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Accurate and fast identification of minimally prepared bacteria phenotypes using Raman spectroscopy assisted by machine learning

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The worldwide increase of antimicrobial resistance (AMR) is a serious threat to human health. To avert the spread of AMR, fast reliable diagnostics tools that facilitate optimal antibiotic stewardship are an unmet need. In this regard, Raman spectroscopy promises rapid label- and culture-free identification and antimicrobial susceptibility testing (AST) in a single step. However, even though many Raman-based bacteria-identification and AST studies have demonstrated impressive results, some shortcomings must be addressed. To bridge the gap between proof-of-concept studies and clinical application, we have developed machine learning techniques in combination with a novel data-augmentation algorithm, for fast identification of minimally prepared bacteria phenotypes and the distinctions of methicillin-resistant (MR) from methicillin-susceptible (MS) bacteria. For this we have implemented a spectral transformer model for hyper-spectral Raman images of bacteria. We show that our model outperforms the standard convolutional neural network models on a multitude of classification problems, both in terms of accuracy and in terms of training time. We attain more than 96% classification accuracy on a dataset consisting of 15 different classes and 95.6% classification accuracy for six MR–MS bacteria species. More importantly, our results are obtained using only fast and easy-to-produce training and test data.

While some health crises, such as the corona pandemic, are unforeseeable and require immediate measures, others are slow to develop, intractable in nature, but may in time become a larger threat to human health^{1,2}. An example of the latter is antimicrobial resistance (AMR)^{3–6}. AMR occurs when microbes, such as bacteria and fungi survive exposure to compounds that would normally inhibit their growth or kill them. This drives a process of selection, allowing strains with resilience to grow and spread. Although AMR is a naturally occurring process, it is dramatically accelerated by selective pressures such as overuse of antimicrobials^{7–11}. Conventional techniques used for identifying AMR in bacteria are disk diffusion test, epsilometer test, and microdilution, which require culturing and can take days^{12,13}. The long processing time of these techniques can be life threatening to the infected patient, but is also problematic, since the pathogenic bacteria might spread and infect more people. Therefore it is common practice to prescribe broad spectrum antibiotics to patients, which leads to unnecessary treatment¹⁴. Thus, the already widespread and increasing inadequacy of antimicrobial therapy, is attributed to the overuse of antimicrobials in healthcare and agriculture^{5,8,15}. In 2019, the World health organisation (WHO) declared AMR as “one of the 10 biggest global public health threats facing humanity” and according to a report released by the UN ad hoc Interagency Coordinating Group on Antimicrobial Resistance (IACG), if no action is taken, antimicrobial resistant pathogens could annually cause 10 million deaths by 2050².

To mitigate the potential disaster of a post antibiotic era, organisations such as WHO and IACG, are calling for the development of fast point-of-care diagnostic that will facilitate treatment with targeted antimicrobials^{1,5}. To achieve this many different technologies have been studied^{12,16–19}. One very promising technology is Raman

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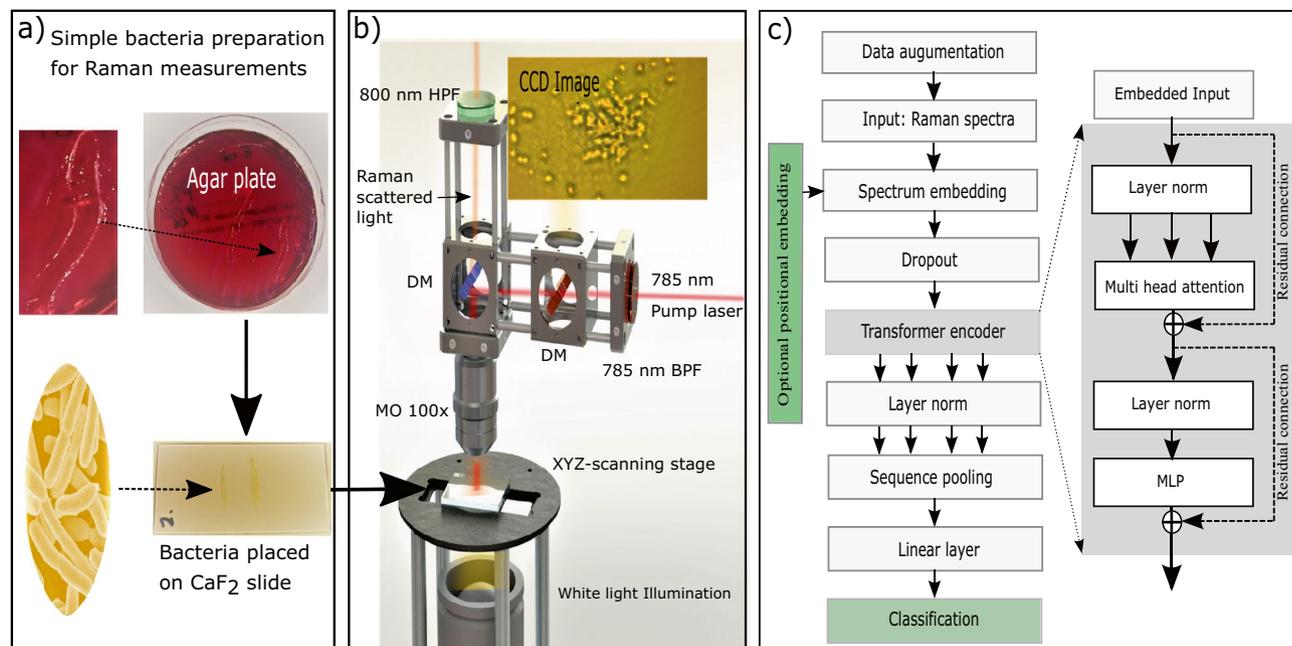


Figure 1. Overview of hardware (Raman microscope) and software (spectral-transformer architecture). **(a)** The simple sample preparation of bacteria, where the bacteria from the agar plates are simply transferred directly to the CaF_2 objective slides and then measured. The process of transferring and finding the bacteria takes less than one minute. **(b)** Schematic of the home-built Raman microscope. The Raman microscope uses an excitation wavelength of 785 nm, since it has been found to be optimal for identifying bacteria, as it largely avoids fluorescence, and still gives a sufficiently high Raman signal to enable detection by a CCD at a reasonable signal-to-noise ratio (SNR). A 100 \times microscope objective (MO) is used for focusing the excitation laser (spot size $\sim 1 \mu\text{m}$), collection of the Raman scattered light, and visual imaging. Raster scanning is achieved with an automated XYZ stage. A dichroic mirror (DM) (high pass 750 nm) is used to couple the visible illumination light to a CCD for imaging and localizing bacteria, while another DM (high pass 805 nm) separates the Raman scattered light from the pump. An additional high pass filter (HPF, 800 nm) and a band pass filter (BPF, 785 nm \pm 10 nm) is used for filtering of the 785 nm pump. The in-built microscope has a field-of-view of approximately $60 \mu\text{m} \times 60 \mu\text{m}$, and Raman spectra are collected at a wavenumber shift of 700–1600 cm^{-1} by a Horiba spectrometer. **(c)** The block diagram of the developed machine learning tool. The spectral transformer (ST) consists of an optional positional embedding layer, followed by a dropout layer. The next layer is a transformer-encoder block that sequentially contains, layer normalisation, multihead attention, layer normalisation, and then a multilayer perceptron (MLP) with a GELU non-linearity. The transformer-encoder output is followed by layer normalisation and a sequence pooling layer. Finally, the output layer is a fully connected linear layer.

