scientific reports

Check for updates

OPEN Numerical investigation of sequential phase-locked optical gating of free electrons

Fatemeh Chahshouri ¹ & Nahid Talebi ^{1,2}

Recent progress in coherent quantum interactions between free-electron pulses and laser-induced near-field light have revolutionized electron wavepacket shaping. Building on these advancements, we numerically explore the potential of sequential interactions between slow electrons and localized dipolar plasmons in a sequential phase-locked interaction scheme. Taking advantage of the prolonged interaction time between slow electrons and optical near-fields, we aim to explore the effect of plasmon dynamics on the free-electron wavepacket modulation. Our results demonstrate that the initial optical phase of the localized dipolar plasmon at the starting point of the interaction, along with the phase offset between the interaction zones, can serve as control parameters in manipulating the transverse and longitudinal recoil of the electron wavefunction. Moreover, it is shown that the incident angle of the laser light is an additional control knop for tailoring the longitudinal and transverse recoils. We show that a sequential phase-locking method can be employed to precisely manipulate the longitudinal and transverse recoil of the electron wavepacket, leading to selective acceleration or deceleration of the electron energy along specific diffraction angles. These findings have important implications for developing novel techniques for ultrafast electron-light interferometry, shaping the electron wavepacket, and quantum information processing.

The emergence of photon-induced near-field electron microscopy (PINEM) in 2009¹ and its theoretical quantum description²⁻⁴ have revolutionized the field of light-matter interaction. Producing femtosecond electron pulses by the combination of ultrafast laser pulses and electron microscopes to probe the ultrafast charge oscillations and the dynamics of excited near-fields have provided a powerful tool for electron holography and phase retrieval⁵, as well as controlling the shape of free-electron wavepackets⁶ and constructing attosecond electron pulse trains⁶⁻⁹. This breakthrough not only extends new prospects for quantum information processing¹⁰ but also facilitates shaping of the electron beam^{11–14}.

The fundamental principle behind any light-matter interaction is overcoming energy-momentum conservation criterion. For the electron propagating near the nanostructure, the nanomaterial can act as a mediator for energy-momentum transfer. Satisfying this condition in a system without pump light leads to an energy loss and spontaneous radiation like Cherenkov radiation¹⁵⁻¹⁷, transition radiation^{18,19}, and Smith Purcell radiation¹⁶. However, by introducing the pump light and exciting sample with laser¹ or coherent radiation sources (Electrondriven photon source)²⁰, the stimulated process also affects the energy transfer mechanism. In such a system, the electron energy gain and inverse Smith Purcell radiation²¹ can occur in addition to the electron energy loss. The gained energy is the core concept behind dielectric laser acceleration (DLA)²² and PINEM². The particle acceleration in the DLA²³ occurred by the evanescent electromagnetic field close to the grating, which is the outcome of classical accelerator particle physics models, whereas in the PINEM², the wave nature of the electron wavepacket results in the energy transfer. Therefore, overcoming energy-momentum conservation in laserinduced near-fields leads to quantized energy exchange between light and the electron, which results in the momentum modulations predominantly along the longitudinal direction¹³.

The unavoidable transversal momentum recoil²⁴⁻²⁶ leads to transversal momentum modulations as well. Consequently, in addition to the coherent bunching of the electron wave function in energy space and Rabi oscillations⁴ among quantum states separated by multiples of the photon energy⁴, the periodic Lorentz force²⁴ acting on the electron by localized plasmons²⁴ serves as a phase and amplitude grating for elastic diffraction²⁷. Therefore, the optical near-field, is responsible for transferring energy and momentum to free electrons, and can control longitudinal^{13,24,25,28} and transverse recoil^{13,24} of traveling electron beams.

¹Institute of Experimental and Applied Physics, Kiel University, 24098 Kiel, Germany. ²Kiel, Nano, Surface, and Interface Science – KiNSIS, Kiel University, 24098 Kiel, Germany. Zemail: Chahshuri@physik.uni-kiel.de; Talebi@ physik.uni-kiel.de

The free-electron and light interactions in PINEM experiments could be tailored to cover the full range of weak and strong interactions. The confined near-field modal volumes in microcavities²⁹ and highly localized plasmonic mode³⁰, can overcome the weak phase-matching problem. Another approach to achieve higher energy-momentum matching is precisely matching the phase velocity of the light wave and the group velocity of the electron wave function³¹. Recent experiments, which use the inverse Cherenkov effect²¹ or whispering gallery modes²⁹ have shown resonant phase-matching and an exchange of hundreds of photon quanta with a single electron over long interaction distances. Another efficient way to satisfy phase-matching conditions is to take advantage of slow electrons^{24,32}. The prolonged interaction time between low-energy electrons and light waves increases the possibility of mapping the dynamics of several near-field oscillations²⁴ between probe electrons and spatially localized light-field of small nanostructures.

Careful control of the phase modulation of slow electrons via the direction of the laser ilumination¹³ and the spatial profile of the optical near-field beyond the adiabatic approximation^{32,33} has opened the way to manipulate free electrons and control its longitudinal inelastic energy transfer, as well as its transverse elastic recoil³⁴. This results in selective control of the electron energy and diffraction angles, enabling the implementation of acceleration/deceleration mechanism within an arbitrary angular deflection range. Pumping samples with two-color laser pulses⁶ and having two spatially separated near-field zones^{6,35} are other ways to control the final longitudinal energy transfer and quantum-phase modulation of the electron wavefunction. Electrons flying through two spatially separated near-field lights can carry information about the amplitude and phase of each optical field separately. The first interaction alters the amplitude and phase of the electron wavepacket. There, the already shaped electron wavepacket interacts differently with the near-field light at the second interaction zone, compared to an unmodulated electron pulse⁵.

