



Development and characterization of a new swine model of invasive pneumococcal pneumonia

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***Streptococcus pneumoniae* is the most common microbial cause of community-acquired pneumonia. Currently, there are no available models of severe pneumococcal pneumonia in mechanically ventilated animals to mimic clinical conditions of critically ill patients. We studied endogenous pulmonary flora in 4 healthy pigs and in an additional 10 pigs in which we intra-bronchially instilled *S. pneumoniae* serotype 19A, characterized by its resistance to penicillin, macrolides and tetracyclines. The pigs underwent ventilation for 72 h. All pigs that were not challenged with *S. pneumoniae* completed the 72-h study, whereas 30% of infected pigs did not. At 24 h, we clinically confirmed pneumonia in the infected pigs; upon necropsy, we sampled lung tissue for microbiological/histological confirmation of pneumococcal pneumonia. In control pigs, *Streptococcus suis* and *Staphylococcus aureus* were the most commonly encountered pathogens, and their lung tissue mean \pm s.e.m. concentration was 7.94 ± 20 c.f.u./g. In infected pigs, *S. pneumoniae* was found in the lungs of all pigs (mean \pm s.e.m. pulmonary concentration of $1.26 \times 10^5 \pm 2 \times 10^2$ c.f.u./g). Bacteremia was found in 50% of infected pigs. Pneumococcal pneumonia was confirmed in all infected pigs at 24 h. Pneumonia was associated with thrombocytopenia, an increase in prothrombin time, cardiac output and vasopressor dependency index and a decrease in systemic vascular resistance. Upon necropsy, microbiological/histological pneumococcal pneumonia was confirmed in 8 of 10 pigs. We have therefore developed a novel model of penicillin- and macrolide-resistant pneumococcal pneumonia in mechanically ventilated pigs with bacteremia and severe hemodynamic compromise. The model could prove valuable for appraising the pathogenesis of pneumococcal pneumonia, the effects associated with macrolide resistance and the outcomes related to the use of new diagnostic strategies and antibiotic or complementary therapies.**

Streptococcus pneumoniae is the most common causative pathogen of community-acquired pneumonia (CAP), inducing highly severe infections and often resulting in unfavorable outcomes. Approximately one-third of hospitalized patients with pneumococcal pneumonia require admission to the intensive care unit (ICU)¹.

In the last decade, ventilatory management and therapy of these patients have improved, yet pneumococcal CAP remains associated with high mortality^{1,2}, particularly in patients with septic shock or those who require mechanical ventilation³. In more recent years, macrolide resistance in *S. pneumoniae* has increased substantially. In several regions worldwide, macrolide-resistant pneumococci have become even more common than penicillin-resistant *S. pneumoniae*⁴, particularly after the introduction of 7-valent or 13-valent pneumococcal conjugate vaccines and the rapid decline of penicillin-resistant strains⁵.

Among several *S. pneumoniae* virulence factors, the capsule is critical for the development of infection. Based on variations of the capsule molecular structure⁶, a total of 93 *S. pneumoniae* serotypes have been characterized; however, only roughly 20 serotypes can cause human infections. Per epidemiology data, serotypes 1, 4, 5, 7F, 8, 12F, 14, 18C and 19A are more likely to cause invasive pneumococcal disease, as defined by isolation of *S. pneumoniae* from a normally sterile body site (e.g., blood)⁶. These serotypes comprise the most recent pneumococcal conjugate vaccine⁷. Furthermore, the Spanish National Institute of Health has shown that the most common invasive serotypes in adults included 3, 19A and 1⁸.

Given the pivotal role of *S. pneumoniae* in CAP⁹, several animal models have been developed to better understand the pathogenesis of pneumococcal disease and evaluate novel, preventive and therapeutic strategies. Specifically, mice, rats and rabbits¹⁰ have gained increasing interest for studying *S. pneumoniae* disease. A main

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limitation of these small animal models is the difficulty in reproducing the severity of human disease or prolonged mechanical ventilation. Severe infections kill small animals quickly and, as a result, prevent investigators from performing a comprehensive assessment of disease dynamics. To date, no reliable model of severe pneumococcal pneumonia reproducing intensive care settings is available. However, in the last decade, our group has developed several other models of respiratory infections caused by nosocomial pathogens, that is, *Pseudomonas aeruginosa* and methicillin-resistant *Staphylococcus aureus*^{11,12}.

We now describe a pioneering model of pneumococcal pneumonia caused by penicillin- and macrolide-resistant *S. pneumoniae* serotype 19A in mechanically ventilated pigs. We fully characterize the infection, focusing on the dynamics of clinical variables, pulmonary mechanics, hemodynamics, inflammatory markers and microbiology studies. Furthermore, using this model and as a proof of concept, we aim to describe the pharmacokinetics of ceftriaxone and levofloxacin in pigs, two first-line antibiotics for pneumococcal pneumonia.

Results

All pigs not challenged with *S. pneumoniae* completed the study ($n = 4/4$ pigs, 100%). Conversely, 76-h survival in pigs infected with *S. pneumoniae* was 70% ($n = 7/10$ pigs), because two pigs were euthanized at 34 and 48 h, respectively, for severe respiratory/hemodynamic instability, and one pig was euthanized at 55 h due to severe hemodynamic instability.

Tracheal secretions, bronchoalveolar lavage and blood cultures.

Tracheal secretions were collected at baseline, 24, 48 and 72 h in both the preliminary study (control pigs) and the main study (pigs infected with *S. pneumoniae*) (Fig. 1). In control pigs, tracheal secretions at baseline were obtained in only one pig, and those were colonized by *Bordetella bronchiseptica*. At 24, 48 and 72 h, all tracheal secretions in control pigs were colonized by at least one pathogen ($n = 12$ samples) (Fig. 2). In infected pigs, five samples, which were colonized by endogenous flora, were obtained at baseline. By contrast, at 24 and 48 h, all tracheal aspirates in infected pigs were colonized by *S. pneumoniae* at a concentration $>10^6$ c.f.u./ml ($n = 19$ samples); whereas, at 72 h, six out of seven (86%) tracheal aspirates were colonized by *S. pneumoniae* at a concentration $>10^6$ c.f.u./ml (Fig. 2). The mean *S. pneumoniae* concentration across study measures (i.e., from 24 to 72 h) was 6.31×10^7 c.f.u./ml, vastly overtaking all other pathogen concentrations. Importantly, at 24 h, pneumococcal pneumonia was clinically confirmed in all infected pigs by $\geq 10^6$ c.f.u./ml in tracheal secretions, plus at least one clinical feature. Pathogens in tracheal secretions varied between infected and control pigs, specifically at 24 h (Fig. 2). As expected, *S. pneumoniae* was consistently the most frequent pathogen in infected pigs at each assessment time point. Conversely, *Streptococcus suis*, *S. aureus* and *B. bronchiseptica* were the most frequently isolated pathogens in control pigs.

Bronchoalveolar lavage (BAL) was performed to quantify bacterial burden at baseline and at 24 and 72 h. In control pigs, colonization occurred in 50% ($n = 2$ out of 4) of BAL samples at baseline. However, at 24 and 72 h, colonization occurred in 100% of BAL samples ($n = 4$ samples per time point) (Fig. 3). In infected pigs, 6 out of 10 (60%) BAL samples were colonized at baseline. After bacterial challenge (at the 24-h time point), the *S. pneumoniae* concentration significantly increased up to 1.20×10^6 c.f.u./ml. All infected pigs presented with an *S. pneumoniae* concentration $>10^3$ c.f.u./ml in BAL fluids at 24 h ($n = 10$ samples); however, at the end of the study, only 86% of these pigs presented with the aforementioned *S. pneumoniae* concentration ($n = 6$ out of 7 samples). Pathogens varied between infected or control pigs at 72 h ($P = 0.04$) (Fig. 3). Concomitant pathogens progressively increased in infected pigs

throughout the study time ($P = 0.02$), yet not notably in control pigs ($P = 0.08$).

