

## Quantum adiabatic cycles and their breakdown

Nicolò Defenu <sup>1</sup> 

The assumption that quasi-static transformations do not quantitatively alter the equilibrium expectation of observables is at the heart of thermodynamics and, in the quantum realm, its validity may be confirmed by the application of adiabatic perturbation theory. Yet, this scenario does not straightforwardly apply to Bosonic systems whose excitation energy is slowly driven through the zero. Here, we prove that the universal slow dynamics of such systems is always non-adiabatic and the quantum corrections to the equilibrium observables become rate independent for any dynamical protocol in the slow drive limit. These findings overturn the common expectation for quasi-static processes as they demonstrate that a system as simple and general as the quantum harmonic oscillator, does not allow for a slow-drive limit, but it always displays sudden quench dynamics.

---

<sup>1</sup>Institut für Theoretische Physik, ETH Zürich, Zürich, Switzerland. ✉email: [ndefenu@phys.ethz.ch](mailto:ndefenu@phys.ethz.ch)

Quasi-static processes are thermodynamic transformations, which happen slow enough not to cause any sizeable variation to the instantaneous equilibrium solution of the problem<sup>1</sup>. A convenient mathematical representation for these processes considers a system, initially at equilibrium, whose Hamiltonian is slowly varied in time  $H(\delta \cdot t)$  with a rate much smaller than any internal scale of the system. Under proper assumptions on the analyticity of the evolution and of the thermodynamic functions, an analytic scaling  $\sim \delta^2$  for the dynamical corrections to the equilibrium expectations may be predicted<sup>2</sup>.

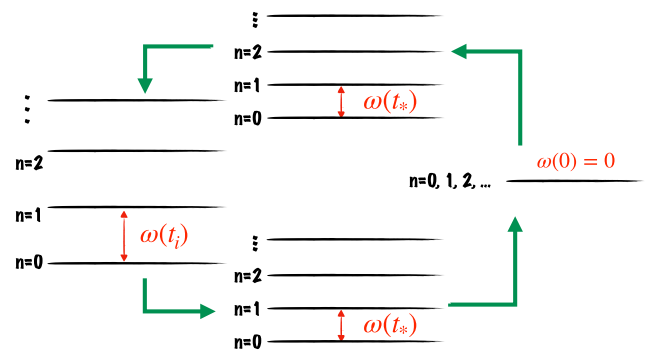
In the quantum realm, the concept of “adiabaticity”, i.e. the possibility to realise an equilibrium state by a quasi-static process, is crucial to quantum computation, where non-trivial correlations in the system ground state are generated by a slow variation of the Hamiltonian parameters<sup>3</sup>. The possibility of such manipulation is granted by the quantum adiabatic theorem<sup>4–6</sup>, which ensures that the outcome of the adiabatic procedure will converge to the ground-state of the final Hamiltonian in the  $\delta \rightarrow 0$  limit.

The prototypical model for quantum adiabatic dynamics is the Landau–Zener (LZ) problem, which describes the excitation probability of a two level system ramped over an avoided eigenvalue crossing<sup>7,8</sup>. In analogy with the classical case, the exact solution of the LZ problem features dynamical corrections which vanish exponentially in the slow drive limit. However, at a quantum critical point (QCP) an actual eigenvalue crossing appears<sup>9</sup> and non-analytic corrections  $\sim \delta^\theta$  to the adiabatic observables emerge, according to the Kibble–Zurek mechanism (KZM), where the  $\theta$ -scaling only depends on the equilibrium critical exponents<sup>10,11</sup>. Interestingly, an exact description of KZM in thermodynamic systems with purely Fermionic quasi-particles can be obtained by relating the quasi-particle dynamics to an infinite number of LZ transitions with momentum dependent minimal gaps<sup>12,13</sup>. Therefore, the LZ problem has remained up to now one of the most precious tools to understand defects formation in quantum systems<sup>14</sup>.

Nevertheless, several quantum many-body systems feature strongly interacting QCPs and no quadratic effective field theory in terms of Fermi quasi-particles can be constructed. The validity of KZM scaling in these systems can be shown by adiabatic perturbation theory, which, under proper scaling assumptions, is able to reproduce the expected non-analytic scaling for the defect density  $n_{\text{exc}} \approx \delta^\theta$ <sup>15</sup>. Notice that the assumptions made in ref. <sup>15</sup> in order to derive the KZM prediction for generic quantum many-body systems may not apply to systems with competing interactions<sup>16</sup>.

More in general, the adiabatic perturbation theory approach cannot be applied to harmonic systems with Bosonic quasi-particles as the perturbative assumption is violated by Bose statistics, which allows macroscopic population in the excited resonant states<sup>17,18</sup>. Moreover, several critical systems ranging from quantum magnets and cavity systems to superfluids and supersolids can be effectively described by harmonic Bose quasi-particles, whose excitation energy gradually vanishes approaching the QCP<sup>2,9</sup>.

