and hepcidin and resulting treatment effects. Data are presented as baseline-adjusted mean differences from baseline

with 95% CI, estimated using baseline-adjusted linear regression models for each endpoint with a two-sided significance level of 0.05 and without correction for multiplicity. All analyses are based on data from 80 patients.

and higher transferrin and iron levels. Our results expand on similar findings in non-HF patients with type 2 diabetes treated with SGLT2 inhibitors¹⁴⁻¹⁶ and are consistent with changes in markers of systemic iron metabolism after 12 months' exposure to dapagliflozin in a recent analysis from the Dapagliflozin and Prevention of Adverse Outcomes in Heart Failure (DAPA-HF) study²⁰. Although the definition of iron deficiency used in the DAPA-HF study is in accordance with guidelines, and therefore has so far remained the 'official' definition. it has been questioned because compared with the gold-standard bone marrow staining, other definitions, such as the ones mentioned here, which were also used in EMPATROPISM-FE, did better at diagnosis and at predicting prognosis²⁵. The use of different definitions limits comparability of the two studies. Nevertheless, although iron status variables indicated more severe iron deficiency in patients from EMPATROPISM-FE compared to patients from DAPA-HF, treatment-induced changes in systemic iron markers were of similar magnitude in both studies. Together, results suggest that improvements in cellular iron availability and metabolism occurred irrespective of systemic iron status, which may help explain why beneficial clinical effects were observed in both iron-replete and iron-deficient patients in DAPA-HF²⁰.

Complementary to findings by Ghanim et al.¹⁵, who reported an increase in transferrin receptor-1 mRNA expression in patients with diabetes on dapagliflozin, and to observations from DAPA-HF²⁰, we found that sTfR levels increased with empagliflozin. The increase co-occurred with rises in hemoglobin, RBC count and hematocrit, changes in other RBC indices and a wider RBC distribution width, all consistent with augmented erythropoiesis.

Mazer et al.¹⁴ reported similar, albeit more pronounced changes in RBC indices in patients with diabetes after 6 months' treatment with empagliflozin. More severe iron deficiency, as reflected by substantially lower baseline iron levels and TSAT in EMPATROPISM-FE participants, could have limited their erythropoietic response. Together, these results indicate that increases in hemoglobin and/or hematocrit, as consistently reported from large SGLT2 inhibitor outcome trials^{17,18}, likely reflect also augmented erythropoiesis rather than hemoconcentration only.

Previous mediation analysis identified increases in hemoglobin and/or hematocrit as principal mediators of a reduction in clinical outcome events in patients with diabetes and cardiovascular disease treated with empagliflozin³⁸, but this analysis did not consider iron metabolism. In EMPATROPISM-FE, an increase in myocardial iron content appeared as the most important mediator of the effects of empagliflozin on EMPA-TROPISM outcomes¹⁴⁻²⁰ in exploratory CMA. Interestingly, concomitant changes in RBC indices did not explain relevant proportions of the treatment effect, when added to changes in T2* and vital parameters, although these changes were similar in magnitude to those observed in previous SGLT2 inhibitor trials^{14,17-19,38}. Remarkably, CMA also showed that, like changes in hepcidin or hsCRP, changes in markers of systemic iron status appeared unrelated to the treatment effects. This may also explain why beneficial dapagliflozin effects in a large clinical trial occurred irrespective of participants' systemic iron status²⁰.

Several previous studies reported a transient early increase in erythropoietin levels after initiation of an SGLT2 inhibitor, but treatment effects lost statistical significance with time^{14-16,19}. Our observation of a non-significant increase in erythropoietin at 6-month follow-up is consistent with this. If anemia is present, erythropoietin increases, to activate hematopoiesis, which, in turn, increases sTfR

