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OPEN Switchable Multi-Color Solution-Processed QD-laser

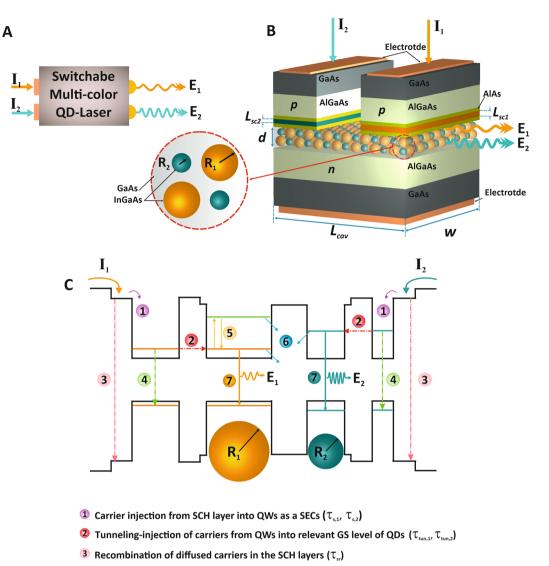
Samiye Matloub^{1*}, Pegah Amini¹ & Ali Rostami^{2,3*}

In this paper, for the first time, the switchable two-color quantum dot laser has been realized considering solution process technology, which has both simultaneous and lonely lasing capability exploiting selective energy contacts. Furthermore, both channels can be modulated independently, which is a significant feature in high-speed data transmission. To this end, utilizing superimposed quantum dots with various radii in the active layer provides the different emission wavelengths. In order to achieve the different sizes of QDs, solution process technology has been used as a costeffectiveness and fabrication ease method. Moreover, at the introduced structure to accomplish the idea, the quantum wells are used as separate selective energy contacts to control the lasing channels at the desired wavelength. It makes the prominent device have simultaneous lasing at different emission wavelengths or be able to lase just at one wavelength. The performance of the proposed device has been modeled based on developed rate equation by assuming inhomogeneous broadening of energy levels as a consequence of the size distribution of quantum dots and considering tunnel injection of carriers into the quantum dots via selective energy contacts. Based on simulation results, the simultaneous lasing in both or at one of two wavelengths 1.31 µm and 1.55 µm has been realized by the superimposition of two different sizes of InGaAs quantum dots in a single cavity and accomplishment of selective energy contacts. Besides, controlling the quantum dot coverage leads to managing the output power and modulation response at the desired wavelengths. By offering this idea, one more step is actually taken to approach the switchable QD-laser by the simple solution process method.

Nowadays, multi-wavelength lasers have been committed to numerous applications in the field of imaging, tomography and ultra-fast data communication. Thus, the ability to achieve simultaneous lasing at different wavelengths has been the subject of enormous research in the past few years¹⁻⁵. In addition, lasers have drawn great attention due to their wide potential applications in all-optical switching system⁶ and on/off switching behavior⁷, ultrafast photonics⁸⁻¹⁰, playing roles as the light irradiation source for cancer therapy¹¹⁻¹⁴, analyzing the photo-thermal properties¹⁵⁻¹⁷ and excitation source for Raman spectra measurement^{18,19}. Moreover, the high performance of lasers can be accomplished by utilizing quantum dots (QDs) in the active region of laser diodes. Hence, the quantum dot lasers (QD-Lasers) have been received great attention in recent decades among different types of laser structures²⁰⁻²⁵. The low threshold current, high modulation bandwidth, narrow linewidth, low-frequency chirp, and temperature stability are the superior advantages of QD-lasers due to the QDs' unique properties such as quantum confinement effect and delta-function-like density of states^{4,26-29}. Consequently, the realization of switchable multi-wavelength lasers based on QD-lasers has been promising high-speed data transmissions in the telecommunications world.

The solution process is cost-effectiveness and straightforward chemical method for synthesis QDs. Recently, many optoelectronic devices like QD-SOA, QD-LED, Luminescent Solar Concentrator, and QD-Infrared photodetector have been implemented utilizing solution-processed QDs³⁰⁻³⁴. In the solution process technology, the tunable size of QDs can be achieved by exploiting the simple chemical procedures. Basically, the size of QDs can be controlled by providing suitable experimental conditions such as the concentration of materials, temperature, pH and the speed of rotation of solvent^{35,36}. In this technology, the diameter range of QDs can be tuned from 1 nm to 10 nm^{30,31,37}. However, having the 0.1 nm size deviation for synthesized QD in the appropriate experimental condition is possible³⁷⁻³⁹. In better words, the different sizes of QD groups in the active region of laser diodes can be easily implemented based on the solution process method, leading to the realization of multi-wavelength

¹Quantum Photonics Research Lab (QPRL), University of Tabriz, Tabriz, 5166614761, Iran. ²Photonics and Nanocrystals Research Lab (PNRL), University of Tabriz, Tabriz, 5166614761, Iran. ³SP-EPT Lab., ASEPE Company, Industrial Park of Advanced Technologies, Tabriz, 5364196795, Iran. *email: matloub@tabrizu.ac.ir; rostami@ tabrizu.ac.ir



- 4 Recombination of carriers in the QWs (τ_{scr})
- 5 Carrier relaxation and re-excitation between GS to ES in the big QD ($T_{E \rightarrow G}, T_{G \rightarrow E}$)
- f 6 Non-radiative recombination of carriers in the QDs energy levels ($au_{
 m gr}, au_{
 m er}$)
- 7 Photon emission due to radiative recombination of carriers in the QDs ($au_{
 m s}$)

Figure 1. The schematic and performance of the proposed switchable two-color QD-laser utilizing SECs. (**A**) The block diagram of the proposed switchable two-color QD-laser. (**B**) The structure of two-color QD-laser with superimposing two different sizes of InGaAs QDs in active region and two SEC corresponding to each QD groups located between SCH layer and active region to tunnel injection of carriers directly into the related QD groups with the same energy levels. (**C**) The energy band diagram of the proposed structure including the carrier relaxation process into QDs.

QD-Laser⁴⁰. In the proposed multi-wavelength QD-Laser, the injected carriers diffuse in separated confinement heterostructure (SCH) layer and then relax into all QD groups. So, all of them start lasing simultaneously⁴⁰.

Controlling both the separate lasing and simultaneous lasing is accomplished by utilizing the concept of electron path channelization. The carriers injected to the SCH layer can be channeled into the specific QD groups in the active region, as a result of tunneling injection^{41–46} of carriers into each group of QDs via tunneling from separate selective energy contacts (SECs) between SCH and optical confinement layer of multi-wavelength QD-laser. In the proposed multi-color QD-laser by utilizing SECs, carriers with specific energy can be directly injected into the ground level of energy in selected QDs, leading to simultaneously or individually lasing of each QD groups.

