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OPEN A general method for analyzing arbitrary planar negative-refractive-index multilayer slab optical waveguide structures

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In this paper, a general method for analyzing arbitrary planar negative-refractive-index (NRI) multilayer slab optical waveguide structures was proposed. Some degenerated examples were introduced to prove the accuracy of the proposed method. The analytical and numerical results show excellent agreement. The method can also be degenerated to analyze arbitrary planar conventional optical waveguide structures. Based on this general method, the analysis and calculation of any kinds of planar NRI slab optical waveguide structures and planar conventional optical waveguide structures can be achieved easily.

The left-handed material with double-negative media ($\varepsilon < 0$, $\mu < 0$) is different from the right-handed material with double-positive media ($\varepsilon > 0$, $\mu > 0$). It has been proposed theoretically and experimentally by Veselago and Shelby et al., respectively^{1,2}. The NRI media possess simultaneously the negative dielectric permittivity and permeability^{3,4}. Therefore, the NRI media is different from right-handed material with double-negative media. Recently, metamaterial has attracted a lot of attention⁵⁻¹⁴. Many applications of metamaterial have been proposed in various fields, such as antennas, perfect absorber, super lens, invisibility cloaks, optical sensors, phase modulators, and phase holography^{5,15-33}. Some numerical and experimental results of metamaterial have also been proposed³⁴⁻⁴⁰. In the past, all-optical devices based on the conventional nonlinear optical waveguide structures have been proposed, since the spatial solitons can propagate a long distance without changing their spatial shapes⁴¹⁻⁴⁶. In the past, most papers just focused on the study of the properties at the interface between the right-handed material and the metamaterial⁴⁷⁻⁵³. The TE/TM surface polarizations propagating along the interface between a linear metamaterial and different types of conventional right-handed material were studied. The three-layer metamaterail waveguide with linear cladding and substrate had been discussed^{54,55}. In our previous work⁵⁶, we proposed a special case of the NRI multilayer slab optical waveguide structures with only the Kerr-type nonlinear cladding. The analyzed processes of the proposed structure are relatively simple compared to that of this manuscript. When all layers of the proposed NRI slab optical waveguide structure are the Kerrtype nonlinear media, the analyses processes will become very complicated and difficult. The difficulty lies in the derivation of the mathematical model and the verification of numerical analyses and simulations. To the best of my knowledge, a general method for analyzing arbitrary NRI slab optical waveguide structures has not been proposed before. This paper gives detailed modal analyses of TE-polarized waves in the NRI multilayer slab waveguide structure with all Kerr-type nonlinear layers. The theoretical results and the numerical results show excellent agreement. The method can also be used to investigate and to analyze the distribution of TE electrical field in the Kerr-type nonlinear NRI multilayer slab optical waveguide structures. To prove the accuracy of the proposed general method for analyzing arbitrary NRI multilayer slab optical waveguide structures, a theoretically degenerated example was introduced. Therefore, the method can provide simultaneously to analyze two different kinds of waveguide structures. One is the nonlinear NRI multilayer slab optical waveguide structure and the other is the linear NRI multilayer slab optical waveguide structure. Based on this general method, the analysis and calculation of any kinds of NRI multilayer slab optical waveguide structures can be achieved easily.

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Figure 1. The proposed NRI multilayer slab optical waveguide structure with all Kerr-type nonlinear layers.

Analysis

In general, a transfer matrix approach⁵⁷ can be used to analyze the conventional multilayer slab optical waveguide structures. However, it cannot be used to analyze the case of the NRI multilayer slab optical waveguide structure with all Kerr-type nonlinear layers, proposed in this manuscript. When all layers of the proposed NRI slab optical waveguide structure are the Kerr-type nonlinear media, the analytical solutions are very complicated because they contain the Jacobian elliptic functions. It is very difficult to obtain the exact solutions. In this paper, the modal theory⁵⁸ was used to derive the formulae of the electric field distributions of the proposed NRI multilayer slab optical waveguide structure with all Kerr-type nonlinear layers, as shown in Fig. 1. The multilayer optical waveguide structure is composed of the guiding films ((N-1)/layers), the interaction layers ((N – 3)/2layers), the cladding layer, and the substrate layer. The total number of layers is N (N = 3, 5, 7,...). The d_j and n_j are used to denote the width and the refractive index of the *j*th layer, respectively. The nonlinear cladding and substrate layer are assumed to extend to infinity in the + x and – x directions, respectively. The major significance of this assumption is that there are no reflections in the x direction to be concerned with, expect for those occurring at interfaces. For the simplicity, the TE waves are choosing to propagate along the z direction. The wave equation in the *j*-th layer can be written as:

$$\nabla^2 E_{yj} = \frac{n_j^2}{c^2} \frac{\partial^2 E_{yj}}{\partial t^2}, \ j = 0, 1, 2, \dots, N-1$$
(1)

with the solutions of the form:

$$E_{yj}(x,z,t) = E_j(x) \exp[i(\omega t - \beta k_o z)]$$
⁽²⁾

where β is the effective refractive index, ω is the angular frequency, and k_0 is the wave number in the free space. For the Kerr-type nonlinear medium, the square of the refractive index n_j^2 in the nonlinear NRI slab guiding films, nonlinear interaction layer, nonlinear cladding, and nonlinear substrate can be expressed as⁴⁸:

$$n_j^2 = \varepsilon_j \mu_j + \alpha_j |E_j(x)|^2, \ j = 0, 1, 2, \dots, N - 1$$
(3)

where ε_j and μ_j (j = 1, 3,..., N – 2) are the negative dielectric permittivity and permeability in the nonlinear NRI slab guiding layer, respectively, and The rest layers are the positive dielectric permittivity and permeability. The α_j is the nonlinear coefficient of the j-th layer Kerr-type nonlinear medium. By substituting Eqs. (2) and (3) into the wave equation Eq. (1), the transverse electric fields can be solved in each layer. The transverse electric fields in each layer can be expressed as:

$$\frac{d^2}{dx^2} + q_j^2 + \alpha_j k_0^2 \left| E_j^2(x) \right| \left| E_j(x) = 0 \text{, for } j = N - 1 \text{, in the nonlinear cladding}$$
(4)

$$\begin{bmatrix} \frac{d^2}{dx^2} + q_j^2 + \alpha_j k_0^2 |E_j^2(x)| \end{bmatrix} E_j(x) = 0,$$

for j = 1, 2, 3... N - 2, in the nonlinear NRI slab guiding films and the nonlinear interaction layers
(5)



Effective refractive index β

Figure 2. Dispersion curve of the proposed five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $d_f=d_1=d_3=5 \ \mu m$ and $d_i=d_2=3 \ \mu m$.

$$\left[\frac{d^2}{dx^2} + q_j^2 + \alpha_j k_0^2 \left| E_j^2(x) \right| \right] E_j(x) = 0, \text{ for } j = 0, \text{ in the nonlinear substrate}$$
(6)

$$q_j^2 = k_0^2 (\varepsilon_j \mu_j - \beta^2)$$
, for $\beta < \varepsilon_j \mu_j$ and $j = 0, 1, 2, 3... N - 1$ (7)

$$q_j^2 = Q_j^2 = -k_0^2 (\varepsilon_j \mu_j - \beta^2), \text{ for } \beta > \varepsilon_j \mu_j \text{ and } j = 1, 3, 5 \dots N - 1$$
(8)

By matching the boundary conditions, the solutions of electric fields in the nonlinear NRI slab guiding films and the nonlinear interaction layers can be written as:

$$E_{j}(x) = b_{j}cn[A_{j}(x + x_{j})|m_{j}],$$

for j = 1, 2, 3, ..., N - 2, in the nonlinear NRIslabguiding films and the nonlinear interaction layers (9)

The parameters A_i , m_i , x_i , a_i^2 , and b_i^2 can be expressed as:

$$A_{j} = [q_{j}^{4} + 2\alpha_{j}k_{0}^{2}K_{j}]^{1/4}, \ m_{j} = \frac{b_{j}^{2}}{a_{j}^{2} + b_{j}^{2}}, \ x_{j} = cn^{-1}\left(\frac{E_{j}}{b_{j}}\right), \ a_{j}^{2} = \frac{\sqrt{q_{j}^{4} + 2\alpha_{j}k_{0}^{2}K_{j} + q_{j}^{2}}}{\alpha_{j}k_{0}^{2}}, \ b_{j}^{2} = \frac{\sqrt{q_{j}^{4} + 2\alpha_{j}k_{0}^{2}K_{j} - q_{j}^{2}}}{\alpha_{j}k_{0}^{2}}$$
(10)