Here, we aim to map the impact of the phase oscillation of the dipolar plasmons in a double interaction phase-locked system to control the longitudinal and transversal distribution of the slow electron wavepackets. We numerically show that the initial phase of the optical cycle and the phase offset between the localized dipolar plasmon can control the shape and diffraction angle of the electron. Particularly the initial phase of the light at the first interaction point strongly affects the final shape of the electron wavepacket, mainly due to the direction of the wiggling motion exerted on the electron in the first interaction zone. We define the direction of the excited modes as a quantity for selectable enhancement or cancellation of specific momentum orders. We further demonstrate that depending on the spatial profile of the dipolar modes and relative phase between two near-fields, the exerted transverse electromagnetic force can lead to the deflection²² of electrons within the second interaction zone.

Results and discussion

The discussion above has showcased that the interaction between electron wavepackets and laser-induced plasmon excitation yields amplitude and phase modulations in the electron wavepacket. To provide a movie-like access to such dynamics, we used our self-consistent Maxwell-Schrödinger numerical toolbox^{25,33}. In this process the electron wavepacket ($\psi(\vec{r},t)$) evolution versus time is calculated by solving the Schrödinger equations using the minimal-coupling Hamiltonian²⁴ in the vicinity of a laser-induced gold nanorod. We have assumed a plasmonic nanorod with an infinite height to conduct the simulation in two-dimensional space. This approach allowed us to focus specifically on the laser-induced near-field at long rods with a high aspect ratio, hence rendering the substrate and edge effects negligible. Such configurations have been realized within the realm of dielectric laser accelerations^{36,37} and could be generalized for a more robust manipulation of the electron wavepackets by considering the phase-locked sequential interactions considered here as well. The properties of the plasmonic near-field in each time step is calculated based on the finite-difference time-domain (FDTD) method, where the permittivity of the gold was modeled using a Drude model complemented by two critical point functions²⁵, and then interpolated into the Schrödinger frame. After the interaction is completed in the Schrödinger frame, the final electron wavepacket is used to calculate the energy modulation and electron recoil. Then the expectation value of the electron kinetic-energy operator is calculated as follows:

$$\langle \psi(x,y;t\to\infty) \Big| \widehat{H} \Big| \psi(x,y;t\to\infty) \rangle = \frac{\hbar^2}{2m_0} \iint dk_x dk_y \Big(k_x^2 + k_y^2 \Big) \Big| \widetilde{\psi} \big(k_x, k_y;t\to\infty) \Big|^2, \tag{1}$$

where (x, y) and (k_x, k_y) in Eq. (1) denote the real and reciprocal space coordinates, and $\tilde{\psi}(k_x, k_y; t \to \infty)$ is the Fourier transform of the wave function after the interaction. By assigning the kinetic energy of the electron as $E = \hbar^2 (k_x^2 + k_y^2)/2m_0$, and the scattering angle as $\varphi = \tan^{-1}(k_x/k_y)$, the inelastic scattering cross section $\sigma(E, \varphi) = (m_0/\hbar^2) |\tilde{\psi}(E, \varphi; t \to \infty)|^2$ for different inclination angles is obtained, where m_0 is the electron mass, and \hbar is the reduced Planck's constant¹³. We define the inelastic scattering cross section value as a quantity for distinguishing unique features that can be measured due to strong interaction.

The fact that the electron is energetically bunched in discrete photon order is delicately explained via a onedimensional electron model that neglects the recoil experienced by the electron². The discrete probabilities of each spectral peak associated with the exchange of *n* quanta of energy between the electron and scattered field are given by expanding the wave function by the Bessel series using Jacobi-Anger relation²⁴:

$$\psi(x,t) = \exp\left[\left(ik_x^{el}x - \Omega t\right)\right] \sum_n i^n J_n(|g|) \exp\left[in(\omega_{ph}/\nu_{el})x - in\omega_{ph}t + n\measuredangle g\right],\tag{2}$$

where \measuredangle is the angle, J_n is the *n*th Bessel function of the first kind, and *g* is the strength of the electron–light interaction $g = (e/\hbar\omega_{ph}) \int_{-\infty}^{\infty} dx' \tilde{E}_x(x', y) e^{-ix'\omega_{ph}/v_e}$. \tilde{E}_x is the Fourier transform of the optical electric field

component along the *x* direction, and v_e is electron velocity, respectively. k_x^{el} and Ω are the initial longitudinal spatial frequency and angular frequency of the electron wavepacket, and ω_{ph} is the incident photon angular frequency.

In this work, the coherent control of the electron wave function is mediated by two spatially separated localized plasmonic fields. Here, we study the importance of the initial phase of the optical mode in a system involving a slow electron wavepacket passing through the near-fields of phase-locked plasmonic gold nanorods. The low velocity of the electron inherently increases the interaction time and, thus, for a given local field amplitude, enhances the experienced electron recoil. We considered the interaction of a Gaussian electron wavepacket at the kinetic energy of 600 eV, with 56 nm longitudinal (W_L), and 36 nm transverse (W_T) broadenings excited by a linearly *x*-polarized laser pulse with the center wavelength of $\lambda = 700$ nm and temporal broadening of 18 fs.