In this paper, the switchable two-color QD-laser exploiting SECs have been introduced and designed for the first time. The aim of this proposed model is achieving to controllable lasing either simultaneously or singly at different emission wavelengths by SECs which can be useful in different applications such as laser gyroscope⁴⁷, optical spectroscopy and dense wavelength division multiplexing (DWDM)⁴⁸. In this device, illustrated in Fig. 1(A),

achieving the simultaneous or individually emission wavelengths in $1.31 \,\mu\text{m}$ and $1.55 \,\mu\text{m}$ can be possible by utilizing two different sizes of QDs in the active region. Both of the $1.31 \,\mu\text{m}$ and $1.55 \,\mu\text{m}$ are prevalent wavelengths for optical communication applications^{5,49–51}; thus, the investigations of this study are carried out in the emission regions that are determined by QDs' sizes. Moreover, two SECs are used each of which injected carriers into the related QDs via tunneling effects. Hence, both of the output emission can be modulated independently, which is a significant feature in high-speed data transmission. The SECs has been designed in a way that their energy levels are proportionate with the ground state (GS) of QDs. Therefore, the carriers directly injected into the GS of QDs, according to the injection of carriers in the relevant SECs, make them start lasing simultaneously or individually.

Last but not least, the proposed switchable two-color QD-laser can be easily implemented by solution process technology, which provides the low-cost and simple chemical system with controllable experimental conditions and permits us to synthesis tunable colloidal QDs, nevertheless, due to some insignificant limitation of synthesis conditions, attaining the exact size of QDs is limited. Therefore, the size distribution of each QD group leads to broadening in energy levels of QD-laser structure, which inhomogeneously broadening (IHB) of energy levels has to be considered modeling the performance of QD-laser in developed rate equation framework from the practical point of view^{20,52,53}.

The proposed switchable two-color QD-laser using SECs

As stated above, in this paper the switchable two-color solution-processed QD-laser exploiting SECs has been proposed by the ability to choose lasing wavelengths. In this QD-laser, the quantum well $(QW)^{44,54}$ is used as the SEC to control the lasing channels. Here, the most usual 1.31 µm and 1.55 µm wavelengths in the telecommunication applications are considered. As seen in the schematic view of the device structure in Fig. 1(B), for the realization of two-color lasing, two different sizes of InGaAs/GaAs QDs with radiuses of R₁ and R₂ are superimposed in the active region of QD-laser, so the lasing from two independent channels becomes possible. For facile access to this structure, the simple and low-cost solution process method is suggested, which is called "wet," and it provides the chemical system with controllable experimental conditions. The synthesis of colloidal QDs by chemical procedures in solution allows achieving tunable sizes of QDs^{30,55}; also the creation of suitable conditions for synthesis in this method is more convenient. The solution process is economical compared with the other expensive methods like epitaxial which needs a high-vacuum condition^{30,31}.

Injection of carriers into selected groups of QDs can be realized by SECs. Thus, the width of the QW is chosen as their energy state is the same with the GS energy of relevant QDs; then tunneling injection of carriers occurs via the barriers of QW into QDs, so they get localized in them. This process causes the fast transition of carriers³⁰ and improves the performance of QD-laser. Figure 1(B) exhibits the two-color QD-laser structure in which the active layer of QD-laser includes two different sizes of InGaAs QDs that is sandwiched by p and n-type AlGaAs as a carrier transition layer. The p and n-type GaAs are cladding layers. Also, the QW contacts contain the InGaAs well and AlAs barriers. As seen in the figure, current injection in each of the contacts can active them, therefore if the current is injected in both of the contacts, the output emission occurs in two colors, but the injection of current just in one contact leads to having one color emission; note that the key idea is the operation of SECs to control the output emissions, accordingly the title of switchable two-color QD-laser by selective contact can be chosen for this structure. The process of the system starts with the diffusion of carriers in the SCH layer by current injection after that the carriers relax into the QW SECs. The collection of carriers, tunnel from QW state into GS of QD, and then the transmitted carriers recombine radiatively or non-radiatively.

Figure 1(C) illustrates the carriers act in the energy band diagram of switchable two-color QD-laser by SEC. Obviously, the big QD that determines the channel1, has both GS and excited state (ES), whereas the small QD relates to channel2 with only GS. The carriers and photons dynamics like capture and relaxation in the QD describes the performance of QD-lasers. As it is shown in detail in the figure, at first, the diffusion of the carriers occurs in the SCH layer (τ_{si}) and then some of those carriers tunnels into the QDs ($\tau_{tun,i}$). These carriers recombine radiatively or non-radiatively; the lifetime constant of recombination of diffused carriers in the SCH layer and $\tau_{sc,p}$ respectively. In the big QDs, the carrier relaxed from ES to GS by the rate of $\tau_{E\rightarrow G}$; also some of the carriers re-excited from ES to GS by the rate of $\tau_{G\rightarrow E}$. Moreover, the non-radiative recombination of carriers in the QDs energy levels determine by τ_{Er} and τ_{Gr} . Finally, the stimulated emission leads to the photon emission from the ground state by the rate of τ_{p} .

Concept and Theoretical Modeling

In this section, the theoretical model is provided for the proposed structure. As mentioned before, the aim of this paper is achieving to a switchable two-color QD-laser by selective contacts. According to reports, some of the research groups have suggested the InGaAs QDs for QD-laser structure^{20,23,56}. Therefore, utilizing the two QDs of InGaAs/GaAs with radiuses of 2.47 nm and 3.85 nm in the active region leads to achieving two colors at an independent emission wavelength of 1.31 µm and 1.55 µm, respectively. Even though the solution process is a low-cost method which provides a simple chemical system to achieve controllable experimental condition to synthesize different sizes of QDs³⁸, However, due to the insignificant limitations of synthesizing condition, the exact size of QDs can't be attained; thus the energy levels of QDs is broadened inhomogeneously as a result of the size distribution of QDs²⁰ during the solution process method. Generally, as it is seen in Fig. 2(A), the IHB of energy levels has to be considered which is modeled by Gaussian function^{20,23,53}. The FWHM of the Gaussian function can take specific values as Γ_G . In addition, the effect of carrier-carrier and phonon-carrier scattering leads to the homogeneous broadening (HB) of energy levels which is presented by Lorentz shape²⁰.