Finally, of the 35 assayed blood samples from infected pigs (10 samples at baseline, 10 at 24 h, 8 at 48 h and 7 at 72 h), 8 (22.8%) tested positive for *S. pneumoniae*. *S. pneumoniae* bacteremia was found in five (50%) infected pigs during the experiment; specifically, one pig tested positive at 24 and 48 h, two pigs at 48 h and two pigs at 48 and 72 h. Conversely, controls never developed bacteremia ($n = 0$ out of 16 samples) ($P = 0.045$ versus infected pigs).

Pulmonary tissue burden. Macroscopic findings were observed in lungs upon necropsy (Supplementary Fig. 1). Histological features of infected pigs corroborated confluent pneumonia in all lung tissue samples (Fig. 4). *S. pneumoniae* was the most commonly isolated pulmonary pathogen ($n = 43$ out of 50 samples; 86%) in the infected group. The mean \pm s.e.m. pulmonary *S. pneumoniae* concentration among the 10 infected pigs was $1.26 \times 10^5 \pm 2 \times 10^2$ c.f.u./g, and it did not differ among the 50 sampled lobes (i.e., five lobes/pig; $P = 0.92$) (Fig. 5). Fifteen of fifty (30%) analyzed lobes in infected pigs presented concomitant colonization by other pathogens. Nevertheless, the mean \pm s.e.m. concentration of these other pathogens among 50 sampled lobes was 2-log lower than *S. pneumoniae* ($1.26 \times 10^3 \pm 10^2$ c.f.u./g), and it did not differ among the sampled lobes ($P = 0.086$). The associated median pulmonary histological score was 4 (interquartile range 4–4) ($n = 50$ samples). Thus, pneumococcal pneumonia was microbiologically/histologically confirmed in 8 of 10 infected pigs (80%). In the remaining two pigs, pathology studies confirmed confluent pneumonia (i.e., a score ≥ 3); however, the *S. pneumoniae* concentration was below the microbiological threshold (i.e., $<10^3$ c.f.u./g) to ultimately confirm pneumonia. Pneumococcal pneumonia was always multi-lobar. In control pigs, *S. suis* and *S. aureus* were the most commonly encountered pathogens. The mean \pm s.e.m. pulmonary concentration of pathogens in control pigs was 7.94 ± 20 c.f.u./g and slightly differed between the sampled lobes ($P = 0.05$).

Clinical findings, lung function and hemodynamics. Clinical and hemodynamic data are reported in Tables 1 and 2. Pneumonia development was not associated with specific changes in body temperature or white blood cells. Conversely, a significant drop in platelet count was observed in infected pigs. A significant increase in prothrombin time was another sign of systemic infection. In addition, creatinine was higher in control than in infected pigs at baseline, albeit without clinical relevance. Because of a significant decrease in systemic vascular resistance in infected pigs, mean \pm s.e.m. arterial pressure decreased to 66.9 ± 6.8 mmHg at 24 h, whereas cardiac output significantly increased. In addition, mean pulmonary arterial pressure was significantly different between infected and control pigs. Norepinephrine was administered in 9 of 10 challenged pigs at some point during the experiment to sustain hemodynamic stability, whereas control pigs did not need vasopressor support during the entire experiment ($P = 0.003$). Venous saturation was kept within the normal range throughout the study in both infected and control groups. Finally, gas exchanges worsened in infected pigs, specifically at 24 h after bacterial challenge (Supplementary Fig. 2). A progressive and significant increase in ventilatory support was therefore necessary to sustain pulmonary function in those pigs (Supplementary Table 1).

Inflammatory biomarkers. Systemic inflammatory biomarkers significantly increased at 24 h in infected pigs compared with baseline due to *S. pneumoniae* challenge but decreased thereafter (Supplementary Fig. 3). There was a steady increase in tumor necrosis factor α throughout the study in the serum of infected pigs. In BAL fluids of infected pigs, IL-1 β , IL-6 and IL-8 concentrations significantly changed over time, reaching peak levels after

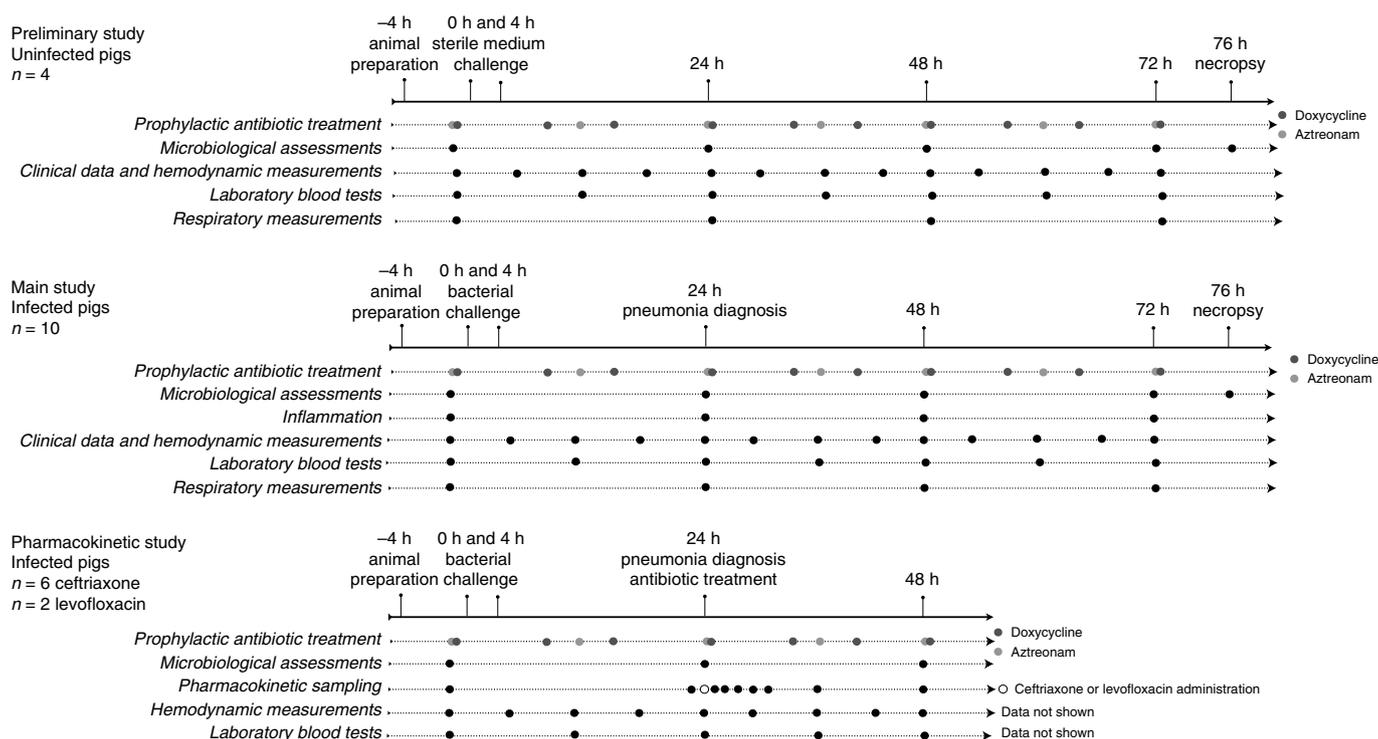


Fig. 1 | Study design of preliminary, main and pharmacokinetic studies. The timeline of experimental events is displayed. The number of pigs used for each study is reported. The preliminary and main studies were designed to compare data from microbiological assessments and other measurements between control pigs and pigs infected with *S. pneumoniae* serotype 19 A. Of note, ceftriaxone pharmacokinetic studies were planned to achieve an epithelial lining fluid concentration above *S. pneumoniae* minimum inhibitory concentration for $\geq 60\%$ of the time within 24 h in at least two consecutive pigs. For levofloxacin, we aimed for a ratio of area under the curve to minimum inhibitory concentration of bound levofloxacin >40 .

24 h of bacterial challenge and returning to baseline levels at 72 h (Supplementary Fig. 4).