spectroscopy (RS). RS is a technique based on inelastic scattering that occurs when photons collide with molecules and allows for unique signal decomposition for a wide range of molecules²⁰. Importantly, RS has the advantage of being fast, low-cost, label-free, and does not necessarily require pre-analytical cultivation. Several studies have shown that the capabilities of RS can be significantly strengthened when assisted by chemometric tools and machine learning (ML)^{19,21–31}. Yet, some shortcomings must be addressed before it will be a viable platform for reliable bacteria identification and point-of-care diagnostics applications. Foremost, RS is sensitive to factors such as the growth stage of the analysed cells, changes in measurement environment and inconsistency in sample preparation²³. Therefore, it is convenient to prepare samples in a way that reduce the difficulty of classification. Approaches such as preparing single bacteria or monolayer mats of bacteria are unfortunately complex and require expertise, custom equipment, and can take hours^{25,32,33}. Moreover, inconsistencies in sample preparation may cause changes to Raman spectra, necessitating more data for ML models to capture the breadth of variations needed to reach clinically relevant accuracies¹⁹. Furthermore, RS bacteria studies dealing with patient samples are rare and it cannot be assumed that using data from laboratory cultured samples will allow for accurate identification of genuine patient samples. Additionally, there is little to no endorsement of standards for Raman measurement parameters and sample preparation methods- and parameters^{22,23}. This lack, stupendously impedes consolidation of databases, slowing the aggregation of big-data that could be used for clinical applications. To reach clinically relevant accuracies using RS, these issues must be addressed and solving them all will require collective effort.

In this work, we focus on addressing the issues of simple sample preparation and changes in measurement environment³⁴. We reduce sample preparation to merely transferring the bacteria to the measurement environment (as shown in Fig. 1a), minimising the issue of sample inconsistency. This procedure comes with the additional benefit of removing sample preparation as an inhibiting parameter for data consolidation. Moreover, to alleviate the situation of limited data availability for ML model training, we have developed a novel spectral transformer (ST) ML model that is efficient after training on both small- and large RS bacteria datasets. To

feed the ST with good representative training data we have developed a novel data augmentation algorithm, henceforth known as `NoiseMix`. We demonstrate that our ST model in conjunction with `NoiseMix` allows for accurate classification of both single bacteria and multilayer mats of bacteria all in one go, while importantly only relying on fast- and easy-to-produce training data acquired on thick multilayer mats of bacteria. To our knowledge, this is a completely new approach for acquiring training data and subsequently classification of bacteria using RS assisted by ML. Explicitly, we demonstrate the capabilities of our developed ST ML model and `NoiseMix` on a dataset consisting of 12 classes of bacteria from minimally prepared bacteria samples and 3 non-bacteria classes. We find that `NoiseMix` improves the average classification accuracy by 12.9% for the four different tests compared to only utilising class balancing and slope removal. Further, we demonstrate that the ST model can distinguish between antibiotic resistant- and susceptible phenotypes, i.e. MR *S. epidermidis* (MRSE), MS *S. epidermidis* (MSSE), 2 types of MR *S. aureus* (MRSA), and two types of MS *S. aureus* (MSSA). We obtain identification accuracies of 97.7% and 94.6% between MRSE-MSSE and MRSA-MSSA isolates, respectively. In addition to identifying minimally prepared samples, we perform detailed benchmark tests of the ST by comparing it with a convolutional neural network (CNN) developed in the work by Ho. et al. on multiple RS bacteria datasets²⁵. We find that our ST model significantly outperforms the CNN model in terms of computation time, which is improved by one order of magnitude, and that it generally outperforms the CNN model in terms of classification accuracy, for which we achieve an improvement of 7.5 % compared to the reference CNN model²⁵.

Results

A home-built Raman microscope is used to acquire training and validation datasets of minimally prepared bacteria samples. The schematics of our Raman microscope for acquiring Raman hyper-spectral maps is shown in Fig. 1b. The reason for using a home-built system is that it gives us the possibility to optimize the Raman microscopes signal-to-noise ratio (SNR) and tailor the system to the task of detecting bacteria. Hereby we can acquire Raman spectra using very short measurement times down to 0.1 second and also have a relatively cheap system compared to commercial Raman microscopes. For more details about the microscope and spectrometer, see the Methods section.

Fast- and simple training data acquisition. Successful classification of bacteria using RS and ML relies heavily on having a large training database to be used in the model training- and validation steps. The collection of data therefore often becomes as important as ML algorithms themselves, since over- or underrepresented data will lead to biased predictions. If RS is to be considered for fast in-situ diagnostic applications, the complexity and time cost of sample preparation must be significantly reduced^{34–36}. To explore how much we can simplify and reduce sample preparation time- and complexity, we experimented with simply transferring bacteria samples from a bacterial monoculture directly to a CaF₂ objective slide followed by Raman raster-scan measurements. This approach causes the depth of the bacterial samples to naturally vary from mono- to multilayer-deep mats, causing large variations in the intra-sample SNR³². Training data maps produced in this manner necessitates manual segmentation, as the maps may contain areas without bacteria (background). To avoid the need for manual segmentation, we instead produce training data exclusively from measurements of multilayer bacterial mats. However, data originating from measurements of multilayer bacterial mats has a limited SNR distribution compared to data acquired from bacterial mono- to multilayers. With the purpose of synthetically recreating the natural variances that may appear in test data, we produce training data by varying the spectroscopy integration time from 0.1 to 1 seconds (10 averages for each acquisition). With this process, and an automated Raman spectroscopy setup (see Methods), we acquire several thousands of training spectra a day. Our final reference bacteria database contains in excess of 5200 raw Raman spectra for each of the 12 bacterial species and 3 non-bacteria species. All raw data is linearly pre-processed by a simple procedure (see Methods) before being used for either data augmentation, model training or model prediction.

Data augmentation using `NoiseMix`. With inspiration from computer vision wherein “extra” training data is often augmented for example by rotating, flipping, blurring, or adding white noise to images, we have developed a data augmentation algorithm (`NoiseMix`) that allows us to synthetically create additional training data and thereby enhance model generalization and performance. The `NoiseMix` augmentation algorithm (see Supplementary Material for technical details), works by taking fast and easy to produce Raman spectra from multilayer bacterial mats, and then mixing in data with even more “noise” of both the measurement surface/environment, and noise data from measurements in the environment. In addition to increasing the quantity of training-data examples, `NoiseMix`, as implemented here, brings two further advantages. Firstly, it allows a synthetic extension of the RS dataset towards the region of lower SNR distributions. In this sense, training data with an arbitrarily low SNR can in principle be realized, although the SNR is in practice kept above a certain minimum value to avoid inclusion of training examples consisting of pure noise. Remarkably, we find that the `NoiseMix` augmentation algorithm allows high-accuracy identification of single bacteria although the original training examples are exclusively gathered from multilayer bacterial mats. Secondly, the `NoiseMix` algorithm provides a means to leverage all data of class-imbalanced datasets by ensuring that all classes are represented by the same amount of data in each training epoch.

