SCIENTIFIC REPORTS natureresearch

OPEN

Brain surgery in combination with tyrosine kinase inhibitor and whole brain radiotherapy for epidermal growth factor receptor-mutant non-small-cell lung cancer with brain metastases

Hsin-Hua Lee^{1,2}, Chien-Hung Chen³, Hung-Yi Chuang⁶,⁵, Yu-Wei Huang⁶ & Ming-Yii Huang^{1,2,7,8,9*}

The role of brain surgery (BS) on the survival of patients with non-small-cell lung cancer (NSCLC) and brain metastases (BM), particularly those with epidermal growth factor receptor (EGFR) mutations under tyrosine kinase inhibitors (TKIs) is yet to be defined. We aimed to investigate whether BS could improve the survival of patients in addition to the combination of TKIs and whole brain radiotherapy (WBRT). A cohort of 1394 NSCLC patients between 2011 and 2016 was retrospectively studied. One hundred patients with BM receiving TKI + RT were enrolled. Forty patients (40%) received TKI + BS + RT, and 60 patients (60%) received TKI + RT. Survival time was calculated from the date of BM diagnoses to the date of death or last follow-up. With a median follow-up of 25.6 months (95% CI, 18.6–35.7), the median survival after BM was 18.2 months (95% CI, 10.8 to 27.4) in the TKI + BS + RT group and 11.8 months (95% CI, 5.2 to 18) in the TKI + RT group. Cox proportional hazards regression model for the patients with the largest BM over 1 cm showed that TKI + BS + RT group was associated with improved survival relative to TKI + RT group (HR, 0.49; 95% CI, 0.29 to 0.83; P = 0.008). BS adds significant survival benefits in addition to TKIs and WBRT, especially for patients with EGFR-mutant NSCLC and the largest BM over 1 cm.

Thirty years ago, the median overall survival (OS) after a diagnosis of brain metastasis (BM) for patients with lung adenocarcinoma was 73 days¹. Lung cancer remains lethal in all nations: 5-year OS is below 20% everywhere in Europe, in the range 15–19% in North America, and as low as 7–9% in Mongolia and Thailand². The latest U.S. study utilized the National Cancer Data Base to identify 457481 patients with non-small-cell lung cancer (NSCLC) diagnosed between 2010 and 2012. The median and 1-, 2-, and 3-year OS for these patients with BM were 6 months and 29.9%, 14.3%, and 8.4% respectively³.

In the epoch of target therapies, screening for specific mutations to guide treatment is necessary. The predictive factors for epidermal growth factor receptor (EGFR) mutations are the female sex, never-smoker status,

¹Program in Environmental and Occupational Medicine, Kaohsiung Medical University and National Health Research Institutes, Kaohsiung, Taiwan. ²Department of Radiation Oncology, Kaohsiung Medical University Hospital, Kaohsiung, Taiwan. ³Department of Radiation Oncology, Kaohsiung Municipal Ta-Tung Hospital, Kaohsiung, Taiwan. ⁴Department of Occupational and Environmental Medicine, Kaohsiung Medical University Hospital, Kaohsiung Medical University, Kaohsiung, Taiwan. ⁵Faculty of Department of Public Health, College of Health Science, Kaohsiung Medical University, Kaohsiung, Taiwan. ⁶Department of Radiation Oncology, Kaohsiung Chang Gung Memorial Hospital, Kaohsiung, Taiwan. ⁷Department of Radiation Oncology, Faculty of Medicine, College of Medicine, Kaohsiung Medical University, Kaohsiung, Taiwan. ⁸Drug Development and Value Creation Research Center, Kaohsiung Medical University, Kaohsiung, Taiwan. ⁹Center for Cancer Research, Kaohsiung Medical University, Kaohsiung, Taiwan. *email: miyihu@gmail.com adenocarcinoma histology, and East Asian racial origin^{4,5}. However, a U.S. study of 2142 patients with stage I to IV NSCLC found that EGFR mutations in tumors from ever smokers represented 40% of all mutations detected and those from men represented 31%⁶. A prospective study of 1482 patients confirmed the EGFR mutation frequency of 51.4% overall in tumors from Asian patients with adenocarcinoma⁷. Now it is mandatory to detect EGFR mutation prior to treatment. EGFR tyrosine kinase inhibitors (TKIs) have shown a response rate of 70–80% with improved progression-free survival (PFS) and OS than those obtained with standard chemotherapy in patients harboring EGFR mutations⁸. The use of any first- or second-generation EGFR-TKIs alone for the treatment of intracranial involvement in patients with EGFR mutant-positive lung adenocarcinoma showed a favorable cerebral response rate of more than 50%^{9,10}.

The optimal treatment of BM is debatable. Classic therapeutic options include local therapies such as whole-brain radiation therapy (WBRT), stereotactic radiosurgery and surgical resection, EGFR-TKIs, and chemotherapy. Systemic chemotherapy is considered futile in the treatment of intracranial involvement due to the blood-brain barrier (BBB), which includes efflux pumps on brain capillaries¹¹. With the evolution of sophisticated radiation technology, most clinicians integrate radiotherapy (RT) into the comprehensive treatment of patients under TKIs in order to maximize the therapeutic effects^{12,13}. The life expectancy in EGFR-mutant patients has been significantly prolonged¹⁴⁻¹⁶. Because of an aging population and advances in the treatment of NSCLC, patients are living longer and are more likely to experience distant metastases. A recent Taiwanese study reported that EGFR mutation was a predictor for subsequent BM¹⁷. Patients with EGFR-mutated NSCLC have a higher cumulative incidence of BM¹⁸. They can be surgical candidates because they have already demonstrated the proclivity to longevity despite their cancer diagnoses.