One can realize from Fig. 2(B) that E-R relates to energy versus QD size; also, the suitable radius of QDs shows the resonant energies corresponding to desired emission wavelength; (0.8 eV and 0.946 relate to 1.55 μ m and 1.31 μ m, respectively). The diagram of Fig. 2(B) is obtained as the consequence of numerically solving the Schrödinger equation for switchable two-color InGaAs/GaAs QD-laser. As it is shown in Fig. 2(B), proportionate

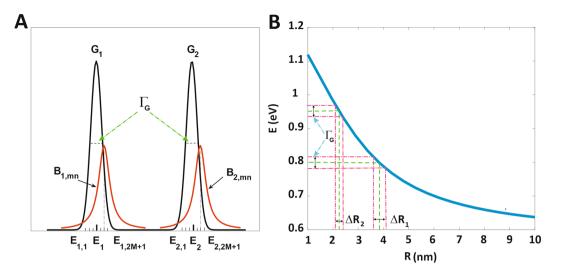


Figure 2. The IHB and HB of energy levels for two groups of QDs relevant to each emission channel. (**A**) The black solid indicates superimposition of two Gaussian profiles for two groups of QDs. The FWHM of each Gaussian profiles equals to Γ_G ; also the HB is included in the diagram (red curves). (**B**) The E-R diagram demonstrates energy of emission wavelengths as a function of QD radii (The minimum and maximum values of E are 0.8 eV for R_1 = 3.85 nm and 0.946 eV for R_2 = 2.47 nm respectively relates to 1.55 µm and 1.31 µm emission wavelengths). ΔR_1 and ΔR_2 show size distribution over both specific R_1 and R_2 appropriate to FWHM of Gaussian profiles; i.e. Γ_G .

to introduced Γ_G , there is size distribution over both specific R_1 and R_2 , each of which is denoted by ΔR_1 and ΔR_2 , respectively; note that ΔR_1 is broader than ΔR_2 . Following this result, achieving an efficient device is possible by the solution process method which provides high accuracy experimental conditions to synthesis a small radius of QD with 0.5 nm deviation and the big one with 0.1 nm^{40} . As reported, the performance of QD-laser is based on rate equations^{20,24,40}, so the analyzing proposed structure in this research by consideration of both IHB and HB of QD's energy levels is carried out based on developed rate equations. Selective low coverage of QDs in the structure causes the QDs not to have correlation and become isolated from each other⁴⁰.

Modeling Switchable Two-Color QD-Laser in Rate Equation Framework

According to the last reports, solving the rate equations is the most popular way to analyze the QD-laser dynamics^{20,24}. In this model, the rate equations are developed to calculate the characteristics of a two-color QD-laser, including SECs. Needless to say, the QDs without any correlation are isolated from each other and it is supposed that both the electron and hole are captured in pairs at GS of the QDs, which form an exciton; in addition, the escape of carriers from SCH layer is neglected, and the following set of developed rate equation is used just for electrons. Here, we assume two ensembles of QDs, each of which contains n = 1, 2, ..., 2M + 1 groups corresponding to the desired wavelengths. The energy width is described as the mode separation of each group and is given by

$$\Delta E = \frac{ch}{2n_r L_{cav}} \tag{1}$$

where *c* and *h* stand for the speed of light in vacuum and Planck's constant respectively, and L_{cav} is the length of the cavity. Due to the resonance of all modes, L_{cav} is selected relevant to the appropriate case. Therefore, L_{cav} equals to the multiple of the cavity length of each group⁴⁰,

$$L_{cav} = L_{cav1}L_{cav2} = \frac{a_1\lambda_1}{2n_r}\frac{a_2\lambda_2}{2n_r} = \frac{a\lambda_1\lambda_2}{(2n_r)^2}$$
(2)

where L_{cav1} and L_{cav2} correspond to the cavity length of first and second groups of QDs' ensemble. The cavity length should be selected in a way that the coefficients a, a_1 , and a_2 become integer. It is good to be mentioned that in this equation, only the value of each wavelength is considered and the calculated L_{cav} is expressed in μm^{40} . Considering solution process technology, the size of each QD group relates to the emission wavelength of each channel and can be deviated from the value R₁ or R₂, leading to the broadening of energy levels in each QD ensembles. The IHB of energy levels can be modeled by the Gaussian function²⁰ around the central interband transition energy of each channel, E_1 and E_2 , which is defined as

$$G_{i}(E_{i,n}) = \frac{1}{\sqrt{2\pi\xi_{0}}} \exp\left(\frac{-(E_{i,n} - E_{i})^{2}}{2\xi_{0}^{2}}\right)$$

 $i = 1, 2 \quad \& \quad n = 1, 2, ..., 2M + 1$
(3)

Also, the FWHM of IHB equals to $\Gamma_G = 2.35\xi_0 35$. The energy $E_{n,i}$ is given by,

$$E_{i,n} = E_i - (M - n)\Delta E \quad i = 1, 2 \quad \& \quad n = 1, 2, \dots, 2M + 1$$
(4)

The main mode of each group is defined as $E_1 = E_{1,M}$ and $E_2 = E_{2,M}$ that relate to 1.55 µm and 1.31 µm, respectively. In addition, the effect of temperature and various scattering mechanisms including carrier-carrier and phonon-carrier scattering leads to HB, which has a Lorentzian lineshape function²⁰ as defined by

$$\mathcal{B}_{i,mn}(E_{i,m} - E_{i,n}) = \frac{\hbar \Gamma_B / \pi}{(E_{i,m} - E_{i,n})^2 + (\hbar \Gamma_B)^2} \quad i = 1, 2$$
(5)

According to $B_{i,mn}$ the FWHM is $2\hbar\Gamma_B$ in which Γ_B is the polarization dephasing or scattering rate²⁰. In this equation $E_{1,m}$, $E_{1,n}$, $E_{2,m}$, $E_{2,n}$) refer to the energy of *m*th mode and *n*th group of big QDs, relevant to channel1 (small QDs corresponding to channel2). The QD laser's linear optical gain is based on density matrix theory and it is represented by

$$g_{i,mn} = \frac{2\pi q^2 \hbar N_{Di}}{cn_r \varepsilon_0 m_0^2} \frac{|P_i|^2}{E_{i,n}} (2P_{GSi,n} - 1) G_{i(E_{i,n})} \mathcal{B}_{i,mn} (E_{i,m} - E_{i,n}) \quad i = 1, 2$$
(6)

where n_r is the refractive index and N_{D1} (N_{D2}) is the density of QDs in channel1 (channel2) which is given by $\xi_i = N_{Di}V_{Di}$; here i = 1, 2 and ξ_1 (ξ_2) is QD's coverage of channel1 (channel2), and the volume of a QD with a radius of R_1 (R_2) is V_{D1} (V_{D2}). The sizes of $R_1 = 3.85$ nm and $R_2 = 2.47$ nm determine the lasing wavelength at 1.55 µm and 1.31 µm, respectively. When the HB of energy levels is small in comparison with IHB, the different sizes of QD groups have been spatially isolated from each, leading the optical gain of lasing modes independent of other modes. In better words, when the HB is approximately comparable to IHB, the resonant and non-resonant modes whose energy levels lie within the amount of HB have been considered in the optical gain of lasing modes. The occupation probability in the GS and ES of the QD's ensembles is in accordance with Pauli's exclusion principle which is defined by

$$P_{GSi,n} = \frac{N_{GSi,n}}{2N_{Di}V_A G_i(E_{i,n})} \quad i = 1, 2$$
(7)

$$P_{ES1,n} = \frac{N_{ES1,n}}{2N_{D1}V_A G_1(E_{1,n})}$$
(8)

where N_{GS1} and N_{GS2} are the carrier numbers of GS for channel1's QDs and channel2's QDs, respectively; also N_{ES1} is the carrier number of ES of channel1's QDs. The active region's volume is V_A , and the QDs' densities of each channel are given by N_{D1} , N_{D2} . In the optical gain formula, $|P_i|^2$ is the transition moment matrix element which is defined as

$$P_i^2 = |I_{cv}|^2 M_b^2 \tag{9}$$

According to the above formula, I_{cv} defines the overlap integral between the envelope functions of both a hole and an electron for each channel's QDs. M_b is got from k.p theory with the first-order interaction between the conduction and valence bands. The transition matrix element is given as follows, in which E_g is the bandgap, Δ is the spin-orbit, and m_e^* is the electron effective mass²⁰.