	Adjusted differences from baseline at 6 months	95% CI	P value
Hemoglobin (g dl ⁻¹)			
Placebo	0.14	(-0.18, 0.47)	
Empagliflozin	0.44	(0.11, 0.76)	
Treatment effect	0.29	(-0.16, 0.75)	0.205
Hematocrit (%)			
Placebo	0.17	(-0.82, 1.15)	
Empagliflozin	1.50	(0.52, 2.49)	0.000
Treatment effect	1.34	(-0.06, 2.73)	0.060
RBC count (×10 ¹² per L)			
Placebo	-0.04	(-0.16, 0.07)	
Empaglificizin Trootmont offoot	0.13	(0.01, 0.24)	0.027
freatment enect	0.17	(0.01, 0.33)	0.037
MCV (fL)		(0.46.1.02)	
Placebo	1.20	(0.46, 1.93)	
Treatment effect	-0.95	(-0.49, 0.98)	0.071
ficulation circor	÷	(1.00, 0.00)	0.071
MCH (pg)			
Placebo	0.55	(0.23, 0.87)	
Empaglificzin Treatment effect	-0.45	(-0.22, 0.42)	0.052
freatment enect	-0.43	(-0.30, 0.00)	0.032
MCHC (g dl ⁻¹)			
Placebo	0.17	(-0.02, 0.35)	
Empaglificizin Trootmont offoot	-0.08	(-0.27, 0.10)	0.064
freatment enect	-0.25	(-0.51, 0.02)	0.064
	-2 -1.5 -1 -0.5 0 0.5 1 1.5 2 2.5 3		
	Adjusted multiple of baseline at 6 months	95% CI	P value
RBC distribution width ((%)		
Placebo	• 0.97	(0.94, 1.00)	
Empagliflozin	+ 1.02	(0.99, 1.05)	
Treatment effect	1.05	(1.00, 1.11)	0.034
Frythropoietin (mll ml ⁻⁷	1)		
Placebo		(0.75, 1.03)	
Empagliflazin	0.00	(0.73, 1.03)	
Empagunozin Trastas et effect	1.02	(0.07, 1.19)	0.100
ireatment effect	1.16	(0.93, 1.46)	0.193
	0.5 0.75 1 1.25 1.5		

Fig. 4 | **Effects of 6 months' treatment with empagliflozin versus placebo on RBC indices, hematocrit and erythropoietin and resulting treatment effects.** Data are presented as baseline-adjusted mean difference from baseline with 95% Cl, estimated using baseline-adjusted linear regression models for each endpoint with a two-sided significance level of 0.05 and without correction for multiplicity. All analyses are based on data from 80 patients.

levels^{29,39}. Gradual improvements in RBC indices might, thus, explain the decline of erythropoietin levels with prolonged SGLT2 inhibitor exposure^{14,15}.

Similar to Ghanim et al.¹⁵, who reported that dapagliflozin reduced hepcidin in patients with diabetes, and with findings from DAPA-HF²⁰, hepcidin levels tended to decrease with empagliflozin in EMPATROPISM-FE. One possible explanation is depletion of systemic iron stores because hepatic hepcidin production is downregulated with increased iron utilization²⁹. However, hepcidin levels are modulated by multiple other factors (for example, inflammation), so the current findings relate to the specific study population but possibly not to patients with different characteristics^{23,27,29,31}.

In EMPATROPISM-FE, we demonstrate that treatment with empagliflozin is associated with myocardial iron repletion even in the presence of systemic iron deficiency. Recent proteomics research and evidence from other clinical trials corroborate the relevance of this finding^{14,15,20–22}. Although increased iron uptake and utilization in metabolizing tissues after treatment with an SGLT2 inhibitor requires further confirmation, available evidence suggests a potential synergy with therapeutic iron supplementation to replenish deficient iron stores, to further enhance myocardial energetics and to ameliorate anemia. Whether iron uptake by enterocytes is enhanced by SGLT2 inhibitors and whether other organ-specific effects of SGLT2 inhibitors may also be attributable to improved cellular iron availability and use deserve further study.

Strengths and limitations

This study reports a relationship between changes in myocardial iron content and changes in cardiac remodeling and physical performance after exposure to empagliflozin, and the inclusion of exploratory CMA allowed myocardial iron content to be identified as a potential key mediator of these treatment effects. Another strength of this study is the multi-ethnic nature of the participants. Conversely, the variety of ethnicities represented in this population could limit generalizability due to the impact of inter-ethnic differences in dietary habits and genetic makeup on iron deficiency prevalence and severity^{23,40}.

Several other potential limitations should be noted. First, EMPATROPISM-FE was designed post hoc, relying on previously stored images and biomaterials from a relatively small, single-site randomized trial. The modest sample size did not provide sufficient power for analysis of all efficacy outcomes, precluded subgroup analyses and limited exploratory CMA. Furthermore, small sample size and lack of



Fig. 5 | Stepwise multivariable CMAs for the treatment effects on changes in measures of LV structure and function. For each outcome, baseline-adjusted treatment effects with 95% bootstrapped CIs are presented as TE and CDE. The CDE describes the residual treatment effect after controlling for the mediated

treatment effect. Shifts in the treatment effect illustrate the contributions mediated with stepwise addition of the three clusters of mediators. All analyses are based on data from 80 patients. DBP, diastolic blood pressure; Hb, hemoglobin; Hkt, hematocrit; HR, heart rate; SBP, systolic blood pressure.

adjustment for multiple comparisons increase the risk of type I error (yet the consistent effects seen in the CMA provide support for the clinical relevance of our findings). Although CMR-derived T2* is currently considered the variable of choice for non-invasive assessment of myocardial tissue iron, it is better established for determining cardiac iron overload rather than assessment of myocardial iron depletion⁴¹. In addition, some patients had missing cardiopulmonary exercise testing (CPET) assessments, and, therefore, observed changes may not be representative of the entire study population. However, CPET results were consistent with those of the 6-minute walk test (6-MWT), which was performed by all patients. Finally, inclusion and exclusion criteria, especially restriction of the sample to patients with reduced LVEF, limit generalizability.

