



OPEN Identification of m⁵C-related lncRNAs signature to predict prognosis and therapeutic responses in esophageal squamous cell carcinoma patients

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Esophageal squamous cell carcinoma (ESCC) has a dismal prognosis because of atypical early symptoms and heterogeneous therapeutic responses. 5-methylcytosine (m⁵C) modification plays an important role in the onset and development of many tumors and is widespread in long non-coding RNA (lncRNA) transcripts. However, the functions of m⁵C and lncRNAs in ESCC have not been completely elucidated. Herein, this study aimed to explore the role of m⁵C-related lncRNAs in ESCC. The RNA-seq transcriptome profiles and clinical information were downloaded from the TCGA-ESCC database. Pearson analysis was used to identify m⁵C-related lncRNAs. Then we established the m⁵C-related lncRNAs prognostic signature (m⁵C-LPS) using univariate Cox and least absolute shrinkage and selection operator (LASSO) regression analysis. Then, the prognostic value of m⁵C-LPS was evaluated internally and externally using the TCGA-ESCC and GSE53622 databases through multiple methods. We also detected the expression of these lncRNAs in ESCC cell lines and patient tissues. Fluorescence in situ hybridization (FISH) was used to detect the prognostic value of specific lncRNA. In addition, clinical parameters, immune status, genomic variants, oncogenic pathways, enrichment pathways, and therapeutic response features associated with m⁵C-LPS were explored using bioinformatics methods. We constructed and validated a prognostic signature based on 9 m⁵C-related lncRNAs (*AC002091.2*, *AC009275.1*, *CAHM*, *LINC02057.1*, *AC0006329.1*, *AC037459.3*, *AC064807.1*, *ATP2B1-AS1*, and *UBAC2-AS1*). The quantitative real-time polymerase chain reaction (qRT-PCR) revealed that most lncRNAs were upregulated in ESCC cell lines and patient tissues. And *AC002091.2* was validated to have significant prognostic value in ESCC patients. A composite nomogram was generated to facilitate clinical practice by integrating this signature with the N stage. Besides, patients in the low-risk group were characterized by good clinical outcomes, favorable immune status, and low oncogenic alteration. Function enrichment analysis indicated that the risk score was associated with mRNA splicing, ncRNA processing, and DNA damage repair response. At the same time, we found significant differences in the responses to chemoradiotherapy between the two groups, proving the value of m⁵C-LPS in treatment decision-making in ESCC. This study established a novel prognostic signature based on 9 m⁵C-related lncRNAs, which is a promising biomarker for predicting clinical outcomes and therapeutic response in ESCC.

Abbreviations

AUC	Area under the curve
ESCC	Esophageal squamous cell carcinoma
CGP	Cancer Genome Project
CTRP	Cancer therapeutics response portal
GARD	Genomic-adjusted radiation dose
GDSC	Genomics of drug sensitivity in cancer
GO	Gene ontology

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HPA	Human Protein Atlas
IC50	Half-maximal inhibitory concentration
KEGG	Kyoto Encyclopedia of Genes and Genomes
K–M	Kaplan–Meier
LASSO	Least absolute shrinkage and selection operator
lncRNAs	Long non-coding RNA
m ⁵ C	5-Methylcytosine
m ⁵ C-LPS	m ⁵ C-Related lncRNAs prognostic signature
OS	Overall survival
PPI	Protein–protein interaction
qRT-PCR	Quantitative real-time polymerase chain reaction
ROC	Receiver operating characteristic
ssGSEA	Single-sample gene set enrichment analysis
TCGA	The Cancer Genome Atlas

Esophageal cancer (EC) ranks eighth and sixth in terms of incidence and mortality worldwide, respectively¹. Among primary esophageal cancers, approximately 88% are classified as esophageal squamous cell carcinoma (ESCC), which exhibits a relatively low 5-year survival rate ranging from 5 to 25%^{2–5}. Currently, extensive research on molecular mechanisms has yielded promising precision cancer treatment strategies for numerous cancers⁶. Recently, growing research has highlighted the role of RNA post-transcriptional modifications 5-methylcytosine (m⁵C) on tumor development^{7,8}. Several studies have provided evidence that m⁵C can influence the development of ESCC^{9,10}. However, the precise impact of m⁵C on ESCC remains unclear and needs further investigation.

The reversible RNA post-transcription modification m⁵C, similar to N⁶-methyladenosine (m⁶A), has got enormous attention and can dynamically regulate RNA stability, translation, splicing, and exportation^{7,8,11}. The m⁵C is a type of cytosine methylation that involves the addition of a methyl group to the fifth carbon position and is regulated by several enzymes including “writers” (methyltransferases: *NSUN1-7*, *DNMT1*, *DNMT2* also named *TRDMT1*, *DNMT3A*, and *DNMT3B*), “erasers” (demethylases: *TET1-3*), and “readers” (*YBX1* and *ALYREF*)^{12–14}.

Mounting evidence suggests that dysregulated expression of long non-coding RNAs (lncRNAs) plays a critical role in tumor development and response to therapy^{15–17}. For instance, lncRNA *CASC9* has been shown to promote ESCC metastasis¹⁸. While m⁵C was initially found in tRNA and rRNA, emerging evidence suggests that it is also widespread presence in mRNAs and non-coding RNAs^{19–21}. And the methylation density around the transcriptional start site of lncRNAs is higher than that of protein-coding genes²¹. Upregulated *NSUN2*-mediated *NMR* methylation in ESCC, resulting in cancer metastasis and drug resistance²², which suggested that m⁵C-methylated lncRNAs can regulate the biological function of cancer. However, the evidence for m⁵C in regulating lncRNAs in ESCC is limited and requires further research.

In this study, we aimed to investigate the function of m⁵C-related lncRNAs in ESCC and construed m⁵C-related lncRNAs prognostic signature (m⁵C-LPS) based on the TCGA-ESCC cohort. Additionally, we also explored the relationship between the m⁵C-LPS and clinical prognostic, immune status, genomic variants, enrichment pathways, as well as drug sensitivity in ESCC.

Methods

Patients cohorts. We have included patients diagnosed with ESCC in The Cancer Genome Atlas (TCGA) program (<https://portal.gdc.cancer.gov/repository?facetTab=cases>). Patients without complete clinical information and transcriptome profiling, or diagnosed with esophageal adenocarcinomas were excluded. Finally, the transcriptome, clinicopathologic, and somatic mutation data of 80 ESCC and 11 adjacent normal tissues were downloaded. Additionally, RNA microarray profiles and corresponding clinical information of 60 ESCC patients were downloaded from the Gene Expression Omnibus database (GSE53622, <https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=gse53622>). The clinicopathological parameters of TCGA-ESCC and GSE53622 cohorts were summarized in Table S1. Immunohistochemical staining images of normal esophageal tissues were obtained from the Human Protein Atlas (HPA) (<https://www.proteinatlas.org/>). And this study started in February 2022 and finished in July 2022.

Paraffin embedded sections of 54 ESCC patients were obtained from the First Affiliated Hospital of Xi'an JiaoTong University. Tissues were collected during surgery and were used for Fluorescence in situ hybridization (FISH) examination. And 14 ESCC and corresponding normal tissue samples were collected for the detection of lncRNA expression.

Identification of regulators of m⁵C and co-expression lncRNAs. We identified 16 m⁵C regulators from previous literature and extracted their expression from RNA-seq profiles of ESCC and adjacent normal tissues. Then, the differential expression of 16 m⁵C regulators was determined in the ESCC tissues versus adjacent normal tissues. The differential expression of these regulators was analyzed, and their interrelationships were visualized using the ‘corrplot’ R package. A protein–protein interaction (PPI) network of m⁵C regulators was constructed using the STRING database (<https://cn.string-db.org/>) with the gene interaction score ≥ 0.5 ²³. We selected the lncRNAs existed in both TCGA-ESCC and GSE53622 cohorts for widespread use of m⁵C-LPS. Pearson correlation coefficient was calculated between the expression of 16 m⁵C regulators and lncRNAs using the built-in function ‘cor.test’ in R. We identified 4279 m⁵C-related lncRNAs with |correlation coefficient| > 0.35 and the *p*-value < 0.01 for further analysis.

