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1 Omicron infection enhances Delta antibody immunity in vaccinated persons

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The extent to which Omicron infection¹⁻⁹, with or without previous vaccination, elicits protection 30 31 against the previously dominant Delta (B.1.617.2) variant is unclear. We measured SARS-CoV-2 32 variant neutralization capacity in 39 Omicron sub-lineage BA.1 infected individuals in South Africa 33 starting at a median of 6 (IQR 3-9) days post-symptoms onset and continuing until a last follow-up 34 sample a median of 23 (IQR 19-27) days post-symptoms to allow BA.1 elicited neutralizing immunity 35 time to develop. Fifteen participants were vaccinated with Pfizer-BNT162b2 or J&J-Ad26.CoV2.S and 36 had BA.1 breakthrough infections, and 24 were unvaccinated. BA.1 neutralization increased from a 37 geometric mean titer (GMT) FRNT $_{50}$ of 42 at enrollment to 575 at the last follow-up time-point (13.6-38 fold) in vaccinated and from 46 to 272 (6.0-fold) in unvaccinated participants. Delta virus 39 neutralization also increased, from 192 to 1091 (5.7-fold) in vaccinated and 28 to 91 (3.0-fold) in 40 unvaccinated participants. At the last time-point, unvaccinated BA.1 infected individuals had 2.2fold lower BA.1 neutralization, 12.0-fold lower Delta neutralization, 9.6-fold lower Beta variant 41 42 neutralization, 17.9-fold lower ancestral virus neutralization, and 4.8-fold lower Omicron sub-43 lineage BA.2 neutralization relative to vaccinated, with low absolute levels of neutralization for the 44 non-BA.1 viruses. These results indicate that vaccination combined with Omicron/BA.1 infection 45 hybrid immunity should be protective against Delta and other variants. In contrast, infection with Omicron/BA.1 alone offers limited cross-protection despite moderate enhancement. 46

48 The Omicron variant of SARS-CoV-2, first identified in November 2021 in South Africa and Botswana¹⁰, has been shown by us¹ and others²⁻⁹ to have extensive but incomplete escape from neutralizing 49 immunity elicited by vaccines and previous infection, with boosted individuals showing better 50 51 neutralization. In South Africa, Omicron infections led to a lower incidence of severe disease relative 52 to other variants^{11,12}, although this can be at least partly explained by pre-existing immunity¹³. The first Omicron sub-lineage to appear was BA.1, which was supplanted by the BA.2 sub-lineage in many 53 countries¹⁴. 54

55 How Omicron/BA.1 infection will interact with vaccination to protect against the previously dominant 56 Delta variant, emerging variants such as BA.2, and other variants, is still unclear. We isolated live 57 Omicron/BA.1, Omicron/BA.2, ancestral, Beta, and Delta viruses and neutralized viruses with plasma 58 from participants enrolled and longitudinally sampled during the Omicron/BA.1 infection wave in 59 South Africa, with all participants having a confirmed diagnosis of SARS-CoV-2 by qPCR. To quantify 60 neutralization, we used a live virus neutralization assay and calculated the focus reduction neutralization test (FRNT₅₀) value, the inverse of the plasma dilution required for 50% neutralization, 61 62 as measured by the reduction in the number of infection foci. We enrolled 41 participants who 63 reported symptoms from late November 2021 to January 2022. We successfully sequenced the infecting virus in 26 participants and all sequences corresponded to Omicron/BA.1 (Extended Data 64 65 Table 1). Two participants had advanced HIV disease based on a low CD4 count (<200 cells/microliter 66 throughout the study) and unsuppressed HIV infection, and we excluded these participants based on 67 our previous data showing an atypical response to SARS-CoV-2 in advanced HIV disease¹⁵. Extended 68 Data Table 2 summarizes the characteristics of the remaining 39 participants.

69 Twenty seven out of 39 participants were admitted to hospital because of Covid-19 symptoms. Seven 70 required supplemental oxygen and one died. Fifteen participants were vaccinated and had a 71 breakthrough Omicron/BA.1 infection. The median time post-vaccination was 139 days (IQR 120-178), a time interval which would predict considerable waning of the vaccine elicited immune response¹⁶ 72 73 which may have contributed to the breakthrough infections. Eight participants were vaccinated with 74 two doses of Pfizer-BNT162b2 and 7 with Johnson and Johnson Ad26.CoV2.S, with one Ad26.CoV2.S 75 vaccinee boosted with a second Ad26.CoV2.S dose (Extended Data Table 1). The length of hospital 76 stay was shorter in the vaccinated (3.5 days) relative to unvaccinated participants (8 days, Extended 77 Data Table 2). Three participants self-reported having a previous SARS-CoV-2 infection (Extended Data 78 Table 1).

79 Participants were sampled at enrollment at a median of 6 days (interquartile range 3-9 days) post-80 symptom onset, and again at weekly follow-up visits which were attended as practicable because of 81 the Christmas holidays in South Africa. The last follow-up visit was a median of 23 days (interguartile 82 range 19-27 days) post-symptom onset (Extended Data Table 1). Examining neutralization at all 83 available timepoints per study participant showed that neutralization of the Omicron/BA.1 variant 84 increased substantially in most participants from enrollment to time of last follow-up (Extended Data 85 Fig 1), consistent with developing a neutralizing antibody response to Omicron/BA.1 infection. We 86 therefore analyzed neutralization at enrollment (baseline for the study) and the last follow-up visit to 87 quantify the increase in neutralization capacity post-Omicron infection.

