

SCIENTIFIC REPORTS



OPEN

Self-assembled Cubic Boron Nitride Nanodots

Alireza Khanaki¹, Zhongguang Xu¹, Hao Tian¹, Renjing Zheng¹, Zheng Zuo¹, Jian-Guo Zheng² & Jianlin Liu¹

Received: 14 November 2016

Accepted: 15 May 2017

Published online: 22 June 2017

One of the low-dimensional Boron Nitride (BN) forms, namely, cubic-BN (c-BN) nanodots (NDs), offers a variety of novel opportunities in battery, biology, deep ultraviolet light emitting diodes, sensors, filters, and other optoelectronic applications. To date, the attempts towards producing c-BN NDs were mainly performed under extreme high-temperature/high-pressure conditions and resulted in c-BN NDs with micrometer sizes, mixture of different BN phases, and containing process-related impurities/contaminants. To enhance device performance for those applications by taking advantage of size effect, pure, sub-100 nm c-BN NDs are necessary. In this paper, we report self-assembled growth of c-BN NDs on cobalt and nickel substrates by plasma-assisted molecular beam epitaxy. It is found that the nucleation, formation, and morphological properties of c-BN NDs can be closely correlated with the nature of substrate including catalysis effect, lattice-mismatch-induced strain, and roughness, and growth conditions, in particular, growth time and growth temperature. The mean lateral size of c-BN NDs on cobalt scales from 175 nm to 77 nm with the growth time. The growth mechanism of c-BN NDs on metal substrates is concluded to be Volmer-Weber (VW) mode. A simplified two-dimensional numerical modeling shows that the elastic strain energy plays a key role in determining the total formation energy of c-BN NDs on metals.

Boron nitride (BN) is a III-V compound and isoelectronic to the similarly structured carbon lattice, i.e., can possess sp^2 - and sp^3 -bonded phases. This feature leads to a variety of crystalline BN forms including hexagonal (h-BN), rhombohedral (r-BN), turbostratic (t-BN), cubic (c-BN) and wurtzite (w-BN). Among all these crystalline forms, bulk c-BN is most thermodynamically stable, which has excellent physical and chemical properties such as super high hardness (>70 GPa), wide direct band-gap energy ($E_g \approx 6.4$ eV), doping ability for both p- and n-type conductivity, very high isotropic thermal conductivity ($13 \text{ Wcm}^{-1}\text{K}^{-1}$) along with very low linear thermal expansion ($1.2 \times 10^{-6} \text{ }^\circ\text{C}^{-1}$), low dielectric constant (7.1), high breakdown field ($\sim 0.7 \text{ Vnm}^{-1}$), high-oxidation resistant (>1300 °C), and high structural and chemical stability. These intriguing properties have attracted many researchers to work on synthesis, characterization, and implementation of c-BN bulk and thin film materials for a broad range of mechanical, thermal and optoelectronic applications^{1–10}.

Low-dimensional c-BN nanodots (NDs) have their unique applications in addition to those enabled from their bulk counterparts. More specifically, the nanometric size c-BN nanodots with a large surface area can enhance performance of many devices and systems. For example, c-BN NDs can be integrated with lithium metal oxide/phosphide ($\text{LiMO}_2/\text{LiMPO}_4$) cathode materials used in Li-ion batteries and act as a fast heat dissipater with low thermal expansion to improve their safety features, or as an agent to increase their thermal and chemical stabilities¹¹. Also, c-BN NDs can be used as a catalyst support for all applications in which the process requires relatively high temperatures¹². Furthermore, they are considered as a well-suited candidate for variety of biological applications such as high-contrast bioimaging probe, cell tracking, and gene technology owing to their fast and uniform agglomeration around the targeting material, strong fluorescence properties, and excellent biocompatibility¹³. Nanometric c-BN dots can be also used as a very fine polishing agent because of their size and high hardness¹⁴. Surface-plasmon-mediated deep ultraviolet ND light emitting diodes, sensors, and electrically insulating/thermally conductive filters in high-power/high-frequency electronics operating in harsh environments are among other examples that may also benefit from c-BN NDs.

Industrial c-BN materials are produced in large quantities as powders with sizes ranging from submicron to millimeters by a high-pressure high-temperature (HPHT) method. However, because of very high growth

¹Quantum Structures Laboratory, Department of Electrical and Computer Engineering, University of California, Riverside, CA, 92521, USA. ²Irvine Materials Research Institute University of California, Irvine, CA, 92697-2800, USA. Alireza Khanaki and Zhongguang Xu contributed equally to this work. Correspondence and requests for materials should be addressed to J.L. (email: jianlin@ece.ucr.edu)

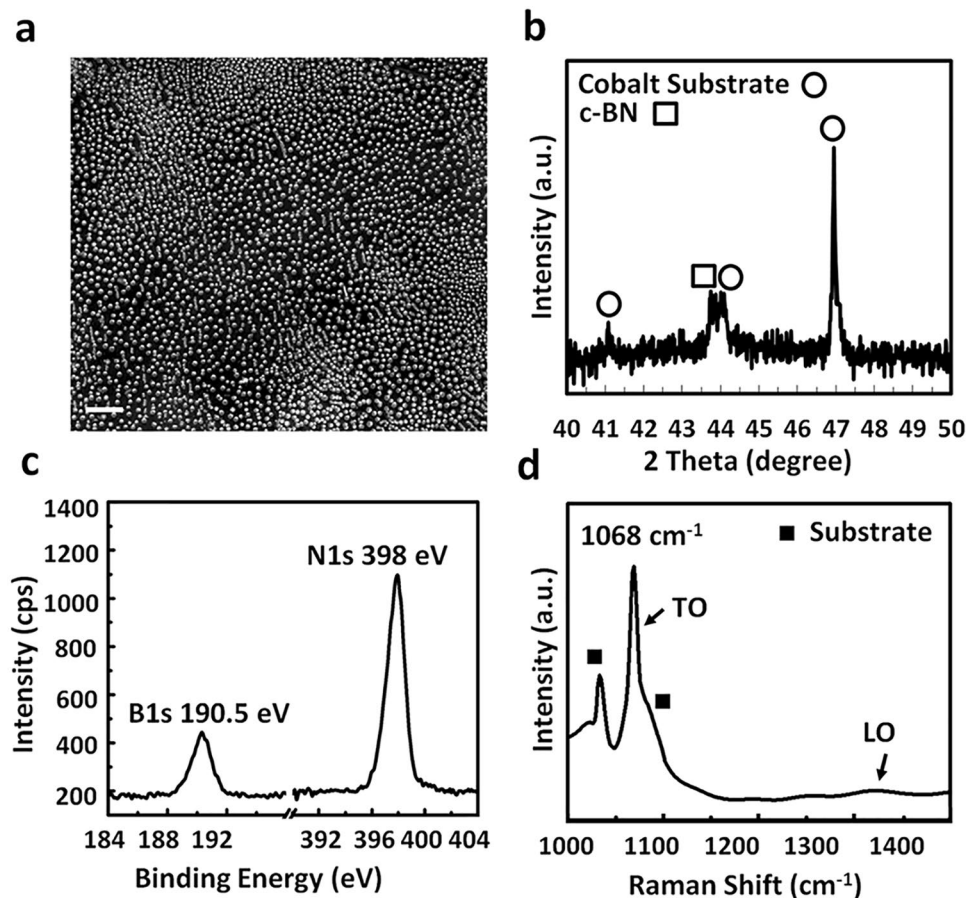


Figure 1. Characterization of self-assembled c-BN NDs grown on Co foil substrate by plasma-assisted MBE. The sample was grown at 900 °C for 10 minutes. **(a)** SEM image of the sample showing a relatively uniform distribution of NDs over a large area. The average NDs lateral size and density are estimated to be ~ 87 nm and $\sim 4.08 \times 10^9$ cm⁻², respectively. The scale bar is 1 μm. **(b)** Short-range X-ray diffraction pattern of c-BN NDs on Co foil substrate showing a dominant peak at 43.89° corresponding to (111) crystal plane diffraction of c-BN NDs with the fcc structure. **(c)** B1s and N1s XPS signals of NDs. **(d)** Raman spectrum of c-BN NDs showing an evident TO phonon peak located at 1068 cm⁻¹.

