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# Cardanol derived P, Si and N based precursors to develop flame retardant phenolic foam

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A novel eco-friendly halogen-free cardanol-based flame retardant with P, Si, and N on the chain backbone (PSNCFR) was synthesized and incorporated into phenolic foams (PFs). PSNCFR was comprehensively investigated via Fourier transform infrared spectroscopy and nuclear magnetic resonance. PSNCFR endowed PFs with flame retardancy, contributed to generating a composite char defense against flames, and efficiently prevented smoking from PFs. PSNCFR introduction improved the flexural strength of the PFs to approximately 155% of that of pristine PF. PSNCFR-modified PFs displayed a high limiting oxygen index value of 41.9%. The results of cone calorimeter show that the mean heat release rate, mean effective heat of combustion, and total heat release of the PSNCFR-modified PFs reduced by 26.92%, 35.71%, and 31.25%, respectively. In particular, the total smoke production of the PSNCFR-modified PFs decreased by 64.55%, indicating excellent smoke inhibition. As for the mechanism, the condensation and gas phases during pyrolysis were responsible for the synergistic flame retardancy in the modified PFs. The findings demonstrate that PSNCFR can be used in PF preparation to overcome their drawbacks of internal brittleness and flammability.

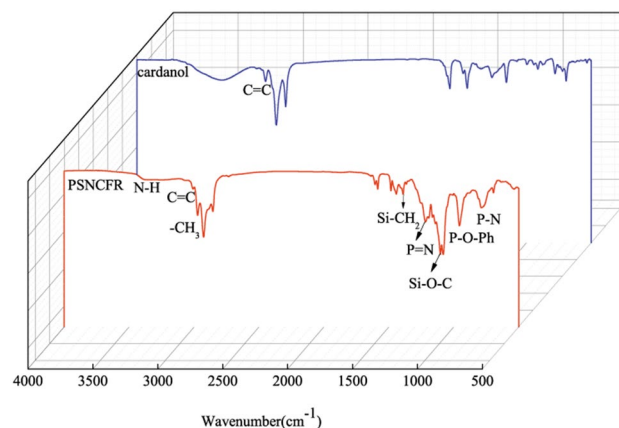
Biorenewable resources have attracted significant attention owing to growing concern over environmental problems and energy crisis. Cardanol, an agricultural by-product abundantly extracted from cashew nut shell liquid, is a potential non-edible and safe biorenewable resource<sup>1,2</sup>. Cardanol contains multiple functional groups, such as an aromatic ring, a hydroxyl group, and double bonds in the alkyl chain. Therefore, cardanol is considered a promising resource for preparing a wide range of chemicals<sup>3,4</sup>. Cardanol and its derivatives can be used as stabilizers, antioxidants, chemical intermediates, anticorrosive paints, plasticizers, and resins<sup>5-7</sup>.

Phenolic foams (PFs), characterized by thermal and chemical stability, adhesivity, high thermal insulation, and flame retardancy, have been widely used in electric instruments, petrochemistry, and architecture<sup>8-10</sup>. However, the application of PFs to other areas are limited owing to their relatively low mechanical performance and high flammability. A previous recent study examined cardanol-based<sup>11</sup>, in particular, the effect of cardanol on the mechanical characteristics of phenol-formaldehyde formulations and the role of cardanol's flexible alkyl side chains as plasticizers to enhance the mechanical properties of PFs. Unfortunately, the presence of flexible chains of cardanol compromises the flame retardancy of PFs, thereby limiting their use in fields requiring fire resistance.

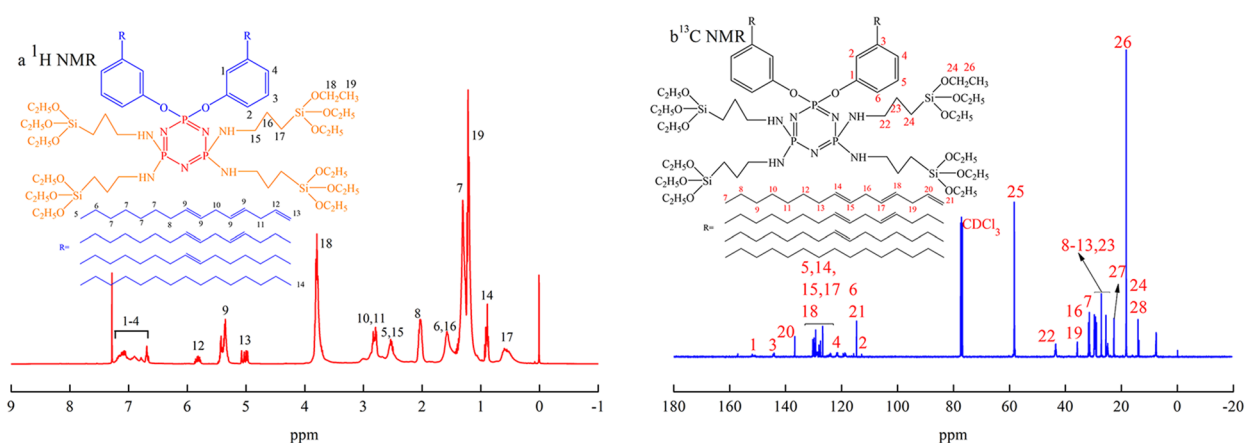
The most important and feasible requirement to promote the further utility of cardanol-based PFs at the industrial scale is to improve their flame retardancy. Since the European Union banned the use of halogenated fire retardants, flame retardants incorporating P<sup>10,12,13</sup>, Si<sup>14,15</sup> and N<sup>16,17</sup> have emerged as efficient non-halogenated candidates. To eliminate PF's current drawbacks, i.e., the intrinsic brittleness and high flammability, this study examines cardanol as a renewable feedstock to replace phenol in PF synthesis<sup>18</sup>. To impart functional properties in cardanol-based PFs, we have incorporated synergistic flame retardant elements into cardanol structures, which can improve the corresponding functional properties of PFs.

In this study, a novel cardanol-based flame retardant containing P, Si, and N (PSNCFR) on the chain backbone was synthesized and incorporated into PFs. Owing to its unique chemical structure, PSNCFR imparted

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**Figure 1.** The FT-IR spectra of cardanol and PSNCFR.