Some may consider novel targeted agents (TKIs: geftinib, erlotinib, afatinib, icotinib, and osimertinib) are potential alternatives to surgical resection since the survival benefit of surgery seems limited to the subgroup of patients with controlled systemic disease and good performance status¹⁹. However, improvement in neurosurgical techniques such as microneurosurgery, use of neuronavigation, intraoperative imaging, and cortical and subcortical mapping, along with concurrent progress in neuroanesthesia, has substantially decreased surgical morbidity and mortality²⁰. Surgical resection remains an important tool for treating BM from NSCLC, particularly in patients with one large or symptomatic lesion²¹. Patients who received TKIs after a diagnosis of stage IIIB or IV lung cancer and WBRT were reimbursed by the Bureau of National Health Insurance of Taiwan²². Herein, we analyzed the factors affecting the prognosis for the patients with EGFR-mutant NSCLC BM under both TKIs and WBRT. The efficacy of brain surgery (BS) will be scrutinized in this research article.

Methods

Ethics approval statement. The present study (KMUHIRB-E(II)-20180185) was approved and conducted under compliance of the Institutional Review Board (IRB) regulations of Kaohsiung Medical University Hospital. All patients provided written informed consent prior to RT and/or BS. Patient information was anonymized and de-identified before analysis. All data were analyzed anonymously and retrospectively.

Patients and treatment. We sorted 1394 NSCLC patients in the data base of a tertiary university hospital and retrospectively recruited one hundred consecutive patients with pathologically proven lung adenocarcinoma who had received both WBRT and TKIs between January 1, 2011 and June 14, 2016. Their BM was diagnosed by either brain imaging or cytology. The inclusion criteria were positive EGFR mutations, the diagnosis of BM, the use of TKIs, and WBRT. The exclusion criteria were a history of malignancies other than lung cancer, prior brain irradiation, or EGFR-TKI resistance mutation, or incomplete WBRT.

All the patients underwent pretreatment workups comprising a physical examination, a history review, chest radiography, bronchoscopy with a tumor biopsy, chest computed tomography (CT), brain magnetic resonance imaging (MRI) or CT, and routine laboratory studies. The tumor stage was classified according to the seventh edition of the American Joint Committee on Cancer (AJCC) Cancer Staging Manual and Handbook²³. All patients started taking EGFR-TKIs once the diagnosis of stage IIIB or IV lung cancer with EGFR mutation was established. Some patients received BS which was performed prior to WBRT. BS was recommended at the discretion of neuro-surgeons after discussion with each patient. All patients with or without BS had WBRT. For WBRT, three-dimensional conventional radiotherapy was done by a 2100 C/D linear accelerator (Varian Medical Systems, Palo Alto, CA). Boost plans were generated by intensity-modulated radiotherapy either with an Eclipse, version 8.6 (Varian Medical Systems Inc., Palo Alto, USA) or Hi-Art helical tomotherapy unit, version 2.2.4.1 (TomoTherapy, Inc., Madison, WI). Our RT schedule was 30 Gy in 10 fractions or 37.5 Gy in 15 fractions. Some patients had a boost to BM of 45 Gy in 10 fractions, or 45 Gy in 15 fractions. The decision whether to boost BM was made after discussion with each patient.

The following variables were collected: age, sex, stage, initial clinical Tumor and Nodal classification, extracranial metastases, histological grading, smoking history, EGFR mutation, Eastern Cooperative Oncology Group (ECOG) performance status at the time of BM, number of BM, size of largest BM, whether the patient was symptomatic from BM, mean dose of ionizing radiation delivered, name of EGFR-TKI, number of lines of TKI, mean duration of TKI use, and number of lines of chemotherapy. The date of initial cancer diagnosis, the date of BM diagnosis, RT treatments, systemic therapy, most recent follow-up, and death were documented. In addition, a disease-specific Graded Prognostic Assessment (ds-GPA) was calculated for each patient to determine whether the cohorts shared similar prognostic features²⁴.

Statistical analysis. The primary end point was the survival after a diagnosis of BM was established. We calculated the survival from the date of BM diagnoses to the date of death from any cause or until the date of the last follow-up. And then we assessed the survival after a diagnosis of BM by Kaplan–Meier methods and used the log-rank test to compare time-to-event distributions. We stratified the data set and compared outcomes by

Patient enrollment flow diagram



Figure 1. Patient enrollment flow diagram. Survival time was calculated from the date of BM diagnoses to the date of death or last follow-up. Abbreviations: BM: brain metastasis; WBRT: whole brain radiation therapy; TKI: tyrosine kinase inhibitor; BS: brain surgery.

t-test or chi-squared test. Besides, we performed univariate analyses and a multivariate Cox proportional hazards regression to examine all collected variables. We calculated the estimated risks of death using hazard ratios (HR) with 95% confidence intervals (CIs). The level of statistical significance was set at P < 0.05; all reported P values were two-tailed. The analyses used the SPSS software package, version 19.0 for Windows (SPSS, Chicago, IL, USA).