$$M_b = \frac{m_0^2}{12m_e^*} \frac{E_g(E_g + \Delta)}{E_g + \frac{2\Delta}{3}}$$
(10)

According to defined relations, as stated above, the rate equations of switchable two-color QD-laser by SEC are expressed by

$$\frac{dN_{si}}{dt} = \frac{I_i}{q} - \frac{N_{si}}{\tau_{si}} + \frac{N_{sci}}{\tau_{sc,e}} - \frac{N_{si}}{\tau_{sr}} \quad i = 1, 2$$
(11)

$$\frac{dN_{sci}}{dt} = \frac{N_{si}}{\tau_{si}} - \frac{N_{sci}}{\tau_{sc,e}} - \frac{N_{sci}}{\tau_{scr}} - \frac{N_{sci}}{\overline{\tau_{tun,i}}} \quad i = 1, 2$$
(12)

$$\frac{dN_{GS1,n}}{dt} = \frac{N_{sc1}}{\tau_{tn,1}}G_{1}(E_{1,n}) - \frac{N_{GS1,n}}{\tau_{Gr}} - \frac{N_{GS1,n}}{\tau_{G\to E}}(1 - P_{ES1,n}) + \frac{N_{ES1,n}}{\tau_{E\to G}}(1 - P_{GS1,n}) - \frac{c\Gamma}{n_{r}}\sum_{m=1}^{2M+1}g_{1,mn}S_{1,m}$$
(13)

$$\frac{dN_{ES1,n}}{dt} = \frac{N_{GS1,n}}{\tau_{G \to E}} (1 - P_{ES1,n}) - \frac{N_{ES1,n}}{\tau_{E \to G}} (1 - P_{GS1,n}) - \frac{N_{ES1,n}}{\tau_{Er}}$$
(14)

$$\frac{dN_{GS2,n}}{dt} = \frac{N_{sc2}}{\tau_{tn,2}} G_2(E_{2,n}) - \frac{N_{GS2,n}}{\tau_{Gr}} - \frac{c\Gamma}{n_r} \sum_{m=1}^{2M+1} g_{2,mn} S_{2,m}$$
(15)

$$\frac{dS_{i,m}}{dt} = \frac{\beta N_{GSi,n}}{\tau_{Gr}} + \frac{c\Gamma}{n_r} \sum_{n=1}^{2M+1} g_{i,mn} S_{i,m} - \frac{S_{i,m}}{\tau_p} \quad i = 1, 2$$
(16)

Note that, I_1 and I_2 are injected currents into SECs in channel1 and channel2, respectively. Here, q is the electron charge, $N_{s1}(N_{s2})$ is the carrier number of SCH layer of channel1 (channel2), $N_{sc1}(N_{sc2})$ is the carrier number of SEC related to channel1 (channel2), and $S_{1,m}(S_{2,m})$ are the photon number of *m*th mode of QDs corresponding to channel1 (channel2). The spontaneous emission coupling efficiency is defined by β . The lifetime of injected carriers from the SCH layer into SEC is defined by τ_{sp} and the τ_{tun1} ; the tunneling time of injected carrier from SEC into relevant GS level of QDs is τ_{tun2} and it will be explained in the next section. Diffused carriers are recombined in the SCH layer with $\tau_{sc,r}$. The carrier recombination in the SEC is given by τ_{sr} . The τ_{Gr} τ_{Er} are non-radiative recombination of carriers in the QDs energy levels. The carrier relaxation rate from ES to GS and carrier re-excitation from GS to ES in the big QD that are defined by $\tau_{E \to G}$ and $\tau_{G \to E}$ as follow²³

$$\tau_{E \to G}^{-1} = (1 - P_{GS1,n})\tau_{E \to G,0}^{-1}$$
(17)

$$\tau_{G \to E}^{-1} = (1 - P_{ES1,n}) \tau_{G \to E,0}^{-1}$$
(18)

Here, $\tau_{E \to G,0}^{-1}$ and $\tau_{G \to E,0}^{-1}$ are the initial relaxation and re-excitation rates when the GS and ES are empty, respectively. The initial relaxation and re-excitation time are related by²³

$$\tau_{G\to E,0} = \tau_{E\to G,0} e^{\frac{q(E_{ES}-E_1)}{KT}}$$
 (19)

where $(E_{ES} - E_1)$ is the energy difference between GS and ES in big QD. The photon emission of each channel is caused by the radiative recombination of carriers in both big and small QDs, and the photon lifetime of the cavity is defined by τ_p as follow²⁰

$$\tau_p^{-1} = \frac{c}{n_r} \left(\alpha_i + \frac{1}{2L_{cav}} \ln \frac{1}{r_1 r_2} \right)$$
(20)

where r_1 , r_2 are the cavity mirrors reflectivity and α_i is the internal loss. As mentioned above, the advantage of the proposed structure of this paper is the possibility of lasing at two emission wavelengths from both channels simultaneously or individually; therefore, the output power can be calculated from independent channels. Note that the evaluated output power of the laser is given from one of the mirrors as follow

$$P_{i,m} = \frac{cE_{i,m}S_{i,m}}{2n_r L_{cav}} \ln \frac{1}{r} \quad i = 1, 2$$
(21)

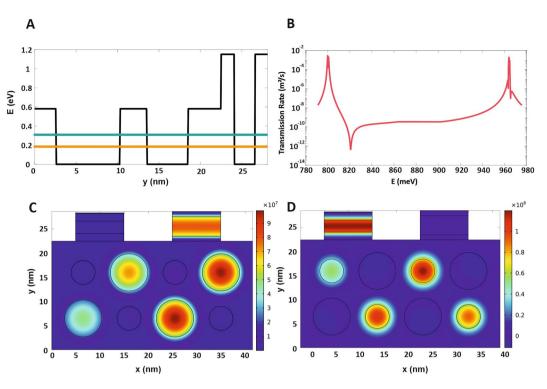
here the emitted photon energy from channel1 is $E_{1,m}$ with the main energy of 0.8 eV; also, $E_{2,m}$ equals to 0.946 eV as the main energy of channel2. The mirror reflectivity is r, which can be substituted with r_1 or r_2 .