where cn is a specific Jacobian elliptic function, m_j is the modulus, and x_j is the second constants of integration. By solving the differential Eqs. (4) and (6), the transverse electric fields in the Kerr-type nonlinear cladding and in the Kerr-type nonlinear substrate can be expressed as follows:

$$E_{j}(x) = E_{j}\left\{\cosh[q_{j}(x - x_{j})] + B_{c}\sinh[q_{j}(x - x_{j})]\right\}^{-1}, \text{ for } j = N - 1$$
(11)

$$E_0(x) = E_1 \{ \cosh(q_0 x) - B_s \sinh(q_0 x) \}^{-1}$$
(12)

where B_c and B_s can be expressed as:

$$B_c = \sqrt{1 - \frac{\alpha_{N-1}E_{N-1}}{2q_{N-1}^2}}, \quad B_s = \sqrt{1 - \frac{\alpha_0 E_1}{2q_0^2}}$$
(13)

The parameters E_1 and E_{N-1} are the values of the electric fields at the lowest and uppermost boundaries of the nonlinear NRI slab guiding films, respectively. By matching the boundary conditions, the dispersion equation can be written as:

$$E_{j}(x) = b_{j}cn[A_{j}(x+x_{j})|m_{j}] = E_{j-1}cn[A_{j}x_{j}|m_{j}]\frac{1 - \frac{sn[A_{j}x|m_{j}]dn[A_{j}x|m_{j}]}{cn[A_{j}x|m_{j}]}\varphi_{f}[A_{j}x_{j}]}{1 - m_{j}sn^{2}[A_{j}x|m_{j}]sn^{2}[A_{j}x_{j}|m_{j}]}, \text{ for } j = 1, 2, 3... N-2$$
(14)

$$\varphi_f[A_1x_1] = \frac{sn[A_1x_1|m_1]dn[A_1x_1|m_1]}{cn[A_1x_1|m_1]} = -\frac{\mu_1 B_s q_0}{\mu_0 A_1}$$
(15)



Figure 3. The electrical field distribution of the five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu m^2/V^2$; $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu m$, $d_2 = 3 \ \mu m$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \ \mu m$ with respect to the point A as shown in Fig. 2.



Figure 4. The electrical field distribution of the five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu m^2/V^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu m$, $d_2 = 3 \ \mu m$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \ \mu m$ with respect to the pointB as shown in Fig. 2.

$$\frac{\mu_j A_{j+1}}{\mu_{j+1} A_j} = \frac{\varphi_f [A_j d_j + A_j x_j | m_j]}{\varphi_f [A_{j+1} x_{j+1} | m_{j+1}]}, \quad \text{for } j = 1, 2, 3 \dots N - 3$$
(16)

$$\frac{B_{c}q_{N-1}\mu_{j}}{\mu_{N-1}A_{j}} = \frac{\varphi_{f}\left[A_{j}d_{j}\right]\left\{dn^{2}\left[A_{j}x_{j}\left|m_{j}\right]-m_{j}sn^{2}\left[A_{j}x_{j}\right|m_{j}\right]cn^{2}\left[A_{j}d_{j}\right|m_{j}\right]\right\}}{\left[1-\varphi_{f}\left[A_{j}d_{j}\right]\varphi_{f}\left[A_{j}x_{j}\right]\right]\left\{1-m_{j}sn^{2}\left[A_{j}d_{j}\right|m_{j}\right]sn^{2}\left[A_{j}x_{j}\right]m_{j}\right]\right\}} + \frac{\varphi_{f}\left[A_{j}x_{j}\right]\left\{dn^{2}\left[A_{j}d_{j}\right|m_{j}\right]-m_{j}sn^{2}\left[A_{j}d_{j}\right|m_{j}\right]cn^{2}\left[A_{j}x_{f}\right|m_{j}\right]\right\}}{\left[1-\varphi_{f}\left[A_{j}d_{j}\right]\varphi_{f}\left[A_{j}x_{j}\right]\right]\left\{1-m_{j}sn^{2}\left[A_{j}d_{j}\right|m_{j}\right]sn^{2}\left[A_{j}x_{f}\right|m_{j}\right]\right\}}, \quad for \ j = N-2$$
(17)

$$\varphi_f \left[A_j d_j \right] = \frac{sn \left[A_j d_j \middle| m_j \right] dn \left[A_j d_j \middle| m_j \right]}{cn \left[A_j d_j \middle| m_j \right]}$$
(18)



Figure 5. The electrical field distribution of the five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu\text{m}^2/\text{V}^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu\text{m}$, $d_2 = 3 \ \mu\text{m}$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \ \mu\text{m}$ with respect to the point C as shown in Fig. 2.



