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The waveguiding of sound using lines of resonant holes

G. P. Ward¹, A. P. Hibbins¹ , J. R. Sambles¹ & J. D. Smith²

The dispersion of an acoustic surface wave supported by a line of regularly spaced, open ended holes in an acrylic plate, is characterised by precise measurement of its localised acoustic fields. We illustrate the robust character of this surface wave and show its potential for control of sound by the acoustic waveguiding provided by a ring of regularly spaced holes. A single line of open-ended holes is shown to act as simple acoustic waveguide that can be readily manipulated to control the flow of sound.

Sound is one of nature's oldest explored phenomena. Thomas Young, famed for his optical two slits experiment, studied in some detail sound's properties¹, while, in the nineteenth century Lord Rayleigh's two volume tome 'The Theory of Sound'², covered in substantial depth most of this classical field of study. Recently however, there has been a dramatic increase in research around sound interacting with the ever-growing field of metamaterials. The use of metamaterials to control the propagation of surface energy can be traced back to the 1940's³. However it was Ebbesen *et al.*'s 1998 work⁴ that is in many ways responsible for the explosion of interest in the use of structured surfaces to generate and control localized surface waves. Following the realization by Pendry *et al.*⁵ that with a simple holey metallic plate it was possible to excite a surface-plasmon-like resonance (the 'spoof-surface-plasmon') even when the metal is perfectly conducting, came the reawakening that materials structured on scale lengths of order of or less than the wavelength's being probed, metamaterials, could provide a wide range of designer-controlled responses to incident waves. From this soon followed the realization of acoustic metamaterials^{6,7}, breathing fresh life into this somewhat dormant research field.

The 'spoof surface plasmon' is a mode localised to a structured surface, whose fundamental resonant frequency (much lower than the electron plasma frequency) is dictated by the structure. Although, in acoustics, there exists no direct acoustic equivalent to the surface plasmon, there is an analogue to the 'spoof surface plasmon': an 'acoustic-surface-wave' (ASW). (Other terms are used to label these waves, such as such as 'leaky guided modes'⁸ or Spoof Surface Acoustic Waves (SSAWs)⁹). Such an ASW is supported by perforated, rigid structures¹⁰. Using bulk, structured solids, i.e. acoustic metamaterials, a range of novel acoustic phenomena have been explored including Enhanced Acoustic Transmission (EAT)^{11–13}, collimation and focusing^{9,14}, subwavelength imaging^{15,16}, negative refraction^{17–21} and cloaking^{22,23}. In this present study we explore the acoustic response of possibly the simplest of all ASW supporting surfaces, a line of holes in an assumed infinitely rigid plate. Each of the identical holes is an acoustic resonant cavity, whose resonant frequency is dictated by the thickness of the plate and is modified by diffractive end effects. Close to the resonant frequency of the holes, the collective response afforded by diffractive coupling of the sound field between these holes, there exists an Eigen mode whose fields are strongly localized at the metamaterial surface¹⁰. This mode has too much momentum to radiate into free space as plane waves. It is thus a trapped wave, an ASW^{10–13}, that decays exponentially away from the structured surface.

Here, a near-field measurement technique provides direct imaging, and hence characterisation, of the acoustic waveguiding provided by a single line of rigid-walled open-hole resonators, for the sake of brevity hereafter we term this an 'Acoustic Line Mode' (ALM). Using such a line of equally spaced holes arranged to form a ring we illustrate how this ALM may be directed by design illustrating its potential for novel manipulation of acoustic energy.

The two experimental samples studied are depicted in Fig. 1A,B. Figure 1A is a simple line of 105 equally spaced open-ended holes, laser cut into a plate of acrylic. Figure 1B illustrates the second sample, which is a ring of 80 holes (with periodicity around the ring close to the periodicity in x of the line sample), mechanically drilled into a square plate of acrylic. These structures are designed to support ALMs on their surfaces, which decay away exponentially in z. As will be shown, the ALM on the L-sample is indeed non-radiative. The mode supported by the R-sample is weakly radiative due to the curvature of the line²⁴. To achieve excitation of the mode on both samples, the tip of a hollow cone attached to a loud speaker, which approximates a point source, was placed