The temporal resolution of the electron within tens of fs durations can be achieved by compressing isolated high energy electron pulses with radio frequency^{38,39}, terahertz radiation^{40,41}, and optical-gating^{42,43} methods. Furthermore, as Morimoto and Baum⁴⁴ have shown, applying a time-varying electric field can produce a single-cycle ultrashort (attosecond) electron pulse. Indeed, the field induces time-dependent deceleration and acceleration⁴⁴, leads to the compression of the pulse in time, which, by employing electromagnetic fields of higher frequencies, an electron pulse of femtoseconds or even hundreds of attoseconds durations can achieved. However, thanks to the advancement of ultrafast electron microscopy, generating a train of ultrashort bunches of electrons in femtosecond and attosecond^{6,8,9,45} duration resolution is feasible as well. Consequently, post-selecting a short temporal slice of a longer electron pulse by energetic or spatial filtering^{46,47} can be another way to reach this temporal broadening.

The gold nanorods responsible for transferring momentum to electrons are placed at 100 nm distance from each other. We considered nanorods with a radius (r = 15 nm) capable of hosting only dipolar modes. Simulations for the scattering of the electron from one isolated nanorod repeat the same results as the shape of the electron after the first interaction zone in the sequential scheme with 100 nm spacing (see supplementary Figure 1). Due to the large spacing between the nanorods, the hybridization of plasmonic modes do not occur and only a weak radiative interaction between rods slightly alters the plasmonic resonances of the double-rod system compared to a single isolated rod (Fig. 1). Figure 1, shows electron modulation in the real and the momentum representation after experiencing each step of the dual near-field interaction. The top schematic in each section visualizes the dipolar mode when the electron starts interacting with each nanorod, and, finally, electron modulation in the spatial domain. After the interaction is finished, a train of energy combs at exactly the incident light-field energy is observed in the electron energy spectra (Fig. 1a,b; lower rows). The parameters are configured here to match the synchronicity condition $\lambda_{ph}v_{el} = 2rc^{24}$, in order to have a synchronous dipolar field oscillation with its period being matched to the propagation time of the electron beam within the effective interaction length. λ_{ph} here is the wavelength of the plasmonic resonances.

Generally, a spread of the electron momentum distribution along both longitudinal and transverse extend is observed. The longitudinal electric field components of the near-fields provide momenta to bridge the phase mismatch for energy transfer to the electron, in fact the localized near-field couples to the electron at the initial momentum state $p_e = \hbar k_e$. As the electron absorbs and emits quanta of photon *n*, its wavefunction evolves into a superposition of momentum distribution $p_e = \hbar (k_e + n(\omega_{ph}/v_e))$. Consequently, an energy comb with the spacing between the peaks ascertained by the exciting photon energy $(\hbar \omega_{ph})$ is formed². On the other hand, the transverse field component induces sideways diffraction of the electrons. The arrangement of diffraction orders at different energies depends on the electron velocity, the optical near-field momentum distributions, and the topology of nanoparticles²⁴. Selection rules for the diffraction peaks along E = 0 axis in the vicinity of the plasmon of gold nanorod are displaced by orders specified by $\delta k_y^{el} = 2 \left| k_y^{ph} \right| = 2 \sqrt{k_{x,c}^2 - k_0^2}$, where k_y^{ph} represents the damping ratio of the evanescent tail of plasmons along the y-axis, and k_0 is the photon wave number in free space. Hence the occurred diffraction process is a sequential two-photon process.

Here we have employed two scenarios to investigate the impact of the initial phase of the oscillating dipolar mode on the electron modulation. The first configuration (Fig. 1a) involves the electron experiencing recoil influenced by the positive phase of the dipolar mode as its initial interaction condition. In the second scheme (Fig. 1b), the electron recoil is controlled by the initially negative phase of the dipolar mode in both nanorods. Following each interaction process, the inelastic scattering cross-section map illustrates the influence of synchronization between the arrival of the electron wavepacket and the phase of the near-field light in a dual interaction system.

As depicted in Fig. 1a, after the electron passes through the first near-field zone (I), only a slight asymmetry in the intensities of momentum orders is observed in the overall inelastic scattering cross-section. The oscillating *x*-polarized dipolar near-field induces the rotational restoring force acting on the electron, and as the result of the back-and-forth force on the electron, a unified transverse recoil is observed across energy distribution. Therefore, the electron wavepacket experiences a total transverse recoil toward both the positive and negative y-axis $-1^{\circ} \le \varphi \le +1$, together with longitudinal inelastic energy exchange within the range of $-20 \text{ eV} \le E \le 20 \text{ eV}$. This energy comb reveals distinct sidebands for both positive and negative longitudinal momentums, which indicates energy loss and gain (acceleration/deceleration) processes on the electron pulse during the interaction. Subsequently, as the already modulated electron continues to interact with the in-phase rotational force of the second near-field (II), some of the previously populated momentum ladders in the electron energy gain are depopulated. Therefore, we can see reduction in the level of momentum occupancy along both vertical recoil and horizontal energy exchange order for loss channels. In contrast, an increase in the probability of occupying a higher energy level and an expansion in the diffraction angle distribution for the gained-energy electrons are observed.



