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Article

# Baseline ctDNA gene alterations as a biomarker of survival after panitumumab and chemotherapy in metastatic colorectal cancer

Received: 29 September 2023	A list of authors and their affiliations appears at the end of the paper			
Accepted: 21 December 2023				
Published online: 12 February 2024	Certain genetic alterations and right-sided primary tumor location			
Check for updates	are associated with resistance to anti-epidermal growth factor (EGFR) treatment in metastatic colorectal cancer (mCRC). The phase 3 PARADIGM			
	trial ( <i>n</i> = 802) demonstrated longer overall survival with first-line anti-EGFR (panitumumab) versus antivascular endothelial growth factor			
	(bevacizumab) plus modified FOLFOX6 in patients with <i>RAS</i> wild-type mCRC with left-sided primary tumors. This prespecified exploratory biomarker			
	analysis of PARADIGM ( $n = 733$ ) evaluated the association between circulating tumor DNA (ctDNA) gene alterations and efficacy outcomes,			

For patients with unresectable *RAS* wild-type (WT) recurrent or metastatic colorectal cancer (mCRC), standard first-line treatment includes chemotherapy combined with either an anti-epidermal growth factor receptor (EGFR) monoclonal antibody (for example, panitumumab or cetuximab) or an antivascular endothelial growth factor (VEGF) antibody (bevacizumab)<sup>1-3</sup>. The phase 3 PARADIGM trial (NCT02394795) in patients with unresectable *RAS* WT mCRC demonstrated longer overall survival (OS) with first-line panitumumab plus modified 5-fluorouracil, L-leucovorin, oxaliplatin (mFOLFOX6) versus bevacizumab plus mFOLFOX6 in patients with left-sided primary tumors (that is, tumors originating from the descending colon, sigmoid colon, rectosigmoid and rectum; median OS: 37.9 versus 34.3 months, respectively; hazard ratio (HR), 0.82; P = 0.03) and in the overall patient population (36.2 versus 31.3 months; HR, 0.84; P = 0.03)<sup>4</sup>. Exploratory analyses showed poorer survival (20.2–23.2 months) in patients with tumors originating from the right side of the colorectum<sup>4</sup>. These results support panitumumab plus mFOLFOX6 as a preferred treatment option for patients with *RAS* WT and left-sided primary mCRC.

focusing on a broad panel of gene alterations associated with resistance to EGFR inhibition, including *KRAS*, *NRAS*, *PTEN* and extracellular domain *EGFR* mutations, *HER2* and *MET* amplifications, and *ALK*, *RET* and *NTRK1* fusions. Overall survival was prolonged with panitumumab plus modified FOLFOX6 versus bevacizumab plus modified FOLFOX6 in patients with ctDNA that lacked gene alterations in the panel (that is, negative hyperselected; median in the overall population: 40.7 versus 34.4 months; hazard ratio, 0.76; 95% confidence interval, 0.62–0.92) but was similar or inferior with panitumumab in patients with ctDNA that contained any gene alteration in the panel (19.2 versus 22.2 months; hazard ratio, 1.13; 95% confidence interval, 0.83–1.53), regardless of tumor sidedness. Negative hyperselection using ctDNA may guide optimal treatment selection in patients with mCRC.

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**Fig. 1** | **Patient flow chart for analysis of gene alteration status**. <sup>a</sup>'Negative hyperselected' was defined as plasma ctDNA being negative for all prespecified gene alterations, including mutations in *BRAF* V600E, *KRAS*, *PTEN*, *EGFR* ECD exons 1–16 and *NRAS*, amplifications of *HER2* and *MET*, and gene fusions of *RET*, *NRTK1* and *ALK*. <sup>b</sup>'Gene altered' was defined as detection of any of the following in ctDNA: a mutation in *BRAF* V600E, *KRAS*, *PTEN*, *EGFR* ECD exons 1–16 and/or

*NRAS*, amplification of *HER2* and/or *MET*, and gene fusion of *RET*, *NRTK1* and/or *ALK*. <sup>c</sup>Some patients had multiple primary lesions on both the left and right sides. The dotted line represents an additional exploratory analysis assessing genetic alterations of MSS/MSI status and *RAS/BRAF* mutations based on guideline recommendations. ECD, extracellular domain; QC, quality control.

Differences in outcomes with anti-EGFR treatment in mCRC may be attributed to tumor genomic and molecular profiles associated with primary resistance to EGFR inhibition, such as the BRAF V600E mutation and high microsatellite instability (MSI-H), among others<sup>5-7</sup>, Based on 2022 guidelines from the American Society of Clinical Oncology, the decision to initiate anti-EGFR treatment should be guided by the primary tumor location and testing for BRAF and RAS (KRAS and NRAS) mutations and deficient mismatch repair or MSI<sup>2</sup>. Several other less common molecular alterations have been linked to primary resistance to EGFR inhibitors, including mutations in PTEN and EGFR extracellular domain (ECD), amplifications of HER2 and MET, and fusions of ALK, RET and NTRK1 (refs. 8-13). Whereas individual molecular markers have guided drug development and improved patient selection for many targeted therapies, testing for a combination of multiple molecular markers has the potential to guide more precise therapy selection for patients with mCRC<sup>14</sup>.

To allow for molecular negative hyperselection of patients most likely to benefit from anti-EGFR treatment, previous studies have worked towards establishing a testing panel that includes a broad array of rare genomic alterations linked to primary resistance to EGFR inhibition<sup>15-18</sup>. These studies demonstrated that detection of any of the panel-prespecified genetic alterations in tumor biopsy samples, as well as primary tumor sidedness, were predictive of clinical outcomes on anti-EGFR therapy<sup>15-18</sup>. Genotyping of tumors based on testing of circulating tumor DNA (ctDNA) released from cancer cells into the plasma (that is, liquid biopsy) is a minimally invasive alternative to tissue biopsy that may be particularly advantageous for identifying patients with mCRC who may benefit from anti-EGFR therapy<sup>19-21</sup>. In this prespecified exploratory biomarker analysis of the phase 3 PARADIGM trial (NCT02394834), we tested ctDNA in baseline plasma samples from more than 700 patients with *RAS* WT mCRC to investigate the utility of ctDNA-based negative hyperselection for predicting treatment outcomes with mFOLFOX6 combined with either panitumumab or bevacizumab.

#### Results

#### Patients

Of the 802 patients with *RAS* WT mCRC included in the PARADIGM efficacy analysis population, 733 patients (91.4%) provided informed consent for this biomarker study and had baseline blood plasma samples that were evaluable for ctDNA (Fig. 1). Among these 733 patients, 554 patients (75.6%) had left-sided primary tumors, 169 (23.1%) had right-sided primary tumors, and 10 (1.4%) had multiple primary lesions in both the left and right sides. For the biomarker-evaluable population, median follow-up as of the data cutoff date (14 January 2022) was 61.4 months (95% confidence interval (Cl), 60.5–62.9 months) in the panitumumab + mFOLFOX6 group and 60.5 months (95% Cl, 59.5–62.9 months) in the bevacizumab + mFOLFOX6 group.

Patient ctDNA was assessed for 90 mutations, 26 amplifications and 3 rearrangements in mCRC-related genes using a custom NGS-based panel (Methods). Maximum variant allele frequency is reported for all samples in Supplementary Table 1. We report results of a preplanned analysis for negative hyperselection, meaning plasma ctDNA was negative for all prespecified gene alterations associated

	Overal	l (N=733)	Negative hyp	erselectedª (n=530)	Gene alte	red <sup>b</sup> ( <i>n</i> =203)
	Panitumumab +mFOLFOX6 (n=368)	Bevacizumab +mFOLFOX6 (n=365)	Panitumumab +mFOLFOX6 (n=259)	Bevacizumab +mFOLFOX6 (n=271)	Panitumumab +mFOLFOX6 (n=109)	Bevacizumab +mFOLFOX6 (n=94)
Age category						
20–64 years	149 (40.5)	152 (41.6)	104 (40.2)	116 (42.8)	45 (41.3)	36 (38.3)
65–79 years	219 (59.5)	213 (58.4)	155 (59.8)	155 (57.2)	64 (58.7)	58 (61.7)
Sex						
Female	134 (36.4)	120 (32.9)	87 (33.6)	83 (30.6)	47 (43.1)	37 (39.4)
Male	234 (63.6)	245 (67.1)	172 (66.4)	188 (69.4)	62 (56.9)	57 (60.6)
ECOG PS						
0	304 (82.6)	288 (78.9)	220 (84.9)	213 (78.6)	84 (77.1)	75 (79.8)
1	63 (17.1)	77 (21.1)	39 (15.1)	58 (21.4)	24 (22.0)	19 (20.2)
Primary tumor location <sup>c</sup>						
Left side <sup>d</sup> (n=554)	287 (78.0)	267 (73.2)	222 (85.7)	218 (80.4)	65 (59.6)	49 (52.1)
Right side <sup>e</sup> ( <i>n</i> =169)	78 (21.2)	91 (24.9)	35 (13.5)	50 (18.5)	43 (39.4)	41 (43.6)
Number of metastatic organs						
1	181 (49.2)	178 (48.8)	141 (54.4)	139 (51.3)	40 (36.7)	39 (41.5)
≥2	187 (50.8)	187 (51.2)	118 (45.6)	132 (48.7)	69 (63.3)	55 (58.5)
Metastatic site						
Liver	254 (69.0)	248 (67.9)	173 (66.8)	182 (67.2)	81 (74.3)	66 (70.2)
Liver only site of metastases	96 (26.1)	102 (27.9)	73 (28.2)	78 (28.8)	23 (21.1)	24 (25.5)
Previous primary tumor resection	222 (60.3)	244 (66.8)	166 (64.1)	184 (67.9)	56 (51.4)	60 (63.8)