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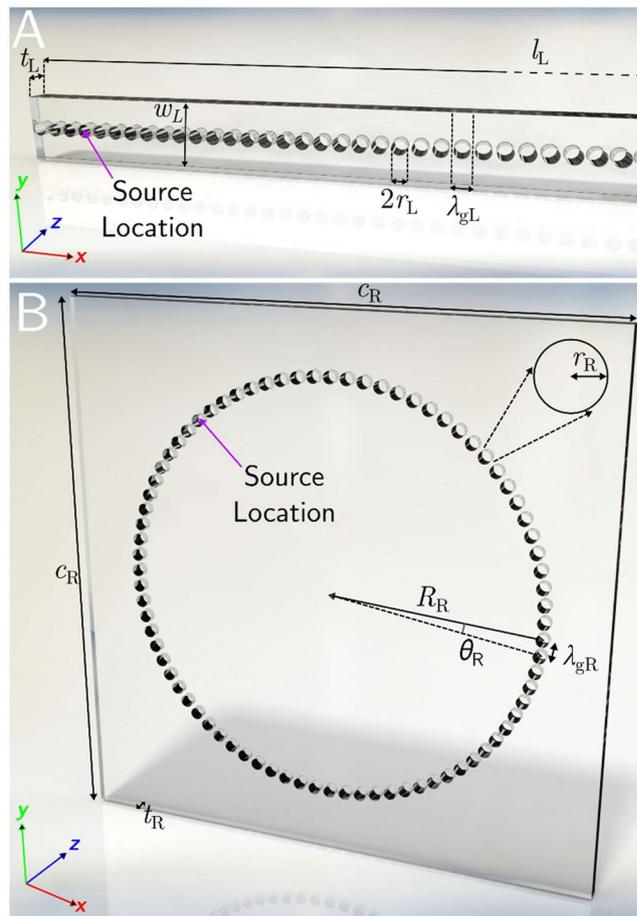


Figure 1. (A) Schematic of the 105 hole line (L) sample. The acrylic plate has dimensions $l_L = 840.00$ mm (truncated in the figure) and $w_L = 30.00$ mm, with thickness (hole depth) $t_L = 9.80 \pm 0.10$ mm. The periodicity is $\lambda_{gL} = 8.00$ mm in the x direction. Each hole is of radius $r_L = 3.25 \pm 0.005$ mm. (B) Schematic of the 80 hole ring (R) sample. The acrylic plate has side length $c_R = 29$ mm with thickness (hole depth) $t_R = 7.51 \pm 0.06$ mm. The radius of the ring in which the hole centres are arranged is $R_R = 10.1 \pm 0.05$ mm. Each of the holes is of radius $r_R = 3.35 \pm 0.005$ mm, separated by arc $\theta_R = 2\pi/80$ giving a centre to centre hole spacing (periodicity) of $\lambda_{gR} \approx 8.00$ mm.

inside one of the holes at the positions indicated in Fig. 1. This loud speaker was driven by a Gaussian-shaped electrical pulse containing a broad range of frequencies (~ 4 – 18 kHz), thereby exciting the ALM over a frequency band which includes the expected resonant frequency of each hole. The detector ‘needle’ microphone, mounted on a motorized translation stage and with its 0.5 mm radius tip placed less than 1 mm above the sample surface (which lies in the x-y plane), then records the pulse for a square array of points parallel to the x-y plane covering the area of the sample. This time domain data was then Fourier analysed to provide amplitude and phase for each frequency at each point in space. The dispersion of the surface wave may then be achieved by subsequent spatial Fourier analysis of each individual frequency map.

