# scientific reports



## **OPEN** CXCR4 blockade reduces the severity of murine heart allograft rejection by plasmacytoid dendritic cell-mediated immune regulation

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Allograft-specific regulatory T cells (T<sub>reg</sub> cells) are crucial for long-term graft acceptance after transplantation. Although adoptive T<sub>req</sub> cell transfer has been proposed, major challenges include graft-specificity and stability. Thus, there is an unmet need for the direct induction of graft-specific T<sub>reg</sub> cells. We hypothesized a synergism of the immunotolerogenic effects of rapamycin (mTOR inhibition) and plerixafor (CXCR4 antagonist) for T<sub>reg</sub> cell induction. Thus, we performed fully-mismatched heart transplantations and found combination treatment to result in prolonged allograft survival. Moreover, fibrosis and myocyte lesions were reduced. Although less CD3 $^{+}$ T cell infiltrated, higher T<sub>reg</sub> cell numbers were observed. Noteworthy, this was accompanied by a plerixafor-dependent plasmacytoid dendritic cells-(pDCs)-mobilization. Furthermore, in vivo pDC-depletion abrogated the plerixaformediated T<sub>reg</sub> cell number increase and reduced allograft survival. Our pharmacological approach allowed to increase T<sub>req</sub> cell numbers due to pDC-mediated immune regulation. Therefore pDCs can be an attractive immunotherapeutic target in addition to plerixafor treatment.

#### Abbreviations

Ag	Antigen
APC	Antigen-presenting cell
cDCs	Conventional dendritic cells
CXCR4	C-X-C chemokine receptor type 4
FACS	Fluorescence-activated cell sorting
FoxP3	Forkhead box P3

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HPF	High power field
i.p.	Intraperitoneal injection
Iso	Isotype
MST	Median survival time
mTOR	Mammalian target of rapamycin
Р	Plerixafor
P1R	Plerixafor 1 mg/kg body weight plus rapamycin
P5R	Plerixafor 5 mg/kg body weight plus rapamycin
pDC	Plasmacytoid dendritic cells
PDCA-1	Phosducin A-1
R	Rapamycin
s.c.	Subcutaneous injection
Sctrl	Syngeneic control
SP5R	Syngeneic control with plerixafor 5 mg/kg body weight plus rapamycin
SR	Syngeneic vehicle control with rapamycin
SDF1a or CXCL12	Stromal cell-derived factor 1a
Siglec-H	Sialic acid binding Ig-like lectin H
Treg	Regulatory T cells

Induction and maintenance of donor-specific allo-immune tolerance is dependent on the balance of effector T cells and regulatory CD4<sup>+</sup> T cells ( $T_{reg}$  cells)<sup>1</sup>. The latter play an essential role in the development of long-term allograft acceptance<sup>2</sup> and tolerance<sup>3</sup>. Hence, establishing protocols for immunotherapies targeting  $T_{reg}$  cells in the setting of solid organ transplantation has gained an intense interest. Tipping this balance in favor of donor-specific regulation by an adoptive transfer of  $T_{reg}$  cells represents an attractive approach to achieve long-term allograft survival<sup>4–6</sup>. However, critical issues, such as isolation and storage of ex vivo expanded human  $T_{reg}$  cells, represent a significant challenge for the clinical routine application<sup>1.7,8</sup>. Another important problem is their potential functional instability, which might lead to an undesirable switch to a pro-inflammatory state after adoptive  $T_{reg}$  cells in vivo, for example by the administration of recombinant IL-2, have been proposed<sup>9</sup>. These in vivo expanded and/or induced  $T_{reg}$  cells are expected to be allo-educated by exposure to the allograft leading to donor allo-antigen-specific  $T_{reg}$  cells<sup>10-12</sup>.

Dendritic cells (DCs) play a pivotal role in orchestrating T cell immune responses. To ensure the balance between self-tolerance and pathogen eradication, DCs are not only able to expand effector T cells, they can also foster the differentiation of naturally occurring T<sub>reg</sub> cells (nT<sub>reg</sub> cells) in the thymus<sup>13,14</sup> or the differentiation of naïve CD4<sup>+</sup> T cells into so-called induced T<sub>reg</sub> cells (iT<sub>reg</sub> cells) in the periphery<sup>15</sup>. This property has been mainly linked to immature conventional dendritic cells (cDCs)<sup>14,15</sup>, which are characterized by a low-level presentation of antigenic peptides in the absence of co-stimulatory molecules. Therefore, the role of immature, and thus tolerogenic, cDCs has been tested in various murine models of autoimmune diseases<sup>13</sup>, such as Crohn's like ileitis<sup>16</sup>, autoimmune diabetes<sup>17</sup> and collagen-induced arthritis (CIA)<sup>18</sup>, allergy<sup>19</sup>, but also graft versus host disease<sup>20</sup> as well as transplantation<sup>21</sup>. These studies as well as first Phase I–II clinical trials in patients with autoimmune diseases, such as type 1 diabetes, rheumatoid arthritis or Crohn's disease<sup>22–26</sup>, but also in the setting of organ transplantation<sup>27</sup>, suggests that DCs represent a promising therapeutic alternative to conventional, unspecific immunosuppressive drugs when tolerogenic DCs are adoptively transferred. This is of special importance as the therapeutic setting with immunosuppressive drugs is often associated with severe side effects as well as limited efficacy.

Although the main function of plasmacytoid DCs (pDCs), which are a subpopulation of DCs, is the secretion of type I interferons in response to viral infections<sup>28–32</sup>, pDCs have been proposed to play a role in immune tolerance<sup>28–30,33</sup>. They are per se characterized by an altered costimulatory molecule expression profile and poor allo-stimulatory capacity when interacting with T cells. Thus, pDCs have been considered as a possible targets to induce and maintain allo-immune tolerance<sup>33–38</sup>. Loschko et al. demonstrated that pDCs contribute to antigen-specific peripheral immune tolerance after antigen delivery to the surface molecule sialic acid binding Ig-like lectin H (Siglec-H)<sup>39</sup>. This was mediated by inducing hyporesponsive CD4<sup>+</sup> T cells exhibiting reduced expansion and Th1/Th17 cell plasticity<sup>39</sup>. Besides, pDCs have been shown to inhibit immune responses in several murine disease models including asthma, type 1 diabetes, systemic lupus erythematosus, rheumatoid arthritis, and experimental autoimmune encephalomyelitis (EAE), a murine model for multiple sclerosis, graft-versus-host disease as well as allogeneic transplant rejection by reducing pathogenic effector T cell responses or by promoting T<sub>reg</sub> cells<sup>29,40–47</sup>.