In the following, we investigate quantum adiabatic cycles across a QCP, where infinite many excitation levels become degenerate (corresponding to the case of Bose statistics for the excitations), see Fig. 1. The general assumptions of the quantum adiabatic theorem do not hold in this case and no-general result over the dynamical corrections to the adiabatic observables is known<sup>4–6</sup>. We prove that adiabaticity breakdown is a universal feature of these systems independently of the considered drive rate and shape. These results justify and extend recent studies concerning non-adiabatic defect formation  $n_{\text{exc}} \approx O(1)$  in fully-



**Fig. 1 Schematic representation of the quantum adiabatic cycle under study.** The system is prepared in the ground state of the Hamiltonian ( $n = 0$ ) with a regular, well separated, spectrum at the initial time  $-t_i$ . Each excited state is labeled by a growing integer  $n$ . Then, the Hamiltonian is dynamically driven in such a way to reduce the spectral gap of the system  $\omega(t) \ll \omega(-t_i)$  (i.e. following the lower green arrows), until the instantaneous spectrum becomes fully degenerate  $\omega(t = 0) \simeq 0$  (on the right in the picture). Finally, the drive protocol is inverted and the initial Hamiltonian is restored (following the upper green arrows).

connected many-body systems and in single-mode harmonic Hamiltonians with analytic  $\sim t^2$  drives<sup>19,20</sup>.

One of the fundamental consequences of these findings concern the full characterisation of defect formation in critical quantum many-body systems, as we provide the missing piece of information to summarise universal adiabatic dynamics as follows:

- Finite systems:  $n_{\text{exc}} \approx \delta^2$ .
- Interacting QCPs:  $n_{\text{exc}} \approx \delta^\theta$ .
- Harmonic Bose quasi-particles:  $n_{\text{exc}} \approx O(1)$ .

The first class is conveniently represented by the LZ model, while the second one can be treated by adiabatic perturbation theory. The present investigations focus on the third class, where the dynamical corrections are always non-adiabatic, i.e. rate independent, but for which no general result was known up to now.

It is worth noting that the aforementioned regimes for defect scaling may also appear in a given quantum system depending on the type of dynamical protocol performed, see the results section. In particular, for a system with harmonic Bose quasi-particles, the non-analytic  $\delta^\theta$  scaling may be found for dynamical protocols terminating exactly at the QCP (regime 1). While any actual crossing of the gapless point will lead to a finite defect density  $n_{\text{exc}} \approx O(1)$  (regime 2). Therefore, dynamical quasi-static transformations of Bosonic systems across QCPs are the main focus of the present paper.

Before proceeding further with the analysis, it is convenient to discuss the aforementioned picture in the context of the existing literature. Seminal studies on the Kibble–Zurek scaling across QCPs have been performed in refs. <sup>11,12,14,15</sup> in the context of many-body systems with Fermi quasi-particles. The extension of these analyses to the case of Bose modes, such as spin-waves, has been limited to the case of quenches in the vicinity of a critical point<sup>17,21</sup>, where regime (1) has been analysed only for linear scaling of the square frequency  $\omega(t)^2 \approx \delta \cdot t$ . Also, refs. <sup>17,21</sup> consider a continuum ensemble of non-interacting Bose quasi-particles with gapless spectrum rather than a single Bose mode. Then, the non-adiabatic phase observed in refs. <sup>17,21</sup> is not the consequence of the crossing of the critical point (which is not discussed there), but of the infra-red divergence of spin-wave

contributions in low-dimensions, which also causes the disappearance of continuous symmetry breaking transitions in  $d \leq 2$ , according to the Mermin–Wagner theorem<sup>22–24</sup>.

First mathematical evidences of the existence of regime (2) have been found in ref. 19, where the scaling of the single mode gap was assumed to be linear ( $\omega(t)^2 \approx t^2$ ). This solution is more straightforward due to the homogeneous scaling of the time parameter and the position operator  $\omega(t)x^2 \propto (tx)^2$ . In the physics context, these results have been used to justify the anomalous defect scaling numerically observed in the LMG model<sup>20,25</sup>.

In this work we are going to prove that the existence of regime (2) is actually a generic feature of any dynamical protocol, crossing a QCP with pure bosonic quasi-particles. The amount of heat and the number of defects generated at the end of these dynamical manipulations will be shown to be universal functions, which do not depend on the drive rate nor on the peculiar drive shape, but only on the leading scaling exponent in the time-dependent frequency expansion  $\omega(t) \approx (\delta|t|)^{2\nu} + \dots$ . Moreover, our analysis will extend the observations of refs. 17,21 for dynamical evolutions terminating in the vicinity of the QCP to any scaling exponent  $z\nu$ .

## Results

In order to prove our picture, let us consider a single dynamically driven Harmonic mode with Hamiltonian

$$H(t) = \frac{1}{2} (p^2 + \omega(t)^2 x^2). \quad (1)$$

A part from its fundamental interest, the Hamiltonian in Eq. (1) faithfully describes the quantum fluctuations of many-body systems with fully-connected cavity mediated interactions such as the Dicke<sup>26</sup> or the Lipkin–Meshkov–Glick (LMG) models<sup>20,27–31</sup> and, more in general, models which feature a collective single mode excitation, such as the BCS model<sup>32</sup>.

The dynamics described by Eq. (1) cannot be explicitly solved in general, but an explicit solution can be obtained for the scaling form

$$\omega(t)^2 = (\delta|t|)^{2z\nu} \quad (2)$$

where  $\delta > 0$  is the drive rate and the exponent  $z\nu > 0$  represents the gap scaling exponent. In the following we are going to show that any time-dependent shape  $\omega(t)$ , which crosses the QCP at  $t = 0$ , can be reduced to the form in Eq. (2) in the  $\delta \rightarrow 0$  limit.

