scientific reports

Check for updates

OPEN Associations between human leukocyte antigens and renal function

Marcus Lowe^{1,3^{IC}}, Antony Payton², Arpana Verma³, Judith Worthington¹, Isla Gemmell³, Patrick Hamilton⁴, William Ollier^{3,5}, Titus Augustine^{4,6} & Kay Poulton^{1,3}

Human leukocyte antigens (HLA) have been associated with renal function, but previous studies report contradictory findings with little consensus on the exact nature or impact of this observation. This study included 401,307 white British subjects aged 39–73 when they were recruited by UK Biobank. Subjects' HLA types were imputed using HLA*IMP:02 software. Regression analysis was used to compare 362 imputed HLA types with estimated glomerular filtration rate (eGFR) as a primary outcome and clinical indications as secondary outcome measures. 22 imputed HLA types were associated with increased eGFR (and therefore increased renal function). Decreased eGFR (decreased renal function) was associated with 11 imputed HLA types, seven of which were also associated with increased risk of end-stage renal disease and/or chronic kidney disease. Many of these HLA types are commonly inherited together in established haplotypes, for example: HLA-A*01:01, B*08:01, C*07:01, DRB1*03:01, DQB1*02:01. This haplotype has a population frequency of 9.5% in England and each allele was associated with decreased renal function. 33 imputed HLA types were associated with kidney function in white British subjects. Linkage disequilibrium in HLA heritance suggests that this is not random and particularly affects carriers of established haplotypes. This could have important applications for the diagnosis and treatment of renal disease and global population health.

Approximately 1.2 million people died due to chronic kidney disease (CKD) worldwide in 2015¹, representing an increase of 32% since 2005. CKD is now ranked 17th in the list of diseases which cause the most "years of lost life", rising from 21st in 2005 and 25th in 1990¹. 2.6 million people received dialysis in 2010, and treatment of end-stage renal disease (ESRD) accounts for 2–3% of the healthcare budgets of high-income countries². Understanding the genetic influences which predispose people to kidney dysfunction will have important applications for the diagnosis and treatment of a globally significant disease.

A number of human leukocyte antigens (HLA) encoded within the major histocompatibility complex are associated with increased or decreased risk of renal failure³. For example, HLA-B*51 was associated with ESRD in Venezuelan⁴ and Brazilian⁵ subjects, while A*26 was protective against ESRD in Saudi Arabia⁶ and Turkey⁷. Approximately 100 different HLA types have been linked to renal function by various studies worldwide⁸, including studies of European or white populations⁹⁻¹³. These were mostly reported in case-control studies comprising fewer than 500 subjects of a single nationality, and many associations remain unreplicated or have been contradicted by other studies. Despite mounting evidence that an association between HLA and renal function exists, there is no supportive confirmation in sufficiently-powered studies. We interrogated a large cohort of white British subjects to test the hypothesis that the HLA region is associated with renal function.

¹Transplantation Laboratory, Manchester Royal Infirmary, Manchester University NHS Foundation Trust, Manchester, UK. ²Division of Informatics, Imaging and Data Sciences, School of Health Sciences, Faculty of Biology, Medicine and Health, University of Manchester, Manchester, UK. ³Division of Population Health, Health Services Research and Primary Care, University of Manchester, Manchester, UK. ⁴Department of Renal and Pancreatic Transplantation, Manchester Royal Infirmary, Manchester University NHS Foundation Trust, Manchester, UK. ⁵Centre for Bioscience, Faculty of Science and Engineering, Manchester Metropolitan University, Manchester, UK. ⁶Division of Diabetes, Endocrinology and Gastroenterology, University of Manchester, Manchester, UK. [™]email: marcus.lowe@mft.nhs.uk

Methods

Study population and quality control. This is a UK Biobank (UKB) retrospective cohort study using data from 502,616 subjects aged 39–73 years at the time of recruitment between 2006 and 2010¹⁴. 88% of the cohort self-identifies as "white British", and principal component analysis conducted by UKB concluded that 82% of the UKB cohort is white British¹⁵. Analysis was restricted to this group to reduce population stratification; 92,858 subjects who were not white British were analysed separately¹⁶.

Individuals within the cohort whom UKB deemed to be related¹⁷ (kinship coefficient ≥ 0.044) were also excluded (n = 7318) to avoid HLA frequency bias¹⁸. Where subjects were related, the individual with the most complete set of genetic data, based on a set of "high-quality markers"¹⁷, was included. Genetic sex influences kidney function¹⁹, so only individuals whose sex could be clearly assigned were included. Subjects identified by UKB to have sex chromosome karyotypes other than XX or XY²⁰ and those whose genetic sex, as calculated by UKB, did not match their self-reported sex²¹ were removed (n = 786 in total). Finally, 347 subjects were excluded at UKB's recommendation due to missing genetic data²². A total of 101,309 subjects were excluded during quality control, leaving 401,307 subjects for analysis. All quality control was performed using Stata/SE 13.0 (StataCorp).

HLA typing. Imputation estimates a person's most likely HLA type based on the presence of particular single nucleotide polymorphisms²³. HLA types were imputed for each subject by UKB using HLA*IMP:02 software²⁴ at the following loci: HLA-A, B, C (Class I) and DPA1, DPB1, DQA1, DQB1, DRB1, DRB3, DRB4, DRB5 (Class II)²⁵ at a level equivalent to high resolution typing using eight reference datasets²⁶. 362 HLA types were imputed. Two of these (HLA-DQB1*02:02 and DPB1*03:01) were not in Hardy–Weinberg equilibrium (HWE, P < 0.00014) so were excluded from this study; the remaining 360 alleles were included. Table 1 shows the 100 HLA types with frequency > 1% in the cohort.

