scientific reports



OPEN Prognostic value of low skeletal muscle mass in patient treated by exclusive curative radiochemotherapy for a NSCLC

R. Mallet¹, P. Decazes², R. Modzelewski², J. Lequesne³, P. Vera², B. Dubray¹ & S. Thureau^{1,2}

Low skeletal muscle mass is a well-known prognostic factor for patients treated for a non-smallcell lung cancer by surgery or chemotherapy. However, its impact in patients treated by exclusive radiochemotherapy has never been explored. Our study tries to evaluate the prognostic value of low skeletal muscle mass and other antropometric parameters on this population. Clinical, nutritional and anthropometric date were collected for 93 patients treated by radiochemotherapy for a NSCLC. Anthropometric parameters were measured on the PET/CT by two methods. The first method was a manual segmentation at level L3, used to define Muscle Body Area (MBAL3), Visceral Fat Area (VFAL3) and Subcutaneous Fat Area (SCFA13). The second method was an software (Anthropometer3D), allowing an automatic multislice measurement of Lean Body Mass (LBM_{Anthro3D}), Fat Body Mass (FBM_{Anthro3D}), Muscle Body Mass (MBM_{Anthro3D}), Visceral Fat Mass (VFM_{Anthro3D}), and Sub-Cutaneous Fat Mass (SCFM_{Anthro3D}) on the PET/CT. All anthropometrics parameters were normalised by the patient's height. The primary end point was overall survival time. Univariate and then stepwise multivariate cox analysis were performed for significant parameters. Finally, Spearman's correlation between MBA_{L3} and MBM_{Anthro3D} was assessed. Forty-one (44%) patients had low skeletal muscle mass. The median overall survival was 18 months for low skeletal muscle mass patients versus 36 months for non-low skeletal muscle mass patients (p = 0.019). Low skeletal muscle mass (HR = 1.806, IC95%) [1.09–2.98]), serums albumin level < 35 g/l (HR = 2.203 [1.19–4.09]), Buzby Index < 97.5 (HR = 2.31 [1.23-4.33], WHO score = 0 (HR = 0.59 [0.31-0.86] and MBM_{Anthro3D} < 8.56 kg/m² (HR = 2.36 [1.41-3.90]) were the only significant features in univariates analysis. In the stepwise multivariate Cox analysis, only $MBM_{Anthroad} < 8.56 \text{ kg/m}^2$ (HR = 2.16, p = 0.003) and WHO score = 0 (HR = 0.59, p = 0.04) were significant. Finally, muscle quantified by MBA_{L3} and MBM_{Anthro3D} were found to be highly correlated (Spearman = 0.9). Low skeletal muscle mass, assessed on the pre-treatment PET/CT is a powerful prognostic factor in patient treated by radiochemotherapy for a NSCLC. The automatic software Anthropometer3D can easily identify patients a risk that could benefit an adapted therapy.

Abbreviations

BMI	Body mass index
SMA	Skeletal muscle area
NSCLC	Non small cell lung cancer
¹⁸ FDG-PET/CT	Fluorodeoxyglucose-positron emission tomography/computerized tomography
WHO	World health organization
NRI	Nutritional risk index
CRT	Chemo-radiotherapy
CTCAE	Common terminology criteria for adverse events
SUV _{Max}	Standardized uptake value maximum
PACS	Picture archiving and communication system

¹Department of Radiation Oncology, Centre Henri Becquerel and Rouen University Hospital, & QuantIF–LITIS [EA (Equipe d'Accueil) 4108], Rouen, France. ²Department of Nuclear Medicine, Centre Henri Becquerel and Rouen University Hospital, & QuantIF–LITIS [EA (Equipe d'Accueil) 4108–FR CNRS 3638], Faculty of Medicine, University of Rouen, Rouen, France. ³Clinical Research Department, Centre Henri Becquerel, Rouen, France. [™]email: sebastien.thureau@chb.unicancer.fr

SMI	Skeletal muscle index
VFM	Visceral fat mass
SCFM	Subcutaneous fat mass
LBM	Lean body mass
FBM	Fat body mass
MBM	Muscle body mass
VFM	Visceral fat mass
SCFM	Subcutaneous fat mass
HU	Hounsfield unit
ROC	Receiver operating characteristic
AUC	Area under the curves

Lung cancer is one of the most common cancer in USA in 2018, and the deadliest cancer¹. Despite progress in the care of this pathology, the prognostic remains poor with a 5-Years overall survival rate of $18.6\%^2$.

For patients with a disease who can benefit from the surgery because of a locally unresectable lesion or with a contraindication for surgery, the standard of care is radiotherapy, with or without platin-based chemotherapy³ (CRT). This treatment can be given sequentially or concurrently, usually at a dose of 60–66 Gy in 30–33 fractions over 6–7 weeks. A radiotherapy boost is not recommended, a trial led to poorer survival⁴. Pretherapeutic evaluation includes cardiac, pulmonary function assessments and general status review. Nutritional status is a well-known prognostic factor in cancer, with an influence on toxicities, response to treatment, surgical complications and overall survival in several localisations^{5,6}. The usual tools are Body Mass Index, weight history and albumin serum level. But in the last decades, there have been an increased interest for qualitative nutritional assessment, and particularly for skeletal muscle mass and sarcopenia.