Equation (1) with the time-dependent frequency in Eq. (2) may be regarded as effectively describing a many-body system ramped across its QCP, in the spirit of refs. 19–21. Within this perspective the exponent  $z\nu$  represents the dynamical critical exponent for the gap scaling<sup>9</sup>. However, it is worth noting that in the framework of the effective theory in Eq. (1) the quantity  $z\nu$  in Eq. (2) is merely a tuneable parameter describing the dynamical protocol and it is not directly related to any critical behaviour displayed by the effective model at equilibrium.

As long as the the spectral gap remains finite at all instants ( $\omega(t) \neq 0 \forall t$ ) the scaling of the defect density and the corrections to the dynamical observables with respect to the instantaneous equilibrium expectation can be predicted using adiabatic perturbation theory<sup>17,21</sup>, see also Chap. 3 of ref. 33. In addition, as anticipated in the introduction, two universal regimes are observed according to the scaling of the observables in the quasi-static limit  $\delta \rightarrow 0$ :

1. Kibble–Zurek regime (half-cycle).
2. Universal non-adiabaticity (full cycle).

Regime (1) occurs for a half-cycle  $t \in [-t_i, 0]$  (with  $t_i \propto 1/\delta$ ) and features non-analytic corrections to the adiabatic expectations

appearing at  $t = 0$  (where  $\omega(0) = 0$ ). Such corrections cannot be captured by the standard perturbative approach, but can be predicted by the KZM scaling argument. On the contrary for a full cycle  $t \in [-t_i, t_i]$  the critical point is actually crossed and the system enters in the non-adiabatic regime, where the leading correction to the observables expectation does not depend on  $\delta$ . We refer to this latter scenario as regime (2). Again, it should be stressed that the notation  $z\nu$  for the frequency scaling in Eq. (2) is employed in order to make contact with the traditional Kibble–Zurek picture in many-body systems, but in our case the exponent  $z\nu$  is just an effective quantity, which is not connected with the equilibrium critical scaling of any specific model.

The picture outlined above naturally follows from the solution of the model under study. The dynamical eigen-functions of the Hamiltonian in Eq. (1) can be written in terms of a single time-dependent parameter: the effective width  $\xi(t)$ , see the definition in Supplementary Eq. (2). Then, the dynamics of the quantum problem may be obtained by the solution of the Ermakov–Milne equation, which describes the evolution of the effective width  $\xi(t)$ , see Supplementary Eq. (5).

First, it is convenient to rewrite the Hamiltonian in Eq. (1) as a rate independent one by introducing the transformations

$$t = \delta^{-\frac{z\nu}{1+z\nu}} s, \quad x = \delta^{-\frac{z\nu}{2+2z\nu}} \tilde{x} \quad (3)$$

which reduce the dynamics of the model in Eq. (1) to the  $\delta = 1$  case, see the Supplementary Methods 2. The expressions for the fidelity and defect density of the model, given in Supplementary Eqs. (12) and (13), are invariant under the transformations in Eq. (3) in such a way that the fidelity and excitation density at real times can be obtained by  $\tilde{\xi}(s) = \lim_{\delta \rightarrow 1} \xi(t)$  and  $\tilde{\Omega}(s)^2 = s^{2z\nu}$ , provided that the endpoint of the dynamics is rescaled accordingly.

**Regime 1 (Kibble–Zurek scaling).** An adiabatic cycle is realised when the system starts in the instantaneous ground state of the equilibrium Hamiltonian, i.e.  $\lim_{t \rightarrow -t_i} \psi(t) = \psi_0^{\text{ad}}(-t_i)$ , where  $\psi_0^{\text{ad}}$  is the adiabatic state obtained replacing the constant frequency with the time-dependent one in the equilibrium ground-state<sup>34</sup>. Accordingly, one has to impose the boundary conditions

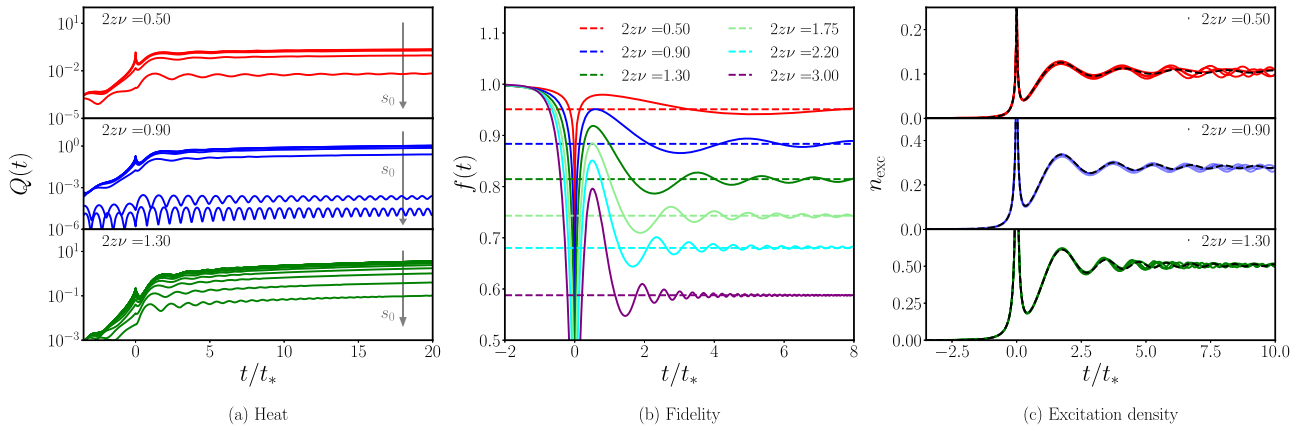
$$\lim_{t \rightarrow -t_i} \xi(t)^2 = \frac{1}{2\omega(t)}; \quad \lim_{t \rightarrow -t_i} \dot{\xi}(t)^2 = 0. \quad (4)$$

