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# New marine data and age accuracy of the Romualdo Formation, Araripe Basin, Brazil

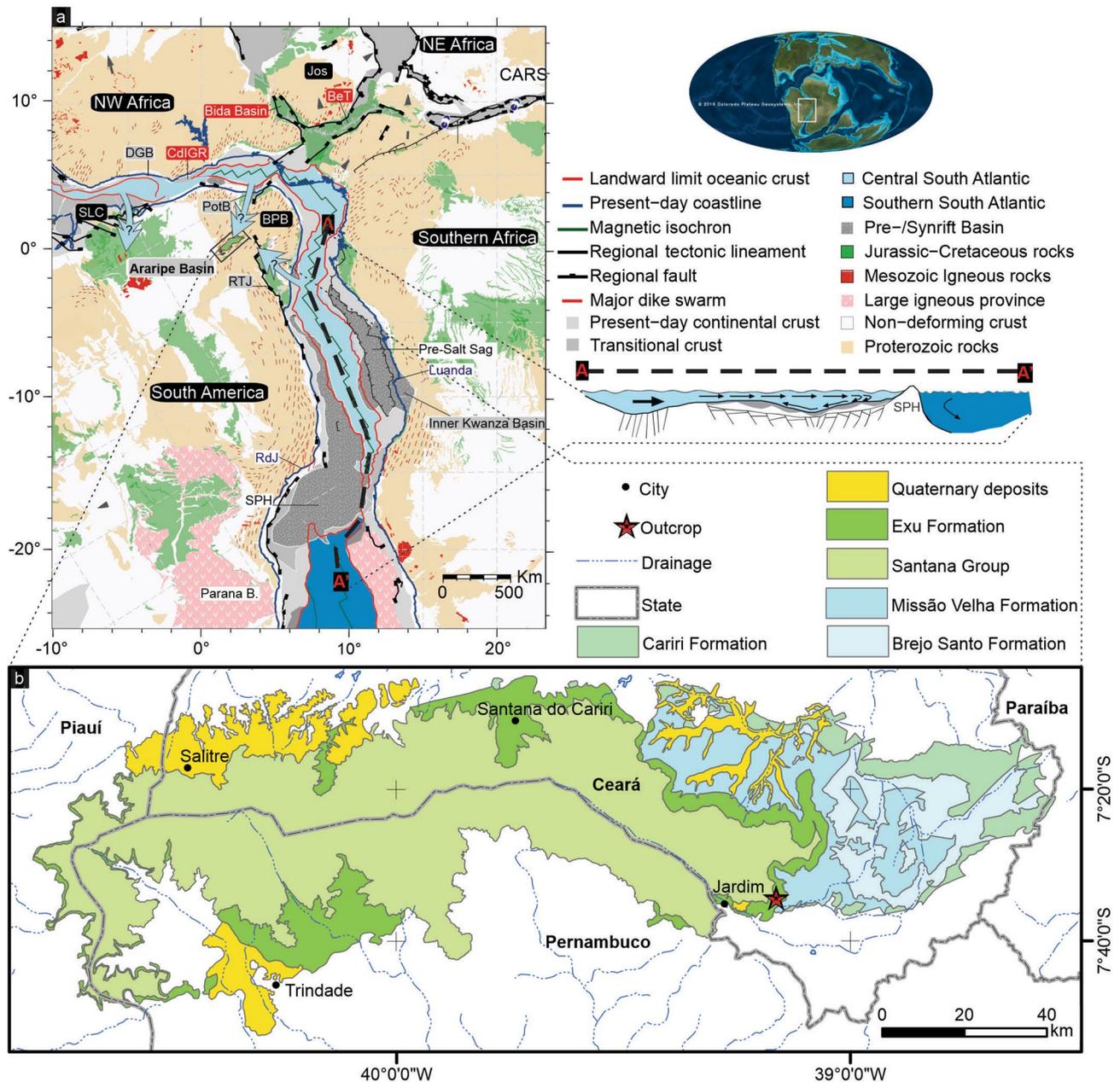
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A combined biostratigraphic and palaeoecological study of foraminifera, ostracodes and microfossils was carried out on the Aptian in the Sítio Sobradinho section of the Araripe Basin, northeast Brazil. The analysed section represents a deepening-upward sequence with mid-ramp shoal and outer ramp to basin facies associations on a mixed siliciclastic-carbonate marine ramp. The analysed rocks are dominated by Early Cretaceous planktic foraminifera (*Hedbergella aptiana*, *H. praelippa*, *H. sigali*, *Blesfuciana* cf. *cumulus*, *Microhedbergella miniglobularis*, *Gorbachikella* cf. *kugleri*, *Pseudoguembeltria blakenosensis*, *Globigerinelloides clavatus*, *Globigerinelloides* aff. *aptiensis*, *Gubkinella* sp. and *Loeblichella* sp.). Ostracoda fauna is composed mainly of *Pattersoncypris crepata* and *Pattersoncypris micropapillosa*. The occurrence of *P. crepata* associated with the Aptian planktic foraminifera demonstrates the potential of this ostracode species to date this interval. The planktic foraminifera from the upper Aptian (*Microhedbergella miniglobularis* Zone) of the Araripe Basin show characteristic Tethyan affinities.

Several intracratonic and marginal basins of eastern South America and western Africa record the opening of the South Atlantic as a large Jurassic–Cretaceous intraplate rift zone during the break-up of western Gondwana. In the Borborema Province (BP) of northeast Brazil, the Araripe Basin aulacogen, the most extensive of the basins located south of the E–W Patos Shear Zone, is elongated in the E–W direction (Fig. 1), but its general structure is made of NE–SW asymmetric grabens. The Araripe Basin is known worldwide by its late Early Cretaceous fossil Konservat-Lagerstätten of the Crato and Romualdo formations, Santana Group<sup>1,2</sup>. Plants, including angiosperms, insects, fishes, terrestrial and flying reptiles, and abundant microfossils such as ostracodes and palynomorphs have been recovered from the continental strata of these units<sup>3–11</sup>. Marine fossils exemplified by dinoflagellates, foraminifera, fishes, echinoids and mollusks are also found in the Romualdo Formation<sup>11–15</sup> and record the establishment of a marine incursion, on the aborted intraplate Araripe rift.

The sedimentological record of Mesozoic rifting in the Araripe Basin overlies the syncline deposits<sup>16</sup> of the Palaeozoic Cariri Formation<sup>17</sup> and the Precambrian rocks of the Transversal Zone of the BP<sup>18</sup>. The Mesozoic stratigraphic sequences have been grouped according to the different rift tectonic stages; here, we considered three main stages. The Upper Jurassic Brejo Santo and Missão Velha formations are linked to the initial rifting stages in a large sedimentary basin called the Afro-Brazilian Depression<sup>19–21</sup>. The rifting climax recording the development of half-grabens comprises the fluvio-deltaic-lacustrine strata of the Berrisian Abaiara Formation<sup>21</sup>. The post-rift stage is divided into two sequences: the lower sequence corresponds to the lower Cretaceous Santana Group ranging from the base to the top of the Barbalha, Crato, Ipubi and Romualdo formations, and the upper sequence corresponds to the alluvial units of the Araripe Group, namely, the Araripina and Exu formations<sup>22</sup>. As with all intracratonic and marginal basins in Brazil, the rift-related sedimentary succession in the Araripe Basin displays a continuous record of ostracodes and palynomorphs throughout the Mesozoic, enabling the biostratigraphy and palaeoenvironmental evolution of this basin to be interpreted<sup>6</sup>. Fluvial and lacustrine environments characterize most of the strata that comprise the Araripe Basin Mesozoic record, but at the top of the succession, the evaporitic Ipubi Formation and the marine fossil content of the Romualdo Formation allow the

