scientific reports



OPEN Prediction of topological Dirac semimetal in Ca-based Zintl layered compounds CaM_2X_2 (M = Zn or Cd; X = N, P, As, Sb, or Bi

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Topological Dirac materials are attracting a lot of attention because they offer exotic physical phenomena. An exhaustive search coupled with first-principles calculations was implemented to investigate 10 Zintl compounds with a chemical formula of CaM_2X_2 (M = Zn or Cd, X = N, P, As, Sb, or Bi) under three crystal structures: CaAl₂Si₂-, ThCr₂Si₂-, and BaCu₂S₂-type crystal phases. All of the materials were found to energetically prefer the CaAl₂Si₂-type structure based on total ground state energy calculations. Symmetry-based indicators are used to evaluate their topological properties. Interestingly, we found that CaM₂Bi₂ (M = Zn or Cd) are topological crystalline insulators. Further calculations under the hybrid functional approach and analysis using $k \cdot p$ model reveal that they exhibit topological Dirac semimetal (TDSM) states, where the four-fold degenerate Dirac points are located along the high symmetry line in-between Γ to A points. These findings are verified through Green's function surface state calculations under HSE06. Finally, phonon spectra calculations revealed that CaCd₂Bi₂ is thermodynamically stable. The Zintl phase of AM₂X₂ compounds have not been identified in any topological material databases, thus can be a new playground in the search for new topological materials.

The investigations on topological materials (TMs) have been steadily gaining traction in the field of materials physics because they exhibit novel physical phenomena^{1,2}. Intriguingly, the topological Dirac semimetal (TDSM) is an intermediate quantum state between trivial and non-trivial states³. Also, these new types of materials are a good playground to identify the new quantum phenomena in condensed matter physics from Dirac semimetals to Weyl semimetals^{4,5}. TDSM is observed when the valence and conduction bands are degenerate at one critical point, defined as Dirac point in 3-dimension (3D) bulk structure near the Fermi level, and exhibits Lorentz symmetry breaking, which forms a Dirac cone^{6,7}. Different types of cones at the Dirac point with or without inversesign slopes can be classified into either type I or type II Dirac semimetal, respectively⁸. TDSM has attracted significant attention because of its physical properties such as giant diamagnetism^{9,10}, quantum magnetoresistance¹¹⁻¹⁴, characteristic Landau level structures, and oscillating quantum spin Hall effect^{15,16}. Recently, the TDSM state of materials has been experimentally observed in $Cd_3As_3^{14,17-20}$ and $Na_3Bi^{13,21}$ as a type I Dirac semimetal. On the other hand, some of the transition metal dichalcogenides (TMDs) were proposed as a type II Dirac semimetal, such as PtSe₂^{22,23}, PdTe₂^{24,25}, PtTe₂^{26,27}, and NiTe₂²⁸⁻³¹, and were verified through angle-resolved photoemission spectroscopy (ARPES) experiments. In addition, numerous studies have demonstrated that compounds with hexagonal structure exhibit interesting electronic ^{32,33,34}, and topological properties^{35–39,40–42}

Meanwhile, a group of materials called the Zintl compounds, named after Eduard Zintl who discovered them⁴³, are receiving recognition in materials physics because of their applications in thermoelectric (TE) devices⁴⁴. As more compounds were discovered, a more general description of these materials are now based on electron transfer between the alkali or alkaline earth cation and the electronegative elements which results in a filled valence shells through covalent bonding or formation of lone electron pairs. Therefore, these phases possess salt-like characteristics from the ionic bonding between the cation and the anion⁴³. This definition of

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Figure 1. Three possible structures of bulk AM_2X_2 : (a) $CaAl_2Si_2$ -type ($P\overline{3}m1$, 164) (b) $BaCu_2S_2$ -type (Pnma, 62) (c) $ThCr_2Si_2$ -type (I4/mmm, 139). (d) The list of elements used in this exhaustive materials search. M and X are, Group IIB, and Group VA, respectively. (e) The flow chart of our search for identifying the topological materials.