We observed that Omicron/BA.1 neutralization increased in vaccinated individuals from a low geometric mean titer (GMT) FRNT $_{50}$ of 42 at the enrollment visit to 575 at the last follow-up visit about 2 to 3 weeks later, a 13.6-fold change (95% CI 3.7-50.2, Fig 1a). The samples from unvaccinated participants neutralized at study baseline at a similar starting level of 46 and reached a final level of 272 at last follow-up, a 6.0-fold increase (95% Cl 2.2-16.1, Fig 1b). Neutralization of Delta virus also increased during this period. At enrollment, neutralization capacity against Delta virus was 192 and reached a final level of 1091 at last follow-up in vaccinated participants, a 5.7-fold increase (95% CI 94

95 1.7-18.4, Fig 1c). Unvaccinated participants had lower Delta neutralization at baseline with Delta virus
 96 FRNT₅₀ = 28, and reached FRNT₅₀ = 91, a 3.2-fold increase (95% CI 1.3-8.1, Fig 1d).

We next compared Omicron/BA.1 to Omicron/BA.2, Delta, Beta¹⁷ and ancestral virus neutralization at 97 98 the last available follow-up visit in three sets of paired experiments, each comparing either 99 Omicron/BA.2, Delta, or ancestral and Beta virus neutralization to Omicron/BA.1 neutralization. The 100 range of Omicron/BA.1 neutralization shown in Fig 2a for different experiments (FRNT₅₀ = 516 to 646 101 for vaccinated samples and 266 to 271 for unvaccinated samples) is the result of experimental 102 variation. BA.2 neutralization was moderately and not significantly lower relative to BA.1 in both 103 vaccinated and unvaccinated participants. Testing only participants with sequence confirmed Omicron 104 BA.1 infection gave a similar result (Extended Data Fig 2). The trend for the other variants and ancestral 105 virus was that neutralization was higher relative to Omicron/BA.1 in vaccinated participants but lower 106 relative to Omicron/BA.1 in unvaccinated participants, although the differences were mostly not 107 significant (Fig 2a). Because of the relatively moderate fold-change, higher participant numbers would 108 likely be required make the trends statistically significant.

109 The comparison of the other variants to Omicron/BA.1 does not indicate the differences in 110 neutralization capacity elicited by Omicron/BA.1 in vaccinated versus unvaccinated participants, since 111 Omicron/BA.1 neutralization capacity is itself different between the vaccinated and unvaccinated 112 groups. We therefore compared neutralization of each variant between the vaccinated and 113 unvaccinated groups at the last timepoint directly (Fig 2b). The smallest difference between 114 vaccinated and unvaccinated participants was in neutralization of Omicron/BA.1, the infecting variant, 115 with the vaccinated participants showing 2.2-fold higher neutralization. For the other variants, cross-116 neutralization was higher in vaccinated participants by a factor of 4.8-fold for Omicron/BA.2, 9.6-fold 117 for Beta, 12.0-fold for Delta, and 17.9-fold for ancestral (Fig 2b). All differences were significant, and 118 the 95% confidence intervals for the GMT FRNT₅₀ of vaccinated and unvaccinated participants did not 119 overlap for BA.2, Beta, Delta, or ancestral virus neutralization (Fig 2b). For the unvaccinated 120 participants, absolute neutralization capacity of BA.2, Beta, Delta, and ancestral virus was low¹⁸, with 121 GMT FRNT₅₀ being about or below $FRNT_{50} = 100$ (Fig 2b).

We also tested neutralization of Omicron/BA.1 by Delta variant elicited immunity. We collected 18 plasma samples from 14 participants (including pre- and post-vaccination samples from 4 participants) previously infected in the Delta variant wave in South Africa, eight of whom were vaccinated either before or after infection (Extended Data Table 3). Confirming previously reported results¹⁹, we observed similar extensive escape of Omicron/BA.1 from Delta elicited immunity across all samples tested, manifested as a 22.5-fold decrease (95% CI 14.4-35.0) in Omicron/BA.1 neutralization compared to Delta virus neutralization (Fig 3).

129 The large fold-drop in Delta infection elicited neutralization capacity against Omicron/BA.1 contrasts 130 with the moderate and non-significant fold-drops, or even fold-increases, in neutralization of other 131 variants relative to Omicron/BA.1 in Omicron/BA.1 infected individuals. However, in unvaccinated 132 individuals, even though fold-drops in neutralization were moderate and non-significant, the absolute 133 levels of neutralization of the other variants, and of Omicron/BA.1 itself, were low and on a similar 134 scale to the cross-neutralization capacity against Omicron in Delta infection elicited immunity. This is consistent with other recently reported results²⁰, and possibly indicates that Omicron is poorly 135 immunogenic. In agreement with recent reports^{21,22}, we observed moderately and non-significantly 136 137 lower neutralization of BA.2 by BA.1 elicited immunity. The results explain epidemiological 138 observations showing that Omicron/BA.2 re-infection is relatively rare soon after Omicron/BA.1 infection^{23,24}. 139

140 Our results may be supportive of a scenario where hybrid immunity formed by Omicron infection 141 combined with vaccination protects as well or better against re-infection with current variants such 142 as Delta relative to re-infection with Omicron itself. In contrast, unvaccinated participants infected with Omicron/BA.1 have low absolute neutralization capacity of Omicron/BA.2, Beta, Delta, and
 ancestral virus, and neutralizing immunity to these variants is dramatically lower relative to the BA.1
 infected vaccinated participants.