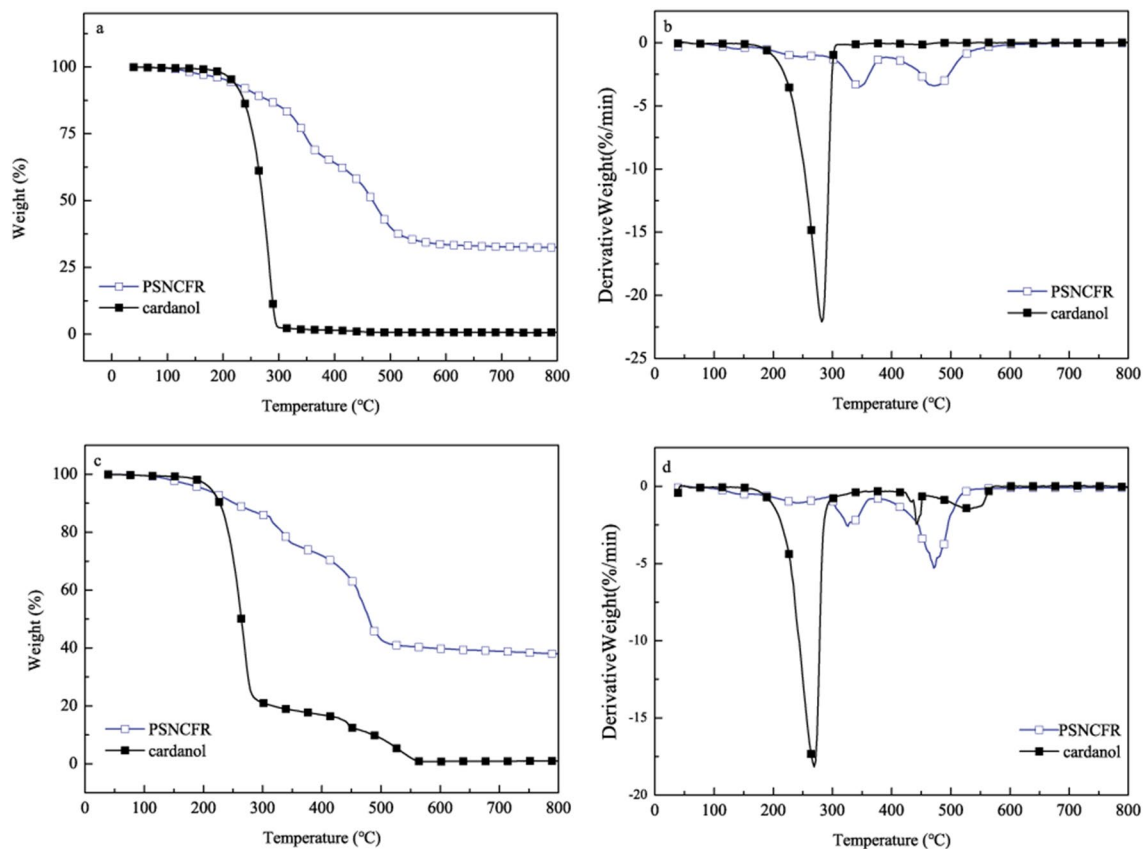
**Figure 2.** The (a)  $^1\text{H}$  and (b)  $^{13}\text{C}$  NMR spectrum of PSNCFR.

superior mechanical properties in PF, contributed toward forming a hybrid char ant flame defense, and efficiently avoided smoke generation from PFs. Further the mechanical property, morphology, thermal decomposition, fire avoidance, and smoke restriction of PFs with varying PSNCFR concentrations are further discussed. Finally, the corresponding toughening and flame-retardant mechanisms are presented.

## Results and discussion

**Characterization of PSNCFR.** Figure 1 shows the Fourier transform infrared (FT-IR) spectra of cardanol and PSNCFR. Clearly, the spectrum of PSNCFR shows absorption at 3,010, 2,926, 2,856, and 1,586  $\text{cm}^{-1}$ , indicating that PSNCFR contains various functional groups of cardanol<sup>19</sup>. Compared with cardanol, the peaks at 3,346  $\text{cm}^{-1}$  (–OH) in the spectrum of PNCFR (see Supplementary Fig. S1 online) almost disappeared, implying that the phenolic hydroxyl groups were converted. The FT-IR spectrum of PSNCFR shows typical peaks at 2,973  $\text{cm}^{-1}$  (–CH<sub>3</sub> of 3-aminopropyltriethoxysilane (APTES)), 1390  $\text{cm}^{-1}$  (Si–CH<sub>2</sub>), 1103  $\text{cm}^{-1}$  (Si–O–C), and 3,379  $\text{cm}^{-1}$  (N–H)<sup>20</sup> indicating the incorporation of the APTES moieties in PSNCFR. In addition, the peaks at 1,217 and 788  $\text{cm}^{-1}$  were assigned to the P=N and P–N stretching vibration<sup>21,22</sup>, and the peak at 957  $\text{cm}^{-1}$  was assigned to P–O–Ph. However, the peaks at 600 and 519  $\text{cm}^{-1}$  corresponding to the stretching vibration of P–Cl in phosphonitric chloride trimer (HCCP)<sup>23,24</sup> (see Supplementary Fig. S1 online), disappeared, as represented in the supplementary document. The above-mentioned absorptions confirm the reaction of cardanol with HCCP and APTES.