Construction and validation of m⁵C-related lncRNA prognosis signature. We used the ‘survival’ R package to perform univariate Cox regression analysis on the candidate m⁵C-related lncRNAs, filtering out those with significant prognostic value ($p < 0.05$). Then, we used the least absolute shrinkage and selection operator (LASSO) regression analysis with the ‘glmnet’ R package to establish a prognostic signature and calculate the coefficients for each lncRNA^{24–26}. These coefficients were used to generate a risk score formula: Risk Score = $\sum_i \text{coefficient of } m^5C \text{ related lncRNA}_i \times \text{lncRNA}_i \text{ expression level}$. Patients were stratified into high- and low-risk groups based on their calculated risk scores. Kaplan–Meier (K–M) analysis was performed to assess the overall survival (OS) of different groups, and time-dependent receiver operating characteristic (ROC) curve analysis was used to evaluate the predictive value of the risk score with the ‘survivalROC’ R package.

Clinical relevance investigation. A Sankey diagram was used to illustrate the one-to-one match between the m⁵C genes, m⁵C-related lncRNAs, and the corresponding risk types. Furthermore, a correlation circle graph was generated using the ‘corrplot’ and ‘circlize’ R package to visualize the co-expression status of the 9 identified lncRNAs. We also investigated the association between m⁵C-LPS and clinicopathological parameters. Both univariate and multivariate Cox regression analyses were conducted to investigate the independent value of the m⁵C-LPS and other parameters. Based on the significant prognostic variables, we constructed a nomogram to predict 1-, 2-, and 3-year survival rates using the ‘rms’ R package. And calibration curves were used to verify the agreement between nomogram-predicted survival and actual survival probabilities. Additionally, we evaluated the prognostic value of clinicopathological features by using ROC curves and calculating the area under the curve (AUC).

Evaluation of signaling pathways enrichment. We conducted functional enrichment analyses based on gene ontology (GO)²⁷, Kyoto Encyclopedia of Genes and Genomes (KEGG)²⁸, and Reactome²⁹ databases to explore the biological functions and pathways associated with m⁵C-LPS through ‘clusterProfiler’³⁰ and ‘ReactomePA’ R package.

Estimation of the tumor microenvironment signatures. Estimate³¹, single-sample gene set enrichment analysis (ssGSEA)³², Cibersort³³, and xCell³⁴ algorithms were utilized to estimate the relative abundance of immune and stromal cells in the tumor microenvironment. We also calculated the Pearson coefficients between risk scores and immune checkpoint genes and immunomodulators, such as chemokines, receptors, MHC, immunoinhibitors, and immunostimulators, which were obtained from the TISIDB database³⁵.

Characterization of genetic alteration. The ‘maftools’ R package was utilized to identify the top 20 mutated genes based on the mutation rate across low- and high-risk groups³⁶. Subsequently, we further investigated the fraction of affected samples and pathways based on alterations in 10 canonical oncogenic signaling pathways for different risk groups³⁷.

Drug sensitivity analysis. We employed the ‘pRRophetic’ R package³⁸ to predict the half-maximal inhibitory concentration (IC₅₀) for each patient using three publicly available drug sensitivity databases (Cancer Genome Project (CGP)³⁹, Cancer Therapeutics Response Portal (CTRP)⁴⁰, and Genomics of Drug Sensitivity in Cancer (GDSC)⁴¹). Additionally, we utilized the genomic-adjusted radiation dose (GARD) model⁴² to predict the radiotherapy response of each patient, with higher GARD values indicating increased sensitivity to radiotherapy.

Cell lines and reagents. The human normal esophageal cell line HET-1A was purchased from American Type Culture Collection (ATCC, Virginia, USA), and the human ESCC cell lines TE-1 and KYSE150 were purchased from the Cell Bank of the Chinese Academy of Sciences Typical Culture Preservation Committee (Shanghai, China). HET-1A was cultured in Dulbecco’s Modified Eagle’s Medium (DMEM, Gibco, USA) supplemented with 10% fetal bovine serum (FBS, Gibco, USA), while TE-1, and KYSE150 were cultured in Roswell Park Memorial Institute (RPMI) 1640 medium (Gibco, USA) supplemented with 10% FBS. All cells were cultured in a 5% CO₂ incubator at 37 °C.

Total RNA extraction and real-time quantitative PCR. Total RNA was extracted using the RNA-fast200 kit (Fastagen, China) according to the manufacturer’s instructions. RNA concentration was quantified using NanoDrop 3000 (ThermoFisher, USA). Then, 1.0 µg of total RNA in a 20 µl reaction system was reversely transcribed into cDNAs using Evo M-MLV RT Kit with gDNA Clean for polymerase chain reaction (PCR, Accurate Biotechnology, China). Quantitative real-time PCR (qRT–PCR) was performed using 2× RealStar Green Fast Mixture (GeneStar Technology, China). GAPDH expression was used as an internal reference. The relative expression level of lncRNAs was calculated using the 2^{−ΔΔCT} method. Each experiment was performed in triplicate. The primer sequences used in this study are listed in Table S2.

FISH assay. The FISH probe of lncRNA AC002091.2 was synthesized by Servicebio (Wuhan, China). Paraffin embedded sections were dewaxed, rehydrated, digested, and dehydrated with dimethylbenzene, graded ethanol, protease K. Then the FISH probe was added to the hybridization mixture and incubated overnight. Next, the section was washed in the dark with washing buffer containing saline sodium citrate and PBS. Sections were stained with DAPI for 10 min and then visualized by fluorescence microscope.

Statistical analysis. All statistical analyses were performed using R software (version 4.1.1) and GraphPad Prism (version 8.0, USA). The differences between the two groups were compared using student's t-test, while one-way analysis of variance (ANOVA) was used for multiple groups. Fisher's exact test was used to compare categorical variables. The correlation between two continuous variables was analyzed using Pearson's test. p -value < 0.05 was considered statistically significant.

Ethics approval and consent to participate. This study was approved by the Ethics Committee of The First Affiliated Hospital of Xi'an Jiaotong University (Approval Number: 2017-146).

Results

To facilitate the comprehension of the study, a schematic diagram is presented in Fig. S1.

Expression patterns of m⁵C regulators in ESCC and normal esophageal tissue. We extracted the expression profiles of 16 m⁵C regulators in the TCGA-ESCC cohort and subsequently compared their expression levels between 80 ESCC tumor samples and 11 normal adjacent samples. Our analysis revealed that the expression of most genes, including *DNMT3B*, *NOP2*, *DNMT1*, *ALYREF*, *NSUN2*, *NSUN5*, *TET2*, *TET3*, *DNMT3A*, *TET1*, and *YBX1* were significantly higher in ESCC tissues than in normal adjacent tissues (Fig. 1A). Moreover, the immunohistochemical staining images of normal esophageal tissues from HPA showed that 10 of 15 m⁵C regulators were not more than medium expression, while *NSUN3* and *NSUN5* were not detected (Fig. S2). To investigate the interrelationships among these 16 m⁵C regulators, we obtained a PPI network using the STRING database. After setting the minimum interaction score as 0.5, we identified the PPI network contains all m⁵C genes and 90 edges (Fig. 1B). And *TRDMT1* was found to be the hub gene of the network with 11 edges