Following the exact solution given in the Supplementary Methods 3, the time-dependent width  $\xi(t)$  and its time derivative attain a finite value in the  $t \rightarrow 0$  limit. However, a finite result for the width  $\xi(t)$  at  $\omega(t) = 0$  corresponds to a vanishing fidelity,  $f(t) \propto \sqrt{\omega(t)}$ , see the Supplementary Eq. (13). Consequently, the defect density diverges as  $n_{\text{exc}}(t) \propto 1/\omega(t)$ , see Supplementary Eq. (12), but the heat (or excess energy) remains finite

$$\lim_{t \rightarrow 0} Q(t) \simeq \lim_{t \rightarrow 0} \omega(t) n_{\text{exc}}(t) \propto \delta^{\frac{z\nu}{1+z\nu}}, \quad (5)$$

where  $n_{\text{exc}}$  represents the excitation density and the power-law scaling  $\theta = z\nu/(1+z\nu)$  perfectly reproduces the celebrated Kibble–Zurek result<sup>13</sup>.

The result in Eq. (5) may be also obtained by the impulse-adiabatic approximation at the basis of the KZM result<sup>13,17</sup>. Indeed, as long as the instantaneous gap remains large with respect to the drive rate  $\dot{\omega}(t) \ll \omega(t)^2$  the dynamical state may be safely approximated by the adiabatic one  $\psi_0(t) \simeq \psi_0^{\text{ad}}(t)$ . This approximation breaks down at the freezing time  $t_*$  such that the adiabatic condition is violated  $\dot{\omega}(t_*) \simeq \omega(t_*)^2$ . For  $t > t_*$  the system enters in the impulse regime and the state remains frozen at  $\psi_0^{\text{ad}}(t_*)$  with frequency  $\omega(t_*) \propto \delta^{z\nu/(1+z\nu)}$  all the way



**Fig. 2 Characterisation of quantum adiabatic cycles.** **a** Heat generated during a gapped cycle with time-dependent gap  $\omega(t)^2 = (t_0 + \delta|t|)^{2z\nu}$ , with minimal gap  $\omega_0 = t_0^{2z\nu}$ , drive rate  $\delta$  and scaling exponent  $z\nu$ . The curves are shown as a function of the time  $t$  in units of  $t_* \propto \delta^{-z\nu/(1+z\nu)}$ . Notice that the minimal gap  $\omega_0$  is reached at the time  $t_0$ . Each sub-panel reports various curves for increasing values of  $s_0 = \delta^{-1/(1+z\nu)}\omega_0^{1/z\nu}$ . The values of  $s_0 \in [0, 10]$  grow in the direction of the arrow. The generated heat vanishes in the large  $s_0$  limit. **b** The fidelity of the model for different values of  $z\nu = \{0.5, 0.9, 1.3, 1.75, 2.2, 3\}$  (solid lines from top to bottom) is compared with the asymptotic result in Eq. (9) (dashed horizontal lines). **c** The numerical results for the number of excitations, defined by Eq. (12) in the Supplementary Methods 1, have been calculated within the generalised dynamical model described by Eq. (10). Different values of  $\gamma \in [0, 0.01]$ , which quantify the extent of the non-universal correction see Eq. (10), produce different curves for  $t > t_*$  (solid lines). However, the long-time limit converges to the same asymptotic value. The exact analytic solutions at  $\gamma = 0$  are shown as black dashed lines.

down to  $t = 0$ . Then, the excess energy at the endpoint of the dynamics reads

$$\int_{-\infty}^{+\infty} \psi_0^{\text{ad},*}(t_*) H(0) \psi_0^{\text{ad}}(t_*) dx \approx \delta^{\frac{z\nu}{1+z\nu}} \quad (6)$$

which reproduces the exact result in Eq. (5) as well as the traditional Kibble–Zurek picture for many-body systems<sup>35,36</sup>. The result in Eq. (6) provides a first evidence of the validity of the model Hamiltonian in Eq. (1) as an effective tool to represent many-body critical dynamics.

**Regime 2 (universal non-adiabaticity).** A full cycle is realised when the system actually crosses the QCP at  $t = 0$ . There, the driving protocol in Eq. (2) is non-analytic, but a proper solution can be achieved requiring that the dynamical state and its time derivative remain continuous at all times. Thus, defining the quantities  $\lim_{t \rightarrow 0^+} \xi(t) = \xi_+$ , a proper continuity condition for the time-dependent width reads

$$\xi_+ = \xi_-, \quad \dot{\xi}_+ = \dot{\xi}_-. \quad (7)$$

For a gapped cycle where  $\lim_{t \rightarrow 0} \omega(t) \neq 0$  in the  $\delta \rightarrow 0$  limit, the conditions in Eq. (7) is automatically satisfied and the  $\xi_+(t)$  solution at  $t > 0$  approaches the same form as in the first branch  $t \leq 0$  of the dynamics, as required by the quantum adiabatic theorem, see Fig. 2 and the Supplementary Methods 3C. Then, a gapped cycle always remains adiabatic and the corrections to scaling can be described within the same adiabatic perturbation theory picture developed in refs. 17,21 for the  $z\nu = 1/2$  case.