Various immunosuppressive therapies are in clinical routine to counteract the allo-reactive inflammatory response, e.g. by fostering  $T_{reg}$  cell responses. One way is to apply calcineurin-inhibitors that may not only compromise the survival of allo-reactive effector T cells, but also negatively influence the function and survival of allo-reactive  $T_{reg}$  cells<sup>10,11</sup>. In contrast, the mTOR inhibitor rapamycin and its derivatives have been shown to favor the development and compartmental expansion of  $T_{reg}$  cells, while inhibiting the activation of effector T cells and B cells, both, in mice and humans<sup>11,48–50</sup>. Inhibitors of mTOR have not only been suggested to augment selective expansion of  $T_{reg}$  cells in vivo<sup>51,52</sup>, they also prevent DC-maturation and hamper the upregulation of MHC class II and co-stimulatory molecules<sup>53</sup>. Injection of such tolerogenic rapamycin-conditioned DCs into mice has been proposed to prolong graft survival in various transplantation models<sup>54,55</sup>.

Due to constant self-renewal and expansion of hematopoietic progenitor cells, the bone marrow is a rich source of premature, rather tolerogenic cells, including T cells, B cells, pDCs and to a low extent also conventional DCs and macrophages. From about 1.5% of all bone marrow-residing CD4<sup>+</sup> T cells<sup>56</sup>, approximately one-third of them are CD4<sup>+</sup>FoxP3<sup>+</sup> T<sub>reg</sub> cells<sup>57</sup>. Interestingly, pDCs are enriched in the bone marrow implicating a role in maintenance of the immuntolerogenic state<sup>28</sup>.

Plerixafor (AMD3100) is a CXCR4 antagonist shown to disrupt the interaction of the C-X-C chemokine receptor CXCR4 with its ligand SDF1 $\alpha$ , which is essentially produced by bone marrow stromal cells. After application of plerixafor it was noted that bone marrow-residing cells were released into the peripheral circulation<sup>58,59</sup>. Thus, meanwhile plerixafor is clinically used to mobilize CXCR4-expressing hematopoietic stem and progenitor cells from the bone marrow into the peripheral blood for separation and subsequent transplantation in several hematologic disorders<sup>60</sup>. CXCR4 is widely expressed in the hematopoietic cell compartment<sup>61</sup>. Hence, application of CXCR4 antagonists does not only lead to a mobilization of hematopoietic stem and progenitor cells but is accompanied by marked leukocytosis that affects all hematopoietic lineages including  $T_{reg}$  cells<sup>60,62</sup>. Moreover, homing into and retention of both,  $T_{reg}$  cells<sup>56</sup> and DCs<sup>63</sup>, in the bone marrow have been proposed to be dependent on CXCR4/SDF1 $\alpha$  interaction as well as the constitutive expression of VCAM-1 and endothelial selectins<sup>28</sup>. Since DCs and their progenitors express CXCR4, they will be translocated to the circulation after the application of plerixafor.

We hypothesized that the immunotolerogenic effects of the mTOR inhibitor rapamycin may synergize with the CXCR4 antagonist plerixafor to allow for a mobilization of  $T_{reg}$  cells out of the bone marrow to be enriched in a heart allograft. By applying a full-mismatch heart allograft murine model, we here provide evidence that plerixafor induced and maintained the expansion of FoxP3<sup>+</sup>  $T_{reg}$  cells into the periphery and into the heart allograft. We observed that this expansion was accompanied by the recruitment of pDCs from the bone marrow into the peripheral blood, secondary lymphoid organs, and the allograft. Following this line, the in vivo depletion of pDCs led to an abrogation of the achieved prolongation of heart allograft survival by plerixafor rendering pDCs important targets for future immunotherapies in the context of transplantation as well as autoimmune diseases. Overall, we conclude that the combination of the CXCR4 antagonist plerixafor with the mTOR inhibitor rapamycin improves heart allograft survival.

#### Results

**Treatment with plerixafor and rapamycin prolongs allograft survival by reducing the inflammatory allo-response.** To investigate whether CXCR4 blockade mediates additional beneficial immune regulatory effects, we utilized an abdominal heterotopic murine heart transplantation model as previously described<sup>64,95</sup> and analyzed the survival of the heart transplants by palpation according to Martins et al.<sup>65</sup>. The C57BL/6J recipient mice received either fully-mismatched BALB/c (allogeneic) or C57BL/6J (syngeneic) heart transplants and were treated two days before and every other day for 14 days post transplantation. As outlined in Fig. 1A, the mice either received only vehicles [no treatment, NT (allogeneic) or SC (syngeneic)], 0.4 mg/ kg rapamycin+plerixafor vehicle [R (allogeneic) or SR (syngeneic)], 1 mg/kg plerixafor+rapamycin vehicle (P1), 5 mg/kg plerixafor + rapamycin vehicle (P5), 1 mg/kg plerixafor + 0.4 mg/kg rapamycin (P1R), or 5 mg/kg plerixafor + 0.4 mg/kg rapamycin [P5R (allogeneic) or SP5R (syngeneic)].

Our analysis revealed that hearts in allogeneic recipients with basic immunosuppression by rapamycin (R) demonstrated a better survival compared to vehicle-treated recipients (NT) (43.5 vs. 8 days; Fig. 1B). While the addition of low-dose plerixafor (P1R) yielded a moderate additional survival prolongation (49 vs. 43.5 days), the higher dose of plerixafor (P5R) led to a marked prolonged heart allograft survival (77.5 vs. 43.5 days, Fig. 1B). Furthermore, animals treated only with plerixafor harbored a slightly prolonged allograft survival for both, P1 (10 days) and P5 groups (10 days) compared vehicle-only controls (NT; 8 days). Thus, our data indicated a prolonged allograft survival by combining rapamycin with Plerixaflor.

To assess the transplants' general health status, we performed histological analyses. Therefore, allografts were removed 14 s post transplantation from vehicle controls (NT), plerixafor only (P5), rapamycin only (R), or rapamycin + plerixafor (P5R) treated animals and analyzed for fibrosis and myocyte lesions (Fig. 1C). We found less extensive signs of fibrosis (Fig. 1C, first panel) and a lower myocyte lesion score (Fig. 1C, second panel) in allografts of P5R animals. As expected, vehicle treated controls (NT) and plerixafor-only-treated animals (P5) showed an almost total tissue destruction (data not shown). Thus, our data revealed a better health status of the allografts upon treatment with rapamycin and plerixafor (P5R).

Furthermore, we evaluated the infiltration of CD3<sup>+</sup> T cells (major drivers of allograft rejection) into the transplants by histology. Thereby, in line with less severe tissue destruction, we revealed a reduced CD3<sup>+</sup> T lymphocyte infiltration after plerixafor + rapamycin (P5R) treatment compared to rapamycin-only (R) (Fig. 1C, third panel). Since mTOR inhibition might favor the expansion of CD4<sup>+</sup>  $T_{reg}$  cells<sup>11,48,66</sup> and these cells are a critical prerequisite for long-term allograft survival, we investigated the effect of plerixafor on the number of allograft-residing FoxP3<sup>+</sup>  $T_{reg}$  cells. Therefore, we visualized them in heart allograft sections by a FoxP3 antibody as such cells are also stained by CD3. This data is represented as percentage of FoxP3<sup>+</sup> cells within all CD3 cells by division of the number of FoxP3<sup>+</sup> cells by the total number of CD3<sup>+</sup> T cells. Surprisingly, although the CD3<sup>+</sup> T cell number was reduced in the P5R group (Fig. 1C, third panel), we found higher FoxP3<sup>+</sup>  $T_{reg}$  cell numbers (Fig. 1B, forth panel) compared to rapamycin-only treated animals (R). This is clearly indicated by the FoxP3<sup>+</sup>/CD3<sup>+</sup> ratio (Fig. 1C, fourth panel). Of note, also the absolute  $T_{reg}$  cell numbers were increased by about 2.5-fold (72.8 ± 8.0 (P5R) vs. 28.1 ± 3.3 (R)  $T_{reg}$  cells/mm<sup>2</sup>). Collectively, our data demonstrate synergistic effects of plerixafor and rapamycin fostering heart allograft survival and reducing inflammation induced tissue destruction.

