



# Engaging innate immunity in HIV-1 cure strategies

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**Abstract** | Combination antiretroviral therapy (ART) can block multiple stages of the HIV-1 life cycle to prevent progression to AIDS in people living with HIV-1. However, owing to the persistence of a reservoir of latently infected CD4<sup>+</sup> T cells, life-long ART is necessary to prevent viral rebound. One strategy currently under consideration for curing HIV-1 infection is known as ‘shock and kill’. This strategy uses latency-reversing agents to induce expression of HIV-1 genes, allowing for infected cells to be cleared by cytolytic immune cells. The role of innate immunity in HIV-1 pathogenesis is best understood in the context of acute infection. Here, we suggest that innate immunity can also be used to improve the efficacy of HIV-1 cure strategies, with a particular focus on dendritic cells (DCs) and natural killer cells. We discuss novel latency-reversing agents targeting DCs as well as DC-based strategies to enhance the clearance of infected cells by CD8<sup>+</sup> T cells and strategies to improve the killing activity of natural killer cells.

**Antiretroviral therapy (ART).** Treatment with drugs such as reverse transcriptase inhibitors, integrase inhibitors, protease inhibitors or entry inhibitors that prevent ongoing HIV-1 replication.

Since the identification of HIV-1 in 1983 (REF.<sup>1</sup>), there have been major advances in the treatment and prevention of infection using antiretroviral therapy (ART). However, despite the effectiveness of ART, there is currently no cure for HIV-1 infection and life-long treatment is necessary to maintain suppression of HIV-1 replication owing to viral persistence in a latent reservoir<sup>2–5</sup>. The latent reservoir is a long-lived population of resting CD4<sup>+</sup> T cells that harbour intact proviruses that are transcriptionally silent but retain the ability to produce infectious virions<sup>6–8</sup>. There are various methods used to quantify the size of the latent reservoir and the way in which reservoir size is estimated has implications for the interpretation of results (BOX 1). Latently infected cells can persist through clonal expansion resulting from homeostatic, antigen-driven or integration site-driven proliferation<sup>9</sup>, which is a major barrier to the elimination of latently infected cells. Therefore, for most people living with HIV-1 (PLWH), the cessation of ART leads to viral rebound, typically after approximately 2 weeks<sup>10</sup>.

There are many proposed strategies for HIV-1 cure. These include approaches to achieve either control of viral replication without ongoing treatment or a complete elimination of infectious virus. The former strategy may involve therapeutic vaccines to promote immune responses against HIV-1 and thus allow for sustained immune-mediated suppression of viraemia. By contrast, the latter strategy will require a significant, multi-log reduction in the size of the latent reservoir to prevent viral rebound<sup>11</sup>. Recent efforts to eliminate the latent reservoir have focused on the ‘shock and kill’ strategy.

This two-step strategy uses latency-reversing agents (LRAs) to ‘shock’ latently infected cells, thereby inducing the transcription of HIV-1 genes. This, in turn, results in the death of infected cells owing to viral cytopathic effects or it allows for immune cells to recognize and kill infected cells<sup>9,12</sup> (FIG. 1).

Many LRAs have been identified in *in vitro* studies and several of these have been tested *in vivo*<sup>13</sup>. The LRAs tested *in vivo* have largely focused on reversing epigenetic blocks to HIV-1 gene expression using histone deacetylase inhibitors such as vorinostat, romidepsin and panobinostat<sup>14–16</sup>. Other latency-reversing strategies involve inducing some degree of T cell activation, for example, through protein kinase C agonists<sup>17</sup>. In resting CD4<sup>+</sup> T cells, key host transcription factors necessary for HIV-1 gene expression are absent or sequestered in an inactive form. Activation of T cells allows for nuclear translocation of host transcription factors involved in the initiation of HIV-1 gene transcription, such as NF- $\kappa$ B and NFAT, as well as recruitment of the host transcription elongation factor P-TEFb<sup>18–20</sup>. In addition, recent evidence has shown that some LRAs might mediate their effects through stress response pathways involving the transcription factor HSF1, which can help to recruit transcription elongation factors to the HIV-1 promoter<sup>21,22</sup>. However, compared with global T cell activation, most candidate LRAs are ineffective at reversing latency *ex vivo* in cells from PLWH and, so far, they have failed to reduce the size of the latent reservoir *in vivo*<sup>23–26</sup>. Moreover, both HIV-1 infection<sup>27–29</sup> and treatment with specific LRAs<sup>30,31</sup> have been previously shown to impair