Raman identification of bacteria with the spectral transformer. Bacteria identification using RS has in recent years experienced a significant performance gain as deep-learning techniques such as residual connections and CNNs have proved more capable than more classical supervised learning methods such as logistic regression and support vector machines^{25,37,38}. To improve even further upon this, we have developed an attention-based deep-learning model inspired by current state-of-the-art in computer vision and natural language

a) Confusion matrix for 12 classes of bacteria and 3 non-bacteria classes

	E. coli 25922	E. coli 35218	M. luteus	MRSE	MSSE	S. lugdunensis	S. haemolyticus	S. pettenkoferi	MRSA 252	MRSA 4951	MSSA 4699	MSSA 2752	Agar	Polystyrene	CaF ₂	
E. coli 25922	.921	.079	0	0	0	0	0	0	0	0	0	0	0	0	0	.893
E. coli 35218	.073	.921	0	0	.006	0	0	0	0	0	0	0	0	0	0	.441
M. luteus	0	0	1.00	0	0	0	0	0	0	0	0	0	0	0	0	.748
MRSE	0	0	0	.957	.043	0	0	0	0	0	0	0	0	0	0	.362
MSSE	0	.001	0	.002	.997	0	0	0	0	0	0	0	0	0	0	.482
S. lugdunensis	0	0	0	0	0	1.00	0	0	0	0	0	0	0	0	0	0
S. haemolyticus	0	0	0	0	0	0	.960	.001	0	0	.038	.001	0	0	0	.486
S. pettenkoferi	0	0	0	0	0	0	0	1.00	0	0	0	0	0	0	0	0
MRSA 252	.046	0	0	0	0	0	0	0	.953	0	0	.001	0	0	0	.406
MRSA 4951	0	0	0	0	0	0	0	0	0	.993	.007	0	0	0	0	0
MSSA 4699	.054	0	0	0	0	0	.008	.015	0	.057	.866	0	0	0	0	0
MSSA 2752	0	0	0	0	0	0	0	0	0	0	.030	.970	0	0	0	.434
Agar	.014	0	0	0	0	0	0	0	0	0	.001	0	.985	0	0	0
Polystyrene	0	0	0	0	0	0	0	0	0	0	0	0	0	1.00	0	0
CaF ₂	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.00	0

b) Classification accuracy of models on RS dataset of subjects on CaF₂ surfaces.

Model	Accuracy, density (percent)	
	Bacteria-surface + NoiseMix	Bacteria-surface
CNN, AdamW	88.56, 62.48	61.90, 43.61
ST(1,2,7), AdamW	92.91, 62.22	85.18, 53.39
ST-pe(1,10,3), AdamW	94.23, 61.97	85.78, 52.84
ST-pe(1,10,3)*, AdamW	96.11 , 62.16	87.35 , 54.44

All models were trained and tested on 10 100% train splits for each task. The reported accuracy is the average over these splits.

c) Classification accuracies of models trained on different Raman spectroscopic datasets of bacteria.

Model	Average classification accuracies (percent)		
	Bacteria ID 1	Bacteria ID 2	E. coli binary
CNN, Adam	82.97	74.14	96.95
CNN, AdamW	82.74	76.9	97.41
ST(1,2,7), AdamW	83.33	77.19	99.22
ST-pe(1,10,3), AdamW	82.83	77.42	99.71

All reported accuracies are the average of 10 (9/1) (train/validation) splits on each task.

Figure 2. Performance overview of bacteria identification with the ST model and NoiseMix algorithm. (a) Shows the confusion matrix obtained for the classification task including 12 bacterial- and 3 non-bacterial classes (agar, polystyrene, and CaF₂). The CaF₂-classification column (to the right) contain non-zero elements as the sample surfaces were in some cases only partly covered by bacteria. For this reason, the non-bacterial classes are greyed out as they are not included in the bacterial identification accuracy. (b) Shows a comparison in performance between four different ML models trained both with and without applying NoiseMix. The results displayed in the confusion matrix are obtained using the ST-pe(1,10,3)* model trained using a batch size of 300 and the AdamW optimizer. The other three models are also trained using the AdamW optimizer but with a smaller batch size of 100. The model accuracies (and densities) represent averages over 10 training splits. In (c) we show the results of a benchmark test between the CNN- and the ST models when applied to three different classification tasks. The three datasets are described in the Supplementary Material. In this case the reported accuracies represent the average of 10 runs using a training/validation split of 90%/10%.

programming. The ST model (sketched in Fig. 1c and explained in more detail in Methods) is a compact version of the standard transformer encoder³⁹, but differs by using sequence pooling to map the sequential outputs of the transformer to a singular class.

Our ST model architecture is initially parameterized by three arguments ST(-pe)(*i*, *j*, *k*), where *i* is the depth of the transformer encoder, *j* is the number of heads in the multi-head attention layer, *k* is the multilayer perceptron ratio, and the inclusion of -pe signifies an optional positional embedding. The three arguments were treated as additional hyperparameters of our model and were selected using a Tree-structured Parzen Estimator, using one training and validation split on the isolate classification task²⁵, i.e. we did not use our own RS data to fit our model architecture to the task at hand.

Our main results are summarized in Fig. 2, with a displaying the confusion matrix of the 15-class (12 bacteria and 3 non-bacteria) classification task. An overall accuracy in excess of 96% is achieved over the 12 bacteria classes using an ST-pe(1,10,3) model trained using the AdamW optimizer and applying NoiseMix. Figure 2b breaks down a comparison in accuracy between multiple different ML models, with and without applying NoiseMix, on the same 15-class classification task. We observe that augmenting training data with NoiseMix significantly improves model performance in the test phase for both the three ST models and the reference CNN model, and we find that both ST model architectures outperform the reference CNN model on our 15-class dataset.

In addition to model accuracy (given as ratio of correct bacteria classifications to total number of bacteria classifications) we also report a density metric in Fig. 2b. The density (or bacteria coverage) is defined as the ratio of bacteria classifications to the total amount of classifications made in each test. This metric is included in our case because part of our test data for some bacteria consists of data from background (see e.g. Fig. 3 below), and hence not every measurement should be affiliated with a bacteria type. Notably, the density metric is significantly increased by applying NoiseMix which is attributed to the algorithms' ability to improve classification for low-SNR signals.

Figure 2c compares model classification performance on three different bacteria datasets (for an overview of the datasets and the applied training process, see Supplementary Material). Datasets "Bacteria ID 1", and "Bacteria ID 2" originate from the work of Ho et. al.²⁵. For these datasets we observe only a marginal improvement, on