For a gapless cycle, represented by Eq. (2) with  $t \in [-t_i, t_f]$ , the quasi-static limit ( $\delta \rightarrow 0$ ) becomes rate independent, yielding the fidelity end excitations density expressions

$$\lim_{t \rightarrow \infty} n_{\text{exc}}(t) = \tan\left(\frac{\pi}{2 + 2z\nu}\right)^{-2} \quad (8)$$

$$\lim_{t \rightarrow \infty} f(t) = \sin\left(\frac{\pi}{2 + 2z\nu}\right) \quad (9)$$

as detailed in the Supplementary Methods 3B. The expressions in Eqs. (8) and (9) are universal with respect to rate variations, as it was already evidenced in the peculiar  $z\nu = 1$  case by ref. 19, where

asymptotic analysis yielded  $f(\infty) = 1/\sqrt{2} \quad \forall \delta$  in agreement with the result in Eq. (9).

In addition, the result in Eq. (8) remains finite for any finite  $z\nu$  and it only quadratically vanishes as  $z\nu$  approaches zero, proving that the non-adiabatic phase does not depend on the choice of the drive scaling, but it is rather a general feature of Bosonic quantum systems. Interestingly, in the  $z\nu \rightarrow \infty$  limit the system reaches what could be called an “anti-adiabatic” phase, where the ground state fidelity completely vanishes at the end of the cycle. The approach between the numerical solution for a finite ramp extension (solid lines) and the exact asymptotic expressions in Eqs. (9) and (8) is shown in Fig. 2.

**Universality.** Albeit the absence of any proper scaling behaviour as a function of  $\delta$ , the results in Eqs. (8) and (9) are as much universal as the traditional KZM result, in the sense that they exactly describe the slow drive limit  $\delta \rightarrow 0$  of any dynamical protocol which crosses the critical point. Indeed, given a general time-dependent control parameter  $\lambda(\delta t)$  the dynamics close to the critical point can be expanded according to

$$\omega'(t)^2 \simeq (\delta t)^{2z\nu} + \gamma'(\delta t)^n + \dots \quad (10)$$

where the integer exponent  $n \in \mathbb{N}$  represents any analytic correction to critical scaling (but the same argument will apply to a non-analytic one, as long as it remains irrelevant in the  $t \rightarrow 0$  limit, i.e.  $2z\nu < n$ ). Then, applying the transformation in Eq. (3) one obtains the result  $\omega'(s)^2 \simeq s^{2z\nu} + \gamma s^n$  where  $\gamma = \delta^{(n-2z\nu)/(1+z\nu)}\gamma'$ , which vanishes in the  $\delta \rightarrow 0$  and reproduces the effective model considered in Eq. (2).

Moreover, we have numerically verified that our analytic solution accurately describes any drive  $\omega'(t)$  such that  $|\omega'(t_*) - \omega(t_*)|^2 \ll \omega(t_*)^2$ , as it is shown in Fig. 2. There, the numerical integration of the Supplementary Eq. (5) with the frequency in Eq. (10) and different  $\gamma$  values (solid curves) is compared with the analytic result for the dynamical protocol in Eq. (2) (black dashed lines). The resulting curves for the number of excitations with different  $\gamma$  values only differ in the oscillations at large times  $t \gg t_*$ , but these oscillatory terms are irrelevant as they are washed away in the  $t \rightarrow \infty$  limit.



## Discussion

The aforementioned picture for the dynamics of the Harmonic model does not only describe the simple Hamiltonian in Eq. (1), but it also applies to conformal invariant systems confined by a time-dependent harmonic potential, such as the Calogero model<sup>37</sup>, the 1-dimensional Tonks girardeu<sup>38</sup>, the trapped 2D Bose gas<sup>39</sup>, the unitary 3D Fermi gas<sup>40</sup> and the 2D Fermi gas, far from its crossover regime<sup>41</sup>. The Ermakov–Milne equation that regulates the dynamics of the model in Eq. (1) has been also used to study defect formation in a cosmological context<sup>34,42,43</sup>, see ref. <sup>44</sup> for an overview. Moreover, a generalisation of the Ermakov–Milne equation is obtained in all dimensions by the variational treatment of the Gross–Pitaevskii equation<sup>45</sup>.

More in general, our description of the KZM can be applied to any many-body system, whose dynamics may be approximated by an ensemble of harmonic spin-waves according to the time-dependent Hartee–Fock approximation<sup>46–48</sup>. In the Supplementary Note 1 an account of this procedure is given for  $O(N)$  symmetric models with long-range couplings in 1-dimension, where the Hartee–Fock method becomes exact in the large- $N$  limit<sup>49–51</sup> (the so-called spherical model<sup>52,53</sup>). In the last few decades,  $O(N)$  field theories constituted the testbed for most calculations in critical phenomena<sup>24,54–58</sup> and are, even currently, a continuous source of novel universal phenomena<sup>59–62</sup>. Our analysis shows that the universal picture derived in the present work for the Hamiltonian in Eq. (1) describes the scaling of the fidelity and the defect density of  $O(N)$  models in the strong long-range regime at large- $N$ , see the Supplementary Note 1.