146 Limitations of this study include heterogeneity in participant immune history, including two 147 vaccination types and one boost. Based on the high seroprevalence observed in South Africa^{25,26}, some 148 participants may also have had unreported previous infection. However, including two vaccine types 149 did not mask the differences between vaccinated and unvaccinated participants, and the low levels of 150 neutralization in unvaccinated participants against ancestral, Beta, and Delta viruses (the dominant 151 strains in the preceding South African infection waves) supports the notion that these participants 152 were either not previously infected, or that immunity has waned completely. Participants were also mostly hospitalized, which may not be typical of Omicron infection^{13,27}. Increased disease severity has 153 been shown to lead to higher anti-SARS-CoV-2 antibody titers²⁸. This should help in the detection of 154 155 the neutralization response, but whether it would affect the trend we observed is unclear. Omicron 156 infection is unlikely benign to the extent where hospitalization is an outlier outcome: In the USA, the 157 number of Covid-19 cases who died in the Omicron wave was similar to the number who died in the 158 Delta wave²⁷. Neutralizing immunity may have increased further in some participants had we sampled 159 later: Neutralizing capacity did not plateau at the last time-point in 8 of 24 (33%) of unvaccinated 160 participants (participants 10, 14, 21, 27, 30, 31, 34, and 38, Extended Data Fig 1) and 6 of the 15 (40%) 161 of vaccinated participants (participants 4, 6, 15, 16, 25, and 26). Therefore, the temporal dynamics 162 give no clear indication that the immunity in the unvaccinated participants was delayed and would 163 have reached similar levels to that of vaccinated participants if sampled later. However, the 164 consequences of waning immunity several months post-Omicron infection should be investigated.

The gap in immunity between unvaccinated Omicron/BA.1 infected individuals and those vaccinated with BA.1 breakthrough infection is concerning. Especially as immunity wanes, unvaccinated individuals post-Omicron infection are likely to have poor cross-protection against existing and possibly emerging SARS-CoV-2 variants, despite acquiring some neutralizing immunity to the infecting Omicron variant. The implication may be that Omicron infection alone is not sufficient for protection and vaccination should be administered even in areas with high prevalence of Omicron infection to protect against other variants.

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240 Materials and methods

241 Informed consent and ethical statement

242 Blood samples and the Delta isolate were obtained after written informed consent from adults with 243 PCR-confirmed SARS-CoV-2 infection who were enrolled in a prospective cohort study at the Africa 244 Health Research Institute approved by the Biomedical Research Ethics Committee at the University of 245 KwaZulu–Natal (reference BREC/00001275/2020). The Omicron/BA.1 was isolated from a residual 246 swab sample with SARS-CoV-2 isolation from the sample approved by the University of the 247 Witwatersrand Human Research Ethics Committee (HREC) (ref. M210752). The sample to isolate 248 Omicron/BA.2 was collected after written informed consent as part of the "COVID-19 transmission 249 and natural history in KwaZulu-Natal, South Africa: Epidemiological Investigation to Guide Prevention 250 and Clinical Care" Centre for the AIDS Programme of Research in South Africa (CAPRISA) study and 251 approved by the Biomedical Research Ethics Committee at the University of KwaZulu–Natal (reference 252 BREC/00001195/2020, BREC/00003106/2021).

253 Data availability statement

Sequences of outgrown Omicron sub-lineages have been deposited in GISAID with accession
 EPI_ISL_7886688 (Omicron/BA.1) and EPI_ISL_9082893 (Omicron/BA.2). Delta, Beta, and ancestral
 SARS-CoV-2 isolates have been previously described¹⁵. Raw images of the data are available upon
 reasonable request.

258 Reagent availability statement

Virus isolates and cell line are available from the corresponding author. A Biosafety Level 3 facility is required for laboratories receiving live SARS-CoV-2.

261 <u>Competing interest statement</u>

Salim S. Abdool Karim is a member in the COVID advisory panel for Emerging Markets at Pfizer. Theauthors declare no other competing interests.

264 Author contributions

AS, KK, and FK conceived the study and designed the study and experiments. AvG, QAK, SSAK, GL, ASi,
and NS identified and provided virus samples. M-YSM, FK, BIG, MB, KK, TN, MM, NM, ZM, NN, YM,
NMb, NMa, NMag, ZJ, KR, and YG set up and managed the cohort and cohort data. KK, ZJ, KR, SC, HT,
JES, YG, JG, YR, AK, DA, and JB performed experiments and sequence analysis with input from AS, TdO,
RJL, and JNB. AS, KK, FK, RM, and YR interpreted data with input from M-YSM, GG, SSAK, WH, TdO,
NMag, RJL, PLM. AS, KK, GL, FK, and MB prepared the manuscript with input from all authors.