Results

There were 147 patients with EGFR-mutant NSCLC and BM, regardless of the treatment. One hundred patients out of 1394 patients in the lung cancer data base were identified after applying the aforementioned inclusion and exclusion criteria (Fig. 1). The clinical characteristics, divided by whether they had BS (TKI + BS + RT group vs TKI + RT group) were sum up in Table 1. All patients had both EGFR-TKI and WBRT. The mean age of this retrospective cohort was 60 ± 10 years \pm standard deviation (SD), 96 patients (96%) had an ECOG performance status less than 2, and 78% were symptomatic from their BM. Forty patients (40%) received BS (TKI + BS + RT group), and 60 patients (60%) did not. Patients who received BS were more likely to have BM larger than 1 cm (90% in the TKI + BS + RT group and 60% in the TKI + RT group; P = 0.001). Patients who received BS were more likely to have EGFR mutation in exon 19 (60% in the TKI + BS + RT group and 36.7% in the TKI + RT group; P = 0.022) and were less likely to have EGFR mutation in exon 21 (27.5% in the TKI + BS + RT group and 48.3% in the TKI + RT group; P = 0.037). One patient has EGFR mutation in both exon 19 and 21. There was no significant difference in terms of age, gender, stage, initial clinical Tumor and Nodal classification, extracranial metastases, histological grading, smoking history, ECOG performance status at the time of BM, number of BM, whether the patient was symptomatic from BM, mean RT dose, number of lines of TKI, mean duration of TKI use, ds-GPA and number of lines of chemotherapy (all P > 0.05; Table 1).

All patients started having EGFR-TKI (afatinib, erlotinib, gefitinib or osimertinib) once the diagnosis of stage IIIB or IV lung cancer with EGFR mutation was established. Twelve patients had afatinib; 57 patients had erlotinib; 64 patients had gefitinib; and 5 patients had osimertinib. Thirty-seven (37%) patients had more than one line of TKIs due to disease progression or intolerance of side effect. The median duration of TKIs use was 14.4 months (95% CI, 10.7 to 17.9). The median duration of TKIs use was 14 months (95% CI, 8.3 to 18.3) in the TKI + BS + RT group and 14.4 months (95% CI, 9.6 to 19) in the TKI + RT group. The mean duration of TKIs use ware 18 ± 14 months and 20 ± 18.5 months for patients with and without BS respectively (P = 0.585).

After a median follow-up of 25.6 months (95% CI 18.6 to 35.7), the median survival after BM was 15.1 months (95% CI, 11.3 to 19.4) for the 100 patients in this study. The median survival after BM was 11.2 months (95% CI, 8.3 to 14.2) for the 147 patients irrespective of treatment. Specifically, the median survival after BM was 18.2 months (95% CI, 10.8 to 27.4) in the TKI + BS + RT group and 11.8 months (95% CI, 5.2 to 18) in the TKI + RT group. The mean survival after BM were 21.9 ± 14.8 months and 15.6 ± 14.5 months for patients with and without BS respectively (P=0.026).

Univariate analysis suggested that BS was a favorable prognostic factors for longer survival (hazard ratio HR, 0.6; 95% CI, 0.38 to 0.95; P = 0.028; Fig. 2). In addition, female (P = 0.005), exon 19 mutation (P = 0.048) and

	All	BS	No BS	P-value
No. of cases	100	40	60	
Mean Age (years \pm SD)	60 ± 10	61±9	60 ± 11	0.643
Sex				0.799
Female	64	25	39	
Male	36	15	21	
Initial Clinical stage				0.775
I-II	9	4	5	
	91	36	55	
Initial Tumor classification	51	50	55	0.78
	26	11	15	0.78
2 4	20	20	15	
5 0F 4	/4	29	45	0.220
	20	10		0.239
0 or 1	38	18	20	
2 or 3	62	22	40	
Extracranial metastases		_		
Bone	72	26	46	0.203
Lung	38	15	23	0.933
Liver	15	3	12	0.086
Histological grade				0.119
1-2	40	15	25	
3	23	6	17	
NA	37	19	18	
EGFR mutation				
Exon 18	1	0	1	0.6 (Fisher)
Exon 19	46	24	22	0.022
Exon 20	8	5	3	0.164 (Fisher)
Exon 21	40	11	29	0.037
NA	6	1	5	0 397 (Fisher)
BT mean boost dose ($cGy + SD$)	3779 + 748	3908 ± 612	3694 + 821	0.163
dose $>3750 \text{ cGv}$	39	17	22	0.100
dose \$3750 cGy	61	22	22	
$uose \ge 3730 cosy$	01	23	38	
chemotherapy				0.518 (Fisher)
0-3	89	37	52	
>3	11	3	8	
TKI name				
afatinib	12	8	4	0.044 (Fisher)
erlotinib	57	22	35	0.742
gefitinib	64	21	43	0.05
osimertinih	5	1	4	0.332 (Fisher)
Number of lines of TKI		-	-	0.447
1	63	27	36	0.11/
>1	37	13	24	
Mean TKI use duration (months±SD)	19.2 ± 16.8	18±14	20±18.5	0.585
ECOG performance status				0.736
0	52	19	33	
1	44	19	25	
2	4	2	2	
- Smoking status	1			0.182
Never	77	27	15	0.102
Former	0	5	1.5	
Comment	7	0	4	
Current	14	8	0	0.160
Symptomatic BM				0.168
No	22	6	16	
Yes	78	34	44	
Size of the largest BM				0.001
Continued				

	All	BS	No BS	P-value
≦1 cm	28	4	24	
>1 cm	72	36	36	
Number of BM				0.137
1	18	10	8	
>1	82	30	52	
dsGPA				0.373
0.5-1.5	70	26	44	
2-4	30	14	16	

 Table 1. Patient and treatment characteristics. Abbreviations: BS: brain surgery; EGFR: epidermal growth factor receptor; RT: radiation therapy; TKI: tyrosine kinase inhibitor; ECOG: Eastern Cooperative Oncology Group; BM: brain metastasis; dsGPA: disease-specific Graded Prognostic Assessment.