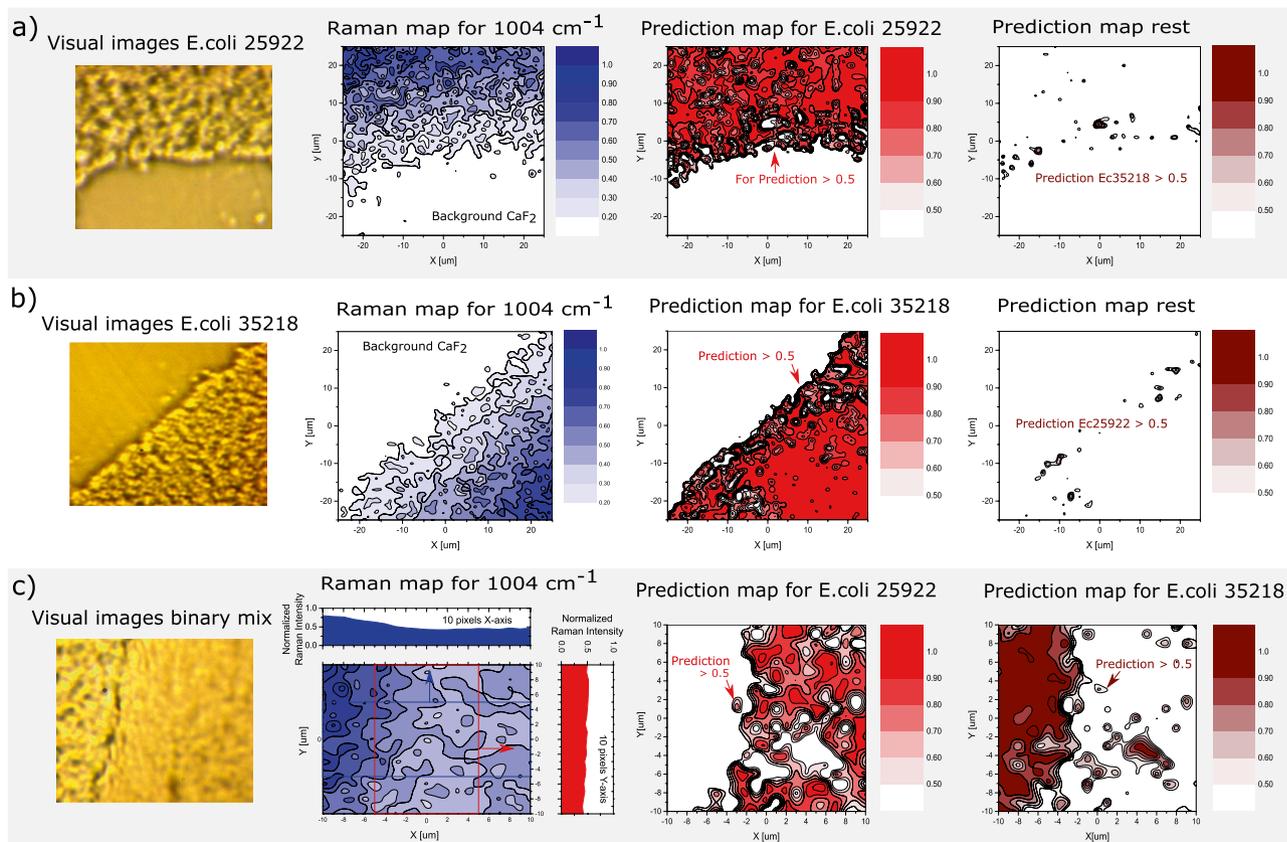


Figure 3. Raman imaging and ST identification of *E. coli* ATCC 25922 and *E. coli* ATCC 35218. The first column shows visual images of the measurement areas, and illustrates bacterial depths ranging from single- to multiple layers (4–6 μm thick). Raman maps are shown in the second column for a Raman shift of 1004 cm^{-1} , assigned to the ring breathing mode vibrations of l-Phe, and finally ST prediction maps are shown in the third and fourth columns. The size of the maps are $51\text{ }\mu\text{m} \times 51\text{ }\mu\text{m}$ and they each consist of 2601 Raman spectra ($700\text{--}1600\text{ cm}^{-1}$) with $1\text{ }\mu\text{m}$ spacing between the points. Raman spectra are acquired with 10-times averaging of 0.5 s integration. **(a)** Raman measurements of *E. coli* ATCC 25922. The overall prediction rate (density surface coverage) is 49.1% for *E. coli* ATCC 25922, 10.4% for *E. coli* ATCC 35218 and 40.2% for CaF_2 background. For the rest of the bacteria/classes the total prediction rate sums to 0.3%. The prediction map to the right shows prediction for the rest of the classes plotted for >0.5 , where only *E. coli* ATCC 35218 has values higher than 0.5. **(b)** Measurements of *E. coli* ATCC 35218. The overall prediction rate is 8.0% for *E. coli* ATCC 25922, 49.0% for *E. coli* ATCC 35218 and 42.8% for background. For the rest of the bacteria/classes the prediction sums to 0.2%. Again the ST makes a few *E. coli* ATCC 25922 misclassifications. **(c)** Raman measurements for a binary mixture of *E. coli* ATCC 25922 and *E. coli* ATCC 35218 resulting in a prediction rate (surface coverage) of 48.8% and 51.2%, respectively. The ST does in this case not make any misclassifications. All prediction of other bacteria than the two *E. coli* is zero. For all three acquired maps the ST prediction maps agrees very well with the Raman map and the visual map.

average, by using either of the two tested ST models. The final dataset “*E. coli* binary” originates from our own RS database and contains Raman spectra from *E. coli* ATCC 25922 and *E. coli* ATCC 35218. For this dataset, the ST models again significantly outperforms the CNN models, suggesting that the ST architecture may perform well on a broader task of spectroscopy-based classification problems.

As a final performance benchmark, we compared the computation time of the ST model with that of the reference CNN model²⁵ (see Supplementary Material). We generally observe an approximate speed-up of one order of magnitude in favor of the developed ST model. However, it should be noted that a small amount of this speed-up may be caused by differences in model hyperparameters, such as weight decay, parameter amount, and learning rate, and that the difference therefore cannot solely be attributed to the model architectures.

Visualization and differentiation of *E. coli* isolates. For better understanding of the capability and performance of our developed ST model and NoiseMix we visualize the analysis by showing the Raman maps and ST prediction maps. We conduct tests both on monocultures and mixes of monocultures as seen in Fig. 3. Figure 3a,b show visual images of the test area for two monocultures of *E. coli* ATCC 25922 and *E. coli* ATCC 35218, respectively. The Raman maps are acquired with a step size of $1\text{ }\mu\text{m}$ over an area $50\text{ }\mu\text{m} \times 50\text{ }\mu\text{m}$ and are plotted for the ringbreathing mode vibrations of l-Phe (Raman shift 1004 cm^{-1}). Each Raman map consist of 2601 points and each point (Raman spectrum, $700\text{--}1600\text{ cm}^{-1}$) is acquired from 10 averages with an integration

time of 0.5 seconds, with a complete measurement time of 217 minutes. Comparing the visual images, Raman intensity maps, and the prediction maps in Fig. 3a,b, we find an excellent agreement between the different forms of visualization. From the Raman intensity contour maps depicted in Fig. 3, it is evident that the Raman intensity decreases in the demarcation zone between CaF₂ and bacteria. This is in part due to a thinner bacteria layer (monolayer) and in part due to the smaller laser-bacteria overlap. Without the NoiseMix method the ST prediction maps would underestimate the region with bacteria coverage and make significant more misclassifications in the demarcation zone between the CaF₂ and bacteria. Thus, the resulting decrease in SNR of the Raman signals has the consequence that the ML models, which is exclusively trained on multilayer bacterial mats underestimates the region covered with bacteria, and make a large number of misclassifications in the demarcation zone. However, by applying NoiseMix in the training phase, the ST model becomes extremely efficient even at detecting and identifying low concentrations of bacteria (monolayers) even though the original training data only contains measurements of multilayer bacterial mats. Which is attributed to the NoiseMix algorithms' ability to improve classification for low-SNR Raman signals. We define an accuracy for a class as: correct/(crosses + correct), where crosses are all wrong predictions with values above >0.5 and excluding prediction of background (CaF₂). This gives a accuracy of 87.3% and 87.9% for Fig. 3a,b, respectively. Comparing the accuracies with the surface coverage we find that our ST classifier for this specific case is undetermined in approximately 10% of the time, where the prediction rate is lower than 0.5. The 15-class ST classifier makes primarily the misclassifications in the demarcation zone. Note that by increasing the integration time to 2 seconds, or more, this would decrease the occurrence of misclassifications, but has the consequence that the completely measurement time of one Raman map with 2601 Raman spectra would take more than 14 hours.