271 Whole-genome sequencing, genome assembly and phylogenetic analysis

272 RNA was extracted on an automated Chemagic 360 instrument, using the CMG-1049 kit (Perkin Elmer, 273 Hamburg, Germany). The RNA was stored at -80°C prior to use. Libraries for whole genome sequencing were prepared using either the Oxford Nanopore Midnight protocol with Rapid Barcoding 274 275 or the Illumina COVIDseq Assay. For the Illumina COVIDseq assay, the libraries were prepared 276 according to the manufacturer's protocol. Briefly, amplicons were tagmented, followed by indexing 277 using the Nextera UD Indexes Set A. Sequencing libraries were pooled, normalized to 4 nM and 278 denatured with 0.2 N sodium acetate. An 8 pM sample library was spiked with 1% PhiX (PhiX Control 279 v3 adaptor-ligated library used as a control). We sequenced libraries on a 500-cycle v2 MiSeq Reagent 280 Kit on the Illumina MiSeq instrument (Illumina). On the Illumina NextSeq 550 instrument, sequencing was performed using the Illumina COVIDSeq protocol (Illumina Inc, USA), an amplicon-based next-281 282 generation sequencing approach. The first strand synthesis was carried using random hexamers

283 primers from Illumina and the synthesized cDNA underwent two separate multiplex PCR reactions. 284 The pooled PCR amplified products were processed for tagmentation and adapter ligation using IDT 285 for Illumina Nextera UD Indexes. Further enrichment and cleanup was performed as per protocols provided by the manufacturer (Illumina Inc). Pooled samples were quantified using Qubit 3.0 or 4.0 286 287 fluorometer (Invitrogen Inc.) using the Qubit dsDNA High Sensitivity assay according to manufacturer's 288 instructions. The fragment sizes were analyzed using TapeStation 4200 (Invitrogen). The pooled 289 libraries were further normalized to 4nM concentration and 25 μ L of each normalized pool containing 290 unique index adapter sets were combined in a new tube. The final library pool was denatured and 291 neutralized with 0.2N sodium hydroxide and 200 mM Tris-HCL (pH7), respectively. 1.5 pM sample library was spiked with 2% PhiX. Libraries were loaded onto a 300-cycle NextSeq 500/550 HighOutput 292 293 Kit v2 and run on the Illumina NextSeq 550 instrument (Illumina, San Diego, CA, USA). For Oxford 294 Nanopore sequencing, the Midnight primer kit was used as described by Freed and Silander55. cDNA 295 synthesis was performed on the extracted RNA using LunaScript RT mastermix (New England BioLabs) 296 followed by gene-specific multiplex PCR using the Midnight Primer pools which produce 1200bp 297 amplicons which overlap to cover the 30-kb SARS-CoV-2 genome. Amplicons from each pool were pooled and used neat for barcoding with the Oxford Nanopore Rapid Barcoding kit as per the 298 299 manufacturer's protocol. Barcoded samples were pooled and bead-purified. After the bead clean-up, 300 the library was loaded on a prepared R9.4.1 flow-cell. A GridION X5 or MinION sequencing run was 301 initiated using MinKNOW software with the base-call setting switched off. We assembled paired-end 302 and nanopore.fastg reads using Genome Detective 1.132 (https://www.genomedetective.com) which 303 was updated for the accurate assembly and variant calling of tiled primer amplicon Illumina or Oxford 304 Nanopore reads, and the Coronavirus Typing Tool56. For Illumina assembly, GATK HaploTypeCaller --305 min-pruning 0 argument was added to increase mutation calling sensitivity near sequencing gaps. For 306 Nanopore, low coverage regions with poor alignment quality (<85% variant homogeneity) near 307 sequencing/amplicon ends were masked to be robust against primer drop-out experienced in the 308 Spike gene, and the sensitivity for detecting short inserts using a region-local global alignment of 309 reads, was increased. In addition, we also used the wf_artic (ARTIC SARS-CoV-2) pipeline as built using 310 the nextflow workflow framework57. In some instances, mutations were confirmed visually with .bam 311 files using Geneious software V2020.1.2 (Biomatters). The reference genome used throughout the 312 assembly process was NC 045512.2 (numbering equivalent to MN908947.3). For lineage 313 classification, we used the widespread dynamic lineage classification method from the 'Phylogenetic 314 Assignment of Named Global Outbreak Lineages' (PANGOLIN) software suite 315 (https://github.com/hCoV-2019/pangolin).

316 <u>Cells</u>

317 Vero E6 cells (originally ATCC CRL-1586, obtained from Cellonex in South Africa) were propagated in 318 complete growth medium consisting of Dulbecco's Modified Eagle Medium (DMEM) with 10% fetal 319 bovine serum (Hyclone) containing 10mM of HEPES, 1mM sodium pyruvate, 2mM L-glutamine and 320 0.1mM nonessential amino acids (Sigma-Aldrich). Vero E6 cells were passaged every 3-4 days. The 321 H1299-E3 cell line (H1299 originally from ATCC as CRL-5803) was propagated in growth medium 322 consisting of complete Roswell Park Memorial Institute (RPMI) 1640 medium with 10% fetal bovine 323 serum containing 10mM of HEPES, 1mM sodium pyruvate, 2mM L-glutamine and 0.1mM nonessential 324 amino acids. Cells were passaged every second day. The H1299-E3 (H1299-ACE2, clone E3) cell line 325 was derived from H1299 as described in our previous work^{1,17}.