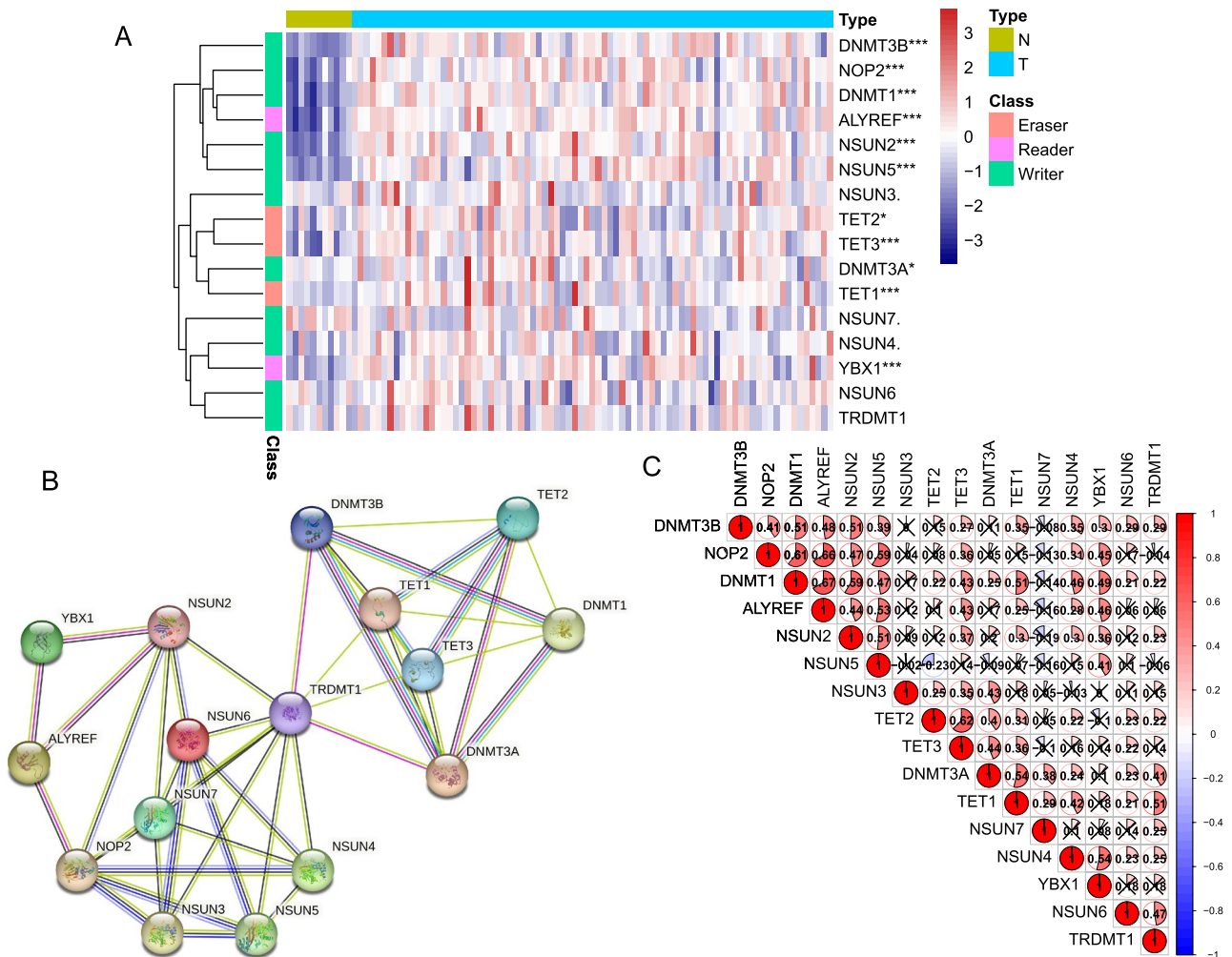


Figure 1. The expression pattern and interactive landscape of the m⁵C regulators in the TCGA-ESCC database. **(A)** Heatmap presenting the expression of 16 m⁵C regulators in normal esophageal (N) and ESCC (T) tissues from TCGA-ESCC database. $p < 0.1$, $*p < 0.05$, $**p < 0.01$, and $***p < 0.001$. **(B)** Protein–protein interaction (PPI) network showing the interaction between m⁵C regulators. **(C)** Heatmap showing the Pearson correlation among 16 m⁵C regulators.

(Table S3). In addition, the correlation analysis revealed significant positive correlations between *TRDMT1* and other 8 m⁵C genes. Interestingly, all m⁵C regulators showed a general positive correlation, with *DNMT1* exhibiting the highest correlation with *ALYREF* ($r = 0.67$) (Fig. 1C).

Construction of the m⁵C-LPS in the TCGA database. Subsequently, we performed Pearson analysis based on the lncRNAs and m⁵C regulators in TCGA-ESCC profiles, and a total of 4279 lncRNAs were significantly correlated with m⁵C regulators (|Pearson coefficient| > 0.35 and $p < 0.01$). After filtering lncRNAs with the sum expression < 0.01, univariate Cox regression analysis was conducted to further explore the m⁵C-related lncRNAs associated with prognosis. Finally, we identified 41 lncRNAs that were significantly associated with the OS of ESCC patients (Table S4).

To eliminate the collinearity of variables and minimize estimation variance, LASSO regression analysis was applied to establish a prognostic signature using the 41 aforementioned lncRNAs. Subsequently, an m⁵C-LPS comprising 9 lncRNAs was identified based on the optimal λ value (Fig. 2A,B). Subsequently, the risk score was calculated based on the coefficients of the nine identified lncRNAs and their corresponding expression levels, yielding a concordance index (C-index) of 0.83, indicating strong discriminatory power (Fig. 2C,D). Besides, the model exhibited a sensitivity of 0.880, specificity of 0.643, positive likelihood ratio of 2.464, negative likelihood ratio of 0.187, positive predictive value of 0.524, and negative predictive value of 0.923. The m⁵C-LPS formula was calculated as follows: Risk Score = $(-1.45488) \times ATP2B1 - AS1 + 0.78504 \times LINC02057 + (-3.09357) \times UBAC2 - AS1 + 0.09339 \times CAHM + (-1.19951) \times AC064807.1 + (-2.22323) \times AC037459.3 + 0.87974 \times AC002091.2 + (-0.60497) \times AC006329.1 + 0.2869 \times AC009275.1$.

Subsequently, we categorized the 80 ESCC patients into low- and high-risk groups based on the median risk score. And the vital status and expression levels of the corresponding 9 lncRNAs in the cohort from TCGA-ESCC have presented in Fig. 2E. K–M analysis revealed that the patients in the high-risk group had relatively poorer OS and disease-free survival (DFS) compared with the low-risk group (OS: $p < 0.0001$, DFS: $p = 0.064$, Fig. 2F,G). Moreover, time-dependent ROC curves implied that m⁵C-LPS exhibited a promising ability to predict prognosis in the TCGA-ESCC cohort (1-year AUC = 0.839, 2-year AUC = 0.919, 3-year AUC = 0.898; Fig. 2H).

Validation of m⁵C-LPS in the cohort from the GEO database. To validate the prognostic value of m⁵C-LPS, we calculated risk scores for another 60 ESCC patients from the GSE53622 cohort using the same formula. ESCC patients were divided into low- and high-risk groups according to the median value. The distribution of the risk score, survival status, and lncRNAs expression showed that patients with higher risk scores had shorter OS and higher mortality status (Fig. 2I). Consistent with the findings in the TCGA-ESCC cohort, patients in the high-risk group presented significantly poorer prognoses ($p = 0.012$, Fig. 2J). And the AUC of the m⁵C-LPS was 0.7 at 2 years, 0.715 at 3 years, and 0.79 at 4 years (Fig. 2K).

Co-expression status and differential expression of m⁵C-related lncRNAs. We examined the co-expression status and differential expression of the 9 m⁵C-related lncRNAs. The Sankey plot showed one-to-one matches between the 7 m⁵C genes (5 writers: *DNMT1*, *NSUN3*, *NSUN5-7*; 2 erasers: *TET1-2*) and the 9 lncRNAs used in constructing the m⁵C-LPS. Additionally, the Sankey plot also depicted the risk type of each lncRNA (risk lncRNAs: *AC002091.2*, *AC009275.1*, *CAHM*, and *LINC02057.1*; protect lncRNAs: *AC006329.1*, *AC037459.3*, *AC064807.1*, *ATP2B1-AS1*, and *UBAC2-AS1*, Fig. 3A). Moreover, the correlation circle plot revealed a general positive correlation among these m⁵C-related lncRNAs, except for *CHAM* and *AC037459.3* had negative relationship with *AC009275.1* and *LINC02057*, and *UBAC2-AS1* showed negative correlation with *AC002091.2* (Fig. 3B). Then, we compared the expression levels of these lncRNAs in normal esophageal and ESCC samples and observed that 7 lncRNAs were upregulated and 1 lncRNA was downregulated in ESCC samples (Fig. 3C).