The proton nuclear magnetic resonance ( $^1\text{H}$  NMR) spectrum of PSNCFR (Fig. 2a), i.e., the peaks at 7.24–6.66 ppm, are attributed to the protons on the benzene ring, and those at 5.83, 5.43–5.34 and 5.07–4.97 ppm correspond to the proton of –CH=CH– in the cardanol moiety. The protons in the –(CH<sub>2</sub>SiOCH<sub>2</sub>CH<sub>3</sub>)– moiety occur at 3.79 ppm (SiOCH<sub>2</sub>), 1.20 ppm (CH<sub>2</sub>CH<sub>3</sub>), and 0.56 ppm (CH<sub>2</sub>SiOCH<sub>2</sub>CH<sub>3</sub>). The carbon-13 nuclear magnetic resonance ( $^{13}\text{C}$  NMR) of PSNCFR (Fig. 2b) shows the unsaturated carbons on the aromatic ring and alkyl side chains of the cardanol moiety in the range of 112–157 ppm. The chemical shifts at 35–22 and 14.22 ppm are attributed to the carbons in the methylene and methyl groups of the alkyl side chains of cardanol<sup>25</sup>. The new peaks that appeared at 58.29, 18.28 and 43.29 ppm are attributed to the carbons in the –OCH<sub>2</sub>CH<sub>3</sub> and N–CH<sub>2</sub>– groups. Phosphorous-31 nuclear magnetic resonance ( $^{31}\text{P}$  NMR) spectrum was also used to elucidate the chemical



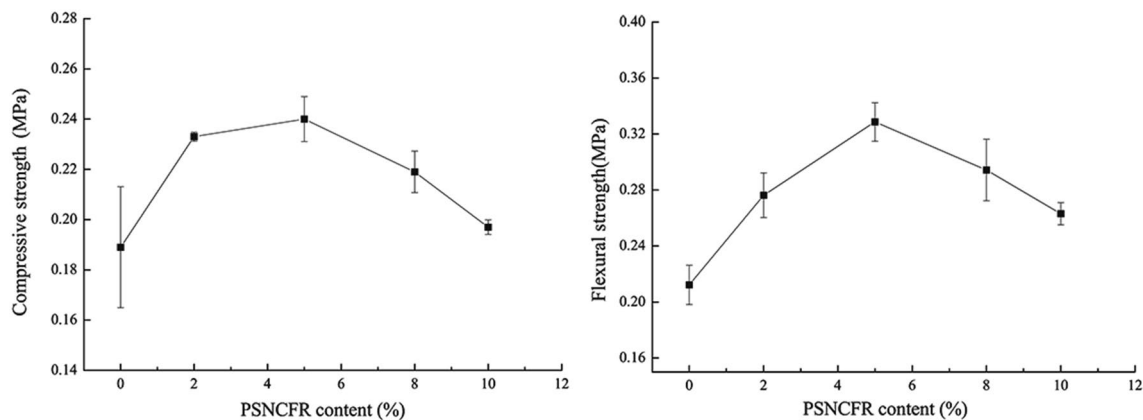
**Figure 3.** The (a) TGA and (b) DTG curves of cardanol and PSNCFR under  $N_2$ , (c) TGA and (d) DTG curves of cardanol and PSNCFR under air.

Samples	Degradation step (no.)	Degradation step ( $^{\circ}C$ )		Mass loss (%)	Residual mass (%)
		$T_i$	$T_{max}$		
$N_2$					
Cardanol	I	253.0	282.6	99.37	0.63
PSNCFR	I	271.6	344.7	34.34	–
	II	386.7	472.1	67.49	32.51
Air					
Cardanol	I	237	269.3	99.06	0.94
PSNCFR	I	298.8	325.5	26.06	–
	II	376.3	471.5	62.03	37.97

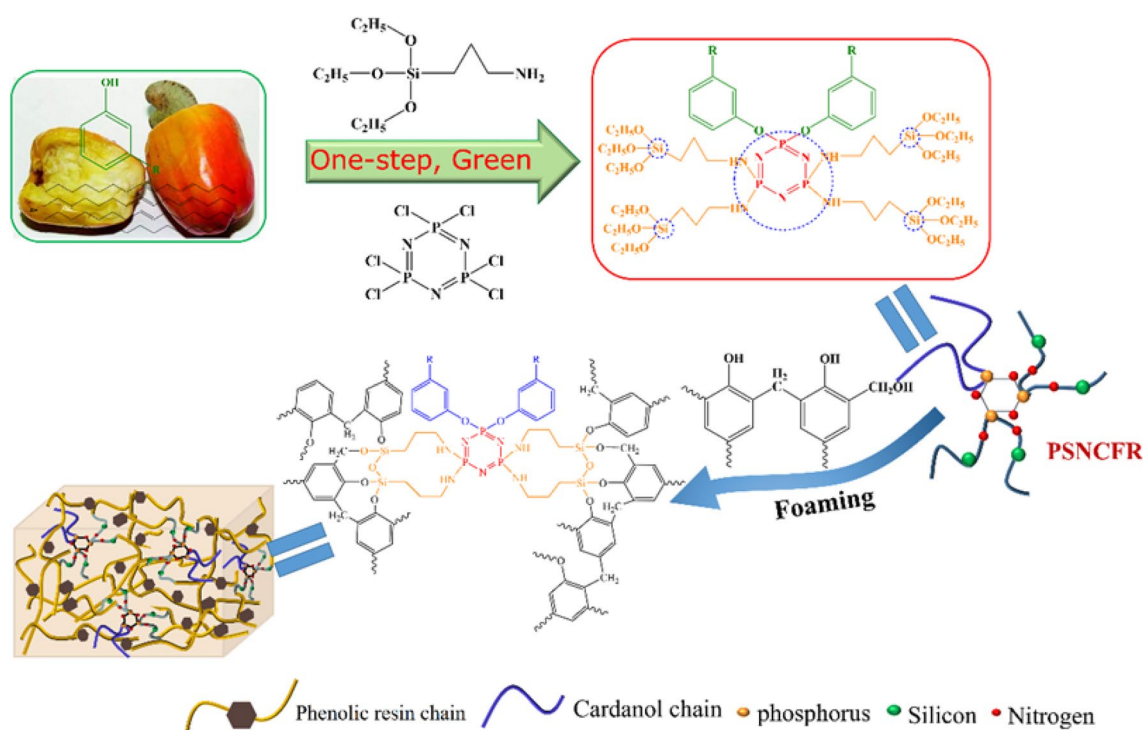
**Table 1.** TGA data of cardanol and PSNCFR.

structures as shown in Supplementary Fig. S2. Because the six monomers of HCCP were mix-substituted by cardanol and APTES, the chemical environment of phosphorus atoms in the same monomer was different for its asymmetric nature<sup>26</sup>. The above mentioned absorptions indicate the formation of flame-retardant PSNCFR.