326 Virus expansion

All work with live virus was performed in Biosafety Level 3 containment using protocols for SARS-CoV 2 approved by the Africa Health Research Institute Biosafety Committee. ACE2-expressing H1299-E3

329 cells were seeded at 4.5×10^5 cells in a 6 well plate well and incubated for 18–20 h. After one DPBS 330 wash, the sub-confluent cell monolayer was inoculated with 500 µL universal transport medium 331 diluted 1:1 with growth medium filtered through a 0.45-µm filter. Cells were incubated for 1 h. Wells 332 were then filled with 3 mL complete growth medium. After 4 days of infection (completion of passage 333 1 (P1)), cells were trypsinized, centrifuged at 300 rcf for 3 min and resuspended in 4 mL growth 334 medium. Then all infected cells were added to Vero E6 cells that had been seeded at 2 × 10⁵ cells per 335 mL, 20mL total, 18–20 h earlier in a T75 flask for cell-to-cell infection. The coculture of ACE2-expressing 336 H1299-E3 and Vero E6 cells was incubated for 1 h and the flask was filled with 20 mL of complete 337 growth medium and incubated for 4 days. The viral supernatant from this culture (passage 2 (P2) 338 stock) was used for experiments.

339 Live virus neutralization assay

340 H1299-E3 cells were plated in a 96-well plate (Corning) at 30,000 cells per well 1 day pre-infection. 341 Plasma was separated from EDTA-anticoagulated blood by centrifugation at 500 rcf for 10 min and 342 stored at -80 °C. Aliquots of plasma samples were heat-inactivated at 56 °C for 30 min and clarified by 343 centrifugation at 10,000 rcf for 5 min. Virus stocks were used at approximately 50-100 focus-forming 344 units per microwell and added to diluted plasma. Antibody-virus mixtures were incubated for 1 h at 345 37 °C, 5% CO₂. Cells were infected with 100 μ L of the virus–antibody mixtures for 1 h, then 100 μ L of 346 a 1X RPMI 1640 (Sigma-Aldrich, R6504), 1.5% carboxymethylcellulose (Sigma-Aldrich, C4888) overlay was added without removing the inoculum. Cells were fixed 18 h post-infection using 4% PFA (Sigma-347 348 Aldrich) for 20 min. Foci were stained with a rabbit anti-spike monoclonal antibody (BS-R2B12, 349 GenScript A02058) at 0.5 μg/mL in a permeabilization buffer containing 0.1% saponin (Sigma-Aldrich), 350 0.1% BSA (Sigma-Aldrich) and 0.05% Tween-20 (Sigma-Aldrich) in PBS. Plates were incubated with 351 primary antibody overnight at 4 °C, then washed with wash buffer containing 0.05% Tween-20 in PBS. 352 Secondary goat anti-rabbit HRP conjugated antibody (Abcam ab205718) was added at 1 μ g/mL and 353 incubated for 2 h at room temperature with shaking. TrueBlue peroxidase substrate (SeraCare 5510-354 0030) was then added at 50 μ L per well and incubated for 20 min at room temperature. Plates were 355 imaged in an ImmunoSpot Ultra-V S6-02-6140 Analyzer ELISPOT instrument with BioSpot Professional 356 built-in image analysis (C.T.L).

357 Statistics and fitting

All statistics and fitting were performed using custom code in MATLAB v.2019b. Neutralization data were fit to:

360 Tx=1/1+(D/ID₅₀).

361 Here Tx is the number of foci normalized to the number of foci in the absence of plasma on the same plate at dilution D and ID₅₀ is the plasma dilution giving 50% neutralization. FRNT₅₀ = 1/ID₅₀. Values of 362 363 FRNT₅₀ <1 are set to 1 (undiluted), the lowest measurable value. We note that the most concentrated 364 plasma dilution was 1:25 and therefore $FRNT_{50} < 25$ were extrapolated. To calculate confidence 365 intervals, FRNT₅₀ or fold-change in FRNT₅₀ per participant was log transformed and arithmetic mean 366 plus 2 std and arithmetic mean minus 2 std were calculated for the log transformed values. These 367 were exponentiated to obtain the upper and lower 95% confidence intervals on the geometric mean FRNT₅₀ or the fold-change in FRNT₅₀ geometric means. 368

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377

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385

386 Figure Legends

387 Figure 1: Enhancement of Delta neutralization by Omicron infection. Neutralization of 388 Omicron/BA.1 virus was determined for (a) n=15 Omicron/BA.1 infected vaccinated or (b) n=24 389 Omicron/BA.1 infected unvaccinated participants. Neutralization of Delta virus was determined for 390 the same (c) vaccinated (n=15) and (d) unvaccinated (n=24) participants. Each participant was 391 sampled at the initial enrollment visit (median 6 days post-symptom onset) and compared to the last 392 follow-up visit (median 23 days post-symptom onset). Neutralization capacity per participant was 393 determined in two independent experiments and numbers are geometric mean titers (GMT) over all 394 participants per group of the reciprocal plasma dilution (FRNT₅₀) resulting in 50% neutralization, 395 Fold-change is calculated by dividing the follow-up by the enrollment visit GMT. Dashed line is most 396 concentrated plasma tested. p-values were determined by a left-sided Wilcoxon rank sum test 397 measuring significance of increase and represented as **0.01-0.001 or ns, not significant. Exact p-398 values were (a) 0.0012, (b) 0.0081, (c) 0.0021, (d) 0.11.

