



OPEN Evolutionary mechanisms underlying the diversification of nuclear factor of activated T cells across vertebrates

Maribet Gamboa^{1,2✉}, Noriko Kitamura³, Kento Miura¹, Satoko Noda⁴ & Osamu Kaminuma^{1✉}

The mechanisms of immunity linked to biological evolution are crucial for understanding animal morphogenesis, organogenesis, and biodiversity. The nuclear factor of activated T cells (NFAT) family consists of five members (NFATc1–c4, 5) with different functions in the immune system. However, the evolutionary dynamics of NFATs in vertebrates has not been explored. Herein, we investigated the origin and mechanisms underlying the diversification of NFATs by comparing the gene, transcript and protein sequences, and chromosome information. We defined an ancestral origin of NFATs during the bilaterian development, dated approximately 650 million years ago, where NFAT5 and NFATc1–c4 were derived independently. The conserved parallel evolution of NFATs in multiple species was probably attributed to their innate nature. Conversely, frequent gene duplications and chromosomal rearrangements in the recently evolved taxa have suggested their roles in the adaptive immune evolution. A significant correlation was observed between the chromosome rearrangements with gene duplications and the structural fixation changes in vertebrate NFATs, suggesting their role in NFAT diversification. Remarkably, a conserved gene structure around NFAT genes with vertebrate evolutionary-related breaking points indicated the inheritance of NFATs with their neighboring genes as a unit. The close relationship between NFAT diversification and vertebrate immune evolution was suggested.

Nuclear factor of activated T cells (NFAT) plays an important role in the immune system by regulating the transcription of multiple cytokines in T cells¹. The NFAT protein family consists of five members, NFATc1–c4 and NFAT5, which share a DNA-binding region known as the Rel-homology domain (RHD)^{1,2}. However, NFATc1–c4 (NFATcs) activation is regulated by a Ca²⁺/calmodulin-dependent serine/threonine phosphatase, calcineurin, which binds to the calcium regulatory domain (CRD) of NFATcs³, while the activation of NFAT5 occurs in response to osmotic stress¹. NFATcs shuttle between the cytoplasm and nucleus in an intracellular Ca²⁺-dependent manner², whereas NFAT5 is distributed throughout the cells⁴. Furthermore, differences in their expression in each cell type or organ, their structure, and contribution to each target gene results in the functional diversity of NFATs^{2,5–7}. Owing to such diverse functions, structural abnormalities of NFATs potentially cause various diseases⁸. In addition to providing novel information in the quest to understand diseases, differences among NFATs are considered as targets of treatment regimens^{9,10}. However, studies on the variation and evolution of NFATs and the mechanisms involved in their diversity are limited.

A remarkable association between NFAT and vertebrate evolution has been previously suggested. Three hypotheses have been proposed to explain the possible evolutionary origins and diversification of NFATs across vertebrates. First, the incidence of a recombination event in the RHD was hypothesized. Based on comparative studies of RHDs between fruit flies and five vertebrates (human, zebrafish, chicken, mouse, and hamster), it was hypothesized that the RHD in insects undergoes two independent recombination events, one with a regulatory region responsive to tonicity signals that generated NFAT5, and another with CRD that generated NFATcs¹¹. Secondly, the incidence of an ancestral duplication event was hypothesized. The ancestral invertebrate-NFAT gene might have undergone mutations selected by natural selection, resulting in three duplication events.

¹Department of Disease Model, Research Institute for Radiation Biology and Medicine, Hiroshima University, Hiroshima 734-8553, Japan. ²Department of Ecology, Faculty of Sciences, Universidad Católica de la Santísima Concepción, 4090541 Concepción, Chile. ³Neurovirology Project, Tokyo Metropolitan Institute of Medical Science, Tokyo 156-8506, Japan. ⁴Graduate School of Science and Engineering, Ibaraki University, Ibaraki 310-8512, Japan. ✉email: gamboa@ucsc.cl; okami@hiroshima-u.ac.jp

One duplication generated NFAT5, another generated NFATcs, and the duplication among NFATcs occurred before chordate evolution¹². Thirdly, the incidence of a Ca²⁺ related vertebrate-specific recombination event was hypothesized. The recombination event that generated NFATcs, proposed in the first hypothesis, might be implicated in vertebrate organogenesis because the recombination of CRD possibly occurred only during vertebrate diversification¹³. All these hypotheses suggest the possible origin and diversification of NFATs from an ancestral invertebrate NFAT-like protein. However, questions regarding their evolutionary history among vertebrates, such as the timing of NFAT diversification events, drivers of evolutionary mechanisms in their diversification across vertebrates, and degree of conservation of NFATs among vertebrates remain unanswered.

Here, we address these questions by investigating the evolutionary history of NFATs and the possible evolutionary mechanisms associated with their structural and functional diversity across vertebrates. Gene duplication, alternative splicing, and chromosome rearrangement are three major evolutionary mechanisms that can cause functional and molecular variation by increasing gene diversification^{14,15}. Although these mechanisms are important drivers of the evolution of transcription factors¹⁶, little is known about their role in NFAT evolution. Following the investigation of the phylogenetic relationships of NFATs across vertebrates that elucidated ancient diversification events, we focused on the three mechanisms of vertebrate NFAT evolution. Upon comparative analyses of protein and transcript sequences with the chromosome information that indicated the relationship between chromosomal evolution and gene duplication in NFATs, we further addressed the molecular evolutionary driver of NFATs. Lastly, in addition to evaluating the positive selection signal in several vertebrate taxa, we identified an outstanding gene order conservation in NFATs and the neighboring genes across vertebrate chromosomes.

Results and discussion

Ancestral and evolutionary conservation of NFATs. *Ancestral NFATs date around bilaterians.* We explored the evolutionary dynamics of NFAT proteins, over a well-supported vertebrate and invertebrate phylogeny, reconstructed from 372 species (Table S1). Our phylogenetic reconstruction strongly indicated two independent origins for NFAT5 and NFATcs (Fig. 1A). NFAT5 first diverged independently of its ancestor, while another independent diversification led to the origin of NFATcs. These findings partly agree with those of previous reports suggesting the diversification of NFAT5 and NFATcs from an ancestral invertebrate NFAT-like^{11,12}. Consistent with the identification of immune-related genes in the invertebrates, which suggests their role in the ancient immune system^{12,17,18}, our results displayed invertebrate taxa as a basal group for NFAT5 phylogeny (Fig. 1B). However, the origins of NFAT5 and NFATcs did not fit the invertebrate NFAT-like hypothesis, suggesting the appearance of an NFAT-like protein before the development of invertebrates. The ancestral NFAT-like was most likely to date around the diversification of bilaterians during the Ediacaran geological period of Nephrozoa formation approximately 650 million years ago (Mya)¹⁹. Nephrozoa are a major diversification clade of bilaterians divided into two groups: Deuterostomia (vertebrates, starfish, and tunicates) and Protostomia (mollusks and arthropods)²⁰. We identified NFAT5 in arthropods (flies and fruit flies), echinoderms (starfish), mollusks, deuterostomes (urchins), and Chordata ancestors (as tunicates and lancelets). NFAT5 formed two clades corresponding to the Nephrozoa group (Fig. 1C), suggesting that the origin of NFATs dates back to the period of Nephrozoa development.