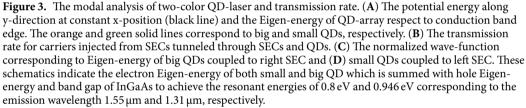
Selective Energy Contacts

Achieving to switchable two-color QD-laser is possible by using SECs, which can inject the electrons into the certain QDs. In addition, coupling the QW leads to the improvement of the fast transition of the carrier⁴⁴. In this model, utilizing two different sizes of QDs in the active region of QD-laser results in two-channel emission wavelengths while the lasing from each channel can be controlled by the independent SECs as an injection of carriers. Effective injections of carriers collection from SECs to QDs provide enhancement performance of the structure.

The transition of the carriers through QW to QDs is defined by tunneling rate, which is controlled by the material parameters and thickness of the barriers⁴⁵. Also, the thin enough barrier layers lead to effective tunneling through the SECs to QDs. Here, the QW and QDs are selected InGaAs, where the barriers are AlAs. Also, the energy levels of the QWs are proportional to QD's GS, i.e.; the thickness of the SEC should be selected in a way that the energy levels of SEC and QD's GS are equal.

The modal analysis of a switchable two-color QD-laser has been done by solving the Schrödinger equation using the Finite Element Method (FEM). The Eigen-energy and corresponding Eigen-function of the system and also the tunneling rate has been calculated. It is good to be mentioned that continuous Periodic Boundary Condition (PBC) is selected for boundaries along the x-axis for active region and SECs. The Eigen-energy of structure respect to the conduction band edge is illustrated in Fig. 3(A). The orange and green solid line corresponds to big and small QDs, which is coupled to relating SECs. The transmission rate of injected electrons from each SEC to QDs along the y-axis has been calculated and depicted in Fig. 3(B). As shown in this figure, there are two peaks corresponding to the main mode of each QD group at 0.8 eV and 0.946 eV. The wavefunction of each QD group coupled to relevant SEC has been shown in Fig. 3(C),(D) for channel1 and channel2, respectively. According to Fig. 3(C),(D) the electrons injected from the right (left) SEC coupled to big (small) QDs and





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tunneled between QDs. The tunneling time $\tau_{tn,i}$ is defined as follows, which T_{trans} is the transmission rate of carriers and N_{SDi} is the surface density of QDs ensemble⁴⁴.

$$\tau_{tn,i}^{-1} = \tau_{t0,i}^{-1}(1 - P_{GS1,n}) = \frac{1}{T_{trans}N_{SDi}}(1 - P_{GS1,n}) \quad i = 1, 2$$
(22)

where $\tau_{t0:i}^{-1}$ is initial tunneling time when GS is empty. The average carrier tunneling lifetime is $\overline{\tau_{tun,i}}$ and it is given by

$$\overline{\tau}_{tun,i}^{-1} = \sum_{n=1}^{2M+1} \tau_{tn,i}^{-1} G_i(E_{i,n}) = \sum_{n=1}^{2M+1} \tau_{t0,i}^{-1} (1 - P_{GS1,n}) G_i(E_{i,n}) \quad i = 1, 2$$
(23)

The Dynamic Behavior of Switchable Two-Color QD-Laser

The dynamic behavior of the two-color QD-laser has been simulated by the calculation of the small-signal modulation response^{26,51,57}. To this end, a current step has been applied to each SECs as follows

$$i_i(t) = I_i U(t) + \Delta I_i U(t - t_0)$$
 $i = 1, 2$ (24)

where U(t) is a unit step function, I_1 and I_2 are the bias current of channel1 and channel2, respectively. The bias currents have to be larger than the threshold current of the related channel. The ΔI_i (ΔI_1 and ΔI_2) is the small step perturbation which is much smaller than threshold current, applied to each channel. The duration of the current step has to be long enough to ensure that the transient response settles down. The improved rate Eqs. (11–16), taking into account the modulated current for each channel mentioned in Eq. (24), have to be solved based on the fourth-order Runge-Kutta method. The small-signal modulation response of a two-color QD-laser can be obtained by the Fourier transform of photon densities considering the small step current.

The Switchable two-Color QD-Laser utilizing SECs

The switchable two-color QD-laser using SECs has been characterized by solving the improved rate Eqs. (11–16) based on fourth-order Runge-Kutta method, in order to time evaluation of carriers and photon densities. The output power emitted in accordance with the biasing of each channel can be obtained through photon densities at a steady-state. Hence, the lasing spectra relevant to each channel of the proposed QD-laser have been calculated

Symbol	Value	Description
<i>R</i> ₁	3.85(nm)	Quantum dot radius
R ₂	2.47(nm)	Quantum dot radius
$V_{Di} (m^3)$	$\frac{4}{3}\pi R_{i}^{3}$	Volume of a QD
Г	6% ²⁰	Optical confinement factor
<i>r</i> ₁ , <i>r</i> ₂	0.3, 0.9 ²⁰	Mirrors reflectivity
α_i	600(cm ⁻¹) ²⁰	Internal loss
d	10(µm) ²⁰	Stripe width
V_A	$1.62 \times 10^{-16} (m^3)$	The volume of the active region
L _{cav}	812.2(μm)	Cavity length
β	10 ⁻⁴²⁰	Spontaneous emission coupling efficiency
n _r	3.5 ²⁰	Refractive index
Eg	0.587(eV)	The bulk semiconductor's bandgap of QD
<i>m</i> [*] _e	0.034m ₀	The electron effective mass of QD
E_{g-QW}	2.16(eV) ⁵⁸	The bulk semiconductor's bandgap of QW
$m_{e_QW}^*$	$0.022m_0^{58}$	The electron effective mass of QW
τ_{si}	1(ps) ²⁰	diffusion of the carriers in the SCH layer
τ_{sr}	2.8(ns) ²⁰	Carrier recombination time in the SCH layer
$\tau_{sc,r}$	2.8(ns) ²⁰	Carrier recombination time in the SECs
$\tau_{E \to G}$	3(ps)	Initial carrier relaxation lifetime
$\tau_{Gr} \tau_{Er}$	2.8(ns) ²⁰	Carrier recombination lifetime in the QD

 Table 1. Simulation parameters for the proposed model.

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on the steady-state output power of each main mode. Moreover, calculating the steady-state carrier densities in GS of big and small QDs leads to obtaining the gain spectra relating to each channel.