399 Figure 2: Gap in neutralizing immunity between Omicron/BA.1 infected vaccinated and

unvaccinated participants. (a) Neutralization of Omicron/BA.2, Beta, Delta, and ancestral virus (with
the D614G substitution) compared to Omicron/BA.1 virus at the last available follow-up timepoint in
n=15 Omicron/BA.1 infected vaccinated or n=24 Omicron/BA.1 infected unvaccinated participants.
Neutralization capacity per participant was determined in two independent experiments and
numbers are GMT FRNT₅₀. Fold-change was calculated by dividing the larger by the smaller GMT.
Dashed line is most concentrated plasma tested. p-values were determined by a two-sided Wilcoxon

406 rank sum test and represented as *0.05-0.01, ns, not significant. Exact p-values

407 (vaccinated/unvaccinated) were: 0.22/0.087 for BA.2, 0.36/0.071 for Beta, 0.15/0.25 for Delta, and 408 0.014/0.20 for ancestral. (b) Comparison of neutralization capacity in the Omicron/BA.1 infected 409 vaccinated (n=15) versus Omicron/BA.1 infected unvaccinated (n=24) participants against 410 Omicron/BA.1, Omicron/BA.2, Beta, Delta and ancestral/D614G viruses. Neutralization capacity per 411 participant was determined in two independent experiments for all strains except for Omicron/BA.1, where 6 experiments were available and were used in the calculation. Points represent GMT FRNT₅₀ 412 413 per group and error bars are GMT 95% confidence intervals. p-values were determined by a two-414 sided Wilcoxon rank sum test and represented as *0.05-0.01, **0.01-0.001, ***0.001-0.0001. Exact 415 p-values were 0.025 (BA.1), 0.0026 (BA.2), 4.1 X 10⁻⁴ (Beta), 0.0012 (Delta), 3.3 X 10⁻⁴ (ancestral).

Figure 3: Escape of Omicron virus from Delta infection elicited immunity. Neutralization of Delta
 compared to Omicron/BA.1 virus by Delta infection elicited plasma immunity in vaccinated and

- 418 unvaccinated participants. 18 samples were tested from n=14 participants infected during the Delta
- 419 infection wave in South Africa. Dashed line is the most concentrated plasma tested. p-value (****) is
- 420 3.2 X 10⁻⁷ as determined by a two-sided Wilcoxon rank sum test.

421 Extended Data Table 1: Characteristics of Omicron/BA.1 infected participants

422 Ct enrol.: qPCR cycle threshold for SARS-CoV-2 at enrollment. Symptoms to enrol.: time between symptoms onset and study 423 enrolment. Symp. to last follow-up: time between symptoms onset and last follow-up visit. Max CD4: maximum CD4 count per 424 microliter blood across all study visits. Supp O₂: participant required supplemental oxygen during the study. Hosp.: participant 425 hospitalized during the study. UND: Undetectable Ct. N/A: Not available; sequencing failed, usually due to insufficient virus 426 substrate. *Reported previous infection. **Boosted with Ad26.CoV2.S in Nov-2021. ***Participants with persistent low CD4 427 count and uncontrolled HIV viremia indicative of advanced HIV disease and immune suppression. Excluded from analysis. 428 ^sDeceased.

429 Extended Data Table 2: Summary characteristics of Omicron/BA.1 infected participants

430 Values are median (IQR). Hospital stay calculated to last inpatient study visit.

431 Extended Data Table 3: Characteristics of Delta infected participants

*Asymptomatic, date of diagnostic swab used instead of symptoms onset. Ct enrol: qPCR cycle threshold for
 SARS-CoV-2 at enrollment. UND: undetectable. Pre: sample taken pre-vaccination. Post: sample taken post-vaccination for participants with a pre-vaccination sample. N/A: not available.

435 Extended Data Figure 1: Longitudinal Omicron/BA.1 and Delta neutralization capacity in

- 436 **Omicron/BA.1 infected participants.** Neutralization of Omicron (blue) and Delta (red) at all study
- 437 visits. Participant number is as in Extended Data Table 1. Top three rows are participants vaccinated
- 438 with Pfizer BNT162b2 (n=8) or Johnson and Johnson Ad26.CoV2.S (n=7) and bottom five rows are
- 439 unvaccinated participants (n=24). X-axis is the time post-symptom onset when sample was collected,
- 440 and y-axis is neutralization as FRNT₅₀. Dashed line is the most concentrated plasma tested (LOQ, limit
- of quantification below which FRNT₅₀ values are extrapolated). All participants recovered except
- 442 participant 29, who died.

443 Extended Data Figure 2: Fold-drop in BA.2 versus BA.1 neutralization in all and sequence

confirmed samples. Neutralization of Omicron BA.2 compared to BA.1 in participants described in
 Extended Data Table 1, excluding participant 14 for technical reasons and participants 40 and 41
 because of advanced HIV disease. Left panel shows neutralization capacity in all n=38 participants
 and right panel shows neutralization capacity for n=25 participants where infection was successfully
 sequenced and determined to be BA.1. Dashed line is the most concentrated plasma tested. p values were 0.077 for all and 0.15 for BA.1 sequence confirmed participants as determined by a two sided Wilcoxon rank sum test. ns, not significant.