Conclusions

Empagliflozin increased myocardial iron content, depleted systemic iron stores and activated hematopoiesis in non-diabetic patients with stable systolic HF and a high prevalence of iron deficiency. Changes in CMR-derived T2* correlated with changes in measures of cardiac structure and function and of physical performance, and the findings of exploratory CMA strengthened the concept that effects of empagliflozin on iron availability and utilization may be an important mechanism to explain its beneficial clinical effects. However, given the modest sample size and post hoc design of EMPATROPISM-FE, our findings should be considered hypothesis generating and need confirmation in a prospective multi-center trial.

Methods

Study design

EMPA-TROPISM (NCT03485222) was a single-site, investigator-initiated, double-blind, placebo-controlled trial designed and conducted in accordance with Good Clinical Practice standards. The protocol was approved by the institutional review board of the Icahn School of Medicine at Mount Sinai. Before enrollment, all patients provided written informed consent. Full details of EMPA-TROPISM study design and primary results were previously published^{11,42}.

Study participants

EMPA-TROPISM study inclusion and exclusion criteria were reported previously^{11,42}. In brief, male and female outpatients aged \geq 18 years with



Fig. 6 | Stepwise multivariable CMAs for the treatment effects on changes in measures of physical capacity. Methods for this analysis are as reported in the Fig. 5 caption. DBP, diastolic blood pressure; Hb, hemoglobin; Hkt, hematocrit; HR, heart rate; SBP, systolic blood pressure.

an established diagnosis of HF and LVEF <50% were eligible if they were on stable pharmacological and device therapy for \geq 3 months. Key exclusion criteria included any history of diabetes; acute coronary syndrome or cardiac surgery within the last 3 months; eGFR <30 ml/min/1.73 m²; systolic blood pressure <90 mmHg; and contraindications to CMR (for example, CMR-incompatible cardiac devices). EMPA-TROPISM participants were eligible for EMPATROPISM-FE if they were completing CMR at the 6-month follow-up and were not receiving any iron supplementation within 6 months before or during the trial.

Study procedures

After providing informed consent, patients were randomized 1:1 to empagliflozin 10 mg per day or matching placebo added to GDMT using a secure web-based system stratified with block sizes of four^{11,42}. Assessments at baseline and after 6 months' treatment included physical examination, standard laboratory testing, full RBC count, CMR, CPET and 6-MWT. At both timepoints, biomaterials were collected and immediately stored at -80 °C. Interim visits for safety assessments and drug dispensing were scheduled at 1 month and 3 months.

CMR imaging. CMR was performed on a 1.5-T magnet (Magnetom Avanto FIT, Siemens) using 32-element phased-array coils as receivers. Images were acquired during end-expiratory breathholds and with electrocardiographic gating. Short-axis cine images of both ventricles were obtained from base to apex with a steady-state free precession sequence. In addition, a mid-ventricular short-axis image was acquired using a multi-echo gradient T2* sequence with eight echo times from 2.59 ms to 21 ms. Commercial software (cvi42, Circle Cardiovascular Imaging) was used for image analysis. Epicardial and endocardial contours were traced in each steady-state free precession cine image to obtain LV volumes, LVEF and LV mass¹¹. Parametric T2* quantification was performed following recent recommendations for quantitative myocardial tissue iron assessment⁴¹. A region of interest was placed in the septal myocardium of a mid-ventricular short-axis image, carefully avoiding scar tissue (as determined by late gadolinium enhancement imaging). To quantify T2*, a mono-exponential decay model and nonlinear algorithm were applied for curve fitting of mean signal intensities of increasing echo time images (Fig. 1b). All CMR analyses were performed after study completion. Results are reported as mean values of measurements by two investigators (C.G.S.-G. and J.A.R.-I.) who were blinded to study timepoint and treatment allocation.

Reproducibility of T2* measurements was strong (inter-rater reliability (95% CI) at baseline: 0.87 (0.80–0.91) and at 6-month follow-up: 0.88 (0.82–0.92); Extended Data Fig. 1).