Zintl phase is a description of ionic and covalent bonding within intermetallic phases which provides insight on their structure and properties⁴⁵. Recently, Zintl phases have been used as thermoelectric materials because of their structural complexity, low lattice thermal conductivity, and excellent stability at high temperature. Interestingly, some of the TE materials may exhibit topological properties due to their strong spin-orbit coupling (SOC) effect and small bandgap⁴⁶. Interestingly, a new group of Zintl materials⁴⁷⁻⁵¹ have been attracting attention because of their possible applications in the design and discovery of new quantum materials. Specifically, $EuSn_2P_2^{47}$ and $EuCd_2As_2^{48}$ have been recently experimentally and theoretically investigated. It was found that EuSn₂P₂ is antiferromagnetic and is an energetically stable state at low temperatures. Moreover, it was found to be an antiferromagnetic (AFM) topological insulator with Dirac-like surface states near the Fermi level. Similar to $EuSn_2P_2$, $EuCd_2As_2$ is also an antiferromagnet that exhibits three different types of non-trivial properties: axion insulator, AFM topological crystalline insulator (TCI), and higher order topological insulator. With these findings, EuCd₂As₂ is the first experimentally realized magnetic TDSM. In addition to these two materials, EuIn₂As₂⁴⁹ and EuCd₂Bi₂⁵⁰ have been theoretically predicted to exhibit magnetic topological insulator phase. These materials belong to a new group of exotic quantum materials known as axionic insulators^{52,53}. With these exciting new findings in the Zintl compounds, it is crucial that an in-depth material search should be conducted to further explore this new playground of materials.

In this study, we investigate the 10 Zintl phase materials which have a general chemical formula of CaM_2X_2 , where M = Cd or Zn, and X = N, P, As, Sb, or Bi. Through ground state energy and phonon dispersion calculations, we determined the most energetically favorable structure and found that all of these materials only adapt the hexagonal $CaAl_2Si_2$ -type layered structure. Thus, we only focused on this layered-structure phase. Symmetry-based indicators are then used to evaluate their topological properties. Surprisingly, we found that CaM_2Bi_2 (M = Zn or Cd) are topological crystalline insulators (TCIs) under the GGA-PBE. Further calculations under the hybrid functional approach and analysis using $k \cdot p$ model reveal that they exhibit topological Dirac semimetal (TDSM) states, where the four-fold degenerate Dirac points are located along the high symmetry line in-between Γ to A points. Our findings show that the Zintl phase of AM_2X_2 compounds can be a new playground in the search for new topological materials (TMs).

Results and discussion

The AM₂X₂ Zintl compounds could exhibit three known structures: trigonal CaAl₂Si₂-type structure ($P\overline{3}m1$, 164), orthorhombic BaCu₂S₂-type structure (Pnma, 62), and tetragonal ThCr₂Si₂-type structure (I4/mmm, 139), as shown in Fig. 1a–c, respectively⁴⁴. The elements of CaM₂X₂ used in our study are shown in Fig. 1d. The M are Zn or Cd from Group 2B (Zinc Group), and X are N, P, As, Sb, or Bi from Group 5A (Pnictogen Group).



Figure 2. The band structures of bulk $CaCd_2Bi_2$ under HSE06 (**a**) w/o SOC and (**b**) with SOC. The circle corresponds to the orbital contribution from Cd *s* orbital and Bi p_x and p_y orbitals indicated by red and blue, respectively. To identify the bands near Γ point of (**a**), the zoom-in diagram was provided in the inset. (**c**) The corresponding 3D first Brillouin zone (BZ) of the CaAl₂Si₂-type structure and the projected surface BZ at (100) plane. The high-symmetry points and Dirac points (red for CaCd₂Bi₂ and blue for CaCd₂SbBi) are labeled in the figure.