No. at risk												
months	0	6	12	18	24	30	36	42	48	54	60	66
Brain surgery	40	34	28	21	16	11	7	5	2	2	1	0
No brain surgery	60	42	29	21	13	9	5	2	1	1	1	1

Figure 2. Cox regression comparing survival after the diagnosis of brain metastasis in epidermal growth factor receptor-mutant non-small-cell lung cancer patients under tyrosine kinase inhibitors treated with and without brain surgery for brain metastases before whole-brain radiation therapy.

single BM relative to more than 3 BM (P=0.01) were associated with improved OS (Table 1). However, after controlling for significant covariables in a multivariable model including gender, EGFR mutation in exon 19, and number of BM, the TKI + BS + RT group was not associated with improved OS relative to the TKI + RT group (HR, 0.69; 95% CI, 0.43 to 1.128; P=0.134). EGFR mutation in exon 19 was not an independent favorable prognostic factor (HR, 0.83; 95% CI, 0.51 to 1.35; P=0.461). Table 2 shows two independent favorable prognostic factors including female gender (HR, 0.56; 95% CI, 0.34 to 0.9; P=0.017) and single BM relative to more than 3 BM (HR, 2.23; 95% CI, 1.11 to 4.45; P=0.024).

BM size. In order to identify potential differences in the benefits of BS in patients by the size of largest BM, we selected 72 patients with the largest BM larger than 1 cm. Thirty-six (50%) patients had BS. Cox regression analysis revealed that BS was a strong favorable prognostic factor for longer survival (HR, 0.5; 95% CI, 0.3 to 0.84; P = 0.008; Fig. 3). In Table 3, after controlling for significant covariables in a multivariable model, the TKI + BS + RT group was associated with improved OS relative to the TKI + RT group (HR, 0.49; 95% CI, 0.29 to 0.83; P = 0.008). Clinical nodal classification 0–1 relative to 2–3 (HR, 2.23; 95% CI, 1.27 to 3.92; P = 0.005) and the use of erlotinib (HR, 0.49; 95% CI, 0.29 to 0.85; P = 0.011) were also beneficial.

EGFR mutation. In order to identify potential differences in the benefits of BS in patients with EGFR mutation, we selected 85 patients with mutation in exon 19 or 21 or both. Thirty-four (40%) patients had BS. Cox regression analysis revealed that female, BS and single BM were favorable prognostic factors for longer survival (Table 4). However, after controlling for significant covariables in a multivariable model, the TKI + BS + RT group was not associated with improved OS relative to the TKI + RT group (HR, 0.66; 95% CI, 0.4 to 1.11; P=0.116). Female (HR, 0.47; 95% CI, 0.28 to 0.78; P=0.004) and single BM relative to more than 3 BM (HR, 2.41; 95% CI, 1.16 to 5; P=0.018) were two independent favorable prognostic factors.

	Univariate analyses		Multivariate analyses			
	HR (95%CI) P-value		HR (95%CI)	P-value		
Age		1		- Tarac		
>65 vs <65	1.35(0.83 to 2.2)	0.226				
Female vs. male	0.5(0.31 to 0.79)	0.005	0.56(0.34 to 0.9)	0.017		
Initial Clinical stage	0.5 (0.51 to 0.77)	0.005	0.50 (0.54 to 0.5)	0.017		
III IV vo L II	$1.25(0.54 \pm 0.289)$	0.6				
III-IV VS. I-II	1.23 (0.34 to 2.88)	0.0				
	1 20 (0.82 to 2.22)	0.200				
III-IV VS. I-II	1.39 (0.83 to 2.33)	0.208				
Initial Nodal classifie		0.007	1	1		
2-3 vs. 0-1	1.48 (0.93 to 2.35)	0.097				
EGFR mutation			-			
Exon 19 or 21			1	1		
Yes vs. no	0.69 (0.38 to 1.26)	0.225				
Exon 19	1		1			
Yes vs. no	0.63 (0.4 to 1)	0.048	0.83 (0.51 to 1.35)	0.461		
Exon 20	1		1	1		
Yes vs. no	0.95 (0.44 to 2.08)	0.905				
Exon 21						
Yes vs. no	1.18 (0.75 to 1.85)	0.47				
Brain surgery						
Yes vs. no	0.6 (0.38 to 0.95)	0.028	0.69 (0.43 to 1.12)	0.134		
RT boost dose >375	0 cGy					
Yes vs. no	0.84 (0.53 to 1.32)	0.441				
Number of lines of s	ystemic chemotherap	у	1	1		
>3 vs. 0-3	1.26 (0.63 to 2.53)	0.519				
TKI name	<u> </u>		1	1		
afatinib						
Yes vs. no	0.56 (0.26 to 1.22)	0.144				
erlotinib	. ,			1		
Yes vs. no	0.72 (0.46 to 1.12)	0 141				
gefitinib	0.72 (0.10 to 1.12)	01111				
Ves vs. po	1.43(0.89 to 2.31)	0.142				
osimertinib	1.45 (0.05 to 2.51)	0.142				
Vacua po	$0.68(0.21 \pm 0.215)$	0.505				
Number of lines of T	0.68 (0.21 to 2.15)	0.505				
Number of lines of 1	NI 0.8 (0.5 to 1.20)	0.226				
>1 vs. 1	0.0 (0.5 10 1.26)	0.526				
LCOG performance	status	0.005	1	1		
1 vs. 0	1.03 (0.66 to 1.61)	0.885				
2 vs. 0	0.46 (0.11 to 1.91)	0.287				
Smoking status		1	1	1		
Former or current vs. never	1.39 (0.84 to 2.3)	0.206				
Symptomatic brain r	netastases		1			
Yes vs. no	1.13 (0.65 to 1.95)	0.671				
Size of the largest bra	ain tumor					
$>1 \mathrm{cm} \mathrm{vs.} \leq 1 \mathrm{cm}$	1.51 (0.9 to 2.53)	0.121				
No. of brain metasta	ses	-				
2-3 vs. 1	2.11 (0.95 to 4.93)	0.068	1.83 (0.81 to 4.14)	0.149		
>3 vs. 1	2.45 (1.24 to 4.72)	0.01	2.23 (1.11 to 4.45)	0.024		
dsGPA		1		1		
0.5-1.5 vs. 2-4	1.6 (0.96 to 2.65)	0.07				

Table 2. Univariate and multivariate Cox regression analyses of covariables associated with survival after brain metastases. Abbreviations: EGFR: epidermal growth factor receptor; RT: radiation therapy; TKI: tyrosine kinase inhibitor; ECOG: Eastern Cooperative Oncology Group; dsGPA: disease-specific Graded Prognostic Assessment.