Exercise testing. To minimize variability, the same sequence of exercise testing was employed at baseline and follow-up, and examinations were supervised by the same personnel. Patients underwent CPET in a fasting state. Upright incremental bicycle ergometry (Lode) with respiratory gas analysis (Med Graphics Ultima O2, MGC Diagnostics) was used. Exercise began with unloaded cycling and increased by 25 W every 3 min. VO₂, carbon dioxide release (VCO₂), minute ventilation (VE), perceived level of exertion (Borg scale 6-20), pulse oximetry, heart rate and blood pressure measurements were recorded. Patients were encouraged to exercise until the respiratory exchange ratio was at least 1.1 or the level of perceived exertion was at least 15. The reason for stopping exercise was recorded. Peak VO₂ was defined as the highest 30-s average of VO₂. The ventilatory threshold was identified as the point at which the ventilatory equivalent for O_2 (VE/VO₂) is minimal, followed by a progressive increase. Ventilation was assessed by correlation of VE and VCO₂ throughout exercise.

The 6-MWT was performed according to American Thoracic Society guidelines⁴³. Patients were instructed to walk as fast and perform as many steps as possible over a 6-min period, without encouragement. Total walking distance was recorded.

Laboratory assessments. RBC indices (hemoglobin, MCV, MCH, MCHC and RBC distribution width) and hematocrit were determined at baseline and at 6-month follow-up. Duplicate measurements of markers of systemic iron status (iron, transferrin, TSAT, sTfR and ferritin), inflammation (hsCRP), hepcidin and erythropoietin were performed in the Biomarker Laboratory of the University Heart & Vascular Center Hamburg, using stored EDTA plasma samples. An ARCHITECT c8000 system was used for assessment of iron (Colormetric Multigent Iron Assay, assay range 0.79–179 µmol L⁻¹); transferrin (immunoturbidimetric transferrin assay, assay range 0.19-4.77 g L⁻¹); and ferritin (Quantia Ferritin assay, assay range 10–500 µg L⁻¹), all Abbott Diagnostics. sTfR, hepcidin and erythropoietin were measured with an ELISA: sTfR using the sTfR HS ELISA (assay range 0.145-160 ng ml⁻¹) and hepcidin using the Hepcidin 25 bioactive HS ELISA (assay range 0-81 ng ml⁻¹), both DRG. Erythropoietin was measured using the Quantikine IVD ELISA Human Erythropoietin Immunoassay (assay range 2.5–200 mlU ml⁻¹),

R&D Systems. hsCRP was measured using the immunoturbidometric Multigent CRP vario assay (Abbott Diagnostics) on an ARCHITECT c8000 system (assay range $0.1-160 \text{ mg L}^{-1}$).

For quality assurance, the following coefficients of variation (CVs) were determined from the duplicate measurements: iron, inter CV 1.96%, intra CV 1.37%; transferrin, inter CV 0.28%, intra CV 1.08%; sTfR, inter CV 11.94%, intra CV 9.31%; ferritin, inter CV 0.14%, intra CV 1.07%; hepcidin, inter CV 4.86%, intra CV 7.52%; erythropoietin, inter CV 5.19%, intra CV 3.64%; and hsCRP, inter CV 0.72%, intra CV 0.89% (Extended Data Fig. 2). Mean values of the duplicate measurements are reported and used for all analyses.

Efficacy measures

In EMPA-TROPISM, the primary endpoint was the change in LVEDV between baseline and 6-month follow-up. Secondary outcomes included changes in LVESV, LVEF, LV mass, peak VO₂ and 6-MWD. The main outcome of EMPATROPISM-FE was change in CMR-derived T2* as a surrogate of myocardial iron content⁴¹. This was then correlated with the primary and secondary outcome measures from EMPA-TROPISM, followed by CMA. Additional endpoints in EMPATROPISM-FE included changes in markers of systemic iron status (iron, transferrin, TSAT, sTfR and ferritin), inflammation (hsCRP), RBC indices, hepcidin and erythropoietin.

Sample size and statistical analysis

The number of participants in EMPATROPISM-FE was a consequence of the sample size calculation for EMPA-TROPISM, which was powered for the primary endpoint and included 84 patients¹¹.

Continuous variables are reported as mean \pm s.d. or median (quartiles) and categorical variables as absolute and relative frequencies. Inter-rater reliability was estimated for repeated T2* measurements (two investigators), and intra-class correlations were determined for repeated laboratory measurements. Continuous variables with skewed distributions were log-transformed.