The CaAl₂Si₂-type structure is stacked along the *c*-direction by two-layer structures A^{2+} and $[M_2X_2]^{2-}$. On the other hand, the orthorhombic BaCu₂S₂-type structure is formed through the covalent bonding between M and X. This results in a channel-like structure along the lattice vector *b* with A atoms inside these channels as shown in Fig. 1b. Finally, the ThCr₂Si₂-type structure is composed of negatively charged layers of MX₄ tetrahedra and positively charged A layers, stacked along the *c* direction. The MX₄ tetrahedra layers contain strong covalent M–X bonds and weaker M–M interactions, while an ionic bonding exists between A and the MX₄ tetrahedra layers⁵⁴.

To systematically study the 10 Zintl compounds, the exhaustive search approach is adapted. The procedures are depicted in the flowchart shown in Fig. 1e. First, we assigned the 10 compounds to the three known structures in the literature, totaling to 30 materials, and determined the most stable structure of each compound using the GGA-PBE functional. Second, the topological properties of the 10 compounds with the lowest ground state energy structure were determined using the SymTopo program⁵⁵ via the symmetry-indicator analysis. The band structures were also calculated to determine their electronic properties: insulating, semi-metallic, or metallic. Third, hybrid functional calculations combined with the SymTopo program⁵⁵ were used again to verify their topological and electronic properties. Finally, the evidence of topological properties is further explored through the surface Green's function calculations.

The results of the exhaustive search procedure, as well as the topological phases of each material are summarized in Supplementary Table S1 (without SOC) and S2 (with SOC). For the 10 compounds considered, they all adapt the CaAl₂Si₂-type structure. Nevertheless, the bulk band structures for the BaCu₂S₂-type and ThCr₂Si₂-type CaM₂X₂ compounds considered in this study are shown in Supplementary Figures S1 to S4. The SymTopo analysis indicated that two compounds, CaZn₂Bi₂ and CaCd₂Bi₂, are found to be TCSMs, and CaCd₂N₂ would be TSM while the rest are trivial topological properties under GGA. Moreover, phonon dispersion calculations were performed to verify the thermodynamic stability of the CaAl₂Si₂-type CaM₂X₂ compounds (See Figure S5). As seen in Figure S5, majority of the bulk materials, except for CaZn₂Bi₂, considered in this study have no imaginary phonon frequencies indicating thermodynamic stability. On the other hand, CaZn₂Bi₂ in CaAl₂Si₂-type exhibits imaginary phonon frequencies and is thermodynamically unstable, implying it may adopt another stable structure. Interestingly, the monolayer CaZn₂Bi₂ is stable, as demonstrated in another study⁵⁶. Focusing on CaCd₂Bi₂, Figure S5 shows that CaCd₂Bi₂ is thermodynamically stable, implying possible experimental synthesis. Also, the phase diagrams for CaCd₂Sb₂ and CaCd₂Bi₂ from the Open Quantum Materials Database^{57,58} show that both materials have negative formation energies, implying relative stability. Furthermore, experimental realization of this material is indeed possible since CaCd₂Sb₂ with similar crystal structure have already been synthesized⁵⁹. Intriguingly, these materials have not been identified in several topological material databases^{60–62}. The band structures of these 10 compounds are shown in Supplementary Figure S6 and S7. To verify the results, all the compounds are recalculated again with HSE06 as shown in Figures S8 and S9. Interestingly, CaCd₂Bi₂ and CaZn₂Bi₂ are found to be TDSM, while the other 8 materials just have trivial insulating phase. To demonstrate the topological properties of these two materials, we choose CaCd₂Bi₂ as the representative material for the rest of our discussion.