Subsequently, we performed qRT-PCR using normal esophageal cell line HET-1A and ESCC cell lines TE-1 and KYSE150. The boxplot revealed that the upregulation of *LINC02057*, *UBAC2-AS1*, *CAHM*, *AC002091.2*, *AC006329.1*, and *AC009275.1* in ESCC cells, while *AC037459.3* was downregulated in ESCC cells (Fig. S3A). And we also detected the expression of these lncRNAs in ESCC and adjacent normal tissues and found that most of lncRNAs were upregulated in ESCC tissues (Fig. S3B). These results indicated that the expression patterns of m⁵C-related lncRNAs are consistent with the findings from the TCGA database. Since *AC002091.2* was upregulated in ESCC cell lines and tissues and was of great prognostic value for ESCC patients, we subsequently investigated the relationship between the expression of *AC002091.2* and patients' survival. The FISH results showed that *AC002091.2* was located in the cytoplasm (Fig. 3D). And K–M plot revealed that patients with higher *AC002091.2* expression had relatively poor prognosis (Fig. 3E, $p = 0.0028$).

Correlation of the risk score acquired from m⁵C-LPS and clinicopathological parameters. To evaluate the clinical significance of m⁵C-LPS, we assessed its association with various clinicopathological parameters of ESCC. Subgroup analysis stratified by T stage revealed a significantly higher risk score in T4 ESCC patients compared to T3 ESCC patients ($p = 0.038$, Fig. 4A). Stratification by M stage indicated an increased risk score in M1 patients, although the difference did not reach statistical significance ($p = 0.15$, Fig. 4B). No significant differences were observed between age, gender, race, tumor location, histologic grade, N stage, stage, reflux history and risk score ($p > 0.05$, Fig. S4A–G, Fig. 4C). Besides, ESCC patients with alcohol history exhibited a significantly elevated risk score than those without alcohol history ($p = 0.022$, Fig. 4D), while ESCC patients with or without smoking history had similar risk score ($p = 0.71$, Fig. S4H). In subgroup analysis stratified by adjuvant postoperative therapy, there was a trend towards a higher risk score in the pharmaceutical therapy and radiotherapy subgroup, although statistical significance was not achieved ($p = 0.069$ and 0.19 , Fig. 4E,F). And ESCC patients with or without complete response after radiotherapy exhibited comparable risk scores ($p = 0.47$,

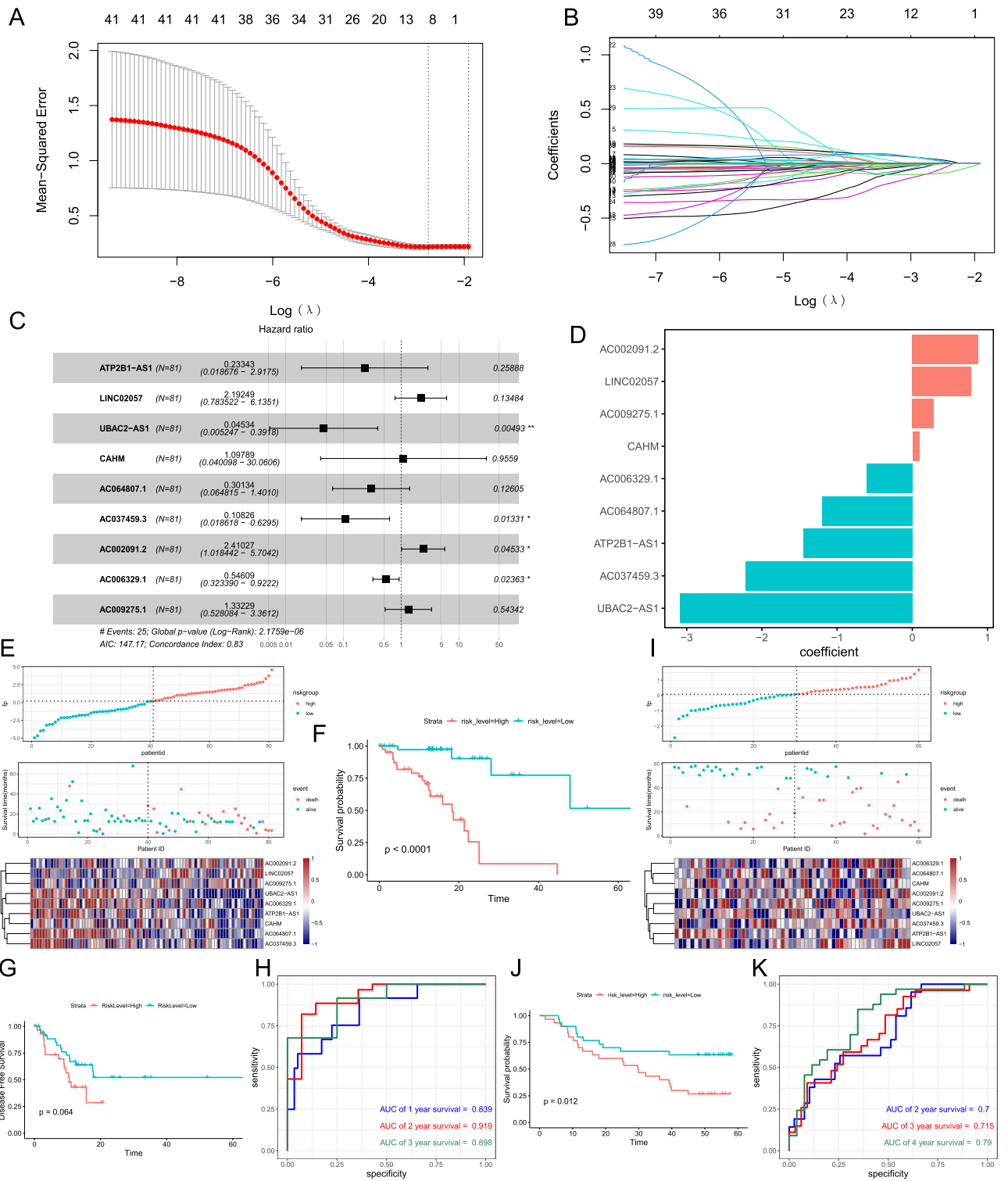


Figure 2. Identification and validation of the m⁵C-related lncRNAs prognostic signature (m⁵C-LPS) based on the cohort of TCGA-ESCC and GSE53622. **(A,B)** The minimum criterion of the LASSO regression algorithm was used to identify the most robust prognostic m⁵C-related lncRNAs. **(C)** Forest plot presenting the hazard ratio (HR) and 95% confidence interval (CI) of the 9 lncRNAs by the multivariate Cox regression. **(D)** The coefficients of the 9 lncRNAs contained in the m⁵C-LPS formula. **(E)** The distributions of the risk score, vital status, overall survival (OS), and expression levels of the 9 m⁵C-related lncRNAs in low- and high-risk groups in the cohort from TCGA-ESCC. **(F)** Kaplan–Meier (K–M) analysis demonstrated that patients with higher risk scores exhibited worse overall survival in the cohort from TCGA-ESCC. **(G)** K–M analysis demonstrated that patients with higher risk scores exhibited worse disease-free survival in the cohort from TCGA-ESCC. **(H)** The area under the curve (AUC) of the time-dependent ROC curves measures the predictive value of the risk score in the cohort from TCGA-ESCC. **(I)** The distributions of the risk score, vital status, overall survival (OS), and expression levels of the 9 m⁵C-related lncRNAs in low- and high-risk groups in the cohort from GSE53622. **(J)** K–M analysis demonstrated that patients with higher risk scores exhibited worse overall survival in the cohort from GSE53622. **(K)** AUC of the time-dependent ROC curves measuring the predictive value of the risk score in the cohort from GSE53622.