Measures of renal function. Renal function was determined using estimated glomerular filtration rate (eGFR), a measure of toxin filtration calculated using serum biomarkers such as creatinine and cystatin²⁷. High levels of these biomarkers are indicative of poor renal function and manifest in a lower eGFR. This study used the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) eGFR calculation which adjusted for age and sex²⁸. Three eGFR values were calculated for each subject, using measures of: creatinine; cystatin; and both creatinine and cystatin²⁹. Pairwise correlation confirmed that the three eGFR values were similar (Pearson's correlation coefficients > 0.6; P < 0.0001). The cystatin-based eGFR value provided the most complete dataset; only this value was used for analysis to avoid repetition of testing using closely correlated variables.

Clinical histories for each subject were used as secondary outcomes. Subjects with kidney dysfunction were identified by examining self-reported questionnaires in addition to data relating to clinical diagnosis and procedures undertaken. These were deduced using a combination of the International Classification of Diseases³⁰ (ICD)-9 and -10, Office of Population Censuses and Surveys (OPCS)³¹-3 and -4, and UKB's own coding systems^{32,33}. Subjects were categorised as: ESRD patients (yes or no); kidney transplant recipients (yes or no); dependent on renal replacement therapy (RRT) including transplantation at any point (yes or no); and CKD patients of any stage (yes or no) (see Table 6).

Statistical analysis. Linear regression analysis was performed to test for associations between HLA alleles and eGFR as a continuous variable. All 360 HLA alleles which were in HWE were included, with a Bonferroni threshold of P < 0.00014 considered significant³⁴ (0.05/360). Subjects who had ever received RRT were excluded as their eGFR values may have suggested healthy renal function even though their native function was poor.

Logistic regression was used to test for associations between HLA types and adverse clinical outcomes (ESRD, RRT, CKD, and kidney transplantation; binary variables). Age at recruitment and sex were included as covariates, and only alleles in HWE with minor allele frequency >5% were considered (n = 50) in order to increase statistical power. P < 0.001 was considered significant after Bonferroni correction. All regression analysis was performed using Plink software³⁵.

Ethical approval. All methods were carried out in accordance with relevant guidelines and regulations. All experimental protocols were approved by UKB's Research Ethics Committee. Informed consent was obtained for all subjects. UKB has obtained Research Tissue Bank approval from its ethics committee that covers the majority of proposed uses of the resource, so researchers do not typically need to obtain separate ethics approval.

Results

Variation in renal function. Variation in renal function within the UKB cohort is outlined in Table 2. eGFR values could not be calculated for around 18,000 subjects (<5%) due to missing creatinine and/or cystatin measurements. Subjects dependent on RRT were excluded from the analysis, although their eGFR values are listed in Table 2, which shows calculated eGFR values and the corresponding CKD stages³⁶ as well as the number of subjects in the final analysis.

The calculated eGFR values were compared to average values to check that they were plausible. Average eGFR for different age categories were taken from the National Kidney Foundation³⁷. The values calculated by this study were in line with NKF's estimates, as shown in Table 3. This increases confidence in the calculated values.

11,379 subjects were identified with ESRD. Of these, 437 were renal transplant recipients and 1412 subjects had RRT. 4794 subjects had a clinical diagnosis of CKD (36 stage 1, 300 stage 2, 3557 stage 3, 397 stage 4, and 504 stage 5).

Class I		Class II	Class II			
HLA-	Frequency (%)	HLA-	Frequency (%)			
A*02:01	27.44	DPB1*04:01	43.55			
A*01:01	19.42	DRB4—no gene	35.02			
C*07:01	17.64	DRB3—no gene	34.33			
C*07:02	15.82	DRB4*01:03	25.35			
B*07:02	14.82	DOA1*05:01	23.00			
A*03:01	14.48	DQA1*03:01	20.35			
B*08:01	14.44	DQA1*01:02	19.09			
C*05:01	11.29	DRA 1*01-02	19.09			
R*44.02	11.38	DOR1*03-01	17.52			
C*06-02	8.07	DQB1 05.01	17.55			
C*06:02	8.97	DRB3 01:01	16.74			
C*04:01	8.26	DRB5—no gene	15.27			
C*03:04	7.97	DQB1*02:01	14.94			
A*24:02	7.25	DRB1*03:01	14.87			
B*15:01	6.11	DPA1*02:01	14.60			
A*11:01	6.04	DQA1*02:01	14.58			
B*44:03	5.85	DRB1*07:01	14.56			
B*40:01	5.56	DRB1*15:01	14.47			
C*03:03	5.54	DRB5*01:01	14.44			
B*35:01	4.52	DQB1*06:02	14.19			
C*16:01	4.43	DQA1*01:01	14.14			
A*29:02	4.24	DRB3*02:02	13.24			
B*27:05	3.95	DQB1*05:01	12.00			
B*57:01	3.92	DRB1*04:01	11.14			
C*08:02	3.62	DPB1*02:01	10.76			
B*51:01	3.60	DPB1*04:02	10.69			
B*18:01	3.59	DQB1*03:02	10.37			
C*02:02	3.59	DRB1*01:01	9.33			
A*32:01	3.47	DQB1*02:02a	9.27			
C*01:02	3.41	DPB1*03:01a	9.11			
A*68:01	3.01	DRB4*01:01	8.06			
C*12:03	2.82	DPB1*01:01	5.92			
A*31:01	2.68	DQA1*01:03	5.44			
B*14:02	2.52	DQB1*03:03	5.23			
B*13:02	1.93	DOB1*06:03	5.06			
A*26:01	1.85	DRB1*13:01	5.00			
B*55:01	1.83	DRB1*04:04	3.98			
C*07:04	1.76	DRB1*13:02	3 79			
C*15:02	1.73	DRB3*03:01	3.77			
A*23:01	1.70	DRB1*11:01	3.19			
A*25:01	1.70	DPA 1*02:02	3.10			
D*27-01	1.02	DIA1 02.02	2.74			
D 57.01	1.33	DQB1 00:04	2.73			
D 14:01	1.10	DOB1*05-02	2.00			
D 49:01	1.15	DQB1*05:03	2.1/			
		DPBI-05:01	2.07			
		DQB1*04:02	2.00			
		DQA1*04:01	1.95			
		DRB1*14:01	1.91			
		DRB1*08:01	1.79			
		DRB1*01:03	1.69			
A*30:01	1.01	DPB1*10:01	1.61			
		DPB1*13:01	1.49			
		DRB1*12:01	1.42			
		DRB1*09:01	1.31			
		DPB1*17:01	1.11			
		DRB1*11:04	1.04			
		DQB1*06:09	1.02			