Sarcopenia is a multifactorial metabolic syndrome characterized by a loss of skeletal muscle mass with or without loss of fat mass, associated with reduced physical function and poor tolerance to anticancer therapy^{8–12}. Prado et al. showed that sarcopenia at baseline, defined by a quantitative approach, assessed by CT-scan of Skeletal Muscle Area (SMA) on the third lumbar vertebra, is a powerful prognostic factor for solid tumours of the respiratory and gastrointestinal tracts¹⁰. For lung cancer, prevalence of sarcopenia at diagnosis varies between 24 and 74%^{11,12}. To our knowledge, the prognostic impact of sarcopenia (defined by Prado as low skeletal muscle mass) on overall survival in patient treated by exclusive radiochemotherapy, and more generally anthropometrical parameters evaluated by CT-scan¹⁰, has not yet been evaluated.

The primary objective was to evaluate the impact of low muscular mass (by Prado and Baumgartner) with two segmentation methods, in a population of patients treated by exclusive curative radio-chemotherapy for localised NSCLC.

The second objectives were to evaluate the impact of clinical, nutritional and anthropometric parameters (evaluate with the same segmentation methods than muscular mass).

Methods

Patients and procedures. A single-center retrospective open study was performed in the Henri Becquerel Cancer Center, Rouen, France. A computerized review of patients treated for a NSCLC was undertaken. The population included all new patients referred to the radiotherapy department with a NSCLC between 2012 and 2015. The inclusion criteria were histologically confirmed NSCLC cancer, pre-therapeutic extension assessment by ¹⁸FDG-PET/CT with available images, and chemoradiotherapy for a curative aim treatment exclusively. Exclusion criteria included surgery, palliative treatment and presence of metastasis. Clinical, morphological, nutritional, and imaging parameters at baseline were collected.

As regarding baseline clinical data, age, sex, WHO performance status, histological subtypes, cancer location and TNM stage were collected. The baseline nutritional parameters were weight, weight loss history (over the last 6 months), size, body mass index (BMI), serum albumin levels, and Nutritional Risk Index¹³.

We also collected treatment parameters: chemotherapy protocol, number of chemotherapy lines, type of treatment (sequential/concurrent CRT, exclusive radiotherapy) radiotherapy dose (Gy), number of treatment days, volume treated at 60–66 Gy (cm³). Using Common Terminology Criteria for Adverse Events (CTCAE) V4.03, we collected grade \geq 3 toxicities of the treatment for cardiac, gastric, pneumologic, biological and nephrologic events (and their impact on CRT: radiotherapy) interruption for more than 3 days, chemotherapy interruption, reports or dose reduction of the chemotherapy).

With ¹⁸FDG-PET/CT, we used CT-slice to assess skeletal muscle mass by two methods, described further, and to measure Standardized Uptake Value Maximum (SUV_{Max}).

From our institution follow, we collected time to progression (define on diagnose provide by ¹⁸FDG-PET/CT), and overall survival.

Anthropometric parameters. Skeletal muscle mass was assessed using the ¹⁸FDG-PET/CT and analysed by homemade plugin running on the Telemis 4.7-Picture Archiving and Communication System (PACS). Skeletal muscle was identified and quantified by use of Hounsfield Unit (HU) thresholds (-29 to +150)¹⁴. The L3 skeletal muscles were psoas, paraspinal muscles and abdominal wall muscles. We segmented the L3 skeletal muscle cross-sectional area on two adjacent cross-sectional images of the third lumbar vertebra, and the mean value for both images was defined as skeletal muscle lumbar 3 areas (cm²). This value was normalized for stature to estimate the Skeletal Muscle Index (SMI, cm²/m²)¹⁴. Using the sex specific cut-offs defined by Prado et al., low skeletal muscle mass was defined as an SMI < 52.4cm²/m² for men and < 38.5 cm²/m² for women¹⁰. Using the same method, we measured Visceral Fat Mass (VFM) and Subcutaneous Fat Mass (SCFM).

$$\begin{split} \mathsf{MBM} &= \mathsf{N}_{\mathsf{muscle}} \times \mathsf{K}_{\mathsf{muscle}} \times \mathsf{V}_{\mathsf{voxel}} \times p_{\underline{\mathsf{muscle}}} \\ \mathsf{FBM} &= \underline{\mathsf{N}_{\mathsf{fat}}} \times \underline{\mathsf{K}_{\mathsf{fat}}} \times \mathsf{V}_{\mathsf{voxel}} \times p_{\underline{\mathsf{fat}}} \\ \mathsf{LBM} &= \mathsf{W}\text{-} \mathsf{FBM} \\ \mathsf{VAT} &= \underline{\mathsf{N}_{\mathsf{visceral}}}_{\mathsf{visceral}} \operatorname{fat} \times \mathsf{V}_{\mathsf{voxel}} \times p_{\underline{\mathsf{fat}}} \\ \mathsf{SAT} &= \mathsf{FMB} - \mathsf{VAT} \end{split}$$