temperature, in turn, fast growth rate, the size of c-BN particles could not easily reach below submicron¹⁵. So far, there are very few experimental works for preparation of nanometric c-BN particles or NDs. Among these efforts, phase transformation, laser ablation, and solvothermal reaction have been mostly attempted. Phase transformation of graphite-like (hexagonal) or amorphous BN precursors usually requires extremely high pressure of several tens GPa and high temperature of 1300~1700 °C^{16,17}. Laser ablation technique also uses hexagonal BN precursors, which requires a high-power laser to provide locally high pressure/temperature for c-BN NDs growth¹⁸. Chemical routes can also generate a large amount of c-BN materials, however, controlling the size, morphology, phase and purity of as-grown NDs is difficult thanks to low reaction temperature^{19,20}. Aside from having to use extreme growth conditions, such synthesis approaches often lead to the final products with either a mixture of different BN phases, e.g. h-BN/c-BN, or containing process-related impurities/contaminants^{16–20}.

In contrast, self-assembled growth of NDs has been identified as an important nanofabrication process in which the building blocks spontaneously organize into random and/or ordered distributions by thermodynamic and other constraints²¹. However, in order to successfully exploit NDs self-assembly in technological applications and to ensure effective scale-up, a high level of control using ultra high vacuum chemical vapor deposition (CVD) or molecular beam epitaxy (MBE) is desirable. As a versatile tool, MBE has natural advantages in high-quality materials growth including thin films and self-assembled NDs because of its ultra-high vacuum environment and instant introduction and control of multiple sources^{22–28}. Therefore, the direct growth of c-BN NDs by MBE offers reduced complexity of fabrication procedure as well as a great degree of quality, purity, and reproducibility. Here we report MBE growth and characterization of self-assembled c-BN NDs on cobalt (Co) and nickel (Ni) metal substrates. A simplified numerical model is also introduced to simulate the formation energy behaviour of c-BN NDs on metal substrates.

Results

Self-assembled growth of c-BN NDs on Co substrate. Figure 1(a) shows an SEM image of a c-BN NDs sample, which was grown on Co foil substrate at 900 °C for a duration of 10 minutes, showing a relatively

uniform distribution of NDs over a large area of the sample. Using ImageJ software, the density of NDs was estimated to be $\sim 4.08 \times 10^9 \text{ cm}^{-2}$ (see Supplementary, Fig. S1). The lateral size of NDs was also estimated to be 80–90 nm. Figure 1(b) shows X-ray diffraction pattern of the c-BN NDs sample. The dominant peak at 43.89° was assigned to (111) crystal plane diffraction of c-BN NDs with the fcc structure according to JCPDS#025-1033. Short-range (2θ : $40\sim 50^\circ$) survey was chosen for Fig. 1(b) to resolve the peak deconvolution for c-BN (111) and Co (002) occurred near 44° diffraction angle. Full-range XRD pattern (2θ : $20\sim 90^\circ$) of the sample is also shown in Fig. S2 (see Supplementary). According to the full-range scan, no other diffraction of BN related materials can be observed, suggesting that NDs are dominantly cubic in structure¹⁶. Due to the much lower peak intensity compared to those from the substrate, the second and third order diffraction peaks of c-BN NDs are not detected in the spectrum. The calculated unit cell parameter from the XRD result of c-BN NDs is 3.57 Å. A slight change from its bulk number of 3.61 Å suggests that some strain still exists after the formation of the dots²⁹. It is worth mentioning that the lattice of c-BN NDs may be distorted unevenly in the in-plane and cross-plane directions since the $\theta/2\theta$ peak only gives information about (111) plane distance. Furthermore, as the self-assembly formation of dots represents a process of strain relaxation due to lattice mismatch between the dots and substrate, the degree of strain relaxation may also vary from dots with different sizes and shapes, and from near the substrate-NDs interface to the upper part within the same dot, as shown in TEM imaging later. Figure 1(c) shows XPS spectrum of B1s and N1s signals. B1s and N1s exhibit an energy position at 190.5 eV and 398.0 eV, respectively, which are typical characteristics of c-BN material³⁰. By using sensitivity factors from the instrument manufacturer and calculating atomic % of each atom, the B/N ratio is 1.01, suggesting an almost equal composition of boron and nitrogen elements.

Figure 1(d) shows a Raman spectrum of the c-BN NDs sample. A peak at 1068 cm^{-1} is evident, which shows a large shift of 12 cm^{-1} towards a higher wavenumber compared to the characteristic transverse optical (TO) phonon mode of c-BN bulk material (1056 cm^{-1})³¹. This could be due to the strain effect induced by lattice mismatch between the Co substrate and c-BN NDs and/or internal NDs crystal defects³¹. The intensity of the longitudinal optical (LO) phonon mode at about 1306 cm^{-1} is much weaker than that of the TO phonon mode, which could be due to the size effects from c-BN NDs or internal lattice defects³². Also, according to the Raman selection rule, the observation of TO and LO phonon modes indicates that the c-BN NDs growth has dominantly occurred along $\langle 111 \rangle$ direction, which is consistent with our XRD results (Fig. 1(b))³³. No h-BN phonon modes (e.g., E_{2g} at about 1366 cm^{-1}) are observed as well. The full width at half maximum (FWHM) of the TO phonon peak is 10 cm^{-1} , which is twice as large as that of a single crystal c-BN material having a high quality and a size of 1–2 nm obtained by a high-pressure method³⁴. The difference could be explained by the small and non-uniform size effects on the FWHM of the phonons in the NDs³⁵. A peak at 1034 cm^{-1} and its shoulder at around 1086 cm^{-1} in the Raman spectrum are mainly caused by the post-growth Co surface oxidation and subsequent formation of CoO, Co(OH)₃, CoO(OH), and Co₃O₄ (see Supplementary, Fig. S3)^{36–38}. Other materials such as boron oxide (B₂O₃)³⁹, boric acid (H₃BO₃)⁴⁰, boron carbide (B₄C)⁴¹, carbon nitride (CN)⁴², and cobalt boride (CoB)⁴³ do not exist in our c-BN NDs based on their Raman, XRD, and XPS characteristics, suggesting the formation of pure c-BN phase in this synthesis method.

