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OPEN Genomic characterization of an emerging bla_{KPC-2} carrying **Enterobacteriaceae clinical isolates** in Thailand

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The rapidly increasing prevalence of carbapenem-resistant Enterobacteriaceae (CRE) over the past decade has increased concern in healthcare facilities and the impact on public health. The prevalence of bla_{KPC} (KPC) in Thailand remains very low; only bla_{KPC-13} has been described previously. This study is the first to describe the characteristics of bla_{KPC-2} -carrying Klebsiella pneumoniae, Escherichia coli, and Enterobacter asburiae in Thailand. The prevalence rate of bla_{KPC-2} -carrying isolates was 0.13% among CRE isolates in our study. Based on carbapenem susceptibility testing, K. pneumoniae C1985 was resistant to meropenem and ertapenem, E. coli C1992 was resistant to meropenem, imipenem, and ertapenem, and E. asburiae C2135 was only resistant to imipenem. K. pneumoniae C1985 carried blakPC-2, bla_{SHV-11}, fosA, oqxA, and oqxB, while E. coli C1992 contained bla_{KPC-2} and mdf(A) and E. asburiae C2135 harbored bla_{KPC-2} , bla_{ACT-2} , and qnrE1. The genetic features of bla_{KPC-2} in the 3 isolates revealed identical rearrangement and flanking regions. Analysis of genomic sequences from these 3 isolates revealed that the sequence types of K. pneumoniae C1985, E. coli C1992, and E. asburiae C2135 were ST4008, ST7297, and ST1249, respectively. The 3 bla_{KPC-2} isolates were from individual living cases. Two cases were colonization for K. pneumoniae C1985 and E. asburiae C2135 and the third case was hospital-acquired infection of *E. coli* C1992. Although the prevalence of *bla*_{KPC-2}-carrying CRE is relatively low in this study, continued surveillance and close monitoring are warranted. In addition, prompt or early detection of CRE and strict implementation of infection control are essential to prevent outbreaks or rapid spread in hospitals.

The rapidly increasing prevalence of carbapenem-resistant Enterobacteriaceae (CRE) over the past decade has increased concern in healthcare facilities and public health communities worldwide^{1,2}. CRE such as Escherichia coli, Klebsiella pneumoniae, Enterobacter spp., and Citrobacter spp. produce a variety of carbapenemases. There are three main groups of enzymes responsible for most carbapenem resistance: KPC (Klebsiella pneumoniae carbapenemase) (Ambler class A), Metallo-ß-Lactamases (Ambler class B) such as NDM and IMP, and OXA-48-like (Ambler class D)². These enzymes are encoded by bla_{KPC} , bla_{NDM} , bla_{IMP} and $bla_{\text{OXA48-like}}$, respectively, and are frequently found worldwide². In Thailand, the prevalence of CRE during 2000-2018 increased from 1% to 10.2% for imipenem and from 1.2% to 10.2% for meropenem in K. pneumoniae, while the resistance rate in E. coli increased from 1% to 2.4% for impenem and from 0.6% to 2.6% for meropenem, respectively (http://narst.dmsc.moph.go.th/). Carbapenemase genes have been detected in Enterobacteriaceae in Thailand including bla_{NDM-1} , $bla_{IMP-14a}$, $bla_{\text{KPC-13}}, bla_{\text{OXA-48}}, bla_{\text{OXA-181}}, \text{ and } bla_{\text{OXA-232}}^{3-6}$.

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	K. pneumoniae C1985		E. coli C1992		E. asburiae C2135	
Antimicrobials	MIC	Interpreted	MIC	Interpreted	MIC	Interpreted
Amoxicillin/clavulanic acid	>16	R	>16	R	>16	R
Piperacillin/tazobactam	>64	R	>64	R	>64	R
Cefepime	2	S	8	SDD	2	S
Cefotaxime	4	R	16	R	8	R
Ceftazidime	8	Ι	16	R	4	S
Ceftriaxone	16	R	>32	R	32	R
Ertapenem	1	Ι	4	R	≤ 0.5	S
Imipenem	1	S	4	R	4	R
Meropenem	2	Ι	4	R	2	Ι
Doripenem	1	S	2	Ι	≤ 0.5	S
Amikacin	≤ 8	S	≤ 8	S	≤ 8	S
Gentamicin	≤ 2	S	≤ 2	S	≤ 2	S
Ciprofloxacin	≤ 0.06	S	\leq 0.06	S	≤ 0.06	S
Levofloxacin	≤ 0.06	S	≤ 0.06	S	≤ 0.06	S
Netilmicin	≤ 8	S	≤ 8	S	≤ 8	S
Trimethoprim/sulfamethoxazole	≤ 1	S	≤ 1	S	≤ 1	S
Colistin	≤ 1	WT or S*	≤ 1	WT or S*	≤ 1	WT or S*
Tigecycline	≤ 0.25	S*	≤ 0.25	S*	0.5	S*