With $K_{muscle} = N_{muscle}$ of whole body CT atlas/ N_{muscle} of truncated CT atlas

And $\underline{K}_{fat} = \underline{N}_{fat}$ of whole body CT atlas/ \underline{N}_{fat} of truncated CT atlas

Figure 1. Calcul of anthropometric parameters with Anthropometer3D. Vvoxel is the volume of one voxel (ml), ρ is the density of the tissue (1.06 g/ml for muscle, 0.923 g/ml for fat, and N is the number of voxel for each tissue.

We also measured anthropometric parameters with a second method, using Anthropometer3D software developed by our team, which allows a multi-slice measurement of several anthropometrics parameters on the CT of ¹⁸FDG-PET/CT. This software uses a multi-atlas segmentation method combined with an extrapolation of the body parts outside the field of acquisition. Lean Body Mass (LBM), Fat Body Mass (FBM), Muscle Body Mass (MBM), Visceral Fat Mass (VFM) and Subcutaneous Fat Mass (SCFM) can be measured automatically by this software. ¹⁸FDG-PET/CT was acquired from the mid-thigh toward the base of the skull. Body parts below the ischia and above the eyes are estimated by using extrapolation factors for two tissues of interest (k_{muscle} for muscles and k_{fat} for fat) calculated on the CT atlases as the mean ratio of whole-body voxels of fat (or muscle) divided by the numbers of voxels of fat (or muscle) between the ischia to the eyes. This segmentation generates three types of mask from ischia to eyes: the first for the body shape, the second for the abdominal cavity and the third for the muscles. From the three masks are extracted the fat voxels, the visceral fat voxels and +29 to +150 for muscle voxels. Then, MBM, FBM, LBM, VFM and SCFM are calculated as shown in Fig. 1.

Statistical analysis. Characteristics of the sample were described using counts and percentages for qualitative variables, and median and extreme values for quantitative variables. Comparison of sample characteristics according to sarcopenia was established by a Chi-squared test (or Fisher's exact test) for qualitative variables, and a Student's (or nonparametric Wilcoxon Mann Whitney) test for quantitative variables. A risk alpha level of 5% was retained for each test.

Overall and progression-free survival curves were estimated by the Kaplan–Meier method. The Log-rank test and a Cox model were used to compare overall and progression-free survival based on patients' skeletal muscle mass status, clinical, and nutritional characteristics.

Prognostic values of anthropometric parameters on overall survival were established by Receiver Operating Characteristic (ROC). When Area Under the Curves (AUC) were significantly greater than 0.5, an optimal threshold was defined by simultaneously maximizing sensitivity and specificity. A survival analysis according to this factor, both as a continuous variable (Cox model) and as a binary variable dichotomized by the threshold (log-rank test) thus defined, was then carried out to confirm the prognostic value.

Significant variables in univariate analysis (p < 0.05) were then included in a multivariate survival analysis model. Note that, to be restrictive in the variable selection due to small sample size, anthropometric parameters will be selected according to Cox model p-value < 0.05 by considering variable as continuous. Then, a model selection was carried out using a stepwise approach to successively include and remove parameters in order to obtain a unique model containing only the most relevant variables.

Ethics. The study protocol has been approved by the Centre Henri Becquerel Cancer scientific and ethic committee (IRB 2104B). The informed consent was lifted by the Centre Henri Becquerel Cancer scientific and ethic committee. All the date were anonymized. All methods were carried out in accordance with the relevant guidelines and regulations.

Consent for publication. All the authors give consent for publication.

Results

Between 2012 and 2015, 209 patients were addressed for radiotherapy of a lung cancer to the Centre Henri Becquerel. Flow-chart is shown in Fig. 2. The final sample included 93 patients.

The general and nutritional characteristics of the sample are described in Table 1. Based on French recommendations for diagnosis of malnutrition¹⁵, only 4 (4.1%) patients had a BMI below standard for age, 13 (13.9%) using albumin serum levels (13.9%), and 28 (30.1%) using weigh loss histories. The majority of patients (77/93, 72.8%) was addressed for a stage III NSCLC treatment. Patients of stage I and II were addressed because of surgical contraindications and were treated with conventional radiotherapy, because pulmonary stereotactic

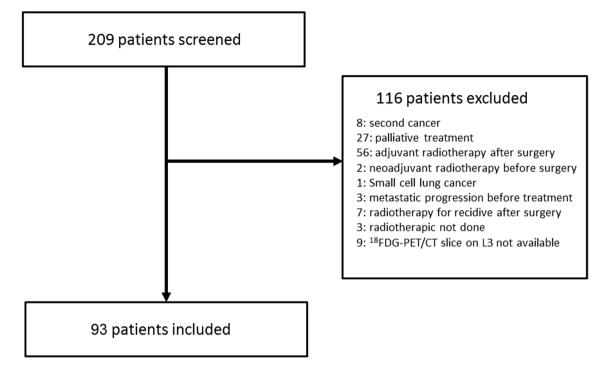


Figure 2. Flowchart of the study.

radiotherapy was not available in our centre at the time of treatment. Adenocarcinoma was assessed in 50% of women and 37% of men.