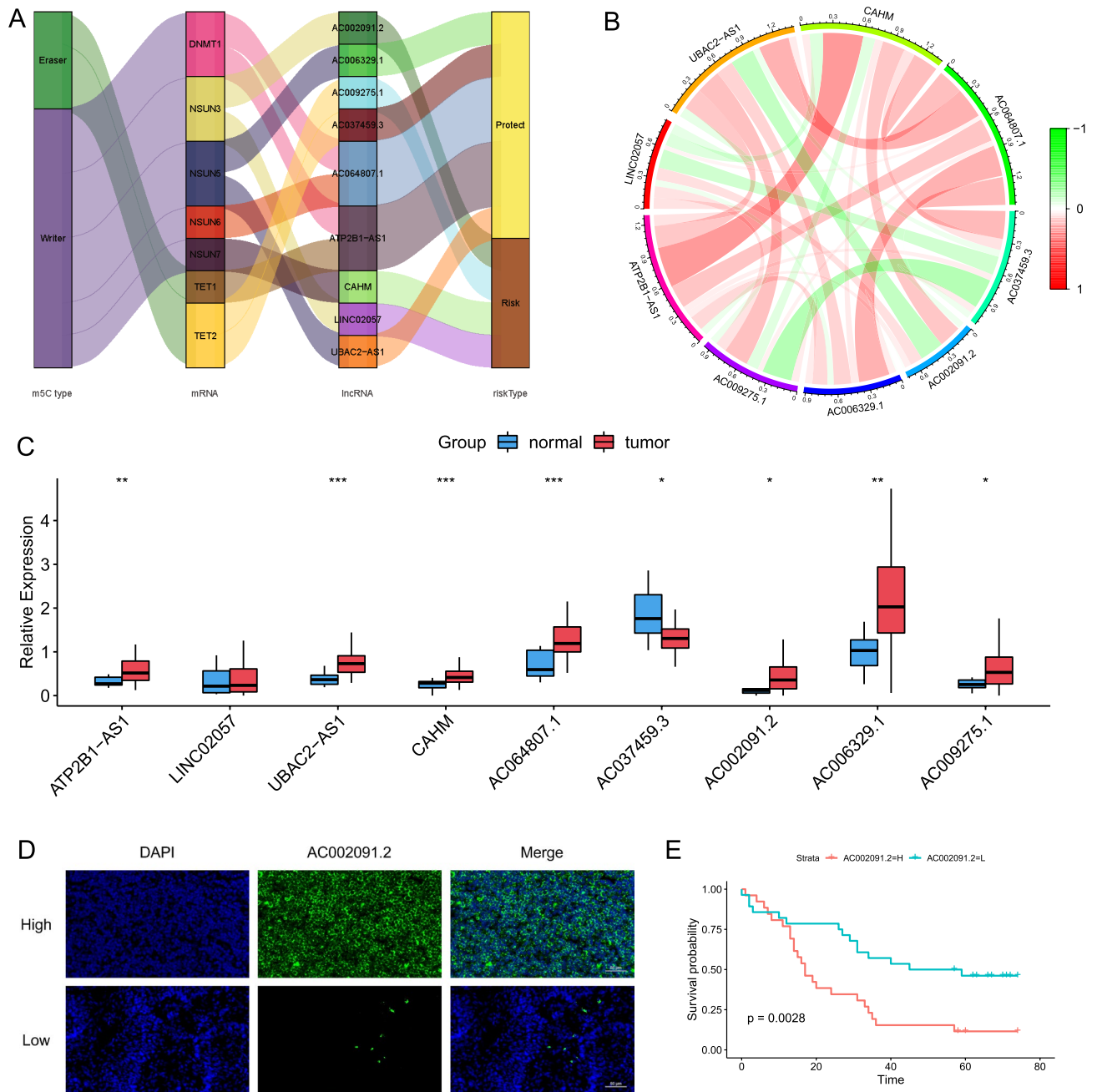


Figure 3. Co-expression status and expression level of m⁵C-related lncRNAs in TCGA-ESCC database and ESCC cell lines. **(A)** Sankey plot showing one-to-one matches between m⁵C genes, m⁵C-related lncRNAs, and their risk type. **(B)** Circle plot presenting the co-expression status of the 9 m⁵C-related lncRNAs with coefficients annotated. **(C)** The expression level of m⁵C-related lncRNAs in normal esophageal and ESCC tissues based on TCGA-ESCC database. **(D)** Representative Fluorescence in situ hybridization (FISH) images of *AC002091.2* in ESCC tissues. Scale bars represent 50 μm. **(E)** K-M plot for overall survival grouped by *AC002091.2* expression in 54 ESCC patients. **p* < 0.05, ***p* < 0.01, ****p* < 0.001.

Fig. S4I). Furthermore, ESCC patients with tumor presence, recurred/progressed, and deceased status had significantly increased risk scores (*p* < 0.05, Fig. 4G–I), consistent with previous results highlighting the value of m⁵C-LPS as a valuable prognostic marker.

Evaluation of the prognostic value of m⁵C-LPS and construction of a nomogram. Univariate and multivariate Cox regression analyses were conducted to determine the independent prognostic value of m⁵C-LPS and other clinicopathological parameters for ESCC patients. The forest plots showed that the N stage and risk score were independent factors for the poor prognosis (*p* < 0.05, Fig. 5A,B). Subsequently, we used time-dependent ROC curves to evaluate the prognostic potential of the risk score, age, gender, grade, stage, and TNM stage. The AUC values of the risk score were higher than those of other clinicopathological factors for 1-, 2-, and

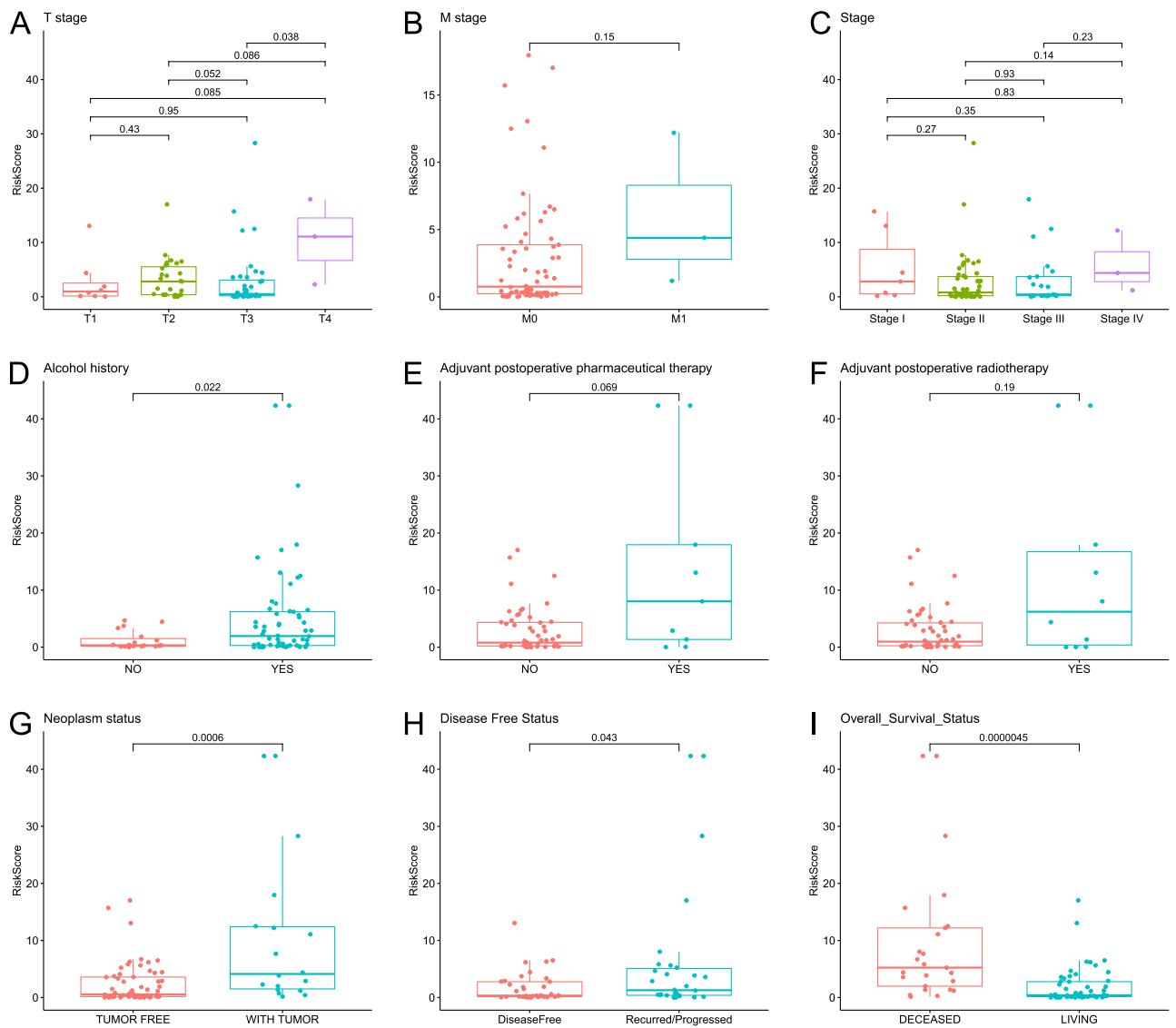


Figure 4. The discrepancy in risk scores between different subgroups: T stage (A), M stage (B), stage (C), alcohol history (D), adjuvant postoperative pharmaceutical therapy (E), adjuvant postoperative radiotherapy (F), neoplasm status (G), disease free status (H), and overall survival status (I).