Experimental pressure-field maps illustrating excitation and propagation of the ALM on a single line of holes are presented in Fig. 2. The geometry of the open ended holes are clearly evident in the pressure fields, even at 11 kHz despite the radius r_L being $\sim 10\%$ of the excitation wavelength of the radiation. By taking the 2D Fourier transform of the pressure field at each frequency component of the excitation pulse, the amplitude of each wave-vector-component (k_x and k_y) is calculated. Then ‘stacking’ in frequency each of these 2D arrays of data and taking a plane through $k_y = 0$ allows visualization of the f - k_x dispersion relation of the modes supported. This procedure gives Fig. 3, the experimentally determined dispersion of the ALM supported by the line sample along the k_x direction. Overlaid (green circles) are the Eigenfrequencies of the structure calculated using a finite-element-method (FEM) model. The sound line, $k_0 = 2\pi/\lambda_0$, i.e. the dispersion of a plane wave in free space propagating along the surface, is shown by the white solid line (Acoustic properties for air taken from Cramer²⁵).

The ALM is visible in Fig. 3 as a strong feature in the non-radiative regime $k_x > k_0$, showing that it is indeed trapped and does not radiate from the surface. The mode exists with increasing wave-vector component along the surface until the first Brillouin zone boundary, where it becomes a standing wave with zero group velocity and can no longer be detected with the time-gated pulse technique. (Over most of the frequency range it has a $1/e$ amplitude decay length of order 10 to 200 mm.) There is a fainter curve of identical shape in the negative half of

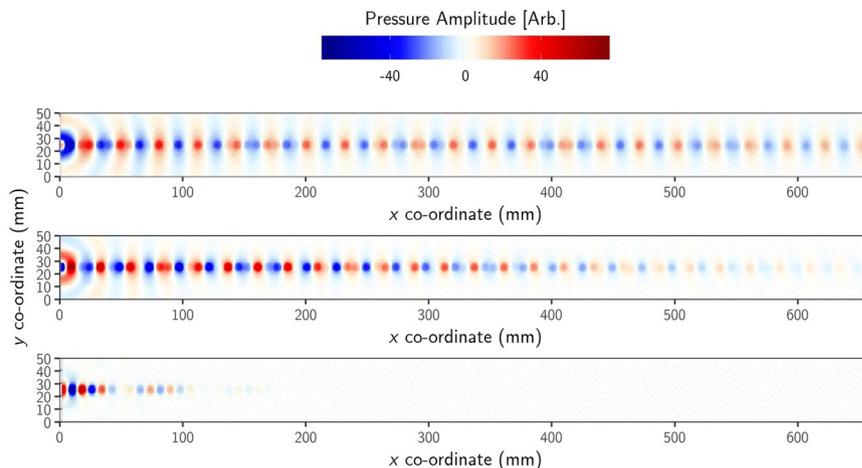


Figure 2. Experimental data of the instantaneous pressure field Δp at three frequencies: top 11 kHz; middle 12 kHz; bottom 13 kHz, measured as a function of x and y coordinates along the surface of the line sample. The point-like source was located at $x = 0$ mm, $y = 0$ mm.

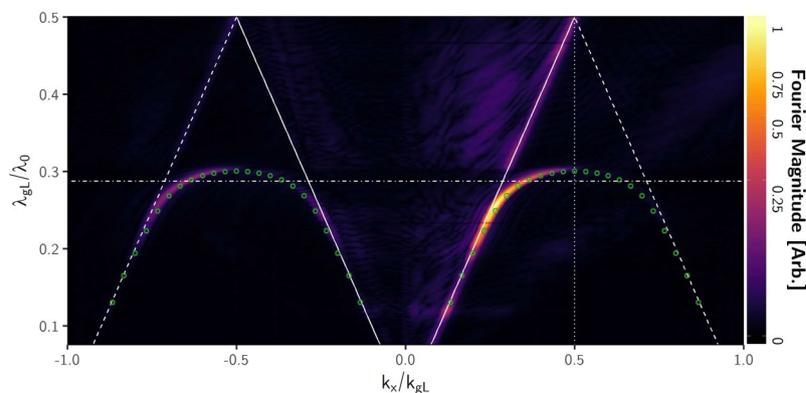


Figure 3. Experimental dispersion diagram for the line sample, obtained from the spatial Fourier transforms of the measured pressure fields on its surface. The magnitude of the Fourier transform is plotted as a function of the ratio of grating periodicity λ_{gL} to incident wavelength λ_0 vs the normalized in-plane wavevector, k_x/k_{gL} , along the array surface. The first Brillouin zone is at $k_x/k_{gL} = 0.5$. The horizontal dot-dashed line marks the frequency to which Fig. 2 corresponds. The solid white lines represent the 'sound lines', the maximum wavevector $k_0 = 2\pi/l_0$ that a grazing incidence sound wave can possess. The numerically calculated dispersion is represented by the overlaid green circles.

k -space, i.e. corresponding to a surface wave propagating in the negative x -direction. Because the excitation probe was placed near one end of the sample this dispersion arises from waves reflected from the far end of the sample.