Thanks to the Harmonic nature of the Hamiltonian in Eq. (1) we have been able to derive a comprehensive picture for defects formation across the  $\omega(t) = 0$  QCP, where infinitely many excitation levels become degenerate. The present solution proves that the dynamical crossing of an infinitely degenerate QCP is non-adiabatic independently on the smallness of the rate  $\delta$  and on the functional form of the drive  $\omega(t)$ . Adiabaticity is only recovered for a sub-power law scaling of the drive, i.e. in the  $z\nu \rightarrow 0$  limit. In contrast, any dynamics terminating in the vicinity of the fully degenerate critical point yields power law corrections, which can be described by the celebrated KZM.

The Kibble–Zurek scaling is traditionally derived within the adiabatic-impulse approximation discussed below Eq. (5) and may be also justified in the more rigorous framework of adiabatic perturbation theory<sup>15</sup>. Both these descriptions fail in regime (2) of the harmonic oscillator dynamics due to the infinite number of excited states collapsing at  $\omega(t) = 0$ . Indeed, the impulse-adiabatic approximation assumes that the dynamical state is frozen at  $|\psi_0^{\text{ad}}(t_*)\rangle$  in the entire range  $t \in [-t_*, t_*]$  of the dynamics. The dynamical correction to the energy at any instant of time ( $t > -t_*$ ) derives from the overlap between such state and the hierarchy of adiabatic excited states  $c_{n0}(t) \approx \langle \psi_n^{\text{ad}}(t) | \psi_0^{\text{ad}}(t_*) \rangle$ <sup>34</sup>. Then, the probability distribution for the excitation number  $n$  remains fixed at the instant  $-t_*$  in the entire inner regime of the dynamics, so that defects generated at  $t > -t_*$  are effectively discarded.

In the conventional case, where a critical point with finite degeneracy is crossed, the impulse-adiabatic approximation is justified since most of the defects generated in range  $-t_* < t < 0$  annihilate at the opposite side of the cycle  $0 < t < t_*$  so that the defect distribution can be approximated by the one at  $t = -t_*$ <sup>13</sup>. However, this is not the case of an infinitely degenerate QCP, where the exact dynamical state also has a finite overlap with high-energy states at large- $n$ . The tunnelling between such states and the adiabatic ground-state is suppressed due to the large energy separation, forbidding defects recombination. As a result, a finite fraction of the wave-function density is dispersed in the high-energy portion of the spectrum after crossing the QCP and the unit fidelity cannot be recovered for any  $t > 0$ .

The possibility to manipulate a quantum system in its ground-state heavily relies on the adiabatic properties of quasi-static transformations and it is crucial to quantum technology applications<sup>3,63</sup>. Yet, the quantum adiabatic theorem only applies to dynamical systems with finite ground-state degeneracy<sup>4–6</sup>, while for the infinite degenerate case no general result was known up to now. In principle, one could have expected that a particular dynamical protocol could be devised to achieve a proper quasi-static transformation also for quantum system dynamically driven across infinite degenerate QCPs.

This is actually not the case, as we have proven that any dynamical protocol which reduces the excitation energy of an harmonic Hamiltonian down to the zero always produces a non-adiabatic outcome. Indeed, the excitation density and the fidelity results at the end of a general quasi-static transformation are universal and only depend on the drive shape, but not on its quench rate  $\delta$  as long as a full cycle across the QCP is performed, see Eqs. (8) and (9). This is not the case for driving protocols terminating in the vicinity of the QCP, as they remain adiabatic, see the result in Eq. (5) and refs. <sup>17,21</sup>. The present analysis unveils that a universal description of quasi-static processes can be also achieved outside the traditional assumptions of the quantum adiabatic theorem, opening to the possibility that adiabaticity breakdown is a universal feature of QCPs with infinite state degeneracy also beyond the harmonic result discussed here.

## Data availability

Data sharing not applicable to this article as no datasets were generated or analysed during the current study.

Received: 4 January 2021; Accepted: 27 May 2021;

Published online: 01 July 2021

## References

- Landau, L. & Lifshitz, E. *Statistical Physics*, v. 5 (Elsevier Science, 2013).
- Zwenger, W. Limited adiabaticity. *Nat. Phys.* **4**, 444–446 (2008).
- Farhi, E. et al. A Quantum Adiabatic Evolution Algorithm Applied to Random Instances of an NPComplete Problem. *Science* **292**, 472–476 (2001).
- Born, M. & Fock, V. Beweis des Adiabatsatzes. *Zeit. Phys.* **51**, 165–180 (1928).
- Kato, T. On the Adiabatic Theorem of Quantum Mechanics. *J. Phys. Soc. Japan* **5**, 435 (1950).
- Avron, J. E. & Elgart, A. Adiabatic Theorem without a Gap Condition. *Comm. Math. Phys.* **203**, 445–463 (1999).
- Zener, C. Non-Adiabatic Crossing of Energy Levels. *Proc. R. Soc. Lond.* **137**, 696–702 (1932).
- Landau, L. D. & Lifshitz, E. M. *Quantum mechanics* (Elsevier, 1965).
- Sachdev, S. *Quantum Phase Transitions*. (Cambridge Univ. Press, 1999).
- Zurek, W. H. Cosmological experiments in superfluid helium? *Nature* **317**, 505–508 (1985).
- Zurek, W. H., Dorner, U. & Zoller, P. Dynamics of a quantum phase transition. *Phys. Rev. Lett.* **95**, 105701 (2005).
- Dziarmaga, J. Dynamics of a Quantum Phase Transition: exact Solution of the Quantum Ising Model. *Phys. Rev. Lett.* **95**, 245701 (2005).
- Dziarmaga, J. Dynamics of a quantum phase transition and relaxation to a steady state. *Adv. Phys.* **59**, 1063–1189 (2010).
- Damski, B. The simplest quantum model supporting the kibble-zurek mechanism of topological defect production: Landau-zener transitions from a new perspective. *Phys. Rev. Lett.* **95**, 035701 (2005).
- Polkovnikov, A. Universal adiabatic dynamics in the vicinity of a quantum critical point. *Phys. Rev. B* **72**, 161201 (2005).
- Defenu, N., Morigi, G., Dell’Anna, L. & Enns, T. Universal dynamical scaling of long-range topological superconductors. *Phys. Rev. B* **100**, 184306 (2019).
- de Grandi, C. & Polkovnikov, A. Adiabatic Perturbation Theory: From Landau-Zener Problem to Quenching Through a Quantum Critical Point. In *Quantum Quenching, Annealing and Computation*, 75–114 (Springer Berlin Heidelberg, 2010).