Table 1. HLA types with frequency > 1%. This shows the 44 Class I and 56 Class II types which have frequency > 1% in the cohort. They are split into Class I and Class II and sorted in descending order of frequency. ^aNot in Hardy–Weinberg equilibrium so excluded from analysis.

Scientific Reports | (2021) 11:3158 |

Subjects with		Kidney function determined by			
eGFR	CKD stage	Creatinine	Cystatin	Both	
0-14.9	5 (ESRD requiring RRT)	95	91	92	
15-29.9	4	277	538	374	
30-44.9	3b	1147	2611	1436	
45-59.9	3a	7164	14,777	7575	
60-89.9	2 (when combined with other evidence of kidney damage)	148,885	183,525	172,037	
90-119.9	1 (when combined with other	225,142	180,139	199,325	
≥120	evidence of kidney damage)	694	1877	2287	
Data missing		17,903	17,749	18,181	
Excluded due to RRT		1357	1354	1351	
Included in eGFR analysis		382,047	382,204	381,775	

Table 2. Distribution of subjects' eGFR. This shows the distribution of subjects' eGFRs for each of the three eGFR formulae, as well as the number of subjects included and excluded. eGFR thresholds are based on thresholds used for CKD diagnosis. Some subjects were dependent on RRT so were not included in analysis of eGFR, though their eGFRs are included in this table.

	Average eGFR according to				
Age	National Kidney Foundation	This study (creatinine)	This study (cystatin)	This study (both)	
40 s	99	99.6	100.0	100.7	
50 s	93	92.4	90.2	92.1	
60 s	85	85.0	80.5	83.5	

Table 3. eGFR values by age. This shows average eGFR values by decade of life for the general population(provided by National Kidney Foundation) and for the three eGFR formulae used in this study.

.....

Regression analysis. 33 HLA types were significantly associated with renal function after correction for multiple testing. Table 4 lists the 11 HLA alleles linked with decreased renal function (defined by either decreased eGFR or the presence of CKD or ESRD). Table 5 shows the 22 HLA alleles associated with increased renal function. No HLA associations were identified with kidney transplant status or RRT status. Tables 4 and 5 also show the population frequency of the alleles, the beta value or odds ratio (OR) of each effect, and the level of significance of the associations.

Associations with decreased renal function. HLA types are inherited in maternal and paternal haplotypes and are not randomly distributed. Of the 11 HLA associations with decreased eGFR, seven were also linked to development of CKD, ESRD or both. 10 of these 11 HLA alleles are inherited in two well-documented haplotypes: (HLA-A*01:01, B*08:01, C*07:01, DRB1*03:01, DRB3*01:01, DQA1*05:01, DQB1*02:01; and A*03:01, B*07:02, C*07:02³⁸. All genes in the former are associated with decreased eGFR, and all but HLA-DRB3*01:01 are also linked to increased risk of CKD, ESRD, or both. This haplotype is seen in 9.5% of the English population³⁹. The "absence of DRB3 genes" was also associated with decreased eGFR, which may either indicate increased susceptibility in subjects homozygous for this common haplotype, or may reflect individuals with the latter haplotype, which is in linkage disequilibrium (LD) with HLA-DRB1*15:01 and therefore has no associated DRB3 genes present. Alternatively, a closely linked haplotype, HLA-A*03:01, B*07:02, C*07:02, C*07:02, DRB1*03:01, DQB1*02:01 (present in 0.5% of the English population³⁹) may be implicated here.

Associations with increased renal function. The HLA associations with increased eGFR values do not appear to belong to full length haplotypes, but can be separated into groups of two or three HLA alleles which are often co-inherited. For example: HLA-DRB1*04:01, DQA1*03:01, DQB1*03:02 (seen in 8.2% of the English population⁴⁰); DRB1*07:01, DQA1*02:01 (10.5% of the English population⁴⁰); A*29:02, B*14:02, C*08:02 (2.1% of the Northern Irish population⁴⁰); and B*44:03, C*16:01 (4.7% of the Northern Irish population⁴⁰, also commonly associated with A*25:01) were all linked to increased eGFR. None of the 22 alleles associated with increased eGFR was shown to reduce the risk of adverse renal-related clinical outcomes.

		eGFR based on cystatin CKD		ESRD			
HLA-	Frequency (%)	Beta	Р	OR	Р	OR	Р
A*01:01	19.4	-0.26	9.98E-09			1.062	0.00033
A*03:01	14.5	-0.22	2.65E-05				
B*07:02	14.8	-0.20	8.84E-05				
B*08:01	14.4	-0.48	3.26E-20	1.109	0.00027	1.066	0.00072
C*07:01	17.6	-0.35	1.68E-13	1.098	0.00038		
C*07:02	15.8	-0.21	2.35E-05				
DQA1*05:01	23.0	-0.38	2.03E-18	1.105	3.30E-05		
DQB1*02:01	14.9	-0.49	7.06E-22	1.121	4.43E-05	1.084	1.44E-05
DRB1*03:01	14.9	-0.49	1.14E-21	1.122	3.61E-05	1.077	6.33E-05
DRB3—no gene	34.3	-0.31	6.09E-16	1.090	6.35E-05		
DRB3*01:01	16.7	-0.42	7.57E-18				