Based on thresholds defined by Prado $(52.4 \text{ cm}/^2\text{m}^2 \text{ for men and } 38.4\text{cm}^2/\text{m}^2 \text{ for women})$, 41 patients (44.1%) had low skeletal muscle mass. General and nutritional parameters of low skeletal muscle mass and non-low skeletal muscle mass patients are described in Table 1. Low skeletal muscle mass patients were older, had a smaller weight, a bigger weight loss history, an inferior albumin serum level and consequently inferior BMI and NRI. Non low skeletal muscle mass patients were more often treated by exclusive radiotherapy, but there was no difference for the choice of chemotherapy regimen, the dose and duration of radiotherapy.

Anthropometric parameters are described in Table 2. The Pearson correlation coefficient for SMI and Muscular Mass was $0.88 \ [0.83-0.92] \ (p < 0.001)$, showing an excellent correlation for the measure of the muscular mass by these two methods.

At date of analysis, 62 (66.7%) patients were dead, whose 32 of the 41 low skeletal muscle mass patients (78%) and 30 of the non-low skeletal muscle mass patients (57%). The median overall survival was 26.2 months, 17.6 months for low skeletal muscle mass patients versus 36 months for non-low skeletal muscle mass patients (p=0.02, see Fig. 3).

In exploratory analysis, in the determination of predictive anthropometric factors on survival by means of ROC curves (Table 3), optimal thresholds have been defined for Muscular Mass (kg/m²) and Skeletal Muscular Index (cm²/m²) (Fig. 4a,b). With a threshold of 8.56 kg/m² for Muscular Mass (AUC = 0.62, p = 0.03), an overall survival median time of 16.1 months was observed for the 37 patients below this threshold, and of 38.7 months for the others (HR = 2.35 [1.42–3.89], p = 0.021). With a threshold of 46.92 cm²/m² for Skeletal Muscular Index (AUC = 0.61, p = 0.04), an overall survival median time of 17.1 months was observed for the 38 patients below this threshold, and of 35.7 months for the others (HR = 1.92 [1.16;3.17], p = 0.011). In univariate survival analysis, BMI < 21, albumin serum level < 35 g/l and NRI < 97.5 were associated with worse overall survival while WHO score = 0 was associated with a better overall survival. As concerning anthropometric parameters, SMI < 46.92 cm²/m², low skeletal muscle mass (defined by Prado and Muscular < 8.56 kg/m²) were associated with a worse overall survival (Table 4). Due to numerous missing value of NRI and Serum albumin levels (respectively 35 and 33), these variables has not been included in the multivariable model. In multivariate analysis, the only factors associated with overall survival were WHO score = 0 and Muscular Mass < 8.56 kg/m² (Table 4). Sex cut-offs values has been computed for each parameter, displayed in Supplementary table 1.

At date of analysis, 70 patients had progressed or relapsed, whose 28 of the 41 low skeletal muscle mass patients (68%) and 42 of the non-low skeletal muscle mass patients (80.8%). The median progression free survival was 12.9 months, 13.3 months for low skeletal muscle mass patients and 11.2 months for non-low skeletal muscle mass patients (p = 0.81, see Fig. 5). None of studied parameters were shown to be statistically associated by progression free survival in univariate analysis.

During treatment, 59 patients (63%) presented grade \geq 3 toxicities. Thirty-eight patients (49%) needed a chemotherapy dose reduction and 43 (55%) needed chemotherapy report more than 15 days. Fifteen patients (16%) had an interruption of radiotherapy for more than 3 days, and 86 patients (92.4%) received the radio-therapy dose prescribed. There was only a significant difference according to low skeletal muscle mass for cardiac toxicities, with 12.9% of low skeletal muscle mass patients and 5.1% of non-low skeletal muscle mass patients