Figure 3c shows a random mix of *E. coli* ATCC 25922 and *E. coli* ATCC 35218 cultures. The two monoculture samples are transferred directly to the CaF₂ objective slide, where they are mixed and subsequently measured. From the visual image and the Raman map, no information about the mixture of *E. coli* ATCC 35218 and *E. coli* ATCC 25922 can be obtained. The only information that is deduced is that the layer is slightly thicker in the left side, which can be seen from the 10 pixels projection of the contour plot onto the x- and y-axis. However, from the ST prediction map we clearly see the mixture of the two *E. coli* bacteria. We find that the ST model, with NoiseMix applied in the model training phase, did not make any misclassifications and predicted only correct species, namely the *E. coli*, with an estimated density ratio of 48.8% of *E. coli* ATCC 25922 and 51.2% of *E. coli* ATCC 35218. The reason for this impressive classification result, where only *E. coli* is predicted is due to the thick layer of bacteria distribution of 4–6 μm, Thus the Raman signal SNR is always relatively high. Further, we find an overall accuracy of 98.1% for *E. coli* ATCC 25922 and *E. coli* ATCC 35218, where the last 1.9% are undetermined data points with an equal prediction rate of 0.5, which sums up to approximately 49 points in the Raman map.

Testing for antibiotic resistance and -susceptibility. Figure 4 shows measurements and test for the differentiation of antibiotic resistant bacteria. For this proof-of-concept AST we collect Raman maps from clinical isolates of MR *S. epidermidis* ATCC 35984 (MRSE), MR *S. aureus* ATCC 252 (MRSA 252), MR *S. aureus* ATCC 4951 (MRSA4951) and on MS *S. epidermidis* ATCC 14990 (MSSE), MS *S. aureus* ATCC 4699 (MSSA 4699), and MS *S. aureus* ATCC 2752 (MSSA 2752). The overall model performance of the 15-class classifier on the MR-MS classification task can be seen in the confusion matrix in Fig. 2. The ST classifier also contains *S. lugdunensis*, *S. haemolyticus* and *S. pettenkoferi* these strains were chosen to represent biological variation, potential cross-interference for making a more difficult classification task for the ST, and to create a realistic view on the possibilities of our technique. Notably, we find that the ST distinguishes between MRSE and MSSE isolates of *S. epidermidis* with a prediction accuracy in excess of 99.5%. Examples of predictions maps for the MRSE, MSSE, MRSA 252, and MSSA 2752 and reference bacteria can be seen in Fig. 4. In Fig. 4c,d the measurement of MRSA and MSSA is shown for two monocultures of MRSA 252 and MSSA 2752 reference bacteria, respectively. Figure 4c shows that the ST estimate the prediction rates (density surface coverage) to be 40.5% for CaF₂ background, 56% for MRSA 252, 0.4% for MSSA 2752 and 3.1% for *E. coli* ATCC 25922. Again, it is evident that in the demarcation zone between CaF₂ and the MRSA bacteria the misclassification rates are higher, due to the decrease in SNR. For this measurement the ST does indeed make a 69 misclassifications, which can be seen from Fig. 4c, where predictions rate between 0.5 and up to 0.99 for *E. coli* ATCC 25922 is found. However, this could also be related to contamination of the test sample. In Fig. 4b measurements of MSSA 2752 are shown. We find that the prediction rates (surface coverage) are 41.6% for CaF₂ background, 55.4% for MSSA 2752 and 3% for MSSA 4699. The ST has a few misclassification, where the ST is predicting the bacteria to be MSSA 4699, as seen in Fig. 4b, again these are mostly found in the demarcation zone and is therefore related to the low SNR found here. By increasing the integration time, to 2 seconds or more, would have circumvented these misclassifications, however the since the map consisting of 2601 individual spectra, the acquisition time would take more than 14 hours. From the confusion matrix we find that the overall performance of the 15 class ST classifier has a prediction accuracy of 94.6%, for the sub-matrix of the two MRSA and two MSSA isolates. If we compare our results with a binary classifier used in Ref.²⁵, where they distinguish between MRSA and MSSA with an achieving 89.1% accuracy, we find that our ST model clearly outperforms the CNN model. Note that if measurements only are conducted on thick layers of monocultures of bacteria we find that the ST has a very high accuracy. Not shown visually, but we find as an example for MSSA 2752 and MRSA 4951 accuracies of 99.7% and 99.9%, respectively. Which might not be surprising since the training validation datasets are very similar.

In addition to distinguishing between antibiotic resistant and antibiotic sensitive isolates, we also test our developed ST and NoiseMix method on single bacteria (few bacteria), as can be seen in Fig. 4a,b. The maps are acquired with 10 second integration-time, however without NoiseMix we found that the ST model could not identify any bacteria, thus demonstrating how NoiseMix improves the sensitivity of the ML models. The prediction rates (density surface coverage) for Fig. 4a are 96.8% CaF₂ background, 2.9% MRSE and 0.3% MSSE. The