Based on the independent origins of NFATcs, we proposed additional hypotheses. (1) Origin of Recombination. NFAT5 and a possible Nephrozoa NFAT-like protein remained together until approximately 550 Mya during the vertebrate formation²¹. The RHD of Nephrozoa NFAT-like might suffer a recombination event with a Ca²⁺-regulatory region that led to the origin of NFATcs, as proposed in the RHD recombination hypothesis¹¹. This is consistent with the development of a Ca²⁺-dependent regulatory system as a vertebrate-specific event¹³. (2) Transposon element capture origin. Transposon elements are DNA sequences capable of changing their positions within a genome²². Many transcription factors have been proposed to originate from transposons²³. An ancestral gain of a transposon-derived DNA-binding region, mostly originating from virus genomes, plays a pivotal role in vertebrate history²⁴. Interestingly, several viral proteins contain conserved NFATc motifs²⁵, suggesting the participation of transposable elements in NFATc evolution. Recombination events between Nephrozoa NFAT-like and transposable elements may contribute to NFATc diversification. (3) de novo origin. This hypothesis has been proposed for many transcription factors²⁶. NFATcs contain a poorly conserved RHD^{27,28} that shows 18–20% similarity with NFAT5 and other RHD-containing proteins, such as nuclear factor kappa B (NF-κB)²⁷. There were no detectable similarities between NFATcs and NFAT5 outside the RHD¹¹, suggesting that their evolutionary affinities were obscured by rapid evolution. Substantial molecular diversity is also observed in other transcriptional factors, such as homeobox and helix-turn-helix²⁹. Therefore, it is still possible that NFATcs were de novo generated during vertebrate evolution. Although our results suggested the existence of an ancestral NFAT-like protein, they did not show an obvious divergence pattern. Comparative analysis of RHD-containing proteins in a large number of organisms may contribute to reconstructing the evolutionary history of NFATs.

Within the clade of NFATcs, we found a bifurcation forming two clades: one clade containing NFATc1 and NFATc2, and another containing NFATc3 and NFATc4 (Fig. 1A), which were consistent with a previous report¹². This diversification may be caused by a duplication event¹² or by a vertebrate-specific translocation event¹³. However, these two clades were related to differences within the CRD¹⁰. CRD consists of three calcineurin-binding regions (CNBRs) with amino acid differences⁹. The amino acid sequences SPRIET and ITSISP in the N-terminal CNBR1 are conserved in NFATc1/NFATc2 and NFATc3/NFATc4, respectively, suggesting a link between CNBR1 and NFATc diversification. Functional differences among NFATcs have been reported⁹, hence, a detailed comparative analysis of amino acid differences across vertebrates is required for further interpretation of NFATc diversity.

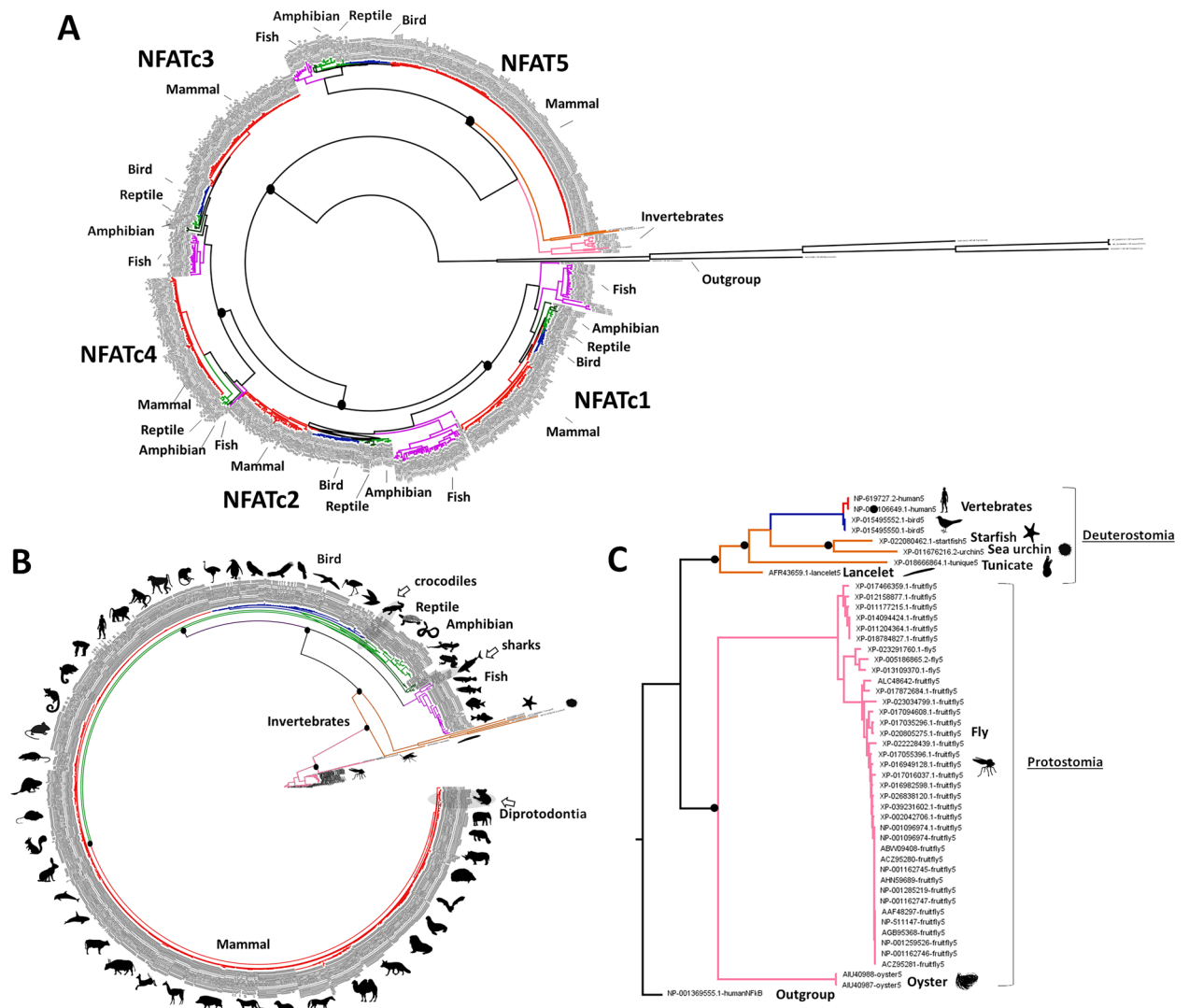


Figure 1. Evolutionary dynamics of NFATs across vertebrates. (A) A phylogenetic tree representing the evolutionary relationship among NFATs across vertebrate and invertebrate taxa. (B) NFAT5 phylogenetic tree is expanded to illustrate its detailed evolutionary divergence across vertebrates and invertebrates. (C) A phylogenetic tree representing the basal region of NFAT5, including two clades of Nephrozoa (Deuterostomia and Protostomia). Black dots on the major nodes represent maximum likelihood bootstrap (>97) and Bayesian posterior probability (>0.98) support values. Representative species in major vertebrate branches are illustrated as black silhouette images (<http://phylopic.org/>). Red, blue, light green, dark green, purple, orange, and pink branches correspond to mammal, bird, reptile, amphibian, fish, Deuterostomia, and Protostomia, respectively.