Pharmacokinetic studies. A study of pharmacokinetics of ceftriaxone and levofloxacin was also performed (Fig. 6 and Supplementary Table 2). Six pigs were necessary to fulfill the expected goals of maintaining an unbound ceftriaxone epithelial lining fluid (ELF) concentration above *S. pneumoniae* minimum inhibitory concentration (MIC) for $\geq 60\%$ of the time within 24 h. Increasing doses of ceftriaxone—50, 100 and 150 mg/kg—were therefore tested (i.e., two pigs per dose). Conversely, for levofloxacin, the first tested dose of 10 mg/kg ($n = 2$ pigs) was sufficient to achieve a ratio of area under the curve to MIC of bound levofloxacin >40 .

Discussion

We developed a novel model of macrolide-resistant, multi-lobe pneumococcal pneumonia in mechanically ventilated pigs. Characterization of the model includes *S. pneumoniae* bacteremia in 50% of pigs and an important systemic and pulmonary release of inflammatory markers that causes septic shock and pulmonary/hemodynamic derangement. The survival rate at 76 h was 70% in infected pigs, allowing for a relatively prolonged follow-up after the development of infection.

To the best of our knowledge, this is the first model of penicillin- and macrolide-resistant pneumococcal pneumonia in animals ventilated for days, with severe hemodynamic impairment and requiring the use of vasoactive drugs. In the late 1970s, the first ground-breaking model of pneumococcal pneumonia was developed in anesthetized rats, via instillation of *S. pneumoniae* serotype 3 into the left main bronchus¹⁰. The animals were spontaneously breathing, and infection even spread into the right lung throughout the study, which lasted up to 132 h. A detailed description of

pneumococcal pneumonia in mice, rats and rabbits goes beyond the scope of this article, given previous comprehensive appraisals of animal models of *S. pneumoniae* infections¹⁰. Nevertheless, to determine the limitations of our novel model and identify possible refinements, taking a closer look at previously developed models of multi-lobe pneumococcal pneumonia that cause significant hemodynamic compromise is essential. The mouse models presenting with the most severe pulmonary infections were developed through intra-tracheal instillation of *S. pneumoniae*. Azoulay-Dupuis and colleagues¹³ developed a model of severe pneumococcal pneumonia in Swiss mice via tracheal cannulation and instillation of *S. pneumoniae* type 3 with microliter syringes. In another model, Iwasaki and collaborators¹⁴ lightly sedated a mouse and introduced an otoscope into the mouse's oropharynx for direct visualization of the trachea and bacterial challenge into the airways.

In comparison with our model, though, several dissimilarities are evident. First, neither mice nor rats underwent mechanical ventilation, and circulatory shock was not supported by administering vasoactive drugs. Second, severe pneumonia developed in just 8–12 h in our swine model, whereas mice and rats required days to develop lethal severity. Furthermore, our model used a clinically relevant, penicillin- and macrolide-resistant *S. pneumoniae* serotype (19 A). There are well-known difficulties in causing pneumonia in rodents with penicillin-resistant *S. pneumoniae*, and scientists have ultimately used immunocompromised or young rodents to develop infections with such a strain¹⁰.

Alternatively, rabbits can be used to study pneumonia by penicillin-resistant *S. pneumoniae* in immune-competent animals^{15,16}. For example, Piroth and collaborators¹⁶ undertook an extremely high intrabronchial challenge approach of 10^{10} c.f.u./ml of penicillin-resistant *S. pneumoniae* serotype 9V. After 72 h, the authors reported a survival rate of 50% and progression from lobar to multi-lobe

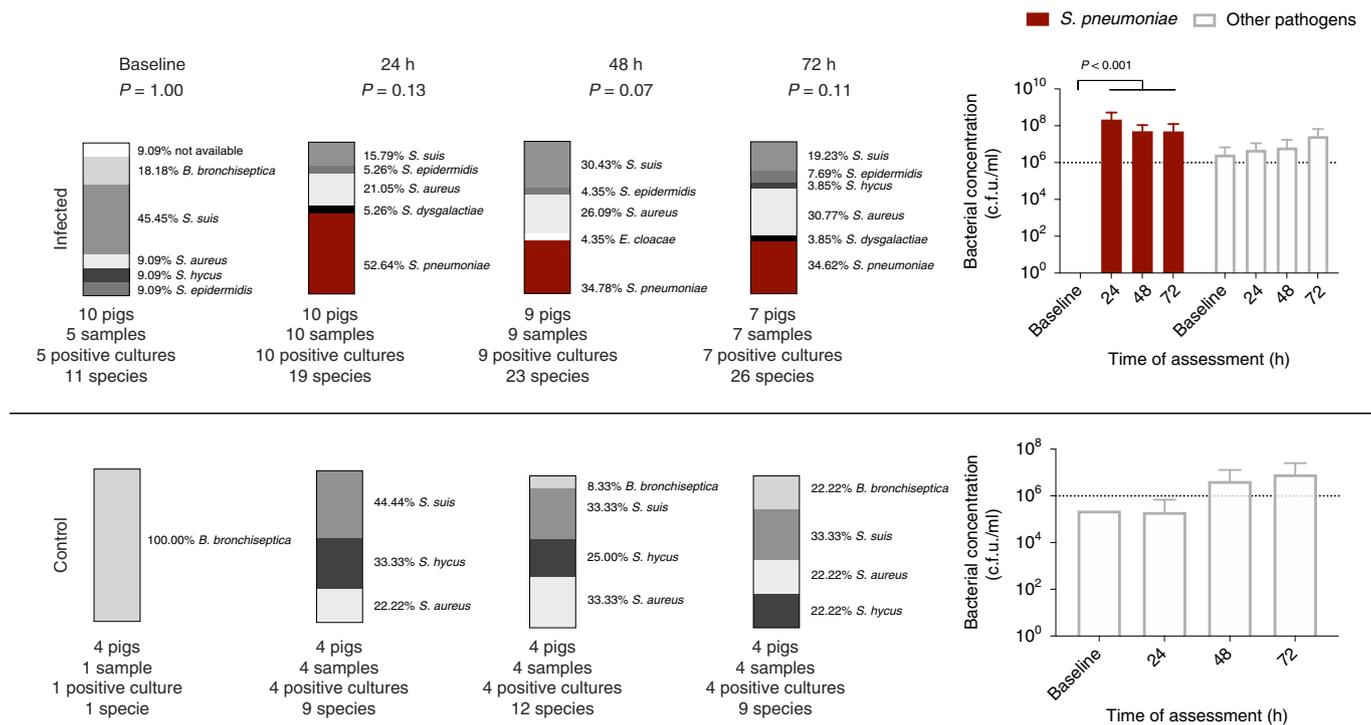


Fig. 2 | Bacterial colonization of tracheal secretions. On the upper left side, tracheal secretion bacterial diversity during the main study is shown for *S. pneumoniae*-infected pigs. On the lower left side, tracheal secretion bacterial diversity during the preliminary study is shown for control pigs. In the right section, sequential assessment of quantitative bacterial concentration in tracheal secretions in infected (upper plot) and control (lower plot) pigs is shown (mean \pm s.e.m. bars). In infected pigs, the concentration of *S. pneumoniae* (red bars) in tracheal secretion increased after bacterial challenge ($P < 0.001$), whereas the concentrations of other pathogens (gray bars) did not vary between study time points ($P = 0.53$). In controls, bacterial concentration did not vary during study times ($P = 0.59$). Data are reported as percentage of isolated bacteria among total tracheal aspirate samples as a pool of pigs combined. The number of included pigs, analyzed samples, positive cultures and isolated species are indicated for each group and time of assessment. The percentage of colonization between infected and control group variables was analyzed by using Fisher's exact test and the Cochran-Mantel-Haenszel test. Restricted maximum likelihood (REML) analysis based on a repeated measures approach with time of assessment as factors was performed. *P* values—corrected through Bonferroni methods—for comparisons between bacterial burden per study time are reported. *B. bronchiseptica*, *Bordetella bronchiseptica*; *E. cloacae*, *Enterobacter cloacae*; *S. aureus*, *Staphylococcus aureus*; *S. dysgalactiae*, *Streptococcus dysgalactiae*; *S. epidermidis*, *Staphylococcus epidermidis*; *S. hycus*, *Staphylococcus hycus*; *S. pneumoniae*, *Streptococcus pneumoniae*; *S. suis*, *Streptococcus suis*.

pneumonia. Yet, even then, rabbits did not receive mechanical ventilation or care that would be provided to patients in ICU.