Figure 2(a–d) show SEM images of c-BN NDs samples grown at different growth time of 10 seconds, 30 seconds, 5 minutes, and 45 minutes, respectively. All the samples were grown at 900°C . Therefore, together with the 10-minute sample discussed earlier, we have total five samples to study the growth time dependence. According to Fig. 2(a) and (b), even at a short growth time of 10 or 30 seconds, high-density NDs have been formed on the Co foil surface, suggesting that the growth rate should have been fast. The fast growth rate of NDs within a short period of time can be due to the catalytic effect of Co substrate, which reduces the NDs growth formation energy^{44–46}. In addition, the rough surface of the Co substrate ($R_q \sim 187 \text{ nm}$) provides a great number of preferential nucleation sites for NDs growth⁴⁷. As a result, NDs notably increase in size as the growth time increases within this short growth time (Fig. 2(a) and (b)). However, as the growth time further increases (up to 45 minutes), the NDs growth rate reduces and the NDs become more uniform in size and shape (Fig. 2(c) and (d)). This is reasonable since it is less thermodynamically favorable for incoming boron and nitrogen atoms to grow upon previously grown c-BN NDs compared to that of fresh Co foil surface thanks to different formation energy on these surfaces^{48,49}. In addition, it has been theoretically shown that the surface free energy barrier is the largest at the edges of the NDs due to NDs surface strain, and decays as the adatoms move away from them, further suggesting that the adatoms tend to form new NDs instead of accumulating with previously grown ones⁴⁹. As the growth time lapses, the substrate surface is eventually covered with dense NDs and simultaneously run out of preferential nucleation sites. Further introduction of sources onto the substrate would lead to the accumulation of adatoms on existing NDs. During this period, two competing processes may co-exist for those relatively small NDs: higher-strained, smaller NDs grow faster than less-strained larger ones in order to reduce their larger surface strain and total free energy^{49,50}. On the other hand, boron and nitrogen atoms may leave very small or irregular-shaped NDs to settle into larger NDs^{51,52}. The competing processes eventually bring about more uniformity in size with time. It should be also noted that apparent chain-like alignment of NDs is observed in the SEM images. This is related to the substrate roughness effect, which is discussed later⁵³.

Figure 3(a) shows histogram plot of NDs lateral size distributions as a function of growth time using ImageJ software (see Supplementary, Fig. S1). The number of NDs and their lateral size were approximated for an area of $4 \mu\text{m}^2$. It is worth mentioning that the effort to extract vertical height information of these dots by AFM was halted as a result of quite rough Co foil substrate surface. According to Fig. 3(a), the distribution of ND sizes narrows from 30–175 nm (mean: 77.6 nm, standard deviation: 38.3 nm) to 30–155 nm (mean: 93 nm, standard deviation: 23.8 nm), 50–142 nm (mean: 102.5 nm, standard deviation: 16 nm), 62–190 nm (mean: 112.7 nm, standard deviation: 19.2 nm), and finally 140–210 nm (mean: 174.4 nm, standard deviation: 18.6 nm) as the growth time increases from 10 seconds to 30 seconds, 5 minutes, 10 minutes and finally to 45 minutes. Moreover, since the area of survey for each sample is constant ($4 \mu\text{m}^2$), the number of NDs becomes less as the growth time progresses,

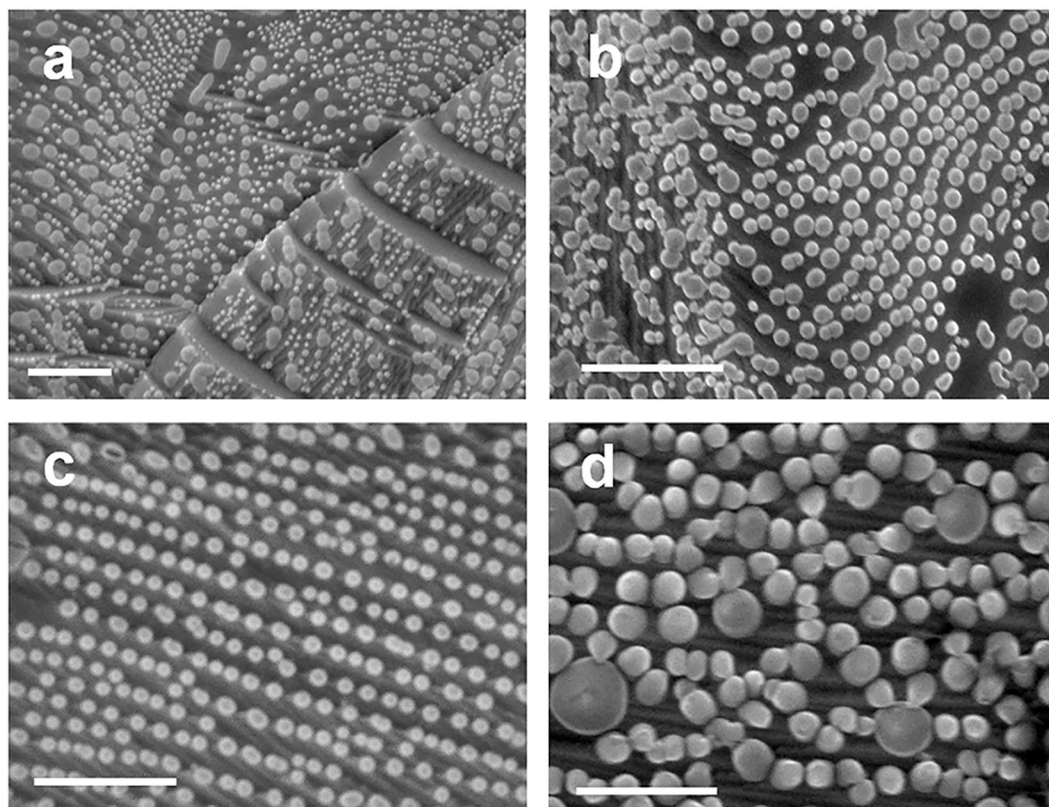


Figure 2. Morphology evolution of the c-BN NDs as a function of growth time. SEM images of samples grown at (a) 10 seconds, (b) 30 seconds, (c) 5 minutes, and (d) 45 minutes. All the samples were grown at the same growth temperature of 900 °C and all the scale bars are 1 μm.

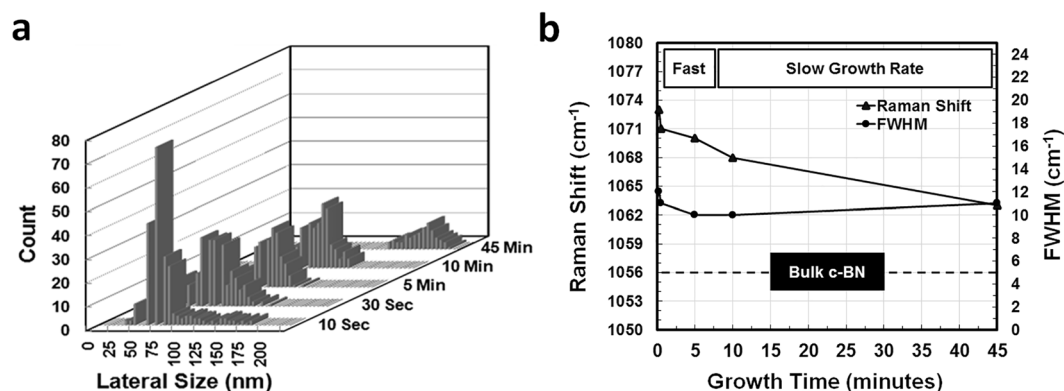


Figure 3. Growth time-dependent features analysis. (a) Graphical representation of c-BN NDs on Co lateral size distributions by histogram; (b) Raman shift and FWHM of TO phonon peak of c-BN NDs versus growth time. Raman shift evolves from 1073 to 1063 cm⁻¹. The size dependence of FWHM of the TO phonon peak is weak in a range of 10~12 cm⁻¹. The dashed line in (b) shows the position for characteristic TO phonon peak and FWHM of bulk c-BN.