Conserved NFATs across vertebrates. A conserved evolution within each NFAT was detected across vertebrates (Fig. 1B, Fig. S1). The gene trees were substantially similar to the species tree proposed by paleontological evidence²¹. For all NFATs, the Diprotodontia order of marsupials (platypus, opossum, kangaroo, and koala) showed a dichotomy from those in mammals, whereas the order Crocodylia (crocodilians and alligators) was closely related to that of birds. Similarly, the divergence between cartilaginous (sharks) and jawless fish lineages was in agreement with a whole-genome duplication event that occurred in cartilaginous fish during immune gene evolution³⁰. Previous studies have reported the involvement of NFATs in evolutionarily ancient immune systems, wherein they served as components of effective, conserved defense strategies^{13,17}; this suggests that the evolutionary conservation of NFAT may be associated with its innate immune nature.

Regardless of the similarities in the gene and species trees, NFATc4 was not found in birds (80 species, Fig. S1). Gene loss is considered when homologies are absent within the clade but are present in the closest sister lineage³¹. NFATc4 was identified in closely related taxa, such as reptiles, suggesting its loss during the diversification of birds from the other vertebrates. NFATc4 is associated with several immune functions, including the regulation of the Toll-like receptor³² and immunoglobulin E genes³³ in the vertebrates. They have evolved into genes with specific functions in the birds^{34,35}. The frequent loss of innate immune genes in birds, including that of NFATc4, may be related to the coevolutionary history of pathogens and the corresponding rapid defense system^{36,37}.

Within mammals, a supported node bifurcation (bootstrap > 92/0.9 ML/Bayesian support) was found among great apes (Hominidae family: humans, gorillas, orangutan, and chimpanzees) for NFATcs (Fig. S1, Supplementary file 1). The resulting two isoforms correspond to alternative splicing isoforms as previously described³⁸. NFATc isoforms have been reported to be associated with tissue specificity and/or disease in humans^{39,40}. Here, we identified alternative splicing isoforms in the rest of the species belonging to the great ape lineage. Further studies on the evolutionary history of these isoforms, associated with great ape development, may be useful to understand the evolutionary nature of NFATcs in the recently evolved vertebrate taxa.

Mechanisms underlying the diversification of NFATs across vertebrates. *Gene duplication events mostly occurred during recent vertebrate diversification.* Gene duplication events are one of the candidate forces driving the evolution of NFAT^{13,41}. To quantify the effects of duplication events among NFATs, we calculated the duplication ratio in vertebrate taxa using orthologous analysis. Among the 790 duplication events identified, the most frequent were observed in NFATc1, and each vertebrate taxon displayed an independent duplication pattern (Table S2). Duplicated events in all NFATs revealed a progressively ascending distribution in the recently evolved vertebrates (Fig. 2A). Even when analyzed in individual NFATs, the duplication ratio significantly correlated with the evolutionary time of vertebrate divergence ($R^2 = 0.71$, $p = 0.01$, Fig. S2). Duplication events have been frequently observed during the recent evolutionary vertebrate diversification⁴² and are associated with speciation-related new gene creation⁴³. Furthermore, evolutionary duplication events have been implicated in the adaptive immune system⁴⁴, where NFATs play pivotal roles⁴⁵. Altogether, the contribution of NFAT gene duplication events to the evolution of vertebrate immune systems was strongly suggested.

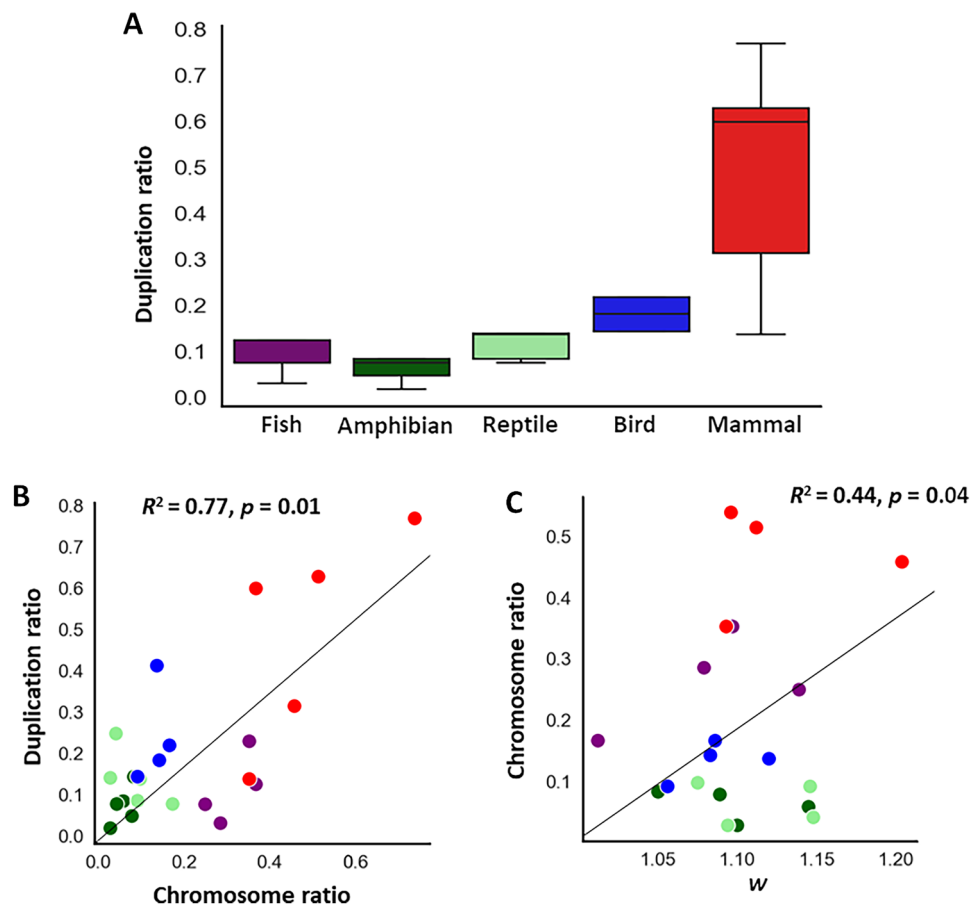


Figure 2. Mechanisms underlying the NFAT diversification across vertebrates. (A) Duplication ratio events observed in five major vertebrate taxa. The box and whisker plot of duplication ratio distribution in vertebrate NFATs is shown. The length of the box represents the interquartile range (IQR), the horizontal line in the box represents the median. The whiskers represent the 1.5 IQR of the 25th quartile or 1.5 IQR of the 75th quartile. (B) Relationship between chromosome and duplication ratios. The scatter plot of chromosome and duplication ratios evaluated in individual NFATs is shown with the regression line, coefficient of determination (R^2) and p -value. (C) Relationship between chromosome ratio and fixation probability in positively selected NFATs. The scatter plot of chromosome ratio and fixation probability as the ratio (w) of nonsynonymous and synonymous substitutions in NFATc1-3, and NFAT5 is shown with the regression line, R^2 and p -value. Red, blue, light green, dark green and purple correspond to mammal, bird, reptile, amphibian, and fish, respectively.