With respect to models of pneumococcal pneumonia in larger animals, Kraft et al. developed a pneumococcal pneumonia model in adult baboons (*Papio cynocephalus*) that were infected with escalating doses of *S. pneumoniae* serotype 19A¹⁷. Although this model is characterized by a good association between dose inoculation and cytokine response, the baboons were not mechanically ventilated and were diagnosed by using traditional methods, and no microbiological data of lung burden are available. Similarly, Reyes and collaborators¹⁸ described an innovative model in adult baboons that underwent bacterial challenge with 10⁹ c.f.u./ml of *S. pneumoniae* serotype 4 in the right middle lobe. The baboons developed severe pneumonia and bacteremia but not septic shock; no mechanical ventilation was necessary. Furthermore, it is uncertain whether these nonhuman primates developed concomitant colonization by endogenous pathogens. Lim et al.¹⁹ also developed an *S. pneumoniae* model in pigs by challenging each pulmonary lower lobe with 10⁸ c.f.u./ml. Unlike the previous studies, these experiments lasted only 6 h, and no microbiological information was reported.

In our study, we aimed at creating a highly severe model of pneumococcal pneumonia, similar to that observed in patients requiring intensive care. Indeed, between 1.2% and 10.0% of patients requiring hospital admission for CAP caused by *S. pneumoniae* will require ICU admission, and 28-d mortality in patients admitted to the ICU

is 17%. This figure increases to 25% in patients who require invasive mechanical ventilation and 40% in patients who develop septic shock²⁰. The ideal treatment for severe pneumococcal pneumonia is limited to antibiotic therapy and potential ventilatory support until pulmonary function has recovered. Furthermore, current therapeutic options for severe pneumococcal pneumonia still lack an evidence-based guideline for best practice. None of the previously described models underwent mechanical ventilation for >6 h. Therefore, our reliable model of pneumococcal pneumonia may serve as a means to obtain experimental results that would be likely unachievable in clinical settings, particularly because this animal model can be used to harvest various tissues post mortem²¹.

We used prophylactic antibiotic therapy with doxycycline and aztreonam to avoid colonization by species-specific endogenous pathogens. As clearly demonstrated by our results obtained from control pigs, these antibiotics adequately prevented infection, and all pigs survived the 76-h experiment. Nevertheless, in pigs with pneumococcal pneumonia, the concentration of endogenous flora increased, although always marginally when compared to *S. pneumoniae*. Prophylactic antibiotics were not effective enough to prevent infection of an already injured lung by *S. pneumoniae*. Endogenous flora probably occurs in mechanically ventilated patients, as suggested by post-mortem studies²². The interplay between endogenous flora and *S. pneumoniae* cannot be fully described with our current study design. Lung damage caused by

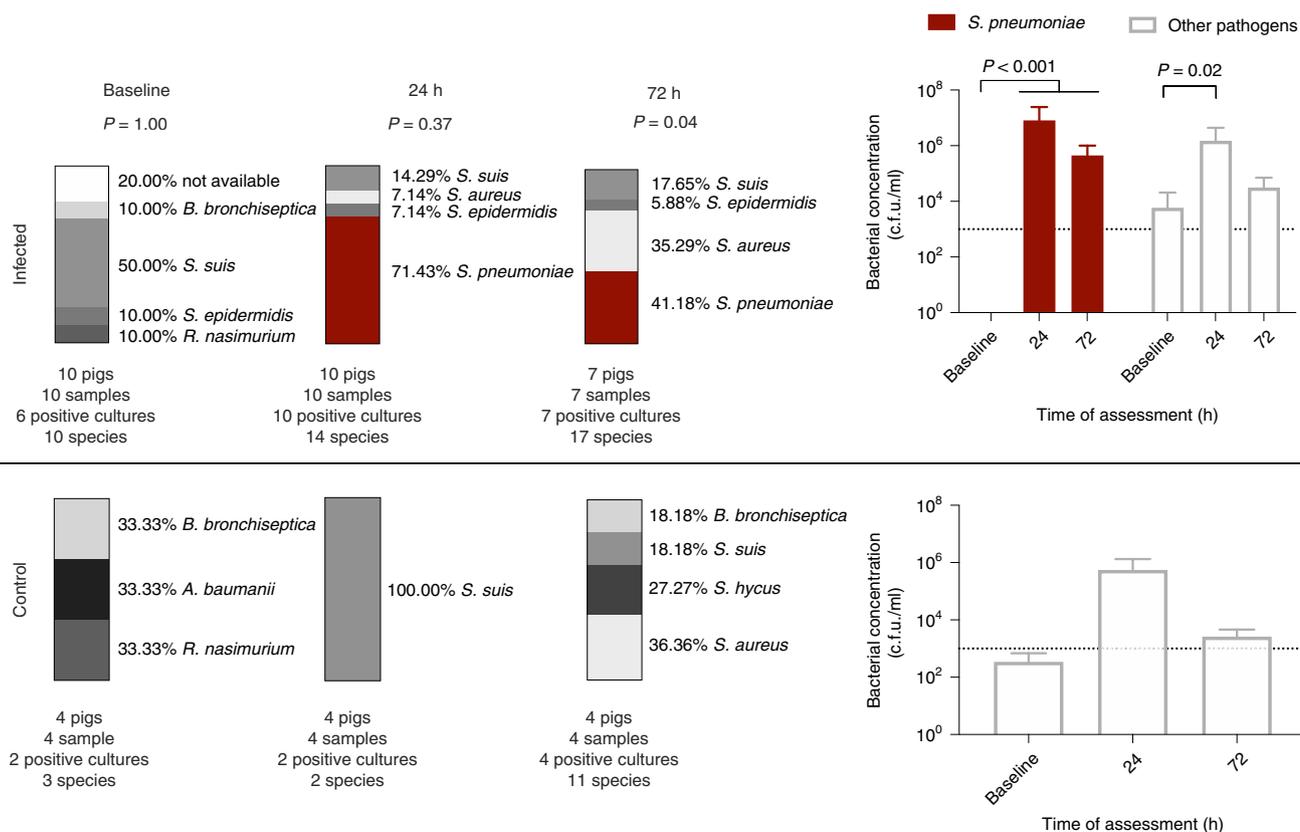


Fig. 3 | Bacterial colonization of BAL. The upper left section depicts BAL bacterial diversity during the main study in infected pigs. On the lower left side, BAL bacterial diversity during the preliminary study is shown for control pigs. In the right section, sequential assessment of quantitative bacterial concentration in BAL fluids in infected (upper plot) and control (lower plot) pigs is shown (mean \pm s.e.m. bars). In infected pigs, the concentration of *S. pneumoniae* (red bars) in BAL fluids increased after bacterial challenge ($P < 0.001$), whereas for other pathogen concentrations (gray bars), the burden increased progressively between study times ($P = 0.02$), specifically between baseline and 24 h ($P = 0.02$). In controls, bacterial concentration did not vary during study times ($P = 0.08$). Data are reported as percentage of isolated bacteria among total BAL samples as a pool of pigs combined. The number of included pigs, analyzed samples, positive cultures and isolated species are indicated for each group and time of assessment. The percentage of colonization between infected and control group variables was analyzed by using Fisher's exact test and the Cochran-Mantel-Haenszel test. REML analysis based on a repeated measures approach with time of assessment as factors was performed. P values—corrected through Bonferroni methods—for comparisons between bacterial burden per study time are reported. *A. baumannii*, *Acinetobacter baumannii*; *R. nasimurium*, *Rothia nasimurium*.