In the suggested model, the re-excitation of carriers are ignored; we assumed at first all the carriers are injected in the SCH layer, then those tunnel from the contact to the QDs; in this assumption, the escape of the carriers from SCH layer is neglected. The utilization of QDs in different sizes, observed in this type of QD-laser, is provided by solution process technology, which is a simple and low-cost method. In this process, the simple condition of the chemical system permits to achieve tunable sizes of QDs that are used in the high-performance devices. In the solution process, the QD size deviation of around 0.1 nm can be possible in the appropriate experimental condition of the chemical procedure, which causes the broadening of origin energy. Therefore, the size fluctuation of QDs always occurs in the QDs ensemble, and efficient tunneling will be done within a certain range of QDs' size. For this reason, the IHB is assumed about 5 meV. In addition, the HB is assumed 20 meV at room temperature. The parameters of modeled tunneling-injection QD-laser are given in Table 1.

In this paper, the switchable two-color QD-laser using SECs is investigated by assuming the two wavelengths, $1.31 \,\mu\text{m}$ and $1.55 \,\mu\text{m}$, which have special positions in telecommunication applications. The radiuses of spherical QDs in the active region are $3.85 \,\text{nm}$ and $2.47 \,\text{nm}$, and the wavelength difference of about 240 nm is an appropriate magnitude to the independent lasing for these wavelengths without overlapping. The prominent idea in this work is introducing the switchable QD-laser, which can provide emission lasing just at one wavelength or at two wavelengths simultaneously. To prove this idea in the following, the output characteristics of this QD-laser is investigated.

Based on described rate equations in the previous section, the output power intensity depends on QD coverage and the photon numbers, which can be controlled by injection current at each wavelength. Thus, the high intensity of output power for two different wavelengths is attained at specific QD coverage, so the coverage of big QDs is considered $\xi_1 = 0.07$, whereas the small one is $\xi_2 = 0.05$. Furthermore, at 1.31 µm, the output power value gets efficient due to the effective carrier transition of channel2 (1.31 µm) in comparison with channel1 (1.55 µm) at I_{th} = 14 mA. Also, for both channels, the FWHM of HB and IHB are assumed to $2\hbar\Gamma_B = 20$ meV and $\Gamma_G = 5$ meV, respectively.

Figure 4(A) illustrates the output power intensity of big QDs ensemble when the current is injected just to the related contact; in other words, there is no current injection to the other contact, so the lasing only occurs at 1.55 μ m. The same as the previous performance which was applicable for its contact and is shown in Fig. 4(B), lasing occurs just at 1.31 μ m. The super-strength of this suggested model is the selectivity of emission wavelength, in which the existence of different sizes of QDs and the related QW contacts allow controlling the lasing emission wavelength. Figure 4(C) shows the output power when the current is injected into both contacts and the lasing occurs in two wavelengths simultaneously so we can see the output power intensity in both of them, the intensity of output power in channel1 and channel2 equals to 12.3 mW and 12.95 mW. The Fig. 4(D),(E) shows the optical gain spectrum of big QDs (small QDs) when the injection current to channel1 (channel2) is I₁ = 2.5I_{th} = 35 mA. Since there is no current injection into channel2 (channel1), so the negative gain can be noticed which is determined by the green curve (orange curve). Finally, Fig. 4(F) depicts the optical gain spectrum at both 1.55 μ m and 1.31 μ m when the current is injected in both channels simultaneously.

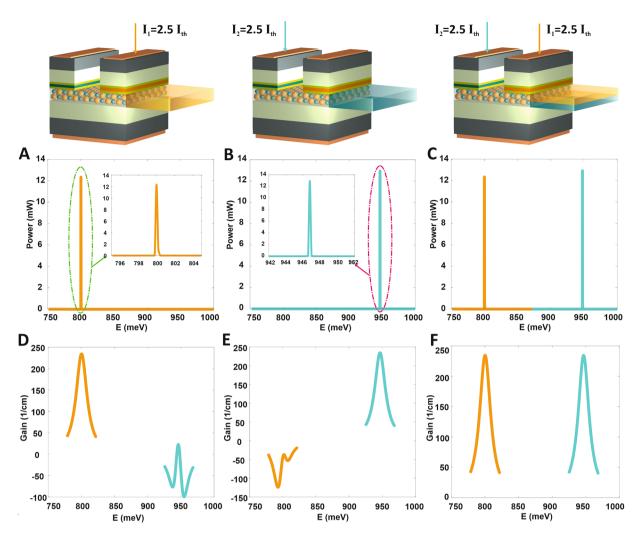


Figure 4. The light emission spectrum and optical gain spectrum of switchable two-color QD-laser. (**A**) The output power spectrum for big QDs relates to 1.55 µm when the current only is injected to channel1 and (**B**) for small QDs relates to 1.31 µm when the current only is injected to channel2. (**C**) The orange and green solid lines correspond to big and small QDs output powers spectrum, respectively. The injection current is carried out simultaneously in both channels. (**D**) The orange solid curve is the optical gain spectrum of big QDs and relates to 1.55 µm, as the green curve relates to small QDs with no injection current. (**E**) The small QDs optical gain spectrum is shown by green curve and relates to 1.31 µm, and the orange one relates to big QDs with no injection current. (**F**) The orange and green solid lines correspond to big and small QDs optical gain spectrum, respectively. The injection current is carried out simultaneously in both of them (**C**) equal to $I_1 = 2.5I_{th}$. $I_2 = 2.5I_{th}$. Note that in both channel $I_{th} = 14$ mA. The QD coverage of big and small QDs are $\xi_1 = 0.07$ and $\xi_2 = 0.05$. In both channel1 and channel2 the FWHM of HB and IHB are set to $2h\Gamma_B = 20$ meV and $\Gamma_G = 5$ meV, respectively. The resonant energies of 0.8 eV and 0.946 eV correspond to the emission wavelength 1.55 µm and 1.31 µm, respectively.

In Fig. 5(A) by assuming $\xi_1 = 0.07$ and $\xi_2 = 0.05$, at injected current $I_1 = I_2 = 2.5I_{th}$ ($I_{th} = 14 \text{ mA}$) the transient response of output power characteristics for the switchable two-color QD-laser using SECs are illustrated for both big QDs (orange line) and small QDs (green line) corresponding to the wavelengths of 1.55 µm and 1.31 µm, respectively while the FWHM of HB and IHB are considered to $2\hbar\Gamma_B = 20 \text{ meV}$ and $\Gamma_G = 5 \text{ meV}$. As shown in this figure, the turn-on delay time of big QDs is smaller than the small ones.