Treatment effects on T2* (primary outcome), measures of LV structure and function, exercise capacity, systemic iron markers, hsCRP, RBC indices, hepcidin and erythropoietin were estimated using baseline-adjusted linear regression models. Effects are reported as baseline-adjusted difference from, or multiple of, baseline values, as appropriate. Correlations between T2* changes and changes in measures of LV structure and function and, in exercise capacity, were calculated and visualized within study groups. Where within-group correlations did not differ statistically, correlation coefficients across study arms are also reported. These analyses include all patients with available data, with no imputation for missing values.

Exploratory CMA was performed to identify mediators of empagliflozin effects on measures of LV structure and function and on physical performance. CMA aims to decompose the total effect of a treatment (exposure) into a direct effect and a pathway via potential mediator(s) (indirect effect). This pathway is determined by the effect(s) of the treatment on the mediator(s) and the effect of the mediator(s) on the outcome(s), which may depend on the treatment (treatment–mediator interaction)⁴⁴. Potential mediators must be affected by the treatment and be associated with the outcomes of interest. First, the treatment– mediator interaction is considered; if the interaction term does not provide additional explanation regarding the decomposition in the direct effect and the indirect pathway, it can be removed from the model. Subsequently, the following adjusted effects can be estimated:

- TE as an estimate of the treatment effect on the outcome;
- CDE, which corresponds to the direct treatment effect on the outcome after controlling for the treatment effect via the mediator(s);
- The PM (PM = (TE CDE) / TE), which quantifies to what extent the treatment effect is caused by the mediators.

To estimate and causally interpret these effects, the following three conditions must be met:

(1) no treatment–outcome confounding; (2) no treatment–mediator confounding; and (3) no mediator–outcome confounding.

In the current study, CMA was performed for each of the following EMPA-TROPISM outcomes: changes from baseline in LVEDV, LVESV, LVEF, LV mass, peak VO₂ and 6-MWD (Extended Data Fig. 3). The following variables were examined as potential mediators: change from baseline in T2*, systolic and diastolic blood pressure, heart rate, hemoglobin, hematocrit, RBC count, sTFR, iron, ferritin, transferrin, TSAT, hepcidin, erythropoietin and hsCRP. Because the study was a randomized controlled trial, conditions (1) and (2) regarding confounding were fulfilled per se. To fulfil condition (3), multiple adjustments of the CMAs were performed by including the following baseline variables: T2*, systolic and diastolic blood pressure, heart rate, hemoglobin, hematocrit, RBC count, sTFR, ferritin, transferrin, hepcidin and erythropoietin and the examined outcome and potential mediator.

The results of the multivariable CMA are presented in forest plots with bootstrapped 95% CI values with 1,000 replications for the reported effects. In all CMAs, missing values were estimated using the full information maximum likelihood (FIML) procedure⁴⁵.

First, we applied univariable CMA to all potential mediators of each outcome. Subsequently, we grouped variables showing mediating effects on at least one outcome and pertaining to the same mechanistic category into three clusters (T2*, vital parameters and RBC indices) and included those in a stepwise multivariable CMA (Extended Data Fig. 3).

All statistical analyses were conducted at a two-sided significance level of 0.05. Nominal *P* values are reported without correction for multiplicity. Statistical analyses were performed using Stata Statistical Software version 17 (Stata Corp.).

Reporting summary

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

Data availability

De-identified data that support the findings of this study are available from the corresponding author upon reasonable request. Requests will be responded to within 30 working days. Because of the modest sample size and single-center nature of the study, which create a higher potential for re-identification, data will be provided only to qualified researchers with training in human subject confidentiality protocols. Source data are provided with this paper.

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Author contributions

C.E.A.: research conception and study design, acquisition of funding, study coordination, data analysis and interpretation, manuscript drafting and revision of the manuscript. C.G.S.-G. and J.A.R.-I.: data acquisition, analysis and interpretation and critical revision of the manuscript. S.S.: statistical analysis plan, data analysis and interpretation, manuscript drafting and critical revision of the manuscript. T.Z.: laboratory biomarker analysis and quality control and critical revision of the manuscript drafting and critical revision of the manuscript. C.M. and G.E.: research conception and study design and critical revision of the manuscript. J.S.: data acquisition and critical revision of the manuscript. S.F. and V.F.: critical revision of the manuscript. J.J.B.: research conception and study design, acquisition of funding and critical revision of the manuscript. S.F. and Study design, acquisition of funding and critical revision of the manuscript.

Competing interests

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Additional information

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Extended Data Fig. 1 | **Agreement in assessment of myocardial T2* between two investigators.** Shown are interobserver reliabilities of the assessments at baseline (left) and at the 6-month follow-up (right). Each time, the line of identity (y = x) and the range of the 20% deviation of agreement are displayed. Assessments outside this range are shown in red. CI, confidence interval.