Figure 1. Sequential free-electron and photon interactions. Electron modulation spectra after interacting with near-field of (I) first gold nanorod (1), and (II) second nanorod (2). Final modulation of the amplitude of the electron wavepacket in real space (top schematics) after the interaction with the dipolar near-field modes of each nanorod and its inelastic scattering cross-section (bottom schematics). The phase of the optical near field of each nanorod at the start of the interaction with the electron is the same in the dual interaction scenario (as depicted by $\text{Re}\{\phi(V)\}$ at a depicted time in the Figure, where $\phi(V)$ is the scalar potential). The initial phase of the near-field light is reversed in (**b**) compared to (**a**). The initial center kinetic energy of the electron beam is 600 eV, and its longitudinal and transverse broadenings are 56 nm and 36 nm, respectively (FWHM). The center wavelength of the laser pulse is 700 nm, its FWHM temporal broadening is 18 fs, and its peak field amplitude is 1 GVm⁻¹. Dashed arrows show the trajectory of the electron where the electron propagates along the x direction.

This scenario is different for a system involving first interactions with a negative phase of the dipolar mode. As shown in Fig. 1b, after a sequential interaction, we can see numerous fine interference features of discretized energy and recoil states similar to the first case. However, there is a distinction in the visibility of the transverse momentum levels. Here, the distribution of the electron wavepacket along the longitudinal and transverse directions shows discretized momentum levels in the negative spectrum range (energy loss region).

Here, we indicate a robust correlation between the observed electron modulation and the initial phase of the harmonic evanescent field distributions at the start of the interaction. The quantum phase modulation, governed by the phase-controlled double interactions is better visualized in inelastic longitudinal PINEM spectrum (supplementary Figure 2), which shows phase dependent selectable population and depopulations in the final electron energy spectra. Where the transversal differential scattering cross section (supplementary Figure 3) demonstrates the effect of the arrival time of the electron wavepacket to the near-fields in a dual interaction scheme on hampering and enhancing diffraction recoil. We attribute this asymmetry to the direction of the wiggling motion exerted on the electron via the interaction with the in-phase oscillating field. The acting Lorentz Force on the electron wavepacket, initiates this motion and leads to the dynamical deflection of the electron in the near-field

zone, therefore different parts of the electron wavepacket are exposed to different coupling strengths between the field and the electron wavepackets. This Lorentz Force acting on the electron wavepacket, initiates this motion and leads to the dynamical deviation of the electron in the near-field zone from its initial shape and trajectory. Consequently, distinct sections of the electron wavepacket experience varying degrees of interaction strength between the electromagnetic field and the electron wavepacket (see supplementary Movie 1).

To further enhance our understanding of the impact of the initial phase of the optical mode on the electron modulation in a Ramsey-type interaction, we will explore the influence of the direction of the laser on the electron energy exchange and the experienced recoil. Upon excitation with the linearly polarized laser a dipole is induced in the parallel direction to the electric field of the laser radiation. For an inclined excitation this will lead to an inclined Lorentz force acting on the electron with respect to the electron trajectory¹³ that initiates an inclined wiggling motion as well¹³.

Moreover, due to the asymmetric projected field along the electron propagation direction, the electron distribution in the momentum representation rotates toward specific diffraction angles and energy ranges. In the following, we investigate the interaction of an electron wavepacket with the oblique dipolar oscillation of two phase-locked gold nanorods with zero relative phases between them. The simulation parameters are chosen similarly to those presented in Fig. 1, but the direction of the laser illumination changed from $\theta = 0^{\circ}$ to $\theta = -30^{\circ}$. Angular deflections with complex diffraction patterns in each photon absorption and emission order are observed (Fig. 2).

Already after the first interaction region, the effect of the initial phase of the near-field distribution and its asymmetry with respect to the electron trajectory becomes apparent. In fact, these aspects lead to an asymmetry of the electron wavepacket distribution in the momentum space for both cases, particularly along the transverse direction (compare Fig. 2b to d). Traveling to the next near-field zone, the wiggling motion of the plasmonic field induces rotational oscillations in the momentum space of the already shaped electron wavepackets. Therefore, the modulated electron experiences either a repelling or attracting force toward the nanostructure, and it leads to the population of higher momentum orders or depopulating to a lower momentum order. The critical parameters for controlling the quantum path interferences are the distribution of the momentum states after the first interaction and the direction of the induced near-field dipole with respect to the initial electron trajectory. As a result of interaction with the dipolar resonances of both nanorods, the transversal diffraction order is split into two regions with $\varphi \leq 0$ and $\varphi \geq 0$, while the energy spans over a range of $-20 \text{ eV} \leq E \leq 20 \text{ eV}$. The transversal electromagnetic force in sequential in-phase interactions exerts a significant elastic diffraction obvious from the momentum space distribution of the electron wavepacket and, interestingly, a substantial upwards deflection of the electron in the real space only after a few nanometers away from the interaction zone. The latter happens due to a stronger diffracted intensities towards the +y-axis.