	Number of patients (n = 93)	Low skeletal muscle mass (n=41)	Non-low skeletal muscle mass (n = 52)	р
Clinical parameters				
Age	63 (28-87)	68 (38–87)	61 (28-85)	0.016
Sex				0.36
Men	73 (78.5%)	34 (82.9%)	39 (75%)	
Women	20 (21.5%)	7 (17.1%)	13 (25%)	
Histology				0.66
Adenocarcinoma	37 (39.8%)	14 (34.1%)	23 (44.2%)	
Squamous cell carcinoma	40 (43%)	18 (43.9%)	22 (42.3%)	
Other histology (except SCLC)	16 (17.2%)	9 (22%)	7 (13.5%)	
TNM stage				0.25
I	4 (4.3%)	3 (7.3%)	1 (1.9%)	
II	12 (13%)	6 (14.7%)	6 (11.5%)	
IIIA	20 (21.5%)	7 (17.1%)	13 (25%)	
IIIB	57 (61.3%)	25 (61%)	32 (61.5%)	
Tumour localisation				
Right lung	54 (58.1%)	6 (14.1%)	9 (17.3%)	
Left lung	30 (32.2%)	18 (43.9%)	11 (21.2%)	
Hilar	7 (7.5%)	5 (12.2%)	3 (5.8%)	
Multifocal	1 (1.1%)	1 (2.4%)	0 (0%)	
Mediastinal	1 (1.1%)	0 (0%)	1 (1.9%)	
WHO score				0.16
0	47 (50.5%)	17 (41.5%)	30 (57.7%)	
> = 1	46 (49.5%)	24 (58.5%)	22 (42.3%)	
SUV max*	13.99 (3.8-41.2)	13.6 (3.8-41.2)	14 (6-26.6)	0.039
PTV (cm ³)	440.4 (85-1363.9)	440.4 (85-1363.9)	434.1 (104.3-1087.4)	0.94
Nutritional parameters				
Weight (kg)*	70 (37–107)	65 (37-85)	75 (45–107)	< 0.001
Usual weight (kg)*	74 (40–115)	69.5 (40-93)	76 (45–115)	0.013
Weight loss (%)*	-1.41 (+11.11 to -23.1)*	-4.45 (+4.08 to -23.1)	0 (1.56 to – 5.6)	0.008
BMI (kg/m ²)*	23.81 (15.2-37.8)	22 (3.2)	26.1 (4.2)	< 0.001
Serum albumin level (g/l)*	37.25 (18.8–49.1)	35.3 (22-46.9)	39 (18.8–49.1)	0.01
NRI*	96.86 (65.77-116.28)	93.9 (71.4–112.9)	100 (65.8–116.3)	0.03

Table 1. General and nutritional characteristics of the sample, low skeletal muscle mass and non-low skeletal muscle mass patients. *Quantitative parameters are described by their median and minimal/maximum values.

.....

Anthropometric parameters	Low skeletal muscle mass (n=41)	Non-low skeletal muscle mass (n=52)	p			
Semi-automatic segmentation						
Skeletal muscular mass L3 (SML3) (cm ²)*	132.2 (75–156.9)	169 (103.5-246.4)	< 0.0001			
Visceral fat mass L3 (VFML3) (cm ²)*	116.6 (1.6-422.7)	154.34 (4.3–513.8)	0.13			
Subcutaneous fat mass L3 (SCFML3) (cm ²)*	107.3 (3.7–206.7)	137.1 (10.4–318)	0.001			
Skeletal muscular area (SMA) (cm ² /m ²)*	44.9 (31.6-52.1)	56.52 (39.4–77.7)	< 0.0001			
Anthropometer3D						
Fat body mass (FBM) (kg/m ²)*	5.9 (0.8–11.6)	7.3 (1.3–16.3)	0.015			
Visceral fat mass (VFM) (kg/m ²)*	1.1 (0.1–3)	1.4 (0.7–1.9)	0.09			
Subcutaneous fat mass (SCFM) (kg/m ²)*	4.7 (0.7-8.8)	5.7 (1.1-12.7)	0.011			
Lean body mass (LBM) (kg/m ²)*	16.6 (11.5–20.7)	19.4 (13.6–22.1)	< 0.0001			
Muscle body mass (MBM) (kg/m ²)*	8.1 (5.5–10.4)	10 (5.9–13)	< 0.0001			

Table 2. Anthropometric parameter for low skeletal muscle mass and non-low skeletal muscle mass patients.*Quantitative parameters are described by their median and minimal/maximum values.

Scientific Reports | (2021) 11:10628 |

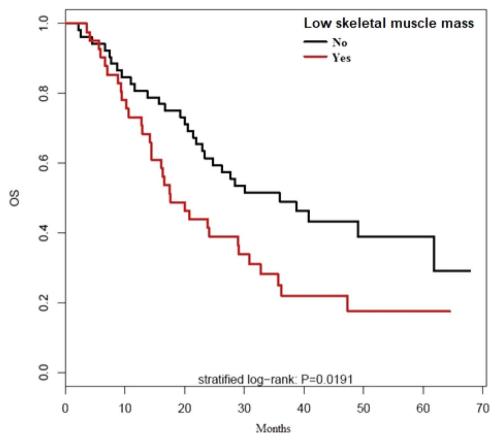


Figure 3. Overall survival for low skeletal muscle mass.