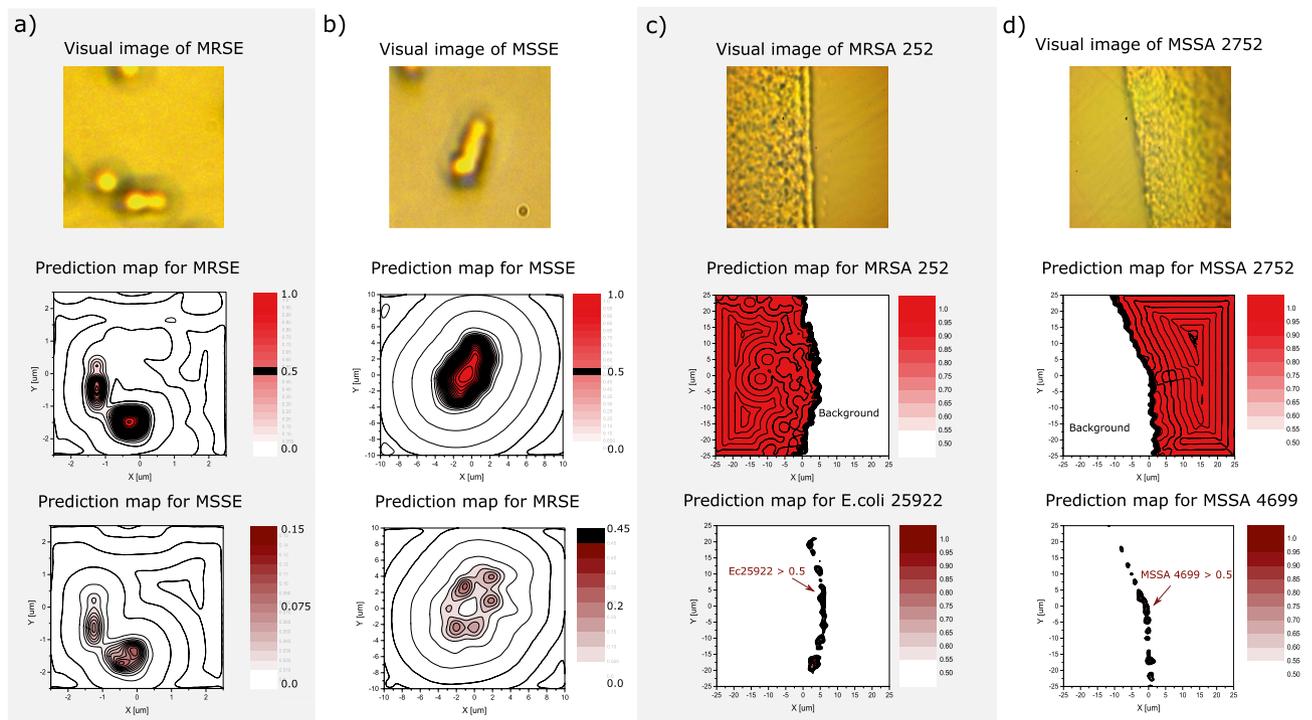


Figure 4. Raman measurements and differentiation of antibiotic resistant phenotypes. The figure shows the visual images and the ST prediction maps for (a) Methicillin-resistant *S. epidermidis* ATCC 35984 (MRSE), (b) Methicillin-sensitive *S. epidermidis* ATCC 14990 (MSSE), (c) Methicillin-resistant *S. aureus* MRSA ATCC252, and d) Methicillin-sensitive *S. aureus* MSSA ATCC 2752. The bacteria distribution ranges from a single bacterium to thick layers (4–6 μm thickness) of bacteria. From the visual images we see that a) MRSE and b) MSSE are acquired for single (few) bacterium. The integration time used was 10 second for acquiring each Raman spectra and average 10 times. For MRSE the size of the maps are 5 μm \times 5 μm and consist of individual 441 Raman spectra (700–1600 cm^{-1}) with 0.25 μm spacing between the points. For MSSE the size of the maps are 10 μm \times 10 μm with 1 μm spacing between the points and consist of 441 individual Raman spectra. The integration time used was 2 second for acquiring each Raman spectra and average 10 times. In both cases the ST makes no misclassifications, however there is small certainty for the bacteria to be MSSE and MRSE as seen in the MSSE and MRSE prediction maps in (a) and (b), respectively. In (c) and (d) the visual and prediction maps for MRSA and MSSA are shown. The 50 μm \times 50 μm and consist of 2601 Raman spectra (700–1600 cm^{-1}) with 1 μm spacing between the points. The integration time used is 0.5 second and average 10 times for acquiring each spectra.

highest prediction peak for MSSE is only 0.15. Thus, the ST does not make any misclassification between MRSE and MSSE or any other bacteria class. For Fig. 4b we find that the prediction rates are 93% CaF_2 background, 0.2% *E. coli* ATCC 35218, 1.3% MRSE, and 5.5% of MSSE. Again, the ST does not make any misclassification between MRSE and MSSE, since the highest prediction peak found for MSSE are 0.45. We it remarkably that our ST together with `NoiseMix` also allows high-accuracy identification of single bacteria although the original training examples are exclusively gathered from multilayer bacterial mats.

Identification of clinical *E. coli* isolates. In Fig. 3 we investigated the performance of the ST and `NoiseMix` on *E. coli* reference bacteria from the same clinical monoculture isolates. However, in order to demonstrate that our ST also potentially works for clinical patient isolates we conducted tests on three new *E. coli* clinical patient isolates obtained from the Department of Clinical Microbiology at Odense University Hospital. The *E. coli* isolates P1, P2, and P3 (shown in Fig. 5) are isolated from urine and were species identified from indole spot test (positive) and from plating on CHROMID[®]CPS ELITE agar plates (Biomérieux, USA). Note that the ST has never seen these Raman spectra before. Thus, the patient samples have or might have a slightly different phenotype, then the *E. coli* reference bacteria used for the training of the ST. We therefore would expect that the ST will return predictions for a mix of the two *E. coli* reference bacteria. The visual images and prediction maps for the 3 *E. coli* patient isolates are shown in Fig. 5. From the ST prediction maps we can estimate the overlap (prediction rates) with *E. coli* ATCC 25922 and *E. coli* ATCC 35218. We find that the average misclassification for the 3 patient samples are 1.4% and is partly due to the fact that the ST has not seen any training data for the 3 patient samples before. We again see that the misclassification is mostly found in the demarcation zone between the CaF_2 background and the bacteria mat and is therefore also related to the low Raman SNR. Antibiotic resistance profiles for the three clinical isolates and for the two *E. coli* ATCC strains were also performed using the disk diffusion test. From these data (see Supplementary Materials), it could suggest that P1 has indeed the high-

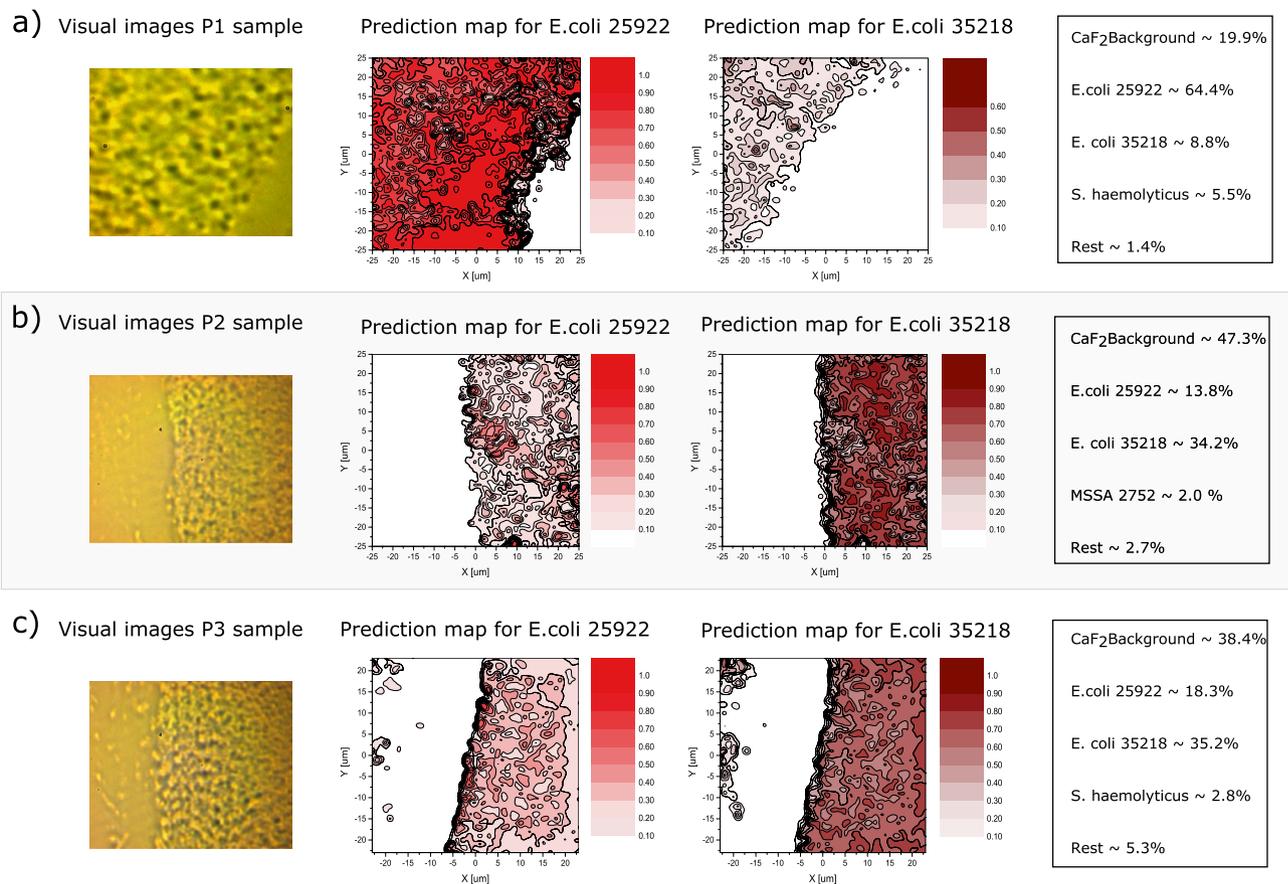


Figure 5. Raman measurements and ST classifications of three cultured *E. coli* patient samples. The figure shows the visual images of the measurement areas, where it can be seen that the bacteria distribution ranges again from a deep layer (4–6 μm thick) to single bacteria depth and the ST prediction maps for *E. coli* ATCC 25922 and *E. coli* ATCC 35218. The size of the maps are 50 $\mu\text{m} \times 50 \mu\text{m}$ and they each consist of 2601 Raman spectra (700–1600 cm^{-1}) with 1 μm spacing between the points. The integration time used is 0.5 second for acquiring the spectra and average 10 times per point/spectra. The table shows the overall prediction rates for CaF₂ background, *E. coli* ATCC 25922, *E. coli* ATCC 35218 and the rest of the classes. Specific we see that (a) Patient sample 1 has a overall prediction rate for the other bacteria of 6.9%, (b) Patient sample 2 of 4.7% and c) patient sample 3 of 8.1%. However, the accuracies (prediction rate >0.5) are for P1: 98.5%, P2: 99.4% and P3: 98% that the sample is *E. coli*.