Table 1. Susceptibility profiles of all bla_{KPC-2} -containing isolates. R = resistance; I = intermediate;S = susceptible; SDD = susceptible dependent dose; WT = wild type. *interpret following EUCAST, 2019.

KPC was first reported in *K. pneumoniae* from the USA in 1996 and is endemic in the USA, Colombia, Argentina, Israel, Greece, Italy, and China². In Thailand, KPC-13 (*bla*_{KPC-13}) was first described in Bangkok in 2014; however, other KPC types have not been detected⁴. The current study is the first to describe the characteristics of KPC-2-carrying *K. pneumoniae, E. coli*, and *Enterobacter asburiae* from rural areas in Thailand.

Results

Clinical information of bla_{KPC-2} -carrying patients. The 3 bla_{KPC-2} isolates were from individual living cases. *K. pneumoniae* C1985, *E. coli* C1992, and *E. asburiae* C2135 were isolated from urine of a 67-year-old female, from pus in the right arm of a 45-year-old male, and from sputum of a 43-year-old female, respectively. The diagnosis of the 45-year-old male was cellulitis in the right arm with septicemia; this case was classified as a hospital-acquired infection of *E. coli* C1992. Hospitalization of this case was at internal medicine for 14 days in September 2018. The diagnosis of the 67-year-old female was cholangiocarcinoma and this case was classified as colonization by *K. pneumoniae* C1985. This patient was admitted to the surgery ward during December 2018 (5 days). Finally, a breast cancer was diagnosed in the 43-year-old female and this case was classified as colonization by *E. asburiae* C2135 and the patient was admitted to the cancer ward for 14 days between March and April 2019. Meropenem treatment was used for the 45-year-old male (*E. coli* C1992), while the 67-year-old female (*K. pneumoniae* C1985) was treated with piperacillin-tazobactam and then this was changed to meropenem. No antibiotics were used to treat the *E. asburiae* C2135 case in the 43-year-old female.

Genomic characterization of bla_{KPC-2} -carrying isolates. In September 2015, we established a surveillance network to detect carbapenemase genes in CRE isolates from hospitals in 11 provinces in Thailand consisting of: Surin, Udonthani, Sakhon Nakhon, Nakhon Phanom, Roi Et, Bueng Kan, Mukdahan, Tak, Phayao, Chumporn, and Surat Thani. The network is still operational. Of 2,245 CRE isolates, 3 isolates harboring bla_{KPC} (0.13%) were detected using multiplex PCR. These isolates were from a hospital in Surin province, northeastern Thailand. Biochemical identification revealed 2 isolates were *K. pneumoniae* (strains C1985 and C2135) and the other isolate was *E. coli* (strain C1992). However, matrix-assisted laser desorption ionization-time of flight mass spectrometry (MALDI-TOF MS Biotyper, Bruker, Daltonics, Germany) identification revealed isolates no. C1985, C1992, and C2135 were *K. pneumoniae, E. coli*, and *E. asburiae*, respectively. Comparison of genomic sequences using KmerFinder and the ANI calculator demonstrated that isolate C2135 was *Enterobacter asburiae*, while isolate C1985 was *K. pneumoniae* and isolate C1992 was *E. coli*.