As mentioned, the ALM arises through diffractive near-field coupling between fields in adjacent holes. Given that the fields in the holes are sufficiently well coupled, which is dependent on the frequency, geometry and spacing of the resonators, then ALMs will exist, opening up the potential for bespoke control of acoustic surface energy trapped on a surface. The second sample studied in the work, designed to illustrate this, is comprised of a ring of equally spaced holes with centre points on a ring of radius R_R (Fig. 1B).

Figure 4A is the experimentally determined instantaneous pressure field of the ring sample (R) excited by an acoustic pulse, and the data extracted for 14.625 kHz, corresponding to $\lambda_{gR}/\lambda_0 = 0.341$. Similarly to the data from the line (L) sample, (Fig. 2.), it is clear that an ALM is supported by the structure. Following the same procedure described above to produce the data illustrated in Fig. 3, we derive the dispersion of the mode supported by the R-sample (Fig. 4B). The key difference is that the spatial Fourier transforms are performed with an orthogonal grid of polar coordinates rather than Cartesian, allowing representation of the reciprocal lattice as a function of k_θ (which is proportional to the angular momentum number²⁴) and k_r . Any plane of this data set taken through $k_r = 0$ illustrates the dispersion. The change of co-ordinates also has important implications for the definition of a trapped surface wave. The angular wavelength λ_θ is determined by $\theta \times r$, hence the definition of free space wavevector $k_{\theta 0} = 2\pi/\lambda_{\theta 0}$ is not fixed. This means that at a given frequency, the speed of the curved wavefronts will depend on the radial coordinate r . At some radius, to keep the phase fronts radial, the wavefront would need to travel faster than the speed of sound c , hence the wave will always have a radiative component. To make a