To better elaborate on the sequential phase-locked electron-photon interaction of the electron wavepacket with two rods, the dynamics of the interaction for the case with a positive initial phase are shown in Fig. 3. Within the time frame of 0 to 9.41 fs, as the electron passes (Fig. 3b) by the neighborhood of the first nanorod, the oscillating dipolar localized plasmon (Fig. 3a) leads to a Lorentz force and results in a circular motion of the electron in the momentum representation (Fig. 3c). Then, the electron starts being populated in the momentum space along both the x and y-axis. As the electron moves to free space between nanostructures, its momentum distribution remains constant, revealing that the electron cannot interact with the free-space electromagnetic radiation. Arriving to the second oscillating near-field, the electron wavepacket experiences a reshaping in the momentum distribution along both x and y directions. Thereby, the second near-field distribution evacuate some of the already occupied momentum states and populated new momentum orders. The overall experienced phase by the electron over multiple cycles of the light field (three oscillations for each near-field) and the direction of field oscillation (that controls the direction of the wiggling motion) affect the final span of the wavepacket in the momentum space, along both the transverse and longitudinal directions. The nonzero asymmetric force asserted by the oscillating fields cause significant electron deflection in the transverse direction after interacting with the second field, and more interestingly a strong diffraction into two momentum orders as large as 330 k_0 are observed. Compared to the free-space Kapitza–Dirac effect^{48,49}, where the electron could be occupied to the momentum orders of only $2k_0$, near-field mediated sequential control of the electron could open fascinating opportunities for routing the motions of electrons for electron-wave interferometry applications.

Simulation of dual interaction system for longer electron wavepacket along the longitudinal direction (refer to supplementary Figure 4) yields results consistent with those demonstrated in Fig. 3. Some differences arise from the shorter effective interaction length for a smaller electron wavepacket. On the other hand, for the broader electron (longitudinal broadening of $W_L = 120$ nm), the electron can experience six dipolar oscillations per nanorod and have more effective interaction length. This results in well-separated momentum ladders and more pronounced real-space deflection.

Controlling the outcome of the random walk by a few parameters, such as the laser incident angle and gap distance between the nanorods, in a Ramsey-type experiment makes the proposed approach an efficient technique for shaping the electron wavepackets by encoding the roles of phase-locked oscillations into the energy transfer and transverse momentum modulation. Moreover, our scheme benefits from the co-excitation of both rods with the same laser beam.

It has been previously demonstrated that in the Ramsey-type experiment, the distribution of the final energy spectrum³⁵ is influenced by the relative phase between two interaction zone³⁵. In-phase fields can enhance the final interference features in a constructive manner and, therefore the extend of the PINEM pattern, whereas the two fields with opposite phases can cancel energy exchange. Merging the findings from the Ramsey experiment with the effect of the transverse Lorentz force opens a new dictionary to control electron shape along both longitudinal and transverse directions for slow-electrons. In a system with fixed values of laser parameters, such as the wavelength, the intensity, and the polarization, the gap spacing between two effective nanorods is the only



Figure 2. Phase-locked electron-photon interaction influenced by obliquely polarized laser. (I) Singleinteraction and (II) double-interaction scenarios. The amplitude of the electron wavepacket after propagating through the near-field zone excited by a linearly polarized light at the incidence angle of -30° in the (**a**) real- and (**b**) momentum-space representations. The electron begins its interaction with nanorods when the plasmonic dipolar field has either a (**a**,**b**) positive initial phase (upper box) or (**c**,**d**) a negative initial phase (lower box). The laser pulse has a center wavelength of 700 nm, electric field amplitude of $E_0 = 1 \text{ GVm}^{-1}$, and temporal FWHM broadening of 18 fs.

1 0

way to control the delay and the initial phase of oscillations at each nanorod. Therefore, the sequential initially out-of-phase near-field action is studied by decreasing the gap distance between two nanorods to 80 nm and keeping the inclined laser pulse and electron parameters constant.

As shown in Fig. 4a, I and b, I, the momentum-space distribution of the electron after passing through the first field resembles that of the in-phase case (Fig. 2I), where the direction of the electric field of the laser radiation is translated into the rotated asymmetric distribution of the electron wavepacket in the momentum space. As the electron travels outside of the first interaction zone, it encounters the near-field of the second nanorod



Figure 3. Transversal and the longitudinal dynamics of the evolution of the free electron wavepackets during its interaction with localized plasmons excited by an obliquely polarized laser ($\theta = -30^{\circ}$). Parameters of the laser pulse are $E_0 = 1 \text{ GVm}^{-1}$ (field amplitude), $\lambda = 700 \text{ nm}$ (center wavelength), and $\Delta \tau = 18 \text{ fs}$ (FWHM temporal broadening). The electron wavepacket has an initial kinetic energy of 600 eV, with 56 nm and 36 nm longitudinal and transverse broadenings, respectively (FWHM). (**a**) Spatial profile of the scaler potential at depicted time steps. (**b**) The amplitude of the real-space and (**c**) momentum-space electron wavepacket distributions at the corresponding time steps.



Figure 4. Phase controlled sequential interactions with out-of-phase optical cycles of near-fields. The electron wavepacket at 600 eV initial kinetic energy, excited by an inclined linearly- polarized laser pulse at the wavelength of 700 nm, and with the field amplitude of $E_0 = 1 \text{ GVm}^{-1}$ represented in (**a**) real space, and (**b**) momentum space, after the (I) first interaction and (II) second interaction zones.

but with reversed direction of Lorentz force with respect to the in-phase system. Hence, a more complicated electron modulation effect is observed. The non-uniform phase of this oscillating optical mode in the second interaction zone induces an inverse rotational wiggling motion compared to the first zone. Consequently, it leads to the depletion of the transversal momentum states for the loss channels and the population of the higher-order momentum states for the energy-gain channels. Simultaneously, this non-uniform transversal electromagnetic field increases the probability of occupying positive diffraction angles.