As seen in Supplementary Table S1 and Figures S6 (a, c, e, g, and i) and S7 (a, c, e, g, and i) under GGA-PBE without SOC, the SymTopo results and band structures, respectively, reveal that $CaCd_2Sb_2$ is a trivial insulator (Figure S7g) while $CaCd_2Bi_2$ is a topological semimetal (TSM) (Figure S7i). When turning on SOC, $CaCd_2Sb_2$ is a trivial semimetal (SM) (Figure S7h), while $CaCd_2Bi_2$ is a topological crystalline semimetal (TCSM) (Figure S7j). Here, the observed transition from trivial SM to TCSM in $CaCd_2Bi_2$ is due to the SOC effect, meaning the bands are gapped between Γ to A. In order to understand the evolution of topological phase transitions, the SOC strength of $CaCd_2Bi_2$ is varied from 0 to 1. The bands gradually open at two symmetry points, one is at Γ while the other is at A point, as the SOC strength increases. This band opening explains the mechanism behind the TCSM property of $CaCd_2Bi_2$ (see Supplementary Figures S10 and S11). This similar behavior is also observed in Sb/Bi planar honeycomb which exhibits topological crystalline insulator phase⁶³.

Moving on to the results under the hybrid functional approach, we show in Fig. 2a, b the band structures of CaCd₂Bi₂ under HSE06 with and without SOC. Since HSE06 has been proven to be more superior than the standard GGA-PBE functional, we will focus only on HSE06 results for the succeeding discussions unless mentioned



Figure 3. (**a**–**c**) The HSE06 with SOC band structures of CaCd₂Sb₂, CaCd₂SbBi, and CaCd₂Bi₂. The circle corresponds to the orbital contribution from Cd *s* orbital and Bi p_x and p_y orbitals indicated by red and blue, respectively. The irreducible representations are labeled as $\overline{\Delta}_6$ and $\overline{\Delta}_4 + \overline{\Delta}_5$. The three Dirac points are labeled in orange arrows (DP₁, DP₂, and DP₃). (**d**) Zoomed-in view of the band path near passing the Dirac cone of CaCd₂Bi₂ along the -K' to D to K'.

otherwise. Interestingly, $CaCd_2Bi_2$ is a TSM without SOC (Fig. 2a) exhibited the characteristics of a nodal line semimetal with degenerate state (bands are contributed by Bi p_x and p_y orbitals) along Γ to A as highlighted by the yellow square, but became a TDSM under SOC (Fig. 2b) with a Dirac point crossing observed along Γ to A. The locations of Dirac points are in red labeled in Fig. 2c.

To understand the mechanism behind the existence of the Dirac point, the zoomed-in with SOC band structures of CaCd₂Sb₂ and CaCd₂Bi₂ along Γ to A are shown in Fig. 3a, c. For CaCd₂Sb₂, there exists a band opening with a gap of 0.55 eV resulting in a trivial insulator phase. In contrast, CaCd₂Bi₂ demonstrates gapless linear bands crossing in (0, 0, k_D ≈ ±0.4152 π /c) at the energy level of E – E_F ≈ -0.20 eV. It is a four-fold degenerate Dirac point (DP₁) belonging to two different irreducible representations ($\overline{\Delta}_4 + \overline{\Delta}_5$ and $\overline{\Delta}_6$) which prohibits hybridization, as shown in Fig. 3c. To prove that it is indeed a 3D Dirac point, we show in Fig. 3d the band path passing the Dirac cone of CaCd₂Bi₂ along the DP₁ to -K' and DP₁ to K', which is perpendicular to Γ to A direction. This result demonstrated the existence of a TDSM state in CaCd₂Bi₂. The Dirac point (DP₁) is protected by the C₃ rotational symmetry about the *c* axis.

To explore the topological phase transition due to SOC strength and doping, we propose a hypothetical material CaCd₂SbBi that has an equal concentration of Sb and Bi. In CaCd₂SbBi, Bi doping breaks the inversion symmetry of the system. The CaCd₂SbBi in CaAl₂Si₂-type structure belongs to the space group $P\overline{3}m1$ (164), while the doped case belongs to P3m1 (156). For comparison, Fig. 3b also shows the band structure of CaCd₂SbBi along the Γ to A under HSE06. Interestingly, the substituted Bi in CaCd₂SbBi causes the breaking of the inversion symmetry while preserving the C₃ rotational symmetry along the z-axis. From Fig. 3a-c, we observed the down shift of $\overline{\Delta}_6$ and upward shift of $\overline{\Delta}_4 + \overline{\Delta}_5$. Two bands, $\overline{\Delta}_4 + \overline{\Delta}_5$ and $\overline{\Delta}_6$, near the Fermi level closed and crossed over the Fermi level resulting in a pair of Dirac points (DP₂ and DP₃) in the first BZ, as shown by a pair of the blue points labeled in Fig. 2c. Surprisingly, the symmetry indicators at Γ and A are still the same as CaCd₂Sb₂, thus resulting in a trivial insulator phase. Totally, four emerging Dirac points are formed along -A to Γ to A. Eventually, one Dirac point remains as the material is changed to CaCd₂Bi₂.