#### Table 1 Demographics and baseline characteristics by negative hyperselection status

Data are presented as *n* (%). "Negative hyperselected' was defined as plasma ctDNA being negative for all prespecified gene alterations, including mutations in *BRAF* V600E, *KRAS*, *PTEN*, *EGFR* ECD exons 1–16, and *NRAS*, amplifications of *HER2* and *MET*, and gene fusions of *RET*, *NRTK1* and *ALK*. <sup>b</sup>Gene altered' was defined as detection of any of the following in ctDNA: a mutation in *BRAF* V600E, *KRAS*, *PTEN*, *EGFR* ECD exons 1–16 and/or *NRAS*, amplification of *HER2* and/or *MET*, and gene fusion of *RET*, *NRTK1* and *ALK*. <sup>b</sup>Gene altered' was defined as detection of any of the following in ctDNA: a mutation in *BRAF* V600E, *KRAS*, *PTEN*, *EGFR* ECD exons 1–16 and/or *NRAS*, amplification of *HER2* and/or *MET*, and gene fusion of *RET*, *NRTK1* and/or *ALK*. <sup>c</sup>Some patients had multiple primary lesions on both the left and right sides. <sup>d</sup>Primary tumors originating from the descending colon, sigmoid colon, rectosigmoid and rectum. <sup>e</sup>Primary tumors originating from the right side of the colon, defined as cecum, ascending colon or transverse colon. ECOG, Eastern Cooperative Oncology Group; PS, performance status.

with resistance to anti-EGFR antibody therapy<sup>15,17,22-24</sup>, including mutations in *BRAF* V600E, *KRAS*, *NRAS*, *PTEN* and *EGFR* ECD exons 1–16, amplifications of *HER2* and *MET*, and gene fusions of *RET*, *NRTK1* and *ALK*. A total of 530 patients (72.3%) met these negative hyperselection criteria (Table 1). Patients with left-sided primary tumors met negative hyperselection criteria at a higher rate (79.4%: 440 of 554 patients) than patients with right-sided primary tumors (50.3%: 85 of 169 patients).

Among the 203 (27.7%) patients with at least one gene alteration, the most common alterations were *BRAF* V600E mutation (10.6%), *KRAS* mutation (6.0%) and *PTEN* mutation (5.5%) (Fig. 2). Most patients had only one mutation in the left-sided (93.0%; 106 of 114 patients), right-sided (73.8%; 62 of 84 patients) and overall populations (84.2%; 171 of 203 patients), with co-occurrence of multiple mutations most common in right-sided mCRC (Fig. 2). The frequency of gene alterations is summarized by primary tumor location and treatment group in Extended Data Table 1.

#### Outcomes by negative hyperselection status

**Overall survival.** For the total biomarker-evaluable population, median OS was 35.6 months (95% CI, 31.1–38.9 months) with panitumumab + mFOLFOX6 and 31.6 months (95% CI, 29.3–34.5 months) with bevacizumab + mFOLFOX6 (HR for death stratified by age and presence of liver metastasis: 0.87; 95% CI, 0.73–1.02; Fig. 3a). For patients meeting negative hyperselection criteria (that is, no gene alteration detected), OS was longer with panitumumab versus bevacizumab in patients with left-sided primary tumors (median 42.1 versus 35.5 months; HR, 0.76; 95% CI, 0.61–0.95; *P* value for interaction between treatment group and negative hyperselection status = 0.171; Fig. 3b), and there was a trend for longer OS with panitumumab versus bevacizumab in patients with right-sided tumors (38.9 versus 30.9 months; HR, 0.82; 95% CI, 0.50–1.35; interaction P = 0.145; Fig. 3c). In the overall negative hyperselected population, median OS was longer with panitumumab versus bevacizumab (40.7 versus 34.4 months; HR, 0.76; 95% CI, 0.62–0.92; interaction P = 0.037; Fig. 3d).

For patients with any gene alteration, median OS was similar or inferior with panitumumab versus bevacizumab regardless of primary tumor sidedness. Median OS with panitumumab versus bevacizumab in gene-altered patients was 24.2 versus 26.4 months in patients with left-sided primary tumors (HR, 1.08; 95% CI, 0.71–1.64; Fig. 3b), 14.1 versus 18.5 months in patients with right-sided primary tumors (HR, 1.33; 95% CI, 0.84–2.11; Fig. 3c) and 19.2 versus 22.2 months in the overall population (HR, 1.13; 95% CI, 0.83–1.53; Fig. 3d). Results of the subgroup analysis of OS by specific gene alterations are shown for the overall population in Fig. 4a, and for the left-sided and right-sided populations in Fig. 4b and 4c, respectively.

**Progression-free survival.** For negative hyperselected patients, progression-free survival (PFS) was similar with panitumumab + mFOL-FOX6 versus bevacizumab + mFOLFOX6 in the left-sided (14.0 versus 12.8 months; HR, 0.91; 95% CI, 0.73–1.13; *P* value for interaction between treatment group and negative hyperselection status = 0.049), right-sided (13.2 versus 11.3 months; HR, 1.08; 95% CI, 0.66–1.77; interaction *P* = 0.025) and overall populations (median, 13.6 versus 12.8 months; HR, 0.92; 95% CI, 0.75–1.12; interaction *P* < 0.001;



**Fig. 2**|**Oncoprint showing the incidence and co-occurrence of genomic alterations.** <sup>a</sup>Patients who had multiple primary lesions on both the left and right sides. <sup>b</sup>The custom panel (Tak\_Seq3) has a 1.25 threshold for *HER2* (thresholds were set based on noise in normal samples). <sup>c</sup>*EGFR* (ECD): exons 1–16 (1–620).

Extended Data Fig. 1). For patients with any gene alteration, median PFS was similar with panitumumab and bevacizumab in the left-sided population (9.3 versus 9.9 months; HR, 1.45; 95% CI, 0.94–2.23) but shorter with panitumumab than bevacizumab in the right-sided (6.3 versus 10.3 months; HR, 2.25; 95% CI, 1.36–3.70) and overall populations (7.8 versus 9.8 months; HR, 1.68; 95% CI, 1.23–2.29; Extended Data Fig. 1).

Response rate. Among negative hyperselected patients, response rates were higher with panitumumab versus bevacizumab in the left-sided population (83.3% (95% CI, 77.8-88.0) versus 66.5% (95% CI. 59.8–72.7): odds ratio (OR). 2.52 (95% CI. 1.61–3.98): interaction P = 0.012), with a similar trend in the right-sided population (71.4%) (95% CI, 53.7-85.4) versus 66.0% (95% CI, 51.2-78.8); OR, 1.29 (95% CI, 0.51-3.37); interaction P = 0.060; Extended Data Fig. 2), although the right-sided between-group difference was relatively small (+5.4%). In the overall negative hyperselected population, the response rate was higher with panitumumab (81.5% (95% CI, 76.2-86.0)) than with bevacizumab (66.8% (95% CI, 60.8-72.4)); OR, 2.19 (95% CI, 1.47-3.29); interaction P < 0.001). For patients with any gene alteration, the response rate was similar with panitumumab (67.7% (95% CI, 54.9-78.8)) versus bevacizumab (73.5% (95% CI, 58.9-85.1); OR, 0.76 (95% CI, 0.33-1.70)) in the left-sided population but lower with panitumumab (41.9% (95% CI, 27.0-57.9)) than bevacizumab (65.9% (95% CI, 49.4-79.9); OR, 0.37 (95% CI, 0.15–0.89)) in the right-sided population, with a similar trend in the overall gene-altered population (57.8% (95% CI, 48.0-67.2) versus 69.1% (95% CI, 58.8-78.3); OR, 0.61 (95% CI, 0.34-1.09); Extended Data Fig. 2).

**Depth of response.** Median depth of response (maximum change in target lesion size) was greater with panitumumab versus bevacizumab among negative hyperselected patients with left-sided tumors (-60.2% (95% CI, -64.0 to -58.8) versus -43.6% (95% CI, -47.9 to -39.4)) and right-sided tumors (-56.4% (95% CI, -67.7 to -51.3) versus -39.4% (95% CI, -52.7 to -31.3)) and in the overall negative hyperselected population (-60.2% (95% CI, -63.8 to -57.6) versus -43.6% (95% CI, -47.4 to -39.4)). In gene-altered patients, depth of response was similar with

panitumumab and bevacizumab in the left-sided (-53.6% (95% Cl, -60.7 to -46.0) versus -44.2% (95% Cl, -48.8 to -35.1)), right-sided (-30.0% (95% Cl, -42.1 to -9.8) versus -53.3% (95% Cl, -61.1 to -35.8)) and overall populations (-46.0% (95% Cl, -53.3 to -33.4) versus -45.1% (95% Cl, -52.3 to -37.9); Extended Data Fig. 3).