suggesting that NDs become larger and their density reduces. Figure S4 (see Supplementary) shows Raman spectra for all five samples and Fig. 3(b) shows Raman shift and FWHM of TO phonon peak of ND sample as a function of growth time. Raman characteristic peak blue-shifts from 1073 to 1063 cm⁻¹ as the NDs enlarge with the growth time. Interestingly, the rate change in Raman peak wavenumber is also in accordance with the observed trend for changes in the growth rate of NDs in the SEM images (Figs 1(a) and 2). However, the FWHM of the TO phonon peak in a range of 10~12 cm⁻¹ does not have a clear dependence of the size of the dots. It should be noted that the intensity of LO phonon modes decreases as the decrease of the growth time, which may be due to relaxation of Raman selection rules for smaller c-BN NDs^{32,33}.

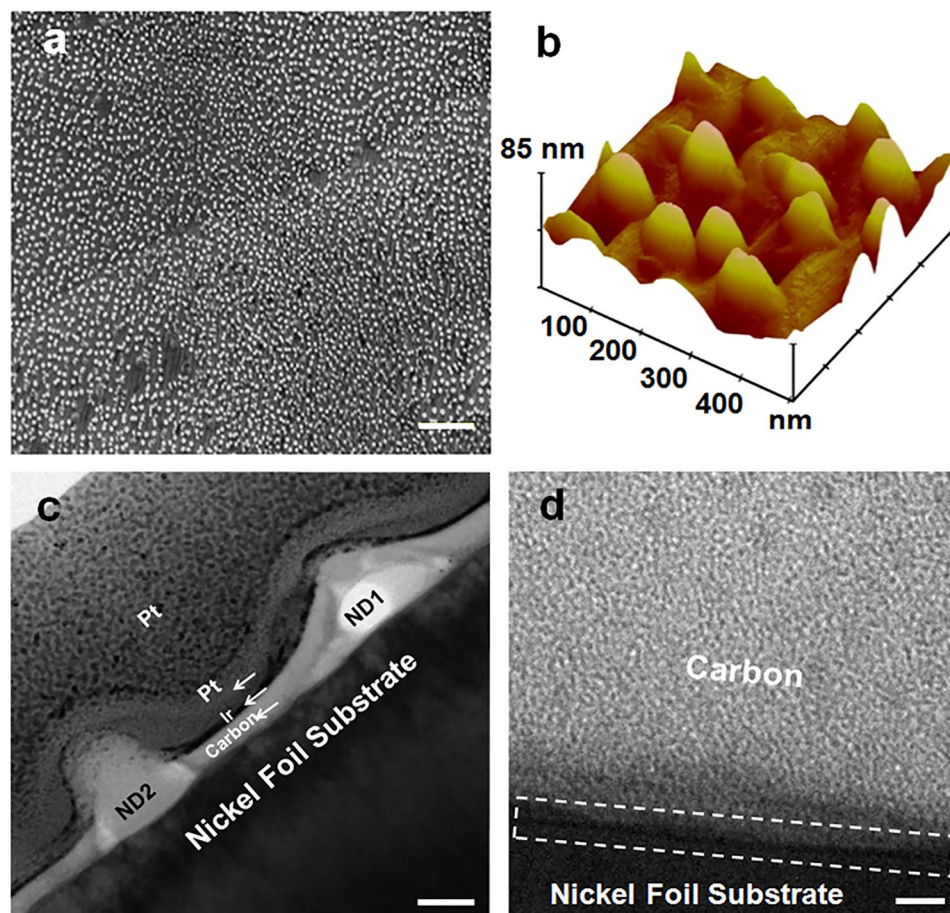


Figure 4. Characterization of c-BN NDs on Ni foil substrate. The sample was grown at 900 °C for 10 minutes. (a) SEM image of the sample. The NDs are uniformly distributed over a large area. The density of NDs is estimated to be $\sim 2.3 \times 10^9 \text{ cm}^{-2}$. The scale bar is 1 μm . (b) AFM image of the sample, c-BN NDs have average lateral and vertical sizes of ~ 95 and ~ 45 nm, respectively. (c) Cross-sectional bright field TEM image of the sample. Two c-BN NDs are resolved in the image. (d) Higher resolution bright field TEM image of the sample. The dashed-line rectangle shows the interface between the carbon coating layer and Ni foil substrate between the two NDs. No c-BN wetting layer underneath or near the NDs area can be observed, indicating VW growth mode.

Self-assembled growth of c-BN NDs on Ni substrate. In order to study different substrate effect on the formation of c-BN NDs, Ni foil was also used. Because of its similarity with Co in terms of catalytic effect⁵⁴ and lattice mismatch with c-BN, it is expected that c-BN NDs can also be formed on Ni substrate. Figure 4(a) shows an SEM image of a c-BN NDs sample grown at 900 °C for 10 minutes. X-ray diffraction, XPS, and Raman spectroscopy characterization of the sample indicates that these NDs are c-BN (see Supplementary, Fig. S5). As seen from the SEM image, a relatively uniform distribution of c-BN NDs is achieved over a large area of the Ni substrate. The density of NDs is estimated to be around $\sim 2.3 \times 10^9 \text{ cm}^{-2}$, which is lower than that on Co foil sample under the same growth condition (Fig. 1(a)). This might be due to the smoother surface of Ni foil, in turn, less preferential nucleation sites (see Supplementary, Fig. S6). Using AFM characterizations (Fig. 4(b)), the average lateral and vertical sizes of c-BN NDs were estimated to be ~ 95 and ~ 40 nm, respectively.

Figure 4(c) shows a bright field cross-sectional TEM image of the sample. Two c-BN NDs are evident within the imaging area. Figure 4(d) shows a higher resolution bright field TEM image of the sample area between the two NDs. A dashed-line rectangle in Fig. 4(d) is used to guide the eyes on the interface between the carbon coating layer and Ni foil substrate. No c-BN epilayer/wetting layer underneath or between the NDs can be observed, indicating that the c-BN ND growth undergoes Volmer-Weber (VW) growth mode⁴⁹. This is reasonable because the lattice mismatch between c-BN and Co or Ni substrates prevents the formation of strained two-dimensional BN wetting layer at the early stage of the growth. Instead, small amount of deposited material is accumulated so as to form NDs directly on the substrate. In addition, insolubility of BN in Ni, Co and related alloys at high temperatures causes the boron and nitrogen atoms to more strongly bond to one another than to the underlying substrate, which encourages VW growth mode, as in the case of the growth of many metals on insulator substrates or vice versa^{55–57}. The measured contact angle of 135° (i.e., $>90^\circ$) for c-BN NDs on Ni substrate can be another indication for the presence of strain at the NDs/substrate interface and subsequent VW growth mechanism (see