S. pneumoniae may facilitate the colonization of the lower airway by endogenous flora. In this context, studies have shown that patients with greater lung damage (i.e., patients with acute respiratory distress syndrome) have a higher risk of infection by more than one pathogen than patients with lower pulmonary insult²³. Significant differences between baseline and positive BAL cultures 24 h after bacterial challenge corroborate this hypothesis. Therefore, it is possible that the resulting, life-threatening pulmonary insult performed by two consecutive bacterial challenges significantly thwarted respiratory defenses and facilitated endogenous colonization in our model. Future studies should therefore consider the underlying pathophysiological mechanisms for concurrent bacterial growth. Furthermore, in view of the high *S. aureus* colonization in infected pigs, our model may set the groundwork to test breakthrough fifth generation antibiotics active against both pathogens (e.g., ceftazidime²⁴ or ceftaroline²⁵) and potentially detect their effect on both *S. pneumoniae* and *S. aureus* burden.

An additional strength of our model is the use of an *S. pneumoniae* strain resistant to penicillin and macrolides. Our model contributes to providing insight into macrolide resistance in CAP. Previous investigations have reported macrolide-resistant *S. pneumoniae* rates to be between 15% and 35%²⁶. These figures are alarming, given that macrolides are first-line antibiotic treatment

in patients with CAP²⁷. In addition, results related to the effects of macrolide resistance on patient outcomes have been conflicting. Our model, however, could help explore these controversial matters or even address potential immunomodulatory benefits associated with macrolides²⁸ while even preventing the bactericidal effects of such drugs. Finally, as in previous models²⁹, our model could allow investigators to easily study the deleterious cardiac effects and potential remodeling caused by severe pneumococcal pneumonia.

As for other noteworthy clinical features of our model, we observed hyperdynamic septic shock at 24 h, characterized by a fall in mean arterial pressure (MAP) and systemic vascular resistance and an increase in cardiac output. This is in line with the clinical status of patients admitted to the ICU with pneumococcal pneumonia, who often require the use of vasopressors. We also found sepsis-induced coagulopathy, as corroborated by prolonged prothrombin time and thrombocytopenia. However, possibly because of prompt hemodynamic support during the development of infection, no other clinical signs of organ failure were evident. In addition, we confirmed systemic inflammatory response on the basis of a considerable upsurge of inflammatory markers IL-1 β , IL-6 and IL-8. This model could therefore be useful in the testing of novel strategies aimed at modulating inflammation during the early disease stages. Moreover, novel antimicrobial agents with

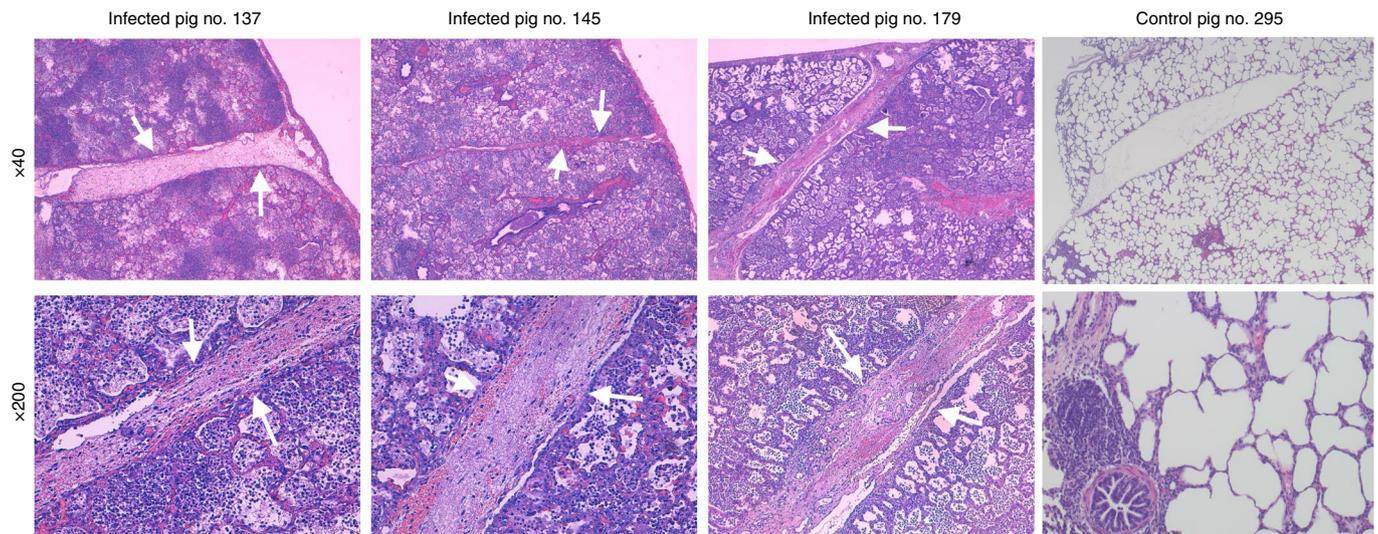


Fig. 4 | Lung tissue microscopic findings from infected pigs (nos. 137, 145 and 179) and a control pig (no. 295). Upper figures show ×40 magnification, and lower figures show ×200 magnification. Confluent pneumonia was the most common histological pattern in infected pigs; polymorphonuclear infiltrate is identifiable within contiguous secondary lobes, separated by a septum (white arrows indicate the septum margins). Findings in control pigs included mild bronchiolitis, composed of histiocytes with some polymorphs, and interlobular septal edema.