Curative resection rate. For negative hyperselected patients, the curative resection rate was higher with panitumumab versus bevacizumab in the left-sided population (19.8% (95% CI, 14.8-25.7) versus 10.6% (95% CI, 6.8-15.4); OR, 2.10 (95% CI, 1.23-3.66)) and similar between treatments in the right-sided population (14.3% (95% CI. 4.8-30.3) versus 14.0% (95% CI. 5.8-26.7); OR. 1.02 (95% CI. 0.28-3.51); Extended Data Fig. 4). In the overall negative hyperselected population, the curative resection rate was higher with panitumumab (18.9% (95% CI, 14.3-24.2)) than bevacizumab (11.1% (95% CI, 7.6-15.4); OR, 1.87 (95% CI, 1.15-3.09)). In patients with gene alterations, the curative resection rate was nearly identical with panitumumab and bevacizumab in the left-sided population (12.3% (95% CI, 5.5-22.8) versus 12.2% (95% CI, 4.6-24.8); OR, 1.01 (95% CI, 0.33–3.26)) but trended higher with panitumumab in the right-sided population (9.3% (95% CI, 2.6-22.1) versus 4.9% (95% CI, 0.6-16.5); OR, 2.00 (95% CI, 0.37-15.0); Extended Data Fig. 4). In the overall gene-altered population, the curative resection rate was 11.0% (95% CI, 5.8-18.4) with panitumumab and 8.5% (95% CI, 3.7-16.1) with bevacizumab (OR, 1.33 (95% CI, 0.53-3.54)).

#### Outcomes by RAS/BRAF and microsatellite stability status

Current clinically adopted biomarkers (*RAS/BRAF* and microsatellite stable (MSS) status) in the first-line mCRC population were also explored. Among 733 ctDNA-evaluable patients, 598 patients (81.6%) were WT for *RAS* and *BRAF* and were MSS or had low microsatellite instability (MSI-L), including 497 (67.8%) with left-sided primary tumors and 96 (13.1%) with right-sided primary tumors (Fig. 1 and Supplementary Table 2). A total of 135 patients (18.4%) had *BRAF* V600E (78 patients (10.6%)) and/or *RAS* mutations (53 patients (7.2%)) and/or MSI-H (20 patients (2.7%)).



Median OS between panitumumab versus bevacizumab in patients with *RAS/BRAF* WT and MSS/MSI-L was 40.6 versus 34.8 months (HR, 0.79; 95% CI, 0.64–0.97; interaction P = 0.089; Fig. 5a) in the

left-sided, 37.9 versus 30.9 months (HR, 0.94; 95% CI, 0.60–1.48; interaction P = 0.327; Fig. 5b) in the right-sided and 39.0 versus 34.1 months (HR, 0.79; 95% CI, 0.66–0.96; interaction P = 0.027; Fig. 5c) in the overall Fig. 3 | Overall survival in the biomarker-marker evaluable population overall and by negative hyperselection status. a, Kaplan–Meier estimates of OS in the overall biomarker-evaluable population (all ctDNA-evaluable patients). b-d Kaplan–Meier estimates of OS by negative hyperselection status in patients

with left-sided primary tumors (**b**), patients with right-sided primary tumors (**c**) and the overall population (all ctDNA-evaluable patients) (**d**). The forest plots

populations. For patients with *RAS/BRAF* mutation or MSI-H, median OS was inferior or similar with panitumumab versus bevacizumab in the left-sided (15.4 versus 25.2 months; HR, 1.53; 95% CI, 0.84–2.76; Fig. 5a), right-sided (13.7 versus 17.9 months; HR, 1.28; 95% CI, 0.78–2.11; Fig. 5b) and overall populations (14.6 versus 19.8 months; HR, 1.27; 95% CI, 0.88–1.84; Fig. 5c).

Median PFS was comparable between panitumumab and bevacizumab for *RAS/BRAF* WT and MSS/MSI-L patients but tended to be shorter with panitumumab than bevacizumab in patients with a *RAS/BRAF* mutation and/or MSI-H, regardless of tumor sidedness (Extended Data Fig. 5). Antitumor response rates (Extended Data Fig. 6) and depth of response (Supplementary Table 3 and Extended Data Fig. 7) tended to improve with panitumumab versus bevacizumab in *RAS/BRAF* WT and MSS/MSI-L patients and poorer with panitumumab than bevacizumab for patients with a *RAS/BRAF* mutation and/or MSI-H, regardless of sidedness. Response rates are shown by specific gene alteration in Supplementary Fig. 1. Curative resection rates are shown by *RAS/BRAF* and MSS status in Extended Data Fig. 8.

#### Safety

Adverse events occurred in 98.6% of patients in the biomarker population (Extended Data Table 2). The incidence of adverse events and grade 3 or higher adverse events was similar in negative hyperselected and gene-altered patients in each treatment group. A similar trend was observed when the triple-negative group (*RAS/BRAF* WT and MSS/ MSI-L) was compared with the mutation (*RAS/BRAF* mutation and MSI-H) group (Supplementary Table 4).

#### Discussion

In this prespecified exploratory biomarker analysis of the PARADIGM study, we investigated the potential prognostic and predictive role of hyperselecting patients for anti-EGFR treatment based on detection of a broad array of genetic alterations in plasma ctDNA in patients with RAS WT unresectable mCRC. Genetic alterations were chosen for evaluation due to reported associations with resistance to EGFR inhibition<sup>8-13</sup>, with an additional exploratory analysis assessing genetic alterations of MSS/ MSI status and RAS/BRAF mutations based on guideline recommendations<sup>2</sup>. To our knowledge, this is the first report of negative hyperselection using ctDNA in a large phase 3 trial population (>700 patients). Our results suggest that negative hyperselection using a validated and adequately sensitive plasma ctDNA assay may inform appropriate selection of patients for panitumumab treatment regardless of tumor sidedness (left versus right). For patients meeting negative hyperselection criteria, OS was prolonged with panitumumab + mFOLFOX6 compared with bevacizumab + mFOLFOX6 in patients with left-sided primary tumors (median 42.1 versus 35.5 months; HR, 0.76; 95% CI, 0.61-0.95). Higher rates of antitumor response (83.3% versus 66.5%), curative resection (19.8% versus 10.6%) and greater depth of response (median, -60.2% versus -43.6%) with panitumumab versus bevacizumab may

**Fig. 4** | **Overall survival by specific gene alteration. a**–**c**, OS by specific gene alteration in the overall population (**a**), patients with left-sided primary tumors (**b**) and patients with right-sided primary tumors (**c**). Data plotted are HRs ± 95% CI. A Cox proportional hazard model without stratification factors was used to calculate HRs for group comparisons and *P* values for the interaction between negative hyperselection status and treatment group. Statistical tests were two-

below the Kaplan–Meier plots in **b**, **c** and **d** show HR  $\pm$  95% Cl. A Cox proportional hazard model without stratification factors was used to calculate HRs for group comparisons and *P* values for the interaction between negative hyperselection status and treatment group. Statistical tests were two-sided without adjustment for multiple comparisons.

have contributed to the improved OS in this negative hyperselected left-sided population.

The prevalence of any genetic alteration associated with resistance was higher among patients with right-sided (49.7%) versus left-sided (26.0%) primary tumors in this study, which is consistent with previous reports<sup>6,7,15,16</sup>. Of note, even in the patients with right-sided primary tumors, negative hyperselected patients showed numerically longer OS (38.9 versus 30.9 months; HR, 0.82; 95% CI, 0.50-1.35) as well as evidence of improved response rate (71.4% versus 66.6%) and depth of response (median, -56.4% versus -39.4%) with panitumumab versus bevacizumab. The wide 95% CIs for the HR in this correlation may be attributed to the low number of patients with right-sided tumors in our study population. Nevertheless, these results suggest that the primary tumor location may not be the sole determinant and support the notion that primary tumor location serves as a clinical surrogate marker reflecting the intricate molecular landscape of primary resistance to anti-EGFR antibodies. Although exploratory, our findings suggest that certain patients with right-sided colorectal cancer may benefit from first-line anti-EGFR antibodies with chemotherapy if negative hyperselection is feasible. Thus, while our data suggest that negative hyperselection status may be more informative for treatment selection than tumor sidedness, further investigations are necessary to confirm whether anti-EGFR antibody therapy is truly beneficial for negatively hyperselected patients with right-sided mCRC.

Although each of the candidate gene alterations in the negative hyperselection panel had a low frequency individually, prohibiting detection of an effect of individual mutations, it was possible to clarify the therapeutic effect by combining multiple gene alterations associated with resistance. Notably, few patients had multiple gene alterations, and the mutual exclusivity of the gene alterations indirectly supports their role as oncogenic drivers.