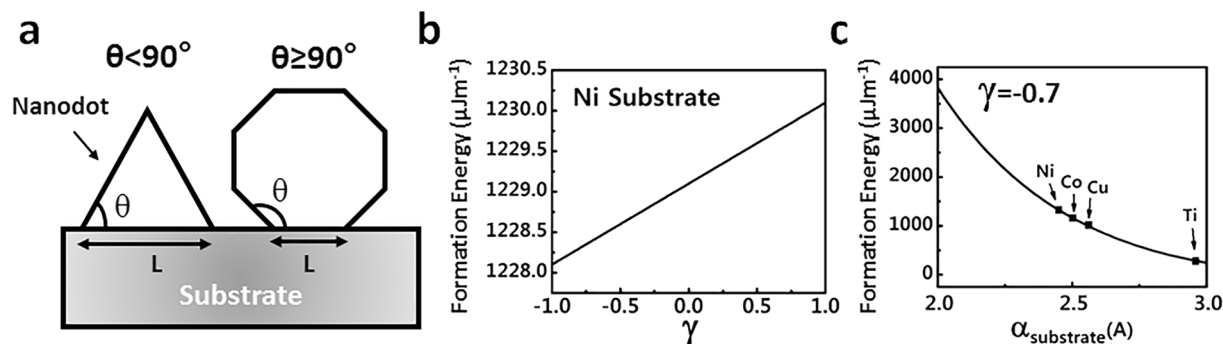


Figure 5. Formation energy of c-BN ND on metal substrates. (a) 2D model of a strained self-assembled c-BN ND growth on a flat metal substrate via VW growth mode and different contact angles. (b) Total formation energy of a c-BN ND with the contact angle of 135° grown on flat Ni (111) substrate as a function of γ . (c) Total formation energy of a c-BN ND with the contact angle of 135° and γ fixed at -0.7 as function of substrate unit cell parameter ($\alpha_{\text{substrate}}$). Unit cell parameters (along (111) crystal plane) of different transition metal substrates have been tagged in panel c.

Supplementary, Fig. S7(a)^{58,59}. Moreover, according to Fig. S7(b) (see Supplementary), higher resolution bright field TEM images at the interface proximity between the c-BN ND and Ni substrate (dashed-line rectangle) show a darker contrast compared to the surrounding area of ND, implying different atomic structures, lattice spacing, or orientations at such locations which could be another sign of strain^{60,61}. It is worth noting that the amount of lattice mismatch between c-BN structure (zinc blende) and underneath metal substrate (hcp Co or fcc Ni) can vary significantly depending on the ND and substrate surface crystal orientations. Our Co and Ni foil substrates are polycrystalline in nature (see Supplementary, Figs S2 and S5), and even within a single grain, their rough surfaces can expose different facets with different orientations for the growth of c-BN NDs. For example, the lattice mismatch between the c-BN (111) and Co (001) would be $\sim 2\%$ ($\alpha_{\text{c-BN}(111)} = 2.55 \text{ \AA}$, $\alpha_{\text{Co}(001)} = 2.50 \text{ \AA}$), while for other possible crystal orientations such as c-BN (001) on Co (001), it can be as large as $\sim 44.4\%$ ($\alpha_{\text{c-BN}(001)} = 3.61 \text{ \AA}$) (see Supplementary, Fig. S8).

C-BN NDs Formation Energy Modeling. To further understand the formation of c-BN ND nucleation on metal substrates, we have carried out numerical modeling of the formation energy. The formation energy can be written as:

$$E_F = E_{\text{surf-ND}} + E_{\text{int}} - E_{\text{surf-sub}} + E_{\text{mismatch}} \quad (1)$$

where E_F is the total formation energy of ND with a unit of (Jm^{-1}), $E_{\text{surf-ND}}$ is the surface energy of ND, E_{int} is the interface energy of the interface between two materials, $E_{\text{surf-sub}}$ is the surface energy of the substrate covered by ND, and E_{mismatch} is the elastic strain energy stored in ND with a unit of (Jm^{-1}). The first three terms are dominating factors in the determination of strainless NDs on a substrate, for example, Si dots on SiO_2 substrate⁵³. The last term is included to accommodate the elastic energy strain as a result of lattice mismatch between NDs and underneath metal substrate^{62,63}. It should be noted that the formulation of formation energy in Equation (1) does not include contribution from catalytic effect because it would be different for different metal substrates, differs significantly with growth conditions such as growth temperature. Equation (1) can be expressed as the following equation:

$$E_F = L\gamma_{\text{ND}} \left(\sec \theta + \frac{\gamma_{\text{int}} - \gamma_{\text{sub}}}{\gamma_{\text{ND}}} \right) + \frac{1}{2} SE \varepsilon^2 \quad (2)$$

where γ_{ND} , γ_{int} , and γ_{sub} are the surface energy density of ND, interface, and substrate, respectively. L , θ , and S are ND lateral size, contact angle, and the area in two-dimensional (2D) model, respectively. $\gamma = \left(\frac{\gamma_{\text{int}} - \gamma_{\text{sub}}}{\gamma_{\text{ND}}} \right)$ is defined as a non-dimensional system parameter, which varies between -1 and 1 based on the contact angle (θ) and according to the Young equation⁶³. Contact angle also relates to ND size and the nature of the two materials⁶⁴⁻⁶⁶. E is Young module of ND, and $\varepsilon = \left(\frac{\alpha_{\text{ND}} - \alpha_{\text{sub}}}{\alpha_{\text{sub}}} \right)$ is strain caused by lattice mismatch between the ND and metal substrate. α_{ND} and α_{sub} are the lattice constant of ND and unit cell parameter of substrate, respectively. Since it is possible that a nanodot adopts a contact angle upon its nucleation (e.g. $\theta \leq 90^\circ$) and increases it during the growth (e.g. $\theta \geq 90^\circ$), different equations must be used to simulate the formation energy of NDs based on their contact angles and shapes^{58,59}. For a contact angle of below 90° , NDs can be simulated as triangles (Fig. 5(a)), and the total formation energy can be written as:

$$E_F = \gamma_{\text{ND}} \left(\sec \theta + \frac{\gamma_{\text{int}} - \gamma_{\text{sub}}}{\gamma_{\text{ND}}} \right) S^{\frac{1}{2}} (\tan \theta)^{-\frac{1}{2}} + \frac{1}{2} SE \varepsilon^2 \quad (3)$$

$$\theta < 90^\circ$$

while for a contact angle of above 90° , NDs can be simulated as regular polygons with different number of sides (same length), where the number of the sides are determined by a type of polygon which has the closest inner angle to that of ND contact angle (Fig. 5(a)). Therefore, the total formation energy for a ND with a contact angle of above 90° can be written as:

$$E_F = 2\gamma_{\text{ND}} \left(\sec \theta + \frac{\gamma_{\text{int}} - \gamma_{\text{sub}}}{\gamma_{\text{ND}}} \right) S^{\frac{1}{2}} \tan \left(\frac{\theta}{n-2} \right)^{\frac{1}{2}} n^{-\frac{1}{2}} + \frac{1}{2} SE \varepsilon^2$$

$$\begin{aligned} n &\geq 4 \\ \theta &\geq 90^\circ \end{aligned} \quad (4)$$

where n is the number of the sides for the polygon.