Figure 6. The electrical field distribution of the five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu m^2/V^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu m$, $d_2 = 3 \ \mu m$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \ \mu m$ with respect to the point D as shown in Fig. 2.

$$\rho_f \left[A_j x_j \right] = \frac{sn \left[A_j x_j \left| m_j \right] dn \left[A_j x_j \left| m_j \right] \right]}{cn \left[A_j x_j \left| m_j \right]}$$
(19)

$$\rho_{f}[A_{j}d_{j} + A_{j}x_{j}|m_{j}] = \frac{sn[A_{j}d_{j} + A_{j}x_{j}|m_{j}]dn[A_{j}d_{j} + A_{j}x_{j}|m_{j}]}{cn[A_{j}d_{j} + A_{j}x_{j}|m_{j}]} \\
= \frac{\varphi_{f}[A_{j}d_{j}|m_{j}]\{dn^{2}[A_{j}x_{j}|m_{j}] - m_{j}sn^{2}[A_{j}x_{j}|m_{j}]cn^{2}[A_{j}d_{j}|m_{j}]\}}{\{1 - \varphi_{f}[A_{j}d_{j}|m_{j}]\varphi_{f}[A_{j}x_{j}|m_{j}]\}\{1 - m_{j}sn^{2}[A_{j}d_{j}|m_{j}]sn^{2}[A_{j}x_{j}|m_{j}]\}\}} \\
= \frac{\varphi_{f}[A_{j}x_{j}|m_{j}]\{dn^{2}[A_{j}d_{j}|m_{j}] - m_{j}sn^{2}[A_{j}d_{j}|m_{j}]sn^{2}[A_{j}x_{j}|m_{j}]\}}{\{1 - \varphi_{f}[A_{j}d_{j}|m_{j}]\varphi_{f}[A_{j}x_{j}|m_{j}]\}\{1 - m_{j}sn^{2}[A_{j}d_{j}|m_{j}]sn^{2}[A_{j}x_{j}|m_{j}]\}}$$
(20)

where cn, dn, and sn are the Jacobian elliptic functions. Equations (14)-(20) can be solved numerically on a computer. When β and E_1 are determined, all the other constants A_j , m_j , x_j , q_j , Q_j , a_j , and b_j are also determined. The proposed analytic formulas can be used to calculate the transverse electric field function in each



Figure 7. The electrical field distribution of the five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu m^2/V^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu m$, $d_2 = 3 \ \mu m$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \ \mu m$ with respect to the point E as shown in Fig. 2.



Figure 8. The electrical field distribution of the five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu m^2/V^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu m$, $d_2 = 3 \ \mu m$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \ \mu m$ with respect to the point F as shown in Fig. 2.

layer of the NRI multilayer slab optical waveguide structures. The general formulas can be simplified to analyze five-layer NRI slab optical waveguide structures with all Kerr-type nonlinear layers. Figure 2 shows the dispersion curves of the five-layer all Kerr-type nonlinear NRI slab waveguide structure with the constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu m^2/V^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu m$, $d_2 = 3 \ \mu m$, $d_2 = 3 \ \mu m$, $d_3 = -2$, $d_1 = d_3 = -2$, $d_1 = d_3 = -2$, $d_2 = -2$, $d_1 = d_3 = -2$, $d_2 = -2$, $d_3 = -2$, $d_4 = -2$, $d_5 = -2$, $d_1 = -2$, $d_2 = -2$, $d_3 = -2$, $d_4 = -2$, $d_5 = -2$, $d_5 = -2$, $d_7 = -2$, $d_8 = -2$, $d_$ $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649, \varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \,\mu\text{m}$. Since there always exist a forbidden region near the effective refractive index β = 1.555 for transverse electric waves in the proposed NRI slab waveguide, the points B and C shown in Fig. 2 are not continue. There are five modes in the proposed five layer NRI slab optical waveguide structures with all Kerr-type nonlinear layers at $d_f = d_1 = d_3 = 5 \mu m$ and $d_i = d_2 = 3 \mu m$. When the points on the same dispersion curve, it means that they belong to the same mode, with the same unique shapes of each mode. For the conventional linear 5-layer slab waveguide structure, the dispersion curve is linear, and no forbidden region exists. When the width of the guiding film increases, the number of the guiding modes will also increase. The direction of the guided power in the proposed five-layer NRI slab optical waveguide structures with all Kerr-type nonlinear layers is opposed to the conventional linear 5-layer slab waveguide structure. Figures 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13 and 14 show the electric field distributions of the proposed five-layer NRI slab optical waveguide structures with all Kerr-type nonlinear layers for several points A-L, as shown in Fig. 2. Figure 3 shows the electrical field distribution with respect to the point A, as shown in Fig. 2. Figure 4 shows