The output power as a function of injected currents for switchable two-color QD-laser using SECs has been shown in Fig. 5(B). Here, I_i sets to I₁ and I₂ which relates to channel1 (1.55 μ m) and channel2 (1.31 μ m). The orange solid curve relates to 1.55 μ m and the green solid one to 1.31 μ m. By increasing the injected current, the output power corresponding to each wavelength is enhanced linearly with different slope efficiency. Here, as it is seen, the threshold current of both channels is almost equal and it is about 14 mA, despite the different considered coverage of big and small QDs, where $\xi_1 = 0.07$ and $\xi_2 = 0.05$. In other words, applying injection current in both channels simultaneously where their QD coverage is different, leads to the population inversion occurs at the same current in both big and small QD group. As another impact, the output power variation with injection current has been investigated for two different FWHM of IHB ($\Gamma_G = 5 \text{ meV}$ and 10 meV). The comparison of

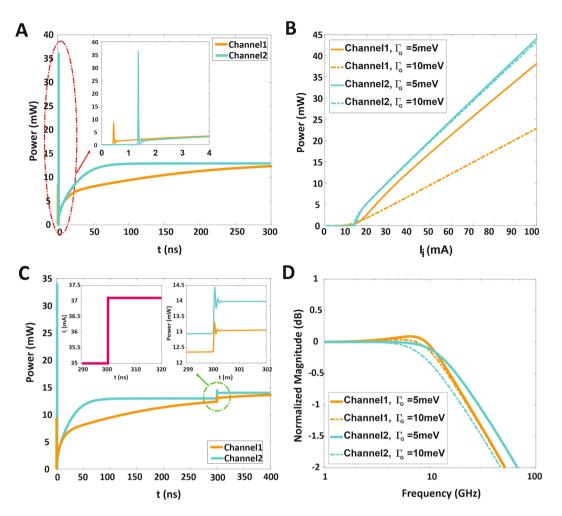


Figure 5. The transient response and output power of two-color QD-laser. (**A**) The transient response of output power for 1.55 µm and 1.31 µm at $\xi_1 = 0.07$, $\xi_2 = 0.05$ and the FWHM of IHB are set to $\Gamma_G = 5$ meV, respectively. (**B**) The output power versus injected current for the central lasing mode at two wavelengths 1.55 µm and 1.31 µm, I_i sets to I₁ and I₂, also the FWHM of IHB is considered $\Gamma_G = 5$ meV and 10 meV. (**C**) The transient response of output power results for applying the step perturbation, $\Delta I_i = 0.05 I_b$, the bias current is assumed 2.5I_{th} and I_{th} = 14 mA. (**D**) Modulation response for 1.55 µm and 1.31 µm with IHB as a parameter ($\Gamma_G = 5$ meV and 10 meV). In All figures (**A**-**D**) the FWHM of HB is $2\hbar\Gamma_B = 20$ meV and $\xi_1 = 0.07$, $\xi_2 = 0.05$; also the orange and green lines correspond to big and small QDs, respectively.

solid and dashed orange curves (channel1) in Fig. 5(B) demonstrates that the output power is enhanced linearly by increasing the injected current in both cases but their slope efficiency is different. Hence, at special injected current, while $\Gamma_G = 10 \text{ meV}$ the output power value is smaller than it in $\Gamma_G = 5 \text{ meV}$. When the FWHM of IHB is considered 10 meV, the more QD group should have lied within the HB of the central group (corresponds to the resonant mode of each QD group), but considering narrow transmission rate cannot satisfy all the QDs, and the output power decreases. Furthermore, the existence of both ES and GS in big QD leads to carrier transition between them, and due to the lack of carriers in GS, the output power can be decreased. Conversely, in channel2, the output power intensity for both $\Gamma_G = 5 \text{ meV}$ and 10 meV gets the same slope efficiency. It is presumed that the small QD has just GS; thus the carriers emitting into the central mode become prominent; this leads to the stability of output power while increasing FWHM of IHB from 5 meV to 10 meV.

Additionally, Fig. 5(C) illustrates the transient response of channel1 (1.55 μ m) and channel2 (1.31 μ m). In order to calculate, the step perturbation ($\Delta I_i = 0.05I_b$ and $I_b = 2.5I_{th}$) is applied in both channels after the output power reaches steady-state at t = 300 ns; note that it is much smaller than threshold current (the pink curve inset of Fig. 5(C)). Also, in both channels, variation of output power, in comparison with its initial value, should be restricted to very small values. Evidently, taking into account the long enough length of the current step leads to transient response settles down (solid orange and green corresponding to 1.55 μ m and 1.31 μ m, respectively). In continuation to the explanation, Fig. 5(D) shows the numerical results of the modulation responses in both channels which are calculated analytically from Eq. (24), by assuming $\Gamma_G = 5 \text{ meV}$ and 10 meV, while the bias current is considered 2.5I_{th} for both channels (1.55 μ m and 1.31 μ m); also $\xi_1 = 0.07$ and $\xi_2 = 0.05$. Here in figure, solid and dashed orange curves correspond to $\Gamma_G = 5 \text{ meV}$ and 10 meV of channel1 (1.55 μ m).

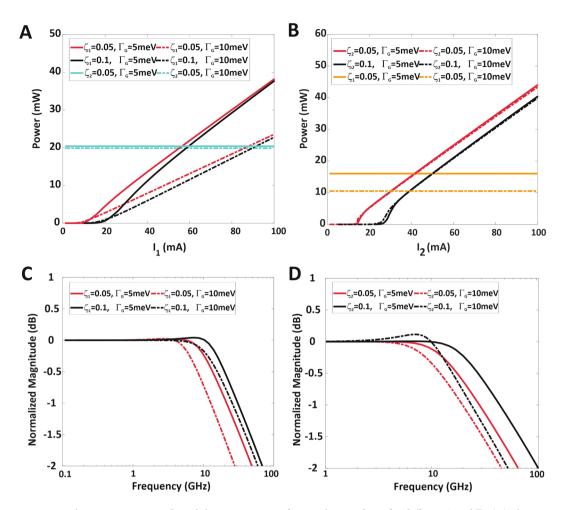


Figure 6. The output power and modulation response of two-color QD-laser for different ξ and Γ_G (**A**) The output power versus injected current for the central lasing mode at 1.55 µm radiation wavelength when its QD coverage (big QD) is $\xi_1 = 0.05$ and 0.1 at $\Gamma_G = 5$ meV and 10 meV (**B**) The output power versus injected current for the central lasing mode at 1.31 µm radiation wavelength when its QD coverage (small QD) is $\xi_2 = 0.05$ and 0.1 at $\Gamma_G = 5$ meV and 10 meV (**B**) with $\Gamma_G = 5$ meV and 10 meV for $\xi_1 = 0.05$ and 0.1 meV (**C**) Modulation response of 1.55 µm (big QD) with $\Gamma_G = 5$ meV and 10 meV for $\xi_2 = 0.05$ and 0.1 .(**D**) Modulation response of 1.31 µm (small QD) with $\Gamma_G = 5$ meV and 10 meV for $\xi_2 = 0.05$ and 0.1 .The transient response of output power results in applying the step perturbation, $\Delta I_i = 0.05I_b$, the bias current is assumed 2.5I_{th}. In All figures (**A**-**D**) the FWHM of HB is $2\hbar\Gamma_B = 20$ meV.