Table 4. Alleles which are associated with decreased kidney function. This shows the alleles significantly associated with decreased kidney function as well as the frequency of the alleles, the beta value or odds ratio (OR) of each effect, and the P values.

		eGFR based on cystatin		
HLA-	Frequency (%)	Beta	Р	
A*25:01	1.6	0.77	1.07E-07	
A*29:02	4.2	0.39	1.37E-05	
A*32:01	3.5	0.38	0.00014	
B*14:01	1.2	0.67	8.62E-05	
B*14:02	2.5	0.64	3.43E-08	
B*44:03	5.9	0.34	1.31E-05	
C*12:03	2.8	0.63	7.99E-09	
C*16:01	4.4	0.40	5.79E-06	
C*02:02	3.6	0.39	8.64E-05	
C*05:01	11.4	0.23	4.57E-05	
C*08:02	3.6	0.63	1.04E-10	
DQA1*02:01	14.6	0.24	4.23E-06	
DQA1*03:01	20.4	0.31	8.12E-12	
DQB1*03:02	10.4	0.29	1.60E-06	
DQB1*06:01	0.4	1.15	4.63E-05	
DQB1*06:09	1.0	0.72	7.39E-05	
DRB1*15:02	0.4	1.10	9.85E-05	
DRB1*04:01	11.1	0.30	3.23E-07	
DRB1*07:01	14.6	0.22	1.46E-05	
DRB4—no gene	35.0	0.33	5.64E-18	
DRB4*01:01	8.1	0.38	1.43E-08	
DRB4*01:03	25.4	0.23	5.85E-08	

Table 5. Alleles which are associated with increased kidney function. This shows the alleles significantly associated with increased kidney function as well as the frequency of the alleles, the beta value of each effect, and the P values.

Discussion

We identified significant HLA associations with renal function in the largest reported study to date. 22 HLA alleles were associated with increased renal function and 11 with decreased function. The HLA associations with increased renal function did not suggest a protective effect against CKD or ESRD, but the 11 associations with decreased renal function (seven of which were also linked to ESRD and/or CKD) were of particular interest. HLA genes are inherited through maternal and paternal haplotypes, which suggests a high probability that these alleles are not independently associated with renal function, but rather that this observation is non-random within the population. Specifically, individuals who carry the haplotypes listed are at increased risk of developing renal dysfunction, and may carry sub-clinical levels of impairment even in the absence of identifiable disease. This clustering of the HLA genes within well-documented haplotypes adds validity, which is reinforced as the

primary and secondary outcome measures were calculated using the independent phenotypes of biomarkers and clinical outcomes. It should be noted that some significant alleles appear to be alone in significance (that is, the alleles that they are in LD with were not significant). Examples include HLA-A*32:01 and B*14:01, among others. In these cases, it is possible that the allele itself is linked to kidney function, independent of its haplotype, or it is possible that the other alleles in LD with this allele are also significant, and this study failed to detect this. The CKD-EPI calculation of eGFR was selected rather than MDRD⁴¹ or Cockcroft-Gault⁴² due to its increased accuracy when assessing subjects with normal renal function (eGFR>60)⁴³. Using only one eGFR value avoided multiple testing of closely related variables; the formula based on cystatin was selected as it had the fewest missing values. For comparison, the two other CKD-EPI eGFR formulae (one based on creatinine, and another based on both creatinine and cystatin) were used and the data re-analysed. In addition to the associations already described, three additional associations were identified as significant (assuming the same Bonferroni threshold of P < 0.00014): HLA-A*23:01 and DRB3*02:02 were linked to decreased renal function, and B*27:05 was linked to increased function.

Comparison with previous research. Previous literature has reported conflicting HLA associations with renal function in populations of different ethnic origin. Potentially, these contradictory findings may include false positives arising from inadequate statistical power, multiple testing, publication bias or methodological differences. Alternatively, it is possible that HLA associations with kidney function differ between populations due to varied heritage. Limiting this study to only white British subjects reduced any likelihood of bias due to population stratification.

Almost 100 HLA associations with ESRD have been described, only 11 of which have been confirmed by two or more independent studies. Our study replicated one of these 11 observations but refuted two. HLA-DRB1*03 was previously associated with renal dysfunction by four groups with a combined total of 1261 ESRD subjects and over 3000 controls^{5-7,44}. We found not only HLA-DRB1*03:01 but an entire haplotype to be associated with decreased eGFR and increased risk of poor clinical outcome. However, HLA-B*07 was reported to be protective against ESRD in 1620 ESRD patients and 1211 controls by Doxiadis et al.¹⁰, and Karahan's study of 587 patients and 2643 controls⁷. In this population, HLA-B*07:02 was associated with decreased renal function. Furthermore, HLA-DRB1*04 was associated with adverse renal outcomes in three previous studies with over 4000 ESRD subjects^{12,45,46}, but here, DRB1*04:01 was linked to increased renal function. The remaining eight previously replicated HLA associations were not significant in this study. Overall, 14 of our associations confirmed previous observations^{5-7,12,44,45,47-49}, while 12 of our findings refuted previous results^{7,10,12,45,46,49,50}.

It is worth noting, however, that this study is much larger than any previous study. Most previous studies used case–control methodology (see "Strengths and weaknesses" below) and many failed adjust for multiple testing. Therefore, the findings reported here, which have undergone more stringent statistical testing, may be less prone to type I or II error.