Parameter	Threshold	AUC	Sensitivity	Specificity
Fat body mass (kg/m ²)	4.16	0.55	0.34	0.9
Visceral fat mass (kg/m ²)	1.25	0.57	0.55	0.55
Subcutaneous fat mass (kg/m ²)	3.52	0.55	0.34	0.9
Lean body mass (kg/m ²)	17.31	0.56	0.48	0.71
Muscle body mass (kg/m ²)	8.56	0.62	0.52	0.81
Skeletal muscular mass L3 (cm ²)	159.9	0.57	0.71	0.45
Visceral fat mass L3 (VFML3)	48.88	0.56	0.58	0.55
Subcutaneous fat mass L3 (SCFML3)	37.44	0.55	0.44	0.87
Skeletal muscular area (SMA)	46.92	0.6	0.48	0.74

Table 3. ROC thresholds values and AUC.

(p = 0.02). The mainly observed toxicity was neutropenia, but rarely induced febrile neutropenia, precisely only 4 patients (12.5%), all were low skeletal muscle mass. Toxicities were not associated with anthropometric parameters measured by Anthropometer3D.

Discussion

The impact of low skeletal muscle mass has been increasingly studied over the last 10 years and many studies confirm its prognostic interest. However, data in patients treated with radiotherapy or radiochemotherapy remain limited.

For NSCLC treated by RTCT, there is to our knowledge only one study, but which only included 41 patients, the lack of power of the study did not allow to highlight the prognostic character of low skeletal muscle mass (25 minus versus 53 months p = 0.13)¹⁶. Il a study of stage I lung cancer treated by stereotactic radiotherapy, skeletal muscle mass had an impact on survival¹⁷.

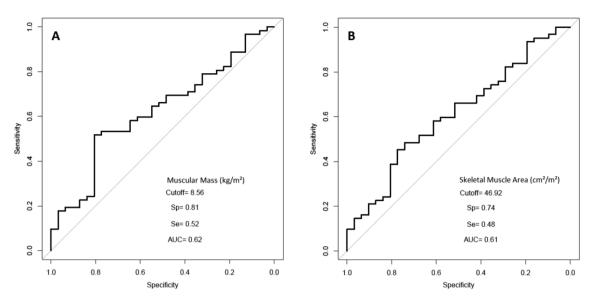


Figure 4. ROC curve and AUC for muscular mass (A) and skeletal muscle area (B).

	Hazard ratios				
Univariate analysis	Value (95% C.I.)	Reference	p	P (cox continuous)	
Fat Body mass (FBM)	2.2 (1.3-3.7)	\geq 4.16 kg/m ²	< 0.01	0.1	
Visceral fat mass (VFM)	1.3 (0.8–2.2)	\geq 1.25 kg/m ²	0.3	0.13	
Subcutaneous Fat Mass (SCFM)	2.2 (1.3-3.7)	\geq 3.52 kg/m ²	< 0.01	0.11	
Lean body mass (LBM)	1.7 (1-2.7)	≥17.31 kg/m ²	0.05	0.29	
Muscle body mass (MBM)	2.4 (1.4-3.9)	\geq 8.56 kg/m ²	< 0.001	0.021	
Skeletal muscular mass L3 (SML3)	1.6 (0.9–2.7)	≥159.9 cm ²	0.11	0.15	
Visceral fat mass L3 (VFML3)	1.4 (0.9–2.3)	≥48.88 cm ²	0.17	0.175	
Subcutaneous fat mass L3 (SCFML3)	2.6 (1.5-4.2)	≥37.44 cm ²	< 0.001	0.28	
Skeletal muscular area (SMA)	1.9 (1.1-3.1)	\geq 46.92cm ² /m ²	0.01	0.033	
Sarcopenia*	1.8 (1.1-3)	No	0.02	-	
BMI**	1.8 (1-3.1)	≥21	0.05	-	
Serum albumin level ** (33 missing values)	2.2 (1.2-4.1)	≥35 g/L	0.01	-	
NRI*** (35 missing values)	2.3 (1.2-4.3)	≥97.5	0.01	-	
WHO score	0.5 (0.3–0.9)	>0	0.01	-	
Age	1.18 (0.71-1.95)	≤63	0.52	0.068	
Multivariate stepwise analysis	Value (95% C.I.)(95%CI)	Reference	р		
WHO score	0.6 (0.4–0.9)	>0	0.042		
Muscle body mass (MBM)	2.1 (1.3-2.6)	\geq 8.56 kg/m ²	0.003		

Table 4. Univariate analysis and Final result of multivariate stepwise analysis for overall survival. *Defined by Prado; ** Threshold based on French recommendations for prevention of the undernutrition; ***Threshold based on Buzby.

So our best knowledge, our study is the first to show a pronostic impact of low skeletal muscle mass for patients treated by exclusive radiochemotherapy for a NSCLC. These results are consistent with those described by Prado and Martin^{10,18}, show that low skeletal muscle mass (considered as a reflect of sarcopenia) is a more powerful prognostic factor than usual nutritional parameters like weight lost and serum albumin levels and confirm the importance to evaluate low skeletal muscle mass before starting treatments. The SMI was not predictive of overall survival in our study, but a muscular mass < 8.56 kg/m² was. However, there was a strong correlation coefficient between these two measures. Decazes has shown that these measurements were correlated (and that muscle mass measured by Anthropometer 3 D can be used instead of SMI²⁴. Both systems had equivalent survival prediction but different sensitivities and specificities secondary to different cutt off.