Low alternative splicing across vertebrates. The possible involvement of alternative splicing, which enables the production of multiple proteins from a single gene, in NFAT diversification has been reported, particularly, in human and mouse species^{2,38}. To elucidate the origins of divergent NFAT isoforms, we examined NFAT-specific splicing events among vertebrates. Among the few alternative splicing isoforms identified in NFATs (Table S3), those in NFATc1 were the most frequent (46% of total gene splicing). This finding is partly consistent with the results of a previous report, which demonstrated that duplication events in NFATc1 are relatively frequent, owing to its stronger splicing tendency⁴⁶.

The splicing pattern of NFAT was almost absent in older taxa, such as fish, whereas mammals showed significantly higher splicing ratios (Table S3), suggesting that the beginning of most splicing events occurred in recent taxa. A rapid divergence of gene expression has been identified in mammals⁴⁷, mainly due to species divergence⁴⁸, which suggests an unessential contribution of alternative splicing events to vertebrate NFAT evolution. Additional analyses using larger sample sizes will be useful for further elucidation.

Chromosomal evolution as a source of NFAT diversification. Chromosome rearrangement plays a crucial role in the evolution of immune genes^{15,49}. The location of each NFAT gene on the vertebrate chromosomes was explored to investigate the influence of chromosomal evolution on NFAT diversification. Similar to the diverse chromosomal locations observed in several immune genes³⁰, we identified many locations for all NFATs (76, 48, 51, 23, and 35 for NFATc1–c4, 5, respectively; Table S4), suggesting the frequent incidence of chromosomal rearrangements. Chromosomal rearrangement is an evolutionary process that affects gene duplication^{50,51}. Consistently, we found a positive correlation between chromosome and duplication ratios in NFATs ($R^2 = 0.77$, $p = 0.01$, Fig. 2B), suggesting that the NFAT gene locations affected their gene duplication events in vertebrates.

Consistent organization of immune-related genes have been reported in relation to coordinated expression patterns, facilitated functional interactions, or maintained epigenetic marks⁵². Therefore, we explored the organization of NFATs and their neighboring genes in the chromosomes of the representative vertebrate species. Despite the diverse chromosome locations (Table S4), strikingly conserved organization was observed among the contiguous genes located near NFATs in the vertebrate taxa (Fig. 3), suggesting the inheritance of NFATs with their neighboring genes as a unit. As reported in other immune-related genes¹⁵, the chromosomal conformation around NFATs might overcome several chromosomal rearrangements during vertebrate evolutionary history. As previously proposed, we also defined 32 evolutionary breaking points (EBPs) displaying a disrupted order between the regions of NFAT and their neighboring genes in comparison with that of a reference species, alongside the synteny block regions^{53,54}. Fish showed recurrent EBPs for all NFATs, probably caused by the whole-genome duplication event proposed for their immune-related genes^{30,55}. Additional EBPs were observed in marsupial synteny block regions for NFATc1, monotremes for NFATc2, amphibians for NFATc3, and amphibians and reptiles for NFATc4. Upon extrapolation of paleontological evidence²¹, our findings may be associated with the diversification of vertebrates. The major chromosomal rearrangements in fish occurred during the middle Paleozoic, around 416 Mya, during fish diversification. Then, rearrangements in the clade containing NFATc3 and NFATc4 arose during the late Paleozoic, around 330 Mya, in the reptile and amphibian diversification. Finally, rearrangements in the clade containing NFATc1 and NFATc2 occurred throughout the middle and late Mesozoic, around 95–150 Mya in the mammalian evolution. This chromosomal rearrangement-related evolution hypothesis was consistent with our results, thereby indicating the relationships between NFAT gene duplications and divergence time in vertebrates (Fig. S2). The exact role of chromosome rearrangements should be further elucidated by investigating the differences in rearrangement rates among divergent lineages.

A positive selection for all NFATs, except NFATc4. Deleterious mutations are purged due to purifying selection, and conversely, beneficial mutations are fixed in the population⁵⁶. We investigated the role of natural selection on NFATs across vertebrates by evaluating the fixation probability as the ratio of non-synonymous (N: altering amino acid sequences) and synonymous (S: no amino acid change) substitutions ($w = dN/dS$).

Immune genes are subject to selective pressure to resist pathogenic attacks⁵⁷ and are frequently associated with their adaptive evolution⁵⁸. Positive selection was found for NFATc1–c3 and NFAT5 (Table 1), suggesting the incorporation of structural changes in vertebrates. Consistently, a weak but significant correlation between the chromosome ratio and fixation probability was observed in positively selected NFATs ($R^2 = 0.44$, $p = 0.04$, Fig. 2C). Genes transported to new chromosomal locations frequently undergo positive selection⁵⁹, hence, chromosomal rearrangements, at least in NFATc1–c3 and NFAT5, might play a role in the fixation of structural changes among vertebrates, as observed in other taxa^{58,60}.

Conversely, a purifying selection was observed for NFATc4 (Table 1). The purifying selection observed in many genes, including immune-related genes⁶¹, is responsible for sequence conservation and gene function preservation, whereas it may reduce genetic diversity⁶². The conserved structural changes in NFATc4 could be related to the appearance of pathogens affecting various vertebrate species^{57,60}, although the loss of gene diversity of NFATc4 across vertebrates might weaken disease resistance⁶³.

In conclusion, the strikingly parallel evolutionary history of NFATs across vertebrates suggests a remarkable association between NFAT evolution and immune system development in vertebrates. Our present findings are promising for encouraging additional research on the evolution-related diversification of NFAT function by incorporating novel molecular analysis technologies and increasing data resources.