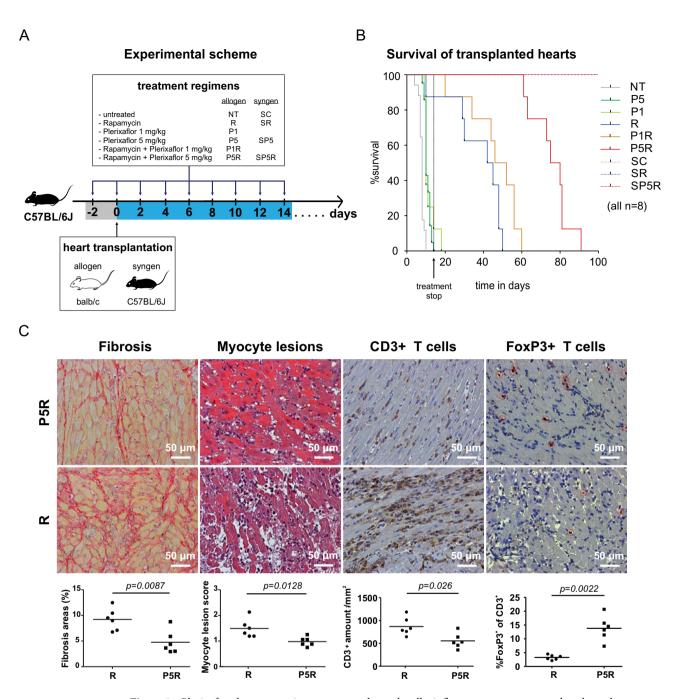
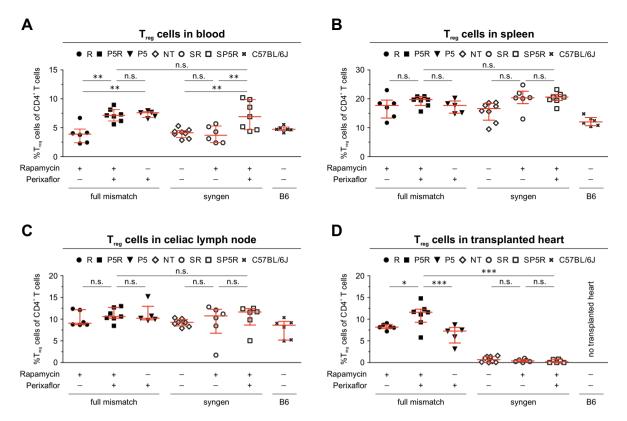


Figure 1. Plerixafor plus rapamycin treatment reduces the allo-inflammatory response and prolongs heart allograft survival. As outlined in panel (A), C57BL/6J recipients received injections with plerixafor (1 or 5 mg/ kg s.c.) and/or rapamycin (0.4 mg/kg i.p.) regarding to their treatment arm two days before, immediately after HTX and following every other day until 14 days post transplantation. As indicated in the survival curves in (B), P5R treatment resulted in longer allograft survival compared to rapamycin-only (p<0.001). Median allograft survival time in recipients from the non-treatment (NT), plerixafor (P1), plerixafor (P5), rapamycin (R) and combined treatment group P1R or P5R were 8, 10, 10, 44, 49 and 78 days, respectively. Hearts of syngeneic controls (SC, untreated; SR, rapamycin only; and SP5R, rapamycin + plerixafor) survived the whole observation period of 100 days. Survival time of heart transplants was assessed in 8 mice of each treatment group. The panels in (C) depict representative allograft sections recovered 14 days post-transplantation from recipients treated with plerixafor plus rapamycin (P5R, all n=6 allografts) and rapamycin-only (R, all n=6 allografts). Results are summarized in scatter plots (including mean) demonstrating that treatment with plerixafor plus rapamycin resulted in significantly less fibrosis (first panel, Sirius red) and less myocyte lesions (second panel, Hematoxylin Eosin). The analysis of CD3<sup>+</sup> and FoxP3<sup>+</sup> T cellular infiltrates (red circles) in serial sections in the third and fourth panel shows fewer CD3+ T cell infiltrations associated with a higher FoxP3+/CD3+ ratio for plerixafor and rapamycin treatment (cells/mm<sup>2</sup>, P5R). p values were calculated using the Gehan-Breslow-Wilcoxon test (B) or the Mann–Whitney-U test (C).



**Figure 2.** Plerixafor treatment leads to an increase of CD4<sup>+</sup>FoxP3<sup>+</sup> T<sub>reg</sub> cells in blood and the heart transplant 14 days post-transplantation. To investigate whether the increased T<sub>reg</sub> cell numbers in the transplanted heart are effected by combining rapamycin and plerixafor (P5R) among the different treatment groups the content of T<sub>reg</sub> cells within blood, secondary lymphoid organs and the transplanted hearts were analyzed 14 days after transplantation by flow cytometry. Single cell suspensions of blood, spleen, celiac lymph node, and the transplanted heart were generated and stained with an antibody cocktail to identify T<sub>reg</sub> cells (CD3, CD4, CD19, CD25, FoxP3, Ly6G, Ter119). The scatter plot depict the percentages of T<sub>reg</sub> cells (mean ± SD) within the CD4<sup>+</sup> T cell population for (**A**) blood, (**B**) spleen, (**C**) celiac lymph node, and (**D**) transplanted heart (specimen from P5 n = 5, from P5R and SP5R n = 7, from NT n = 8 and all others n = 6 mice). Each data point represents the value of one mouse, all assessed 14 days after heart transplantation. Statistical analyses (One-way ANOVA with Bonferroni post-hoc test) were performed using Prism (ver. 5.01). (n.s., p > 0.05; \*p ≤ 0.05; \*\*p ≤ 0.005; \*\*\*p ≤ 0.005).