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**Figure 1.** Location of the study area. **(A)** Palaeogeographical map of South America and Africa during the break-up of Gondwana by the late Aptian (~113 Ma) modified after the 115 Ma and 110 Ma plate tectonic reconstructions of Heine et al.<sup>28</sup> with Africa fixed at present-day coordinates. Rigid lithospheric blocks are denoted by black labels: South African plate, *BPB* NE Brazilian Borborema Province plate, *Jos* Jos Plateau sub-plate, *SLC* Sao Luis Craton block. Actively extending basins are indicated by labels with a red background; post-rift basins are indicated by labels with a light grey background; the Araripe Basin is highlighted in the black box; abbreviations: *CARS* Central Africa Rift System, *BeT* Benue Trough, *CdIGR* Cote d'Ivoire/Ghana Ridge and associated marginal basins; *DGB* Deep Ghanaian Basin, *PotB* Potiguar Basin, *RTJ* Recôncavo, Tucano and Jatobá Basins. Other abbreviations: *RdJ* Rio de Janeiro, *B. basin*, *SPH* São Paulo High. The A–A' profile illustrates a longitudinal section extending from the equatorial region in the Central South Atlantic to the Southern South Atlantic, between which is the barrier of the São Paulo High comprising the Rio Grande Rise and the Walvis Ridge, after Carvalho et al.<sup>115</sup>. The global palaeogeographical sample map was obtained from Colorado Plateau Geosystems <https://deeptimemaps.com/>. **(B)** Geological map of the Araripe Basin created using ESRI ArcMap v.10.3 software (<https://www.esri.com/en-us/arcgis/products/arcgis-pro/overview>) with metadata acquired from the online Geosciences Service of the Geological Service of Brazil-CPRM. The complete figure was composed by Juliana Guzmán using CorelDRAW X7 software (<https://www.coreldraw.com>).

recognition of the Aptian marine transgression into the basin and the posterior retreat registered by the Araripe Group. Subsurface data of the Romualdo Formation are quite scarce, and hence, vertical stratigraphic sections in outcrops are essential to better understand its stratigraphy. The Romualdo Formation comprises a wide range of lithologies, including stratified conglomerates, fine- to medium-grained sandstones, laminated limestones, marls, shales and coquinas, which characterize a coastal to marine environment<sup>22</sup>. Along the slopes of the Araripe Plateau are known good outcrops of this unit, such as the Sítio Sobradinho outcrop, which reaches a thickness of ~ 100 m and is the most representative outcrop showing the vertical stratigraphic section of the Romualdo Formation, allowing detailed studies. According to Custódio et al.<sup>23</sup>, the absence of the Ibupi Formation in the Sítio Sobradinho section causes nearshore deposits to rest unconformably atop the carbonate-siliciclastic facies of the Crato Formation. Four facies associations are related to a transgressive system track stacking pattern and the posterior highstand system track<sup>23</sup>.

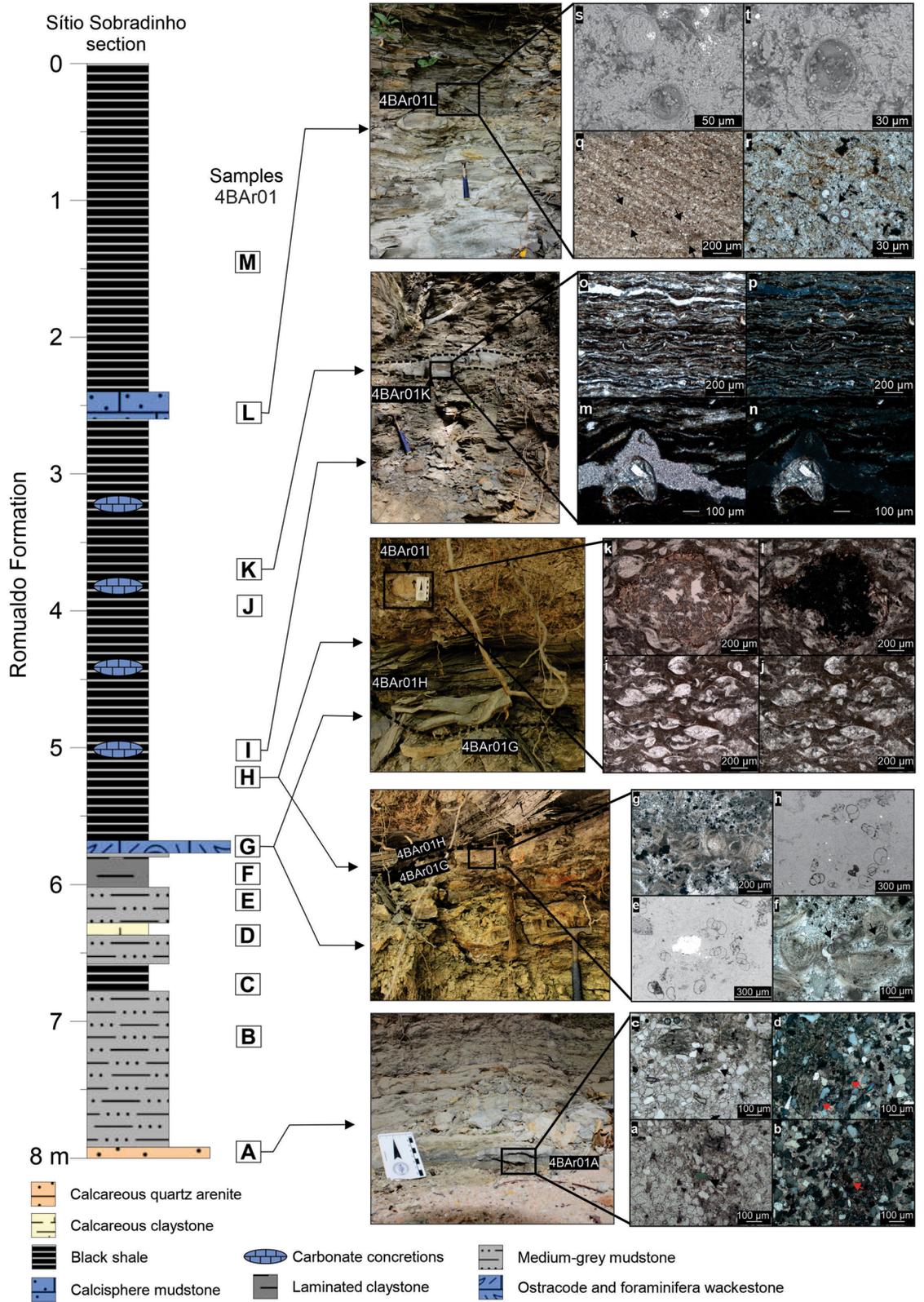
Many discrepancies remain among the studies regarding how seawater reached the Araripe Basin<sup>24–27</sup>, as illustrated in the Fig. 1. Tectonic and geodynamical models show the opening of the South Atlantic Ocean beginning from the south. According to Heine et al.<sup>28</sup>, SW-directed extension had already started during the latest Jurassic in the southern part of the South Atlantic Rift System; in addition, break-up and seafloor spreading started near 138 Ma (mid-Berriasian), during which time E–W-directed extension between South America and Africa occurred at very low extensional velocities until the Hauterivian (~ 126 Ma), when rift activity in the equatorial Atlantic started to increase significantly with significant rotation towards NE–SW. From the base of the Aptian onwards, diachronous lithospheric breakup occurred along the central South Atlantic Rift, and the final break-up between South America and Africa occurred in the Santos-Benguela segment at approximately 113 Ma and in the equatorial Atlantic between the Ghanaian Ridge and the Piauí-Ceará margin at 103 Ma<sup>28</sup>. On the other hand, eustasy studies demonstrate that the sea level remained higher than the present-day mean throughout the Cretaceous, though the Aptian and early Albian eustatic sea level went into a long period of stasis, during which the sea level varied only by a relatively moderate amplitude (between 25 and 75 m)<sup>29</sup>.