Methods

Data acquisition. Protein and transcript sequences were obtained from National Center for Biotechnology Information (NCBI), Protein Data Bank, UniProt, and Protein Data Bank Japan. Additional sequences for alternative splicing were also obtained from the human transcriptome database (Supplementary file 1). The

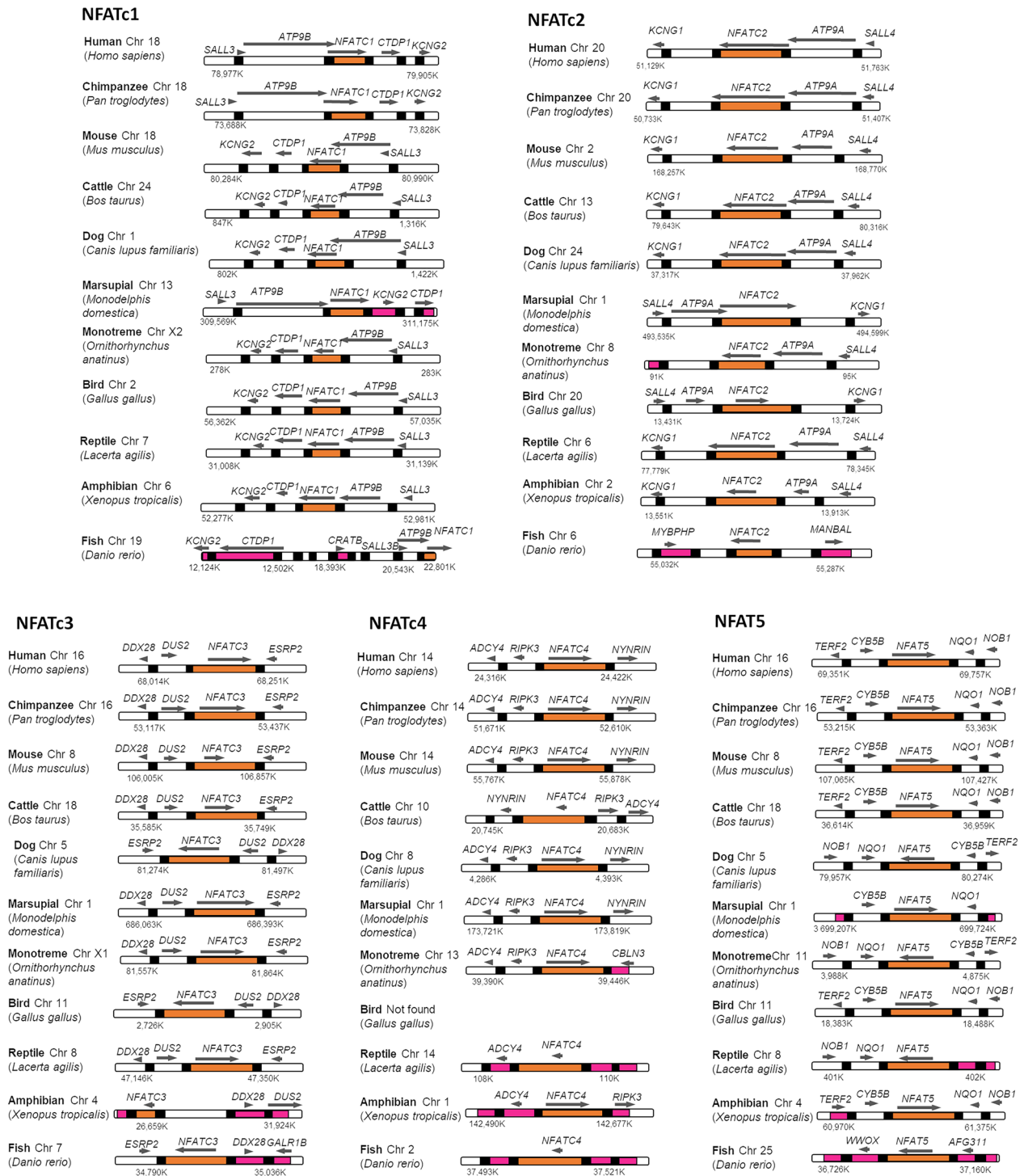


Figure 3. Multispecies comparison of syntenic region of the NFAT genes along the chromosomes. Black rectangle represents the start and/or end of each gene. The NFAT gene region and the evolutionary breaking points are indicated by orange and pink, respectively. The arrow indicates the directionality of the gene region (5'–3').

analysis was performed based on the basic local alignment search tool (BLAST) homology sequences among five major vertebrate taxa (mammals, fish, birds, reptiles, and amphibians, Supplementary files 2–6). The BLASTp algorithm was used to screen proteins using the default settings. All BLAST hits were filtered, and sequences with an e-value < 0.1 and percent identity > 80% were retained. Invertebrate sequences with a percentage identity of > 60% on the domains of the proteins were selected. Candidate sequences were subjected to the tBLASTn algorithm against the database to confirm sequence identity. Transcript data (e-value threshold < 1) were selected using default settings of the NCBI data filter. Short sequences and those with ambiguous names were discarded.

	Mean	SEM	<i>p</i> -value
NFATc1	1.0712	0.049	0.0012
NFATc2	1.1254	0.059	0.0053
NFATc3	1.1060	0.027	0.0056
NFATc4	0.8544	0.110	0.0022
NFAT5	1.0936	0.013	0.0010

Table 1. Fixation probability of NFATs across vertebrates. The fixation probability was evaluated as the ratio (w) of nonsynonymous (N) and synonymous (S) substitutions (dN/dS). The data are expressed as the means, standard errors of the mean (SEMs), and the p -values obtained from the LRT of each pairwise comparison for each NFAT.

Phylogenetic analysis. We analyzed the evolution of NFAT by constructing a protein phylogeny using maximum likelihood (ML) and Bayesian approaches. We aligned 3896 protein sequences of 372 species (Table S1) using Multiple Alignment using Fast Fourier Transform (MAFFT) v.7.487⁶⁴ with default parameters under the WAG evolutionary model (ProtTest v.2.4⁶⁵). Samples with large aminoacidic sequences were trimmed for uniform alignment. The Gblocks software⁶⁶ was used to delete highly divergent regions, which were either unambiguously aligned or saturated by multiple substitutions for more than two samples per species. We obtained an average alignment of 1320 amino acids (± 5 amino acids), representing 97% of the total length of the protein. ML was performed using Randomized Axelerated Maximum Likelihood (RAXML) v.8.2.12⁶⁷ with 10,000 bootstrap iterations. A Bayesian search was conducted in MrBayes v.3.1.2⁶⁸ with 10⁶ generations, and every 2,500 generations were sampled using default priors. Convergence of the run was reached once the likelihood scores formed an asymptote, and the average standard deviation of the split frequencies remained < 0.01 . Before convergence, all trees were discarded and the node support was evaluated using a majority rule consensus. We then combined the data after the construction of the phylogeny for each NFAT separately. We used NF- κ B samples from humans (NP_001369555.1), rats (XP_038959153.1), fish (QJQ40089.1), mollusks (AKC01669.1), and copepods (AGS12619.1) (Supplementary file 1) as outgroups on the basis of RHD region similarities proposed in evolutionary diversification studies (11). An incongruence length difference test (ILD,⁶⁹) was conducted to evaluate the congruence of tree topologies between ML and Bayesian using tree analysis with new technology (TNT⁷⁰). The ILD test revealed no significant differences in terms of tree topologies ($p = 0.91$); therefore, both (ML and Bayesian trees) were interpreted as unison trees for further discussion.