Figure 4, demonstrated that both accelerated and decelerated electrons after the first interaction experience acceleration in the next cycle, leading to an increased probability of gain and a decreased probability of loss events (the span of energy spectra is altogether between $-25 \text{ eV} \le E \le 50 \text{ eV}$).

Keeping the electron parameter and laser excitation as before (Fig. 3), the sequential interaction of the electron wavepacket with initially positive optical fields is studied by increasing the gap spacing between gold nanorods (200 nm), in such a way that the experienced electric-field phase by the electron is the same at both interaction zones (Fig. 5). The results support the overall behavior of the smaller gap case shown in Fig. 2. In both cases two distinguished diffraction peaks are observed. This confirms that the major control parameter is the phase offset experienced by the electron beam interacting with both dipolar resonances.

Figure 5 shows that by adjusting the initial amplitude and phase distribution of the near-fields from an electron perspective and carefully controlling the phase delay between subsequent fields, along with considering a gap spacing smaller than the dispersive length³⁵ of the electron, we can reach engineered simultaneous quantized energy and transverse momentum exchange between a propagating light wave and a free-electron. Concomitant electron acceleration/deceleration and diffraction caused by sequential in-phase and out-of-phase near-field oscillations allow for designing a highly controllable polarization-dependent electron-beam modulator that enables selective acceleration and deceleration of the electron beams at specific diffraction angles. Hence, we can design a customizable pulse shaper for synthetization arbitrary of electron pulses, similar to spatial light modulators⁵⁰, and metamaterials⁵¹ for photons. Furthermore, engineering nanostructures configuration¹³, shape²⁴, distance, and size²⁴, together with the laser polarization⁵² (circularly/linear) is a new paradigm for electron wavepacket shaping with the enhanced field. This innovative approach, with other paradigms for the spatial electron wavefunction modulation such as nanofabricated phase masks⁵³, time-varying electromagnetic fields⁵⁴, and structured light pulse^{55,56} along with attosecond temporal resolution, offer vast potential in not only imaging electronic and atomic motion and charge dynamics, but also to coherent control photonic, electronic, and phononic motions with the state-of-the-art ultrafast electron microscopy.

Recent study has revealed that shaping the electron wave function enables precise control over quantum electrodynamic, scattering process, and the spectral characteristics of Bremsstrahlung emission⁵⁷. In parallel, Khalaf et al.⁵⁸ demonstrated a drastic change in the spontaneous emission by shaping the free-electron wavepacket.



Figure 5. Controlling the transverse recoil of the electron as a function of the relative phase between plasmonic gold nanorods. (**a**) The amplitude of the electron wavepacket in the real space after the first and second interaction zones. The inset shows the spatial profile of the scalar potentials at given times. The inelastic scattering cross-section of the electron, after its interaction with the excited dipolar near-field of the (**b**) first, and (**c**) the second nanorod. Nanorods are excited with pulsed laser beam (laser electric field amplitude, wavelength, and temporal broadening are $E_0 = 1 \text{ GVm}^{-1}$, 700 nm and 18 fs, respectively). The considered electron wavepacket has an initial kinetic energy of 600 eV.

Moreover, the spatial modulation of electron beams is an essential tool for nanolithography and measuring the quantum state⁵⁹ of the hybrid light-matter systems. Where free electrons can serve as a high-resolution quantum sensor within strongly coupled light-matter systems. Furthermore, adopting familiar principles from quantum optics, the spatiotemporal phase shaping of electrons is a building block for improving image resolution⁶⁰, enabling selective probing⁶¹, advancing quantum computation¹⁰, and enhancing data transmission⁶². Motivated by numerous novel applications in electron imaging¹, diffraction^{42,47}, and spectroscopy², shaped electron beams, such as electron vortex beams, have the potential to enhance electron microscopy for studying magnetic and biological specimens⁶³.

Conclusion

In conclusion, our work presents a new way to spatially shape electron beams, along not only longitudinal but also transverse directions, by tuning the direction of the incident light and the distance between two nanorods in a dual-interaction system. In the Ramsey-type method, the direction of the circular wiggling motion in each interaction zone, together with the phase of the oscillating localized plasmon at the starting point of the interaction with the electron, as well as the relative phase between two near fields, can control the energy transfer and recoil experienced by the electron in arbitrary angular deflections. Revealing quantum features in such interacting with sequential near-field distributions, thus controlling the overall shape of the electron wavepacket. Our approach provides an efficient way to actively modulate the electron wavefunctions and coherently control the manipulation of free electron waves by tailoring both elastic and inelastic effects, achieving diffraction orders beyond what is normally achievable by the Kapitz-Dirac effect. This finding motivates further studies that considers the development of novel techniques for ultrafast electron-light interferometry and shaping the electron wavepacket for further investigations of quantum coherent interactions in complex systems.

Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Received: 29 August 2023; Accepted: 26 October 2023 Published online: 02 November 2023

References

- 1. Barwick, B., Flannigan, D. J. & Zewail, A. H. Photon-induced near-field electron microscopy. Nature 462, 902–906 (2009).
- Park, S. T., Lin, M. & Zewail, A. H. Photon-induced near-field electron microscopy (PINEM): Theoretical and experimental. New J. Phys. 12, 123028 (2010).
- 3. García de Abajo, F. J. & Kociak, M. Electron energy-gain spectroscopy. New J. Phys. 10, 073035 (2008).
- 4. Feist, A. *et al.* Quantum coherent optical phase modulation in an ultrafast transmission electron microscope. *Nature* **521**, 200–203 (2015).
- 5. Madan, I. et al. Holographic imaging of electromagnetic fields via electron-light quantum interference. Sci. Adv. 5, eaav8358 (2019).
- Priebe, K. E. et al. Attosecond electron pulse trains and quantum state reconstruction in ultrafast transmission electron microscopy. Nat. Photonics 11, 793–797 (2017).
- 7. Morimoto, Y. & Baum, P. Diffraction and microscopy with attosecond electron pulse trains. *Nat. Phys.* 14, 252–256 (2018).
- 8. Vanacore, G. M. et al. Attosecond coherent control of free-electron wave functions using semi-infinite light fields. Nat. Commun. 9, 2694 (2018).
- 9. Morimoto, Y. Attosecond electron-beam technology: A review of recent progress. Microscopy 72, 2-17 (2023).
- 10. Reinhardt, O., Mechel, C., Lynch, M. & Kaminer, I. Free-electron qubits. Ann Phys 533, 2000254 (2021).
- 11. Reinhardt, O. & Kaminer, I. Theory of shaping electron wavepackets with light. ACS Photonics 7, 2859-2870 (2020).
- 12. Di Giulio, V. & García de Abajo, F. J. Free-electron shaping using quantum light. Optica 7, 1820 (2020).
- 13. Chahshouri, F. & Talebi, N. Tailoring near-field-mediated photon electron interactions with light polarization. New J. Phys. 25, 013033 (2023).
- 14. Talebi, N. Interaction of electron beams with optical nanostructures and metamaterials: From coherent photon sources towards shaping the wave function. J. Opt. 19, 103001 (2017).
- 15. Gong, Z. et al. Interfacial Cherenkov radiation from ultralow-energy electrons. Proc. Natl. Acad. Sci. 120, e2306601120 (2023).
- Hu, H., Lin, X. & Luo, Y. Free-electron radiation engineering via structured environments. Prog. Electromagn. Res. 171, 75–88 (2021).
- Chahshouri, F., Taleb, M., Diekmann, F. K., Rossnagel, K. & Talebi, N. Interaction of excitons with Cherenkov radiation in WSe 2 beyond the non-recoil approximation. J. Phys. D Appl. Phys. 55, 145101 (2022).
- 18. Chen, R. et al. Free-electron Brewster-transition radiation. Sci. Adv. 9, eadh8098 (2023)
- 19. Chen, J. et al. Low-velocity-favored transition radiation. Phys. Rev. Lett. 131, 113002 (2023).
- Taleb, M., Hentschel, M., Rossnagel, K., Giessen, H. & Talebi, N. Phase-locked photon-electron interaction without a laser. Nat. Phys. 19, 869–876 (2023).
- 21. Dahan, R. et al. Resonant phase-matching between a light wave and a free-electron wavefunction. Nat. Phys. 16, 1123–1131 (2020).
- 22. Breuer, J., Graf, R., Apolonski, A. & Hommelhoff, P. Dielectric laser acceleration of nonrelativistic electrons at a single fused silica grating structure: Experimental part. *Phys. Rev. Spec. Top. Accel. Beams* 17, 021301 (2014).
- Breuer, J., McNeur, J. & Hommelhoff, P. Dielectric laser acceleration of electrons in the vicinity of single and double grating structures—theory and simulations. J. Phys. B Atomic Mol. Opt. Phys. 47, 234004 (2014).
- 24. Talebi, N. Strong interaction of slow electrons with near-field light visited from first principles. Phys Rev Lett 125, 080401 (2020).
- 25. Talebi, N. Near-Field-Mediated Photon-Electron Interactions Vol. 228 (Springer International Publishing, 2019).
- 26. Mohler, K. J. *et al.* Ultrafast electron diffraction from nanophotonic waveforms via dynamical Aharonov-Bohm phases. *Sci. Adv.* **6**, eabc8804 (2020).
- 27. García de Abajo, F. J., Barwick, B. & Carbone, F. Electron diffraction by plasmon waves. Phys. Rev. B 94, 041404 (2016).
- Hergert, G., Wöste, A., Groß, P. & Lienau, C. Strong inelastic scattering of slow electrons by optical near fields of small nanostructures. J. Phys. B Atomic Mol. Opt. Phys. 54, 174001 (2021).
- 29. Kfir, O. et al. Controlling free electrons with optical whispering-gallery modes. Nature 582, 46-49 (2020).