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**Box 1 | Measuring the latent reservoir of HIV-1**

Accurately determining the size of the latent reservoir of HIV-1 is essential for assessing the effectiveness of novel strategies for HIV-1 cure, and the way in which reservoir size is measured is highly relevant to the interpretation of results; for example, the prevalence of defective proviruses in the latent reservoir can often confound results. Several methods have been developed to quantify the size of the latent reservoir. The quantitative viral outgrowth assay (QVOA) measures the frequency of latently infected CD4<sup>+</sup> T cells that can produce replication-competent virus upon mitogen-induced activation of T cells<sup>3,186</sup>. However, this method provides a definitive minimal estimate of the reservoir size as not all proviruses are induced by a single round of maximum T cell activation<sup>8,187</sup>. Many studies of the latent reservoir use DNA PCR to quantitate HIV-1 proviruses, but standard PCR assays amplify only short subgenomic regions of HIV-1 proviruses and therefore significantly overestimate the size of the productive reservoir as most proviruses contain either extensive hypermutation or large deletions that render them defective and unable to produce infectious virions<sup>7,188</sup>. A recently described assay, the intact proviral DNA assay (IPDA), uses droplet digital PCR to probe multiple informative regions of individual HIV-1 genomes to distinguish between intact and defective proviruses and, as such, it provides a much more accurate upper limit on reservoir size. The IPDA provides very good discrimination between intact and defective proviruses using just two amplicons: one in the packaging signal of the HIV-1 genome, which is a frequent site of small deletions, and the other in the Rev response element within the *env* gene, which contains a conserved region that is mutated in 95% of hypermutated proviruses<sup>189</sup>.

the functions of CD8<sup>+</sup> T cells and natural killer (NK) cells, both of which are involved in the clearance of HIV-1-infected cells. Therefore, novel therapeutic approaches are being explored for both reactivation and elimination of the latent reservoir of HIV-1 (TABLE 1).

The innate immune system is the first line of defence against foreign pathogens. However, the role of innate immunity during acute and chronic HIV-1 infection has not yet been fully explored. Importantly, recent studies show that both transmitted/founder viruses and viruses that are present in the plasma during initial rebound after cessation of ART have high levels of resistance to type I interferon responses<sup>32,33</sup> (BOX 2), which indicates that interferon-sensitive viral variants are inhibited and highlights the importance of targeting the innate immune system to achieve a cure. A better understanding of how HIV-1 escapes immune control could be achieved through further examination of the resistance of reservoir viruses to key elements of the innate and adaptive immune responses.

As the mechanism of recognition of HIV-1 infection by the innate immune system is not as well understood as recognition by the adaptive immune system, innate immunity has so far received less research attention in terms of HIV-1 cure strategies. However, it is becoming clear that innate immune pathways may contribute to both the reversal of HIV-1 latency and the subsequent elimination of infected cells. Indeed, some current trials of HIV-1 cure strategies are using therapeutics that engage aspects of the innate immune response to reactivate or eliminate latently infected cells (TABLE 1). In this Review, we discuss various approaches for engaging components of the innate immune system to eradicate the latent reservoir of HIV-1, focusing on the potential roles of dendritic cells (DCs) and NK cells.

**Reactivating latently infected cells**

DCs are a diverse population of innate immune cells with a unique ability to link innate and adaptive immune responses<sup>34</sup>. Based on the differential expression of key

transcription factors, DCs are classified into three major subsets: plasmacytoid DCs (pDCs) and two types of myeloid conventional DCs (cDC1s and cDC2s)<sup>35,36</sup>. pDCs recognize viral nucleic acids in the form of single-stranded RNA or unmethylated CpG-rich DNA through their endosomal Toll-like receptors (TLR7 or TLR9, respectively). Activation of these TLRs in pDCs induces the transcription of type I interferon genes as well as of genes encoding other pro-inflammatory cytokines, such as CC-chemokine ligand 4 (CCL4), IL-6 and tumour necrosis factor (TNF), that contribute to the activation of other immune cell types<sup>37–39</sup> (FIG. 2). By contrast, cDCs are highly efficient at capturing and processing antigens in peripheral tissues, trafficking to draining lymph nodes, and presenting the antigens to naive T cells together with costimulatory and polarizing signals<sup>40,41</sup>.