In palaeoclimatic terms, the Aptian (~ 125 to ~ 113 Ma) was characterized by climatic changes and profound environmental perturbations, including Oceanic Anoxic Event (OAE) 1a (~ 120 Ma), representing a global phenomenon of organic matter burial in oxygen-depleted oceans<sup>30–34</sup>. Apart from the terminal Cretaceous extinction, the planktic foraminiferal turnover across the Aptian/Albian boundary interval is the most dramatic event in the Cretaceous evolutionary history of planktic foraminifera, with a change from large-sized and heavily ornamented species in the latest Aptian to small-sized, globigeriniform specimens in the earliest Albian<sup>35–38</sup>. In the Araripe Basin, the occurrence of foraminifera associations has previously been recognized, inferring a marine ingressions into the Araripe Basin recorded in the Romualdo Formation, but their taxonomy and distribution are not documented in detail<sup>39,40</sup>. On the other hand, palynoforaminifera (chitinous linings present in palynological preparations) are usually rare and related to benthic taxa<sup>41–44</sup>. Goldberg et al.<sup>44</sup> especially recovered palynoforaminifera in the infra-Ibupi strata of the Araripe Basin, indicating that marine ingressions occurred before evaporitic deposition. The ostracodes from the Araripe Basin have received the attention of several authors due to their abundance, diversity and excellent preservation<sup>6,45,46</sup>, including an unusual record of ostracodes with phosphatized appendages and eggs in deposits from the Romualdo Formation<sup>3,47–49</sup>. The absence of reliable index fossils has led to constant debates about the age of the Romualdo Formation, being positioned on the Alagoas local stage (Ostracoda Zone RT-011) in the Aptian–Albian<sup>17,22</sup>. To constrain the chronostratigraphic position of this interval, we report robust marine data from the Romualdo Formation represented by a set of microfossils, including planktic and benthic foraminifera, ostracodes and calcispheres of Early Cretaceous age from the Araripe Basin. The significance of this study lies in the calibration of Aptian planktic foraminiferal events with ostracode species.

## Methods

A lithostratigraphic section of the maximum flooding zone of the Romualdo Formation at the Sítio Sobradinho outcrop was performed. According to the lithological variation, thirteen samples were collected for micropalaeontological analysis, and six petrographic slides were prepared for microfacies analyses. Petrographic analysis was carried out with a Zeiss Axio Scope.A1 microscope equipped with a Zeiss AxioCam MRc camera at the Applied Micropalaeontology Laboratory (LMA) of the Federal University of Pernambuco, Brazil. Microphotographs of petrographic slides and selected carbonate microfossils were obtained from a Phenom XL scanning electron microscope (SEM) at the LMA.

For the studies of carbonate microfossils, approximately 60 g of sediment was used, and mechanical disaggregation of the lithic samples was performed on smaller fragments (~ 5 mm), followed by immersion in water. After a period of 24 h, the sample was washed in water using different sizes of sieves (> 500 µm, > 250 µm, > 180 µm, > 63 µm and > 45 µm) and dried at 50 °C. This technique allows the extraction of planktic foraminifera, as well as benthic foraminifera, ostracodes, and other microfossils, without destroying or corroding the microfossils. Specimens that remained with the aggregated sediment were treated in an ultrasound bath at variable times. Picking was performed under a Zeiss Stemi 305 stereomicroscope at all fractions, from > 250 µm and > 180 µm fractions, all specimens were collected. The smallest fractions samples (> 63 µm and > 45 µm) displayed high abundance and were quartered; the picking process reached 300 specimens from each fraction sample. The age of the sedimentary section studied here was attributed based on the known biostratigraphic ranges of the recovered species using the scheme by Huber and Leckie<sup>50</sup> and Petrizzo et al.<sup>51</sup> as the basis. The specimens presented here were deposited in the LMA, under the collection numbers LMA-00029 to LMA-00073.



◀ **Figure 2.** Lithological section of the interval studied here from the Sítio Sobradinho outcrop showing the positions of the stratigraphic samples (4BAr01A–4BAr01M) in the outcrop photographs and the facies photomicrographs to the right. The top of section (0 m) corresponds to the elevation datum 695 m. (a–d) Calcareous quartz arenite with glauconite grains (indicated by arrows): peloid type under PPL—parallel polarized light (a) and XPL—crossed polarized light (b) and foliated grains under PPL (c) and XPL (d). (e–g) Massive fossiliferous wackestone: foraminifera casts in SEM images (e, h), foraminifera, ostracode valves and peloids in a sparry calcite cement under XPL (f), non-oriented ostracode valves and peloids in a micritic matrix and several metallic grains (black euhedral and framboid) under PPL (g). (i–l) Laminated ostracode wackestone: oriented ostracode valves and carapaces in a micritic matrix under PPL (i) and XPL (j) and a silica grain disturbing the orientation of ostracodes under PPL (k) and XPL (l). (m–p) Carbonaceous and fossiliferous shale: an ostracode carapace disturbing the lamination under PPL (m) and XPL (n) and wavy carbonaceous and clay laminae with intercalated ostracode valves and few silty grains under PPL (o) and XPL (p). (q–t) Calcsphere mudstone: calcspheres indicated by arrows under PPL (q, r) and calcspheres in SEM images (s, t). Figure created by Juliana Guzmán on CorelDRAW version 22.0 (<https://www.coreldraw.com>).

## Results

The stratigraphic interval here studied is characterized by a general fining-upward gradation from fine-grained sandstone to siltstone, laminated claystone and an organic-rich shale succession with carbonate rocks (Fig. 2). From the base to the top, eight lithofacies were identified, and the microfacies of seven of these were analysed. Lithofacies A is a massive, fine-grained calcareous quartz arenite with chlorite, muscovite and pellets or foliated grains of glauconite (Fig. 2a–d). Lithofacies B corresponds to a massive medium-grey to light yellow siltstone (the same for sample 4BAr01E and calciferous sample 4BAr01D). Lithofacies F is a laminated and lenticular organic-rich claystone with pyrite. From a laterally continuous level, lithofacies G is defined as a massive wackestone with abundant ostracodes and foraminifera, pyrite grains are also observed (Fig. 2e–h). From a sample concretion, lithofacies I corresponds to a laminated ostracode wackestone with rare foraminifera and silica grains (Fig. 2i–l). Lithofacies K corresponds to a shale package containing interbeds, concretions and lenses of limestone, its microfacies is characterized by wavy carbonaceous and clay laminae with intercalated ostracode valves (Fig. 2m–p). Lithofacies L, which is a laterally discontinuous lens, corresponds to a calcsphere mudstone with rare foraminifera and ostracodes (Fig. 2q–t).

The recovered microfossil assemblages are mainly represented by foraminifera, ostracodes and calcspheres, which have a constant presence in the middle portion (samples 4BAr01G–4BAr01L) of the section, with moderate diversity and an abundance of recovered specimens. The first samples corresponding to the base of the section are sterile, one possibility could have been due to dissolution during diagenesis. In the upper portion of the section, there is a decrease in the occurrence of ostracodes and foraminifera, and microgastropod specimens, bivalves, bone fragments and plant fragments (bryophyte capsules) are recovered. The distribution and abundance of the different taxonomic groups recovered are shown in Fig. 3.