18. Galtbayar, A., Jensen, A. & Yajima, K. A solvable model of the breakdown of the adiabatic approximation. *J. Math. Phys.* **61**, 092105 (2020).
19. Bachmann, S., Fraas, M. & Graf, G. M. Dynamical Crossing of an Infinitely Degenerate Critical Point. *Ann. Henri Poincaré* **18**, 1755–1776 (2017).
20. Defenu, N., Enns, T., Kastner, M. & Morigi, G. Dynamical critical scaling of long-range interacting quantum magnets. *Phys. Rev. Lett.* **121**, 240403 (2018).
21. Polkovnikov, A. & Gritsev, V. Breakdown of the adiabatic limit in low-dimensional gapless systems. *Nat. Phys.* **4**, 477–481 (2008).
22. Mermin, N. D. & Wagner, H. Absence of ferromagnetism or antiferromagnetism in one- or two-dimensional isotropic heisenberg models. *Phys. Rev. Lett.* **17**, 1133–1136 (1966).
23. Fröhlich, J., Simon, B. & Spencer, T. Phase transitions and continuous symmetry breaking. *Phys. Rev. Lett.* **36**, 804–806 (1976).
24. Codello, A., Defenu, N. & D’Odorico, G. Critical exponents of  $O(N)$  models in fractional dimensions. *Phys. Rev. D* **91**, 105003 (2015).
25. Acevedo, O. L., Quiroga, L., Rodriguez, F. J. & Johnson, N. F. New dynamical scaling universality for quantum networks across adiabatic quantum phase transitions. *Phys. Rev. Lett.* **112**, 030403 (2014).
26. Dicke, R. H. Coherence in spontaneous radiation processes. *Phys. Rev.* **93**, 99–110 (1954).
27. Lipkin, H. J., Meshkov, N. & Glick, A. J. Validity of many-body approximation methods for a solvable model. *Nucl. Phys.* **62**, 188–198 (1965).
28. Meshkov, N., Glick, A. J. & Lipkin, H. J. Validity of many-body approximation methods for a solvable model. (II). Linearization procedures. *Nucl. Phys.* **62**, 199–210 (1965).
29. Glick, A. J., Lipkin, H. J. & Meshkov, N. Validity of many-body approximation methods for a solvable model. (III). Diagram summations. *Nucl. Phys.* **62**, 211–224 (1965).
30. Dusuel, S. & Vidal, J. Finite-size scaling exponents of the lipkin-meshkov-glick model. *Phys. Rev. Lett.* **93**, 237204 (2004).
31. Vidal, J. & Dusuel, S. Finite-size scaling exponents in the dicke model. *EPL* **74**, 817–822 (2006).
32. Dusuel, S. & Vidal, J. Finite-size scaling exponents and entanglement in the two-level bcs model. *Phys. Rev. A* **71**, 060304 (2005).
33. Carr, L. D. *Understanding Quantum Phase Transitions (Condensed Matter Physics)* 1st edn (CRC Press, 2010).
34. Dabrowski, R. & Dunne, G. V. Time dependence of adiabatic particle number. *Phys. Rev. D* **94**, 065005 (2016).
35. Zurek, W. H. Cosmological experiments in condensed matter systems. *Phys. Rep.* **276**, 177–221 (1996).
36. delCampo, A. & Zurek, W. H. Universality of phase transition dynamics: topological defects from symmetry breaking. *Int. J. Mod. Phys. A* **29**, 1430018 (2014).
37. Haas, F. & Goedert, J. On the Hamiltonian structure of Ermakov systems. *J. Phys. A: Math. Gen.* **29**, 4083–4092 (1996).
38. Minguzzi, A. & Gangardt, D. M. Exact coherent states of a harmonically confined tonks-girardeau gas. *Phys. Rev. Lett.* **94**, 240404 (2005).
39. Pitaevskii, L. P. & Rosch, A. Breathing modes and hidden symmetry of trapped atoms in two dimensions. *Phys. Rev. A* **55**, R853–R856 (1997).
40. Castin, Y. & Werner, F. The Unitary Gas and its Symmetry Properties. In *Lecture Notes in Physics*, Vol. 836 (ed W. Zwerger) 127 (Berlin Springer Verlag, 2012).
41. Murthy, P. A. et al. Quantum scale anomaly and spatial coherence in a 2D Fermi superfluid. *Science* **365**, 268–272 (2019).
42. Matacz, A. L. Coherent state representation of quantum fluctuations in the early universe. *Phys. Rev. D* **49**, 788–798 (1994).
43. Carvalho, A. Md. M., Furtado, C. & Pedrosa, I. A. Scalar fields and exact invariants in a friedmann-robertson-walker spacetime. *Phys. Rev. D* **70**, 123523 (2004).
44. Perelomov, A. *Generalized Coherent States and Their Applications*, 1st edn, Texts and Monographs in Physics (Springer-Verlag Berlin Heidelberg, 1986).