Figure 3 presents the thermogravimetric analysis (TGA) and derivative thermogravimetry (DTG) curves of cardanol and PSNCFR under  $N_2$  and air. Table 1 shows the initial decomposition temperature ( $T_i$ ), temperature at maximum heat weightlessness rate ( $T_{max}$ ), and char yield. Clearly, PSNCFR was thermally decomposed under  $N_2$  in two stages. In first stage (250–380  $^{\circ}C$ ), the weight loss of approximately 34.34% can be ascribed to the decomposition of oxygen functionalities and aliphatic chains. In the second stage (380–800  $^{\circ}C$ ), the weight loss of 33.15% can be assigned to the pyrolysis of silane, phosphorus moieties, and benzene rings, as well as to the generation of char residues<sup>27</sup>. The thermal degradation of PSNCFR was changed by the introduction of APTES and HCCP moieties. Clearly, the  $T_i$  and  $T_{max}$  of PSNCFR were higher compared with cardanol, and PSNCFR had a higher mass residue than cardanol (32.54% vs 0.63%), indicating that PSNCFR was more thermally stable. This is because the introduction of P, N, and Si into the PSNCFR structure enhanced its thermal stability. Figure 3b shows a similar two-stage thermal decomposition for PSNCFR in air. According to the TGA and DTG results in



**Figure 4.** The mechanical properties of pristine and PSNCFR-modified PFs.

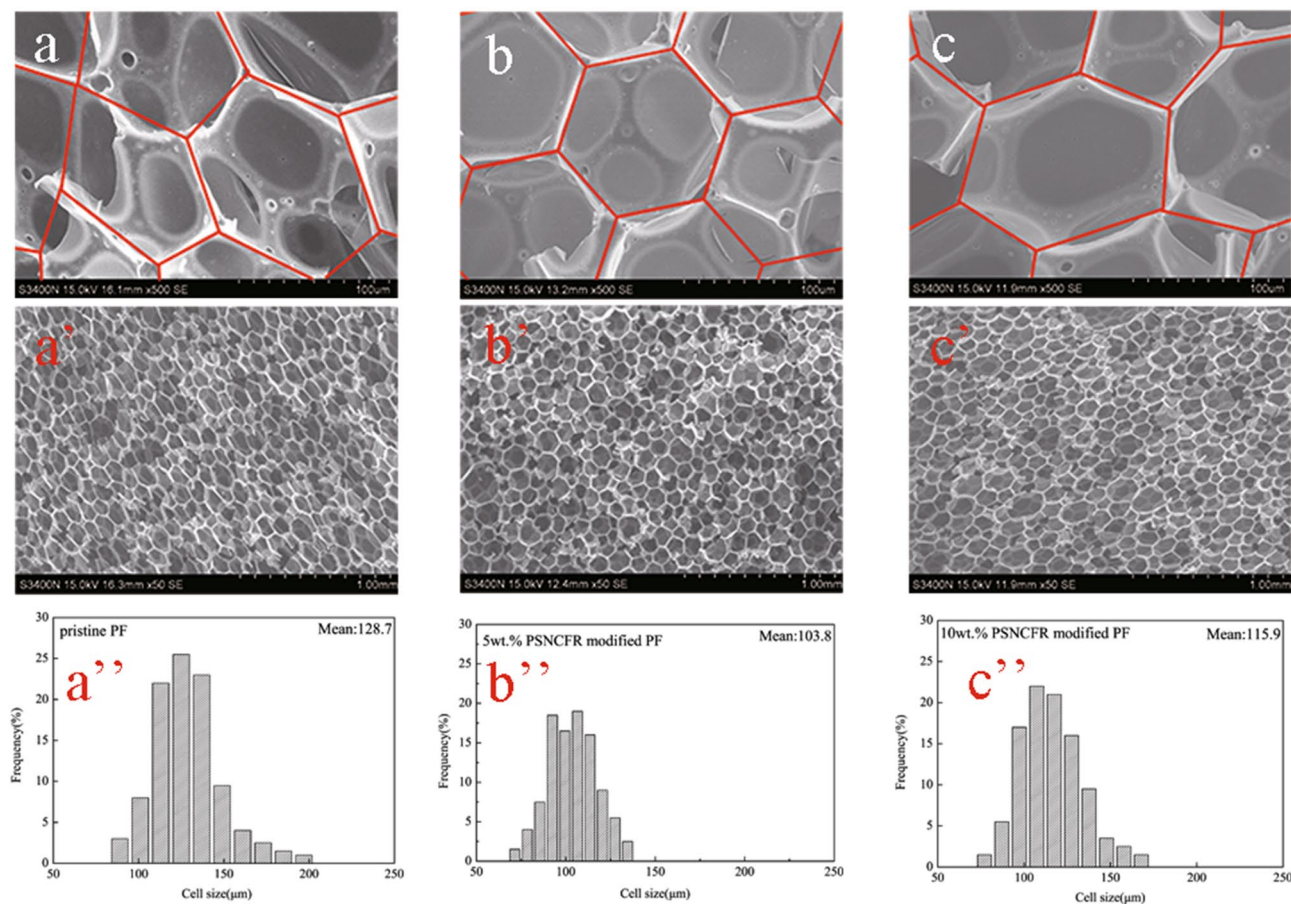


**Scheme 1.** The synthetic route for preparation of PSNCFR and schematic of PSNCFR toughening of PF.

**Fig. 3.** PSNCFR has weight loss at 100–200 °C. The minor stages are assigned to the release of residual solvent or small molecular weight impurities from the samples.

**Physical properties of pristine PF and PSNCFR-modified PFs.** The reinforcing and toughening effects of the PSNCFR-incorporated PFs were investigated via static compressive tensile and flexural tests (Fig. 4). The compressive strength of the modified PFs was first enhanced by increasing the PSNCFR content; it was maximized to 0.240 MPa, which was 26.98% higher than that of pristine PF (0.189 MPa), at 5% PSNCFR in resin. However, further increase in PSNCFR content weakened the compressive strength. When the PSNCFR content was 10%, the compressive strength of the modified PFs dropped but was still higher than that of pristine PF. Moreover, the flexural strength of PSNCFR-modified PFs first increased and then reduced with an increase in PSNCFR content. It maximized at 5% PSNCFR to 0.329 MPa, which was 55.19% higher than that of pristine PF (0.212 MPa). At 10% PSNCFR content, the flexural strength slightly decreased but still outperformed pristine PF due to a condensation reaction between the triethoxysilyl group in PSNCFR and the hydroxyl groups in the phenolic resin linked flexible alkyl side chains to the main chains of phenolic resins<sup>28</sup>. However, with an increase in PSNCFR content, the alkyl side chains enhanced the steric hindrance against the formaldehyde-phenol reaction. Thus, the modified foams were mechanically worsened with excess PSNCFR. The toughening mechanism is illustrated in Scheme 1.