**Plerixafor treatment increased FoxP3<sup>+</sup>** $T_{reg}$  cell numbers in circulation and allograft. Rapamycin can have beneficial effects on survival and proliferation of  $T_{reg}$  cells<sup>11,50,51</sup> and might expand this subset<sup>11,48,66-69</sup>. To characterize this effect in our model, we analyzed  $T_{reg}$  cell numbers in blood, spleen, celiac lymph node, and the transplanted hearts 14 days post transplantation by flow cytometry. Therefore, we stained single cell suspensions using CD19, CD161b/c (NK1.1), CD3, CD4, CD25, and FoxP3 (Supplemental Fig. S1). Besides the fully mismatched heart transplantations (R, P5, and P5R), we included syngeneic control transplantations [vehicle-only (SC), rapamycin-only (SR), and rapamycin+plerixafor (SP5R)]. This comparison of allogeneic and syngeneic transplants allowed to shed light  $T_{reg}$  cells' specificity. While we found no increase of CD4<sup>+</sup>Foxp3<sup>+</sup> Treg cell numbers in peripheral blood of transplanted versus non-transplanted C57BL/6 mice after treatment with rapamycin-only (R and SR) or vehicle-only (SC) (Fig. 2A, Supplemental Table 1), our data indicated an significant increase FoxP3<sup>+</sup>CD4<sup>+</sup> T cells in blood by plerixafor (P5) and plerixafor + rapamycin (P5R and SP5R) (Fig. 2A, Supplemental Table 1). This increase was neither observed in spleen nor in celiac lymph nodes. Of note, syngeneic heart transplants (SC, SP5, and SP5R) did not contain  $T_{reg}$  cells (Fig. 2D, Supplemental Table 1). In contrast, infiltration of CD4<sup>+</sup>FoxP3<sup>+</sup> T cells into the allograft was only augmented by P5R treatment in comparison plerixafor-only (P5) or rapamycin-only (R), when mice received fully mismatched heart allografts (Fig. 2D, Supplemental Table 1).

Thus, we conclude that plerixafor increased  $T_{reg}$  cell numbers in peripheral blood in our allogeneic and syngeneic heterotypic heart transplantation model. Rapamycin and plerixafor have a synergistic effect on the  $T_{reg}$  cell infiltration into fully mismatched heart transplants explaining the prolongation of heart allograft survival in the respective treatment groups.

Plerixafor mobilize PDCA-1<sup>+</sup>Siglec-H<sup>+</sup> pDCs into blood and celiac lymph nodes, while the concomitant rapamycin application increases infiltration into the heart allograft. The application of rapamycin can lead to increased pDC numbers, which might be pro-tolerogenic by augmenting proliferation of  $T_{regs}$  cells<sup>70–72</sup>. Therefore, we hypothesized that the heart allograft survival prolongation by rapamycin + plerixafor is pDC mediated. Thus, we investigated pDC numbers by flow cytometry in blood, spleen, celiac lymph nodes, bone marrow, and samples of the left transplanted heart ventricle 14 days after transplantation (Fig. 3, Supplemental Table 1). pDCs were analyzed using a staining with CD19, CD161b/c (NK1.1), CD317 (PDCA-1, Bst-2), and Siglec-H, and defined as PDCA-1\*Siglec-H\* (Supplemental Fig. S1).

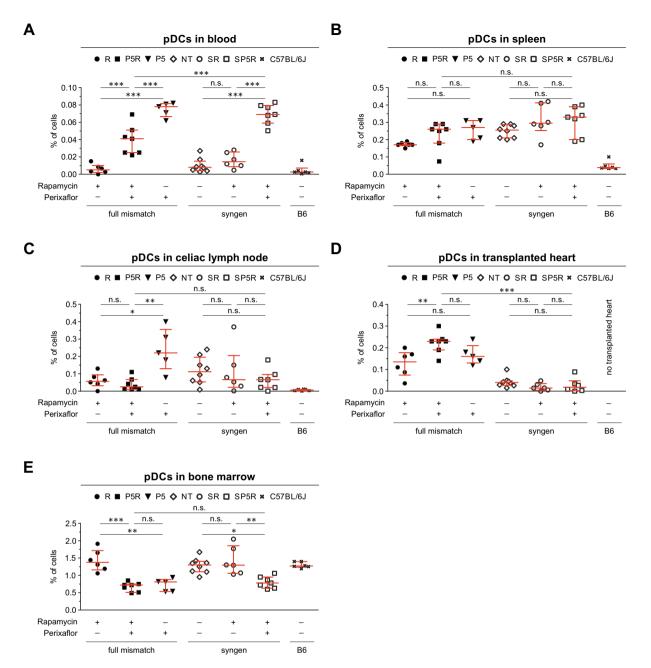
Our data revealed higher pDC numbers in peripheral blood of plerixafor-alone (P5), but also plerixafor + rapamycin (P5R) treated animals compared to rapamycin-only (R) (Fig. 3A). A similar increase was also observed after syngeneic heart transplantation (SP5R vs. SR) demonstrating independency from the allogeneic setting. Of note, in the allogeneic setting the blood pDCs increase was less pronounced in plerixafor + rapamycin (P5R) compared plerixafor-only (P5) treated animals (Fig. 3A, Supplemental Table 1). While pDC numbers were not elevated in celiac lymph nodes (Fig. 3C, Supplemental Table 1), but in the allograft (Fig. 3D, Supplemental Table 1) within the plerixafor + rapamycin group (P5R), these cells were likely mobilized from the BM (Fig. 3E, Supplemental Table 1) by the CXCR4 blockade. In spleen no changes of pDC numbers were observed (Fig. 3B, Supplemental Table 1). Of note, plerixafor increased pDC numbers in celiac lymph nodes (Fig. 3C, Supplemental Table 1) only in the allogeneic heart transplant setting. Finally, the corresponding bone marrow specimen (Fig. 3E, Supplemental Table 1) demonstrated decreased pDC numbers after plerixafor treatment (P5, P5R, and SP5R) compared to rapamycin-only treatment (R) only in allogeneic and syngeneic heart transplant settings. We also analyzed the accumulation of pDCs in heart allografts by flow cytometry. Thereby, we found higher pDC numbers after rapamycin + plerixafor treatment (P5R) (Fig. 3D, Supplemental Table 1). In contrast, the few immune cells in syngeneic heart transplants demonstrated unchanged pDC numbers. Additionally, we also investigated other immune cell subsets, such as B cells, CD4<sup>+</sup> and CD8<sup>+</sup> T cells, Ly6C<sup>high</sup> and Ly6C<sup>low</sup> monocytes, neutrophils, as well as cDC1 and cDC2 dendritic cells, in peripheral blood, transplanted heart, splenic single cell suspensions. No changes within the frequency of these subsets, which can potentially interact with Treg cells or dampen the immune response, could be associated with the prolongation of heart allograft survival induced by rapamycin + plerixafor treatment (Supplemental Fig. S2, Supplemental Table 1).

In summary, this data demonstrate a plerixafor-mediated pDC mobilization into peripheral blood, celiac lymph nodes and heart allografts. Furthermore, we could observe a plerixafor mediated enrichment of pDCs in celiac lymph nodes in the allogenic transplantation setting.