Implications. This study is unique in that some of the HLA alleles associated with decreased renal function form a well-characterised haplotype. Both this and individual component HLA alleles have been associated with multiple diseases which result in CKD or ESRD, including systemic lupus erythematosus and IgA deficiency⁵¹. Our study indicates that even within a healthy population, renal function may be sub-clinically impaired in subjects with these alleles. These findings have the potential to impact upon clinical practice. HLA typing is already used as a diagnostic tool for disorders with strong HLA associations such as coeliac disease⁵², ankylosing spondylitis⁵³, and actinic prurigo⁵⁴. It may be advisable for clinicians to use HLA disease association typing to aid the diagnosis of renal failure, which could ensure timely therapeutic intervention. However, HLA associations with these diseases are much stronger than those reported here: the association between B*27 and ankylosing spondylitis has an odds ratio of 171⁵⁵, while HLA associations with coeliac disease have OR > 10⁵⁶, compared to ORs < 1.13 in this study. Clinicians and national kidney transplantation programmes may also use the HLA types associated with increased renal function to help identify suitable kidney donors.

Strengths and weaknesses. A key advantage of this study is the cohort size, which is larger than any previously published research. 382,204 subjects were included in the analysis of the primary outcome measure (eGFR), and the secondary analysis consisted of 11,379 cases of ESRD (and 389,928 controls). This study uses a variety of measures of renal function, most of which are calculated independently and are therefore unlikely to be subject to systemic bias. eGFR is a useful outcome measure because it provides a continuous scale, giving an accurate and precise estimate of renal function. Many previous studies used case–control methodology, reducing kidney function from a spectrum to binary categorisations such as "ESRD or healthy". Measuring renal function on a spectrum may strengthen the statistical and clinical significance of this study.

A limitation of this investigation is that the HLA typing was performed by imputation rather than direct genotyping, which is more accurate⁵⁷. This is because the cost of HLA typing a cohort of this size using traditional methods is prohibitively expensive. The imputation program used for the UKB population was HLA*IMP:02, though Karnes' review⁵⁷ of competing programs suggests that SNP2HLA is more accurate. Nevertheless, the review stated that HLA*IMP:02 is 94% accurate when imputing white subjects which, given the size of our cohort, is acceptable within the scope of this study. Furthermore, 360 of the 362 imputed alleles were in HWE (P > 0.00014), suggesting that the majority of imputed allele frequencies were consistent with frequencies that might be expected in a stable population. The two alleles which were not in HWE (HLA-DQB1*02:02 and DPB1*03:01) were excluded from the analysis.

Some HLA associations found in this study do not appear to be part of a haplotype. These alleles may be independently associated with renal function, or they may be false positives caused by inaccurate imputation. For

Coding system	Code	Decoded	ESRD patient	RRT recipient	Kidney transplant recipient	CKD patient
	1195	Renal/kidney transplant	Yes	Yes	Yes	
Coding system Coding 5 Coding 6 ICD-10 ICD-9	1476	Fistula for dialysis	Yes	Yes		
	1580	Dialysis access surgery	Yes	Yes		
	1581	Haemodialysis access/fistula surgery	Yes	Yes		
	1582	Peritoneal dialysis (CAPD) access surgery	Yes	Yes		
	1192	Renal/kidney failure	Yes			
Coding 5 Coding 5 Coding 6 ICD-10 ICD-10	1193	Renal failure requiring dialysis	Yes	Yes		
	1194	Renal failure not requiring dialysis	Yes			
Coding 5 Coding 5 Coding 6 ICD-10	I120	Hypertensive renal disease with renal failure	Yes			
	I131	Hypertensive heart and renal disease with renal failure	Yes			
	I132	Hypertensive heart and renal disease with both (congestive) heart failure and renal failure	Yes			
	N17	Acute renal failure	Yes			
	N170	Acute renal failure with tubular necrosis	Yes			
	N172	Acute renal failure with medullary necrosis	Yes			
	N178	Other acute renal failure	Yes			
	N179	Acute renal failure, unspecified	Yes			
	N18	Chronic renal failure	Yes			
	N180	End-stage renal disease	Yes			
	N181	Chronic kidney disease, stage 1				Yes
	N182	Chronic kidney disease, stage 2				Yes
ICD-10	N183	Chronic kidney disease, stage 3				Yes
	N184	Chronic kidney disease, stage 4				Yes
	N185	Chronic kidney disease, stage 5	Yes			Yes
	N188	Other chronic renal failure	Yes			
	N19	Unspecified renal failure	Yes			
	P960	Congenital renal failure	Yes			
	T861	Kidney transplant failure and rejection	Yes	Yes	Yes	
	Y841	Kidney dialysis	Yes	Yes		
	Z49	Care involving dialysis	Yes	Yes		
	Z491	Extracorporeal dialysis	Yes	Yes		
	Z492	Other dialysis	Yes	Yes		
	Z940	Kidney transplant status	Yes	Yes	Yes	
	Z992	Dependence on renal dialysis	Yes	Yes		
	584	Acute renal failure	Yes			
	585	Chronic renal failure	Yes			
	586	Renal failure, unspecified	Yes			
	5845	Acute renal failure with lesion of tubular necrosis	Yes			
	5846	Acute renal failure with lesion of renal cortical necrosis	Yes			
	5847	Acute renal failure with lesion of renal medullary (papillary) necrosis	Yes			
ICD-10	5848	Acute renal failure with other specified pathological lesion in kidney	Ves			
	5849	Acute renal failure unspecified	Ves			
ICD-9	5859	Chronic renal failure	Ves			
ICD-10 ICD-9 N/A Continued	5869	Renal failure unspecified	Ves			
	77080	Congonital rand failura	Vac			
	F8701	Abn. reaction to kidney dialysis without missdyanture at time	Vac	Vac		
	V451	Ponel dialysis status	Vac	Vac		
	V451	African unalysis status	Vec	Vee		
	V 50		ies	ies v		
	V 560	Aftercare involving extracorporeal dialysis	ies	ies		
	V 568	Aftercare involving other dialysis	Yes	Yes		
	<15	eGFR based on creatinine	Yes			
N/A	<15	eGFR based on cystatin	Yes			
	<15	eGFR based on both creatinine and cystatin	Yes			
Continued						