est similarity with *E. coli* ATCC 25922 in regards to antibiotic resistance profile, while P2 and P3 show a similar resistance pattern as *E. coli* ATCC 35218. As is evident in Fig. 5, the ST classification also prefers classifying the P1 isolate as *E. coli* ATCC 25922, while P2 and P3 are more often classified as being *E. coli* ATCC 35218, which indicates a tendency for the resistance profile of the isolates to guide the Raman measurements. However, more samples and measurements need to be conducted in order to verify this and make a conclusion. However, we can conclude that our ST indeed can distinguish within a few seconds/minutes microbial phenotypes of *E. coli* with an average classification accuracy of 98.6% for the three patient samples.

Discussion

For rapid identification of bacteria and to combat the spread of AMR we have conducted a proof-of-concept experiment using RS assisted by ML. We have demonstrated that RS is a promising technology for microbiology studies. For this we have developed an attention-based ML model and a novel data augmentation algorithm (NoiseMix) to obtain state-of-the-art results within bacteria identification. The ST model architecture used in this work, is inspired by the success of the visual transformer (ViT)⁴⁰ and compact convolutional transformers (CCT) for their ability to generalize well, when trained on small datasets⁴¹. In contrast to ViT's and CCT's, we found that when dealing with RS data, both splitting the Raman spectra into patches and implementing convolutions to induce an inductive bias, is detrimental to model performance. Furthermore, we have found that limiting model depth increases the efficacy of the model substantially, at least on problems with limited availability of data. We suspect this is due to the capacity of deep transformer models to overfit, which becomes a limiting factor, when intra-sample variance is high, as we observe for our datasets. Which also would be the case for practical implementations of RS for in-situ measurements in clinics and hospitals. We firmly believe that our method of novel data augmentation and RS assisted by our developed ST may close the gap between basic

research and practical application in clinical laboratories⁴². We explicitly demonstrated that our ST outperforms a state-of-the-art domain-specific residual CNN both in terms of accuracy, and computation time²⁵. The significant reduction in computation time importantly reduces both diagnosis time, and the cost of the diagnosis apparatus as the inference time of the ST is fast, even on low cost hardware. The ST models used in this work could also be applied to other spectroscopy-based classification problems such as cancer detection or mineral identification. Our Raman system assisted by the ST model distinguishes between 15 different classes with more than 96% overall classification accuracy, while the CNN has slightly lower overall classification accuracy 88.6%. Since this was a proof-of-concept our dataset only contains 15 classes, however, the database can easily be expanded to contain any number of bacteria and non-bacteria.

Comparing our method to methods which are currently being used at hospitals, namely labor and time demanding testing in laboratories, RS assisted by ML is an improvement with respect to speed, coverage, price and handling. Other technologies such as flow cytometry, polymerase chain reaction and MALDI-TOF mass spectrometry, are also intensively studied for their potential as fast and reliable diagnostic technologies^{12,16–18}. The disadvantage of these technologies is that they require large expensive equipment, need special trained personnel and they cannot be used locally as a point-of-care diagnostic/screening tool. Importantly, mass spectrometers require cultivation, has difficulties to discriminate closely related bacterial species and to differentiate some antibiotic resistance phenotypes, such as MRSA and MSSA¹⁹. In contrast, we demonstrate that our RS assisted by the ST and NoiseMix approach enable accurate classification of different bacteria phenotypes, namely *E. coli*, *S. Epidermidis*, and *S. Aureus*. Importantly, our result is obtained with easy to produce Raman training data that was gathered from deep monoculture mats of bacteria. With this simple preparation approach for acquiring training data, we consistently achieve diagnosis times of less than a few minutes, if culturing is disregarded. The significance of our data collection method is paramount, as our approach facilitates easy, fast and cheap development of big datasets, which is crucial for clinical application. Consequently, it is possible to simply create training data from cultured bacteria, and then embed background and contaminant noise with NoiseMix into the fast-and easy-to-produce training data. This would allow for both fast data production, fast sample preparation, and would not need any form of filtering or culturing of the bacteria. It is therefore reasonable to assume that our approach can readily be adopted for direct diagnosis of sepsis from genuine patient samples, without any preprocessing. Assuming this, accurate diagnosis and therefore treatment with targeted antimicrobial can be achieved within few minutes.

Methods

Sample preparation. The bacteria come from bacterial isolates which were cultured overnight on agar plates and were sealed with parafilm and stored at 5 °C until sample preparation. Storage time varied, but was not found to result in spectral changes to strain or phenotype characteristics. All other sample preparation conditions were kept consistent between samples. Test samples were prepared separately from samples used for training, to ensure classification was not influenced by differences in sample preparation. To prepare samples for Raman measurement, a sample was simply transferred from a single colony directly to a sterilized CaF₂ Raman-grade objective slide.

Datasets and training details. Bacteria-surface + NoiseMix and Bacteria-surface: The Bacteria-surface training dataset is made up of three integration times for each class. The dataset consists of 12 classes of bacteria (*E. coli* ATCC 35218, *E. coli* ATCC 25922, methicillin-resistant *S. epidermidis* ATCC 35984 (MRSE), methicillin-sensitive *S. epidermidis* ATCC 14990 (MSSE), *Micrococcus luteus*, *S. lugdunensis*, *S. haemolyticus*, *S. pettenkoferi*, methicillin-resistant *S. aureus* ATCC 252, methicillin-resistant *S. aureus* ATCC4951, methicillin-sensitive *S. aureus* ATCC4699, methicillin-sensitive *S. aureus* ATCC 2752, and 3 non-bacteria classes, calcium fluoride, (CaF₂), agar, and polystyrene beads. The data of the bacterial classes in the Bacteria-surface training dataset were acquired by measuring over CaF₂ slides, which were completely covered by multilayer bacterial mats. The data of the CaF₂ background class in the Bacteria-surface training dataset, was acquired by measuring clean CaF₂ slides. The data of the agar class in the Bacteria-surface training dataset, was acquired by measuring over CaF₂ slides covered by a deep layer of agar. The data of the polystyrene class in the Bacteria-surface training dataset, was acquired by measuring over CaF₂ slides, which were completely covered by polystyrene beads. For tests using NoiseMix e.g. in Figs. 2,3,4, the CaF₂ and agar Bacteria-surface training data, is used as mixing inputs for the algorithm. The Bacteria-surface test dataset used in Fig. 2, consists of 12 classes of bacteria and 3 non-bacteria classes. Each class in the Bacteria-surface test dataset is represented by one measurement over a partially covered CaF₂ surface. The bacteria classes in the Bacteria-surface test dataset, is therefore not represented by the same number of bacteria Raman spectra. The Bacteria-surface validation dataset is produced in the same way as the Bacteria-surface test dataset but does not contain all 15 classes. The measurements shown in Figs. 3,4,5 are acquired following the same procedure used to produce the Bacteria-surface test dataset. Pre-processing of the Bacteria-surface training dataset data, consists of normalising each spectrum between 0-1. Pre-processing of data shown in Figs. 3,4,5 of the Bacteria-surface test and validation data, consists of two steps. (i) the slope of the spectra's are removed by subtracting the linear function between the start and end values of the spectra's, and (ii) a normalisation step in which each Raman spectra is normalised between 0 and 1. For the results shown in Figs. 3,4,5, we use 100% of data from the Bacteria-surface training dataset for training and then use the held-out Bacteria-surface validation dataset for model selection. As the validation set is produced with the same procedure as the actual test dataset it is a better indicator of model classification efficacy.