As it is observed in this figure, the QD size distributions (IHB) leads to small variation over the modulation bandwidth which can be ignored (the modulation bandwidths equal about 11 GHz). It should be mentioned that in channel1 if FWHM of IHB takes a high value, the output power declines. So, achieving the efficient operation for channel1 is possible by choosing the suitable FWHM of IHB which can be controlled by ΔR_1 . Consequently, in channel1, the size of QD may have a deviation of $\Delta R_1 = 0.12$ nm for QD radii of $R_1 = 3.85$ nm which causes the broadening of origin energy. In this case, $\Gamma_G = 5$ meV and it is worthy of mention that, by using the solution process method, it is possible to obtain very uniform and precise QDs with 0.12 nm size deviation.

Besides, in Fig. 5(D), the solid and dashed green curves are depicted the modulation response behavior of channel2 (1.31 µm). Although increasing the QD size distributions (IHB) can vary the modulation bandwidth, the output power still remains stable. Therefore, the comparison of curves leads to the observation of 11 GHz modulation bandwidth at $\Gamma_G = 5$ meV while 11 GHz belongs to $\Gamma_G = 10$ meV. Considering deviation about $\Delta R_2 = 0.1$ nm for a specific small QD radius $R_2 = 2.47$ nm and the possibility of achieving the unique size by solution process technology, leads to select $\Gamma_G = 5$ meV for channel2.

The output power intensity corresponding to the wavelengths of 1.55 μ m and 1.31 μ m as a function of injected current for various QD coverage at both $\Gamma_G = 5 \text{ meV}$ and 10 meV is demonstrated in Fig. 6(A),(B), respectively. Considering various numbers of coverage for big QDs (channel1 corresponds to 1.55 μ m) and small QDs (channel2 corresponds to 1.31 μ m) at constant injected current, due to the lack of carriers, the number of occupied big (small) QDs, lying within the scope of central mode of big (small) QDs, decreases when the coverage of big (small) QDs increases; therefore, the corresponding output power intensity reduces, and the threshold current increases as illustrated in Fig. 6(A),(B). In other words, by increasing the coverage of big (small) QDs in

both channels, higher injection current is needed to achieve the threshold gain and start lasing. As Fig. 6(A),(B) depicts, in all cases by increasing the injection current, the output power increases linearly.

In Fig. 6(A), the current injected in channel1 varies gradually and the current injection to channel2 is constant. Hence, by considering $\xi_2 = 0.05$, as it is seen, the solid and dashed green lines for both $\Gamma_G = 5 \text{ meV}$ and 10 meV, shows the output power has constant value equaling to 20 mW. As explained earlier, by comparing the different slop efficiency of output power curves at $\Gamma_G = 5 \text{ meV}$ and 10 meV, it is revealed that high value of IHB ($\Gamma_G = 10 \text{ meV}$) leads to more QD group lying within the HB of central group, while the narrow transmission rate cannot satisfy all the QDs, so at the same QD coverage, the output power intensity of $\Gamma_G = 10 \text{ meV}$ is lower than it for $\Gamma_G = 5 \text{ meV}$.

Similarly, the comparison of curves in Fig. 6(B) demonstrates that the coverage of big QDs is constant ($\xi_1 = 0.05$) and the current injection into channel1 is constant (solid and dashed orange lines show the output powers at $\Gamma_G = 5$ meV and 10 meV, which equal to 10.5 mW and 16 mW, respectively). As described previously, increasing the coverage of small QDs causes threshold current increase; also, the slope efficiency of curves for both $\Gamma_G = 5$ meV and 10 meV isn't different due to the existence of only GS in small QDs and prominent carrier emitting into the central mode.

Based on the transient response result, the modulation response is analyzed for different coverage of big and small QDs for channel1 (1.55 μ m) and channel2 (1.31 μ m) that are depicted in Fig. 6(C),(D), respectively. As shown in both figures, the modulation bandwidth depends on QD coverage and by their variation, it can change. Therefore, numerical results demonstrate that by increasing QD coverage, a high value of modulation bandwidth can be obtained in both channels. As mentioned above, calculating the modulation behavior is carried out by applying very small step perturbation, which is determined by bias current (($\Delta I_i = 0.05I_b$) and depends on threshold current ($I_b = 2.5I_{tb}$).

On the other hand, by increasing QD coverage, higher injection current is needed to achieve the threshold gain, so the threshold current increases. In better words, broader bandwidth can be achieved by high bias current as a result of increasing QD coverage, which leads to a high threshold current. In both Fig. 6(A),(B), the FWHM of HB equals to 20 meV; also $\Gamma_G = 5 \text{ meV}$ and 10 meV. Both figures illustrate the QD size distributions (FWHM of IHB equal to 5 meV and 10 meV) that lead to small variation over the modulation bandwidth. So, the solution process is a low-cost method which can easily control the QD radii and provide unique QDs with minimum size deviation to achieve high-performance device. The resultant maximum value of modulation bandwidth in channel1 (Fig. 6(A)) at $\xi_1 = 0.1$ and $\Gamma_G = 5 \text{ meV}$ is about 14 GHz (solid black curve) and for channel2 (Fig. 6(B)) at $\xi_2 = 0.1$ with $\Gamma_G = 5 \text{ meV}$ is about 18 GHz (solid black curve). Also, it should be borne in mind that both of the output emissions can be modulated independently in this proposed structure.

Conclusion

In this paper, the switchable two-color QD-laser utilizing SECs by considering the solution process technology has been proposed for the first time. The realization of controlling the lasing channels at different wavelengths is possible by utilizing the QWs as separate selective energy contacts. Furthermore, it was shown that two-color lasing at 1.31 µm and 1.55 µm was achieved by implementing two different radii of InGaAs/GaAs quantum dots in the active layer. Moreover, simultaneously or individually lasing of the proposed switchable two-color QD-laser is modeled based on modified rate equations. The investigation was carried out by considering inhomogeneous broadening as a result of the size distribution of QDs and considering tunnel injection of carriers into the QDs via SECs. Besides, the effect of QD coverage and changing FWHM of IHB on the threshold current, output power intensity and modulation response of each lasing channel were discussed. Controlling the QD coverage and FWHM of inhomogeneous broadening leads to provide customized output power peak value and modulation bandwidth. Finally, yet important, in this work, the design of switchable two-color QD-laser using separate SECs capability choosing the lasing channel was proposed, and the solution process method as the realization of this structure was presented. Furthermore, both channels can be modulated independently, which is a significant feature in high-speed data transmission.

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Author contributions

Ali Rostami conceived the concept of a two-color QD-laser using SECs considering the solution process. Ali Rostami and Samiye Matloub developed theoretical modeling of the proposed structure. Samiye Matloub and Pegah Amini performed the simulations under Ali Rostami's supervision, and all authors wrote the manuscript.

Competing interests

The authors declare no competing financial (including funding, employment and personal) and nonfinancial (including unpaid memberships in organizations or advisory positions, writing, consulting and acting as an expert witness) interests.

Additional information

Correspondence and requests for materials should be addressed to S.M. or A.R.

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