Coding system	Code	Decoded	ESRD patient	RRT recipient	Kidney transplant recipient	CKD patient
	566	Transplantation of kidney	Yes	Yes	Yes	
OPCS3	4013	Paracentesis abdomini: peritoneal dialysis	Yes	Yes		
	4695	Other operations on intestine, not elsewhere classified: isolation loop for dialysis	Yes	Yes		
	5661	Transplantation of kidney: donor	Yes	Yes	Yes	
Coding system OPCS3 OPCS4 UKB data	5662	Transplantation of kidney: cadaver	Yes	Yes	Yes	
	9503	Other vascular procedures: haemodialysis	Yes	Yes		
	L746	Creation of graft fistula for dialysis	Yes	Yes		
	M01	Transplantation of kidney	Yes	Yes	Yes	
	M011	Autotransplantation of kidney	Yes	Yes	Yes	
	M012	Allotransplantation of kidney from live donor	Yes	Yes	Yes	
	M013	Allotransplantation of kidney from cadaver NEC	Yes	Yes	Yes	
	M014	Allotransplantation of kidney from cadaver heart beating	Yes	Yes	Yes	
	M015	Allotransplantation of kidney from cadaver heart non-beating	Yes	Yes	Yes	
	M018	Other specified transplantation of kidney	Yes	Yes	Yes	
	M019	Unspecified transplantation of kidney	Yes	Yes	Yes	
	M172	Pre-transplantation of kidney work-up-recipient	Yes	Yes	Yes	
	M174	Post-transplantation of kidney examination—recipient	Yes	Yes	Yes	
	X40	Compensation for renal failure	Yes	Yes		
	X401	Renal dialysis	Yes	Yes		
	X402	Peritoneal dialysis NEC	Yes	Yes		
	X403	Haemodialysis NEC	Yes	Yes		
	X404	Haemofiltration	Yes	Yes		
OPCS4	X405	Automated peritoneal dialysis	Yes	Yes		
	X406	Continuous ambulatory peritoneal dialysis	Yes	Yes		
	X407	Haemoperfusion	Yes	Yes		
	X408	Other specified compensation for renal failure	Yes	Yes		
	X409	Unspecified compensation for renal failure	Yes	Yes		
	X41	Placement of ambulatory apparatus for compensation for renal failure	Yes	Yes		
	X411	Insertion of ambulatory peritoneal dialysis catheter	Yes	Yes		
	X412	Removal of ambulatory peritoneal dialysis catheter	Yes	Yes		
	X418	Other specified placement of ambulatory apparatus for compensation for renal failure	Yes	Yes		
	X419	Unspecified placement of ambulatory apparatus for compensation for renal failure	Yes	Yes		
	X42	Placement of other apparatus for compensation for renal failure	Yes	Yes		
	X421	Insertion of temporary peritoneal dialysis catheter	Yes	Yes		
	X428	Other specified placement of other apparatus for compensation for renal failure	Yes	Yes		
	X429	Unspecified placement of other apparatus for compensation for renal failure	Yes	Yes		
	X431	Extracorporeal albumin haemodialysis	Yes	Yes		
UKD data	42026	End stage renal disease report	Yes			
UND data	42027	Date of end stage renal disease report	Yes			

Table 6. Codes included in definitions of ESRD, kidney transplant status, RRT status, and CKD. This shows the various coding systems and codes used to identify subjects with adverse clinical outcomes.

example, HLA-B*44:02 was not significant in this study, but is commonly associated with C*05:01, DRB1*04:01, DQA1*03:01 and DQB1*03:02 in combination with either A*25:01, A*29:02, or A*32:01, which were all linked to increased renal function. Our study identified HLA-B*44:03, rather than B*44:02, to be associated with increased renal function. This discrepancy may suggest that HLA-B*44:02 alleles were incorrectly imputed as B*44:03, though given that C*16:01 (which is often seen in LD with B*44:03) was also associated with increased renal function may validate the observation regarding B*44:03. Any dubious observations may be resolved by repeating the imputation using an alternative imputation programme or additional reference panels.

It is possible that the strategy employed to identify subjects with adverse kidney-related clinical outcomes was insufficiently comprehensive to capture all cases. If data held by UKB were incomplete, or if relevant codes were not included (see Table 6), subjects with poor renal outcomes would be mischaracterised as healthy. This could be averted by obtaining a peer-reviewed validation of the coding systems that documents exactly which codes are representative of adverse renal outcomes, but to the best of our knowledge no such validation exists. Clinical outcomes were secondary outcome measures in this study; the primary outcome of eGFR is not affected by this limitation.

A final limitation of this study is that the sizes of the associations with eGFR were smaller than previously published HLA disease associations^{55,56} and possibly too small to be considered clinically relevant. 25 out of 33 (76%) significant associations with eGFR had a beta value between -0.5 and 0.5, suggesting that the presence of the allele has only a minor effect on kidney function. However, in seven cases, these apparently small effects were corroborated by associations with adverse clinical outcomes, implying that small beta values are not a contraindication of clinical relevance.

Conclusions

This study has identified 22 HLA types which are associated with increased kidney function, and 11 which are linked to decreased kidney function in a large UK population. Many of these are commonly inherited together in haplotypes. Importantly, seven alleles, which are each seen in between 14–34% of the cohort, were linked to both decreased eGFR and increased incidence of adverse clinical outcomes. Due to the constitution of the cohort, the results of this study can only be applied to white British people aged 39–73. Repeating the analyses with alternative cohorts may add considerably to our current knowledge and allow a better assessment on the implications for population health.