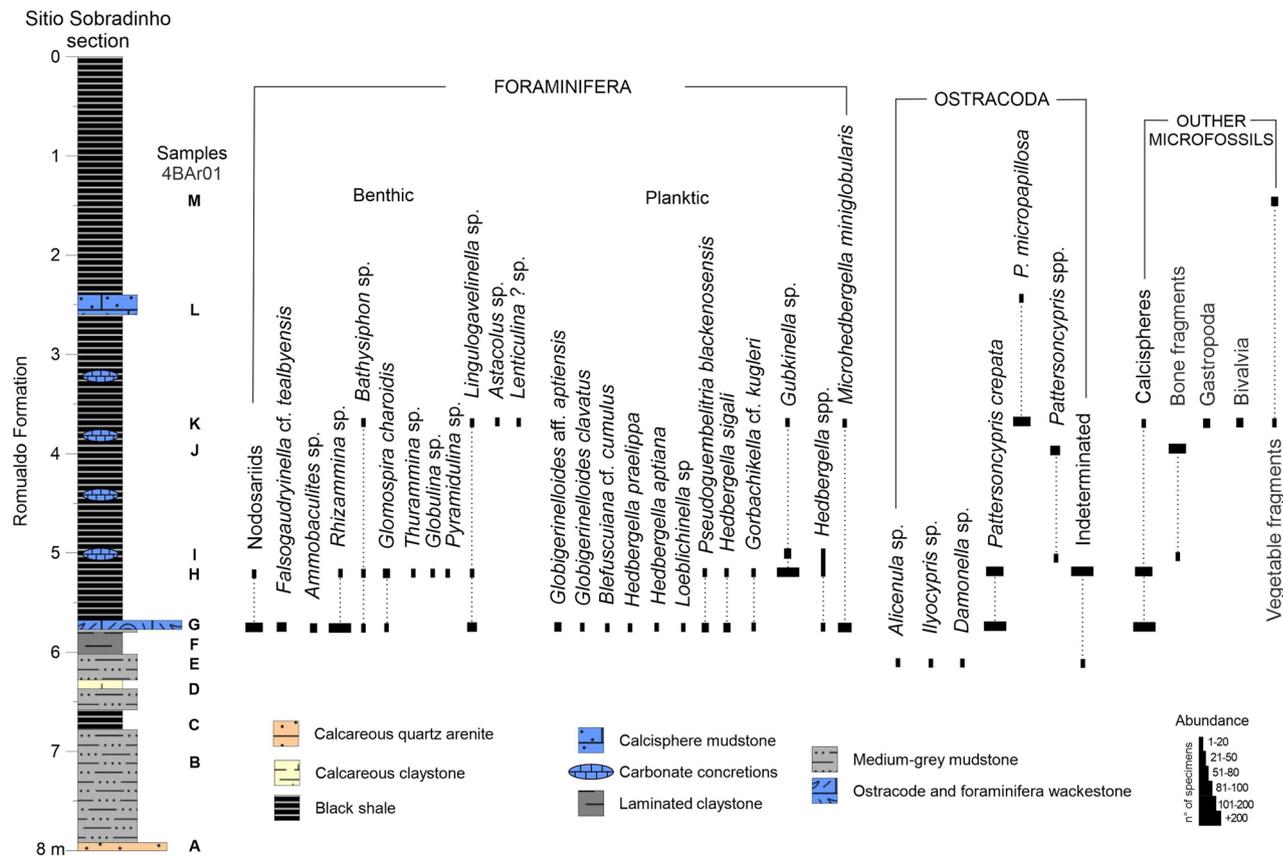
The samples yielded 17 foraminifera taxa, nine of plankton habit and eight of benthic habit. The benthic foraminifera are mainly represented by agglutinated forms, particularly *Rhizammina* sp., *Bathysiphon* sp., *Glomospira charoides* and *Falsogaudryinella* cf. *tealybensis* (Fig. 4), in addition to rare calcareous-hyaline test specimens (gavelinellids and nodosarids). Planktic foraminifera are well represented by small and abundant hedbergellids (*Hedbergella aptiana*, *H. praelippa*, *H. sigali*, *Blesfucuiana* cf. *cumulus* and mainly *Microhedbergella miniglobularis*). An abundant and important record of *Gubkinella* sp. is also noted in the section, in addition to *Gorbachikella* cf. *kugleri*, *Pseudoguembelitra blakenosensis*, *Globigerinelloides clavatus*, *Globigerinelloides* aff. *aptiensis*, and *Loeblichella* sp. (Fig. 4).

From the ostracode fauna (Fig. 5), it is possible to recognize three associations: the first is represented by representatives of the genera *Alicenula*, *Ilyocypris* and *Damonella*, being registered below the first occurrence of foraminifera; the second association is composed of an abundant and monospecific association of *Pattersoncypris crepata* with more than 2000 specimens, associated with an abundant and diverse assemblage of benthic and planktic foraminifera; the third ostracode association is composed of *Pattersoncypris micropapillosa* and juvenile specimens of *Pattersoncypris* spp., which occur in the uppermost portion of the studied section.

## Discussion

The late Aptian–early Albian biotic turnover records a dramatic extinction event of large-sized Aptian planktic foraminifera taxa and the appearance of few new small-sized Albian species. Based on planktic foraminifera, the late Aptian–early Albian boundary is marked by the last occurrences of typically Aptian taxa, particularly *Paraticinella rohri*<sup>36,52–55</sup> (Fig. 6). The *Microhedbergella miniglobularis* Zone occurs above the extinction of *Paraticinella rohri* species, co-occurring with the last long-ranging Aptian hedbergellids and the first occurrence of *Microhedbergella renilaevis*<sup>50</sup>, in addition to the complete absence of planktic foraminifera in the > 250 µm size fraction<sup>51</sup>. The *Microhedbergella renilaevis* Zone corresponds to the biostratigraphic interval from the first occurrence of *Microhedbergella renilaevis* to the first occurrence of *Microhedbergella rischi*.

The sedimentary succession from Sítio Sobradinho is assigned to the upper Aptian. The recovered association is composed of *Hedbergella aptiana*, *H. praelippa*, *H. sigali*, *Blesfucuiana* cf. *cumulus*, *Gorbachikella* cf. *kugleri*, *Pseudoguembelitra blakenosensis* and *Microhedbergella miniglobularis*, which allows a chronostratigraphic position in the upper Aptian, correlated with international foraminiferal zonal schemes<sup>50,51,55–57</sup>. In addition, the co-occurrence of *Pattersoncypris crepata*, whose record is very characteristic of the middle–upper Aptian from Brazilian deposits, in the Araripe Basin<sup>58</sup>, Potiguar Basin<sup>59</sup> and Sergipe-Alagoas Basin<sup>60</sup> is correlated with a palynological zone coded as P-270<sup>59</sup> and corroborates the foraminifera dating. These data reinforce the potential



**Figure 3.** Distribution and abundance of microfossils recovered from the Sítio Sobradinho section. Figure created by Robbyson Mendes Melo on CorelDRAW version 22.0 (<https://www.coreldraw.com>).

of *Pattersonocypris crepata* for the dating of Aptian strata. The top of the section contains *Microhedbergella miniglobularis* and *Gubkinella* sp. associated with *Pattersonocypris micropapillosa*, the last of which is an ostracode species recorded in the Aptian–Albian interval<sup>3,43,46,49,61–65</sup>. Moreover, typical Albian assemblage not recovered from our samples (e.g., *Microhedbergella renilaevis* and *Microhedbergella rischi*), that marks the top of the *Microhedbergella miniglobularis* Zone<sup>50</sup> and the base of the Albian, corroborates the Aptian age for the section. According to Petrizzo et al.<sup>51</sup>, the first occurrence of *Microhedbergella renilaevis* marks an important bioevent that represents a major step in the evolution and diversification of the Albian planktic fauna, an event that was not identified in the studied section. Furthermore, the chronostratigraphic positioning of the lithological section studied in the upper Aptian, can be corroborated by the occurrence of *Sergipea variverrucata* Palynozone P-270<sup>66–68</sup>, which was identified throughout the Sítio Sobradinho section by Teixeira et al.<sup>69</sup>.