3-year survival (Fig. 5C). These findings highlight the significant value of the risk score in predicting patient prognosis. Meanwhile, a nomogram was constructed based on the risk score and N stage of each ESCC patient, which could be a quantitative tool to predict 1-, 2-, and 3-year survival probability (Fig. 5D). Moreover, the calibration curves showed partial agreement between the predicted and observed survival probabilities (Fig. 5E).

Exploration of immune microenvironment affected by m⁵C-LPS. We further investigated the relationship between the immune microenvironment and the risk score obtained from m⁵C-LPS. The relative abundance of immune and stromal cells of each sample was estimated using Estimate, Cibersort, ssGSEA, and xCELL algorithms. The heatmap revealed the different distribution patterns of various cell types between the low- and high-risk groups (Fig. 6A). Comparison of the Cibersort results revealed significant enrichments of CD8⁺ T cells, memory activated CD4⁺ T cells, and T follicular helper cells in the low-risk group, while M2 macrophages were found to be enriched in the high-risk group (Fig. 6B). The ssGSEA results showed that central memory CD8⁺ T cell, gamma delta T cell, macrophage, NK cell, plasmacytoid dendritic cell, Tregs, and T follicular helper cell were significantly enriched in the high-risk group ($p < 0.05$, Fig. S5A). However, there were no significant differences in stromal cells between the low- and high-risk groups (Fig. S5B). The correlation heatmap identified three main clustering modules: function immune cells, resting immune cells, and stromal cells (Fig. S6A). Furthermore, the correlation coefficient indicated a negative association between the risk scores and multiple well-known immune checkpoint molecules, except for IDO1 (Fig. 6C). The histogram and heatmap revealed inverse relationships between the risk score and most immunomodulators, including chemokines, receptors, MHC, immunoinhibitors, and immunostimulators (Fig. 6D, Fig. S6B). These findings indicated that the activation of

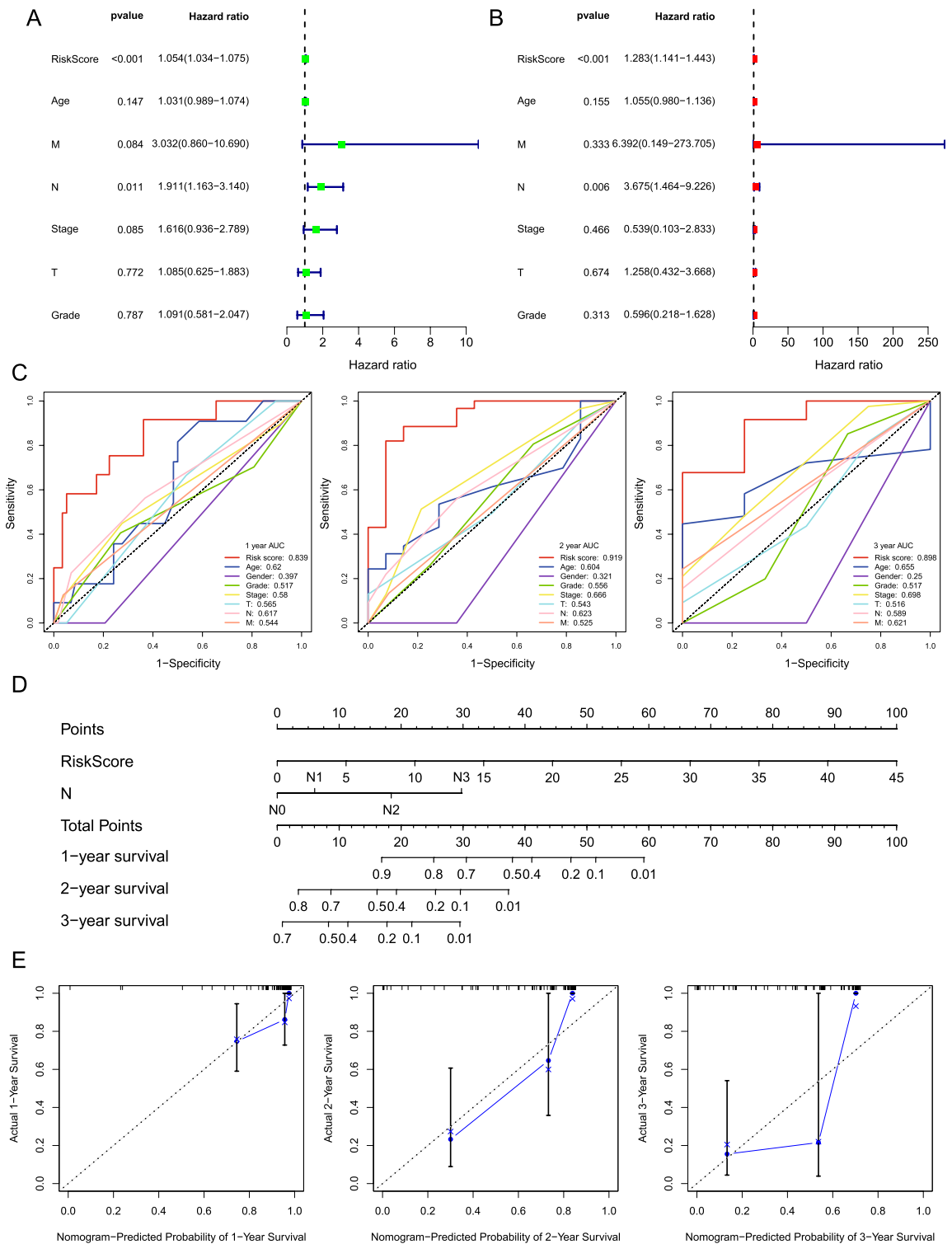


Figure 5. Verification of the independent prognostic value of m⁵C-LPS and construction of nomogram. Univariate (A) and multivariate (B) Cox regression analyses of the prognostic value of risk scores and other clinical parameters. (C) The ROC curves show the predictive value of the risk score and other clinical characteristics. (D) Nomogram composed of N stage and risk score was constructed to predict 1-, 2-, and 3-year survival rates. (E) Calibration plots were used to evaluate the nomogram for predicting 1-, 2-, and 3-year survival rates.