Gene duplication events. We calculated the fraction of gene duplications (duplication ratio) by dividing the number of duplicate nodes by the total number of nodes in the phylogenetic trees of the NFATs. We analyzed pairwise orthologous relationships using the default settings of OrthoFinder v.2.3.2⁷¹. Briefly, orthologs were determined as reciprocal best hits obtained by BLAST in the tree, and gene duplication events were estimated using a duplication-loss-coalescent model⁷². Quartile analysis was employed to explore the duplication ratio variation among vertebrates using custom scripts in Python. We employed an analysis of variance (ANOVA) test in R⁷³ to evaluate differences in the fractions of gene duplication among vertebrate taxa. Additionally, we employed a Pearson correlation coefficient (R^2) between the gene duplication fraction and evolutionary divergence time of vertebrates proposed by fossil records²¹ using scikit-learn in Python⁷⁴.

Alternative splicing. We obtained 459 transcript samples belonging to 236 species from the databases mentioned in Table S2. We used Trinity v.2.12⁷⁵ to assemble the transcript data and estimate isoform abundance using the align_and_estimate_abundance.pl in Trinity. Alternative splicing has been reported in the NFATs of human and mouse species³⁸. Further, to estimate splicing isoforms in other vertebrate taxa, we first assembled human and mouse transcripts. With reference to the human-mouse assembly, transcripts from other vertebrates were aligned using local alignment settings in Bowtie2 v.2.4.4⁷⁶ and sorted using Samtools v.1.13⁷⁷.

Sequence similarity ($> 95\%$ BLAST homology) among different tissues has been observed in several mammalian species (human, mouse, pig, and cow), hence, one sequence per tissue was selected as a representative to remove tissue transcript-specific bias. The alternative splicing ratio of NFAT was calculated by dividing the number of alternative splicing isoforms by the total number of transcripts. Statistical differences in the splicing ratio among vertebrate taxa were evaluated using ANOVA with Dunnett's method. A p -value < 0.05 was set to indicate the statistical significance.

Chromosome evolution. We explored NFAT gene locations across the chromosomes of 563 vertebrate samples curated from NCBI excluding the ambiguously named samples. The chromosome ratio was calculated by dividing the number of NFAT gene-containing chromosomes by the total chromosome number for each taxon (Table S3). R^2 between the chromosome and gene duplication ratios was calculated using scikit-learn in Python.

The synteny block within chromosomes was examined in representative vertebrate species, including humans (*Homo sapiens*), chimpanzees (*Pan troglodytes*), mice (*Mus musculus*), cattle (*Bos taurus*), dog (*Canis lupus familiaris*), marsupial (*Monodelphis domestica*), monotreme (*Ornithorhynchus anatinus*), bird (*Gallus gallus*), reptile (*Lacerta agilis*), amphibian (*Xenopus tropicalis*), and fish (*Danio rerio*). We assessed orthologous genes using the Ensembl Compara database⁷⁸ and visualized the synteny using Genomicus v.100.1⁷⁹. EBPs displaying

a disrupted order between the region of NFATs and their neighboring genes were identified using the order in humans as reference. However, gene directionality was not considered.

Natural selection analysis. Genomic NFAT sequences in representative vertebrate species as described above were extracted from the whole genome using the Genome Region Assembly by Baiting (GRABB) software⁸⁰. Candidate sequences were subjected to the BLASTx algorithm against the database to confirm sequence identity. These sequences were used to evaluate the fixation probability of each NFAT as the ratio (w) of nonsynonymous and synonymous substitutions by calculating pairwise comparisons between vertebrate taxa (mammal-reptile, mammal-fish, etc., Supplementary file 1) using a codon substitution model implemented in the codeml package from Phylogenetic Analysis Using Maximum Likelihood (Paml) software v.4⁸¹. The values of $w = 1$, < 1 , and > 1 indicate neutral evolution, purifying selection, and positive selection, respectively. A likelihood-ratio test (LRT) was implemented to estimate the significance in the form of a p -value.

To evaluate the contribution of different fractions (gene duplication, alternative splicing, and chromosome rearrangement) in positive selection, we employed linear models in scikit-learn in Python. The significance of the computed partial correlation coefficients was assessed using a bootstrap analysis.

Data availability

Nuclear factor of activated T cells (NFAT) plays an important role in the immune system by regulating the transcription of multiple cytokines. All study data are included in the article and/or in the supporting information. Analyses were performed using the aforementioned software and custom Python (v.3) scripts, available at <https://github.com/maribetg/NFAT-evolution>.