451

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Participant # (vacc. type)



Extended Data Fig. 2

	A	C	Veee ture	Date of	Vacc. to	Dete symmetric encet	Ct at	Symp. to	Symp. to last	Max	Sub-		Supp.	Heen	
1	30-39	M	AD26.COV2	MAR-2021	274	DEC-2021*	24.9	1	23	1071	BA.1	EPI_ISL_9967759	No	No	-
2	30-39	м	AD26.COV2*	NOV-2021	14	NOV-2021	14.5	1	22	789	BA.1	EPI ISL 9967761	No	No	
3	50-59	F	BNT162b2	JUL-2021	138	DEC-2021	16.8	4	27	777	BA.1	EPI_ISL_8604915	No	No	
4 5	20-29	F	AD26.COV2 AD26.COV2	SEP-2021	89	DEC-2021 DEC-2021	23.9	5	27	1220	BA.1 BA.1	EPI_ISL_8604910 EPI_ISL_9967760	No	Yes	
6	10-19	F	BNT162b2	JUL-2021	157	DEC-2021	23.1	6	12	732	BA.1	EPI_ISL_8604906	No	Yes	
8	30-39	м				DEC-2021	18.2	1	23	847	BA.1	EPI_ISL_8604919	No	Yes	
9 10	40-49	F				DEC-2021 DEC-2021	32.3 30.4	6	28	1032	BA.1 BA 1	EPI_ISL_8604901 EPI_ISL_8604908	No	Yes	
11	20-29	F				DEC-2021	28.3	8	22	863	BA.1	EPI_ISL_8604913	No	No	
12 13	20-29 30-39	M	BNT162b2	JUL-2021	129	NOV-2021*	UND 31.6	6	22 28	1259	BA.1 BA.1	EPI_ISL_8604912 EPI_ISL_8604916	No No	Yes	
14	20-29	M	PNT162b2	111 2021	130	NOV-2021	30.8	8	15	1225	N/A		No	Yes	
16	60-69	м	BNT162b2	DEC-2021	15	DEC-2021	24.6	2	10	904	BA.1	EPI_ISL_8578311	No	No	
17 18	30-39 60-69	M F				DEC-2021 DEC-2021	37.0 26.8	5	19	1008	BA.1 BA 1	EPI_ISL_8604923 EPI_ISL_8578312	No Yes	No Yes	
19	30-39	M				DEC-2021*	30.7	13	27	1077	BA.1	EPI_ISL_8604924	No	Yes	
20	20-29	M				DEC-2021 DEC-2021	35.9 29.1	8	24 21	533 225	BA.1 BA.1	EPI_ISL_8604911 EPI_ISL_8604922	No No	Yes No	
22	30-39	F	AD26.COV2	AUG-2021	120	DEC-2021	33.4	9	23 10	777	BA.1	EPI_ISL_8693907	No	Yes	
24	50-59	M	BNT162b2	AUG-2021	128	DEC-2021	36.6	4	18	605	N/A	N/A	No	Yes	
25 26	30-39 50-59	F	AD26.COV2 AD26.COV2	APR-2021 JUL-2021	237 150	DEC-2021 DEC-2021	23.5 UND	3	24 23	640 716	BA.1 N/A	EPI_ISL_8604914 N/A	No No	No No	
27	50-59	F	DNT16262	111 2021	177	DEC-2021	32.4	12	28	625	N/A	N/A	Yes	Yes	
29	60-69	м	BNT162b2	JUL-2021	178	DEC-2021 ^{\$}	UND	25	32	351	N/A	N/A	Yes	Yes	
30 31	40-49 30-39	M F				DEC-2021 DEC-2021	20.2 34.8	0	13 22	844 647	BA.1 N/A	EPI_ISL_8604909 N/A	No Yes	No Yes	
32	50-59	F				DEC-2021	28.2	15	36	620	BA.1	EPI_ISL_8578347	No	Yes	
33 34	30-39	F				DEC-2021 DEC-2021	34.8	3 9	18 30	902 1363	N/A N/A	N/A N/A	No	Yes	
35 36	50-59 20-29	F				DEC-2021	26.6	4	27	766	BA.1	EPI_ISL_8578342	Yes	Yes	
37	50-59	F				DEC-2021	UND	12	30	995	N/A	N/A	No	Yes	
38 39	30-39 50-59	F				DEC-2021 DEC-2021	UND UND	9 13	31 30	746 840	N/A N/A	N/A N/A	No Yes	Yes Yes	
40	30-39	F				DEC-2021	22.5	5 17	19 24	61*** 53***	BA.1	EPI_ISL_8578314	Yes	Yes	
						1101 2021	20.0						110	100	-
Exte	паеа	Data	Table1												
									$\mathbf{\nabla}$						
						P									

	All	Vaccinated	Unvaccinated
Age	39 35 (27-55)	<u>15 (38%)</u> 37 (32-60)	24 (62%) 31,5 (26-49)
Female	25 (64%)	9 (60%)	16 (67%)
Vaccination to enrollment (days)	-	139 (120-178)	-
Symptom onset to enrolment (days)	6 (3-9)	4 (3-6)	7.5 (3-9)
Symptom onset to last follow-up (days)	23 (19-27)	23 (18-27)	23 (20-28)
Maximum CD4 count (cell/µL)	7 (18%)	2 (13%)	5 (21%)
Hospitalized	27 (69%)	8 (53%)	19 (79%)
Duration of hospitalization (days)	7 (3-11)	3.5 (2.5-14.5)	8 (3-11)
tended Data Table 2			
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#	Age	Sex	Vacc. type	Date of vacc.	Vacc. to enrol. (days)	Date symp. onset	Ct at enrol.	Symp. to collection (days)	Seq. GISAID ID	
1	40-49	F				JUL-2021	26	26	EPI ISL 3722338	
2	40-49	М				JUL-2021	31	23	EPI ISL 3722335	
3	50-59	М				JUL-2021	30	31	N/A	
4	50-59	M				JUN-2021	27	37	N/A	
5	40-49	М				JUL-2021	35	44	N/A	
6	30-39	M				JUL-2021	37	32	N/A	
7	70-79	М	BNT162b2	JUN-2021	37	JUL-2021	37	15	N/A	
8	60-69	F	BNT162b2	NOV-2021	14	AUG-2021	UND	116	N/A	
9	40-49	F	AD26.COV	MAY-2021	117	JUL-2021	UND	31	N/A	
10	50-59	F	AD26.COV	APR-2021	147	JUL-2021	UND	57	N/A	
11 Pre	40-49	М				AUG-2021*	35	13*	N/A	
11 Post	40-49	M	BNT162b2	OCT-2021	18	AUG-2021	UND	83	N/A	
12 Pre	40-49	М				JUL-2021	23	24	EPI ISL 3939068	
12 Post	40-49	М	AD26.COV	SEP-2021	32	JUL-2021	UND	92	N/A	
13 Pre	30-39	М				JUL-2021	27	24	EPI_ISL_3939088	
13 Post	30-39	М	AD26.COV	SEP-2021	32	JUL-2021	UND	94	N/A	
14 Pre	50-59	F				JUL-2021*	27	23*	EPI_ISL_3447779	
14 Post	50-59	F	BNT162b2	OCT-2021	22	JUL-2021	UND	93	N/A	