Received: 11 September 2020; Accepted: 9 December 2020 Published online: 04 February 2021

References

- 1. Mortality GBD, Causes of Death Collaborators. Global, regional, and national life expectancy, all-cause mortality, and causespecific mortality for 249 causes of death, 1980–2015: A systematic analysis for the Global Burden of Disease Study 2015. *Lancet* **388**, 1459–1544 (2016).
- Luyckx, V. A., Tonelli, M. & Stanifer, J. W. The global burden of kidney disease and the sustainable development goals. Bull. World Health Organ. 96, 414–422 (2018).
- Robson, K. J., Ooi, J. D., Holdsworth, S. R., Rossjohn, J. & Kitching, A. R. HLA and kidney disease: From associations to mechanisms. Nat. Rev. Nephrol. 14, 636–655 (2018).
- Rivera, P. S. *et al.* HLA class I association with progression to end-stage renal disease in patients from Zulia, Venezuela. *Inmunologia* 31, 37–42 (2012).
- Yamakawa, R. H., Saito, P. K., da Silva Junior, W. V., de Mattos, L. C. & Borelli, S. D. Polymorphism of leukocyte and erythrocyte antigens in chronic kidney disease patients in southern Brazil. *PLoS ONE* 9, e84456 (2014).
- Hamdi, N. M., Al-Hababi, F. H. & Eid, A. E. HLA class I and class II associations with ESRD in Saudi Arabian population. PLoS ONE 9, e111403 (2014).
- Karahan, G. E. *et al.* Impact of HLA on the underlying primary diseases in Turkish patients with end-stage renal disease. *Ren. Fail.* 31, 44–49 (2009).
- 8. Xu, X. *et al.* Molecular insights into genome-wide association studies of chronic kidney disease-defining traits. *Nat. Commun.* 9, 4800 (2018).
- 9. Davood, P. P., Farhadi, N. & Najafizadeh, M. Protective and susceptible HLA class I genes in patients with end-stage renal disease. *Res. J. Biol. Sci.* **3**, 1344–1346 (2008).
- Doxiadis, I. I., De Lange, P., De Vries, E., Persijn, G. G. & Claas, F. H. Protective and susceptible HLA polymorphisms in IgA nephropathy patients with end-stage renal failure. *Tissue Antigens* 57, 344–347 (2001).
- 11. Fejzic, E. et al. HLA genotyping in patients with end-stage renal disease waiting for cadaveric renal transplantation in federation of Bosnia and Herzegovina. Maced. J. Med. Sci. 5, 1–5 (2017).
- Gencik, M. et al. Immunogenetic risk factors for anti-neutrophil cytoplasmic antibody (ANCA)-associated systemic vasculitis. Clin. Exp. Immunol. 117, 412–417 (1999).
- 13. Gerhardsson, J. *et al.* Histological antiphospholipid-associated nephropathy versus lupus nephritis in patients with systemic lupus erythematosus: An observational cross-sectional study with longitudinal follow-up. *Arthr. Res. Ther.* **17**, 109 (2015).
- Sudlow, C. et al. UK biobank: An open access resource for identifying the causes of a wide range of complex diseases of middle and old age. PLoS Med. 12, e1001779 (2015).
- Data-Field 22011: Genetic relatedness pairing (2019). http://biobank.ctsu.ox.ac.uk/crystal/field.cgi?id=22011 (Accessed 22 Nov 2019).
- Lowe, M. et al. Human leukocyte antigen associations with renal function among ethnic minorities in the United Kingdom. HLA 96, 697–708 (2020).
- 17. Data-Field 22005: Missingness (2019). http://biobank.ctsu.ox.ac.uk/crystal/field.cgi?id=22005 (Accessed 22 Nov 2019).
- Choo, S. Y. The HLA system: Genetics, immunology, clinical testing, and clinical implications. *Yonsei Med. J.* 48, 11–23 (2007).
 Sabolic, I. *et al.* Gender differences in kidney function. *Pflugers Arch.* 455, 397–429 (2007).
- 20. Data-Field 22001: Genetic sex (2019). http://biobank.ctsu.ox.ac.uk/crystal/field.cgi?id=22001 (Accessed 22 Nov 2019).
- 21. Data-Field 22006: Genetic ethnic grouping (2019). http://biobank.ctsu.ox.ac.uk/crystal/field.cgi?id=22006 (Accessed 22 Nov 2019).
- 22. Data-Field 22010: Recommended genomic analysis exclusions (2019). http://biobank.ctsu.ox.ac.uk/crystal/field.cgi?id=22010 (Accessed 3 Dec 2019).
- 23. Browning, B. L. & Browning, S. R. Genotype imputation with millions of reference samples. Am. J. Hum. Genet. 98, 116-126 (2016).
- 24. Bycroft, C. et al. The UK Biobank resource with deep phenotyping and genomic data. Nature 562, 203-209 (2018).
- 25. Dilthey, A. et al. Multi-population classical HLA type imputation. PLoS Comput. Biol. 9, e1002877 (2013).
- Motyer, A. et al. Practical use of methods for imputation of HLA alleles from SNP genotype data. bioRxiv https://doi. org/10.1101/091009 (2016).
- 27. Stevens, L. A. & Levey, A. S. Measurement of kidney function. Med. Clin. N. Am. 89, 457-473 (2005).
- 28. Levey, A. S. et al. A new equation to estimate glomerular filtration rate. Ann. Intern. Med. 150, 604-612 (2009).
- 29. Inker, L. A. et al. Estimating glomerular filtration rate from serum creatinine and cystatin C. N. Engl. J. Med. 367, 20–29 (2012).
- 30. World Health Organization. The ICD-10 Classification of Mental and Behavioural Disorders (Switzerland, Geneva, 1992).
- 31. NHS Classifications OPCS-4 (2019). https://isd.digital.nhs.uk/trud3/user/guest/group/0/pack/10 (Accessed 28 Nov 2019).
- 32. Data-Coding 5: Operation (2019). http://biobank.ctsu.ox.ac.uk/crystal/coding.cgi?id=5 (Accessed 28 Nov 2019).
- Data-Field 22019: Sex chromosome aneuploidy (2019). http://biobank.ctsu.ox.ac.uk/crystal/field.cgi?id=22019 (Accessed 22 Nov 2019).
- 34. Armstrong, R. A. When to use the Bonferroni correction. Ophthalmic Physiol. Opt. 34, 502-508 (2014).
- 35. Purcell, S. *et al.* PLINK: A tool set for whole-genome association and population-based linkage analyses. *Am. J. Hum. Genet.* **81**, 559–575 (2007).