Figure 9. The electrical field distribution of the five-layer all Kerr-type nonlinear metamaterial waveguide with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu\text{m}^2/\text{V}^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu\text{m}$, $d_2 = 3 \ \mu\text{m}$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \ \mu\text{m}$ with respect to the point G as shown in Fig. 2.



Figure 10. The electrical field distribution of the five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu m^2/V^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu m$, $d_2 = 3 \ \mu m$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \ \mu m$ with respect to the point H as shown in Fig. 2.

the electrical field distribution with respect to the point B, as shown in Fig. 2. The points A and B on the same dispersion curve are mode 1. The numerical results show that when the guided power increases, the electric field distributions are gradually narrowed in the Kerr-type nonlinear NRI slab guiding films. The guided power will decrease sharply in the region of Kerr-type nonlinear interaction layer. Figure 5 shows the electrical field distribution with respect to the point C, as shown in Fig. 2. Figure 6 shows the electrical field distribution with respect to the point D, as shown in Fig. 2. Figure 7 shows the electrical field distribution with respect to the point E, as shown in Fig. 2. Figure 8 shows the electrical field distribution with respect to the point F, as shown in Fig. 2. The points C, D, E, and F on the same dispersion curve are mode 2. The numerical results show that when the guided power increases, the electric field distributions are gradually narrowed in the Kerr-type nonlinear NRI slab guiding films. The guided power will decrease in the region of Kerr-type nonlinear interaction layer. Figure 9 shows the electrical field distribution with respect to the point G, as shown in Fig. 2. Figure 10 shows the electrical field distribution with respect to the point H, as shown in Fig. 2. The points G and H on the same dispersion curve are mode 3. The numerical results show that when the guided power increases, the electric field distributions are gradually narrowed in the Kerr-type nonlinear NRI slab guiding films. The guided power will focus in the central region of Kerr-type nonlinear interaction layer. Figure 11 shows the electrical field distribution with respect to the point I, as shown in Fig. 2. Figure 12 shows the electrical field distribution with respect to the point J, as shown in Fig. 2. The points I and J on the same dispersion curve are mode 4. The numerical



Figure 11. The electrical field distribution of the five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu m^2/V^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu m$, $d_2 = 3 \ \mu m$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \ \mu m$ with respect to the point I as shown in Fig. 2.



Figure 12. The electrical field distribution of the five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu\text{m}^2/\text{V}^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu\text{m}$, $d_2 = 3 \ \mu\text{m}$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \ \mu\text{m}$ with respect to the point J as shown in Fig. 2.

results show that when the guided power increases, the electric field distributions are gradually narrowed in the Kerr-type nonlinear NRI slab guiding films. The guided power will increase in the region of Kerr-type nonlinear interaction layer. Figure 13 shows the electrical field distribution with respect to the point K, as shown in Fig. 2. Figure 14 shows the electrical field distribution with respect to the point L, as shown in Fig. 2. The points K and L on the same dispersion curve are mode 5. The numerical results show that when the guided power increases, the electric field distributions are gradually narrowed in the Kerr-type nonlinear NRI slab guiding films. The guided power will increase sharply in the region of Kerr-type nonlinear interaction layer.