Received: 8 August 2022; Accepted: 18 April 2023

Published online: 08 May 2023

References

- Macian, F. NFAT proteins: Key regulators of T-cell development and function. *Nat. Rev. Immunol.* **5**, 472–484 (2005).
- Rao, A., Luo, C. & Hogan, P. G. Transcription factors of the NFAT family: Regulation and function. *Annu. Rev. Immunol.* **15**, 707–747 (1997).
- Hogan, P. G., Chen, L., Nardone, J. & Rao, A. Transcriptional regulation by calcium, calcineurin, and NFAT. *Genes Dev.* **17**, 2205–2232 (2003).
- Ho, S. N. The role of NFAT5/TonEBP in establishing an optimal intracellular environment. *Arch. Biochem. Biophys.* **413**, 151–157 (2003).
- Vaeth, M. & Feske, S. NFAT control of immune function: New frontiers for an abiding trooper. *F1000Res* **7**, 260 (2018).
- Okamura, H. *et al.* Concerted dephosphorylation of the transcription factor NFAT1 induces a conformational switch that regulates transcriptional activity. *Mol. Cell.* **6**, 539–550 (2000).
- Serfling, E. *et al.* The role of NF-AT transcription factors in T cell activation and differentiation. *Biochim. Biophys. Acta Mol. Cell. Rese.* **1498**, 1–18 (2000).
- Shou, J. *et al.* Nuclear factor of activated T cells in cancer development and treatment. *Cancer Lett.* **361**, 174–184 (2015).
- Kitamura, N. & Kaminuma, O. Isoform-selective NFAT inhibitor: Potential usefulness and development. *Int. J. Mol. Sci.* **22**, 2725 (2021).
- Kitamura, N. *et al.* Identification of novel interacting regions involving calcineurin and nuclear factor of activated T cells. *FASEB J.* **34**, 3197–3208 (2020).
- Graef, I. A., Gastier, J. M., Francke, U. & Crabtree, G. R. Evolutionary relationships among Rel domains indicate functional diversification by recombination. *Proc. Natl. Acad. Sci. USA* **98**, 5740–5745 (2001).
- Song, X., Hu, J., Jin, P., Chen, L. & Ma, F. Identification and evolution of an NFAT gene involving *Branchiostoma belcheri* innate immunity. *Genomics* **102**, 355–362 (2013).
- Wu, H., Peisley, A., Graef, I. A. & Crabtree, G. R. NFAT signaling and the invention of vertebrates. *Trends Cell Biol.* **17**, 251–260 (2007).
- Jin, L. *et al.* The evolutionary relationship between gene duplication and alternative splicing. *Gene* **427**, 19–31 (2008).
- Damas, J., Corbo, M. & Lewin, H. A. Vertebrate chromosome evolution. *Annu. Rev. Anim. Biosci.* **9**, 1–27 (2021).
- Mitsis, T. *et al.* Transcription factors and evolution: An integral part of gene expression (Review). *World Acad. Sci. J. 2*, 3–8 (2020).
- Fric, J. *et al.* NFAT control of innate immunity. *Blood* **120**, 1380–1389 (2012).
- Keyser, P., Borge-Renberg, K. & Hultmark, D. The Drosophila NFAT homolog is involved in salt stress tolerance. *Insect Biochem. Mol. Biol.* **37**, 356–362 (2007).
- Giribet, G. D., Edgecombe, D. *Perspectives in Animal Phylogeny and Evolution: A Decade Later*. 1st ed. (University of Padova Press, 2019).
- Erwin, D. H. & Davidson, E. H. The last common bilaterian ancestor. *Development* **129**, 3021–3032 (2002).
- Benton, M. J. & Donoghue, P. C. J. Paleontological evidence to date the tree of life. *Mol. Biol. Evol.* **24**, 26–53 (2007).
- Chandler, M., Gellert, M., Lambowitz, A. M., Rice, P. A., & Sandmeyer, S. B. *Mobile DNA III*. (Wiley, 2015).
- Feschotte, C. Transposable elements and the evolution of regulatory networks. *Nat. Rev. Genet.* **9**, 397–405 (2008).
- Koonin, E. V. Viruses and mobile elements as drivers of evolutionary transitions. *Philos. Trans. R Soc. Lond. B Biol. Sci.* **371**, 20150442 (2016).
- Miskin, J. E., Abrams, C. C., Goatley, L. C. & Dixon, L. K. A viral mechanism for inhibition of the cellular phosphatase calcineurin. *Science* **24**, 562–565 (1998).
- de Mendoza, A. & Seb -Pedr s, A. Origin and evolution of eukaryotic transcription factors. *Curr. Opin. Genet. Dev.* **58**, 25–32 (2019).
- Northrop, J. P. *et al.* NF-AT components define a family of transcription factors targeted in T-cell activation. *Nature* **369**, 497–502 (1994).
- Wolfe, S. A. *et al.* Unusual Rel-like architecture in the DNA-binding domain of the transcription factor NFATc. *Nature* **385**, 172–176 (1997).
- Iyer, L. M., Anantharaman, V., Wolf, M. Y. & Aravind, L. Comparative genomics of transcription factors and chromatin proteins in parasitic protists and other eukaryotes. *Int. J. Parasitol.* **38**, 1–31 (2008).
- Flajnik, M. F. & Kasahara, M. Origin and evolution of the adaptive immune system: genetic events and selective pressures. *Nat. Rev. Genet.* **11**, 47–59 (2010).
- Fern ndez, R. & Gabald n, T. Gene gain and loss across the metazoan tree of life. *Nat. Ecol. Evol.* **4**, 524–533 (2020).