The mechanisms by which HIV-1 avoids detection by DCs at multiple stages of infection have been described in detail elsewhere<sup>42–44</sup>. These reviews offer insights into approaches to enhance the innate sensing pathways of DCs and thereby improve adaptive immunity to HIV-1. Here, we describe strategies that engage innate immunity through manipulating DC responses to reactivate latently infected cells and improve the efficacy of HIV-1 cure efforts.

**Toll-like receptor agonists.** Of particular interest with respect to HIV-1 latency is TLR7, which recognizes single-stranded RNA in endosomes and can also be activated by small molecule agonists such as imidazoquinoline analogues or guanosine cyclic triphosphates<sup>45–47</sup>. Although, in humans, TLR7 is predominantly expressed by pDCs (BOX 2) and B cells<sup>48,49</sup>, rather than by CD4<sup>+</sup> T cells, several studies indicate that TLR7 agonists can affect the latent reservoir of HIV-1 by enhancing innate immune responses. In a study of peripheral blood mononuclear cells isolated from PLWH, treatment with the TLR7 agonist GS-9620 (vesatolimod) induced HIV-1 RNA production, which is suggestive of active transcription of HIV-1 genes from reactivated latently infected cells<sup>50</sup>. The depletion of pDCs from the culture, which decreased IFN $\alpha$  production in response to GS-9620, resulted in reduced activation of both CD4<sup>+</sup> and CD8<sup>+</sup> T cells. In addition, blocking the IFN $\alpha$  receptor in combination with GS-9620 treatment of CD4<sup>+</sup> T cells did not result in increased HIV-1 RNA expression. Together, the results suggest that the latency reversal mediated by GS-9620 depends on the presence of pDCs and IFN $\alpha$  secretion<sup>50</sup>.

Rhesus macaques infected with simian immunodeficiency virus (SIV) establish a latent reservoir in resting CD4<sup>+</sup> T cells, which makes these non-human primates an accepted *in vivo* model to study various aspects of the latent reservoir relevant to HIV-1 (REF.<sup>51</sup>). SIV-infected macaques on suppressive ART given repeated and escalating doses of the TLR7 agonist GS-986 (a close analogue of GS-9620) had transiently increased levels of SIV RNA in plasma into the detectable range<sup>52</sup>. In addition, treatment with GS-986 in combination with an adenovirus-based therapeutic vaccine (Ad26/MVA) expressing SIV *gag*, *pol* and *env* genes decreased levels of viral DNA in both peripheral

**Transmitted/founder viruses**

The single or very few HIV-1 viral clones that establish productive infection of a new host during HIV-1 transmission.

**Broadly neutralizing antibody**

(bNAb). An antibody that binds to a conserved region of HIV-1 Env and that can neutralize a large diversity of viral isolates.

**Antibody-dependent cellular cytotoxicity**

(ADCC). The process by which a cytolytic immune effector cell (natural killer cell, monocyte, neutrophil or eosinophil) recognizes and lyses a target cell whose surface is bound by antibodies.

**HIV-1 controllers**

A small subset of people living with HIV-1 who maintain a low but detectable level of viraemia and high CD4<sup>+</sup> T cell counts without antiretroviral therapy.