- Stevens, L. A., Coresh, J., Greene, T. & Levey, A. S. Assessing kidney function-measured and estimated glomerular filtration rate. N. Engl. J. Med. 354, 2473–2483 (2006).
- 37. Estimated Glomerular Filtration Rate (eGFR) (2020). https://www.kidney.org/atoz/content/gfr (Accessed 26 Nov 2020).
- 38. Degli-Esposti, M. A. et al. Ancestral haplotypes: Conserved population MHC haplotypes. Hum. Immunol. 34, 242-252 (1992).
- 39. Haplotype Frequency Search (USA European American) (2019). http://www.allelefrequencies.net/hla6003a.asp?hla_population =1699 (Accessed 3 Dec 2019).
- Haplotype Frequency Search (Ireland Northern) (2019). http://www.allelefrequencies.net/hla6003a.asp?hla_population=1243 (Accessed 3 Dec 2019).
- Levey, A. S. et al. A more accurate method to estimate glomerular filtration rate from serum creatinine: A new prediction equation. Modification of Diet in Renal Disease Study Group. Ann. Intern. Med. 130, 461–470 (1999).
- 42. Cockcroft, D. W. & Gault, M. H. Prediction of creatinine clearance from serum creatinine. Nephron 16, 31-41 (1976).
- Florkowski, C. M. & Chew-Harris, J. S. Methods of estimating GFR—Different equations including CKD-EPI. Clin. Biochem. Rev. 32, 75–79 (2011).
- 44. Dai, C. S. *et al.* Association between human leucocyte antigen subtypes and risk of end stage renal disease in Taiwanese: A retrospective study. *BMC Nephrol.* **16**, 177 (2015).
- Chang, D. Y., Luo, H., Zhou, X., Chen, M. & Zhao, M. H. Association of HLA genes with clinical outcomes of ANCA-associated vasculitis. *Clin. J. Am. Soc. Nephrol.* 7, 1293–1299 (2012).
- Cao, Q. et al. HLA polymorphism and susceptibility to end-stage renal disease in Cantonese patients awaiting kidney transplantation. PLoS ONE 9, e90869 (2014).
- 47. Crispim, J. C. et al. HLA polymorphisms as incidence factor in the progression to end-stage renal disease in Brazilian patients awaiting kidney transplant. Transpl. Proc. 40, 1333–1336 (2008).
- Almogren, A., Shakoor, Z. & Hamam, K. D. Human leucocyte antigens: Their association with end-stage renal disease in Saudi patients awaiting transplantation. Br. J. Biomed. Sci. 69, 159–163 (2012).
- 49. Perez-Luque, E. *et al.* Contribution of HLA class II genes to end stage renal disease in Mexican patients with type 2 diabetes mellitus. *Hum. Immunol.* **61**, 1031–1038 (2000).
- 50. Prakash, S. *et al.* Distribution of Killer cell immunoglobulin like receptor genes in end stage renal disease among North Indian population. *Hum. Immunol.* **74**, 1399–1445 (2013).
- Price, P. et al. The genetic basis for the association of the 8.1 ancestral haplotype (A1, B8, DR3) with multiple immunopathological diseases. Immunol. Rev. 167, 257–274 (1999).
- 52. Kaukinen, K., Partanen, J., Maki, M. & Collin, P. HLA-DQ typing in the diagnosis of celiac disease. Am. J. Gastroenterol. 97, 695–699 (2002).
- 53. Chen, B. et al. Role of HLA-B27 in the pathogenesis of ankylosing spondylitis (Review). Mol. Med. Rep. 15, 1943–1951 (2017).
- 54. Sheridan, D. P., Lane, P. R., Irvine, J., Martel, M. J. & Hogan, D. J. HLA typing in actinic prurigo. J. Am. Acad. Dermatol. 22, 1019–1023 (1990).
- Brown, M. A. *et al.* HLA class I associations of ankylosing spondylitis in the white population in the United Kingdom. *Ann. Rheum. Dis.* 55, 268–270 (1996).
- De Silvestri, A. *et al.* HLA-DQ genetics in children with celiac disease: A meta-analysis suggesting a two-step genetic screening procedure starting with HLA-DQ beta chains. *Pediatr. Res.* 83, 564–572 (2018).
- 57. Karnes, J. H. et al. Comparison of HLA allelic imputation programs. PLoS ONE 12, e0172444 (2017).

Author contributions

The study was devised by K.P., W.O., A.V., A.P., T.A.U., and M.L. Data was provided by U.K.B. Quality control and data analysis was performed by M.L. with advice from A.P., I.G., and P.H. Results were interpreted by M.L., A.P., K.P., and J.W. The paper was written by M.L. with advice from all the authors.

Funding

Funding was provided by Kidneys for Life.

Competing interests

The authors declare no competing interests.

Additional information

Correspondence and requests for materials should be addressed to M.L.

Reprints and permissions information is available at www.nature.com/reprints.

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

© The Author(s) 2021