To further elucidate the mechanism behind the TDSM in CaCd₂Bi₂, the band crossing between Γ and A points is expressed as an effective Hamiltonian. To prove that the fourfold point is a Dirac point, an analysis using the $k \cdot p$ model is discussed. The four-band effective Hamiltonian obeys the following three symmetries: threefold rotation symmetry (C_3^+), mirror symmetry ($\sigma_{1\nu}$), and inversion and time reversal symmetry (IT)⁶⁴. The irreducible representations of the four-band at D (Fig. 3c, d), $\overline{\Delta}_4 + \overline{\Delta}_5$ and $\overline{\Delta}_6$, are chosen as the basis set. Using the Pauli matrices σ_1 , σ_2 , σ_3 , and 2×2 identity matrix σ_0 , the matrix representations of the three symmetries for the basis are $-\sigma_0$, $i\sigma_3$, $-i\sigma_2$ and $\frac{1}{2}(\sigma_0 - i\sqrt{3}\sigma_2)$, $i\sigma_3$, $-i\sigma_2$, respectively. Thus, the four-band effective Hamiltonian takes the form

$$\begin{bmatrix} c_1 + c_2k_z + c_3k_z & 0 & c_4k_y - ic_5k_y & c_4k_x - ic_5k_x \\ 0 & c_1 + c_2k_z + c_3k_z & c_4k_x + ic_5k_x & -c_4k_y - ic_5k_y \\ c_4k_y + ic_5k_y & c_4k_x - ic_5k_x & c_1 + c_2k_z - c_3k_z & 0 \\ c_4k_x + ic_5k_x & -c_4k_y + ic_5k_y & 0 & c_1 + c_2k_z - c_3k_z \end{bmatrix}$$

The eigenvalues of the four-bands $(c_1 + c_2k_z) \pm \sqrt{(c_4^2 + c_5^2)k_x^2 + (c_4^2 + c_5^2)k_y^2 + c_3^2k_z^2}$ indicates that the four-fold point is indeed a Dirac point⁶⁴.



Figure 4. Bulk (a) $CaCd_2Bi_2$ and (c) $CaCd_2SbBi$ surface states projected on (100) plane under HSE06 with SOC. 2D Fermi arcs for (b) $CaCd_2Bi_2$ and (d) $CaCd_2SbBi$ at the $E-E_F = -0.1$ eV and 0.1 eV, respectively.

Finally, the Green's function-derived surface states projected on the (100) plane are calculated for CaCd₂Bi₂ (Fig. 4a) and CaCd₂SbBi (Fig. 4c) to confirm the location of Dirac points. The 3D band structure is shown in Figure S12 highlighting two Dirac cones. The corresponding Fermi arcs highlighting the surface states of CaCd₂Bi₂ and CaCd₂SbBi are shown in Fig. 4b, d, respectively. The connections to the Dirac points are projected onto different *k* locations in the surface BZ. The *k* points in the BZ projected on (100) surface are shown in Fig. 2c. The (100) surface of the Γ -centered BZ corresponds to the projection of the M- Γ line. In Fig. 4a, the calculated surface states connect two Dirac points (DP₁) in CaCd₂Bi₂ and cross at Γ point. However, since the structure of CaCd₂SbBi breaks the inversion symmetry, four emerging Dirac points are formed. In Fig. 4c, the surface states of CaCd₂Bi₂ in ont cross at a high symmetry point while connecting each pair of Dirac points (DP₂ and DP₃) in the BZ. The surface states connect the two Dirac points (DP₂ and DP₃) within Γ to A, while the other pair of surface states connect the two Dirac points within Γ to –A.