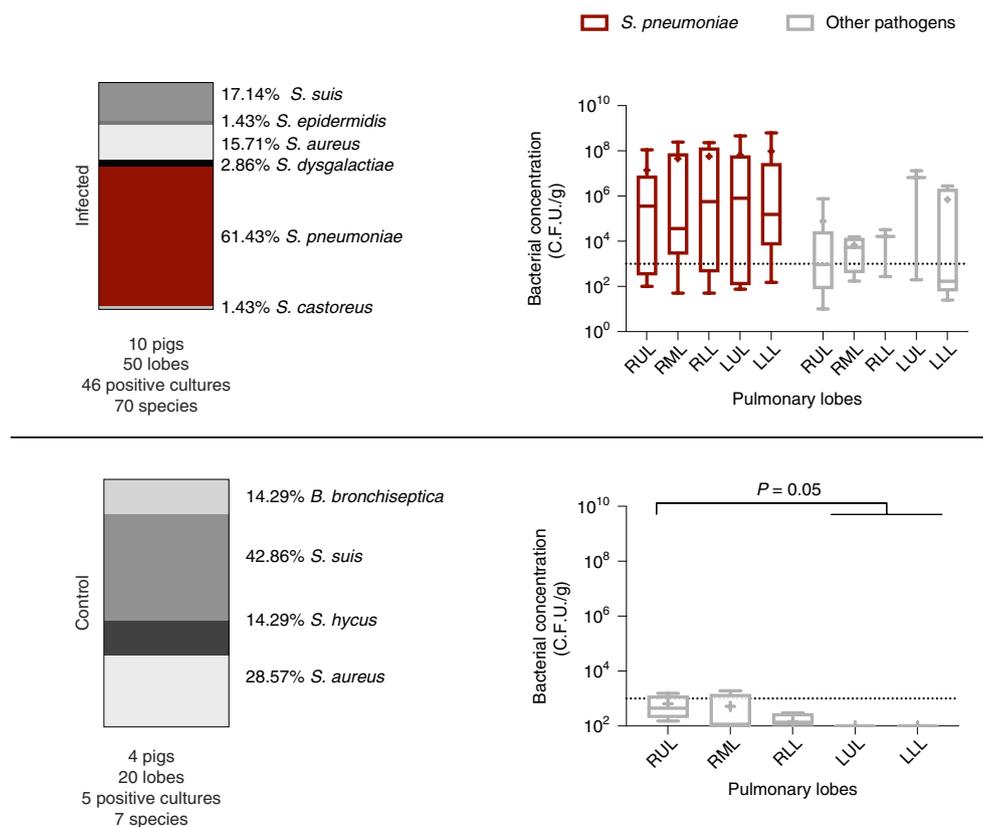


Fig. 5 | Pulmonary microbiological burden. The left section depicts pulmonary bacterial variety. In the right section, box plots display pulmonary concentration per lobes. Horizontal bars represent the median, boxes represent the interquartile range, whiskers represent the range and plus signs denote the means. In the upper graph, red box plots depict lobar *S. pneumoniae* concentration data, whereas gray ones depict other concomitant pathogens per lobes among infected pigs. Neither pulmonary *S. pneumoniae* concentration ($P = 0.92$) nor concomitant pathogen concentrations ($P = 0.09$) differed between pulmonary lobes. In the lower right section, the pulmonary concentration of other pathogens is shown for the control group. Significant differences were found between the right upper lobe (RUL) and the left upper lobe (LUL) and left lower lobe (LLL) ($P = 0.05$). Data are reported as percentage of isolated bacteria among lung tissue samples as a pool of pigs combined. The number of included pigs, analyzed pulmonary lobes, positive cultures and isolated species are indicated for each group and time of assessment. REML analysis based on a repeated measures approach with lung lobes as factors was performed. P values—corrected through Bonferroni methods—for comparisons between bacterial burden per lung lobes are reported. *S. castoreus*, *Streptococcus castoreus*. RML, right middle lobe; RLL, right lower lobe.

Table 1 | Clinical data

	Time (h)								P value	
	0		24		48		72		Effect of bacterial challenge	Effect of time
	Infected (n = 10)	Control (n = 4)	Infected (n = 10)	Control (n = 4)	Infected (n = 9)	Control (n = 4)	Infected (n = 7)	Control (n = 4)		
Body temperature (°C)	36.8 ± 0.9	37.4 ± 0.6	38.7 ± 0.6	39.1 ± 0.9	38.6 ± 0.7	38.7 ± 0.5	38.6 ± 0.5	37.1 ± 1.4	0.693	<0.001
Leukocytes (10 ³ /μl)	10.9 ± 4.6	9.1 ± 1.2	8.3 ± 2.3	23.0 ± 3.3	19.2 ± 8.7	13.3 ± 4.6	13.3 ± 6.1	10.2 ± 3.2	0.542	0.002
Platelets (10 ³ /μl)	413.9 ± 96.5	439.0 ± 128.0	227.3 ± 54.1	332.0 ± 103.6	159.4 ± 58.3	250.0 ± 91.8	185.1 ± 60.1	279.5 ± 154.9	0.005	<0.001
Creatinine (mg/dl)	0.8 ± 0.1	1.1 ± 0.1	0.9 ± 0.1	1.2 ± 0.3	0.9 ± 0.1	1.1 ± 0.3	0.9 ± 0.1	1.1 ± 0.4	<0.001	0.185
ALT (IU/liter)	31.3 ± 9.4	34.0 ± 16.5	28.8 ± 5.8	29.0 ± 21.2	34.4 ± 10.9	26.7 ± 13.0	36.3 ± 15.4	51.0 ± 39.1	0.654	0.209
Total bilirubin (mg/dl)	0.13 ± 1.03	0.20 ± 0.10	0.21 ± 0.14	0.10 ± 0.0	0.11 ± 0.66	0.12 ± 0.05	0.12 ± 0.04	0.12 ± 0.05	0.304	0.749
PT (s)	12.4 ± 3.4	8.8 ± 4.9	15.6 ± 1.3	12.2 ± 0.8	13.4 ± 0.7	11.6 ± 0.6	12.2 ± 0.7	11.2 ± 0.0	0.002	0.012
PTT (s)	22.0 ± 0.0	22.0 ± 0.0	22.4 ± 0.9	22.4 ± 0.5	22.3 ± 0.9	22.5 ± 1.1	22.1 ± 0.4	22.8 ± 1.1	0.317	0.347

Data are reported as mean ± s.d. Two infected pigs were euthanized at 34 and 48 h for severe respiratory/hemodynamic instability, and one infected pig was euthanized at 55 h because of severe hemodynamic instability. Restricted maximum likelihood analysis based on a repeated measures approach with time of assessment as factors was performed. Pair-wise comparisons corrected by Bonferroni were performed for both bacterial challenge and time. Pair-wise comparisons corrected by Bonferroni between infected and control pigs among study time points are displayed in bold. ALT, alanine transaminase; PT, prothrombin time; PTT, thromboplastin time.

Table 2 | Hemodynamic parameters

	Time (h)								P value	
	0		24		48		72		Effect of bacterial challenge	Effect of time
	Infected (n = 10)	Control (n = 4)	Infected (n = 10)	Control (n = 4)	Infected (n = 9)	Control (n = 4)	Infected (n = 7)	Control (n = 4)		
HR (beats/min)	56.3 ± 11.9	75.2 ± 20.6	90.7 ± 20.3	77.2 ± 28.6	69.2 ± 13.5	52.7 ± 10.8	60.3 ± 9.5	49.2 ± 7.5	0.264	<0.001
MAP (mmHg)	82.4 ± 10.7	83.7 ± 1.5	66.9 ± 6.8	69.5 ± 1.2	67.9 ± 4.7	68.2 ± 1.7	71.0 ± 6.7	77.2 ± 3.8	0.233	<0.001
Vasopressor dependency index	0 (0-0)	0 (0-0)	0.29	0 (0-0)	0.06	0 (0-0)	0 (0-0)	0 (0-0)	0.003	<0.001
			(0.14-0.90)		(0.0-0.31)					
MPAP (mmHg)	15.9 ± 5.1	13.6 ± 4.1	24.8 ± 3.2	19.4 ± 5.6	23.7 ± 3.9	17.5 ± 3.1	23.0 ± 2.54	18.4 ± 3.1	<0.001	<0.001
CO (liters/min)	3.6 ± 1.5	3.1 ± 0.8	4.8 ± 1.7	2.4 ± 0.6	4.6 ± 2.0	2.6 ± 0.6	3.8 ± 1.4	2.3 ± 0.3	0.001	0.769
SVR (dyn·s·cm ⁻⁵)	1883 ± 607	2249 ± 583	1112 ± 461	2075 ± 394	1146 ± 364	1842 ± 239	1436 ± 433	2363 ± 381	<0.001	0.002
PVR (dyn·s·cm ⁻⁵)	217 ± 112	259 ± 22	261 ± 87	303 ± 48	239 ± 86	193 ± 31	272 ± 84	279 ± 34	0.652	0.206
Mixed venous SatO ₂ (%)	66.9 ± 3.3	72.0 ± 8.2	74.6 ± 9.2	67.4 ± 5.3	66.2 ± 9.3	65.6 ± 10.2	68.4 ± 4.4	70.1 ± 6.3	0.913	0.478

Data are reported as mean ± s.d. or median and (interquartile range). Two infected pigs were euthanized at 34 and 48 h for severe respiratory/hemodynamic instability, and one infected pig was euthanized at 55 h because of severe hemodynamic instability. REML analysis based on a repeated measures approach with time of assessment as factors was performed. Pair-wise comparisons corrected by Bonferroni between infected and control pigs among study time points are displayed in bold. CO, cardiac output; HR, heart rate; MAP, mean arterial pressure; MPAP, mean pulmonary arterial pressure; PVR, pulmonary vascular resistance; SatO₂, oxygen saturation; SVR, systemic vascular resistance.

Gram-positive or broader-spectrum features (e.g., ceftaroline and ceftibiprole or omadacycline, respectively) could be tested in future studies.