The aspects involving the age and direction of marine ingressions into the Araripe Basin and northern South Atlantic Ocean have been the subject of active discussion for many years<sup>24–27</sup>, as illustrated in Fig. 1. In northeast Brazil, the Aptian transgression was sufficiently extensive that evaporites were deposited even in the interior basins. In the Araripe Basin, this transgression is represented by the Ipupi Formation and the typical marine fossil record towards the top of the Romualdo Formation. Based on the fossils, several routes have been hypothesized for the marine ingressions into the Araripe Basin, that is, through the Parnaíba, Sergipe or Potiguar Brazilian basins, as a junction of three seaways that effectively connected these basins or (as a highly speculative hypothesis) via an extensive seaway from northwestern South America<sup>25,26</sup>. On the other hand, considering only stratigraphic and sedimentologic information, Assine et al.<sup>27</sup> suggested that the Parnaíba and Potiguar basins were set apart from each other and from the Araripe Basin, configuring marine transgression towards these respective basins from the north, northeast and southeast. This hypothesis and the available information on the fluvial southeast-directed palaeocurrents of the Barbalha Formation (the base of the Santana Group) flowing towards the Jatobá, Tucano and Recôncavo rift system indicates continental palaeodrainage<sup>70</sup> and reinforces the existence of an epeiric sea following upstream river valleys into the Araripe Basin from the southeast<sup>23</sup>. Nevertheless, the present structural framework of the Araripe Basin corresponds to a graben inverted into a high-standing horst due to the stress field imposed by ridge-push forces from the Mid-Atlantic Ridge to the west and from the Andes to the east mainly during the Quaternary, and rift-related normal faults concentrated along inherited shear zones were reactivated to form the main inversion faults<sup>71</sup>. The aforementioned palaeocurrents that exist along the generally inverted western margin of South America may be altered from their original orientations, and thus, interpretations of Cretaceous flowing directions based on these palaeocurrents should be re-evaluated. However, palaeobiogeographical differentiation is observed in the Aptian microfossil association of the South Atlantic as

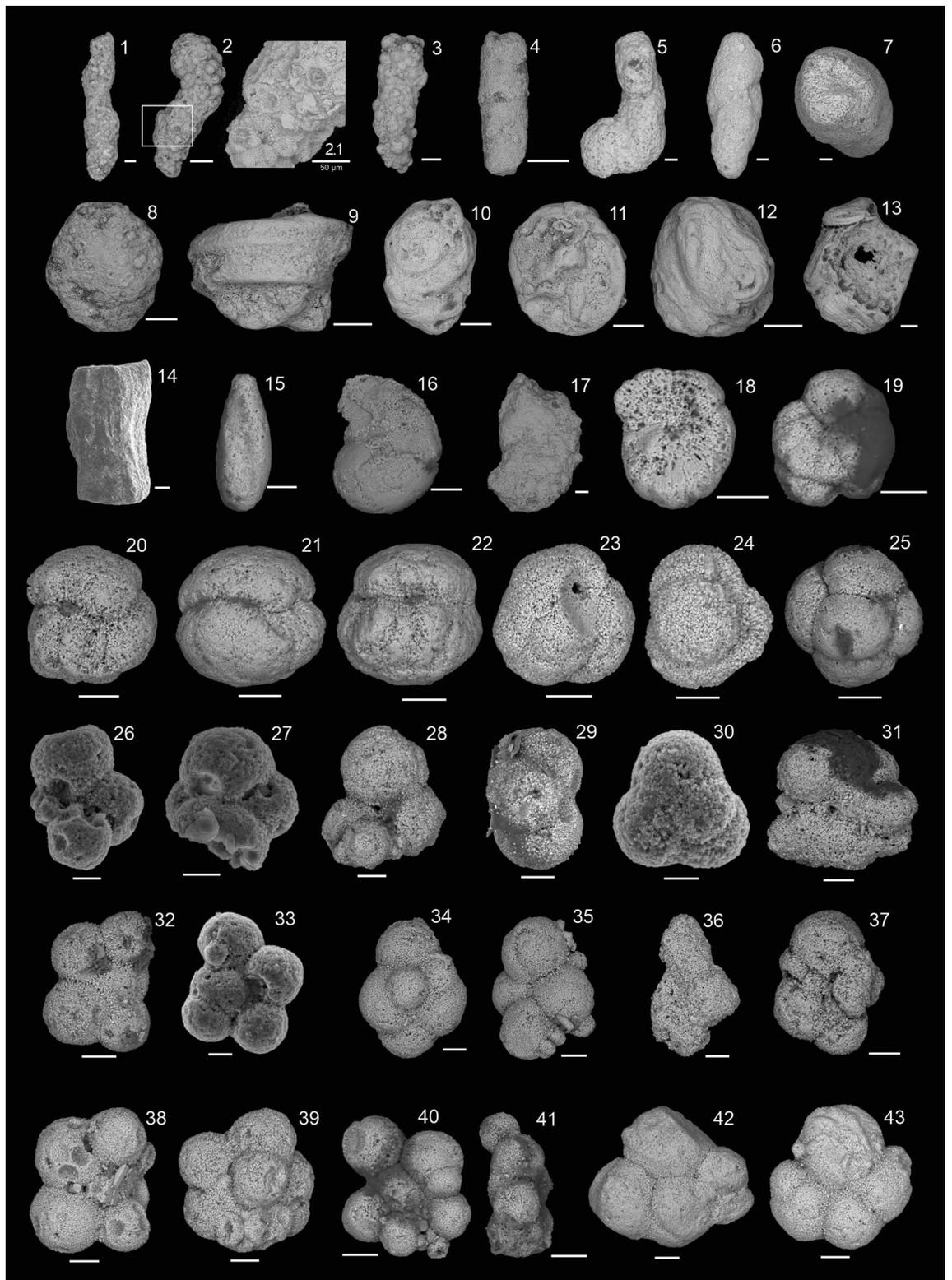
a result of the physical barrier in the Santos–Benguela segment that hinders the free circulation and mixing of seawater between the southern and central to equatorial South Atlantic<sup>24</sup>. In relation to foraminifera, the absence of several species of tropical/subtropical planktic foraminifera from the Aptian–Albian sedimentary successions in the southernmost sector of the northern South Atlantic Ocean (north of the Walvis Ridge–Rio Grande Rise), resulted in tentative zonal assignments based on the occurring assemblages, and this pattern has been interpreted as an effect of a possible Austral palaeobiogeographical affinity<sup>72–74</sup>. The studies of Koutsoukos<sup>56</sup> and Kochhann et al.<sup>57</sup>, which addressed the foraminiferal assemblages of the Sergipe Basin (Brazil) and DSDP Site 364 (offshore Angola), indicate a tropical/subtropical affinity for several species, suggesting that these areas had at least surface-water exchanges with the western Tethyan biogeographic provinces of the low-latitude central North Atlantic, possibly even at intermediate (epi- to mesopelagic) water depths. The last referred authors reinforced the theory of a surface-water connection between the proto-central Atlantic Ocean and the southernmost sector of the northern South Atlantic Ocean (north of the Walvis Ridge–Rio Grande Rise) during the late Aptian.

The Aptian foraminiferal association presented here has a tropical/subtropical affinity when compared with previously described associations (Sabinas Basin, Mexico<sup>75</sup>; DSDP Leg 79, offshore central Morocco<sup>76</sup>; Sergipe Basin, Brazil<sup>56,77,78</sup>; Cassis-La Bédoule, France<sup>36,79</sup>; DSDP Leg 40, Sites 363–364, Angola Basin and Walvis Ridge, offshore Angola<sup>57,73</sup>; Vocontian Basin, Itália<sup>51</sup>). The genera *Gorbachikella*, *Blesfuciana* and *Pseudoguembelitra* have a very restricted latitudinal range, only in North Africa, Eastern Europe, Central America (including the Caribbean and Mexico) and the subtropical western North Atlantic<sup>50,75,80–84</sup>. Biogeographic evidence suggests that these globular forms can be considered indicators of warm sea surface temperatures, the occurrence of which is related to the emergence of possibly eutrophic and/or climatic (probably hot) oceanographic conditions<sup>83,85,86</sup>. Thus, the data presented herein support a surface-water marine connection with open-marine and shallow-water foraminiferal assemblages<sup>38</sup> between the central Atlantic Ocean by the late Aptian. This connection could be related to the global sea-level rise reported at that time<sup>24,29,56,77,87–89</sup>.