Depletion of PDCA-1<sup>+</sup>Siglec-H<sup>+</sup> pDCs causes a decrease of FoxP3<sup>+</sup> Treg numbers in the periphery and heart allograft abrogating the synergistic effect of plerixafor and rapamycin. To investigate the contribution of pDCs to the prolongation of allograft survival and the increase of FoxP3<sup>+</sup> T<sub>reg</sub> cell numbers, we performed pDCs depletion experiments in the allogeneic heart transplantation setting and investigated the allografts for myocyte lesions (Fig. 4B), allograft fibrosis (Fig. 4C), allograft infiltrating immune cells (Fig. 4D), and T<sub>reg</sub> cell numbers (Fig. 4E) 14 days after transplantation. We depleted pDCs by an in vivo intraperitoneal injection with anti-PDCA-1 antibodies two days before and every second day till 14 after transplantation (Fig. 4A). We treated animals as before by added an anti-PDCA-1 depletion antibody (P5R-aPDCA, R-aPDCA, P5-aPDCA) and the corresponding isotype control antibody (P5R, R, P5) (Fig. 4A). Syngeneic heart transplantations were performed in vehicles treated animals (SC). The achieved pDC depletion with a single dose of anti-PDCA-1 mAb was very effective in peripheral blood, spleen, LN and thymus, whereas bone marrow Siglec-H<sup>+</sup> pDCs were not completely depleted (Supplemental Fig. S3A). Of note, tissue macrophages of spleen, lymph node, thymus, and bone marrow tissues were not affected by the treatment with the anti-PDCA-1 depletion antibody (Supplemental Fig. S3A). The pDC depletion was maintained for the whole treatment period (data not shown). Tissue macrophages (MerTK<sup>+</sup>) did not express PDCA-1 in our experimental setting (data not shown), but their numbers were reduced by anti-PDCA-1 treatment in the different groups (Supplemental Fig. S3B). We suspect therefore that the reduction is an indirect effect due to the depletion of the pDCs. Only a few B cells were found in the transplanted heart tissues and were mainly negative for PDCA-1 expression. Only after plerixafor only (P5-Iso) treatment, PDCA-1 expression could be detected and those cells were also reduced in numbers by anti-PDCA-1 treatment (Supplemental Fig. S3C).

Our analysis revealed that the pDC depletion in rapamycin + Perixaflor (P5R) treated mice (P5R-aPDCA1) led to a stronger cardiac allograft lymphocyte infiltration and a higher myocyte lesion score (Fig. 4B). The fibrosis of the allograft was also increased by anti-PDCA-1 treatment in the R-aPDCA1 and the P5R-aPDCA-1 groups (Fig. 4C). Furthermore, P5R + anti-PDCA-1 treated mice (P5R-aPDCA1) displayed significantly less CD4<sup>+</sup>FoxP3<sup>+</sup> T<sub>reg</sub> cells in blood and allograft (Fig. 4D,E). These results demonstrated the association of CD4<sup>+</sup>FoxP3<sup>+</sup> T<sub>reg</sub> cell enrichment with the increase of PDCA-1<sup>+</sup>Siglec-H<sup>+</sup> pDCs.

Finally, anti-PDCA-1 depletion (P5R-aPDCA) abrogated the prolongation of allograft survival compared to P5R treated animals receiving isotype injections (P5R; 63 vs. 20 days; Fig. 4F). Rapamycin only treated animals displayed no changes in heart allograft survival with (R-aPDCA1) or without (R) pDC depletion. Of note, a slight but significant reduction in median allograft survival time was observed in P5-aPDCA1 versus isotype antibody treated P5 animals (8 vs. 12 days). Therefore, our data suggest an essential role of pDCs for the synergistic prolongation of heart allograft survival by plerixafor treatment, but are dispensable in rapamycin only treatments. Of note, we could observe a tendency of an increased IL-10 expression by pDCs within the heart tissue after treatment with rapamycin + plerixafor (P5R) compared to rapamycin treatment (R) as displayed in Supplemental Fig. S6A. Of note, nearly all IL-10 expressing cells found in the transplanted hearts could be identified as pDCs. Furthermore, we also observed a tendency in a lower type I interferon expression in the transplanted heart tissue after treatment (P5R) treatment compared to rapamycin (R) alone.



**Figure 3.** Plerixafor mobilizes pDCs from bone marrow into peripheral blood, the celiac lymph nodes and heart allograft 14 days post-transplantation. To elucidate the role of pDCs upon treatment with Plerixaflor and rapamycin in the heart transplantation setting, we investigated the presence of pDCs by flow cytometry in the peripheral blood, spleen, celiac lymph nodes, bone marrow, and samples of the left ventricle of the transplanted hearts 14 days after heterotopic allogeneic or syngeneic transplantation (this figure). For these experiments, pDCs were analyzed using a staining with CD19, CD161b/c (NK1.1), CD317 (PDCA-1, Bst-2), and Siglec-H as depicted in the Supplemental Fig. S1. Single cell suspensions of blood, spleen, celiac lymph node, and the transplanted heart were generated and stained with an antibody cocktail to identify pDCs (CD3, CD4, CD19, CD11c, CD317 (Bst-2, PDCA-1), Siglec-H, Ly6G, Ter119). The scatter plots depict the percentages (mean  $\pm$  SD) of pDCs within the living cell population for (A) blood, (B) spleen, (C) celiac lymph node, (D) transplanted heart, and (E) bone marrow (specimen from P5 n = 5, from P5R and SP5R n =7, from NT n = 8 and all others n = 6 mice). Each data point represents one mouse, all assessed 14 days after heart transplantation. Statistical analyses were performed using the One-way ANOVA with Bonferroni post-hoc test (n.s., p > 0.05; \*p ≤ 0.05; \*\*p ≤ 0.005).