32. Minematsu, H. *et al.* Nuclear presence of nuclear factor of activated T cells (NFAT) c3 and c4 is required for Toll-like receptor-activated innate inflammatory response of monocytes/macrophages. *Cell. Signal.* **23**, 1785–1793 (2011).
33. Greenblatt, M. B., Aliprantis, A., Hu, B. & Glimcher, L. H. Calcineurin regulates innate antifungal immunity in neutrophils. *J. Exp. Med.* **207**, 923–931 (2010).
34. Velová, H., Gutowska-Ding, M. W., Burt, D. W. & Vinkler, M. Toll-like receptor evolution in birds: Gene duplication, pseudogenization, and diversifying selection. *Mol. Biol. Evol.* **35**, 2170–2184 (2018).
35. Olivieri, D. N. & Gambón, D. F. Immunoglobulin genes in primates. *Mol. Immunol.* **101**, 353–363 (2018).
36. Outlaw, D. C. *et al.* Molecular evolution in immune genes across the avian tree of life. *Parasitol. Open.* **5**, e3363 (2019).
37. Chen, S., Cheng, A. & Wang, M. Innate sensing of viruses by pattern recognition receptors in birds. *Vet. Res.* **44**, 82 (2013).
38. Vihma, H., Pruunsild, P. & Timmusk, T. Alternative splicing and expression of human and mouse NFAT genes. *Genomics* **92**, 279–291 (2008).
39. Bert, A. G., Burrows, J., Hawwari, A., Vadas, M. A. & Cockerill, P. N. Reconstitution of T cell-specific transcription directed by composite NFAT/Oct elements. *J. Immunol.* **165**, 5646–5655 (2000).
40. Wang, W. *et al.* The roles of Ca²⁺/NFAT signaling genes in Kawasaki disease: Single- and multiple-risk genetic variants. *Sci. Rep.* **4**, 5208 (2014).
41. Fugmann, S. D., Lee, A. I., Shockett, P. E., Villey, I. J. & Schatz, D. G. The RAG proteins and V(D)J recombination: Complexes, ends, and transposition. *Annu. Rev. Immunol.* **18**, 495–527 (2000).
42. David, K. T., Oaks, J. R. & Halanych, K. M. Patterns of gene evolution following duplications and speciations in vertebrates. *PeerJ* **8**, e8813 (2020).
43. Francino, M. P. An adaptive radiation model for the origin of new gene functions. *Nat. Genet.* **37**, 573–578 (2005).
44. Danilova, N. The evolution of adaptive immunity. in *Self and Nonself* (López-Larrea, C. Ed.). 218–235 (Springer, 2012).
45. Müller, M. R. & Rao, A. NFAT, immunity and cancer: A transcription factor comes of age. *Nat. Rev. Immunol.* **10**, 645–656 (2010).
46. Studer, R. A. & Robinson-Rechavi, M. How confident can we be that orthologs are similar, but paralogs differ?. *Trends Genet.* **25**, 210–216 (2009).
47. Huminiecki, L. & Wolfé, K. H. Divergence of spatial gene expression profiles following species-specific gene duplications in human and mouse. *Genome Res.* **14**, 1870–1879 (2004).
48. Prud'homme, B., Gompel, N. & Carroll, S. B. Emerging principles of regulatory evolution. *PNAS* **104**, 8605–8612 (2007).
49. Bartl, S., Baltimore, D. & Weissman, I. L. Molecular evolution of the vertebrate immune system. *Proc. Natl. Acad. Sci. USA* **91**, 10769–10770 (1994).
50. Lallemand, T., Leduc, M., Landès, C., Rizzon, C. & Lerat, E. An overview of duplicated gene detection methods: Why the duplication mechanism has to be accounted for in their choice. *Genes (Basel)* **11**, 1046 (2020).
51. Harewood, L. & Fraser, P. The impact of chromosomal rearrangements on regulation of gene expression. *Hum. Mol. Genet.* **23**, R76–R82 (2014).
52. Trowsdale, J. The gentle art of gene arrangement: the meaning of gene clusters. *Genome Biol.* **3**, comment2002.1 (2002).
53. Murphy, W. J. *et al.* Dynamics of mammalian chromosome evolution inferred from multispecies comparative maps. *Science* **309**, 613–617 (2005).
54. Hinsch, H. & Hannenhalli, S. Recurring genomic breaks in independent lineages support genomic fragility. *BMC Evol. Biol.* **6**, 90 (2006).
55. Sacerdot, C., Louis, A., Bon, C., Berthelot, C. & Roest, C. H. Chromosome evolution at the origin of the ancestral vertebrate genome. *Genome Biol.* **19**, 166 (2018).
56. Kimura, M. On the probability of fixation of mutant genes in a population. *Genetics* **47**, 713–719 (1962).
57. Bustamante, C. D. *et al.* Natural selection on protein-coding genes in the human genome. *Nature* **437**, 1153–1157 (2005).
58. Downing, T., Cormican, P., O'Farrelly, C., Bradley, D. G. & Lloyd, A. T. Evidence of the adaptive evolution of immune genes in chicken. *BMC Res. Notes* **2**, 254 (2009).
59. Han, M. V., Demuth, J. P., McGrath, C. L., Casola, C. & Hahn, M. W. Adaptive evolution of young gene duplicates in mammals. *Genome Res.* **19**, 859–867 (2009).
60. Schlenke, T. A. & Begun, D. J. Natural selection drives drosophila immune system evolution. *Genetics* **164**, 1471–1480 (2003).
61. Slodkovic, G. & Goldman, N. Integrated structural and evolutionary analysis reveals common mechanisms underlying adaptive evolution in mammals. *PNAS* **117**, 5977–5986 (2020).
62. Cvijović, I., Good, B. H. & Desai, M. M. The effect of strong purifying selection on genetic diversity. *Genetics* **209**, 1235–1278 (2018).
63. Spielman, D., Brook, B. W., Briscoe, D. A. & Frankham, R. Does inbreeding and loss of genetic diversity decrease disease resistance?. *Conserv. Genet.* **5**, 439–448 (2004).
64. Katoh, K. & Standley, D. M. MAFFT multiple sequence alignment software version 7: Improvements in performance and usability. *Mol. Biol. Evol.* **30**, 772–780 (2013).
65. Abascal, F., Zardoya, R. & Posada, D. ProtTest: Selection of best-fit models of protein evolution. *Bioinformatics* **21**, 2104–2105 (2005).
66. Castresana, J. Selection of conserved blocks from multiple alignments for their use in phylogenetic analysis. *Mol. Biol. Evol.* **17**, 540–552 (2000).
67. Stamatakis, A. RAxML version 8: A tool for phylogenetic analysis and post-analysis of large phylogenies. *Bioinformatics* **30**, 1312–1313 (2014).
68. Ronquist, F. & Huelsenbeck, J. P. MrBayes 3: Bayesian phylogenetic inference under mixed models. *Bioinformatics* **19**, 1572–1574 (2003).
69. Farris, J. S., Källersjö, M., Kluge, A. G. & Bult, C. Testing significance of incongruence. *Cladistics* **10**, 315–319 (1994).
70. Nixon, K. C. The Parsimony Ratchet, a new method for rapid parsimony analysis. *Cladistics* **15**, 407–414 (1999).
71. Emms, D. M. & Kelly, S. OrthoFinder: Phylogenetic orthology inference for comparative genomics. *Genome Biol.* **20**, 238 (2019).
72. Wu, Y.-C., Rasmussen, M. D., Bansal, M. S. & Kellis, M. Most parsimonious reconciliation in the presence of gene duplication, loss, and deep coalescence using labeled coalescent trees. *Genome Res.* **24**, 475–486 (2014).
73. R Core Team. *R: A Language and Environment for Statistical Computing*. <http://www.R-project.org/> (R Foundation for Statistical Computing, 2018).
74. Pedregosa, F. *et al.* Scikit-learn: Machine learning in Python. *J. Mach. Learn. Res.* **12**, 2825–2830 (2011).
75. Grabherr, M. G. *et al.* Full-length transcriptome assembly from RNA-Seq data without a reference genome. *Nat. Biotechnol.* **29**, 644–652 (2011).
76. Langmead, B. & Salzberg, S. L. Fast gapped-read alignment with Bowtie 2. *Nat. Methods* **9**, 357–359 (2012).
77. Li, H. *et al.* The sequence alignment/map format and SAMtools. *Bioinformatics* **25**, 2078–2079 (2009).
78. Herrero, J. *et al.* Ensembl comparative genomics resources. *Database (Oxford)* **2016**, bav096 (2016).
79. Nguyen, N. T. T., Vincens, P., Roest, C. H. & Louis, A. Genomicus 2018: Karyotype evolutionary trees and on-the-fly synteny computing. *Nucleic Acids Res.* **46**, D816 (2018).
80. Brankovics, B. *et al.* GRAB: Selective assembly of genomic regions, a new niche for genomic research. *PLoS Comput. Biol.* **12**, e1004753 (2016).
81. Yang, Z. PAML 4: Phylogenetic Analysis by Maximum Likelihood. *Mol. Biol. Evol.* **24**, 1586–1591 (2007).

Acknowledgements

The computational analysis was supported under the High Performance Computing Infrastructure (HPCI) research project category by the Research Institute for Information Technology, Kyushu University. We are grateful to Shigehiro Kuraru and Juan C. Opazo for their suggestions to improve the data analysis and Norisama Yamasaki, Shuka Miura, Naoto Uda, and Sawako Ogata in the Department of Disease Model, Research Institute of Radiation Biology and Medicine, Hiroshima University, for their excellent technical assistance and advice. This study was also supported by the Terumo Life Science Foundation (#20-III403).

Author contributions

O.K. conceptualized the study; M.G., and O.K. designed research; M.G., N.K., and S.N. performed research and analyzed the data; M.G., K.M., and O.K. wrote the paper and prepared figures and tables. All authors reviewed the manuscript.

Competing interests

The authors declare no competing interests.


Additional information

Supplementary Information The online version contains supplementary material available at <https://doi.org/10.1038/s41598-023-33751-6>.

Correspondence and requests for materials should be addressed to M.G. or O.K.

Reprints and permissions information is available at www.nature.com/reprints.

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

 **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/4.0/>.

© The Author(s) 2023