To run the simulation, we assumed a c-BN ND ($\alpha_{\text{ND}(001)} = 3.57 \text{ \AA}$) was formed on top of an idealized flat surface of a metal substrate with (111) crystal orientation and a fixed contact angle of $\theta = 135^\circ$ (see Supplementary, Fig. S7(a)). The best polygon that can represent a ND with $\theta = 135^\circ$ would be an octagon ($n = 8$) with inner angle of 135° , and hence, Equation (4) can be used to calculate the ND formation energy. Here the values are chosen as a representative case just to show the overall trend on ND nucleation behavior. The surface energy density and Young module for c-BN NDs are $\sim 40 \text{ mJm}^{-2}$ and $\sim 500 \text{ GPa}$, respectively^{67,68}. Figure 5(b) shows the formation energy of c-BN NDs on Ni substrate ($\alpha_{\text{Ni}(111)} = 2.48 \text{ \AA}$) as a function of γ . The larger the γ is, the higher the formation energy is, and hence, the harder it is to form c-BN ND. It should be also noted that the formation energy is linearly proportional to γ , however, the alterations are not significant. In contrast, when γ is fixed (e.g., $\gamma = -0.7$), the formation energy changes dramatically and nonlinearly as the substrate unit cell parameter (α_{sub}) changes within a range of 2–3 Å, as can be seen in Fig. 5(c). Similar behavior is observed for various γ and lattice parameters (see Supplementary, Fig. S9). These results suggest that the elastic strain energy (E_{mismatch}) plays a major role in determining the total formation energy of strained c-BN NDs on metal substrate via VW growth mode. According to our calculation, this behavior is mainly due to the lattice mismatch between the c-BN NDs and underneath metal substrate, and the large value of Young module for c-BN material ($\sim 500 \text{ GPa}$). Although the growth of c-BN NDs has been demonstrated on Co and Ni substrates here, based on our calculations (Fig. 5(c)), we hypothesize that it should be possible to grow c-BN NDs on other similar transition metal substrates including titanium (Ti), copper (Cu), etc.

Finally, we discuss the mechanism for seemingly chain-like alignment of NDs on the surfaces as seen from the SEM images in Fig. 2. The Co foil substrates were not ideally flat with ridges and valleys across their surfaces (see Supplementary, Fig. S6). If we take the substrate rough surface morphology effect into account, for example, at concave surfaces where the atomic inter-distances of underneath metal substrate are relatively smaller compared to that of flat substrate surfaces, the lattice mismatch between the substrate and c-BN ND would be less, and hence, the formation energy would be much lower. Therefore, the concave substrate surfaces can act as preferential places for nucleation of c-BN NDs, leading to the chain-like alignment of NDs along the valleys. Using a similar analysis, we can reach an opposite situation for the formation of c-BN NDs on convex substrate surfaces.

Conclusion

We report self-assembled growth of c-BN NDs on Co and Ni metal substrates by plasma-assisted MBE. The cubic structure was confirmed by detecting the c-BN characteristic TO phonon mode in Raman spectra, (111) crystal plane diffraction peak in XRD pattern, and B1s and N1s signals in XPS results. The time evolution growth of c-BN NDs was observed using SEM and AFM characterizations, and the changes in their size distribution, alignment, density, and internal strain were carefully studied. It was concluded that the substrate (i.e., catalysis effect, lattice-mismatch-induced strain, and roughness), together with the growth conditions (i.e., the growth time and growth temperature) play a key role in the nucleation, formation, and morphological changes of c-BN NDs. The c-BN NDs with a density of $\sim 10^9 \text{ cm}^{-2}$ scale from 175 nm to 77 nm with the growth time. In addition, VW growth mode is responsible for the formation of c-BN NDs and the elastic strain energy is the dominant factor in determining the total formation energy of c-BN NDs on metal substrates. Self-assembly can lead to high-quality, pure, and ultrasmall c-BN NDs in the nanometer range that conventional high-temperature high-pressure methods were not able to achieve, therefore this research paves a way to develop this new form of c-BN materials for a variety of novel applications such as catalysis, battery, biology, deep ultraviolet sensor, and optoelectronic technologies.

Methods

Substrate Preparation. Commercial cobalt (Co) foil (Alfa Aesar, 0.1 mm thick, 99.95% purity) and nickel (Ni) foil (Alfa Aesar, 0.025 mm thick, 99.5% purity) were used as substrates. The measured roughness for as-received Co and Ni foils are 187 and 140 nm, respectively (see Supplementary, Fig. S6). The foils were cut into $1 \text{ cm} \times 1 \text{ cm}$ pieces and etched by diluted HCl solution (5%) for 1 minute to remove the native oxides. Acetone, isopropyl alcohol (IPA), and deionized water (DI) were used to clean the substrates. After blown dry using a nitrogen gun, these substrates were immediately loaded onto substrate holders and transferred to the growth chamber. A Knudsen effusion cell filled with B_2O_3 powder (Alfa Aesar, 99.999%) was used as the boron source. An electron cyclotron resonance (ECR) system was used to form nitrogen gas plasma (Airgas, 99.9999%) as the nitrogen source. The nitrogen source was tuned by either nitrogen gas flow meter or ECR magnetron current.

c-BN NDs Growth. For a typical growth, the substrate was firstly annealed at 900°C under a hydrogen flow of 10 sccm for a duration of 15 minutes. At the end of substrate surface treatment, the hydrogen gas flow rate was reduced to 6 sccm and c-BN NDs growth was started. Boron cell temperature was ramped to 1100°C right

before the growth. Nitrogen flow rate was 10 sccm and the ECR current was set at 60 mA. The growth time varied between 10 seconds and 45 minutes and the growth temperature was 900 °C for all samples. At the end of growth, the substrate temperature was cooled towards ambient temperature. The substrate heating/cooling rate was ~10 °C/min.

C-BN NDs Characterization. Raman characterizations were performed using a HORIBA LabRam system equipped with a 60-mW 532-nm green laser. Scanning electron microscopy (SEM) images were acquired using a XL30-FEG system. Atomic force microscopy (AFM) images were obtained using a Veeco AFM D3100 system. Size distribution and density of c-BN NDs samples grown on Co foil were obtained using ImageJ software. X-ray photoelectron spectroscopy (XPS) was carried out using a Kratos AXIS ULTRA XPS system equipped with an Al K α monochromatic X-ray source and a 165-mm mean radius electron energy hemispherical analyzer. X-ray diffraction (XRD) (Philips, PW1730) was performed at θ -2 θ configuration and using Cu K α radiation ($\lambda = 1.5405 \text{ \AA}$, 40 kV, 40 mA). Transmission electron microscopy (TEM) images were acquired using a FEI/Philips CM-30 TEM. Cross-sectional TEM samples were prepared using the focused ion beam technique. C-BN NDs sample was originally covered by a carbon layer of 26 nm, followed by an Ir layer of about 6 nm, and further protected by electron-beam and ion-beam deposited Pt layers of 41 nm and 1.5 μm , respectively.