Extended Data Fig. 2 | **Agreement between duplicate measurements of iron variables, hepcidin and erythropoietin.** Shown are intraclass reliabilities of duplicate measurements at baseline (left) and at the 6-month follow-up (right).

Each time, the line of identity (y = x) and the range of the 20% deviation of agreement are displayed. Values outside this range are shown in red. The range of intra-class reliabilities is between 0.97 and 1.00.



Extended Data Fig. 3 | Sequence of analyses applied to EMPA-TROPISM

data. Boxes on the left-hand side of the figure show the sequence of analyses performed in the EMPA-TROPISM dataset. In the primary analysis of trial data (top box), the effects of empagliflozin versus placebo on LVEDV, LVESV, LVEF, LV mass, peak VO_2 and 6-MWD were determined. In the post-hoc EMPATROPISM-FE substudy (middle box), we first evaluated the effects of study treatments (empagliflozin and placebo) on myocardial T2*, vital signs (systolic and diastolic blood pressure and heart rate), laboratory markers of iron status and hepcidin, and indices of red blood cells, hematocrit and erythropoietin. Exploratory mediation analysis (bottom box) was added to determine the proportion of

the observed treatment effects that could be attributed to treatment-induced changes in the parameters evaluated in EMPATROPISM-FE. The right part of the figure provides more detailed information on the CMA methodology. CMAs were performed for each of the listed potential mediators and EMPA-TROPISM outcomes. Multiple adjustment of the CMAs was performed by including the listed potential mediator-outcome confounders. LVEDV, left ventricular end-diastolic volume; LVESV, left ventricular end-systolic volume; LVEF, left ventricular ejection fraction; LV mass, left ventricular mass; peak VO₂, peak oxygen consumption; 6-MWD, 6-minute walking distance; CMA, causal mediation analysis.

	Correlations, r (p-value)					
Variable	Baseline values vs. baseline T2*	Change from baseline vs. change in T2* at 6 months	Baseline values vs. change in T2* at 6 months			
Iron, μmol/L	-0.02 (0.857)	-0.14 (0.248)	0.04 (0.735)			
Ferritin ⁺ , µg/L	0.09 (0.470)	-0.09 (0.473)	0.11 (0.341)			
Transferrin, mg/dL	-0.00 (0.972)	0.07 (0.535)	-0.11 (0.337)			
Transferrin saturation, %	-0.03 (0.796)	-0.13 (0.263)	0.09 (0.467)			
sTfR ⁺ , ng/mL	0.09 (0.455)	0.15 (0.205)	-0.04 (0.752)			
Hepcidin ⁺ , µg/L	0.01 (0.961)	-0.10 (0.383)	0.07 (0.563)			
hsCRP ⁺ , mg/dL	0.04 (0.746)	0.02 (0.887)	0.17 (0.181)			

Extended Data Table 1 | Correlations between T2*values and laboratory iron markers, hepcidin and hsCRP

⁺These variables were log-transformed for estimation of the correlation coefficient.

hsCRP, high sensitive C-reactive protein; sTfR, soluble transferrin receptor.

In the absence of significant treatment group-specific correlations, all correlations (P values) are reported across both treatment arms.

Article

	Outcome					
	LVEDV	LVESV	LVEF	LV mass	Peak VO ₂	6-MW
						distance
Potential mediator			Proportio	n mediated		
T2*	0.35	0.22	0.11	0.07	0.61	0.02
Systolic blood pressure	0.26	0.18	0.10	0.07	-0.27	0.02
Diastolic blood pressure	0.23	0.15	0.09	0.13	0.10	-0.05
Heart rate	-0.01	0.02	0.03	0.14	0.01	-0.08
Hemoglobin	0.08	0.02	-0.04	-0.03	0.15	0.07
Hematocrit	0.08	0.01	-0.06	-0.04	0.20	0.09
Red blood cell count	0.05	-0.01	-0.08	-0.04	0.19	0.05
sTfR	0.13	0.10	0.06	0.09	-0.11	0.00
Iron	-0.00	0.00	0.00	0.01	-0.02	-0.01
Ferritin	-0.11	-0.03	0.03	0.03	-0.12	0.05
Transferrin	-0.06	-0.05	0.01	0.03	-0.03	0.11
Transferrin saturation	-0.03	-0.01	0.00	0.02	-0.05	-0.02
Hepcidin	0.04	0.03	0.03	0.07	-0.12	0.04
Erythropoietin	-0.00	0.01	0.03	0.04	-0.07	-0.01
hsCRP	0.04	0.03	0.02	0.04	0.01	-0.03
Proporti	on mediated	> 0.05	> 0.10	> 0.20	> 0.30	> 0.40

Extended Data Table 2 | Univariable CMAs for the effect of empagliflozin on changes in measures of LV structure and function and of physical performance (n=80)

Heat map showing proportions mediated in each outcome-mediator combination. The proportions mediated reflect how much of the overall treatment effect on each of the outcome variables is affected by a potential mediator. For each outcome variable and mediator, adjustments for the respective baseline values and for predefined baseline confounders were made.