**Figure 5.** SEM of (a,a') pristine, (b,b') 5% PSNCFR-modified and (c,c') 10% PSNCFR-modified PF, cell sizes distribution of (a'') pristine, (b'') 5% PSNCFR-modified and (c'') 10% PSNCFR-modified PF. Cell sizes distribution of pristine and modified foams were computed by Nano Measurer 1.2.0, the software does not require permission to be used and freely available.

The effect of PSNCFR on the microstructure of the PFs was investigated via scanning electron microscopy (SEM, Fig. 5). We computed the sizes of 200 cells of pristine or modified foams from SEM images taken by Nano Measurer 1.2 (Fig. 5). The mean size of pristine PF was 128.7  $\mu\text{m}$ , and the cell size distribution ranged from 83.32 to 202.05  $\mu\text{m}$ . However, for PSNCFR-modified foams, the cell shape was hexagonal and formed a stable honeycomb-like structure, which contrasts with the ellipsoid-like cells exhibited by pristine PF. The cell morphology of PSNCFR-modified foams was more even when the mean cell size was smaller and the cell size distribution was narrower. When the PSNCFR mass fraction was 5% and 10%, the mean cell sizes of the modified foams were 103.8 and 115.9  $\mu\text{m}$ , respectively, with a decline of 19.35% and 9.95% compared with pristine PF, respectively. The presence of irregular cells, a uniform cell distribution, and a stable honeycomb-like structure will result in outstanding mechanical properties<sup>8,29</sup>. These results are similar to those of the mechanical analysis.

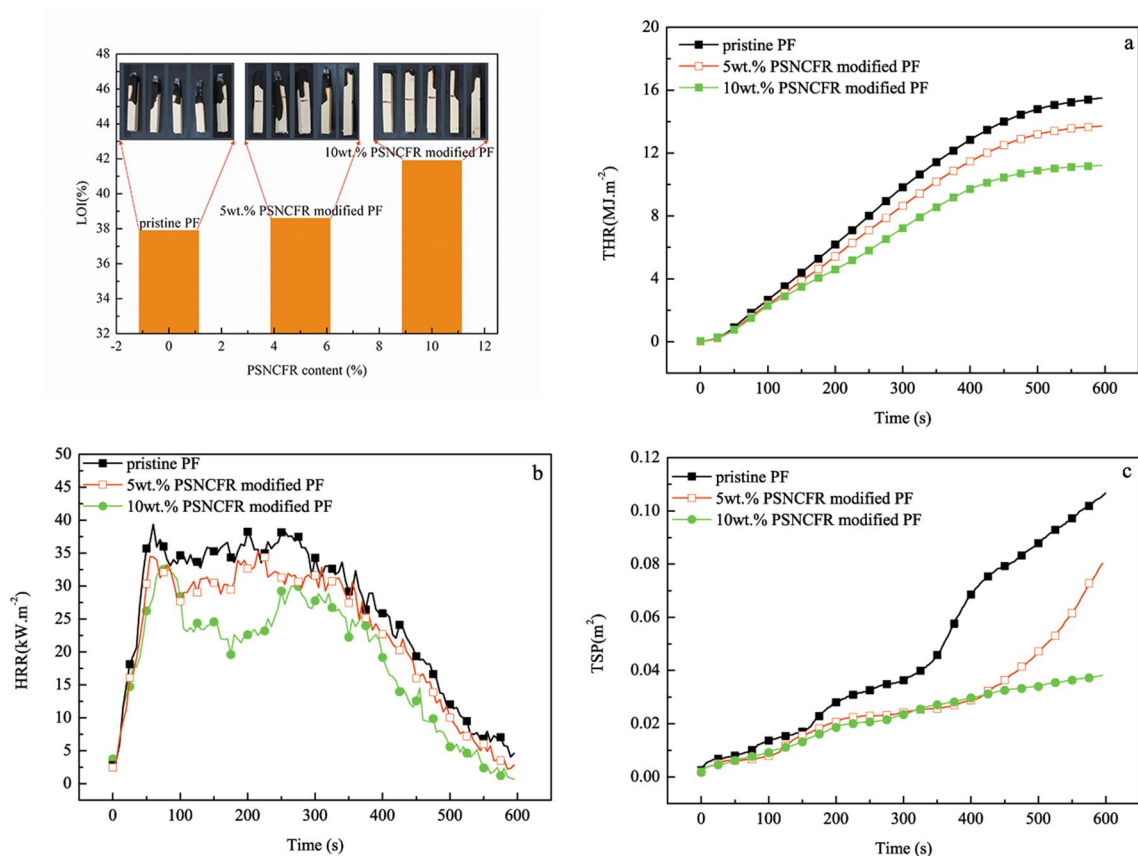
**Flame retardancy.** The flame retardancy of the modified PFs was characterized using the limiting oxygen index (LOI) and cone calorimeter test. A higher LOI indicates better flame retardancy. As expected, the use of PSNCFR improved the flame retardancy of the PFs. The LOIs of the PSNCFR-modified PFs added with 5 wt% and 10 wt% PSNCFR were 38.6% and 41.9%, with an increase of ~1.85% and 10.55% compared with pristine PF (37.9%), respectively (Fig. 6).

The effects of PSNCFR on the combustion behaviors of PFs were studied by measuring the burning performance parameters using cone calorimetry, including total heat release (THR), heat release rate (HRR), and total smoke production (TSP) (Fig. 6). Moreover, peak HRR (pHRR), mean HRR (mHRR), peak effective heat of combustion (pEHC) and mean EHC are listed in Table 2.

HRR, also referred to as fire intensity, measures the heat release rate per unit area from a burning material. pHRR and mHRR are measures of the scale, development, and termination of fire. THR indicates the amount of heat released per unit area from a burning material. Generally, a higher HRR or THR implies a greater risk from fires. Thus, HRR and THR help to more objectively and fully assess burning behaviors<sup>30</sup>.