The MIC values for the 18 antimicrobial agents are shown in Table 1, *K. pneumoniae* C1985 was resistant to 4 agents (amoxicillin/clavulanic acid, cefotaxime, ceftriaxone, and piperacillin/tazobactam). This isolate was intermediately resistant to meropenem and ertapenem. *E. coli* C1992 was resistant to 8 antibiotics (amoxicillin/clavulanic acid, cefotaxime, ceftriaxone, meropenem, imipenem, ertapenem, and piperacillin/tazobactam). Finally, *E. asburiae* C2135 was resistant to amoxicillin/clavulanic acid, cefotaxime, ceftriaxone, meropenem, imipenem, and piperacillin/tazobactam. ResFinder and CARD analysis of all genomic isolates showed 5 acquired antimicrobial-resistant genes in *K. pneumoniae* C1985, 2 acquired antimicrobial-resistant genes in *E. coli* C1992, and 3 acquired antimicrobial-resistant genes in *E. asburiae* C2135, respectively (Fig. 1). *K. pneumoniae* C1985 carried *bla_{KPC-2}*, *bla_{SHV-1}*, *fosA*, *oqxA*, and *oqxB*, while *E. coli* C1992 contained *bla_{KPC-2}*, and *mdf(A)*. *E. asburiae*

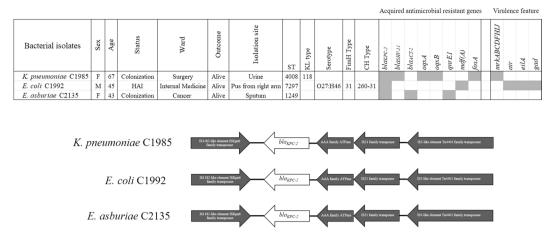


Figure 1. Characteristics of bla_{KPC-2} -carrying Enterobacteriaceae isolates and genetic organization of bla_{KPC-2} in *K. pneumoniae* C1985, *E. coli* C1992, and *E. asburiae* C2135.

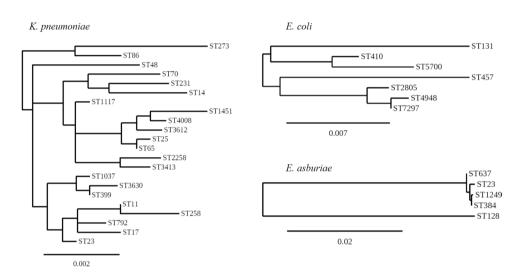


Figure 2. Unrooted tree based on the alignments of concatenated MLST allelic sequences in 3 species *K. pneumoniae* ST4008 (C1985), *E. coli* ST7297 (C1992), and *E. asburiae* ST1249 (C2135) using the neighborjoining method. Scale bar indicates sequence dissimilarity.

C2135 harbored *bla_{KPC-2}*, *bla_{ACT-2}*, and *qnrE1*. The genetic features of *bla_{KPC-2}* in 3 isolates revealed identical rearrangement and flanking regions (Fig. 1). Analysis of serotype, FimH type, and CH type in *E. coli* C1992 revealed O27:H46, *fimH31*, and 260–31, respectively. The KL type (polysaccharide capsule and lipopolysaccharide O antigen) of *K. pneumoniae* C1985 showed KL118 using Kaptive software analysis. Virulence-associated genes analysis showed that *K. pneumoniae* C1985 contained only *mrkABCDFHIJ*, while *E. coli* C1992 carried *air*, *eilA*, and *gad* (Fig. 1).

Analysis of genomic sequences from these 3 isolates revealed the sequence types (STs) of *K. pneumoniae* C1985, *E. coli* C1992, and *E. asburiae* C2135 were ST4008, ST7297, and ST1249, respectively. *K. pneumoniae* ST4008 and *E. asburiae* ST1249 are novel STs. Analysis based on the MLST database revealed that *K. pneumoniae* (C1985) ST4008 was a double-locus variant of *gapA* and *tonB* for ST399, ST3630 and ST3413, *mdh* and *tonB* for ST1037, *phoE* and *rpoB* for ST1451, *phoE* and *tonB* for ST2258, and *gapA* and *rpoB* for ST3612. The phylogenetic tree constructed using concatenated sequences of the 7 MLST genes showed that ST4008 was very close to ST1451 (Fig. 2). *E. coli* (C1992) ST7297 was closely related to ST4948 by a single locus variant (*mdh*) and this ST was a double locus variant (*gyrB* and *icd*) of ST2805 concordant with phylogeny analysis (Fig. 2). *E. asburiae* (C2135) ST1249 was closely related to *E. cloacae* ST384 with a single locus variant (*dnaA*) and this ST had no double-locus variant with any of the STs in the MLST database (Fig. 2). A triple locus variant of ST1249 was found in ST23 and ST637. The phylogenetic tree indicated that ST1249 was closely related to ST384 (Fig. 2).