References

- Samantaray, C. B. & Singh, R. N. Review of synthesis and properties of cubic boron nitride (c-BN) thin films. *Int. Mater. Rev.* **50**, 313–344 (2005).
- Vel, L., Demazeau, G. & Etourneau, J. Cubic boron nitride: synthesis, physicochemical properties and applications. *Mater. Sci. Eng. B* **10**, 149–164 (1991).
- Tian, Y. *et al.* Ultrahard nanotwinned cubic boron nitride. *Nature* **493**, 385–388 (2013).
- Monteiro, S. N., Skury, A. L. D., de Azevedo, M. G. & Bobrovitchii, G. S. Cubic boron nitride competing with diamond as a superhard engineering material—an overview. *J. Mater. Res. Technol.* **2**, 68–74 (2013).
- Xu, L., Li, S., Zhang, Y. & Zhai, Y. Synthesis, properties and applications of nanoscale nitrides, borides and carbides. *Nanoscale* **4**, 4900–4915 (2012).
- Mohammad, S. N. Electrical characteristics of thin film cubic boron nitride. *Solid-State Electron* **46**, 203–222 (2002).
- Mishima, O., Tanaka, J., Yamaoka, S. & Fuknaga, O. High-temperature cubic boron nitride pn junction diode made at high pressure. *Science* **238**, 181–183 (1987).
- Kumar, R. & Parashar, A. Atomistic modeling of BN nanofillers for mechanical and thermal properties: a review. *Nanoscale* **8**, 22–49 (2016).
- Ronning, C., Feldermann, H. & Hofsäss, H. Growth, doping and applications of cubic boron nitride thin films. *Diamond Relat. Mater.* **9**, 1767–1773 (2000).
- Bello, I. *et al.* Deposition of thick cubic boron nitride films: The route to practical applications. *Diamond Relat. Mater.* **14**, 1154–1162 (2005).
- Srivastava, M. *et al.* Recent advances in graphene and its metal-oxide hybrid nanostructures for lithium-ion batteries. *Nanoscale* **7**, 4820–4868 (2015).
- Meyer, N., Bekaert, K., Pirson, D., Devillers, M. & Hermans, S. Boron nitride as an alternative support of Pd catalysts for the selective oxidation of lactose. *Catal. Commun.* **29**, 170–174 (2012).
- Lin, L. *et al.* Fabrication and luminescence of monolayered boron nitride quantum dots. *Small* **10**, 60–65 (2014).
- Liu, G. *et al.* Submicron cubic boron nitride as hard as diamond. *Appl. Phys. Lett.* **106**, 121901 (2016).
- Watanabe, K., Taniguchi, T. & Kanda, H. Ultraviolet luminescence spectra of boron nitride single crystals grown under high pressure and high temperature. *Phys. Status Solidi A* **201**, 2561–2565 (2004).
- Huang, J. Y. & Zhu, Y. T. Atomic-scale structural investigations on the nucleation of cubic boron nitride from amorphous boron nitride under high pressures and temperatures. *Chem. Mater.* **14**, 1873–1878 (2002).
- Solozhenko, V. L., Kurakevych, O. O. & Le Godec, Y. Creation of nanostructures by extreme conditions: High-pressure synthesis of ultrahard nanocrystalline cubic boron nitride. *Adv. Mater.* **24**, 1540–1544 (2012).
- Wang, J. B., Yang, G. W., Zhang, C. Y., Zhong, X. L. & Ren, Z. A. Cubic-BN nanocrystals synthesis by pulsed laser induced liquid–solid interfacial reaction. *Chem. Phys. Lett.* **367**, 10–14 (2003).
- Liu, H. *et al.* Photochemical synthesis of ultrafine cubic boron nitride nanoparticles under ambient conditions. *Angew. Chem.* **127**, 7157–7160 (2015).
- Hao, X. P. *et al.* Synthesis of cubic boron nitride at low-temperature and low-pressure conditions. *Chem. Mater.* **13**, 2457–2459 (2001).
- Grzelczak, M., Vermant, J., Furst, E. M. & Liz-Marzán, L. M. Directed self-assembly of nanoparticles. *ACS Nano* **4**, 3591–3605 (2010).
- Zuo, Z. *et al.* *In-situ* epitaxial growth of graphene/h-BN van der Waals heterostructures by molecular beam epitaxy. *Sci. Rep.* **5**, 14760–14766 (2015).
- Xu, Z., Zheng, R., Khanaki, A., Zuo, Z. & Liu, J. Direct growth of graphene on *in situ* epitaxial hexagonal boron nitride flakes by plasma-assisted molecular beam epitaxy. *Appl. Phys. Lett.* **107**, 213103 (2015).
- Xu, Z. *et al.* Direct growth of hexagonal boron nitride/graphene heterostructures on cobalt foil substrates by plasma-assisted molecular beam epitaxy. *Appl. Phys. Lett.* **109**, 043110 (2016).
- Xu, Z. *et al.* Large-area growth of multi-layer hexagonal boron nitride on polished cobalt foils by plasma-assisted molecular beam epitaxy. *Sci. Rep.* **7**, 43100 (2017).
- Zheng, R. *et al.* Low-temperature growth of graphene on iron substrate by molecular beam epitaxy. *Thin Solid Films* **627**, 39–43 (2017).
- Wang, K. L., Cha, D., Liu, J. & Chen, C. Ge/Si self-assembled quantum dots and their optoelectronic device applications. *Proc. IEEE* **95**, 1866–1883 (2007).
- Adelmann, C. *et al.* Self-assembled InGaN quantum dots grown by molecular-beam epitaxy. *Appl. Phys. Lett.* **76**, 1570–1572 (2000).
- Mirkarimi, P. B., McCarty, K. F. & Medlin, D. L. Review of advances in cubic boron nitride film synthesis. *Mater. Sci. Eng., R* **21.2**, 47–100 (1997).
- Zhou, Z. F. *et al.* Formation of cubic boron nitride films on nickel substrates. *Thin Solid Films* **368**, 292–296 (2000).
- Reich, S. *et al.* Resonant Raman scattering in cubic and hexagonal boron nitride. *Phys. Rev. B* **71**, 205201 (2005).
- Werninghaus, T., Hahn, J., Richter, F. & Zahn, D. R. T. Raman spectroscopy investigation of size effects in cubic boron nitride. *Appl. Phys. Lett.* **70**, 958–960 (1997).
- Zardo, I. S. *et al.* Raman spectroscopy of wurtzite and zinc-blende GaAs nanowires: polarization dependence, selection rules, and strain effects. *Physical Review B* **80**, 245324 (2009).
- Datchi, F. & Canny, B. Raman spectrum of cubic boron nitride at high pressure and temperature. *Phys. Rev. B* **69**, 144106 (2004).