The THR in our study gradually dropped following an increase in PSNCFR content, and at the load of 10 wt% PSNCFR, it decreased to 11 MJ/m<sup>2</sup>, which is a drop of 31.25% compared with pristine PF (Fig. 6a).

The HRR of PSNCFR-modified PFs was lower than that of pristine PF (Fig. 6b). The mHRRs of the modified PFs containing 5 wt% and 10 wt% PSNCFR were 23 and 19 kW/m<sup>2</sup>, with a drop of 11.54% and 26.92% compared



**Figure 6.** LOI and curves of (a) THR, (b) HRR and (c) TSP of pristine and PSNCFR-modified PFs.

Samples	HRR (kW m <sup>-2</sup> )		EHC (MJ kg <sup>-1</sup> )		THR (MJ m <sup>-2</sup> )	TSP (m <sup>3</sup> )
	pHRR	mHRR	pEHC	mEHC		
Pristine PF	39	26	7	1.4	16	0.11
5 wt.% PSNCFR modified PF	35	23	6	1.1	14	0.083
10 wt.% PSNCFR modified PF	33	19	6	0.9	11	0.039

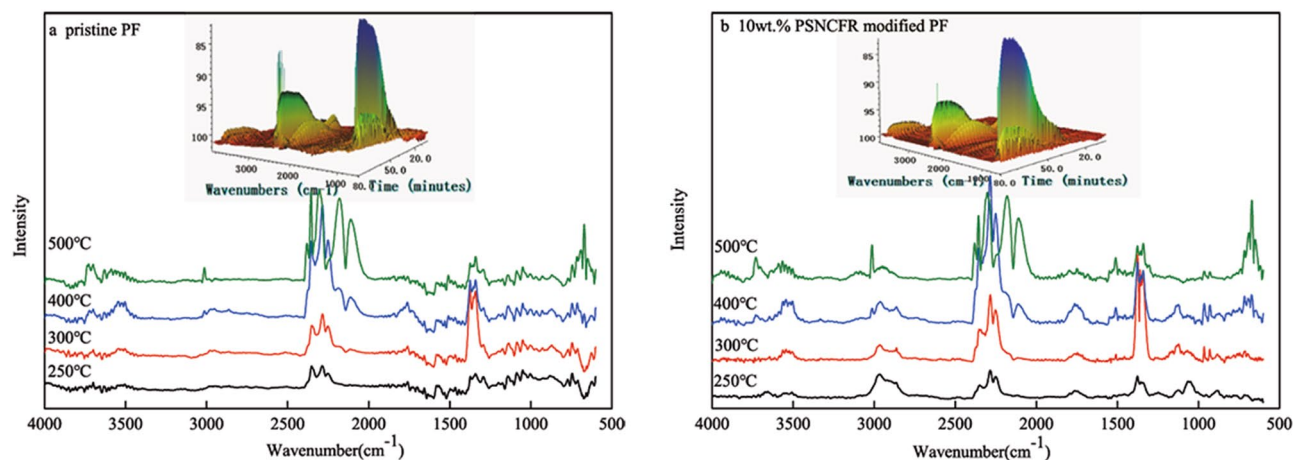
**Table 2.** Cone calorimeter test results.

with pristine PF (26 kW/m<sup>2</sup>), respectively. These data imply that the PSNCFR-modified PFs outperformed pristine PF in terms of flame retardancy.

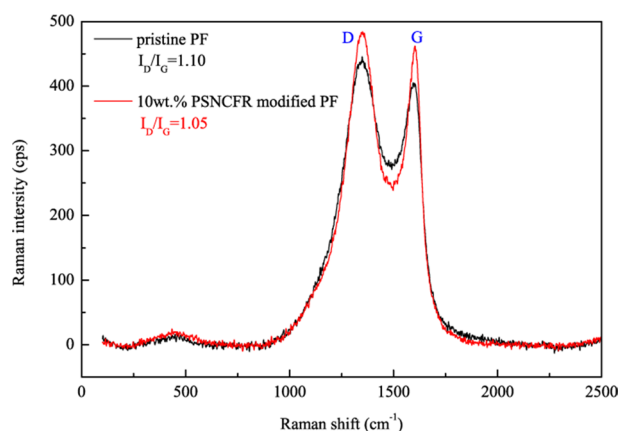
EHC, defined as the heat released per unit mass of a volatilized material, reflects the combustion efficiency of volatile materials<sup>31,32</sup>. The mEHCs of the modified PFs with 5 wt% and 10 wt% PSNCFR were 1.1 and 0.9 MJ/kg, dropping by 21.43% and 35.71% compared with pristine PFs (1.4 MJ/kg), respectively. At the same external heat flux, the gas phase flame retardancy will reduce EHC more efficiently in comparison with pristine PF.

A lower TSP means a lower smoke risk and longer escape time in fires incidents<sup>33</sup>. The introduction of PSNCFR in PFs considerably reduced their smoke production (Fig. 6c and Table 2). Specifically, the TSP of 10 wt.% PSNCFR-modified PFs decreased by 64.55% when compared with pristine PF (0.039 vs. 0.11 m<sup>3</sup>). These data confirm the excellent smoke inhibition effect of PSNCFR.

**Possible flame-retardant and smoke-suppressant mechanisms.** The flame-retardant mechanism of the PFs was probed using TGA-FTIR (Fig. 7). Clearly, the gaseous pyrolysis products of pristine or 10 wt.% PSNCFR-PF mainly showed peaks at 3,500–3,800, 2,800–3,000, 2,200–2,400, 1,000–1,800, and 600–800 cm<sup>-1</sup>. The peak position and intensity varied largely with an increase in temperature, implying that the decomposition products differed with temperature. The species of the products evolved at 250, 300, 400, and 500 °C were confirmed by two-dimensional (2D) FT-IR spectra (base of Fig. 7a). At 250 °C, the main products of pristine PF were minor H<sub>2</sub>O (3,750–3,500 cm<sup>-1</sup>) and CO<sub>2</sub> (2,350–2,240 cm<sup>-1</sup>)<sup>34</sup>. At 300 °C, the absorbance of H<sub>2</sub>O and CO<sub>2</sub> was much higher than that at 250 °C, which means that more H<sub>2</sub>O and CO<sub>2</sub> were emitted. The peaks at



**Figure 7.** The 3D TGA-FTIR and 2D FT-IR spectra of gas-phase thermal degradation products of (a) pristine and (b) 10 wt.% PSNCFR modified PF at different thermal degradation stages.