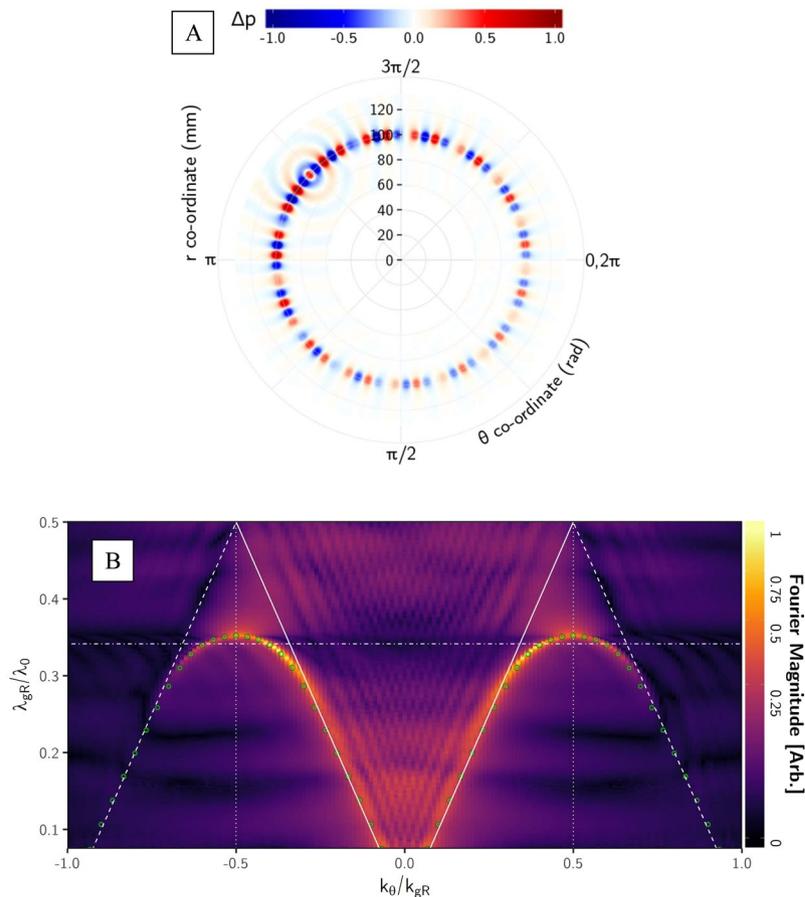


Figure 4. (A) Polar plot of experimental data showing instantaneous pressure field Δp at a frequency of 14.625 kHz ($l_{gR}/l_0 = 0.341$), measured just above the ring sample's surface. The point-like source was located inside the hole at $r \sim 100$ mm, $\theta = 2.3$ rad. (B) Experimental dispersion diagram for the ring sample, obtained from the spatial Fourier transforms (in polar coordinates) of the measured pressure fields. The magnitude of the Fourier periodicity λ_{gR} to incident wavelength λ_0 vs normalized in-plane wavevector k_θ , along the array surface in the θ direction, along the holes. λ_{gR} is defined at the radius R_R , the center of each hole. The solid white lines represent the 'sound lines', the maximum wavevector $k_{\theta 0} = 2p/l_{q0}$ that a grazing incidence sound wave can possess, here in the k_θ direction, while the dashed white lines are the diffracted sound lines $\pm(k_{\theta 0} + k_{gR})$, where $k_{\theta 0}$ and k_{gR} have also been defined at the radius R_R . The horizontal dot-dashed line marks the frequency to which Fig. 4 corresponds. The numerically calculated dispersion is represented by the overlaid green circles.

comparison between the dispersion of the line sample and the ring sample requires that for the ring sample we chose a value for k_θ , using an arbitrary radius to define λ_θ . To maintain consistency, the radius chosen was R_R to the center of each hole cavity; at this radius, the periodicity in θ (λ_{gR}) is equivalent to the periodicity in x (λ_{gL}) of the line sample (≈ 8 mm). This has been done in Fig. 4B, where the marked sound lines and Brillouin zones use k_{gR} and $k_{\theta 0}$ defined accordingly, as well as the grating periodicity λ_{gR} .

Here again the ALM is clear in the Fourier transformed data in Fig. 4B with a dominant feature visible in the regimes $|k_\theta| > |k_{\theta 0}|$ having greater momentum than is possible for a grazing wave, at the radius R_R . With increasing k_θ , the mode forms a standing wave in θ at its equivalent Brillouin zone asymptote $k_\theta/k_{gR} = 0.5$, with its decay length along θ decreasing as this asymptote is approached. The overlaid green circles represent the Eigenfrequency solutions from the FEM model. Thus, these ALMs are robust and can indeed follow lines of holes on a surface, provided the local in-plane curvature of the line is not so severe (i.e. small R_R) that the radiative losses become significant.

In conclusion, it is shown that a line of holes acts as a simple acoustic waveguide through the excitation of a trapped acoustic surface wave demonstrated via a high resolution acoustic imaging technique. Using spatial Fourier transforms, the dispersion of the mode supported by these simple hole structures are directly recorded. A single, one-dimensional line of open-ended rigid-walled holes is characterized, where it is found that the fields in the holes couple and support a strong surface wave. Secondly a line of holes is configured into the shape of a ring, where it is shown that waveguiding persists as a strong feature following the ring's circumference. This type of trapped surface wave is robust to slow arbitrary changes in direction and offers opportunity as a novel method for controlling sound.

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Author Contributions

G.P. Ward: Undertook the sample modelling, their design, the experimentation, interpreted the results and drafted the paper. A.P. Hibbins: Jointly supervised the project, helped in the measuring equipment fabrication, helped interpret the data and redrafted the paper. J.R. Sambles: Jointly supervised the project, helped design the samples, helped in the analysis and interpretation of the data, redrafted the manuscript and submitted the final article. J.D. Smith: Co-supervised the student, added theoretical insight into the interpretation of the data and helped in redrafting the manuscript.

Additional Information

Competing Interests: The authors declare no competing interests.

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