- Liebtrau, M. et al. Spontaneous and stimulated electron-photon interactions in nanoscale plasmonic near fields. Light Sci. Appl. 10, 82 (2021).
- Talebi, N. et al. Excitation of mesoscopic plasmonic tapers by relativistic electrons: Phase matching versus eigenmode resonances. ACS Nano 9, 7641–7648 (2015).
- 32. Vogelsang, J. et al. Plasmonic-nanofocusing-based electron holography. ACS Photonics 5, 3584–3593 (2018).
- 33. Talebi, N. Electron-light interactions beyond the adiabatic approximation: Recoil engineering and spectral interferometry. *Adv. Phys. X* **3**, 1499438 (2018).
- 34. Talebi, N. & Lienau, C. Interference between quantum paths in coherent Kapitza-Dirac effect. New J. Phys. 21, 093016 (2019).
- Echternkamp, K. E., Feist, A., Schäfer, S. & Ropers, C. Ramsey-type phase control of free-electron beams. Nat. Phys. 12, 1000–1004 (2016).
- 36. McNeur, J. et al. Elements of a dielectric laser accelerator. Optica 5, 687 (2018).
- 37. Shiloh, R. et al. Electron phase-space control in photonic chip-based particle acceleration. Nature 597, 498-502 (2021).
- Gliserin, A., Walbran, M., Krausz, F. & Baum, P. Sub-phonon-period compression of electron pulses for atomic diffraction. *Nat. Commun.* 6, 8723 (2015).
- Maxson, J. et al. Direct measurement of sub-10 fs relativistic electron beams with ultralow emittance. Phys. Rev. Lett. 118, 154802 (2017).
- 40. Zhang, D. et al. Segmented terahertz electron accelerator and manipulator (STEAM). Nat. Photonics 12, 336-342 (2018).
- 41. Kealhofer, C. et al. All-optical control and metrology of electron pulses. Science 1979(352), 429-433 (2016).
- Baum, P. On the physics of ultrashort single-electron pulses for time-resolved microscopy and diffraction. Chem. Phys. 423, 55–61 (2013).
- Hassan, MTh., Baskin, J. S., Liao, B. & Zewail, A. H. High-temporal-resolution electron microscopy for imaging ultrafast electron dynamics. Nat. Photonics 11, 425–430 (2017).
- 44. Morimoto, Y. & Baum, P. Single-cycle optical control of beam electrons. Phys. Rev. Lett. 125, 193202 (2020).
- Kozák, M. *et al.* Optical gating and streaking of free electrons with sub-optical cycle precision. *Nat. Commun.* 8, 14342 (2017).
 Park, S. T. & Zewail, A. H. Enhancing image contrast and slicing electron pulses in 4D near field electron microscopy. *Chem. Phys.*
- Lett. 521, 1-6 (2012).
 47. Eichberger, M. et al. Femtosecond streaking of electron diffraction patterns to study structural dynamics in crystalline matter. Appl. Phys. Lett. 102, 121106 (2013).
- 48. Freimund, D. L., Aflatooni, K. & Batelaan, H. Observation of the Kapitza-Dirac effect. Nature 413, 142-143 (2001).
- Kapitza, P. L. & Dirac, P. A. M. The reflection of electrons from standing light waves. Math. Proc. Camb. Philos. Soc. 29, 297–300 (1933).
- 50. Weiner, A. M. Femtosecond pulse shaping using spatial light modulators. Rev. Sci. Instrum. 71, 1929-1960 (2000).
- 51. Padilla, W. J. & Averitt, R. D. Imaging with metamaterials. Nat. Rev. Phys. 4, 85-100 (2021).
- Vanacore, G. M. *et al.* Ultrafast generation and control of an electron vortex beam via chiral plasmonic near fields. *Nat. Mater* 18, 573–579 (2019).
- 53. Bliokh, K. Y. et al. Theory and applications of free-electron vortex states. Phys. Rep. 690, 1-70 (2017).
- Kozák, M., Eckstein, T., Schönenberger, N. & Hommelhoff, P. Inelastic ponderomotive scattering of electrons at a high-intensity optical travelling wave in vacuum. *Nat. Phys.* 14, 121–125 (2018).
- 55. Madan, I. *et al.* Ultrafast transverse modulation of free electrons by interaction with shaped optical fields. *ACS Photonics* **9**, 3215–3224 (2022).
- 56. Ebel, S. & Talebi, N. Inelastic electron scattering at a single-beam structured light wave. Commun. Phys. 6, 179 (2023).
- 57. Wong, L. J. et al. Control of quantum electrodynamical processes by shaping electron wavepackets. Nat. Commun. 12, 1700 (2021).
- 58. Khalaf, M., Rivera, N. & Kaminer, I. Cyclotron radiation from shaped electron wavefunctions. New J. Phys. 25, 053006 (2023).
- 59. Karnieli, A. et al. Quantum sensing of strongly coupled light-matter systems using free electrons. Sci. Adv. 9, eadd2349 (2023).
- Vanacore, G. M., Madan, I. & Carbone, F. Spatio-temporal shaping of a free-electron wave function via coherent light-electron interaction. La Rivista del Nuovo Cimento 43, 567–597 (2020).
- 61. Guzzinati, G. et al. Probing the symmetry of the potential of localized surface plasmon resonances with phase-shaped electron beams. Nat. Commun. 8, 14999 (2017).
- 62. Röpke, R., Kerker, N. & Stibor, A. Data transmission by quantum matter wave modulation. *New J. Phys.* 23, 023038 (2021).
- 63. McMorran, B. J. et al. Electron vortex beams with high quanta of orbital angular momentum. Science 1979(331), 192–195 (2011).

Acknowledgements

This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation program, Grant Agreement No. 802130 (Kiel, NanoBeam) and Grant Agreement No. 101017720 (EBEAM).

Author contributions

N.T. initiated and supervised the project. F.C. conceived the idea and carried out simulations. N.T. and F.C. analyzed the data and wrote the manuscript.

Funding

Open Access funding enabled and organized by Projekt DEAL.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary Information The online version contains supplementary material available at https://doi.org/10.1038/s41598-023-45992-6.

Correspondence and requests for materials should be addressed to F.C. or N.T.

Reprints and permissions information is available at www.nature.com/reprints.

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

© The Author(s) 2023