Anti-EGFR therapy with doublet chemotherapy is currently considered the treatment of choice for patients with left-sided.RAS and BRAF WT, and MSS mCRC<sup>2,25</sup>. When we stratified patients by the presence of MSI-H and/or a RAS/BRAF mutation, consistent with current American Society of Clinical Oncology and European Society for Medical Oncology guidelines<sup>2,25</sup>, patients appeared more appropriately selected for anti-EGFR therapy than when stratified by tumor sidedness alone. However, the ability to predict OS with panitumumab versus bevacizumab in the overall population appeared further improved with the more comprehensive negative hyperselection panel (median, 40.7 versus 34.4 months; HR, 0.76; 95% CI, 0.62-0.92) compared with the current gene testing recommendations (RAS/BRAF and MSS; median, 39.0 versus 34.1 months; HR, 0.79; 95% CI, 0.66-0.96). Moreover, among the right-sided population, the OS HR was changed from 0.94 in RAS/BRAF and MSS to 0.82 after negative hyperselection. Therefore, in addition to checking for the presence or absence of RAS and BRAF mutations and MSS status in both left- and right-sided primary tumors, expanding testing using the ctDNA-based gene panel evaluated in this study may prove useful for identifying patients for anti-EGFR antibody-based therapy.

sided without adjustment for multiple comparisons. <sup>a</sup>Negative hyperselected patients were WT for all of the following: *RAS, BRAF* V600E, *HER2* amp., *MET* amp., *EGFR* ECD, *PTEN* and *ALK/RET/NTRK1* fusion. <sup>b</sup>Gene-altered patients had at least one of the following alterations: *RAS, BRAF* V600E, *HER2* amp., *MET* amp., *EGFR* ECD, *PTEN* or *ALK/RET/NTRK1* fusion. amp., amplification; NE, not estimable.

There are some limitations to this study. Detailed analyses of tumor specimens have not yet been conducted. While the enrollment criteria required patients to have RAS WT tumor tissue based on local

assessment using a validated test, a small proportion of patients was found to have RAS mutations (6.0% with KRAS and 1.4% with NRAS mutations) according to the ctDNA test. Patients with RAS alterations

		Panitum	umab + mFOLFOX6	Bevacizu	umab + mFOLFOX6			
Subgroup		n	Median OS,	n	Median OS,			Interaction
Overall		269	25.6 (211.29.0)	265	21.6 (20.2, 24.5)		0.87 (0.72, 1.02)	Interaction
	WT	2.41	35.0 (31.1-30.9)	220	31.0 (29.3-34.3)		0.85 (0.71 1.00)	
KAS		341	36.3 (32.9-40.4)	339	32.4 (29.8-34.8)	•	0.85 (0.71-1.00)	0.337
	Gene altered	27	20.9 (14.0-41.8)	26	25.7 (17.0-37.7)		1.16 (0.63-2.14)	
BRAF V600E	WT	326	38.0 (35.3-42.3)	329	34.0 (30.9–37.1)	•	0.83 (0.69-0.99)	0.245
	Gene altered	42	12.7 (9.8–15.4)	36	14.8 (11.5–19.4)	-	1.20 (0.75–1.94)	
HER2 amp.	WT	349	36.3 (32.9-40.4)	352	31.6 (29.6–34.5)	•	0.86 (0.72-1.01)	0.703
	Gene altered	19	23.0 (16.5–30.6)	13	26.7 (15.0–37.1)		0.96 (0.45-2.04)	
MET amp.	WT	364	36.2 (32.0-38.9)	363	31.6 (29.6–34.6)	•	0.86 (0.73-1.02)	0 765
	Gene altered	4	19.6 (4.1-NE)	2	27.0 (26.2-NE) -	•	0.64 (0.09-4.62)	0.700
EGFR ECD	WT	356	35.6 (31.1-38.9)	358	31.6 (29.6-34.5)	•	0.86 (0.73-1.02)	0.670
	Gene altered	12	37.3 (9.3-48.1)	7	20.0 (5.4-NE)	<b>-</b>	1.02 (0.35-3.00)	0.670
PTEN	WT	345	36.3 (32.9-40.4)	348	31.6 (29.3-34.6)	•	0.84 (0.71-0.99)	
	Gene altered	23	19.9 (13.7-37.5)	17	30.9 (20.1-66.6)		1.46 (0.70-3.04)	0.138
ALK/RET/	WT	365	36.2 (32.0-38.9)	361	31.3 (29.3-34.4)	•	0.85 (0.72-1.00)	
NTRK1 fusion	Gene altered	3	5.3 (2.7-NE)	4	55.9 (17.0-NE)		NE	<0.001
Combined	Negative hyperselected <sup>a</sup>	259	40.7 (37.1-47.6)	271	34.4 (31.3-40.3)	•	0.76 (0.62-0.92)	0.027
	Gene altered <sup>b</sup>	109	19.2 (14.8-23.1)	94	22.2 (19.1-27.7)	-	1.13 (0.83-1.53)	0.037
		Panitu	mumab + mFOLFOX6 Median OS.	Beva	izumab + mFOLFOX6 Median OS	_		
Subgroup		n	months (95% CI)	n	months (95% CI)		HR (95% CI)	Interactio
All with left-side	ed primary tumor	287	37.7 (34.1-42.5)	267	34.1 (30.8–38.3)	•	0.83 (0.69–1.01)	
RAS	WT	271	37.8 (34.1-42.5)	250	34.3 (30.9-40.3)	•	0.82 (0.67–1.00)	0.648
	Gene altered	16	23.6 (14.5-NE)	17	27.7 (17.0-NE)		1.10 (0.49–2.52)	
BRAF V600E	WT	271	40.6 (35.5-44.4)	259	34.5 (31.1-40.5)	•	0.80 (0.66-0.98)	0.380
	Gene altered	16	9.7 (5.1–15.4)	8	16.8 (10.6–25.7)		1.59 (0.67–3.78)	
HER2 amp.	WT	271	38.1 (35.1-43.3)	256	34.1 (30.9-40.3)	•	0.82 (0.67-1.00)	0.748
	Gene altered	16	25.1 (16.5-40.7)	11	26.7 (15.0-49.4)		0.89 (0.39-2.04)	
MET amp.	WT	284	37.7 (34.1-42.5)	265	34.1 (30.9-38.8)	•	0.83 (0.68-1.01)	0.967
5050 500	Gene attered		28.6 (4.1-INE)	2	27.0 (28.2-INE)		0.34 (0.03-3.85)	
EGFRECD	Gana altered	280	37.6 (34.0-42.5)	264	34.1 (30.9-38.3)		- 0.01 (0.18, 4.50)	0.833
DTEN	wr	275	27.9 (24.1, 42.6)	250	20.0 (0.9-NE)	-	0.92 (0.68, 1.01)	
FILM	Gene altered	12	34.3 (13.2-NF)	255	30.3 (20.1–NE)		0.92 (0.32-2.68)	0.909
ALK/RET/	WT	287	37.7 (34.1-42.5)	264	341(30.8-37.8)	-	0.83 (0.68-1.01)	
NTRK1 fusion	Gene altered	0	NE	3	NE	-	NE	
Combined	Negative hyperselected <sup>a</sup>	222	42.1 (36.4-49.3)	218	35.5 (31.6-41.4)	+	0.76 (0.61-0.95)	0.171
	Gene altered <sup>b</sup>	65	24.2 (18.2-31.0)	49	26.4 (20.8-30.9)		1.08 (0.71-1.64)	0.171
					Panitu	0.1 1.0 mumab better Beva	10 	
		Panitur	mumab + mFOLFOX6	Bevac	izumab + mFOLFOX6	_		
Cubara			Median OS,		Median OS,	_		Inter*
All with right air	ded primary tumor	n 70	20.8 (15.1.22.0)	n 01	25 5 (10 1 21 2)		HK (95% CI)	interactio
RAS	WT	68	21.0 (15.3-36.2)	85	26.9 (18.5-32.9)		1.06 (0.74-1.51)	
		10	15 0 (0 3 014)		20.0 (10.0 02.0)	Ī	1.00 (0.77 1.01)	0.502

All with fight si	aca primary turnor	10	20.0 (13.1-32.0)	31	23.3 (13.1-31.3)		1.12 (0.00-1.00)		
RAS	WT	68	21.0 (15.3–36.2)	85	26.9 (18.5-32.9)	- <b>-</b>	1.06 (0.74–1.51)	0.500	
	Gene altered	10	15.3 (0.7–31.1)	6	22.3 (8.0-NE)		1.39 (0.47-4.09)	0.502	
BRAF V600E	WT	52	32.0 (20.8-38.9)	64	30.9 (23.3-34.8)	- <b>-</b> -	1.13 (0.76–1.70)	0.005	
	Gene altered	26	14.1 (10.7–18.7)	27	15.3 (10.3-22.0)	- <b>-</b> -	1.06 (0.59-1.91)	0.805	
HER2 amp.	WT	75	22.0 (15.1-34.8)	89	25.5 (19.1–31.3)	+	1.11 (0.79–1.56)	0.000	
	Gene altered	3	20.2 (14.1-NE)	2	23.1 (10.9-NE) 🔫	•	1.80 (0.18–17.92)	0.886	
MET amp.	WT	77	21.0 (15.3–34.6)	91	25.5 (19.1–31.3)		1.11 (0.79–1.55)		
	Gene altered	1	NE	0	NE		NE		
EGFR ECD	WT	73	21.0 (15.3–32.0)	88	25.5 (19.1–32.9)		1.11 (0.79–1.57)	0.071	
	Gene altered	5	10.7 (6.8-NE)	3	17.4 (5.4-NE)	•	1.18 (0.21–6.58)	0.871	
PTEN	WT	68	22.6 (15.4–36.2)	82	23.2 (18.5–30.9)	- <b>+</b> -	1.00 (0.70-1.43)	0.075	
	Gene altered	10	14.6 (8.0–19.9)	9	31.3 (8.0-NE)		2.24 (0.76-6.63)	0.075	
ALK/RET/	WT	75	22.0 (15.4-34.8)	90	24.0 (18.5–31.3)	+	1.05 (0.75–1.47)		
NTRK1 fusion	Gene altered	3	5.3 (2.7-NE)	1	NE		NE	0.994	
Combined	Negative hyperselected <sup>a</sup>	35	38.9 (26.5-52.2)	50	30.9 (22.4-36.1)	-•-	0.82 (0.50-1.35)	0.145	
	Gene altered <sup>b</sup>	43	14.1 (11.3–18.7)	41	18.5 (11.6–25.5)		1.33 (0.84–2.11)		
					0.1	1.0	10		

Panitumumab better Bevacizumab better



**Fig. 5** | **Overall survival by** *RAS/BRAF* **and MSS status. a**-**c**, Kaplan–Meier estimates of OS in patients with left-sided primary tumors (**a**), patients with right-sided primary tumors (**b**) and the overall population (all ctDNA-evaluable patients) (**c**). The forest plots below each Kaplan–Meier plot show HR ± 95% CI.