Figure 4. Depletion of PDCA-1+Siglec-H+pDCs results in abrogation of plerixafor mediated prolongation of heart allograft survival. To investigate the contribution of pDCs to the prolongation of allograft survival and the increase of FoxP3<sup>+</sup> T<sub>reg</sub> cell numbers, we performed pDCs depletion experiments in the allogeneic heart transplantation setting (outlined in A) and investigated the allografts for myocyte lesions (B), allograft fibrosis (C), allograft infiltrating immune cells (D), and T<sub>reg</sub> cells (E) 14 days after transplantation. Example data for the stainings can be found in Supplemental Fig. S4. The scatter plots in (B-E) depict data of 3 to 6 heart transplant specimens. In these experiments, pDCs were depleted in vivo by intraperitoneally injecting a rat anti-mouse PDCA-1 mAb two days before transplantation and following every other day until 14 days post transplantation along with the general treatment. Treatment groups (study arms in red, control arms in blue and green) and time points of drug or vehicle injections for post-transplant in vivo anti-PDCA-1 depletion experiments are depicted in (A). Allograft sections taken from P5R treated recipients that underwent pDCdepletion (P5R-aPDCA) showed significantly higher myocyte lesion scores (B), a tendency of increased fibrosis  $(\mathbf{C})$  and increased cellular allograft infiltrations  $(\mathbf{D})$  compared to animals with isotype injections (P5R). The scatter plots in (E) demonstrate that pDC-depletion resulted in a significant decrease of allograft infiltrating CD4<sup>+</sup>FoxP3<sup>+</sup> Treg cells determined as ratio in between Foxp3<sup>+</sup> cells among CD3<sup>+</sup> T cell infiltrations. (F) HTX survival after pDC depletion: P5R treatment with concomitant anti-PDCA-1 depletion resulted in abrogation of the prolongation of allograft survival compared to P5R treated animals that received isotype injections (P5R + anti-PDCA, n = 9 mice, median survival 20 days; P5R + Isotype, n = 5 mice, median survival 63 days;p < 0.01). Examined groups of allogeneic heart transplanted animals included vehicles + mAb-isotype injections (NT-Iso, n = 6), plerixafor + mAb-isotype injections (P5-Iso, n = 5), rapamycin + mAb-isotype injections (R-Iso, n = 5), plerixafor + rapamycin + mAb-isotype injections (P5R-Iso, n = 5), plerixafor + anti-PDCA mAb (P5-aPDCA, n = 5), rapamycin + anti-PDCA mAb (R-aPDCA, n = 9), plerixafor + rapamycin + anti-PDCA mAb (P5R-aPDCA, n = 9). Horizontal lines of scatter plots in (B-E) show the mean  $\pm$  SD, the p value was calculated using the One-way ANOVA with Bonferroni post-hoc test (n.s., p > 0.05; \* $p \le 0.05$ ; \*\* $p \le 0.005$ ; \*\* $p \le 0.0005$ ). SC Syngeneic heart transplanted mice receiving only vehicles for plerixafor as well as rapamycin plus isotype mAb. \*Since both control groups of P5 and P5-aPDCA (green filled and open triangles) were recovered 14 days after transplantation but did undergo full rejection several days ago and were thus not beating anymore after HTX recovery these animals were excluded from statistical analysis (indicated by the vertical black line in scatter plot graphs A-D).

In conclusion, we found that the in vivo depletion of pDCs abrogated both,  $T_{reg}$  cell expansion as well as the plerixafor-mediated prolongation of allograft survival. Therefore, our data indicate that plerixafor is capable to reduce the severity of allograft rejection only under at least a low dosed concomitant mTOR inhibition by recruiting bone marrow-residing PDCA-1\*Siglec-H+ pDCs into both, secondary lymphoid organs and the allograft.

#### Discussion

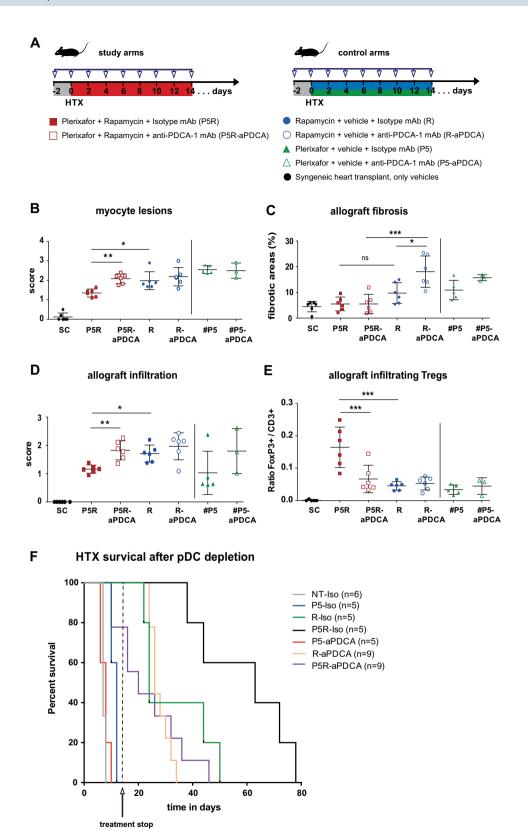
The broad clinical application of adoptive  $T_{reg}$  cell immunotherapy is hindered by the risk of  $T_{reg}$  cell stability and the required consistency of purity and regulatory potency<sup>1</sup>. Therefore, there is still an unmet need for the direct modulation of the host's immune system. We here investigated an alternative approach to reduce allo-immune responses after allogeneic heart transplantation in mice combining the CXCR4 antagonist plerixafor with lowdose rapamycin. We observed a recruiting of pDCs from the bone marrow into the allograft and identified these cells as key players.

Here, we demonstrated a synergistic effect of plerixafor for rapamycin treatment allowing for a longer allograft survival accompanied by an amelioration of myocardial damage, fibrosis and a significant higher allograft infiltration of Foxp3<sup>+</sup>  $T_{reg}$  cells (Figs. 1 and 2). plerixafor mobilized pDCs from bone marrow and their depletion reverted all positive effects of plerixafor including the prolongation of allograft survival.

pDCs and  $T_{reg}$  cells allograft infiltration upon plerixafor treatment was dependent on the fully mismatched transplant setting (Figs. 2 and 3) and therefore, we concluded its dependency on alloantigen presence. The infiltration itself might be either triggered by the alloantigen presentation in secondary lymphoid organs or by the alloimmune response in the transplant inducing expression of inflammatory chemokine ligands, such as CXCL9-11 or MIP1a<sup>73,74</sup>. Although CXCL12 fostered trafficking of CXCR4<sup>+</sup> progenitor epithelial cells into tracheal transplants<sup>75</sup>, CXCL12 expression was not altered in heart allografts (data not shown). Immune cell trafficking is dependent on chemokine-chemokine receptor networks<sup>76</sup>. Thus, changes within the allografts may foster  $T_{reg}$  cell and pDC infiltration under mTOR inhibition as this was previously observed for  $T_{reg}$  cells in a murine islet allograft model<sup>77</sup>.

In experimental ischemic cardiomyopathy, immune cell migration from bone marrow (including hematopoietic progenitor cells, HSCs) improved recovery after ischemia/reperfusion injury<sup>78,79</sup>. In line with our data, a CXCR4 blockade fostered tissue repair after myocardial infarction due to  $T_{reg}$  cell mobilization<sup>80</sup>, but also speeded up the healing process<sup>78,81</sup>.

In line, we observed a longer allograft survival after plerixafor treatment and demonstrated further that pDC depletion resulted in reversal of these effects (Fig. 4). This suggests a certain effect of plerixafor alone synergizing with rapamycin immunosuppression. In rat liver transplantations a similar synergism of plerixafor with low-dose tacrolimus was reported and accompanied by a strong increase of allograft-residing  $T_{reg}$  cells<sup>82</sup>. In addition, in pancreatic islet transplantation into hyperglycemic C57BL/6 mice a CXCR4 antagonist prolonged graft survival<sup>58</sup>. The authors also attributed this to hematopoietic stem cells (HSCs) due to the abrogation of these effects by  $\alpha$ CD117 antibody mediated depletion of HSCs. However, the expression of CD117 not limited to these cells as it can also be found on many other precursor cell populations including the most import pDCs precursor



population, suggesting that also pDCs will be affected. The authors excluded a role of  $T_{reg}$  cells by depletion with an anti-CD25 antibody, which did not fully abrogate the prolongation of allograft survival. Rapamycin as well as plerixafor can directly influence the functionality of  $T_{reg}$  cells. Whereas rapamycin has been reported to foster  $T_{reg}$  cell function<sup>69,83–85</sup>, plerixafor was shown to partially reduce  $T_{reg}$  cell-mediated immunosuppression<sup>86</sup>.