A review confirms the interest of low skeletal muscle mass specifically in lung cancer but the included studies do not specifically study the stage III population treated with radiochemotherapy¹⁹. The high prevalence of low skeletal muscle mass in this population, confirmed by our study (44%), calls for optimised management

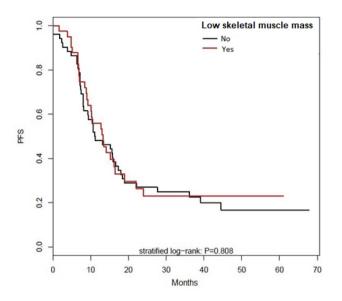


Figure 5. Progression free survival for low skeletal muscle mass.

even if low skeletal muscle mass was not associated with increased toxicity. However, this may be secondary to the lack of power and the small number of patients who had to have their treatment interrupted. In our study, the CT slice comes from ¹⁸FDG-PET/CT examination, with acquisition parameters different from those used by Prado, like the slice thickness: we use a 2 mm slice thickness, while Martin used a 5 mm slice thickness and Prado didn't described the acquisition parameters. Fuch and al showed that 5 mm slice thickness significantly increased muscle sectional area by 1.11% compared to 2 mm (p < 0.001)²⁰. It could lead to a bad classification of patients by underestimate muscular area at L3 in our study. But we also showed that low skeletal muscle mass can be evaluated by automatic segmentation on every slice of the ¹⁸FDG-PET/CT examination and it should reduce the impact of slice thickness.

Mechanisms by which low skeletal muscle mass confers increased mortality have not been actually explained. Several theories can be suggested to explain the effect: an increase of susceptibility to nosocomial infection²¹, baseline systemic inflammation associated with a more frequent rate of metastasis and progression^{22,23}, and an impact of low skeletal muscle mass on chemotherapy volume and distribution^{7,8}. The absences of significant differences for progression free survival and toxicities in our study suggests that low skeletal muscle mass is probably more a powerful reflect of the patient's general condition than the tumour aggressiveness.

Some limitations of our study should be noted, especially as concerning the sample size and few events observed. Indeed, at date of analysis, 62 patients were dead, whose 32 of the 41 patients with low skeletal muscle mass and 30 of the other patients. Moreover, as low skeletal muscle mass was observed in only 41 participants, yet the majority of analyses are based on this very small group, thus limiting interpretation of our results. Then, sarcopenia is defined by Prado et al. by using sex specific cut-offs. Due to the small number of women in our sample (n = 20), predictive performances of these female specific cut-offs need to be considered.

To conclude, low skeletal muscle mass assessed by CT sequence on ¹⁸FDG-PET/CT at baseline is an independent and robust prognostic factor for overall survival in patients with NSCLC treated by exclusive radiochemotherapy. These easily gathered imaging features can identify an at-risk population who need a specific therapy. The use of Anthropometer3D can provide a current evaluation of skeletal muscle mass at practice.

Data availability

All the data are available at Centre Henri Becquerel, ROUEN. Please contact the corresponding author if interested.

Received: 15 October 2020; Accepted: 20 April 2021 Published online: 20 May 2021

References

- 1. Torre, L. A., Siegel, R. L. & Jemal, A. Lung cancer statistics. Adv. Exp. Med. Biol. 893, 1-19 (2016).
- Howlader, N., Noone, A., Miller, D., Bishop, K., Kosary, C., Yu, M., et al. SEER Cancer Statistics Review, 1975–2014 [Internet]. National Cancer Institute, Bethesda, MD; 2016. Available from: https://seer.cancer.gov/csr/1975_2014/
- Postmus, P. E. et al. Early and locally advanced non-small-cell lung cancer (NSCLC): ESMO clinical practice guidelines for diagnosis, treatment and follow-up. Ann. Oncol. 28(4), 1–21 (2017).
- 4. Bradley, J. D. et al. Standard-dose versus high-dose conformal radiotherapy with concurrent and consolidation carboplatin plus paclitaxel with or without cetuximab for patients with stage IIIA or IIIB non-small-cell lung cancer (RTOG 0617): A randomised, two-by-two factorial phase 3 study. *Lancet Oncol.* 16(2), 187–199 (2015).
- 5. Ramos, R. *et al.* Preoperative nutritional status assessment predicts postoperative outcomes in patients with surgically resected non-small cell lung cancer. *Eur. J. Surg. Oncol.* **44**(9), 1419–1424 (2018).