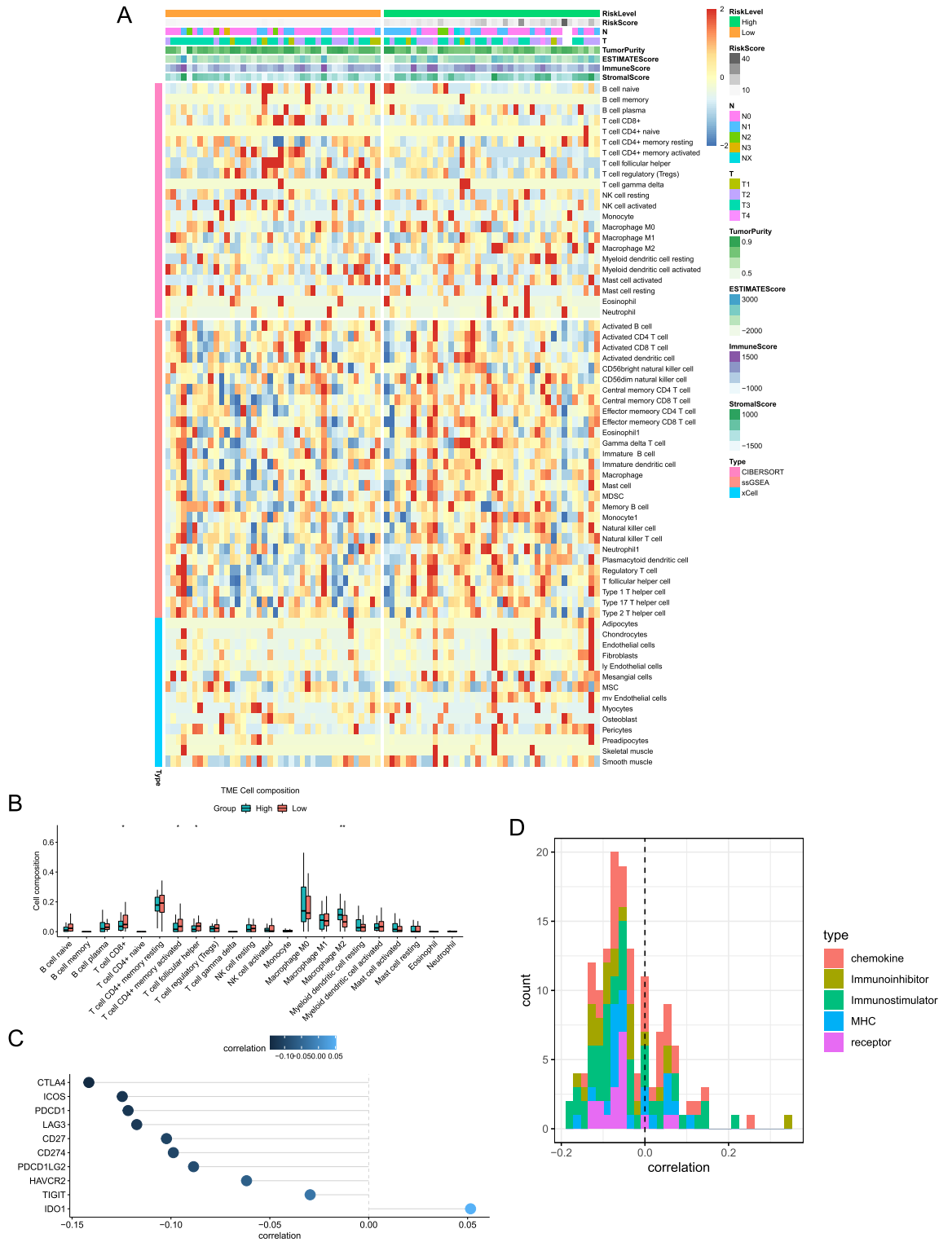


Figure 6. Investigation of immune status in different risk groups. **(A)** Heatmap revealing the immune and stromal cells infiltration in ESCC immune microenvironment. **(B)** Box plots showing the infiltration of the immune cells based on the Cibersort algorithm in different risk groups; * $p < 0.05$ and ** $p < 0.01$. **(C)** Estimation of the coefficients for risk score with immune checkpoint genes. **(D)** Histogram showing the relationships between risk score and chemokines, receptors, MHC, immunoinhibitors, and immunostimulators.

immune components in the tumor microenvironment may contribute to better outcomes for patients in the low-risk group.

Comprehensive analysis of enriched pathways between different risk groups. To elucidate the biological functions of the differentially expressed genes associated with m⁵C-LPS, we performed GO, KEGG, and Reactome enrichment analyses. The prominent GO terms in molecular function (MF), cellular component (CC), and biological process (BP) were catalytic activity acting on RNA, nuclear speck, and ncRNA metabolic process, respectively (Fig. S7A). Furthermore, the top five enriched KEGG terms included spliceosome, cell cycle, ribosome biogenesis in eukaryotes, RNA degradation, and Homologous recombination (Fig. S7B). The three main key modules identified in the Reactome analysis were rRNA processing, mRNA splicing and processing, and DNA damage repair response (Fig. S7C).

The genomic alteration difference between two m⁵C-LPS groups. By analyzing the MuTect2 mutation annotation files, we identified the top 20 most frequently mutated genes in the low- and high-risk groups, as illustrated in Fig. S8A,B, respectively. The waterfall plots revealed that *TP53*, *TTN*, and *KMT2D* were most frequently mutated in both groups. However, the ranking of mutated genes showed slight changes between the two groups. For example, the mutation frequency of *MUC16* was ranked third in the high-risk group (20%), but it dropped out of the top 20 mutated genes in the low-risk group. Furthermore, the mutation rates of seven oncogenic pathways (*NOTCH*, *WNT*, *PI3K*, *MYC*, *TP53*, *TGF-beta*, Cell-Cycle) were higher in the high-risk group compared to the low-risk group (Fig. S8C,D). These findings suggested that ESCC patients in the low- and high-risk groups may have different mutation driver genes and pathways.

m⁵C-LPS predict therapeutic response in ESCC patients. Given that chemotherapy and radiotherapy are crucial in ESCC treatments, and DNA damage repair response plays a pivotal role in regulating chemoradiotherapy response, we attempted to evaluate the therapeutic response of the low- and high-risk groups. We estimated the IC₅₀ levels of several commonly used chemotherapeutic drugs in each patient using CGP, CTRP, and GDSC-derived drug response data. The heatmap showed that the estimated IC₅₀ levels of these drugs were reduced in the low-risk group, indicating that patients in low-risk group were more sensitive to chemotherapy (Fig. 7A). Boxplots further demonstrated that patients in the low-risk group exhibited greater sensitivity to five CGP-derived compounds (5-fluorouracil, cisplatin, docetaxel, vinorelbine, and etoposide), two CTRP-derived compounds (docetaxel and gemcitabine), and four GDSC-derived compounds (docetaxel, paclitaxel, oxaliplatin, and vinorelbine). And significant differences in the IC₅₀ level of docetaxel were observed among three database-derived results (Fig. 7B–D). Besides, the radiation-sensitivity index (RSI) increased in the high-risk group, suggesting that patients in the high-risk group might require a higher radiotherapy dose, although there was no statistical significance ($p=0.23$, Fig. 7E).

Discussion

ESCC accounts for about 90% of the incidence of EC annually with a dismal 5-year survival rate of 5–25% worldwide^{1,3,43}. To date, molecular-related target therapy had emerged as new therapeutic strategies for prolonging patients' prognosis. In recent years, RNA post-transcriptional methylation modification, including m⁶A, m⁵C, and m¹A, has arrested substantial attention among researchers worldwide^{44,45}. Over the past decade, numerous m⁵C regulators have been found to play pivotal roles in regulating gene expression and disease progression, including cancer^{46,47}. For instance, *NSUN2*, which plays crucial roles in tissue homeostasis, spindle stability, and early embryogenesis as a nucleolar protein, is overexpressed and possesses prognostic survival value in various tumors^{48,49}. While the function of m⁵C modification in other cancers has been extensively studied^{12,50,51}, its effect on ESCC has not been fully explored. In the present study, we observed the upregulation of 11 m⁵C regulators in ESCC tissues compared to normal adjacent tissues (Fig. 1A). Thus, we aimed to investigate the role of m⁵C in ESCC further.

Existing evidences have testified that m⁵C methylated lncRNA can regulate the occurrence and development of cancer²⁰. The “writer” *NSUN2* modifies the lncRNA *H19* and recruits the oncoprotein *G3BP1* in hepatocellular carcinoma, suggesting that m⁵C modifications are involved in malignant tumor progression⁵². Furthermore, as dysregulation of lncRNAs plays a crucial role in tumor development, and they can be detected in easily accessible bodily fluids like urine, saliva, and serum, they have great potential as prognostic biomarkers and therapeutic targets for tumors⁵³. We believe that investigating the interplay between m⁵C regulators and lncRNAs will become a promising area for identifying prognostic markers and therapeutic targets for cancers. Nonetheless, the role of lncRNAs involved in m⁵C regulation in ESCC remains unclear. To our knowledge, this is the first comprehensive analysis of the function of m⁵C-related lncRNAs in ESCC.

In this study, we evaluated the prognostic value of m⁵C-related lncRNAs in ESCC patients. A prognostic model based on 9 m⁵C-related lncRNAs was constructed using univariate and LASSO Cox regression analyses, and a formula for the calculation of risk score was established. The prognostic value of m⁵C-LPS was then tested in both training (TCGA-ESCC) and validation (GSE53622) datasets (Fig. 2). These results suggest that m⁵C-LPS could serve as a powerful tool for predicting the prognosis of ESCC patients.