Figure 3. Cox regression comparing survival after the diagnosis of brain metastasis in epidermal growth factor receptor-mutant non-small-cell lung cancer patients under tyrosine kinase inhibitors with a size of the largest brain metastasis over 1 cm treated with and without brain surgery for brain metastases before whole-brain radiation therapy.

Discussion

The mainstay of treatment for BM consisted of surgical resection, RT, or a combination of these modalities. A prospective randomized study showed that patients with cancer and a single BM who received BS plus RT lived longer²⁵. A further study showed that BS followed by consolidative WBRT was better than BS alone for local control²⁶. RT is commonly used following BS since local recurrence occurs in more than 50% of patients²⁷. In two randomized trials, postoperative adjuvant WBRT reduced the incidence of local recurrence by half^{27,28}. Twenty years ago, additional postoperative WBRT with 30Gy for patients with single BM was reported (BS + WBRT: median OS 13 months; BS only: median OS 8 months). In addition, the rate of cerebral recurrence was distinctly higher in the non-WBRT group²⁹.

Toffart *et al.* concluded that the survival of NSCLC with synchronous solitary M1 was more similar to stage III than other stage IV NSCLC and advocated for BS³⁰. In this large retrospective study of 4832 patients, 64% of patients had BM. Operation conducted at both primary and metastatic sites (HR 0.35, 95% CI: 0.19 to 0.65) was an independent prognostic factor for longer survival. For accessible tumors with diameter of more than 3 cm, BS still carries the advantages of obtaining histological diagnosis, providing immediate symptomatic relief by removal of local mass effect and source of edema, and decreasing the length of steroid use²¹.

At the time of the studies of Patchell *et al.*, however, EGFR-TKIs were not available^{25,28}. In the era of EGFR mutations and TKIs, we seek to compare survival trends that are likely to be attributable to combined treatment, especially BS plus TKIs and WBRT. We investigated one hundred patients who received TKIs as a first-line therapy for advanced EGFR-mutant NSCLC in a tertiary cancer center. Because the administration of EGFR-TKI has limited penetration across BBB, combined RT would provide better outcome³¹. According to Burel-Vandenbos *et al.*, BM occurring during the course of TKI, despite good control of extracranial disease, is possibly due to insufficient concentration of TKI in cerebral spinal fluid³² although raising TKI doses might increase the possibility of drug intolerance. Soon *et al.* conducted a meta-analysis from 2008 to July 2014, and reported there was a better 2-year OS (HR 1.33, 95% CI 1.00–1.77; P = 0.05) for patients with upfront WBRT compared with TKI alone³³.

However, NSCLC is a radioresistant malignancy and 30 Gy of WBRT may not be sufficient to sterilize the metastatic brain lesions³², therefore combination therapy is required. We hypothesized that BS would be beneficial prior to WBRT. After controlling for significant covariables in a multivariable model, EGFR mutation in exon 19 (HR, 0.83; 95% CI, 0.51 to 1.35; P=0.461) or the TKI + BS + RT group was not associated with improved survival relative to the TKI + RT group (HR, 0.69; 95% CI, 0.43 to 1.12; P=0.134). However, for the 72 patients with the largest BM over 1 cm, multivariate analysis showed that the TKI + BS + RT group was associated with improved survival relative to the TKI + RT group (HR, 0.49; 95% CI, 0.29 to 0.83; P=0.008). For the majority of the patients with EGFR mutation in exon 19 or 21, Female and single BM relative to more than 3 BM were two strong independent favorable prognostic factors.

The present study demonstrated the advantage of BS, especially for patients with stage IV EGFR-mutant NSCLC who had the largest BM over 1 cm. To the best of our knowledge, this is the first study to confirm the advantage from combination therapy of TKI + BS + RT. Until recently, there was no prospective randomized trial regarding the addition of BS to TKIs and WBRT.