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Last updated by author(s): Jul 25, 2023

Reporting Summary

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Statistics

For all statistical analyses, confirm that the following items are present in the figure legend, table legend, main text, or Methods section.

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- For null hypothesis testing, the test statistic (e.g. *F*, *t*, *r*) with confidence intervals, effect sizes, degrees of freedom and *P* value noted *Give P values as exact values whenever suitable.*
- For Bayesian analysis, information on the choice of priors and Markov chain Monte Carlo settings
- ∞ For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes

Estimates of effect sizes (e.g. Cohen's *d*, Pearson's *r*), indicating how they were calculated

Our web collection on statistics for biologists contains articles on many of the points above.

Software and code

Policy information about <u>availability of computer code</u>		
Data collection	No software was used.	
Data analysis	Statistical analyses were conducted using Stata Statistical Software, version 17 (Stata Corp., USA)	

For manuscripts utilizing custom algorithms or software that are central to the research but not yet described in published literature, software must be made available to editors and reviewers. We strongly encourage code deposition in a community repository (e.g. GitHub). See the Nature Portfolio guidelines for submitting code & software for further information.

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Deidentified data that support the findings of this study are available from the corresponding author upon reasonable request. Because of the modest sample size and the single-center nature of the trial, which creates a higher potential for re-identification, data will be provided only to qualified researchers in training in human subject confidentiality protocols.

Research involving human participants, their data, or biological material

Policy information about studies with <u>human participants or human data</u>. See also policy information about <u>sex, gender (identity/presentation)</u>, <u>and sexual orientation</u> and <u>race, ethnicity and racism</u>.

Reporting on sex and gender	We report sex distribution in the patient sample investigated in this trial ('60% were men'). The moderate size of the study sample did not allow investigation of the principal research question in subgroups according to patient sex.
Reporting on race, ethnicity, or other socially relevant groupings	We report on a study population of elderly non-diabetic patients with sympromatic heart failure and a left ventricular ejection fraction below 50%, who had a mean age of 62±12 years; 60% were men and the majority had ischemic heart failure etiology. A high proportion of the study population were from ethnic minorities.
Population characteristics	Male and female outpatients aged ≥18 years with an established diagnosis of heart failure and a left ventricular ejection fraction below 50% were eligible for the EMPATROPISM study if they had been on stable pharmacological and device therapies for at least 3 months. Key exclusion criteria were: any history of diabetes; acute coronary syndrome or cardiac surgery within the last three months; eGFR <30 mL/min/1.73m2; systolic blood pressure <90 mmHg; contraindications to cardiac magnetic resonance imaging (CMR, e.g. CMR-incompatible cardiac devices). EMPATROPISM participants were eligible for EMPATROPISM-FE if they completed 6-month follow-up including CMR, and did not receive any iron supplementation within 6 months before or during the trial. Baseline patient characteristics were similar in both study arms with respect to demographic and clinical features, comorbidities, prescription rates of guideline recommended therapy, and device therapies. In both study arms, 18% of patients had chronic kidney disease (estimated glomerular filtration rate [eGFR] <60 ml/min/1,73m ²), but average eGFR was within the normal range.
Recruitment	Possible candidates were identified from the Consortium Database that includes Mount Sinai Medical Center, Mount Sinai West, Mount Sinai St Luke's, Elmhurst Hospital, and the Bronx VA Medical Center. In addition, patients were recruited by heart failure specialists at cardiovascular clinics and medical centers, and from cardiac rehabilitation programs of the Mount Sinai Health Network.
Ethics oversight	The EMPATROPISM study protocol was approved by the Institutional Review Board of Icahn School of Medicine at Mount Sinai. Before enrolment, all patients provided written informed consent.

Note that full information on the approval of the study protocol must also be provided in the manuscript.

Field-specific reporting

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Life sciences study design

All studies must disclose on these points even when the disclosure is negative.