Limited information is currently available on the lncRNAs identified in our study. However, the functions that have been reported for *CAHM*, *ATP2B1-AS1*, and *UBAC2-AS1* provide important insights into the potential roles of these 9 novel m⁵C-related lncRNAs. The well-established functions of *CAHM*, which is also known as colorectal adenocarcinoma hypermethylated, as a prognostic biomarker in colorectal and thyroid carcinoma^{54,55}, and its regulation by *DNMT1* in glioma cells, suggest its involvement in glioma grade, subtype, malignant behavior, and prognosis⁵⁶. Similarly, the involvement of *ATP2B1-AS1* in the NF-kappa-B signaling pathway,

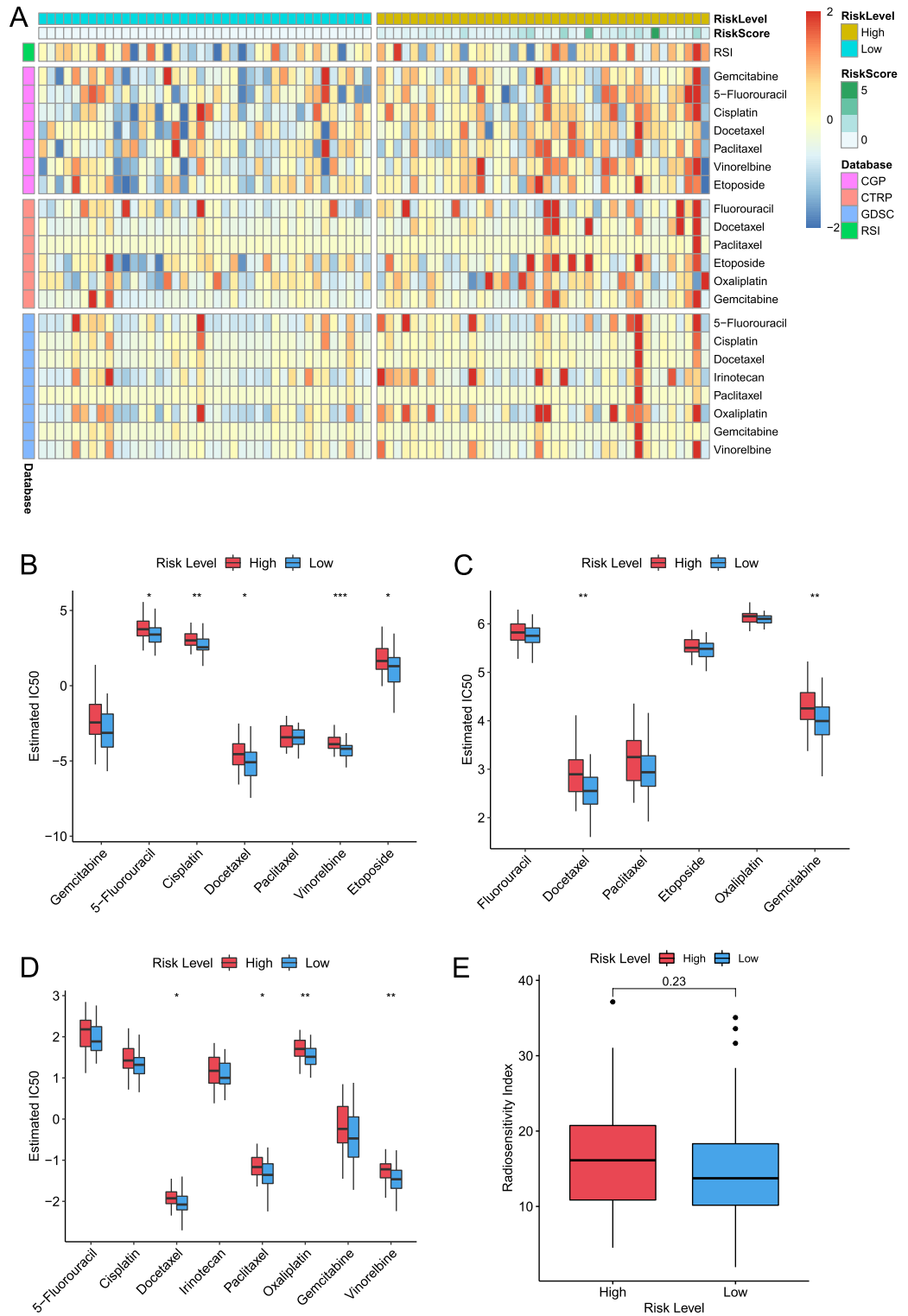


Figure 7. Identification of therapeutic response features of different risk groups. **(A)** Heatmap revealing IC50 for chemotherapeutic agents and radiation-sensitivity index (RSI) for radiotherapy. Box plots showing the sensitivity of selected chemotherapeutic agents for patients in low- and high-risk groups based on CGP **(B)**, CTRP **(C)**, and GDSC **(D)** databases. **(E)** Boxplot showing the radiotherapy sensitivity for patients in low- and high-risk groups.

which plays a crucial role in tumorigenesis, particularly in gastrointestinal cancers^{57,58}, suggests its potential as a target for therapeutic intervention. Furthermore, the close association between *UBAC2-AS1* and autophagy genes highlights its potential involvement in cancer-related processes and its possible therapeutic implications⁵⁹. Our study has revealed the overexpression of these lncRNAs in ESCC. However, further research is needed to elucidate the precise functions and mechanisms of these lncRNAs. Nonetheless, our study provides a foundation for exploring these lncRNAs as potential therapeutic targets in cancer treatment.

Due to the association between m⁵C-LPS and immune status being weak, we further investigated the signaling pathways and biological functions related to m⁵C-LPS. Our analysis revealed a significant enrichment of functions associated with mRNA and ncRNA processing, as well as DNA damage repair response. These findings align with the established functions of m⁵C and lncRNAs previously reported in the literature^{14,60–62}. For instance, the mRNA and translation levels enhanced when *NSUN6*-targeted mRNAs were methylated⁶³. *TRDMT1-FMRP-TET1*-mediated m⁵C regulation can promote transcription-coupled homologous recombination⁶⁴. And *TRDMT1* can mediate m⁵C mRNA methylation at DNA damage sites and regulate homologous recombination⁶⁰. Besides, DNA damage repair response can regulate the response effectiveness of chemoradiotherapy^{65,66}, which is the mainstay for ESCC treatment^{43,67}, we evaluated the therapeutic response of ESCC patients in the TCGA-ESCC cohort. Our analysis demonstrated that patients in the low-risk group exhibited a higher sensitivity to chemoradiotherapy. Additionally, studies in leukemia have shown that *NSUN3* and *DNMT2* can regulate the chromatin structures by directly binding *hnRNPK* and further modulating 5-Azacytidine response⁶⁸. These observations provide valuable insights into the potential role of m⁵C-LPS as a predictive marker and highlight the need for further exploration of m⁵C function in cancer treatment.

This study has several limitations that should be acknowledged. Firstly, the small sample size, retrospective nature, and non-uniform patient source and race of the TCGA-ESCC and GSE53622 cohorts may have influenced the results. And the absence of an independent clinical cohort limits the validation of the prognostic signature. Thus, more high-quality cohort data are needed in the future to validate the prognostic value and chemoradiotherapy response of m⁵C-LPS. Secondly, although we detected the expression of the 9 identified lncRNAs in m⁵C-LPS in ESCC and normal esophageal cell lines, further in vitro and in vivo experiments are required to support our in silico results.

In this study, we constructed and validated a prognostic signature based on 9 m⁵C-related lncRNAs for ESCC patients. And we found that stratification of ESCC patients based on m⁵C-LPS is associated with different clinical features, immune status, genomic variants, oncogenic pathways, enrichment pathways, and therapeutic responses. In summary, our study provides a valuable tool for understanding the potential role of m⁵C-related lncRNAs and guiding personalized management of ESCC.

Data availability

The data presented in this study can be found in TCGA (<https://portal.gdc.cancer.gov/repository?facetTab=cases>) and GSE53622 (<https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=gse53622>) databases.

Received: 28 September 2022; Accepted: 28 August 2023

Published online: 04 September 2023

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Acknowledgements

The authors sincerely thank the data provided by TCGA and GEO databases.

Author contributions

T.G. and X.Z. conceived, designed, and supervised the study. Y.M., Y.S., and X.F. performed formal analysis and data interpretation; Y.M. wrote the original draft; X.Z., J.L., and X.Z. provided critical revisions and contributed to the editing of the paper. All authors read and approved the final manuscript. All authors have agreed to the publication of this research.

Funding

This research was funded by the National Natural Science Foundation of China (Grant Number 81773239).

Competing interests

The authors declare no competing interests.

Additional information

Supplementary Information The online version contains supplementary material available at <https://doi.org/10.1038/s41598-023-41495-6>.

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