**Figure 8.** Raman spectra of the char residues after cone calorimeter tests of pristine and 10 wt.% PSNCFR modified PF.

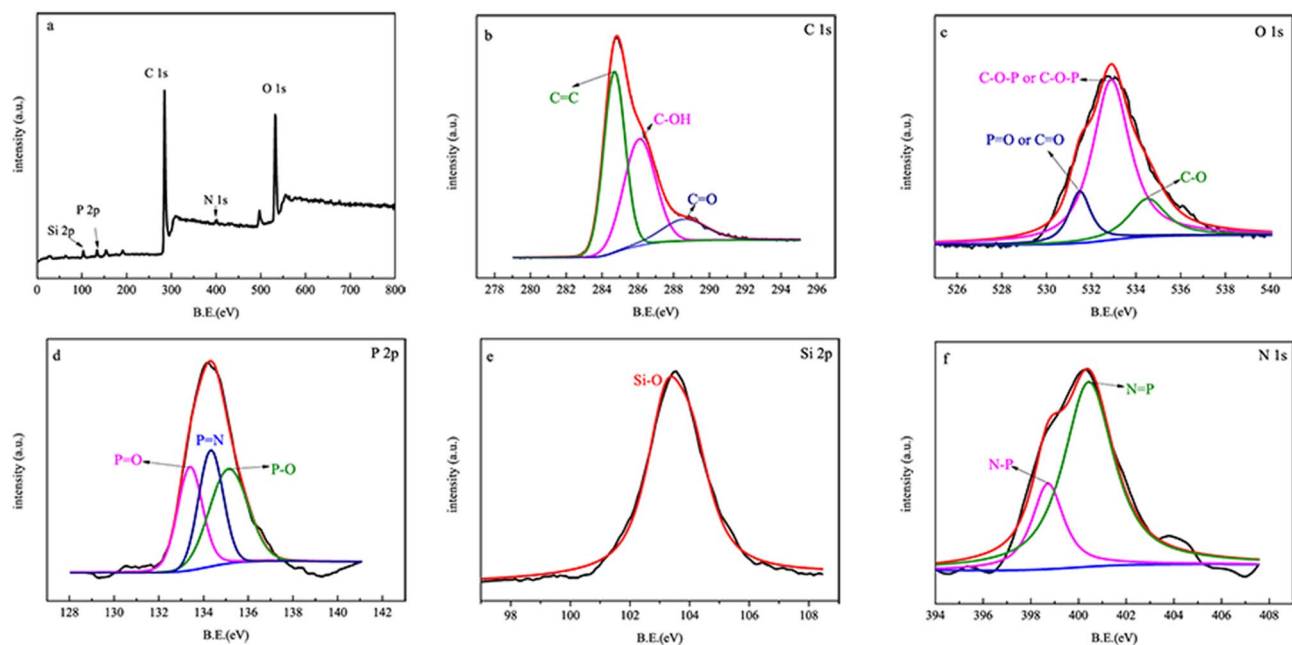
3,100–2,850  $\text{cm}^{-1}$  correspond to the C-H stretching vibration. At 400 and 500  $^{\circ}\text{C}$ , the positions of the FT-IR peaks were similar, although the intensity varied slightly.

Different from pristine PF, the FT-IR spectrum of 10 wt.% PSNCFR-modified PFs shows absorption at 925  $\text{cm}^{-1}$  (P–O–Ph), 1,120  $\text{cm}^{-1}$  (P–O), and 1,245  $\text{cm}^{-1}$  (P=N)<sup>35</sup>. It is assumed that the degradation of 10 wt.% PSNCFR formed P-containing compounds, which seized the free radicals in the gas phase and restricted burning<sup>36</sup>. However, the FT-IR spectrum of 10 wt.% PSNCFR-modified PFs did not show Si-containing components, indicating that the decomposition products were at the condensed phase and improved the residual char yield.

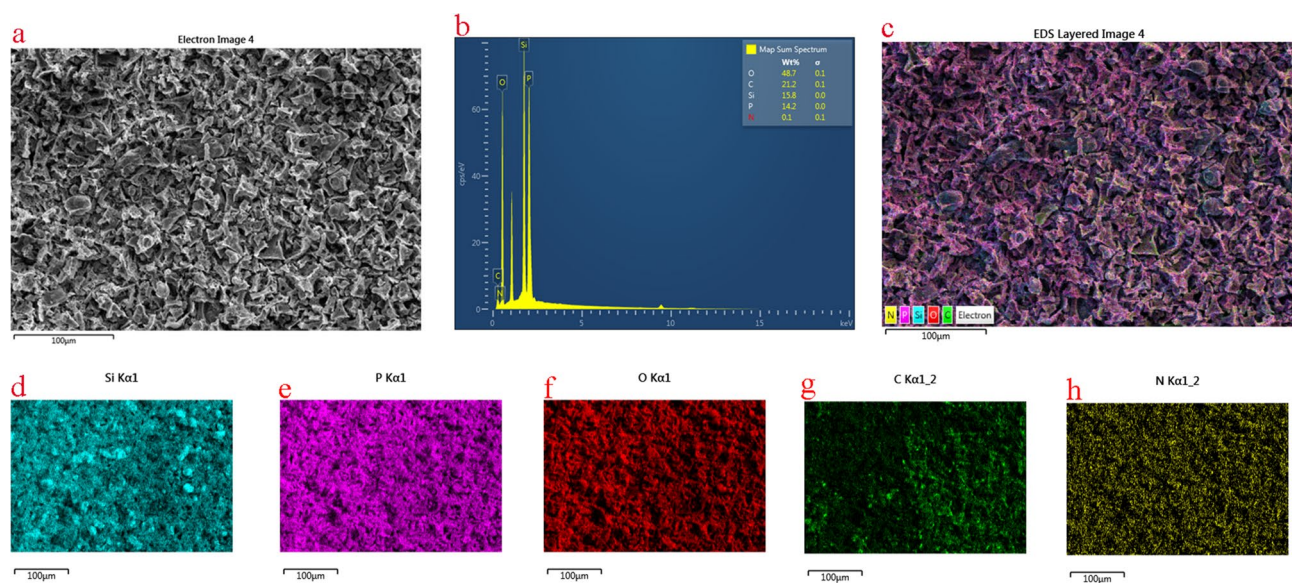
Laser Raman spectroscopy (LRS) characterizes the graphitization degree of carbonaceous substances after combustion<sup>37,38</sup>. The LRS spectra for the outer residual chars of pristine or 10 wt.% PSNCFR-modified PFs after cone calorimetry are shown in Fig. 8. The LRS spectra of carbon signals typically show the D band at ca. 1,360  $\text{cm}^{-1}$  and the G band at ca. 1,580  $\text{cm}^{-1}$ . The intensities of the D and G bands correspond to amorphous carbon and graphitized carbon respectively; accordingly, the D and G band intensity ratio ( $I_D/I_G$ ) characterizes the graphitization extent of residues. A lower  $I_D/I_G$  implies a more stable char structure with more intense graphitization and thereby better flame retardancy<sup>39</sup>. The  $I_D/I_G$  of 10 wt.% PSNCFR modified PFs was less than that of pristine PF (1.05 vs. 1.10, Fig. 8). This indicates the higher graphitization degree residue of the modified PFs. The introduction of PSNCFR facilitated the char graphitization of PFs after burning, forming a more stable hybrid char to raise the flame retardancy of PFs.