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Extended Data Table 3

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		Our web collection on statistics for biologists contains articles on many of the points above.

Software and code

olicy information about availability of computer code						
Data collection	Participant data was collected using REDCap version 11.1.29					
Data analysis	All statistics and fitting were performed using MATLAB v.2019b					

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Sequences of outgrown Omicron sub-lineages have been deposited in GISAID with accession EPIISL_7886688 (Omicron/BA.1) and EPIISL9082893 (Omicron/BA.2). Delta, Beta, and ancestral SARS-CoV-2 isolates have been previously described (15). Raw images of the data are available upon reasonable request.

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Life sciences study design

idies must dis	close on these points even when the disclosure is negative.
iple size	Samples size was not predetermined. We offered study enrollment to all participants who met the inclusion/exclusion criteria
a exclusions	We excluded two samples from participants who were immune suppressed due to advanced HIV disease based on a low CD4 count and uncontrolled HIV viremia.
lication	Repeated in independent experiments on different days in sets of paired experiments to which always included Omicron/BA.1. Two experiments performed for all variants and 6 experiments performed for BA.1. Geometric mean of measurements per participant was used.
domization	No randomization.
ding	No blinding
	dies must dis ple size a exclusions ication domization ding

Reporting for specific materials, systems and methods

Methods

n/a

 \boxtimes

 \boxtimes

 \boxtimes

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

Involved in the study

Flow cytometry

MRI-based neuroimaging

ChIP-seq

Materials & experimental systems

n/a	Involved in the study
	Antibodies
	Eukaryotic cell lines
\boxtimes	Palaeontology and archaeology
\boxtimes	Animals and other organisms
	🔀 Human research participants
\boxtimes	Clinical data
\boxtimes	Dual use research of concern

Antibodies

Antibodies used	Foci were stained with a rabbit anti-spike monoclonal antibody (BS-R2B12, GenScript A02058) at 0.5 μg/mL. Secondary goat anti- rabbit horseradish peroxidase (Abcam ab205718) antibody was added at 1 μg/mL
Validation	Information sheet for A02058 at https://www.genscript.com/antibody/A02058-
	MonoRab SARS CoV 2 Spike S1 Antibody BS R2B12 mAb Rabbit.html. Information sheet for ab205718: https://
	www.abcam.com/goat-rabbit-igg-hl-hrp-ab205718.html

Eukaryotic cell lines

Policy information about <u>cell lines</u>	
Cell line source(s)	Vero E6 cells (ATCC CRL-1586) obtained from Cellonex in South Africa. The H1299-E3 (H1299-ACE2, clone E3) cell line was derived from H1299 as described in our previous work. H1299 was a gift from M. Oren, originally obtained from ATCC (CRL-5803)
Authentication	Cell lines have not been authenticated.
Mycoplasma contamination	The cell lines have been tested for mycoplasma contamination and are mycoplasma negative.
Commonly misidentified lines (See ICLAC register)	None

Human research participants

Policy information about studies involving human research participants

Population characteristics	Participant characteristics are listed per participant in Table S1 and S3 and summarized in Table S2.
Recruitment	Blood samples were obtained from hospitalized adults with PCR-confirmed SARS-CoV-2 infection and/or vaccinated individuals who were enrolled in a prospective cohort study approved by the Biomedical Research Ethics Committee at the University of KwaZulu–Natal. Investigators were blinded to participant information.
Ethics oversight	Study approved by the Biomedical Research Ethics Committee at the University of KwaZulu–Natal (reference BREC/00001275/2020). Use of residual Omicron/BA.1 swab sample was approved by the University of the Witwatersrand Human Research Ethics Committee (HREC) (ref. M210752). Use of swab sample to isolate Omicron/BA.2 was collected as part of the "COVID-19 transmission and natural history in KwaZulu-Natal, South Africa: Epidemiological Investigation to Guide Prevention and Clinical Care" Centre for the AIDS Programme of Research in South Africa (CAPRISA) study and approved by the Biomedical Research Ethics Committee at the University of KwaZulu–Natal (reference 201 BREC/00001195/2020, BREC/00003106/2021).

Note that full information on the approval of the study protocol must also be provided in the manuscript.