As shown in Fig. 3, based on a reference genome-based single nucleotide polymorphism (SNP) *K. pneumoniae* C1985 was related to *K. pneumoniae* strain 3189STDY5864938 (ST2258) isolated from human blood in Pakistan. *E. coli* C1992 was more related to *E. coli* strain 1011 than strains 31111 and MH17–008M. The strain 1011 was isolated from urine of patient with urinary infection in Germany, whereas strains 31111 and MH17–008M were

Α

С

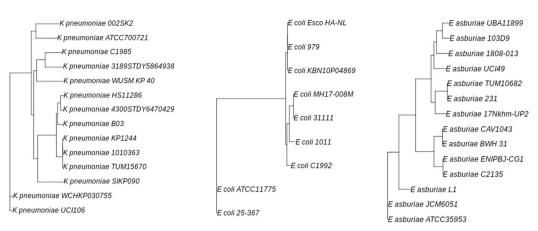


Figure 3. Unrooted tree based on single nucleotide polymorphism (SNP) in 3 species *K. pneumoniae* ST4008 (C1985), *E. coli* ST7297 (C1992), and *E. asburiae* ST1249 (C2135) using the neighbor-joining method.

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from Vietnam, having been isolated from human feces and blood, respectively. *E. asburiae* C2135 isolate was related to *E. asburiae* strain ENIPBJ-CG1; this isolate was from a bone marrow transplant patient in China.

Discussion

Due to a rise in CRE globally, there are gradually fewer options for treatment. Carbapenemases is a major key mechanism for resistance to carbapenems and other β -lactam antimicrobial agents. The prevalence of CRE and the carbapenemase species such as KPC, NDM, IMP, and OXA-48 family enzymes is dependent on geographic region. KPC and its variants are mostly found in the USA, South America, and European countries⁷. NDM is concentrated in Asia (India, Pakistan, Bangladesh, China), and Southeast Asian countries⁷. The OXA-48-like enzymes are mainly found in European countries (France, Germany, the Netherlands, Italy, and the United Kingdom), in the Middle East (Turkey), and in Mediterranean countries including North Africa (mainly Morocco, Tunisia, Egypt, and Libya)⁷. In Thailand, bla_{NDM} , $bla_{OXA48-like}$ and bla_{IMP-14} are frequently detected in clinical Enterobacteriaceae isolates^{3,6}.

However, the prevalence of $bla_{\rm KPC}$ (KPC) in Thailand remains very low; only $bla_{\rm KPC-13}$ has been described previously^{4.8}, with the later study reporting rates of $bla_{\rm KPC-13}$ -carrying isolates of 0.02% among Enterobacteriaceae and 1.7% among CRE isolates. This current study is the second report on KPC in Thailand and we discovered $bla_{\rm KPC-2}$ for the first time in Thai patients. The prevalence rate of $bla_{\rm KPC-2}$ -carrying isolates was 0.13% among CRE isolates (n = 2,245) in our study, which was lower than in the previous report⁸. Other reports showed high prevalence rates of $bla_{\rm KPC-2}$ -carrying Enterobacteriaceae of 14.6% in Taiwan⁹, 71.4% in Eastern China¹⁰, 25.3% in Australia¹¹, and about 40–65% in Greece¹². The $bla_{\rm KPC-2}$ -carrying patients in the current study were separately admitted in different wards, indicating that there was no relationship or contact among them. Indeed, the genetic organization of $bla_{\rm KPC-2}$ was similar among the isolates even though they were different species. We hypothesized that these isolates may have received this gene from the same source. However, complete plasmid sequences should be done and aligned to confirm this hypothesis. In addition, further study should investigate for ability of $bla_{\rm KPC-2}$ conjugative transferable and enzyme kinetics.