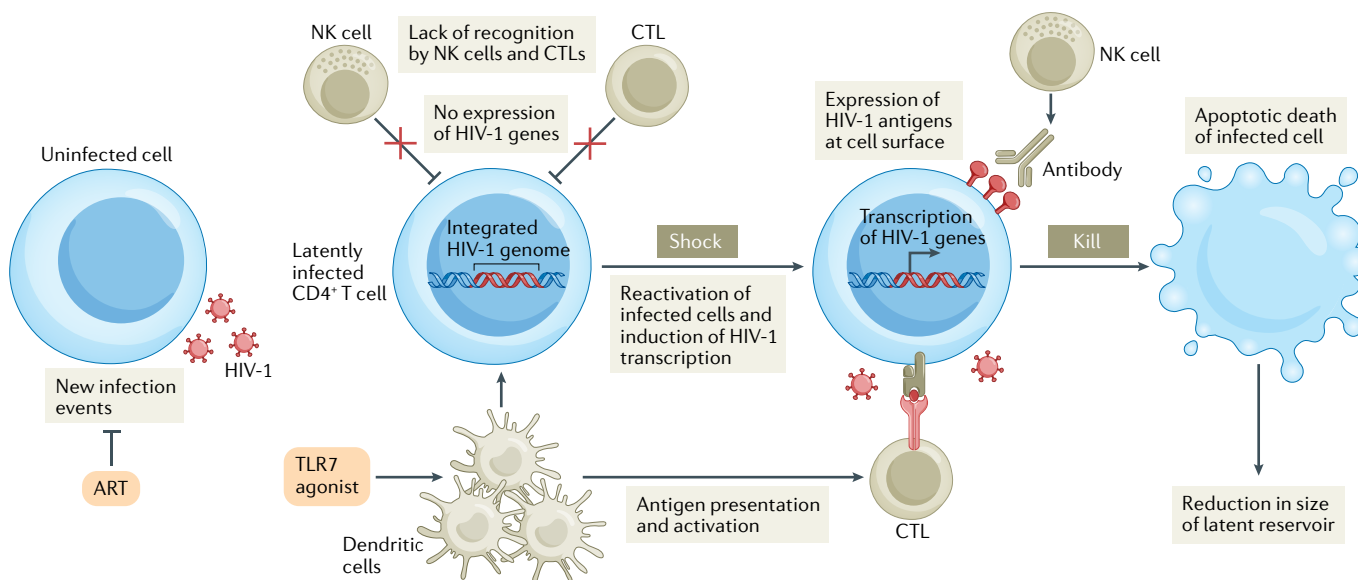
**Analytical treatment interruption**

A period during which people living with HIV-1 on ART temporarily stop therapy to evaluate the effects of a novel treatment on viral rebound.

blood mononuclear cells and lymph nodes, which suggests that there was a reduction in the size of the latent reservoir<sup>53</sup>. Furthermore, rhesus macaques that received both the Ad26/MVA vaccine and GS-986 not only had a significantly lower plasma SIV RNA setpoint (the value at which the viral load remains relatively stable) but also a 2.5-fold delay of viral rebound after cessation of ART<sup>53</sup>. The results suggest that the combination treatment generated SIV-specific cytotoxic T lymphocytes (CTLs) that recognize and kill reactivated latently infected cells. In a following study of macaques infected with simian-human immunodeficiency virus (SHIV) and treated with ART during acute infection<sup>54</sup>, GS-9620 was given in combination with a broadly neutralizing antibody (bNAb), PGT121, that recognizes N-linked glycans on the V3 loop of HIV-1 gp120 to enable antibody-dependent cellular cytotoxicity (ADCC). Macaques treated with both PGT121 and GS-9620 had significantly lower levels of viral DNA, indicating a potential reduction in the size of the latent reservoir; following discontinuation of ART, 5 of 11 animals receiving this combination treatment experienced a delay in viral rebound<sup>54</sup>. All three of these studies in rhesus macaques showed that treatment with TLR7 agonists can activate CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells and NK cells, and increase plasma levels of various cytokines and chemokines, including IFN $\alpha$ , IL-6 and IL-1 $\beta$ <sup>52-54</sup>. These results suggest that TLR7 agonists can induce a potent innate immune response and, in combination with an HIV-1-specific bNAb or therapeutic vaccine, could improve the elimination of latently infected cells. However, it remains unclear whether the beneficial effects of TLR7 agonists in these studies result from their activity as LRAs, their immunomodulatory capacity as vaccine adjuvants or both.

GS-9620 was also evaluated in two phase Ib clinical trials for its safety and effect on the latent reservoir of HIV-1. Treatment of PLWH on suppressive ART with GS-9620 was well tolerated but had minimal effects on plasma viraemia and reservoir size, with no significant changes from baseline measurements of plasma HIV-1 RNA observed<sup>55</sup>. However, treatment of ART-suppressed HIV-1 controllers with GS-9620 resulted in a modest delay in viral rebound after analytical treatment interruption compared with the placebo group<sup>56</sup>. Although there were no differences in total HIV-1 DNA between the placebo group and those receiving GS-9620, the intact proviral DNA assay (BOX 1) detected a significant decrease in intact, replication-competent proviruses in the latent reservoir of GS-9620-treated HIV-1 controllers<sup>56</sup> (TABLE 1). Although both studies<sup>55,56</sup> observed increased expression of interferon-stimulated genes, serum cytokines and IFN $\alpha$  in response to GS-9620 treatment, only the treatment of HIV-1 controllers resulted in a decrease of intact proviruses in the latent reservoir<sup>56</sup>. The differing results between these two studies could be due to variations in participant HIV-1 controller status as HIV-1 controllers may have CD8<sup>+</sup> T cells with enhanced polyfunctional responses compared with non-controllers. In addition, continued ART administration after GS-9620 treatment in one of the studies<sup>55</sup> could have affected virus-host dynamics.