In this study, we also investigated the role of pDCs in our murine heart transplant model as other immune cell population could not directly by associated with prolonged allograft survival by rapamycin + plerixafor (Fig. 3 and Supplemental Fig. S2. pDCs have been reported to foster the suppressive function of  $T_{reg}$  cells<sup>34,35</sup>. The experiments demonstrated that plerixafor not only resulted in the well-known peripheral shedding of hematopoietic stem cells<sup>58,59</sup>, but also of PDCA-1<sup>+</sup>Siglec-H<sup>+</sup> pDCs. We identified an immune regulatory role of mobilized pDCs, as their anti-PDCA-1-mediated depletion resulted in a reduction of T<sub>reg</sub> cells in both, blood and allograft. pDCs have been described to suppress the peripheral immune response in several murine models of auto- and allo-inflammatory disorders<sup>13</sup>, such as autoimmune arthritis<sup>44</sup>, autoimmune encephalomyelitis<sup>40</sup>, and graft-versus-host disease<sup>26,43</sup>. Whereas donor-derived cDCs have been traditionally regarded as principal instigators of allograft rejection, considerable evidence demonstrates a role for recipient's pDCs in mediating the induction and/or maintenance of tolerance to allografts<sup>3,34</sup>. Abe et al. first reported a prolongation of murine cardiac allograft survival by an adoptive transfer of BM-derived pDCs<sup>87</sup>. In addition, Turnquist et al. observed an enrichment of antigen-specific FoxP3<sup>+</sup>  $T_{reg}$  cells promoting heart allograft tolerance upon adoptive transfer of in vitro rapamycin preconditioned DCs<sup>88</sup>. Furthermore, pDCs participate in lupus models in peripheral tolerance by inducing T<sub>reg</sub> cells<sup>29</sup>. Importantly, in operational tolerant pediatric liver recipients higher peripheral blood pDC numbers (compared to cDCs) have been observed<sup>89</sup>, while elevated myeloid cell/pDC ratios were associated with early acute cellular rejection after pediatric small bowel transplantation<sup>90</sup> and late rejection after pediatric liver transplantation<sup>91</sup>, respectively.

There are different possibilities for the mechanism of pDC-driven induction of heart allograft-specific  $T_{reg}$  cells. They may result from a direct  $T_{reg}$  cell induction in the thymus by pDCs from the periphery, which have uptaken peripheral antigens, presenting those in the thymus promoting central tolerance<sup>42</sup>. Although, we observed an increased homing of pDCs into celiac lymph nodes and the cardiac allograft, we cannot specify where these interactions take place in our model. In line with these results, previous studies from Ochando et al. demonstrated homing of pDCs, which acquired donor-specific allo-antigens, to draining LNs where they induced de novo allo-antigen-specific  $T_{reg}$  cells under tolerizing conditions<sup>47</sup>. Furthermore, additional studies from Liu et al. identified pDCs as crucial players for establishing cardiac allograft tolerance in mice lacking the lymph node homing receptor CCR7<sup>92</sup>. Furthermore, pDCs might be also involved in the recruitment, differentiation, and/ or functional modulation of tissue macrophages involved in tissue repair as we observed a reduction of those cells after pDC depletion while excluding a direct depletion due to lacking PDCA-1 expression (Supplemental Fig. S3B).

In summary, we demonstrate for the first time an immunomodulatory potency of plerixafor under sub-therapeutical mTOR inhibition after allogeneic heart transplantation. Combining the immunosuppressive drug with the mobilization of bone-marrow-residing pDCs allowed to reduce allograft rejection leading to prolongation of allograft survival in vivo. Here, we do not exclude a role for hematopoietic stem cells on heart allograft survival, but rather classify pDCs as an essential population for the prolonged heart allograft survival by plerixafor. We proofed the essential involvement of pDCs in the immune regulatory mechanisms in check, because their depletion resulted in an impairment of  $T_{reg}$  cell expansion and prolongation of allograft survival. Furthermore, a strong and significant exacerbation of allograft histology scores after pDC depletion occurred.

These results may impact on future clinical immunosuppressive therapies since the direct adoptive transfer of  $T_{reg}$  cells implies several disadvantages<sup>93,94</sup>. Therefore, it is of utmost importance to develop alternatives relying on the direct induction of donor-specific  $T_{reg}$  cells within the patient. Here, we have demonstrated that a combination of immunosuppressive drugs implementing plerixafor might be able to provide the possibility to achieve this in vivo.

### Materials and methods

**Animals.** BALB/c (allogeneic) or C57BL/6J (syngeneic) mice served as heart donors and C57BL/6J as transplant recipients (all male and 8–12 weeks old). All animal experiments adhered to EU directive 2010/63/EU and were approved by the 'Regierung von Unterfranken' (approval #G1071/09) and were carried out in accordance to the ARRIVE guidelines. For anesthesia, 10% Ketamine (100 mg/kg, Dopalen) and 2% Xylazine (10 mg/kg, Rompun) was used. Metamizol (200 mg/kg) p.o. and Carprofen (5 mg/kg) s.c. served as postoperative analgesia.

**Abdominal-heterotopic cardiac transplantation model.** Heterotopic intra-abdominal heart transplantation (HTX) from BALB/c or C57BL/6J to C57BL/6J recipient mice was performed as previously described<sup>64</sup>. As video demonstrating the whole process including a detailed method description can be found in our recent publication<sup>95</sup>. Allograft function was evaluated daily by palpation according to Martins et al.<sup>65</sup>. C57BL/6J recipients received injections with plerixafor (1 or 5 mg/kg s.c.) and/or rapamycin (0.4 mg/kg i.p.) two days before, immediately after HTX and every other day for 14 days. The subclinical dosage of rapamycin allowed to early distinguish differences in allograft survival. The treatment groups are depicted in Fig. 1A. Transplantation of C57BL/6J hearts into C57BL/6J recipients served as non-rejection controls. The role of pDCs was investigated by in vivo depletion experiments (groups see Fig. 4A). Therefore, 500 µg of either anti-PDCA-1 or an isotype control antibody was injected every second day starting two days before transplantation. Vehicle controls included 0.9% NaCl (plerixafor) and 0.2% Carboxymethylcellulose + 0.25% Tween-80 (rapamycin). All in vivo applied antibodies were endotoxin-free. The weight of all transplanted animals remained within physiological ranges as depicted in Supplemental Fig. S5.