Finally, another major strength of our study is the assessment of ELF concentrations of ceftriaxone and levofloxacin. These analyses serve as proof of concept for the potential use of this novel model. In particular, as detailed in Methods, we aimed for specific endpoints commonly applied in clinical settings to ensure bactericidal efficacy, as is normally done in the first phases of clinical trials. Interestingly, in comparison with dosages in humans, a fourfold increase in ceftriaxone dose was necessary to achieve a concentration of free antibiotic above *S. pneumoniae* MIC for 60% of the time. This was probably related to the substantial severity and resulting hyperdynamic septic shock of our model³⁰, largely augmenting

the distribution volume of ceftriaxone. These data also highlight the potential risks of under-dosing in critically ill patients and the need for new reliable clinical data to validate ELF concentrations. In addition, these findings are in line with previously reported lower pulmonary concentrations and efficacy attained with standard daily dosing of 2 g of ceftriaxone in the treatment of *S. aureus* pneumonia^{31,32}. Conversely, required levofloxacin doses were similar to doses used in patients.

This study presents some limitations that deserve further discussion. First, unlike in the most probable clinical scenario, we used a high challenge dose in ventilated pigs, which resulted in an immediate injurious response. These methods were aimed at developing severe pneumococcal pneumonia quickly, and the model should be considered to have captured only certain features of pneumococcal

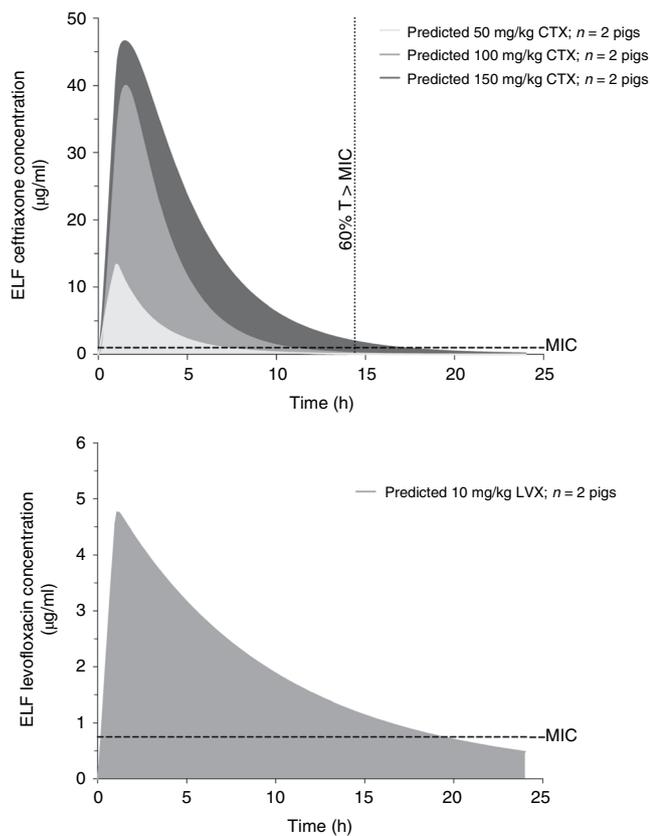


Fig. 6 | Epithelial lining fluid (ELF) pharmacokinetics of ceftriaxone and levofloxacin. In the upper portion of the figure, ceftriaxone (CTX) pharmacokinetics are shown. A dose of 150 mg/kg of ceftriaxone was necessary to achieve a concentration of unbound ceftriaxone concentration above *S. pneumoniae* minimum inhibitory concentration (MIC) for $\geq 60\%$ of the time between daily dosing (y-axis dotted line, '60% T > MIC'). Levofloxacin (LVX) pharmacokinetics are shown in the lower portion of the figure. A dose of 10 mg/kg was sufficient to achieve the ratio between the area under the curve for 0–24 h and *S. pneumoniae* MIC of ≥ 40 (y-axis dotted line).

pneumonia. Second, we found that *S. aureus* and *S. suis* concurrently colonized the respiratory system. An alternative methodological approach could consist of further increasing the doxycycline dose to avoid colonization by the most frequent Gram-positive pathogens. Third, because of severe respiratory/hemodynamic instability, we found a survival rate of 70%. This substantial mortality may be a limitation for future drug-testing studies, by reducing the number of animals included in the analysis, raising ethical concerns and creating potential bias in results. Fourth, only female pigs were used, and thus the impact of sex on the model is unknown. Finally, potential viral colonization or coinfection has not been studied.

In conclusion, we have developed a model of penicillin- and macrolide-resistant *S. pneumoniae* serotype 19 A in mechanically ventilated pigs. Pulmonary infection caused bacteremia in half of the pigs and was associated with severe hemodynamic compromise.

Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at <https://doi.org/10.1038/s41684-021-00876-y>.

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Methods

This study was conducted at the animal facilities of the University of Barcelona, Spain. All procedures were performed following European Directive 2010/63/UE and Spanish RD 53/2013 regulations related to the Guide for the Care and Use of Laboratory Animals. The study protocol was approved by the Animal Experimentation Ethics Committee of the University of Barcelona (approval reference number: DAAM 42/12). To ensure adequate animal welfare, the pigs were housed in groups before the experiments. The pigs were tested for common pathogens before the experiments, identifying normal and non-pathogenic flora (data not shown). Figure 1 depicts the study design and aims of this multi-phase project. Further methodological details are available in the Supplementary Information.

Preliminary study. We conducted preliminary analyses in four healthy Large White-Landrace female pigs (mean \pm s.e.m.: 31.5 \pm 3.0 kg; range: 28–34 kg; Specipig SL) that were surgically prepared and managed as reported below, but were not challenged intra-bronchially with *S. pneumoniae*. Throughout the study, 100 mg/kg of doxycycline was administered every 12 h to hinder colonization by endogenous Gram-positive bacteria. In addition, 50 mg/kg of aztreonam was administered every 8 h to prevent endogenous colonization by Gram-negative bacteria. We assessed clinical data, pulmonary mechanics and hemodynamic parameters at baseline and 24 h thereafter, as well as collected tracheal secretions for quantitative microbiology studies (detailed below). In addition, we performed a BAL, as reported below, to quantify bacterial burden at baseline and at 24 and 72 h. Finally, after 76 h, pigs were euthanized, and lung biopsy specimens were taken to quantify bacterial concentration.

Main study. The study was conducted on 10 healthy Large White-Landrace female pigs (mean \pm s.e.m.: 33.7 \pm 1.9 kg; range: 32–37 kg).

Animal preparation and mechanical ventilation. The pigs were sedated, orotracheally intubated and connected to a mechanical ventilator (SERVO-I; Maquet). Pigs were initially ventilated in volume-control, square-wave inspiratory flow, duty cycle 0.33, tidal volume 8 ml/kg, positive end-expiratory pressure of 3–5 cm H₂O and respiratory rate adjusted to maintain arterial partial pressure of carbon dioxide within normal range. Inspiratory gases were conditioned via a heated humidifier (MR850; Fisher and Paykel) with a heated inspiratory circuit. The pigs were under continuous anesthesia with a steady infusion of midazolam at 0.2–0.8 mg kg⁻¹ h⁻¹, propofol at 4–9 mg kg⁻¹ h⁻¹ and fentanyl at 5–10 μ g kg⁻¹ h⁻¹. Respecting strict sterile technique, we performed an ultrasound-guided femoral artery cannulation for systemic MAP monitoring and blood sample collection. We surgically inserted an 8-French mm catheter into the internal jugular vein (Introflex percutaneous sheath introducer; Edwards Lifesciences) and placed a 7-Fr Swan-Ganz catheter (Swan-Ganz PAC; Edwards Lifesciences) through the introducer. Furthermore, to monitor urinary output throughout the study, we inserted a no. 16 Foley catheter into the bladder via a surgical midline mini-pelvectomy. Throughout the study, 100 mg/kg of doxycycline every 12 h and 50 mg/kg of aztreonam every 8 h were administered to hinder colonization by endogenous bacteria. After surgical preparation, we placed the pigs in the prone position for bacterial challenge; thereafter, we cyclically moved the pigs into a right- or left-lateral position every 6 h. Throughout the study, we assessed gas exchanges, pulmonary mechanics, hemodynamics, urine output and ventilatory settings every 12 h; we also obtained a complete blood count, basic metabolic panel, liver function, renal panel and coagulation tests.