Sample size	The number of participants in EMPATROPISM-FE was a consequence of the sample size calculation for EMPATROPISM, which was powered for the primary endpoint (between-groups difference in the change of the LV end-diastolic volume from baseline to 6 months).
Data exclusions	EMPATROPISM participants were eligible for EMPATROPISM-FE if they completed 6-month follow-up including CMR, and did not receive any iron supplementation within 6 months before or during the trial. Since one patient in the placebo group died, three patients were lost to follow-up, and none received iron supplementation, 80 of the original 84 EMPATROPISM study participants were eligible for the EMPATROPISM-FE substudy. No other data than the baseline assessments of these 4 patients were excluded from the analyses.
Replication	All CMR analyses were performed by two independent investigators, who were blinded to study time point and treatment allocation. All results are reported as mean values of both measurements. Assessment of the reproducibility of T2* measurements was particularly critical. In the online supplement we therefore report on the agreement between the two investigators in assessing myocardial T2*. We show correlations of the results of the individual measurements of both investigators at baseline and 6-month follow-up. Correlations between the two investigators were good, with an inter-rater reliability [95% confidence interval (CI)] at baseline of 0.87 [0.80–0.91] and at 6-month follow-up of 0.88 [0.82–0.92]. Duplicate measurements of laboratory markers of iron status, high-sensitive C-reactive protein, hepcidin and erythropoietin were performed after completion of the EMPATROPISM study using stored EDTA plasma samples. Coefficients of variation were calculated for all laboratory markers. Mean values of both measurements are reported. Intra-class correlations were excellent (between 0.97 and 1.00). Thus, in summary, all attempts at replication were successful in this mechanistic study.
Randomization	After providing informed consent, patients were randomized 1:1 to empagliflozin 10 mg/day or matching placebo added to guideline-directed medical therapy using a secure web-based system stratified with block sizes of four.
Blinding	EMPATROPISM was a classical double-blind, placebo-controlled pharmacological trial.

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- ChIP-seq
- Flow cytometry
- MRI-based neuroimaging

Clinical data

Policy information about <u>clinical studies</u>

All manuscripts should comply with the ICMJE guidelines for publication of clinical research and a completed CONSORT checklist must be included with all submissions.

Clinical trial registration	Trai reg: www.cimicaitnais.gov; NC103485222
Study protocol	The trial protocol of the EMPATROPISM study has been published (Rationale and Design of the EMPA-TROPISM Trial (ATRU-4): Are the "Cardiac Benefits" of Empagliflozin Independent of its Hypoglycemic Activity? Santos-Gallego CG, Garcia-Ropero A, Mancini D, Pinney SP, Contreras JP, Fergus I, Abascal V, Moreno P, Atallah-Lajam F, Tamler R, Lala A, Sanz J, Fuster V, Badimon JJ. Cardiovasc Drugs Ther. 2019 Feb;33(1):87-95. doi: 10.1007/s10557-018-06850-0.)
Data collection	Clinical data and biomaterials were collected at the Mount Sinai Medical Center, NY, USA in the time period between May 2018, and February 2020, when the last patient completed his trial participation (recruitment took place between May 2018, and August 2019). The biomarker analysis and data collection took place in 2 phases, from April 2021 through July, 2021, and in February, 2022, in the the Biomarker Laboratory of the University Heart & Vascular Center Hamburg, using stored EDTA plasma samples shipped in 2 portions from NY to Hamburg, Germany
Outcomes	The primary endpoint of the EMPATROPISM trial was the between-group difference in the change in left ventricular (LV) end-diastolic volume from baseline to 6 months. The reason for choosing this endpoint was that LV volumes are strong predictors of adverse cardiovascular outcomes even after adjusting for ejection fraction. Cardiac magnetic resonance (CMR) imaging was chosen as the imaging method to assess this endpoint because it is the gold standard for quantifying LV volumes, mass and function; the reproducibility of CMR allows for a smaller sample size compared with other imaging methods, e.g., echocardiography. Secondary endpoints included the between-group differences in changes in peak oxygen consumption, and in 6-minute walking distance, because these variables constitute the gold standard for studying cardiac and pulmonary adaptation to exercise in patients with heart failure. Other endpoints included between-group differences in changes in LV mass and LV ejection fraction, and 6-minute walking distance. Efficacy outcomes of the EMPATROPISM-FE substudy were selected to enable assessment of the specific substudy hypotheses. The main outcome of interest was the between-group difference in the change in CMR-derived myocardial parametric T2* values (as an established surrogate of myocardial iron content) between baseline and the 6-month follow-up. This outcome was then correlated with the primary and secondary outcome measures of the original EMPATROPISM study. Additional substudy endpoints included changes in laboratory markers of iron status (iron and transferrin levels, transferrin saturation, soluble transferrin receptor concentration, ferritin level) and inflammation (hsCRP level), and changes in red blood cell indices, hepcidin and erythropoietin levels. In addition, we performed exploratory causal mediation analysis (CMA) to identify mediators of the empaglificin effects on measures of LV structure and function and on physical performance. First, univariable CMA was applied to all potential mediators