A Cox proportional hazard model without stratification factors was used to calculate HRs for group comparisons and *P* values for the interaction between negative hyperselection status and treatment group. Statistical tests were two-sided without adjustment for multiple comparisons.

#### Article

detected in ctDNA had poorer survival (median; panitumumab 20.9 months versus bevacizumab 25.7 months) than those with RAS WT in ctDNA (36.3 versus 32.4 months). A similar level of discordance between tumor tissue and ctDNA results was observed in the PERSEIDA trial, in which RAS mutations were detected in ctDNA at baseline in 12.6% of patients who were RASWT according to tumor tissue biopsy at baseline<sup>26</sup>. There are three possible reasons for the observed discordance. First, spatial heterogeneity of tumor mutational profiles<sup>27</sup> may have affected the tumor tissue results. Tissue assays using biopsy samples capture the tumor profile of a limited region, whereas ctDNA may capture a more complete set of tumor genetic information. Second, there were differences in the timing of sampling for tumor tissue and liquid biopsy. In some cases, tumor specimens were resected during the nonmetastatic stage, and RAS mutations may have emerged during the onset of metastatic disease after surgery. Third, assay sensitivities may have differed for the tissue and liquid biopsy assays. Whereas increasing the sensitivity of tissue next-generation sequencing (NGS) assays might improve the concordance rate, results would likely still be confounded by the spatial and timing differences in tumor and ctDNA sampling. Further investigation is required to better understand discrepancies in tumor tissue and ctDNA results and their implications. Nevertheless, previous studies have shown that plasma detection of RAS mutations has a high level of concordance with tissue biopsy results and a similar predictive level for benefit of anti-EGFR treatment as standard tumor tissue testing<sup>19,20</sup>. The detection of gene fusions and amplifications in ctDNA is technically challenging, limiting the ability of ctDNA assays to detect copy numbers and fusions. Factors related to clonal hematopoiesis of indeterminate potential were not specifically filtered, although ctDNA were cleaned using an algorithm validated to exclude false positives<sup>28</sup>. However, it was not possible to completely eliminate mutations related to clonal hematopoiesis. Furthermore, we cannot exclude the possibility that some patients may have been included in the negative hyperselection category because mutations were undetectable in plasma owing to low ctDNA shedding. However, the maximum variant allele frequency was  $\geq 1.0\%$  in 87% of samples, suggesting that the mutation profiles were consistent in ctDNA and tumor tissue in these cases<sup>29</sup>. Finally, this study was not statistically powered for comparisons between specific subgroups. Thus, additional studies are needed to confirm the findings.

In conclusion, our results show that negative hyperselection based on ctDNA-testing using a comprehensive panel of gene alterations associated with resistance to anti-EGFR therapy allows for the identification of patients with mCRC who may derive benefit from first-line treatment with panitumumab combined with chemotherapy.

#### **Online content**

Any methods, additional references, Nature Portfolio reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/s41591-023-02791-w.

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#### Methods

#### Study design and patient population

The PARADIGM study (NCT02394795) was a randomized, open-label, phase 3 trial conducted at 197 sites in Japan between May 2015 and January 2022<sup>4,30</sup>. The study enrolled patients (age 20–79 years) with *RAS* WT unresectable adenocarcinoma originating in the colorectum who had not received previous chemotherapy for mCRC<sup>30</sup>. Screening for *KRAS and NRAS* mutations was performed using approved in vitro diagnostic tests<sup>31</sup>. *KRAS* and *NRAS* were required to be WT within exon 2 codons 12 and 13, exon 3 codons 59 and 61, and exon 4 codons 117 and 146 (refs. 4,32). Other key inclusion criteria were Eastern Cooperative Oncology Group performance status of 0 or 1 and presence of at least one evaluable lesion according to Response Evaluation Criteria in Solid Tumors version 1.1.

Patients were randomly allocated (1:1) to panitumumab + mFOL-FOX6 or to bevacizumab + mFOLFOX6 (ref. 4). Randomization was stratified by study site, age (20–64 versus 65–79 years) and presence or absence of liver metastases<sup>30</sup>. The primary endpoint of PARADIGM was OS, which was tested hierarchically; first in patients with left-sided tumors and then in the overall population. Secondary endpoints were PFS, response rate, duration of response and curative resection rate. Depth of response was an exploratory endpoint. The data cutoff date for these data from the final analysis was 14 January 2022. Patient sex was determined by patient report and was not considered in the study design. Clinical data were collected using EDC Classic Rave (v.2020.2.0). Methods and clinical results of PARADIGM have been published previously<sup>4,30</sup>.

This exploratory biomarker analysis included patients who were enrolled in the main study (PARADIGM) and provided informed consent for the additional biomarker study (NCT02394834). The biomarker study protocol was approved by the institutional review boards or ethics committees at each participating center. The study was conducted in compliance with the protocol and ethical principles based on the Declaration of Helsinki, Ethical Guidelines on Medical Research Involving Human Subjects and International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use Guideline for Good Clinical Practice. The protocol and statistical analysis plan are available in the Supplementary Information.

#### Molecular analyses

Baseline plasma ctDNA (>10 ng ml<sup>-1</sup> and >10 nmol DNA) from patients enrolled in the biomarker study was assessed using a custom NGS-based panel (PlasmaSELECT-R 91, Personal Genome Diagnostics, Inc. Baltimore, MD). The panel was designed to detect 90 mutations, 26 amplifications and 3 rearrangements in mCRC-related genes, as well as MSI (Supplementary Table 5). The analytical sensitivity for detection was 92% and 83% for sequence mutations with mutant allele fraction of 0.10% and 0.20%, respectively, 100% (20% tumor purity) for high-level focal amplifications, 100% (0.10% tumor purity) for translocations, and 100% (0.50% tumor purity) for MSI. The specificity ranged from 99.9998% to 100.0%, depending on alteration type, and the reproducibility was 100% for liquid biopsy genotyping analyses for specimens meeting sample acceptance criteria. Targeted genomic regions spanned 250 kb. Prespecified gene alterations for negative hyperselection for anti-EGFR antibody therapy were KRAS, NRAS, BRAF (V600E), PTEN and ECD EGFR mutations (exons 1-16 (1-620)), HER2 and MET amplifications, and ALK, RET and NTRK1 fusions. The panel had a 1.25 threshold for HER2; thresholds were set based on noise in normal samples. An additional exploratory analysis based on current guideline recommendations regarding clinically relevant biomarkers assessed gene alterations of MSS/MSI-L versus MSI-H status and RAS (KRAS/NRAS) and BRAF V600E mutations.

#### Statistical analysis

The association of negative hyperselection status (all negative versus gene altered (that is, any positive biomarker)) with OS, PFS, response

rate, depth of response and curative resection rate was evaluated in patients who were included in the efficacy analysis and had evaluable baseline ctDNA samples. Efficacy outcomes were also evaluated according to RAS, BRAF (V600E) and MSI status (all negative versus any positive biomarker). OS was defined as the time from the day of randomization (day 1) until death from any cause. PFS was the time from the day of randomization until disease progression or death; for patients who underwent curative resection, the PFS period ended on the day when preoperative diagnostics confirmed no progressive disease. For patients who discontinued study treatment due to an adverse event or other reasons without disease progression or death, PFS was the time until progressive disease or death after subsequent therapy or the patient was censored at the last follow-up date<sup>4</sup>. The response rate was defined as the percentage of patients whose best overall response was either complete response or partial response. PFS and OS were analyzed according to the Kaplan-Meier method<sup>4</sup>.

Patient characteristics at baseline were summarized by treatment group, gene-altered status and tumor sidedness using descriptive statistics. A Cox proportional hazard model without stratification factors was used to calculate HRs, and 95% Cls for group comparisons and P values for the interaction between negative hyperselection status and treatment group. ORs for group comparisons of response rates and curative resection rates were calculated by logistic regression analysis. All statistical tests were two-sided without adjustment for multiple comparisons. Statistical analyses were conducted using R (v.4.0.5) using the following packages: gtsummary (v.1.7.0), survival (v.3.5-5), survminer (v.0.4.9), g gplot2 (v.3.4.2), forester (0.2.0) and Complex Heatmap (v.2.13.1).