- Dewys, W. D. et al. Prognostic effect of weight loss prior to chemotherapy in cancer patients. Eastern Cooperative Oncology Group. Am. J. Med. 69(4), 491–497 (1980).
- Prado, C. M. M. et al. Body composition as an independent determinant of 5-fluorouracil-based chemotherapy toxicity. Clin. Cancer Res. 13(11), 3264–3268 (2007).
- Prado, C. M. M. et al. Sarcopenia as a determinant of chemotherapy toxicity and time to tumor progression in metastatic breast cancer patients receiving capecitabine treatment. Clin. Cancer Res. 15(8), 2920–2926 (2009).
- Antoun, S., Baracos, V. E., Birdsell, L., Escudier, B. & Sawyer, M. B. Low body mass index and sarcopenia associated with doselimiting toxicity of sorafenib in patients with renal cell carcinoma. Ann. Oncol. 21(8), 1594–1598 (2010).
- Prado, C. M. M. *et al.* Prevalence and clinical implications of sarcopenic obesity in patients with solid tumours of the respiratory and gastrointestinal tracts: A population-based study. *Lancet Oncol.* 9(7), 629–635 (2008).
- 11. Go, S.-I. et al. Sarcopenia and inflammation are independent predictors of survival in male patients newly diagnosed with small cell lung cancer. Support Care Cancer. 24(5), 2075–2084 (2016).
- Stene, G. B. et al. Changes in skeletal muscle mass during palliative chemotherapy in patients with advanced lung cancer. Acta Oncol. 54(3), 340–348 (2015).
- Buzby, G. P. et al. A randomized clinical trial of total parenteral nutrition in malnourished surgical patients: The rationale and impact of previous clinical trials and pilot study on protocol design. Am. J. Clin. Nutr. 47(2 Suppl), 357–365 (1988).
- 14. Mitsiopoulos, N. *et al.* Cadaver validation of skeletal muscle measurement by magnetic resonance imaging and computerized tomography. *J. Appl. Physiol. Bethesda Md.* **85**(1), 115–122 (1998).
- Stratégie de prise en charge en cas de dénutrition protéino-énergétique chez la personne âgée [Internet]. HAS; 2007 [cited 2018 Jul 5]. Available from: https://www.has-sante.fr/portail/jcms/c_546549/fr/strategie-de-prise-en-charge-en-cas-de-denutrition-prote ino-energetique-chez-la-personne-agee
- 16. Kiss, N., Beraldo, J. & Everitt, S. Support care. Cancer 27(7), 2657-2664 (2019).
- Matsuo, Y., Mitsuyoshi, T., Shintani, T., Iizuka, Y. & Mizowaki, T. Impact of low skeletal muscle mass on non-lung cancer mortality after stereotactic body radiotherapy for patients with stage I non-small cell lung cancer. J. Geriatr. Oncol. 9(6), 589–593 (2018).
 Martin L. et al. Concorrection of phasitrue depletion muscle depletion in a neuroful program of phasitrue independent of the second phasitrue depletion.
- Martin, L. *et al.* Cancer cachexia in the age of obesity: skeletal muscle depletion is a powerful prognostic factor, independent of body mass index. *J. Clin. Oncol. Off. J. Am. Soc. Clin. Oncol.* 31(12), 1539–1547 (2013).
- 19. Collins, J., Noble, S., Chester, J., Coles, B. & Byrne, A. The assessment and impact of sarcopenia in lung cancer: A systematic literature review. *BMJ Open* **4**(1), e003697 (2014).
- Fuchs, G. et al. Quantifying the effect of slice thickness, intravenous contrast and tube current on muscle segmentation: Implications for body composition analysis. Eur. Radiol. 28(6), 2455–2463 (2018).
- 21. Schneider, S. M. *et al.* Malnutrition is an independent factor associated with nosocomial infections. *Br. J. Nutr.* **92**(1), 105–111 (2004).
- Kumari, N., Dwarakanath, B. S., Das, A. & Bhatt, A. N. Role of interleukin-6 in cancer progression and therapeutic resistance. *Tumour Biol. J. Int. Soc. Oncodevelopmental Biol. Med.* 37(9), 11553–11572 (2016).
- 23. Wu, Y. & Zhou, B. P. TNF-α/NF-κB/Snail pathway in cancer cell migration and invasion. Br. J. Cancer. 102(4), 639–644 (2010).
- Decazes, P., David, T., Pierre, V., Isabelle, G. Anthropometer3D: Automatic Multi-Slice Segmentation Software for the Measurement of Anthropometric Parameters from CT of PET/CT. J. Digit. Imaging 32, nº 2 (avril 2019): 241–250. https://doi.org/https://doi.org/10.1007/s10278-019-00178-3.

Author contributions

R.M. has participated to the conception of the study, collected data and the redaction of the article. S.T. has participated to the conception of the study, data analysis and the redaction of the article. P.D. and R.M. provided data and participated to the conception of the study, J.L. made the statistics, P.V. and B.D. participated to the conception of the study.

Funding

There was no private funding source. All the data collection, data analysis, data interpretation and writing have been done by the authors.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary Information The online version contains supplementary material available at https://doi.org/10.1038/s41598-021-90187-6.

Correspondence and requests for materials should be addressed to S.T.

Reprints and permissions information is available at www.nature.com/reprints.

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

© The Author(s) 2021