	Univariate analyses		Multivariate a	nalyses	
	HR (95%CI)	<i>P</i> -value	HR (95%CI)	<i>P</i> -value	
Age	1	1	1	1	
>65 vs. ≦65	1.32 (0.77 to 2.29)	0.318			
Female vs. male	0.59 (0.35 to 1)	0.052			
Initial Clinical stage			•		
III–IV vs. I–II	1.38 (0.5 to 3.81)	0.538			
Initial Tumor classification					
III-IV vs. I-II	0.92 (0.5 to 1.67)	0.772			
Initial Nodal classification					
2-3 vs. 0-1	1.67 (0.98 to 2.83)	0.058	2.23 (1.27 to 3.92)	0.005	
EGFR mutation					
Exon 19 or 21			-		
Yes vs. no	0.815 (0.43 to 1.55)	0.533			
Exon 19			1	1	
Yes vs. no	0.68 (0.41 to 1.15)	0.15			
Exon 20	(I	1	
Yes vs. no	0.82 (0.372 to 1.81)	0.622			
Fyon 21	0.02 (0.072 (0 1.01)	0.022			
Vecue no	$1 12 (0.65 \pm 0.10)$	0.687			
res vs. no	1.12 (0.65 to 1.9)	0.687			
Brain surgery	1	1	0.10/0.77	1	
Yes vs. no	0.5 (0.3 to 0.84)	0.008	0.49 (0.29 to 0.83)	0.008	
RT boost dose >3750cGy					
Yes vs. no	1.1 (0.65 to 1.85)	0.726			
Number of lines of systemic ch	emotherapy				
>3 vs. 0-3	1.18 (0.53 to 2.61)	0.684			
TKI name					
afatinib					
Yes vs. no	1.16 (0.46 to 2.9)	0.757			
erlotinib			1	1	
Yes vs. no	0.57 (0.34 to 0.95)	0.031	0.49 (0.29 to 0.85)	0.011	
gefitinib				1	
Yes vs. no	1.43 (0.83 to 2.48)	0.199			
osimertinib			I		
Yes vs. no	1.31 (0.41 to 4.19)	0.655		-	
Number of lines of TKI					
>1 vs 1	0.692(0.4 to 1.2)	0 192			
FCOG performance status	0.072 (0.1 to 1.2)	5.172			
	$0.96(0.57 \pm 0.1.62)$	0.880			
2 1 1 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1	0.50(0.37101.02)	0.009			
2 vs. U	0.59 (0.14 to 2.4/)	0.40/			
Smoking status					
Former or current vs. never	1.25 (0.71 to 2.2)	0.434			
Symptomatic brain metastases	1				
Yes vs. no	0.73 (0.31 to 1.71)	0.471			
No. of brain metastases					
2–3 vs. 1	1.62 (0.75 to 3.49)	0.216			
>3 vs. 1	1.4 (0.58 to 3.39)	0.451			
dsGPA					
0.5-1.5 vs. 2-4	1.38 (0.74 to 2.55)	0.311			

Table 3. For patients with the largest brain metastasis over 1 cm: univariate and multivariate Cox regression analyses of covariables associated with survival after the diagnosis of brain metastasis. Abbreviations: EGFR: epidermal growth factor receptor; RT: radiation therapy; TKI: tyrosine kinase inhibitor; ECOG: Eastern Cooperative Oncology Group; dsGPA: disease-specific Graded Prognostic Assessment.

.....

The limitations of the current study are the inherent biases in retrospective studies. The pitfalls include limited patient numbers, possible selection bias from surgical intervention, incomplete records of post-operative complications, cognitive evaluation and intracranial control. This study enrolled a real-world population of NSCLC

	Univariate analyses	;	Multivariate analyses			
	HR (95%CI)	P-value	HR (95%CI)	P-value		
Age						
>65 vs. ≦65	1.32 (0.78 to 2.24)	0.302				
Female vs. male	0.46 (0.28 to 0.76)	0.003	0.47 (0.28 to 0.78)	0.004		
Initial Clinical stage	-		1			
III–IV vs. I–II	2.57 (0.63 to 10.51)	0.19				
Initial Tumor classification	-		1			
III–IV vs. I–II	1.43 (0.81 to 2.51)	0.215				
Initial Nodal classification	-		1			
2-3 vs. 0-1	1.53 (0.91 to 2.56)	0.105				
Brain surgery	<u>.</u>		1	1		
Yes vs. no	0.59 (0.36 to 0.97)	0.036	0.66 (0.4 to 1.11)	0.116		
RT boost dose >3750cGy	- L		1			
Yes vs. no	0.81 (0.48 to 1.35)	0.41				
Number of lines of systemic ch	nemotherapy		1			
>3 vs. 0-3	1.96 (0.93 to 4.15)	0.077				
TKI name	-		1			
afatinib						
Yes vs. no	0.45 (0.18 to 1.12)	0.86				
erlotinib	-		1			
Yes vs. no	0.82 (0.51 to 1.33)	0.427				
gefitinib	-		1			
Yes vs. no	1.49 (0.86 to 2.6)	0.159				
osimertinib	-		1			
Yes vs. no	0.65 (0.16 to 2.65)	0.543				
Number of lines of TKI			1			
>1 vs. 1	0.938 (0.57 to 1.54)	0.798				
ECOG performance status				•		
1 vs. 0	1.05 (0.65 to 1.71)	0.842				
2 vs. 0	0.34 (0.05 to 2.46)	0.283				
Smoking status						
Former or current vs. never	1.43 (0.81 to 2.54)	0.216				
Symptomatic brain metastases	;					
Yes vs. no	1.13 (0.61 to 2.07)	0.697				
Size of largest brain tumor						
$>1 \mathrm{cm} \mathrm{vs} \leq 1 \mathrm{cm}$	1.47 (0.85 to 2.53)	0.168				
No. of brain metastases	·					
2-3 vs. 1	2.03 (0.84 to 4.91)	0.118	1.8 (0.74 to 4.4)	0.198		
>3 vs. 1	2.57 (1.25 to 5.28)	0.01	2.41 (1.16 to 5)	0.018		
dsGPA	·					
0 5-1 5 vs 2-4	1 58 (0.92 to 2.71)	0.098				

Table 4. For patients with EGFR mutation in exon 19 or exon 21: univariate and multivariate Cox regression analyses of covariables associated with survival after the diagnosis of brain metastasis. Abbreviations: EGFR: epidermal growth factor receptor; RT: radiation therapy; TKI: tyrosine kinase inhibitor; ECOG: Eastern Cooperative Oncology Group; dsGPA: disease-specific Graded Prognostic Assessment.