#### **Reporting summary**

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

#### **Data availability**

The datasets, including individual participant data supporting the results reported in this article, will be made available within 3 months from initial request to researchers who provide a methodologically sound proposal. The initial contact for the request will be made with the corresponding author (K.S.). The data are not publicly available due to privacy/ethical restrictions and intellectual property reasons and will be provided after de-identification in compliance with applicable privacy laws, data protection and requirements for consent and anonymization. Researchers will be requested to execute the contract with Takeda Pharmaceutical Company Ltd. for the usage of the data.

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#### **Author contributions**

K.S., K.M., J.W., K. Yamazaki, E.O., T.S., T.N., Y.K., T.K., I.M., K. Yamanaka, M.H., J.S., R.Y., K.A., A.O., H.U., K.T. and T.Y. conceived of, designed and planned the study. K.S., K. Muro, J.W., K. Yamazaki, H. Ohori, M.S., A.T., N.A., H. Ojima, Y.Y., K. Miwa, H.Y., E.O., T.S., T.N., Y.K., T.K., I.M., K. Yamanaka, M.H., K.A., A.O., H.U., K.T. and T.Y. acquired the data. All authors contributed to interpretation of data, writing of the manuscript and critical review and revision of the manuscript. All authors had full access to all of the data in the study. K.S. and T.Y. had final responsibility for the decision to submit for publication.

#### **Competing interests**

K.S.: Personal fees for consulting and advisory role from Bristol-Myers Squibb, Takeda, Ono Pharmaceutical, Novartis, Daiichi Sankyo, Amgen, Boehringer Ingelheim, Merck Pharmaceutical, Astellas, Guardant Health Japan, Janssen, AstraZeneca, Zymeworks Biopharmaceuticals, ALX Oncology and Bayer; honoraria from Bristol-Myers Squibb, Ono Pharmaceutical, Janssen, Eli Lilly, Astellas and AstraZeneca; research funding (all to institution) from Astellas, Ono Pharmaceutical, Daiichi Sankyo, Taiho Pharmaceutical, Chugai, Merck Pharmaceutical, Amgen, Eisai, PRA Health Sciences and Syneos Health, outside of the submitted work. K. Muro: Consulting and advisory role for Chugai Pharma, AstraZeneca, Ono Pharmaceutical and Amgen; honoraria from Chugai Pharma, Ono Pharmaceutical, Takeda, Eli Lilly, Bayer, Sanofi, Bristol-Myers Squibb and Taiho Pharmaceutical; research grant from Taiho Pharmaceutical, Astellas Pharma, Amgen Astellas Biopharma, (rest to institution) Merck Sharp & Dohme, Daiichi Sankyo, Shionogi, Kyowa Kirin, Gilead Sciences, Merck Serono, Pfizer, Sanofi, PAREXEL, Mediscience Planning, Sumitomo Dainippon Pharma, Solasia Pharma and Ono Pharmaceutical. J.W.: Speakers bureau for Covidien Japan, Johnson & Johnson/Janssen, Eli Lilly Japan and Takeda; research funding (to institution) from Medtronic, TERUMO and Stryker Japan. K. Yamazaki: Honoraria from Chugai Pharma, Daiichi Sankyo, Yakult Honsha, Takeda, Bayer, Merck Serono, Taiho Pharmaceutical, Eli Lilly, Sanofi, Ono Pharmaceutical, Merck Sharp & Dohme and Bristol-Myers Squibb; research funding (to institution) from Taiho Pharmaceutical. H. Ohori: Honoraria from Daiichi Sankyo, Yakult Honsha, Takeda, Taiho Pharmaceutical, Eli Lilly, Merck Sharp & Dohme and Bristol-Myers Squibb. N.A., M.Y., H. Ojima, Y.Y., K. Miwa, H.Y., K.A. and A.O. report having no relationships to disclose. A.T.: Grants from Takeda, Ono Pharmaceutical, Merck Sharp & Dohme, Bristol-Myers Squibb, Isofol Medical AB, Hutchison

Medipharma, Incyte Corporation, Pfizer, Daiichi Sankyo; personal fees from Eli Lilly Japan. Taiho Pharmaceutical. Ono Pharmaceutical. Chugai Pharmaceutical, Takeda, Merck Serono and Merck Sharp & Dohme. A.M.: Personal fees from Eli Lilly Japan, Taiho Pharmaceutical, Ono Pharmaceutical, Bristol-Myers Squibb and Daiichi Sankyo. M.S.: Honoraria from Yakult Honsha, Takeda, Merck Serono, Taiho Pharmaceutical, Eli Lilly, Ono Pharmaceutical and Johnson & Johnson. E.O.: Speakers bureau for Chugai Pharma, Eli Lilly Japan, Takeda, Ono Pharmaceutical, Bayer Yakuhin and Bristol-Myers Squibb Japan. T.S.: Consulting and advisory role for Takeda; speakers bureau for Chugai Pharma, Eli Lilly Japan, Taiho Oncology, Takeda, Bayer Yakuhin, Ono Yakuhin and Daiichi Sankyo/UCB Japan. T.N.: Honoraria from Chugai Pharma, Taiho Pharmaceutical, Kaken Pharmaceutical, Daiichi Sankyo, Eli Lilly Japan, Takeda, Merck, Bayer and Boehringer Ingelheim: research funding (all to institution) from Chugai Pharma, Taiho Pharmaceutical, Kaken Pharmaceutical, Daiichi Sankyo and Eli Lilly Japan. Y.K.: Speakers bureau for Ono Pharmaceutical, Taiho, Chugai, Eli Lilly and Bayer Yakuhin; research funding from Ono Pharmaceutical, Taiho, Daiichi Sankyo, Chugai and IQVIA. T.K.: Honoraria from Chugai Pharma, Yakult Honsha, Ono Pharmaceutical, Takeda, Eli Lilly Japan, Taiho Pharmaceutical and Asahi Kasei; research funding from Chugai Pharma. I.M.: Employment with Takeda Pharmaceutical Company Ltd. K. Yamanaka: Employment with Takeda Pharmaceutical Company Ltd. M.H.: Employment with Takeda Pharmaceutical Company Ltd. J.S.: Employment with Takeda Pharmaceutical Company Ltd. T.M.: Honoraria from Chugai Pharma, AstraZeneca and Mivarisan. K. Yamamoto: Honoraria from Chugai Pharma, J-Pharma, Johokiko, Triceps and CMIC Holdings; research funding from Taiho, Boehringer Ingelheim, Takeda, Daiichi Sankyo and Astellas. R.Y.: Honoraria from Chugai Pharma, Takeda and BitBiome; consulting and advisory role for Takeda. H.U.: Speakers bureau for Takeda, Chugai Pharma and Taiho. K.T.: Honoraria from Chugai Pharma, Novartis, Takeda, Miyarisan Pharmaceutical, Bristol-Myers Squibb Japan, AstraZeneca, Illumina, Eisai, Boehringer Ingelheim Seiyaku and Bayer Yakuhin. T.Y.: Honoraria from Chugai Pharma, Merck, Bayer Yakuhin, Ono Pharmaceutical, Takeda, and Merck Sharp & Dohme; consulting and advisory role for Sumitomo Corp.; research funding (all to institution) from Merck Sharp & Dohme, Daiichi Sankyo, Ono Pharmaceutical, Taiho Pharmaceutical, Amgen, Sanofi, Pfizer, Genomedia, Sysmex, Nippon Boehringer Ingelheim, Eisai, FALCO Biosystems, Roche Diagnostics and Chugai Pharma.

#### **Additional information**

**Extended data** is available for this paper at https://doi.org/10.1038/ s41591-023-02791-w.

**Supplementary information** The online version contains supplementary material available at https://doi.org/10.1038/s41591-023-02791-w.

**Correspondence and requests for materials** should be addressed to Kohei Shitara.

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Extended Data Fig. 1| Progression-free survival (PFS) by negative

**hyperselection status.** Kaplan–Meier estimates of PFS in (**a**) patients with leftsided primary tumors, (**b**) patients with right-sided primary tumors and (**c**) the overall population (all ctDNA-evaluable patients). The forest plots below each Kaplan–Meier plot show the HR ±95% CI. A Cox proportional hazard model without stratification factors was used to calculate HRs for group comparisons and *P* values for the interaction between negative hyperselection status and treatment group. Statistical tests were two-sided without adjustment for multiple comparisons. ctDNA, circulating tumor DNA; HR, hazard ratio; mFOLFOX6, modified FOLFOX6.



**Extended Data Fig. 2** | **Response rate by negative hyperselection status.** Response rates in (**a**) patients with left-sided primary tumors, (**b**) patients with right-sided primary tumors and (**c**) the overall population (all ctDNA-evaluable patients). Data plotted are percentages of patients with a response ± 95% Cls. ORs were calculated by logistic regression analysis. Statistical tests were two-sided without adjustment for multiple comparisons. ctDNA, circulating tumor DNA; mFOLFOX6, modified FOLFOX6; OR, odds ratio.

n

61

48

Median depth of

response, % (95% CI)

-53.6 (-60.7--46.0)

-44.2 (-48.8--35.1)

Median depth of

response, % (95% CI)

-30.0 (-42.1--9.8)

-53.3 (-61.1--35.8)

Median depth of

P=0.010 (Wilcoxon rank sum test)

P=0.156 (Wilcoxon rank sum test)

Gene altered

Gene altered

n

37

36





 $\label{eq:constant} Extended \, Data \, Fig. \, 3 \, | \, Depth \, of \, response \, by \, negative \, hyperselection \, status.$ Best change in target lesion size in negative hyperselected and gene altered (a) patients with left-sided primary tumors, (b) patients with right-sided primary tumors and (c) overall population (all ctDNA-evaluable patients). P values were



Gene altered

-100

100

%

calculated using a Wilcoxon rank sum test. Statistical tests were two-sided without adjustment for multiple comparisons. ctDNA, circulating tumor DNA; mFOLFOX6, modified FOLFOX6.