**Reagents and antibodies.** Plerixafor (AMD3100, Sigma, Germany) was prepared in 0.9% NaCl (injection volume 150 μl, s.c.). Rapamycin (Wyeth, Münster, Germany) dissolved in EtOH was diluted in 0.2% carboxy-methylcellulose+0.25% Tween80<sup>\*</sup> (i.p.). Anti-msPDCA-1 (for depletion, clone 927), ratIgG2b isotype control were obtained from Biolegend. Flow cytometry antibodies for CD3 (145-2C11 or eBio500A2), CD4 (RM4-5), CD8 (Clone 53-6.7), CD11b (M1/70), CD11c (HL3 or N418), CD19 (1D3), CD25 (PC61), CD45R/B220 (RA3-6B2), CD86 (GL-1), CD115 (T38-320), CD317/PDCA-1 (927), FoxP3 (MF-14 or MF-23), LAP-1 (TW7-16B4), Ly6C (HK1.4), Ly6G (1A8), Siglec-H (551), and Ly-76/Ter119 (TER-119) were purchased from BioLegend, BD Pharmingen, or eBioscience. Immunohistochemistry antibodies and isotype controls for CD3 (rabbit) and FoxP3 (FJL-16s) were obtained from Dako or eBioscience.

**Single cell isolation.** Celiac LNs were recovered from paraaortic, pararenal, parapancreatic and mesenteric sites. Celiac LNs, spleen, and transplanted hearts (after flushing with cold DPBS) were teared into small pieces and digested in HBSS + Collagenase IV and DNAse I for 30–45 min as described before<sup>96</sup>. ACK lysis buffer was used to lyse erythrocytes. BM was flushed out with RPMI1640 + 5% FCS (Gibco, Thermo Fisher Scientific). Cell isolation was performed three hours after the last plerixafor injection.

**Flow cytometry.** Single cells suspensions were blocked with anti-CD16/CD32 (2.4G2) and anti-Fc $\gamma$ RIV (9E9) at 4 °C for 10 min. For intracellular staining of FoxP3, cells were fixed and permeabilized using the True-Nuclear Transcription Factor Buffer Set (Biolegend) according to manufacturer's instructions. Acquisition was performed on a Navio flow cytometer using Caluza 1.3 (Beckman Coulter) or a LSR Fortessa (SORP) using Diva. Data were analyzed using FlowJo 10.6.0 (TreeStar).

**Histological evaluation of the heart allografts.** The allograft's left ventricle was fixed in 10% formalin, paraffin-embedded, and sectioned (3  $\mu$ m). Cellular infiltration and myocyte lesion scores were quantified as previously described<sup>64</sup>. In brief, myocyte lesion score 0: no lesion; 1: myocyte vacuolization; 2: focal myocyte necrosis (irregular border; fragmented sarcoplasm, debris, myocyte dropout); and 3: extensive myocyte necrosis (interstitial hemorrhage/eosinophil infiltration). The heart tissue fibrosis was investigated by Sirius red staining (acquisition: Axio 4.6, Carl Zeiss). Immunohistochemistry was performed after antigen retrieval using Tris-EDTA (pH 9) for 45 min (CD3) or citrate (pH 6) for 60 min followed by permeabilization using 0.25% Triton X-100 in PBS for 10 min (FoxP3). Primary antibody dilutions of 1  $\mu$ g/ml (CD3) or 10  $\mu$ g/ml (FoxP3) were applied for 30 min at RT. After washing, goat-anti-rabbit (3  $\mu$ g/ml, Dianova Jackson ImmunoResearch) or goatanti-rat (10  $\mu$ g/ml BD Pharmingen) were stained for 30 min at RT as secondary antibodies. Scores and cells were assessed and calculated as average of 10 high power fields by blinded observers. T<sub>reg</sub>/T cell ratio was calculated by dividing FoxP3<sup>+</sup> cell number/mm<sup>2</sup> by CD3<sup>+</sup> cell number/mm<sup>2</sup> in serial sections. Two blinded observers evaluated five fields of the histological slides and an average scores were calculated.

**Statistical analysis.** Data in bar graphs and scatter plots are expressed as mean  $\pm$  SD and evaluated by Mann–Whitney *U* test (two groups) or One-way ANOVA with Bonferroni post-hoc test (multiple groups) using GraphPad Prism V5.01. Survival data were denoted as median survival days [P25–P75] and were analyzed using the Gehan–Breslow–Wilcoxon test. *p* values were expressed as \**p*<0.05, \*\**p*<0.01 and \*\*\**p*<0.001.

Received: 3 December 2020; Accepted: 8 November 2021 Published online: 10 December 2021

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### Acknowledgements

We would like to thank Tanja Hinkeldein and Barbara Nilewski-Kühl for excellent technical assistance and Professor Dr. Ute Eisenberger for helpful and constructive discussions. Parts of the data reported in this manuscript were presented at the American Transplant Congress in 2015<sup>97</sup> and at the congress of the German Society of Immunology (DGFI) in 2017<sup>98</sup>.

#### Author contributions

J.F. and C.H.K.L. contributed equally to these studies. J.F. performed allograft transplantation, harvested organs, performed flow cytometry and participated in writing the manuscript. C.H.K.L. recovered organs and performed flow cytometry, analyzed and interpreted the data and participated in writing the manuscript. X.W. performed flow cytometry and immunohistochemistry. I.A. and M.W. performed immunohistochemistry. L.A. participated in performing research and data analysis, B.W., S.D., J.W., A.K., W.R. and P.H. critically discussed the data and reviewed the manuscript. R.C. performed quality assessments of the surgical procedures of the heterotopic HTX model, D.D. and O.W. critically discussed and evaluated the data analyses, and critically reviewed and co-approved the final version of the manuscript. A.H. conceptualized the experiments and designed the study, supervised the data analysis and interpretation, drafted, composed and approved the final version of the manuscript.

#### Funding

Open Access funding enabled and organized by Projekt DEAL. This work was supported in part by the German Research Foundation (DFG) to promote international collaborations (HO2581/4-1 to AH), by the National Science Foundation of China (NSFC; #81760291 to FJ), by the German Research Foundation DFG (/RTG2504-401821119 (B2) to CHKL; /CRC1181-261193037 (A7) and DU548/5-1 to DD), by the Manfred Roth Stiftung (to AH) and intramural funding by the IZKF Erlangen (A87 to CHKL and A80 to DD). The authors have no financial relationships relevant to this study to disclose.

### **Competing interests**

OW has received research grants for clinical studies, speaker's fees, honoraria and travel expenses from Amgen, Alexion, Astellas, Basilea, Biotest, Bristol-Myers Squibb, Correvio, Chiesi, Gilead, Hexal, Janssen, Dr. F. Köhler Chemie, MSD, Novartis, Roche, Pfizer, Sanofi, TEVA and UCB. AH has received research grants for clinical studies, speaker's fees, honoraria, and travel expenses from Abbvie, Novartis, Nutricia and Shire/Takeda. All authors declare no conflict of interest.

### Additional information

**Supplementary Information** The online version contains supplementary material available at https://doi.org/10.1038/s41598-021-03115-z.

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