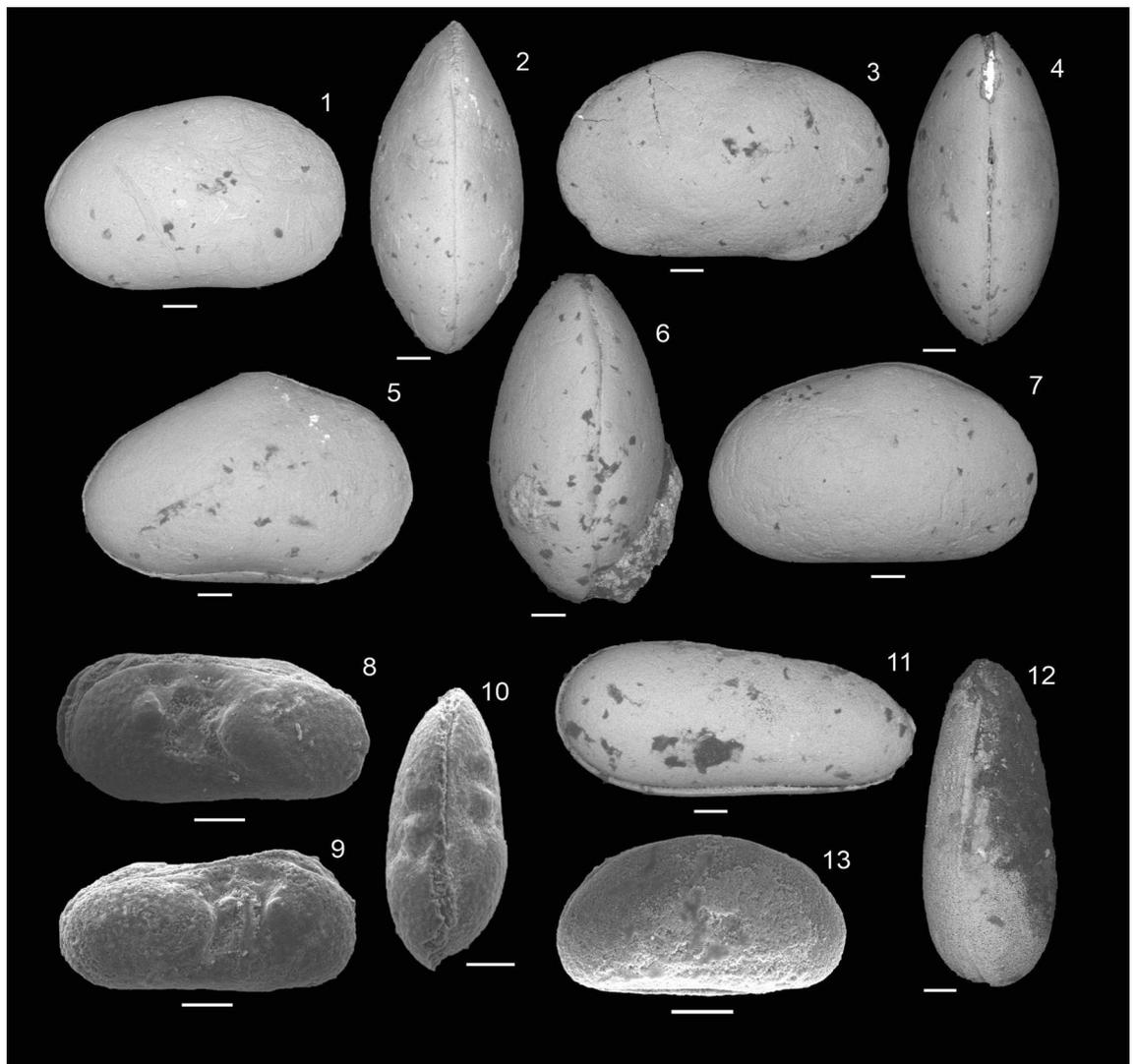
The eight meters interval studied here corresponds to the maximum flooding zone identified by Custódio et al.<sup>23</sup> in the total ~100 m of the Romualdo Formation at the Sítio Sobradinho outcrop. The vertical distributions of the lithological and palaeontological macro- and microfacies in the stratigraphic interval result in the division into two facies associations related to a deepening-upward sequence on a ramp-type and mixed siliciclastic-carbonate marine shelf (Fig. 7). To the western of the Araripe Basin, Varejão et al.<sup>90</sup> described microbialites and stromatolites associated to rocky-protected lagoon, that corroborates our interpretation of a low-gradient ramp, deeper to the east of the basin where Sítio Sobradinho Section is located. The lower studied interval corresponds to the mid-ramp shoal facies association, which groups the glauconite-bearing calcareous quartz arenite and the siltstone to claystone overlaid strata. From this interval, rare freshwater and transitional ostracode occurrences, represented by the genera *Damonella*, *Alicenula* and *Ilyocypris*<sup>46,91–96</sup>, were recorded in samples below the first occurrences of foraminifera, suggesting the allochthonous origin of these ostracofauna. In addition, the marine phase in the basin is reinforced by the presence of glauconite as foliated and peloidal grains, which represent the seafloor synsedimentary glauconitization of detrital biotites and a diagenetic product, respectively, which formed especially during intervals of mildly reducing conditions<sup>97,98</sup>. Glauconite formation is a slow process that requires low rates of sediment accumulation to allow the long-term contact of detrital grains with seawater in primarily mid- to outer-shelf settings<sup>97</sup>. According to Flügel<sup>99</sup>, syndepositional authigenic glauconite indicates a break in sedimentation, commonly in deep subtidal and bathyal environments. The greatest period of glauconite formation occurred in the Cretaceous, notably along the continental margins of the widening North Atlantic Ocean, when the global sea level was high<sup>100</sup>.

The upper studied interval corresponds to the outer ramp to basin facies association and is characterized by a carbonaceous and fossiliferous shale package interbedded by plankton-bearing wackestone and mudstone as continuous beds, lenses and concretions. A depositional environment with palaeodepths estimated between fifty and two hundred metres can be corroborated by the dominance of benthic foraminifera with a tubular form (the M1 morphogroup), infaunal organisms (*Rhizammina* and *Bathysiphon*), and less abundant but still frequent reophacellids (*Falsogaudryinella*) and ammoniscids (*Glomospira*), which indicate poorly oxygenated conditions in a middle neritic-upper bathyal environment represented by suspension feeders that prefer a low organic carbon flux and high dissolved oxygen content<sup>101–104</sup>. The lack of a continuous reef and the lack of shallow water-derived clasts in deeper-water sediments led to the characterization of the Sítio Sobradinho section as having been deposited on a ramp-type marine shelf without a steep slope at the edge (e.g., Flügel<sup>99</sup>). In addition, the presence of a monospecific fauna, *Pattersoncypris crepata*, associated with agglutinated foraminifers indicates its tolerance to higher-salinity conditions, and thus, it is considered a holoeuryhaline species, as was interpreted from its record with the first marine incursion in the Potiguar Basin<sup>59,105–107</sup>. Furthermore, in the Sergipe–Alagoas Basin, the species *Pattersoncypris crepata* occurs at the same levels as the typical marine ostracodes of the genera *Cytherella*, *Cytherelloidea*, *Patellacythere* and *Aracajuia*<sup>60</sup>.