The $bla_{\rm KPC}$ -carrying *K. pneumoniae* strains can be characterized by their clonality, in that the majority of the strains circulating globally belong to clonal complex (CC) 258 as defined by MLST. The most common ST is ST258 in the USA, but other STs in CC258 such as ST11, ST340, ST437, and ST512 predominate in countries outside the USA¹. In Singapore, $bla_{\rm KPC-2}$ -carrying *K. pneumoniae* were associated with non-ST258 isolates, namely ST11, ST14, ST17, ST23, ST48, ST65, ST70, ST86, ST231, ST273, ST792, and ST1117¹³. Vargas *et al.* (2019) reported 40% of *K. pneumoniae* ST25 (CC65) carried $bla_{\rm KPC-2}$ in Argentina. In China, $bla_{\rm KPC-2}$ -carrying ST11 in *K. pneumoniae* isolates were the most frequent^{10,14}. *K. pneumoniae* ST86 was reported to be carrying $bla_{\rm KPC-2}$ in Canada¹⁵. Our study was different from those reports, because we revealed a novel ST4008 of *K. pneumoniae* harboring $bla_{\rm KPC-2}$. This ST was closely related to ST1451, a strain susceptible to carbapenem and isolated from human blood in North America (https://bigsdb.pasteur.fr/cgi-bin/bigsdb/bigsdb.pl?db=pubmlst_klebsiella_seqdef&page=query). SNP phylogeny demonstrated that *K. pneumoniae* C1985 (ST4008) is related to ST1451; however, the genomic sequence of ST1451 is not available in the database yet, so comparison to these genomes is not possible at this time.

E. coli carrying *bla*_{KPC-2} has been reported in ST131, ST410, ST457, and ST5700 from Italy, Greece, China, Mexico, and Tunisia¹⁶⁻²¹, respectively, whereas our study involved detection in ST7297. This ST is closely related to ST4948 and both have the same ancestor similar with ST2805 according to the phylogenetic tree of concate-nated MLST allelic sequences. SNP analysis revealed that *E. coli* C1992 (ST7297) was related to *E. coli* strain 1011 isolated from Germany; this strain is ST131. Due to there being no genomic sequence of ST4948 in the database yet, comparison between the genomes of these isolates is not possible at this time. Finally, *bla*_{KPC-2} was found in

several species of Enterobacter such as *E. asburiae*, *E. cloacae*, and *E. hormaechei*²²⁻²⁴, similar to our study. SNP phylogeny revealed that *E. asburiae* in our study was related to the isolate from China.

In conclusion, we reported the emergence of $bla_{\rm KPC-2}$ -harboring Enterobacteriaceae isolates. Although the prevalence of this type of CRE is relatively low, continued surveillance and close monitoring are warranted. In addition, prompt or early detection of CRE and strict implementation of infection control are essential to prevent outbreaks or rapid spread in hospitals.

Methods

Bacterial isolates and case information. Three isolates (*K. pneumoniae* C1985, *E. coli* C1992, and *E. asburiae* C2135) each carrying bla_{KPC-2} were used to characterize this study. Clinical information of patients with these isolates was reviewed by the attending physicians at the hospital.

Microbiological methods. Presumptive conventional biochemical tests described elsewhere were used for presumptive *K. pneumoniae* and *Enterobacter* spp., identification, including indole production, methyl-red reaction, Voges-Proskauer reaction, citrate utilization, and urea hydrolysis²⁵. An *E. coli* identification kit (U & V Holding, Thailand) was used for confirmation of *E. coli* according to the manufacturer's instruction. MALDI-TOF MS Biotyper (Bruker, Daltonics, Germany) was used to confirm *E. coli*, *K. pneumoniae*, and *E. asburiae*. Carbapenemase genes were detected using multiplex PCR²⁶.

The minimal inhibition concentrations (MICs) for 18 antimicrobial agents (amoxicillin/clavulanic acid, amikacin, cefepime, cefotaxime, ceftazidime, ceftriaxone, ciprofloxacin, doripenem, ertapenem, meropenem, imipenem, gentamicin, levofloxacin, netilmicin, piperacillin/tazobactam, trimethroprim/sulfamethoxazole, tige-cycline, and colistin) were determined among 3 isolates using broth microdilution and the antimicrobial susceptibility profile (resistant, intermediate, and susceptible) with interpretation according to the Clinical Laboratory Standards Institute²⁷, except for tigecycline which was interpreted using European Committee on Antimicrobial Susceptibility Testing (EUCAST)²⁸.