The proposed general method can also be degenerated to study some special cases: For case 1, the $\alpha_j = 0$, j = 1, 3, 5...N-2, and $\beta < \varepsilon_j \mu_j$, $A_j = q_j$, $\operatorname{sn}[A_j x | m_j] = \sin(q_j x)$, $\operatorname{cn}[A_j x | m_j] = \cos(q_j x)$, $\operatorname{dn}[A_j x | m_j] = 1$, and the electric field of the Eq. (16) can be rewritten as:

$$E_{j}(x) = b_{j}cn \left[A_{j} \left(x + x_{j} \right) \middle| m_{j} \right] = A_{f,i} \cos(q_{j} \left(x - x_{j} \right)), \text{ for } j = 1, 3, 5...N - 2$$
(21)

For case 2, the $\alpha_j = 0$, j = 1, 3, 5... N-2, and $\beta > \varepsilon_j \mu_j$, $A_j^2 = Q_j^2 = -k_0^2 (\varepsilon_j \mu_j - \beta^2)$, sn $[A_j x | m_j] = tanh(Q_j x)$, cn $[A_j x | m_j] = sech(Q_j x)$, and the electric field of the Eq. (16) can be rewritten as:



Figure 13. The electrical field distribution of the five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \ \mu \text{m}^2/\text{V}^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \ \mu \text{m}$, $d_2 = 3 \ \mu \text{m}$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \ \mu \text{m}$ with respect to the point K as shown in Fig. 2.



Figure 14. The electrical field distribution of the five-layer all Kerr-type nonlinear NRI slab optical waveguide structure with constants: $\alpha_0 = \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 6.3786 \,\mu\text{m}^2/\text{V}^2$, $\mu_f = \mu_1 = \mu_3 = -2$, $d_1 = d_3 = 5 \,\mu\text{m}$, $d_2 = 3 \,\mu\text{m}$, $\varepsilon_f \mu_f = \varepsilon_1 \mu_1 = \varepsilon_3 \mu_3 = 2.4649$, $\varepsilon_0 \mu_0 = \varepsilon_2 \mu_2 = \varepsilon_4 \mu_4 = 2.4025$, and $\lambda = 1.3 \,\mu\text{m}$ with respect to the point L as shown in Fig. 2.

$$E_j(x) = b_j cn \left[A_j \left(x + x_j \right) \middle| m_j \right] = A_{f,i} \cosh(Q_j \left(x - x_j \right)) \text{ for } j = 1, 3, 5... \text{ N} - 2$$
(22)

For case 3, the parameters $a_{N-1} = 0$ and $B_c = 1$, the electric field in the nonlinear DPS cladding E_{N-1} can be expressed as:

$$E_{j}(x) = E_{j}\left\{\cosh[q_{j}(x - x_{N-1})] + B_{c} \sinh[q_{j}(x - x_{N-1})]\right\}^{-1} = A_{c} \exp(-q_{j}x) \text{ for } j = N - 1$$
(23)

For case 4, the parameters $\alpha_0 = 0$ and $B_s = 1$, the electric field in nonlinear the substrate E_s can be written as:

$$E_0(x) = E_1 \{ \cosh(q_0 x) - B_s \sinh(q_0 x) \}^{-1} = A_s \exp(q_0 x)$$
(24)

The Eqs. (21)-(24) can be used to drive some degenerated examples of the NRI multilayer slab optical waveguide structures. The numerical results are same to that of the previous papers^{59,60}. It showed that the proposed general method can be degenerated into any kind of the NRI multilayer slab optical waveguide structures. The relative parameters are shown in Online Appendix I–III.

Conclusions

In this paper, we proposed a general method for analyzing arbitrary NRI multilayer slab optical waveguide structures. This general method can simultaneously be used to degenerate into different kinds of NRI multilayer slab waveguide structures by properly varying the nonlinear coefficient. Some degenerated examples were introduced to prove the accuracy of the proposed method. The analytical and numerical results show excellent agreement. The method can also be degenerated to analyze arbitrary planar conventional optical waveguide structures. Based on this general method, the analysis and calculation of any kinds of NRI multilayer slab optical waveguide structures and conventional multilayer slab optical waveguide structures can be achieved easily.

Received: 8 April 2020; Accepted: 21 August 2020 Published online: 11 September 2020

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Acknowledgements

The author would like to thank Ming-Hsiung Cheng for his constructive discussion and help. This work was partly supported by Ministry of Science and Technology of Taiwan under Grants MOST 107-2221-E-992-066 and MOST 107-2218-E-992-304.

Author contributions

Y.-D.W. is responsible for the whole manuscript.

Competing interests

The author declares no competing interests.

Additional information

Supplementary information is available for this paper at https://doi.org/10.1038/s41598-020-72017-3.

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