.....

patients, including sicker patients who were not eligible for a clinical trial. Although the patients were not randomized, fundamentally, similar characteristics existed between TKI + BS + RT and TKI + RT groups. In the current study, we focused on prognostic features, molecular markers, and survival change from the addition of BS to the combination of TKIs and WBRT.

Conclusions

BS prior to WBRT adds significant survival benefits in addition to the combination of TKIs and WBRT, especially for patients with EGFR-mutant NSCLC who had the largest BM over 1 cm. This observational study on BS outcome needs to be interpreted with some caution because there are potential confounding factors. The result should be cautiously applied. Further prospective study is warranted.

Data availability

The data used to support the findings of this study are included within the article.

Received: 28 February 2019; Accepted: 1 November 2019; Published online: 14 November 2019

References

- Sorensen, J. B., Hansen, H. H., Hansen, M. & Dombernowsky, P. Brain metastases in adenocarcinoma of the lung: frequency, risk groups, and prognosis. *Journal of clinical oncology: official journal of the American Society of Clinical Oncology* 6, 1474–1480, https:// doi.org/10.1200/JCO.1988.6.9.1474 (1988).
- Allemani, C. *et al.* Global surveillance of cancer survival 1995–2009: analysis of individual data for 25,676,887 patients from 279 population-based registries in 67 countries (CONCORD-2). *Lancet (London, England)* 385, 977–1010, https://doi.org/10.1016/S0140-6736(14)62038-9 (2015).
- Waqar, S. N. et al. Non-small-cell Lung Cancer With Brain Metastasis at Presentation. Clinical lung cancer 19, e373–e379, https:// doi.org/10.1016/j.cllc.2018.01.007 (2018).
- Rosell, R. et al. Screening for epidermal growth factor receptor mutations in lung cancer. The New England journal of medicine 361, 958–967, https://doi.org/10.1056/NEJMoa0904554 (2009).
- Tanaka, T. et al. Frequency of and variables associated with the EGFR mutation and its subtypes. International journal of cancer 126, 651–655, https://doi.org/10.1002/ijc.24746 (2010).
- D'Angelo, S. P. et al. Incidence of EGFR exon 19 deletions and L858R in tumor specimens from men and cigarette smokers with lung adenocarcinomas. Journal of clinical oncology: official journal of the American Society of Clinical Oncology 29, 2066–2070, https://doi. org/10.1200/JCO.2010.32.6181 (2011).
- Shi, Y. et al. A prospective, molecular epidemiology study of EGFR mutations in Asian patients with advanced non-small-cell lung cancer of adenocarcinoma histology (PIONEER). Journal of thoracic oncology: official publication of the International Association for the Study of Lung Cancer 9, 154–162, https://doi.org/10.1097/JTO.0000000000033 (2014).
- D'Antonio, C. et al. Bone and brain metastasis in lung cancer: recent advances in therapeutic strategies. Therapeutic advances in medical oncology 6, 101–114, https://doi.org/10.1177/1758834014521110 (2014).
- Li, M. X. *et al.* Central nervous system progression in advanced non-small cell lung cancer patients with EGFR mutations in response to first-line treatment with two EGFR-TKIs, gefitinib and erlotinib: a comparative study. *BMC cancer* 17, 245, https://doi. org/10.1186/s12885-017-3165-0 (2017).
- Schuler, M. et al. First-Line Afatinib versus Chemotherapy in Patients with Non-Small Cell Lung Cancer and Common Epidermal Growth Factor Receptor Gene Mutations and Brain Metastases. Journal of thoracic oncology: official publication of the International Association for the Study of Lung Cancer 11, 380–390, https://doi.org/10.1016/j.jtho.2015.11.014 (2016).
- Di Lorenzo, R. & Ahluwalia, M. S. Targeted therapy of brain metastases: latest evidence and clinical implications. *Therapeutic advances in medical oncology* 9, 781–796, https://doi.org/10.1177/1758834017736252 (2017).
- Trifiletti, D. M. *et al.* National trends in radiotherapy for brain metastases at time of diagnosis of non-small cell lung cancer. *Journal of clinical neuroscience: official journal of the Neurosurgical Society of Australasia* 45, 48–53, https://doi.org/10.1016/j. jocn.2017.08.028 (2017).
- Shukuya, T. et al. Continuous EGFR-TKI administration following radiotherapy for non-small cell lung cancer patients with isolated CNS failure. Lung cancer (Amsterdam, Netherlands) 74, 457–461, https://doi.org/10.1016/j.lungcan.2011.04.007 (2011).
- Xia, B., Zhang, S. & Ma, S. Management of non-small cell lung cancer with EGFR mutation: the role of radiotherapy in the era of tyrosine kinase inhibitor therapy-opportunities and challenges. *Journal of thoracic disease* 9, 3385–3393, https://doi.org/10.21037/ jtd.2017.09.67 (2017).
- O'Kane, G. M. & Leighl, N. B. Systemic Therapy of Lung Cancer CNS Metastases Using Molecularly Targeted Agents and Immune Checkpoint Inhibitors. CNS drugs 32, 527–542, https://doi.org/10.1007/s40263-018-0526-4 (2018).
- Yoshida, T. *et al.* RECIST progression patterns during EGFR tyrosine kinase inhibitor treatment of advanced non-small cell lung cancer patients harboring an EGFR mutation. *Lung cancer (Amsterdam, Netherlands)* 90, 477–483, https://doi.org/10.1016/j. lungcan.2015.09.025 (2015).
- 17. Chang, W. Y. *et al.* The impact of EGFR mutations on the incidence and survival of stages I to III NSCLC patients with subsequent brain metastasis. *PloS one* **13**, e0192161, https://doi.org/10.1371/journal.pone.0192161 (2018).
- Vaca, S. D., Connolly, I. D., Ho, C., Neal, J. & Hayden Gephart, M. Commentary: Treatment Considerations for Patients With Epidermal Growth Factor Receptor-Mutated Non-Small Cell Lung Cancer Brain Metastases in the Era of Tyrosine Kinase Inhibitors. *Neurosurgery* 82, E6–E14, https://doi.org/10.1093/neuros/nyx429 (2018).
- Franchino, F., Ruda, R. & Soffietti, R. Mechanisms and Therapy for Cancer Metastasis to the Brain. Frontiers in oncology 8, 161, https://doi.org/10.3389/fonc.2018.00161 (2018).
- Sawaya, R. *et al.* Neurosurgical outcomes in a modern series of 400 craniotomies for treatment of parenchymal tumors. *Neurosurgery* 42, 1044–1055; discussion 1055–1046 (1998).
- Goldberg, S. B., Contessa, J. N., Omay, S. B. & Chiang, V. Lung Cancer Brain Metastases. *Cancer J* 21, 398–403, https://doi. org/10.1097/PPO.000000000000146 (2015).
- 22. Yao, Z. H. et al. Real-World Data on Prognostic Factors for Overall Survival in EGFR Mutation-Positive Advanced Non-Small Cell Lung Cancer Patients Treated with First-Line Gefitinib. The oncologist 22, 1075–1083, https://doi.org/10.1634/ theoncologist.2016-0331 (2017).
- 23. Edge, S. B. et al. AJCC Cancer Staging Manual. 7th ed. (Springer-Verlag, 2010).
- Sperduto, P. W. et al. Diagnosis-specific prognostic factors, indexes, and treatment outcomes for patients with newly diagnosed brain metastases: a multi-institutional analysis of 4,259 patients. *International journal of radiation oncology, biology, physics* 77, 655–661, https://doi.org/10.1016/j.ijrobp.2009.08.025 (2010).
- Patchell, R. A. et al. A randomized trial of surgery in the treatment of single metastases to the brain. The New England journal of medicine 322, 494–500, https://doi.org/10.1056/NEJM199002223220802 (1990).
- Kalkanis, S. N. et al. The role of surgical resection in the management of newly diagnosed brain metastases: a systematic review and evidence-based clinical practice guideline. Journal of neuro-oncology 96, 33–43, https://doi.org/10.1007/s11060-009-0061-8 (2010).
- Kocher, M. et al. Adjuvant whole-brain radiotherapy versus observation after radiosurgery or surgical resection of one to three cerebral metastases: results of the EORTC 22952-26001 study. *Journal of clinical oncology: official journal of the American Society of Clinical Oncology* 29, 134–141, https://doi.org/10.1200/JCO.2010.30.1655 (2011).
- Patchell, R. A. *et al.* Postoperative radiotherapy in the treatment of single metastases to the brain: a randomized trial. *Jama* 280, 1485–1489 (1998).
- Schackert, G., Steinmetz, A., Meier, U. & Sobottka, S. B. Surgical management of single and multiple brain metastases: results of a retrospective study. Onkologie 24, 246–255, https://doi.org/10.1159/000055087 (2001).
- Toffart, A. C. et al. Operation and Chemotherapy: Prognostic Factors for Lung Cancer With One Synchronous Metastasis. The Annals of thoracic surgery 105, 957–965, https://doi.org/10.1016/j.athoracsur.2017.10.040 (2018).