Whole genome sequencing and analysis. The whole genome sequencing of the three $bla_{\text{KPC-2}}$ isolates was carried out on the Illumina platform with massively parallel sequencing Illumina technology at Beijing Novogene Bioinformatics Technology Co., Ltd. (Beijing, China). A-tailed, ligated to paired-end adaptors and PCR amplified with a 500 bp insert and a mate-pair library with an insert size of 5 kb were used for the library construction.

Illumina PCR adapter reads and low-quality reads from the paired-end and mate pair library were filtered by the step of quality control using the company compiling pipeline. All good quality paired reads were assembled using the SOAP de novo (http://soap.genomics.org.cn/soapdenovo.html) into a number of scaffolds. The assembly result was gap-filled and optimized by the company using software such as krskgf and gapclose.

Analysis of whole genome sequence data was performed for confirmation of the species using KmerFinder 3.1 (https://cge.cbs.dtu.dk/services/KmerFinder/) and the ANI calculator (https://www.ezbiocloud.net/tools/ ani)^{29,30}. Antimicrobial resistance genes were identified using ResFinder 3.1 (https://cge.cbs.dtu.dk/services/ ResFinder/) and The Comprehensive Antibiotic Resistance Database (CARD) (https://card.mcmaster.ca/)^{31,32}. Serotypes, CH type, FimH type, KL type, and virulence genes were analyzed using SerotypeFinder 2.0 (https:// cge.cbs.dtu.dk/services/SerotypeFinder/), CH typer (https://cge.cbs.dtu.dk/services/CHTyper/), FimTyper 1.0 (https://cge.cbs.dtu.dk/services/FimTyper/), Kaptive (https://kaptive.holtlab.net/), and VirulenceFinder 2.0 (https://cge.cbs.dtu.dk/services/VirulenceFinder/), Institut Pasteur (https://bigsdb.pasteur.fr/klebsiella/klebsiella. html), respectively³³⁻³⁷.

Multilocus sequence typing (MLST) analysis for sequence types of *E. coli, E. cloacae* complex, and *K. pneu-moniae* used MLST 2.0 (https://cge.cbs.dtu.dk/services/MLST/)³⁸ and Institut Pasteur MLST (https://bigsdb. pasteur.fr/klebsiella/klebsiella.html), respectively. Construction of phylogenetic trees for all STs in this study was performed via Phylogeny.fr³⁹. The genomic comparison of these isolates was conducted using a reference genome-based single nucleotide polymorphism (SNP) strategy with BacWGSTdb⁴⁰ (http://bacdb.org/BacWGSTdb/).

Accession number. The genomic sequences assembled were deposited under the Bioproject accession number of PRJNA525849 with Sequence Read Archive (SRA) accession numbers of SRR8690013 (plasmid contigs) and SRR9722215 (chromosomal contigs) for *K. pneumoniae* C1985. The genomic sequence of *E. coli* C1992 was deposited under the Bioproject accession number of PRJNA525942 with SRA accession numbers of SRR8693447 (plasmid contigs) and SRR9722217 (chromosomal contigs), respectively. The genomic sequence of *E. asburiae* C2135 was deposited under the Bioproject accession number of PRJNA555780 with the SRA accession number of SRR9729532.

Ethical approval. This study was reviewed and approved by the Surin Hospital Ethics Review Board (ERB). The medical records of these 3 cases were reviewed by attending physicians at the hospital using the clinical case record form approved by the ERB. The ERB waived requirement for informed consent as the study satisfied the conditions of the policy statement on ethical conduct for research involving humans. This study was conducted according to the principles expressed in the Declaration of Helsinki.

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Author contributions

A.K., D.T., Y.A., K.T. and S.H. conceived and designed this study. S.D. and S.C. collected the clinical data. A.K., S.D., R.H. and P.C. conducted bacterial identification, PCR detection of carbapenemase genes and antimicrobial susceptibility testing. A.K. analyzed the sequence data. A.K. wrote the manuscript. All authors have reviewed and approve of the final submitted version of the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

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