а

%

Maximum change in target lesion size,

b

%

Maximum change in target lesion size,

-100



Extended Data Fig. 4 | Curative resection rates by negative hyperselection status. Curative resection rates in (a) patients with left-sided primary tumors, (b) patients with right-sided primary tumors and (c) the overall population (all ctDNA-evaluable patients). Data plotted are percentages of patients with curative resection ± 95% CIs. ORs were calculated by logistic regression analysis. Statistical tests were two-sided without adjustment for multiple comparisons. ctDNA, circulating tumor DNA; mFOLFOX6, modified FOLFOX6; OR, odds ratio.



**Extended Data Fig. 5** | **Progression-free survival (PFS) by** *RAS/BRAF* **and MSS status.** Kaplan–Meier estimates of PFS in (**a**) patients with left-sided primary tumors, (**b**) patients with right-sided primary tumors and (**c**) the overall population (all ctDNA-evaluable patients). The forest plots below each Kaplan–Meier plot show the HR ± 95% Cl. A Cox proportional hazard model without stratification factors was used to calculate HRs for group comparisons and *P* values for the interaction between negative hyperselection status and treatment group. Statistical tests were two-sided without adjustment for multiple comparisons. HR, hazard ratio; mFOLFOX6, modified FOLFOX6; MSI-H, microsatellite instability–high; MSI-L, microsatellite instability–low; MSS, microsatellite stable; WT, wild type.



**Extended Data Fig. 6** | **Response rates by** *RAS/BRAF* **and MSS status.** Response rates in (**a**) patients with left-sided primary tumors, (**b**) patients with right-sided primary tumors and (**c**) the overall population (all ctDNA-evaluable patients). Data plotted are percentages of patients with a response ± 95% Cls. ORs were

calculated by logistic regression analysis. Statistical tests were two-sided without adjustment for multiple comparisons. mFOLFOX6, modified FOLFOX6; MSI-H, microsatellite instability-high; MSI-L, microsatellite instability-low; MSS, microsatellite stable; OR, odds ratio; WT, wild type.





MSI-H and/or RAS/BRAF mutation







**Extended Data Fig. 7** | **Depth of response by** *RAS/BRAF* **and MSS status.** Best change in target lesion size in patients with MSS/MSI-L and *RAS/BRAF* WT or MSI-H and/or a *RAS/BRAF* mutation in (**a**) patients with left-sided primary tumors, (**b**) patients with right-sided primary tumors and (**c**) the overall population overall population (all ctDNA-evaluable patients). Dotted line at –30% indicated

MSI-H and/or RAS/BRAF mutation



threshold for partial response per RECIST v1.1. *P* values were calculated using a Wilcoxon rank sum test. Statistical tests were two-sided without adjustment for multiple comparisons. ctDNA, circulating tumor DNA; mFOLFOX6, modified FOLFOX6; MSI-H, microsatellite instability–high; MSI-L, microsatellite instability–low; MSS, microsatellite stable; WT, wild type.



Extended Data Fig. 8 | See next page for caption.

#### $\label{eq:constraint} Extended \, Data Fig. \, 8 \, | \, Curative \, resection \, rates \, by \, \textit{RAS/BRAF} \, and$

**microsatellite stability status.** Curative resection rates in (**a**) patients with leftsided primary tumors, (**b**) patients with right-sided primary tumors and (**c**) the overall population (all ctDNA-evaluable patients). Data plotted are percentages of patients with curative resection ± 95% CIs. ORs were calculated by logistic regression analysis. Statistical tests were two-sided without adjustment for multiple comparisons. mFOLFOX6, modified FOLFOX6; MSI-H, microsatellite instability–high; MSI-L, microsatellite instability–low; MSS, microsatellite stable; WT, wild type.

	Ove ( <i>n</i> =	erall 733)	Left-sided <sup>a</sup> p ( <i>n</i> =	rimary tumor 554)	Right-sided <sup>b</sup> primary tumor ( <i>n</i> =169)		
	Panitumumab + mFOLFOX6 ( <i>n</i> =368)	Bevacizumab + mFOLFOX6 ( <i>n</i> =365)	Panitumumab + mFOLFOX6 ( <i>n</i> =287)	Bevacizumab + mFOLFOX6 ( <i>n</i> =267)	Panitumumab + mFOLFOX6 ( <i>n</i> =78)	Bevacizumab + mFOLFOX6 ( <i>n</i> =91)	
Alterations inc	luded in the negat	tive hyperselectio	n panel	( 201)	(	(// 01)	
BRAF	42 (11.4)	36 (9.9)	16 (5.6)	8 (3.0)	26 (33.3)	27 (29.7)	
(V600E)				·			
KRAS	21 (5.7)	23 (6.3)	11 (3.8)	15 (5.6)	9 (11.5)	6 (6.6)	
PTEN	23 (6.3)	17 (4.7)	12 (4.2)	8 (3.0)	10 (12.8)	9 (9.9)	
HER2	19 (5.2)	13 (3.6)	16 (5.6)	11 (4.1)	3 (3.8)	2 (2.2)	
amplification							
EGFR (ECD)	12 (3.3)	7 (1.9)	7 (2.4)	3 (1.1)	5 (6.4)	3 (3.3)	
NRAS	7 (1.9)	3 (0.8)	6 (2.1)	2 (0.7)	1 (1.3)	0	
MET	4 (1.1)	2 (0.5)	3 (1.0)	2 (0.7)	1 (1.3)	0	
amplification							
RET fusion	2 (0.5)	2 (0.5)	0	2 (0.7)	2 (2.6)	0	
NTRK1 fusion	1 (0.3)	1 (0.3)	0	1 (0.4)	1 (1.3)	0	
ALK fusion	0	1 (0.3)	0	0	0	1 (1.1)	
MSS status							
MSI-H	9 (2.4)	11 (3.0)	1 (0.3)	2 (0.7)	8 (10.3)	8 (8.8)	
Data are pre	esented as n (%).						

#### Extended Data Table 1 | Incidence of genetic alterations by tumor sidedness and treatment group

(70)

<sup>a</sup>Primary tumors originating in the descending colon, sigmoid colon, rectosigmoid, and rectum.

<sup>b</sup>Primary tumors originating on the right side of the colon, defined as cecum, ascending colon, or transverse colon.

mFOLFOX6, modified FOLFOX6; MSI-H, microsatellite instability-high; MSS, microsatellite stability.

#### Extended Data Table 2 | Adverse events

	Pa 	Panitumumab + mFOLFOX6			Bevacizumab + mFOLFOX6				
	Negative hyperselected <sup>a</sup> (n=259)		Gene altered <sup>ь</sup> (n=109)		Nega hyperse (n≕	Negative hyperselectedª (n=271)		ed <sup>b</sup> (n=94)	
Any adverse event	257	257 (99.2)		109 (100)		264 (97.4)		98.9)	
Grade ≥3 adverse event	188	188 (72.6)		80 (73.4)		68.3)	57 (60.6)		
Serious adverse events related to study treatment	46 (	17.8)	21 (	19.3)	34 (12.5)		8 (8	3.5)	
Adverse events leading to discontinuation of study treatment	63 (	24.3)	27 (2	27 (24.8)		53 (19.6)		19.1)	
Common adverse events (any grade ≥20%)	Any Grade ≥3 grade		Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	
Nervous system disorders									
Peripheral sensory neuropathy	21 (8.1)	190 (73.4)	9 (8.3)	74 (67.9)	25 (9.2)	198 (73.1)	8 (8.5)	70 (74.5)	
Taste disorder	0	90 (34.7)	0	28 (25.7)	0	61 (22.5)	0	24 (25.5)	
Gastrointestinal tract disorders									
Diarrhea	20 (7.7)	94 (36.3)	4 (3.7)	41 (37.6)	9 (3.3)	90 (33.2)	3 (3.2)	30 (31.9)	
Stomatitis	22 (8.5)	167 (64.5)	4 (3.7)	62 (56.9)	5 (1.8)	107 (39.5)	0	42 (44.7)	
Nausea	1 (0.4)	98 (37.8)	4 (3.7)	46 (42.2)	10 (3.7)	106 (39.1)	2 (2.1)	38 (40.4)	
Constipation	0	59 (22.8)	0	23 (21.1)	2 (0.7)	77 (28.4)	0	22 (23.4)	
Skin and subcutaneous tissue disorders									
Dermatitis acneiform	48 (18.5)	201 (77.6)	19 (17.4)	70 (64.2)	0	9 (3.3)	0	3 (3.2)	
Dry skin	24 (9.3)	125 (48.3)	6 (5.5)	42 (38.5)	1 (0.4)	25 (9.2)	0	10 (10.6)	
Palmar-plantar erythrodysesthesia syndrome	8 (3.1)	66 (25.5)	0	20 (18.3)	0	38 (14.0)	1 (1.1)	14 (14.9)	
	Pa	anitumumab	+ mFOLFO	X6	B	evacizumab	+ mFOLFO	X6	
	Neg hypers (n=	ative electedª 259)	Gene a (n=	altered <sup>b</sup> 109)	Neg hypers (n≕	ative electedª 271)	Gene alter	ed <sup>b</sup> (n=94)	
Common adverse			(	,	(··· ·				
events (any grade ≥20%)	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	Grade ≥3	Any grade	
Laboratory measurements									
Neutrophil count decreased	79 (30.5)	127 (49.0)	36 (33.0)	54 (49.5)	105 (38.7)	158 (58.3)	25 (26.6)	48 (51.1)	
Platelet count decreased	2 (0.8)	58 (22.4)	3 (2.8)	18 (16.5)	2 (0.7)	47 (17.3)	1 (1.1)	28 (29.8)	
Metabolism and nutrition disorders									
Decreased appetite	18 (6.9)	139 (53.7)	8 (7.3)	64 (58.7)	13 (4.8)	136 (50.2)	3 (3.2)	45 (47.9)	
Hypomagnesemia	21 (8.1)	83 (32.0)	9 (8.3)	32 (29.4)	0	2 (0.7)	0	5 (5.3)	
General disorders and administration site conditions									
Fatigue	10 (3.9)	98 (37.8)	5 (4.6)	43 (39.4)	12 (4.4)	107 (39.5)	3 (3.2)	36 (38.3)	
Infections and infestations									
Paronychia	25 (9.7)	142 (54.8)	7 (6.4)	49 (45.0)	1 (0.4)	17 (6.3)	0	3 (3.2)	
Respiratory, thoracic and mediastinal disorders									