Methods

We systematically explored 10 compounds of CaM_2X_2 where M = Zn or Cd; and X = N, P, As, Sb, or Bi, under the three different existing structures, totaling 30 compounds of Zintl materials: $CaAl_2Si_2$ -, $ThCr_2Si_2$ -, and $BaCu_2S_2$ -type crystal phase⁴⁴, through first-principles calculation as implemented in Vienna Ab initio Simulation Package (VASP) using projector-augmented wave (PAW) functions^{65,66} under the Perdew-Burke-Ernzerhof (PBE)⁶⁷ functional with plane wave cut-off energy of 400 eV. The structures were allowed to relax until the residual Hellmann–Feynman forces acting on each atom were no greater than 10^{-2} eV/Å. The K-points sampling used is the Γ -centered grid Monkhorst–Pack with $24 \times 24 \times 12$ kmesh within the first Brillouin zone (BZ) for all the self-consistent calculations. Phonon spectra calculations are performed using the Phonopy⁶⁸ package. Spin-polarized and SOC were included in all the energetic calculations. In determining the magnetic properties, we treat magnetic moments (μ) greater than 0.1 as ferromagnetic (FM) states, while the rest are non-magnetic (NM) states. In NM state, we analyzed each case for the topological properties in 3D bulk structure using the SymTopo package⁵⁵ which uses symmetry-based indicators that can categorize different topological properties, such as trivial insulator (I), conventional metal (M), topological insulator (TI), topological crystalline insulator (TCI), high-symmetry-point semi-metal (HSPSM), and high-symmetry-line semi-metal (HSLSM). To predict the topological properties of the investigate materials more accurately, Heyd-Scuseria-Ernzerhof (HSE06) hybrid functional⁶⁹ is further included in the band structure calculations. Finally, the Maximally-Localised Wannier Functions (MLWF) of CaM₂Bi₂ are obtained from the Wannier90⁷⁰ program, and the surface Green's function is calculated using the WannierTools program⁷¹.

Conclusions

In this study, a systematic materials search coupled with first-principles calculations was performed to investigate 10 Zintl-phase compounds with a chemical formula of CaM_2X_2 (M = Zn or Cd; X = N, P, As, Sb, or Bi) and found all of these materials adapt $CaAl_2Si_2$ -type structure. Symmetry-based indicators are used to evaluate their topological properties. Surprisingly, we found that CaM_2Bi_2 (M = Zn or Cd) are topological crystalline insulators (TCIs) under the GGA-PBE. Further calculations under the hybrid functional approach reveal that they exhibit topological Dirac semimetal (TDSM) states, where the four-fold degenerate Dirac points are located along the high symmetry line in-between Γ to A points and is analyzed using the effective Hamiltonian derived by the $k \cdot p$ model. These findings are verified through Green's function surface state calculations under HSE06. Finally, phonon calculations were done to verify the thermodynamic stability of the $CaCd_2Bi_2$, implying possible synthesis. Our findings show that the Zintl phase of AM_2X_2 compounds can be a new playground in the search for new topological materials (TMs).

Received: 16 December 2021; Accepted: 8 March 2022 Published online: 17 March 2022

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Acknowledgements

F. C. C. acknowledges support from the National Center for Theoretical Sciences and the Ministry of Science and Technology of Taiwan under Grant No. MOST-107-2628-M-110-001-MY3 and MOST-110-2112-M-110-013-MY3. He is also grateful to the National Center for High-performance Computing for computer time and facilities.

Author contributions

L. Y. F. performed the first-principles calculation. L. Y. F., R. A. B. V., A. B. M. III, Z. Q. H., and C. H. H. interpreted and analyzed the data. F. C. C. initiated and supervised the whole study. All the authors wrote the paper together.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary Information The online version contains supplementary material available at https://doi.org/ 10.1038/s41598-022-08370-2.

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