Bacteria ID 1: The models are trained on the reference dataset from Stanford²⁵, which consists of 30 bacterial and yeast isolates with 2000 spectra for each of the 30 isolates. The models were then fine-tuned on the reference fine-tuning dataset which consists of 30 bacterial and yeast isolates with 100 spectra for each of the 30 isolates²⁵.

The models are subsequently tested on the reference test dataset, consisting of 30 bacterial and yeast isolates with 100 spectra for each of the 30 isolates²⁵.

Bacteria ID 2: The models were trained only on the reference fine-tuning dataset, and subsequently tested on the reference test dataset²⁵.

***E. coli* binary:** The models were trained and tested on binary datasets consisting of *E. coli* ATCC 35218 and *E. coli* ATCC 25922. The data of the *E. coli* binary datasets were acquired by measuring over CaF₂ slides, which were covered by multilayer bacterial mats. The *E. coli* binary training dataset has 5180 spectra for each class, and each class is made up of two different integration times, each containing 2590 spectra. The *E. coli* binary test dataset has 2590 spectra for each class, and the integration times are different from those of the training set. Pre-processing for the *E. coli* binary datasets, consists of two steps performed automatically without user intervention: (i) a baseline-correction step using Zhangfit⁴³, and (ii) a normalisation step in which each Raman spectra is normalised between 0 and 1.

Raman microscope. The Raman microscope for acquiring Raman data is shown in Fig. 1b. The Raman microscope uses a 785-nm excitation laser (TA pro, Toptica, Germany) with 60 mW of power. The pump beam is spatially cleaned with a 1 meter long single-mode (SM) fiber (PANDA PM FC/PC to FC/APC Patch Cable) with 5.3 μm mode-field diameter. A long-working-distance 100× microscope objective (MO) (LMPLN-IR/LCPLN-IR, numerical aperture NA = 0.85) from Olympus is used both for imaging, focusing the excitation laser and collecting the backscattered light. The bacteria samples are placed on Raman graded calcium fluoride (CaF₂) objective slides and the position is controlled with an automated XYZ scanning stage. A dichroic mirror (DM) (high pass 750 nm, Semrock) is used to couple the visible illumination light to a charge-coupled device (CCD) for imaging. A second DM (high pass 800 nm) is used to separate the Raman signal from the pump. Additional filters (high pass, 800 nm, Semrock) and (band pass, 785 nm ± 10 nm, Semrock) are used for filtering of the 785-nm pump. A 5 m long multi-mode (MM) fiber (ø200 m, 0.39 NA, FC/PC to FC/PC Patch Cables) collects the Raman signal and directs it to the spectrometer. For acquiring Raman spectra, we use a HR320 Horiba spectrometer. All measurements were performed with a slit size of 300 μm and the grating used has a line density of 950 L/mm. A thermoelectrically cooled charge-coupled device (CCD) is used for detection (Synapse, 1024 256 with each pixel size of 26 μm). The CCD pixels are binned in clusters of 2x20 pixels to reduce noise and hereby increase SNR. With each acquired Raman spectra consisting of 480 points in the range from 700–1600 cm⁻¹, the spectral resolution of the spectrometer is approximately 10 cm⁻¹.

Raman maps. To control the position and change the sampling point for RS, we use an XYZ scanning stage from Applied Scientific Instrumentation (ASI). The ASI stepper motors provide precise control through the use of closed-loop DC servomotors employing high-resolution encoders for positioning and feedback. The XY stage has a range of travel of 100 mm × 100 mm and a positional accuracy of approximately 200 nm. Custom made Python software was developed for the automation of the complete Raman microscope to asynchronously control the scanning stage and Horiba spectrometer for acquiring hyperspectral Raman maps of the bacteria samples.

Spectroscopic calibration. For spectral calibration (and optimization) of the Raman microscope and calibration of the translation stage, we use polystyrene beads ranging in size from 1–5 μm. The polystyrene beads are comparable in size to bacteria and constitute multiple Raman peaks in the same Raman shift region as the bacteria. From the measurements and ST prediction maps we estimate that the spatial resolution of the Raman maps are ≈ 2 μm ± 500 nm) and for the ST prediction map are ≈ 3 μm ± 500 nm).

Pre-processing of data. The raw Raman spectra were initially cleaned from cosmetic spikes. Subsequently the linear function between the start and end values of each spectrum is identified and subtracted. As a final pre-processing step, the spectra were individually normalized to the range between zero and one. Notably, we have also investigated baseline-correction methods using Zhangfit [36], however we found that any kind of non-linear baseline removal was detrimental to model performance, especially when used in conjunction with NoiseMix.

NoiseMix and the data-augmentation process. To improve model performance in the test phase, we apply data augmentation in the model training phase. The algorithm NoiseMix works by randomly selecting and subsequently mixing bacteria spectra $S_{bacteria}(v)$ and background spectra $S_{bg}(v)$. An augmented Raman spectra $S_{bacteria}^{(aug)}(v)$ is then given by

$$S_{bacteria}^{(aug)}(v) = (1 - \alpha)S_{bacteria}(v) + \alpha S_{bg}(v)$$

where α is chosen randomly from a uniform distribution in the range $[0, \alpha_{max}]$, and $\alpha_{max} < 1$ is an upper bound for the contribution of background spectra.

Spectral-transformer architecture. The ST ML model developed here is a compact version of the standard transformer encoder³⁹, but differs in that it uses sequence pooling to map the sequential outputs to a singular class. The structure of the ST model can be seen in Fig. 1c. It consists of an optional positional embedding layer (ST-pe), followed by a dropout layer. The next layer is a block that sequentially contains, layer norm, multihead attention (MHA), layer norm, and then a multilayer perceptron (MLP) with a GELU nonlinearity. This is followed by layer norm, and then a sequence pooling layer. Finally, the output layer is a fully connected linear

layer. Our ST architecture is parameterised by three arguments $ST(i,j,k)$, where i is the depth of the transformer encoder, j is the number of heads in the MHA layer, and k is the multilayer perceptron ratio. Hence, in the $ST(1,2,7)$ version, the transformer encoder has a depth of 1, the MHA layer has 2 heads, and the hidden layer dimension of the MLP is 7 times larger than the MLP input dimension. These hyperparameters, as well as all hyperparameters used for training, were selected using a Tree-structured Parzen Estimator, using one training and validation split on the isolate classification task²⁵.

Accuracy and density. As we have included non-bacteria background classes in our model, we opted to use two performance metrics: accuracy and density. Accuracy is defined in the usual sense as the ratio of correct bacteria classifications to the total number of bacteria classifications. Density on the other hand is a measure of bacteria coverage, and is given as the number of bacteria classifications to the total number of classifications.

Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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Author contributions

J.B.C., I.U. and M.L. designed and built the Raman microscope. R.B.G. and T.E.A. prepared the bacteria for the experiments. J.B.C., O.R., and M.L. obtained the main experimental Raman results. B.L.T. designed and developed the software for machine learning analysis. The paper was written by B.L.T., J.B.C. and M.L. with contributions from all authors. M.L. conceived and supervised the research.

Competing interests

The authors declare no competing interests.

Additional information

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