Data are presented as n (%).

0

7 (2.7)

Epistaxis

<sup>a</sup>Negative hyperselected was defined as plasma ctDNA being negative for all prespecified gene alterations, including mutations in *BRAF* V600E, *KRAS*, *PTEN*, *EGFR* ECD exons 1–16, and *NRAS*, amplifications of *HER2* and *MET*, and gene fusions of *RET*, *NRTK1* and *ALK*.

0

6 (5.5)

0

56 (20.7)

0

20 (21.3)

<sup>b</sup>Gene altered was defined as detection of any of the following in ctDNA: a mutation in *BRAF* V600E, *KRAS*, *PTEN*, *EGFR* ECD exons 1–16, and/or *NRAS*, amplification of *HER2* and/or *MET*, and gene fusion of *RET*, *NRTK1* and/or *ALK*.

mFOLFOX6, modified FOLFOX6.

# nature portfolio

Corresponding author(s): Kohei Shitara

Last updated by author(s): Dec 14, 2023

# **Reporting Summary**

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	$\boxtimes$	A statement on whether measurements were taken from distinct samples or whether the same sample was measured repeatedly
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$\boxtimes$		For Bayesian analysis, information on the choice of priors and Markov chain Monte Carlo settings
$\boxtimes$		For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes
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		Our web collection on <u>statistics for biologists</u> contains articles on many of the points above.

## Software and code

 Policy information about availability of computer code

 Data collection

 Clinical data were collected using the EDC Classic Rave (version 2020.2.0).

 Data analysis

 Statistical analyses were conducted using R (4.0.5), using following packages: gtsummary (1.7.0), survival (3.5-5), survminer (0.4.9), ggplot2 (3.4.2), forester (0.2.0), and Complex Heatmap (2.13.1).

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#### Data

Policy information about availability of data

All manuscripts must include a data availability statement. This statement should provide the following information, where applicable:

- Accession codes, unique identifiers, or web links for publicly available datasets
- A description of any restrictions on data availability
- For clinical datasets or third party data, please ensure that the statement adheres to our policy

#### Data availability

The data sets, including individual participant data supporting the results reported in this article, will be made available within 3 months from initial request to researchers who provide a methodologically sound proposal. The initial contact for the request will be made with the corresponding author [Kohei Shitara]. The

data are not publicly available due to privacy/ethical restrictions and intellectual property reasons and will be provided after de-identification in compliance with applicable privacy laws, data protection, and requirements for consent and anonymization. Researchers will be requested to execute the contract with Takeda Pharmaceutical Company Ltd. for the usage of the data.

### Human research participants

Policy information about studies involving human research participants and Sex and Gender in Research.

Reporting on sex and gender	A total of 254 patients of female sex and 479 patients of male sex were included in the analysis (see Table 1 in manuscript). No analysis was conducted based on gender.
Population characteristics	Of the 802 patients with RAS WT mCRC included in the PARADIGM efficacy analysis population, 733 patients (91.4%) provided informed consent for this biomarker study and had baseline blood plasma samples that were evaluable for ctDNA (Figure 1 in the manuscript). Among these 733 patients, 554 patients (75.6%) had left-sided primary tumors, 169 (23.1%) had right-sided primary tumors, and 10 (1.4%) had multiple primary lesions in both the left and right sides. A total of 432 patients (58.9%) were 65 to 79 years old, and 301 (41.1%) were 20 to 64 years old.
Recruitment	Participants were recruited by each investigator at the sites based on the study eligibility criteria. The recruitment process did not raise any concerns about selection bias.
Ethics oversight	The biomarker study protocol was approved by the institutional review boards or ethics committees at each participating center. This exploratory biomarker analysis included patients who were enrolled in the main study (PARADIGM) and provided informed consent for the additional biomarker study (NCT02394834). The protocol was reviewed and approved by the Certified Review Board of the National Cancer Center Hospital East, Japan.

Note that full information on the approval of the study protocol must also be provided in the manuscript.

# Field-specific reporting

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## Life sciences study design

All studies must dis	sclose on these points even when the disclosure is negative.
Sample size	This was an exploratory biomarker study of patients from a clinical trial. A total of 733 of the 802 patients in the primary study provided informed consent for this study, had baseline plasma samples evaluated for ctDNA, and were included in this biomarker study.
Data exclusions	No data were excluded from this exploratory study.
Replication	Because the study enrolled over 800 patients over a 2-year period at at 197 centers in Japan, and these patients were subsequently followed for survival for longer than 5 years, it would take at least 7 years to fully replicate the study. The time and cost involved in conducting a large clinical trial such as PARADIGM prohibit replication of the trial.
Randomization	In the primary study, patients were randomly allocated (1:1) to panitumumab plus mFOLFOX6 or to bevacizumab plus mFOLFOX6. Randomization was stratified by study site, age (20–64 vs 65–79 years), and presence or absence of liver metastases.
Blinding	The study was not blinded. The primary study, PARADIGM, was an open-label trial (i.e., unblinded), as predefined in the protocol. This exploratory biomarker study used samples from patients enrolled in the open-label study.

# Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

#### Materials & experimental systems

n/a	Involved in the study
$\times$	Antibodies
$\boxtimes$	Eukaryotic cell lines
$\boxtimes$	Palaeontology and archaeology
$\bowtie$	Animals and other organisms

#### Methods

- n/a Involved in the study
- ChIP-seq
- Flow cytometry

   MRI-based neuroimaging

## Clinical data

Dual use research of concern

## Clinical data

Policy information about <u>clinical studies</u>

All manuscripts should comply with the ICMJE guidelines for publication of clinical research and a completed CONSORT checklist must be included with all submissions.

Clinical trial registration	NCT02394834
Study protocol	This manuscript is reporting the results of an exploratory biomarker analysis. The protocol for the primary study (NCT02394795) was included as a supplementary item for the publication of the primary study manuscript (Watanabe J, et al. JAMA. 2023;329(15): 1271-1282.). The protocol and statistical analysis plan for this exploratory analysis are in included in the Supplementary Information for this manuscript.
Data collection	The data were entered into an electronic data capture system by the investigators and clinical research coordinators at each of the 197 clinical sites located throughout Japan. A listing of all investigators and their affiliations was provided in the supplementary materials of the primary publication for PARADIGM (Watanabe J, et al. JAMA. 2023;329(15):1271-1282). In this exploratory biomarker analysis, baseline plasma ctDNA (>10 ng/mL and >10 nM DNA) from enrolled patients was assessed using a custom next-generation sequencing (NGS)-based panel (PlasmaSELECT-R 91). The panel was designed to detect 90 mutations, 26 amplifications, and 3 rearrangements in mCRC-related genes, as well as microsatellite instability. Targeted genomic regions spanned 250 kb. Prespecified gene alterations for negative hyperselection for anti-EGFR antibody therapy were KRAS, NRAS, BRAF (V600E), PTEN, and extracellular domain EGFR mutations (exons 1–16 [1–620]), HER2 and MET amplifications, and ALK, RET, and NTRK1 fusions.
Outcomes	As prespecified in the Protocol, the primary endpoint of this exploratory study was to evaluate the relationship between overall survival and mutation of each gene (eg, BRAF, EGFR, KRAS, NRAS) in samples collected at baseline in the main study. Secondary endpoints were to evaluate the relationships between other efficacy endpoints (PFS, response rate, duration of response, proportion of patients who proceeded to surgical resection, proportion of patients with early tumor shrinkage, degree of maximum tumor shrinkage [depth of response]) of the main study and each tumor-associated gene in samples collected at baseline of the main study. The Protocol and Statistical Analysis Plan are available in the Supplementary Materials of this publication.