Bacterial challenge. We prepared a culture of *S. pneumoniae* (ST276) serotype 19 A, characterized by resistance to penicillin, macrolides and tetracyclines with MICs of ceftriaxone of 1 μ g/ml, erythromycin >256 μ g/ml, azithromycin >256 μ g/ml, doxycycline 6 μ g/ml and levofloxacin 0.75 μ g/ml. We initially attempted to induce severe pneumococcal pneumonia through single bronchoscopic challenge with 15 mL of 10⁸ c.f.u./ml of *S. pneumoniae* into each lobe of four pigs set in the prone position: two pigs on the bed oriented horizontally and the other two at an angle above horizontal. After this challenge, the pigs remained healthy and did not develop pneumonia (data not shown). We therefore modified our methods accordingly: shortly after surgical preparation and 4 h thereafter, two separate slow instillations of 15 ml of 10⁸ c.f.u./ml of the aforementioned log-phase culture of *S. pneumoniae* were performed into each pulmonary lobe of the pigs as they laid on a bed oriented 15° above horizontal.

Microbiological assessments and clinical definition of pneumonia. Before bacterial challenge and every 24 h thereafter, we collected tracheal secretions for quantitative microbiology studies. In addition, before bacterial challenge, and after 24 and 72 h, we performed a BAL of the right middle lobe for quantitative microbiology studies and quantification of inflammatory parameters. Finally, before bacterial challenge and every 24 h thereafter, we assessed blood cultures. At 24 h, clinical diagnosis of pneumonia was confirmed by an association with *S. pneumoniae* colonization $\geq 10^6$ c.f.u./ml in tracheal secretions, plus at least one of the following clinical features: body temperature >38.5 °C or <36 °C, a white blood cell count >14,000/mm³ or <4,000/mm³ and purulent secretions.

Respiratory measurements. We collected respiratory mechanical measurements daily as previously described³³. Airway pressure was measured proximally to the endotracheal tube, while respiratory flow rates were measured with a heated pneumotachograph. Flow and pressure signals were recorded on a personal computer for subsequent analysis with dedicated software (Colligo; Elekton). The static elastance of the respiratory system was calculated by using standard formulae and applying the rapid occlusion method³⁴.

Hemodynamic measurements. After assessment of pulmonary variables, we evaluated hemodynamics and gas exchange (arterial and mixed venous blood) as previously described³³. Stroke volume, systemic vascular resistance, pulmonary vascular resistance and venous admixture were computed as previously reported³³. In addition, we computed vasopressor dependency index³⁵.

Inflammatory parameters. Before bacterial challenge and at 24, 48 and 72 h thereafter, blood was drawn to measure serum IL-1 β , IL-6, IL-8, IL-10 and tumor necrosis factor α concentrations. Furthermore, before bacterial challenge and at 24 and 72 h, the aforementioned cytokines were also quantified in BAL. Inflammatory marker concentrations are reported as log pg/liter.

Necropsy, post-mortem microbiological and histological studies and the definition of pneumonia. 76 h after tracheal intubation (72 hours after the initial bacterial challenge), pigs were euthanized. We prematurely discontinued the study in cases of severe refractory respiratory instability (ratio between the partial pressure of arterial oxygen and inspiratory fraction of oxygen <100, irrespective of maximal ventilatory support) or refractory hemodynamic instability (MAP <50 mmHg, irrespective of norepinephrine dosing >0.3 μ g kg⁻¹ min⁻¹). We collected two samples from the most-affected region of each of the five lobes for histological and quantitative microbiological studies. Lung histology was evaluated according to previously published methods and a six-point injury score³⁶. For each lobe, pneumonia was microbiologically confirmed by a quantitative *S. pneumoniae* lobar culture $\geq 10^3$ c.f.u./g and histologically confirmed per an injury score ≥ 3 .

Pharmacokinetic analyses. In eight additional pigs, severe pneumococcal pneumonia developed as previously described. After pneumonia was clinically confirmed, different doses of ceftriaxone and levofloxacin were administered to assess pharmacokinetics in the ELF. Initially, we administered 50 mg/kg of ceftriaxone in two pigs and 10 mg/kg of levofloxacin in two additional pigs. We sequentially collected plasma and performed BAL in the right middle lobe to measure antibiotic concentrations in the ELF³⁷. Plasma and BAL urea concentrations were determined with a validated enzymatic assay³⁸. Our aim was to achieve a concentration of unbound ceftriaxone in the ELF greater than *S. pneumoniae* MIC for ≥ 14.4 h (60% of the time between daily dosing)³². With respect to levofloxacin, our aim was to achieve a ratio between the area under the concentration-time curve from 0 to 24 h post-dose of bound levofloxacin and *S. pneumoniae* MIC >40³⁹.

Statistical analysis. Continuous variables were described as means and standard deviations or standard errors and median (25th–75th quartiles). Categorical variables were described as frequencies and percentages. Continuous variables were analyzed by using an REML analysis, based on repeated measures approach (PROC MIXED) and including times of assessment and pulmonary lobes as factors. A compound of symmetry or univariate (co)variance structure was used to model the within-subjects' errors. For each continuous variable, the overall *F* test was first assessed for significance ($P \leq 0.05$). Each pair-wise comparison was also performed, corrected by using the Bonferroni test to control for the experiment-wise error rate, and considered significant if its *P* value was ≤ 0.05 . We tested the assumption in PROC MIXED with respect to normality of the model residuals. In cases of non-normally distributed residuals, we used the Friedman test. Categorical variables were analyzed by using Fisher's exact test and the Cochran–Mantel–Haenszel test. All statistical analyses were performed by using SAS software (version 9.4; SAS Institute).

Reporting Summary. Further information on research design is available in the Nature Research Reporting Summary linked to this article.

Data availability

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

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Author contributions

R.A., G.L.B, A.M. and A.T. participated in the development of the protocol, study design, study management, statistical analysis and data interpretation and wrote the first draft of the report. L.F.B, E.A.X., M. Rigol, G.F., C.T., J.B., F.P., M.C., T.C., C.C., M.Y., H.Y., M.A., J.D.M., F.D.R., M.A.S., M. Rinaudo and S.T. participated in data collection and interpretation and critically reviewed the first draft of the report. M.J.S., D.P.N., A.A. and J.R. participated in study design and reviewed the report.

Competing interests

A.T. has received grants from MedImmune, Cubist, Bayer, Theravance and Polyphor and personal fees as an advisory board member from Bayer, Roche, The Medicines CO and Curetis. He has received personal fees as a member of the speaker's bureau from GSK, Pfizer, Astra Zeneca and Biotest Advisory Board, outside the submitted work. None of the other authors have any competing interests with the current publication.

Additional information

Supplementary information The online version contains supplementary material available at <https://doi.org/10.1038/s41684-021-00876-y>.

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Sample size	No sample size was performed as we were developing a novel model. Nevertheless, we have used published pneumonia large animal models to determine the final sample size (Li Bassi G., et Anesthesiology 2014; Reyes L., et al. PLoS One 2016; Martinez-Olondris P. et al, Eur Respir J 2010).
Data exclusions	No data were excluded from the analyses
Replication	Clinical measures and samples were taken at baseline and throughout the experiment to ensure replication. No significant standard deviations were found between animals in each group. Also, differences at baseline were analyzed between both groups (infected and control), and we did not find any.
Randomization	Randomization was not relevant in our study, as we are here describing a novel model.
Blinding	Randomization was not relevant in our study, as we are here describing a novel model.

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<input checked="" type="checkbox"/>	<input type="checkbox"/> MRI-based neuroimaging

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Laboratory animals	Large-White Landrace female pigs
Wild animals	The study did not involve wild animals
Field-collected samples	The study did not involve samples collected from the field
Ethics oversight	Animal Experimentation Ethics Committee of the University of Barcelona (approval reference number: DAAM 42/12).

Note that full information on the approval of the study protocol must also be provided in the manuscript.