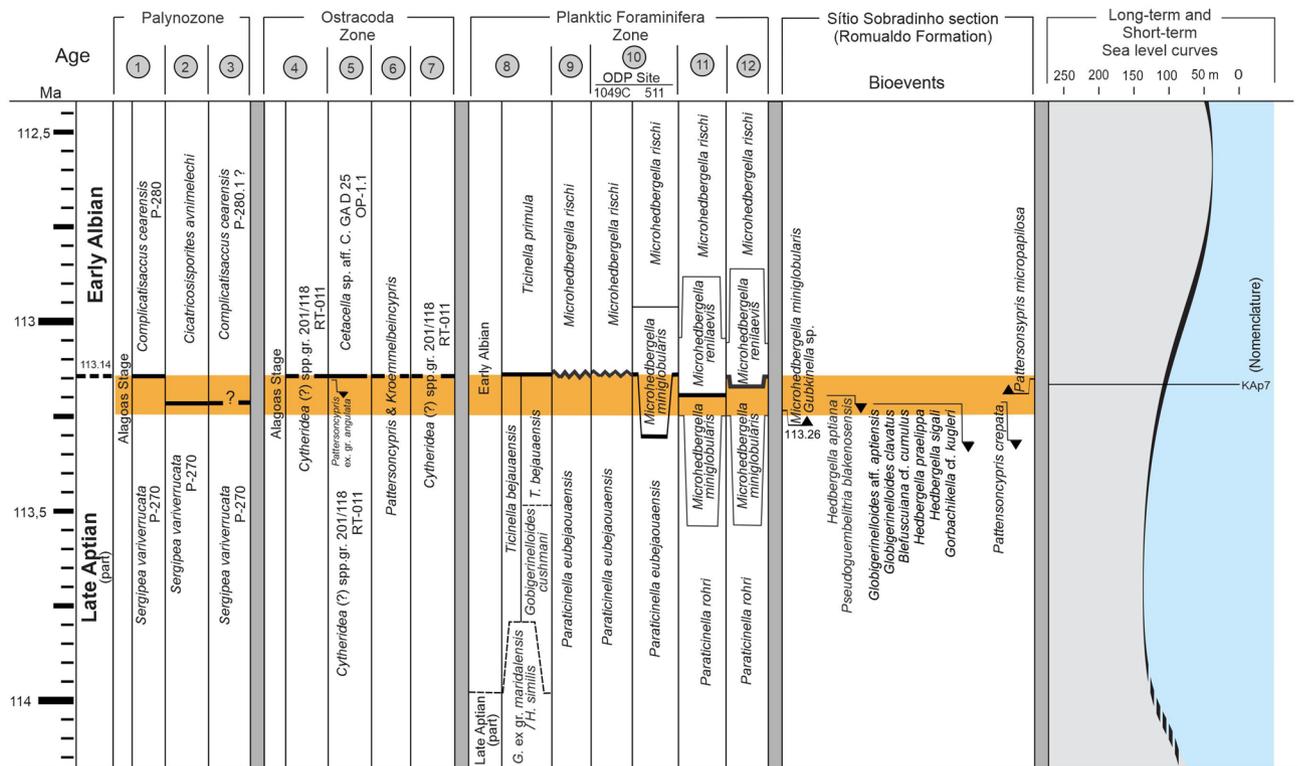
In the eastern part of the Araripe Basin, the Romualdo depositional sequence records a transgressive–regressive cycle comprising a transgressive system tract with tide-dominated coastal facies to outer-shelf black shales as the maximum flooding zone and a highstand system tract characterized by a progradational package that records gradual continentalization<sup>23</sup>. The two facies associations we recognized macro- and microscopically can be correlated with the inner to outer shelf, dysoxic to anoxic facies association identified by the previously mentioned authors with the important difference that no proximal facies were observed in the interval analysed herein. The textural framework and the carbonate grains ('allochems') determined in the studied carbonate microfacies indicate that the carbonate rocks associated with the organic-rich shale succession represent the 2–5 ramp microfacies types (RMFs) (sensu Flügel<sup>99</sup>), so it is assumed that the studied interval was deposited from the mid-ramp shoal to basin positions. This palaeoenvironmental interpretation is reinforced by the distribution of the identified foraminifera and ostracode microfossils.



◀ **Figure 4.** Foraminifera recovered from the Sítio Sobradinho section: 1–19. Benthic foraminifera: 1–3. *Rhizammina* sp., 1. LMA-00043, 2. LMA-00044, 3. LMA-00045, sample 4BAr01G; 4. *Bathysiphon* sp., LMA-0004, sample 4BAr01K; 5. *Ammobaculites* sp., LMA-00047 sample 4BAr01G; 6–7. *Falsogaudryinella* cf. *tealbyensis*, LMA-00048, sample 4BAr01G; 8. *Thurammina* sp., LMA-00049, sample 4BAr01HD; 9–13. *Glomospira charoides*, 9. LMA-00050, 10. LMA-00051, 11–12, LMA00052, 13. LMA-00053, sample 4BAr01H; 14. *Pyramidulina* sp., LMA-00054, sample 4BAr01H; 15. *Globulina* sp., LMA-00055, sample 4BAr01H; 16. *Lenticulina?* sp., LMA-00056, sample 4BAr01K; 17. *Astacolus* sp., LMA-00057, sample 4BAr01K; 18–19. *Lingulogavelinella* sp., 18. LMA-00058, 19. LMA-00059, sample 4BAr01K; 20–43. Planktic foraminifera: 20–22. *Gubkinella* sp., 20. LMA-00060, 21, LMA-00061, 22. LMA-00062, sample 4BAr01H; 23–25. *Pseudoguembelitra blakenosensis*, 23. LMA-00063, 24. LMA-00064, 25. LMA-00065, sample 4BAr01G; 26–27. *Gorbachikella* cf. *kugleri*, 26. LMA-00066, 27. LMA-00067, sample 4BAr01G; 28–30. *Microhedbergella miniglobularis*, 28. LMA-00068, 29–30. LMA-00069, sample 4BAr01G; 31, 37. *Hedbergella praelippa*, 31. LMA-00070, 37. LMA-00075, sample 4BAr01G; 32–33. *Hedbergella aptiana*, 32. LMA-00071, 33. LMA-00072, sample 4BAr01G; 34–35. *Hedbergella sigali*, LMA-00043, sample 4BAr01G; 36. *Globigerinelloides clavatus*, LMA-00074, sample 4BAr01G; 38–39. *Blesfuciana* cf. *cumulus*, LMA-00076, sample 4BAr01G; 40–41. *Globigerinelloides* aff. *aptiensis*, LMA-00077, sample 4BAr01G; 42–43. *Loeblichella* sp., 42. LMA-00078, 43. LMA-00079, sample 4BAr01G. Scale bar: 1–14 = 100  $\mu$ m; 15–43 = 30  $\mu$ m.