To explore the residual char's structure, the surface elemental composition of 10 wt.% PSNCFR-modified PFs after combustion was characterized using X-ray photoelectron spectroscopy (XPS, Fig. 9) and energy dispersive X-ray spectrometry (EDS) mapping (Fig. 10). Clearly, the residual char of 10 wt.% PSNCFR-modified PFs mainly consists of C, O, N, P, and Si. The  $\text{C}_{1s}$  XPS spectrum revealed that the following structures could be assigned to C–C and C–H belonging to the aliphatic and aromatic species at 284.6 eV, the peak at 286.0 eV is assigned to C–O, and the bond at 288.6 eV is attributed C=O. The  $\text{O}_{1s}$  spectrum shows that the bond energy





**Figure 9.** (a) XPS wide scanning spectrum, (b)  $C_{1s}$ , (c)  $O_{1s}$ , (d)  $P_{2p}$ , (e)  $Si_{2p}$  and (f)  $N_{1s}$  spectra of char residue of 10 wt.% PSNCFR-modified PF.



**Figure 10.** (a) Electron image, (b) map sum spectrum, (c) EDS layered image, (d) Si, (e) P, (f) O, (g) C and (h) N mapping of char residue of 10 wt.% PSNCFR-modified PF.

at 531.4 eV is attributed to the P=O or C=O groups, and the peak at a binding energy of 532.8 eV is assigned to C–O–C or C–O–P<sup>40</sup>. The  $P_{2p}$  spectrum displays the bond energy of the P=O, P=N, and P–O in the residual char to be 133.4, 134.3, and 135.1 eV, respectively<sup>41,42</sup>. The peak in the  $Si_{2p}$  spectra at 103.2 eV is attributed to the Si–O–Si structures<sup>43</sup>. In addition, the  $N_{1s}$  spectra have two peaks at around 398.7 (N–P) and 400.3 eV (N=P)<sup>44</sup>. The above analysis confirms that the compact char residues isolated inflammable gases and heat and enhanced the flame retardation ability of the PFs.

Based on the above mentioned findings regarding the structure and components of PSNCFR and the thermal stability and flame retardancy of PSNCFR-modified PFs, it is not difficult to understand that the flame-retardant mechanism (Scheme 2). When the PSNCFR-modified PFs were ignited, P- and N-containing flame retardants produced phosphorus-based substances such as phosphoric/polyphosphoric acid and cross-linked phosphorous oxides<sup>40,45</sup>, which, together with the P-, Si-, and N-containing carbon formed during the combustion, contributed to the formation of compact and integral char layers that effectively inhibited thermal decomposition of





**Characterization.** The samples were characterized on a Nicolet iS10 FTIR meter (Nicolet Instrument Corp., USA) within 400–4,000  $\text{cm}^{-1}$  using a thin KBr pill.  $^1\text{H}$ ,  $^{13}\text{C}$  and  $^{31}\text{P}$  NMR spectra were obtained using a Bruker AV-300 Advance NMR spectrometer (Bruker Corporation, Germany) at 300 MHz,  $\text{CDCl}_3$  as the solvent, and a ThermoFisher DXR laser Raman spectrometer operated at room temperature with a back-scattering geometry with a 532 nm Ar laser. XPS curves were recorded using a Kratos Axis Ultra DLD (UK) spectrometer to analyse the chemical composition of the residue char after cone calorimetry tests. The STA 409 PC/PG thermal gravimetric analyzer (TGA, Netzsch, Germany) was heated at 10  $^\circ\text{C}/\text{min}$  to 800  $^\circ\text{C}$  under  $\text{N}_2$  and air. On a 409PC/PG thermal analyzer (Netzsch) together with the FTIR device, a sample was heated at 10  $^\circ\text{C}/\text{min}$  to 800  $^\circ\text{C}$  under  $\text{N}_2$  and scanned within 4,000–600  $\text{cm}^{-1}$  at a resolution of 4  $\text{cm}^{-1}$ . Compressive, flexural properties and SEM were analysed as reported before<sup>18</sup>. On the British FTT 0,007 cone calorimeter, a sample of 100  $\times$  100  $\times$  20  $\text{mm}^3$  wrapped in Al foil was tested at a power of 35  $\text{kW}/\text{m}^2$  as per ISO5660.

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C.Y.B. Performed the analysis, wrote the paper. Z.Y.S. Performing the experiments. L.H.H. Contributed data or analysis tools, provided some test instruments. Z.P. Contributed data or analysis tools, provided some test instruments. Y.H. Collected the data, collected part of data. X.Y. Conceived and designed the analysis, designed this work. P.Y.J. Collected the data, collected part of data. X.L.R. Collected the data, collected part of data. M.Z. wrote the paper, rewrote this paper. Y.H.Z. Conceived and designed the analysis, Designed this work.

## Competing interests

The authors declare no competing interests.

## Additional information

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