45. Pérez-García, V. M., Michinel, H., Cirac, J. I., Lewenstein, M. & Zoller, P. Dynamics of bose-einstein condensates: variational solutions of the gross-pitaevskii equations. *Phys. Rev. A* **56**, 1424–1432 (1997).
46. Hartree, D. R. The wave mechanics of an atom with a non-coulomb central field. part i. theory and methods. *Math. Proc. Cambridge Phil. Soc.* **24**, 89–110 (1928).
47. Fock, V. Näherungsmethode zur Lösung des quantenmechanischen Mehrkörperproblems. *Zeit. Phys.* **61**, 126–148 (1930).
48. Bogolyubov, N. *Izvestiya akademii nauk sssr, seriya fizicheskaya*, 1947, tom 11, no 1, 77–90 (Proceedings of Academy of Sciences of USSR, Physical Series 11, 1947).
49. Moshe, M. & Zinn-Justin, J. Quantum field theory in the large n limit: a review. *Phys. Rep.* **385**, 69–228 (2003).
50. Berges, J. & Gasenzer, T. Quantum versus classical statistical dynamics of an ultracold bose gas. *Phys. Rev. A* **76**, 033604 (2007).
51. Chandran, A., Nanduri, A., Gubser, S. S. & Sondhi, S. L. Equilibration and coarsening in the quantum  $o(n)$  model at infinite  $n$ . *Phys. Rev. B* **88**, 024306 (2013).
52. Vojta, T. Quantum version of a spherical model: crossover from quantum to classical critical behavior. *Phys. Rev. B* **53**, 710–714 (1996).
53. Defenu, N., Trombettoni, A. & Ruffo, S. Criticality and phase diagram of quantum long-range  $o(n)$  models. *Phys. Rev. B* **96**, 104432 (2017).
54. Wilson, K. G. & Kogut, J. The renormalization group and the  $\epsilon$  expansion. *Phys. Rep.* **12**, 75–199 (1974).
55. Brézin, E. & Zinn-Justin, J. Renormalization of the Nonlinear  $\sigma$  Model in  $2 + \epsilon$  Dimensions—Application to the Heisenberg Ferromagnets. *Phys. Rev. Lett.* **36**, 691–694 (1976).
56. Efrati, E., Wang, Z., Kolan, A. & Kadanoff, L. P. Real-space renormalization in statistical mechanics. *Rev. Mod. Phys.* **86**, 647–667 (2014).
57. Kleinert, H. *Critical Properties of  $\Phi^4$  Theories* (World Scientific, 2001).
58. Codello, A. & D’Odorico, G.  $o(n)$ -universality classes and the mermin-wagner theorem. *Phys. Rev. Lett.* **110**, 141601 (2013).
59. Fei, L., Giombi, S. & Klebanov, I. R. Critical  $o(n)$  models in  $6 - \epsilon$  dimensions. *Phys. Rev. D* **90**, 025018 (2014).
60. Yabunaka, S. & Delamotte, B. Surprises in  $o(n)$  models: nonperturbative fixed points, large  $n$  limits, and multicriticality. *Phys. Rev. Lett.* **119**, 191602 (2017).
61. Defenu, N. & Codello, A. The fate of  $o(n)$  multi-critical universal behaviour, arXiv:2005.10827 (2020).
62. Connelly, A., Johnson, G., Rennecke, F. & Skokov, V. V. Universal location of the yang-lee edge singularity in  $O(n)$  theories. *Phys. Rev. Lett.* **125**, 191602 (2020).
63. Lechner, W., Hauke, P. & Zoller, P. A quantum annealing architecture with all-to-all connectivity from local interactions. *Science Adv.* **1**, e1500838–e1500838 (2015).

## Acknowledgements

I acknowledge fruitful discussions with T. Enns, G.M. Graf, M. Kastner and G. Morigi on this problem. I also thank T. Enns, G. Gori, G.M. Graf and A. Trombettoni for a critical reading of the paper. This work is supported by the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation) under Germany’s Excellence Strategy EXC2181/1-390900948 (the Heidelberg STRUCTURES Excellence Cluster).

## Competing interests

The author declares no competing interests.

## Additional information


**Supplementary information** The online version contains supplementary material available at <https://doi.org/10.1038/s42005-021-00649-6>.

**Correspondence** and requests for materials should be addressed to N.D.

**Peer review information** *Communications Physics* thanks the anonymous reviewers for their contribution to the peer review of this work.

**Reprints and permission information** is available at <http://www.nature.com/reprints>

**Publisher’s note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

 **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article’s Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article’s Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.

© The Author(s) 2021