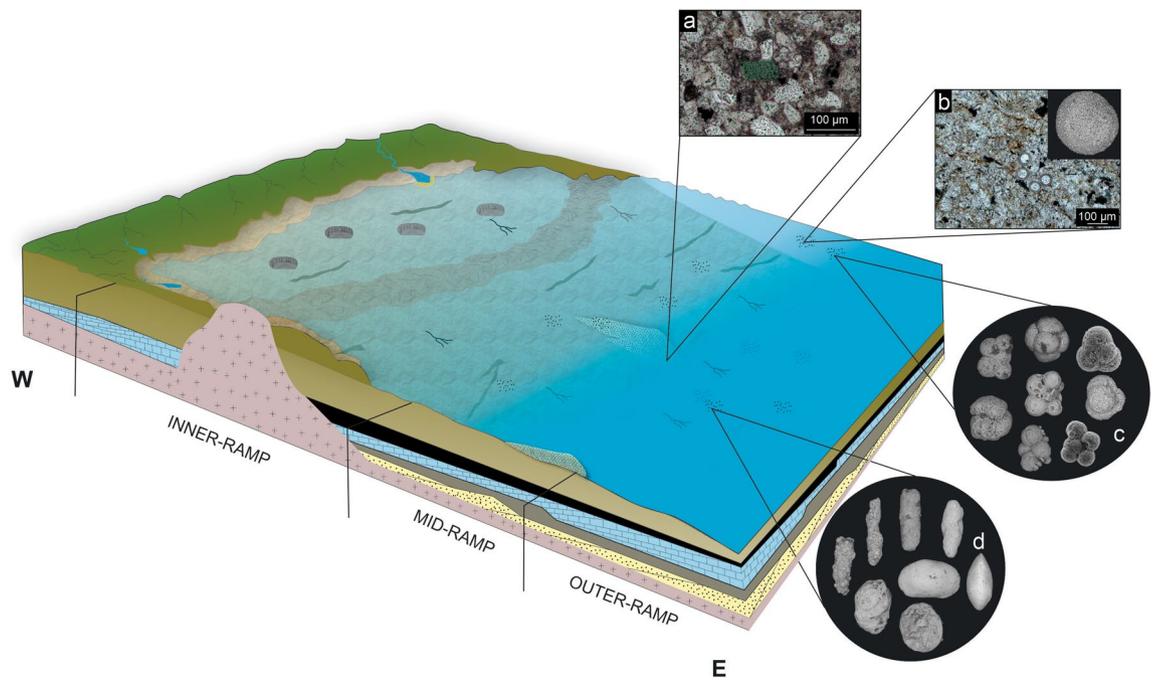


**Figure 5.** Ostracoda recovered from the Sítio Sobradinho section: 1–4, 7. *Pattersoncypris crepata*, 1. RV, LMA-00029, 2. DV, LMA-00030, 3. LV, LMA-00031, 4. DV, LMA-00032, 7. RV, LMA-00033, sample 4BAr01G; 5–6. *Pattersoncypris micropapillosa*, 5. RV, LMA-00034, 6. DV, LMA-00035, sample 4BAr01L; 8–10. *Ilyocypris* sp., 8. LV, LMA-00036, 9. DV, LMA-00037, 10. RV, LMA-00038, sample 4BAr01E; 11–12. *Alicenula* sp., 11. RV, LMA-00039, 12. DV, LMA-00040, sample 4BAr01E; 13. *Damonella* sp., RV, LMA00041, sample 4BAr01E. RV right view, DV dorsal view, LV left view. Scale bar: 100  $\mu$ m.



**Figure 6.** Correlation of planktic foraminifera, ostracodes and palynological zonal schemes proposed for the Aptian–Albian at global and local scales. Bioevents considered for the chronostratigraphic positioning of the studied interval in Sítio Sobradinho section (Araípe Basin). The orange rectangle delineates the studied section. Palynozone: 1. Sergipe–Alagoas Basin<sup>67</sup>; 2. integrated framework<sup>116</sup>; 3. Araípe Basin<sup>68</sup>; Ostracoda Zone: 4. Sergipe–Alagoas Basin<sup>117</sup>; 5. Potiguar Basin<sup>118</sup>; 6. integrated framework<sup>119</sup>; 7. Araípe Basin<sup>6</sup>; Planktic Foraminifera: 8. Sergipe Basin<sup>78</sup>; 9. DSDP Site 364<sup>57</sup>; 10. Umbria–Mache Basin<sup>109</sup>; 11. ODP Site 1049C e 511<sup>50</sup>; 12. Vocontian Basin<sup>51</sup>. The absolute ages follow the time scale of Ogg et al.<sup>120</sup>, TSCreator V7.4 and <https://www.mikrotax.org/>. Short-term (3rd order) sea level curves according to Haq<sup>29</sup>. Figure created by Robbyson Mendes Melo on CoreDRAW version 22.0 (<https://www.coreldraw.com>).

The low diversity-assembly of planktic foraminifera recovered from the Sítio Sobradinho section is predominantly composed of small hedbergellids (*Hedbergella* and *Microhedbergella*) and chilostomellids (*Gubkinella*), which are characteristic of late Aptian–early Albian deposits around the world<sup>51,56,57,73,76,108</sup>, whose deposition may be related to OAE 1b<sup>35,109,110</sup>. The post-*Paraticinella rohri* ecosystem promoted the proliferation of very small planktic foraminiferal taxa (i.e., microhedbergellids) and siliceous plankton (i.e., radiolarian), which thrived as opportunistic/disaster taxons<sup>109,110</sup>. According to Sabatino et al.<sup>111</sup>, the ecological behaviour of *M. miniglobularis*, whose record in the analysed section is abundant, seems to be that of a disaster opportunist since it occurred and thrived during a period of high but variable environmental stresses when no other planktic foraminifera are present, whereas it is rare or absent in normal environments. In addition, the abundant record of *Gubkinella* sp. supports the idea of a restricted environment after a rapid connection with the sea since representatives of this genus are generally less abundant in open-ocean environments and probably have broad ecological (eurytopic) tolerances, being especially characteristic of neritic environments, continental margins, epicontinental seas<sup>75,108,112</sup> and areas of upwelling<sup>113</sup>. According to Leckie<sup>113,114</sup>, the genus *Gubkinella* is one of the main representatives of the “Epicontinental Sea Fauna”, composing an average of 4.5% of the assemblage. The variable abundance of *Gubkinella* (epicontinental plankton) relative to *Hedbergella* and *Globigerinelloides* (open-marine shallow-water plankton) suggests a relatively shallow continental margin and/or highly fertile surface waters (possibly within 500 m) in a restricted environment at the time of deposition based on the palaeoecological model of Leckie<sup>113</sup>. Moreover, abundances of these “Epicontinental Sea Fauna”, described in the upper Aptian of northwest Africa<sup>76</sup> and along the Antarctic margin<sup>114</sup>, similar as we recorded, are perhaps associated with highly productive continental margins<sup>113</sup>. The sedimentary organic matter content of the Sítio Sobradinho section<sup>69</sup> suggests a position more distal to intermediate in the context of the epicontinental shallow sea. At the top of the section, the decreased occurrence of foraminifera, associated with the other groups (bivalves, gastropods, plant fragments)



**Figure 7.** Schematic palaeoenvironmental reconstitution of the Romualdo Formation deposits: (a) Glauconite-bearing sandstone shoal; (b–d) Shale interbedded with ostracode and foraminifera wackestone and calcisphere mudstone: (b) calcispheres; (c) planktic foraminifera; (d) benthic foraminifera and ostracode *Pattersoncypris crepata*. The interpretation of the west portion of the Araripe Basin is based on Varejão et al.<sup>90</sup> data. The complete figure was composed by Robbyson Melo on CorelDRAW version 22.0 (<https://www.coreldraw.com>).

and especially *P. micropapillosa*, may indicate a change in environmental conditions, with the beginning of a mixohaline to limnic environment<sup>6,43,63</sup> that can characterize very proximal conditions but with the high influence of components of marine origin, evidencing a proximal–distal transitional coastal marine environment<sup>69</sup>.

In summary, this integrated study of foraminifera, ostracodes and other microfossil data from the Romualdo Formation indicates that the local Alagoas Stage (Ostracoda Zone RT-011) can now be constrained to the Aptian. The foraminiferal assemblages show Tethyan affinities suggesting a marine route coming to the Araripe Basin from the equatorial South Atlantic with north seawater origin.

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## Author contributions

E.K.P., V.H.M.L.N. and A.J.S. designed the project. R.M.M. performed the descriptive research of foraminifera. J.G., D.A. E.K.P. and A.J.S. performed the descriptive research of ostracodes. J.G. and V.H.M.L.N. conducted the sedimentological study. R.M.M. and J.G. organized and prepared the pictures. R.M.M., J.G., D.A. and E.K.P. wrote the main part of the manuscript. All authors contributed and reviewed the manuscript.

## Competing interests

The authors declare no competing interests.

### Additional information

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