35. Viera, G., Huet, S. & Boufendi, L. Crystal size and temperature measurements in nanostructured silicon using Raman spectroscopy. *J. Appl. Phys.* **90**, 4175–4183 (2001).
36. Nakhaie, S. *et al.* Synthesis of atomically thin hexagonal boron nitride films on nickel foils by molecular beam epitaxy. *Appl. Phys. Lett.* **106**, 213108 (2015).
37. Biesinger, M. C. *et al.* Resolving surface chemical states in XPS analysis of first row transition metals, oxides and hydroxides: Cr, Mn, Fe, Co and Ni. *Appl. Surf. Sci.* **257**, 2717–2730 (2011).
38. Alrehaily, L. M., Joseph, J. M., Biesinger, M. C., Guzonas, D. A. & Wren, J. C. Gamma-radiolysis-assisted cobalt oxide nanoparticle formation. *Phys. Chem. Chem. Phys.* **15**, 1014–1024 (2013).
39. Huang, L., Nicholas, J., Kieffer, J. & Bass, J. Polyamorphic transitions in vitreous B₂O₃ under pressure. *J. Phys.: Condens. Matter* **20**, 075107 (2008).
40. Arenal, R. *et al.* Raman spectroscopy of single-wall boron nitride nanotubes. *Nano Lett.* **6**, 1812–1816 (2006).
41. Tallant, D. R., Aselage, T. L., Campbell, A. N. & Emin, D. Boron carbide structure by Raman spectroscopy. *Phys Rev B* **40**, 5649 (1989).
42. Xu, N. *et al.* Raman spectra of nanocrystalline carbon nitride synthesized on cobalt-covered substrate by nitrogen-atom-beam-assisted pulsed laser ablation. *J. Appl. Phys.* **92**, 496–500 (2002).
43. Masa, J. *et al.* Amorphous cobalt boride (Co₃B) as a highly efficient nonprecious catalyst for electrochemical water splitting: oxygen and hydrogen evolution. *Adv. Energy Mater* **6**, 1502313 (2016).
44. Orofeo, C. M., Suzuki, S., Kageshima, H. & Hibino, H. Growth and low-energy electron microscopy characterization of monolayer hexagonal boron nitride on epitaxial cobalt. *Nano Res* **6**, 335–347 (2013).
45. Nahm, K. S., Kim, T. Y. & Lee, S. H. Catalytic effect of metal elements on the growth of GaN and Mg-doped GaN micro-crystals. *Korean J. Chem. Eng.* **20**, 653–658 (2003).
46. Miller, D. M., Buettner, G. R. & Aust, S. D. Transition metals as catalysts of “autoxidation” reactions. *Free Radical Biol. Med.* **8**, 95–108 (1990).
47. Tay, R. Y. *et al.* Growth of large single-crystalline two-dimensional boron nitride hexagons on electropolished copper. *Nano Lett.* **14**, 839–846 (2014).
48. Cimalla, V., Zekentes, K. & Vouroutzis, N. Control of morphological transitions during heteroepitaxial island growth by reflection high-energy electron diffraction. *Mater. Sci. Eng. B* **88**, 186–190 (2002).
49. Barabási, A. L. Thermodynamic and kinetic mechanisms in self-assembled quantum dot formation. *Mater. Sci. Eng. B* **67**, 23–30 (1999).
50. Jin, G. *et al.* Controlled arrangement of self-organized Ge islands on patterned Si (001) substrates. *Appl. Phys. Lett.* **75**, 2752–2754 (1999).
51. Zhang, J., Brehm, M., Grydlik, M. & Schmidt, O. G. Evolution of epitaxial semiconductor nanodots and nanowires from supersaturated wetting layers. *Chem. Soc. Rev.* **44**, 26–39 (2015).
52. Jin, G., Liu, J. L. & Wang, K. L. Temperature effect on the formation of uniform self-assembled Ge dots. *Appl. Phys. Lett.* **83**, 2847–2849 (2003).
53. Ren, J., Hu, H., Liu, F., Chu, S. & Liu, J. Strain-less directed self-assembly of Si nanocrystals on patterned SiO₂ substrate. *J. Appl. Phys.* **112**, 054311 (2012).
54. Auwärter, W., Suter, H. U., Sachdev, H. & Greber, T. Synthesis of one monolayer of hexagonal boron nitride on Ni (111) from B-trichloroborazine (ClBNH₂)₃. *Chem. Mater.* **16**, 343–345 (2004).
55. Diebold, U., Pan, J. M. & Madey, T. E. Growth mode of ultrathin copper overlayers on TiO₂ (110). *Phys. Rev. B* **47**, 3868 (1993).
56. Wu, Y., Garfunkel, E. & Madey, T. E. Initial stages of Cu growth on ordered Al₂O₃ ultrathin films. *J. Vac. Sci. Technol. A* **14**, 1662–1667 (1996).
57. Pang, C. L., Raza, H., Haycock, S. A. & Thornton, G. Growth of copper and palladium on α-Al₂O₃ (0001). *Surf. Sci.* **460**, L510–L514 (2000).
58. Seel, S. C. & Thompson, C. V. Tensile stress generation during island coalescence for variable island-substrate contact angle. *J. Appl. Phys.* **93**, 9038–9042 (2003).
59. Eaglesham, D. J., Unterwald, F. C. & Jacobson, D. C. Growth morphology and the equilibrium shape: The role of “surfactants” in Ge/Si island formation. *Phys. Rev. Lett.* **70**, 966 (1993).
60. Zang, K. *et al.* Revealing ultralarge and localized elastic lattice strains in Nb nanowires embedded in NiTi matrix. *Sci. Rep* **5**, 17530 (2015).
61. Hiramatsu, K. *et al.* The composition pulling effect in MOVPE grown InGaN on GaN and AlGaIn and its TEM characterization. *MRS Internet J. Nitride Semicond. Res* **2**, 6 (1997).
62. Lee, D. N. Elastic properties of thin films of cubic system. *Thin Solid Films* **434**, 183–189 (2003).
63. Li, Y. L., Hu, S. Y., Liu, Z. K. & Chen, L. Q. Effect of substrate constraint on the stability and evolution of ferroelectric domain structures in thin films. *Acta Mater.* **50**, 395–411 (2002).
64. De Gennes, P. G. Wetting: statics and dynamics. *Rev. Mod. Phys.* **57**, 827 (1985).
65. Nicotra, G. *et al.* Nucleation kinetics of Si quantum dots on SiO₂. *J. Appl. Phys.* **95**, 2049 (2004).
66. Liu, F. Self-assembly of three-dimensional metal islands: Nonstrained versus strained islands. *Phys. Rev. Lett.* **89**, 246105 (2002).
67. Spiesser, A. Surface free energy of cubic boron nitride films deposited on nanodiamond. *J. Phys. Chem. C* **111**, 12768–12772 (2007).
68. Jiang, X., Philip, J., Zhang, W. J., Hess, P. & Matsumoto, S. Hardness and Young’s modulus of high-quality cubic boron nitride films grown by chemical vapor deposition. *J. Appl. Phys.* **93**, 1515–1519 (2003).

Acknowledgements

This work was supported in part by FAME, one of six centers of STARnet, a Semiconductor Research Corporation program supported by MACRO and DARPA. Cross-sectional TEM specimen preparation using focus ion technique and part of TEM imaging were performed at Irvine Materials Research Institute (IMRI) at UC Irvine, using instrumentation funded in part by the National Science Foundation Center for Chemistry at the Space-Time Limit (CHE-082913).

Author Contributions

J. Liu led the project. A. Khanaki and Z. Xu designed and performed the experimental works. A. Khanaki carried out energy formation modeling and simulation. H. Tian performed AFM imaging and analysis. J.G. Zheng performed TEM imaging and analysis. A. Khanaki and J. Liu wrote the manuscript. All authors contributed to analyzing and reviewing the data in this manuscript and have given approval to the final version of the manuscript.

Additional Information

Supplementary information accompanies this paper at doi:10.1038/s41598-017-04297-1

Competing Interests: The authors declare that they have no competing interests.

Publisher's note: Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.

© The Author(s) 2017