- 31. Zhang, J., Yu, J., Sun, X. & Meng, X. Epidermal growth factor receptor tyrosine kinase inhibitors in the treatment of central nerve system metastases from non-small cell lung cancer. *Cancer letters* **351**, 6–12, https://doi.org/10.1016/j.canlet.2014.04.019 (2014).
- Burel-Vandenbos, F., Ambrosetti, D., Coutts, M. & Pedeutour, F. EGFR mutation status in brain metastases of non-small cell lung carcinoma. *Journal of neuro-oncology* 111, 1–10, https://doi.org/10.1007/s11060-012-0990-5 (2013).
- 33. Soon, Y. Y., Leong, C. N., Koh, W. Y. & Tham, I. W. EGFR tyrosine kinase inhibitors versus cranial radiation therapy for EGFR mutant non-small cell lung cancer with brain metastases: a systematic review and meta-analysis. *Radiotherapy and oncology: journal of the European Society for Therapeutic Radiology and Oncology* 114, 167–172, https://doi.org/10.1016/j.radonc.2014.12.011 (2015).

Acknowledgements

This work was supported financially by grants from Kaohsiung Medical University Hospital (KMUH107-7G11), the Drug Development and Value Creation Research Center, Kaohsiung Medical University (KMU-TC108A03) and Center for Cancer Research, Kaohsiung Medical University (KMU-TC108A04), the Ministry of Science and Technology [MOST 107-2922-I-037-015; MOST 108-2314-B-037-021-MY3] in Taiwan. The funding source had no role in the study design; in the collection, analysis and interpretation of data; in the writing of the report and in the decision to submit the article for publication.

Author contributions

H.H.L., C.H.C. and M.Y.H. participated in the design of the study, interpretation of data, and drafted the manuscript. H.H.L. wrote the manuscript. C.H.C., Y.W.H. and H.Y.C. performed the statistical analysis and interpreted the clinical data. All authors read and approved the final manuscript.

Competing interests

The authors declare no competing interests.

Additional information

Correspondence and requests for materials should be addressed to M.-Y.H.

Reprints and permissions information is available at www.nature.com/reprints.

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit http://creativecommons.org/licenses/by/4.0/.

© The Author(s) 2019