Treatment with a TLR7 agonist caused transient increases in SIV RNA in plasma that were suggestive of latency reversal in one study of non-human primates<sup>52</sup>; this latency-reversing activity may have been undetected or underestimated in cases where very early treatment with ART after infection resulted in a small latent reservoir<sup>53,54</sup>. Furthermore, differences in the challenge



**Fig. 1 | ‘Shock and kill’ strategies to eliminate CD4<sup>+</sup> T cells latently infected with HIV-1.** Latently infected CD4<sup>+</sup> T cells contain the HIV-1 genome integrated into the host cell genome and remain undetectable by other immune cells owing to the lack of HIV-1 gene expression. Antiretroviral therapy (ART) prevents active viral replication but is unable to eliminate latently infected cells. Toll-like receptor 7 (TLR7) agonists and dendritic cells presenting cognate antigen can help to reactivate (‘shock’)

infected cells and induce the transcription of HIV-1 genes, leading to the production of viral proteins. The infected cells can then be recognized and ‘killed’ by cytolytic effectors such as cytotoxic T lymphocytes (CTLs) and natural killer (NK) cells. These effectors release granzymes and perforin to induce apoptotic cell death, leading to the elimination of infected cells. A reduction in the size of the latent reservoir is characterized by a decrease in the number of CD4<sup>+</sup> T cells harbouring intact proviruses (BOX 1).

Table 1 | Recently completed and ongoing clinical trials for HIV-1 cure targeting innate immunity

| Trial   | Trial registry number<br>(ClinicalTrials.gov) | Intervention details  | Trial phase | Completion status and results   |
|---|---|---|-------------|---|
| <b>TLR agonists</b>   |   |   |             |   |
| MVA.HTI + ChAdOx1.HTI + vesatolimod   | NCT04364035                                   | Viral vectored HIVACAT T cell immunogen (HTI), which encodes T cell epitopes from HIV-1 Gag, Pol, Nef and Vif, combined with the TLR7 agonist vesatolimod       | Phase IIa   | Expected completion December 2022   |
| Vesatolimod   | NCT03060447                                   | TLR7 agonist vesatolimod  | Phase Ib    | Completed; delayed time to viral rebound; reduced setpoint viral load; decrease in intact proviral DNA; induction of ISGs and IFN $\alpha$ <sup>52</sup>  |
| Lefitolimod + 3BNC117 + 10-1074   | NCT03837756                                   | The TLR9 agonist lefitolimod combined with the HIV-1-specific bNAbs 3BNC117 and 10-1074   | Phase IIa   | Expected completion February 2023   |
| <b>DC-based vaccines</b>  |   |   |             |   |
| AGS-004 + vorinostat  | NCT02707900                                   | Autologous moDCs co-electroporated with RNAs encoding human CD40L and autologous HIV-1 antigens (AGS-004), combined with the HDAC inhibitor vorinostat (an LRA) | Phase I     | Terminated owing to complications in manufacturing AGS-004; some participants had decreased cell-associated HIV-1 RNA; no substantial impact on size of the replication-competent viral reservoir <sup>93</sup>   |
| DC-HIV04: a1DC or pgDC + inactivated whole autologous HIV-1; or a1DC or pgDC + conserved HIV-1 peptides (Gag and Pol) | NCT03758625                                   | a1DC consists of autologous moDCs matured with an optimized cocktail; pgDC consists of autologous moDCs matured with a prostaglandin E2 cocktail                | Phase I     | Expected completion May 2023  |
| <b>Pegylated IFN<math>\alpha</math></b>   |   |   |             |   |
| Panobinostat + pegylated IFN $\alpha$ 2a  | NCT02471430                                   | The HDAC inhibitor panobinostat (an LRA), combined with pegylated IFN $\alpha$ 2a   | Phase I/II  | Expected completion December 2021   |
| Pegylated IFN $\alpha$ 2b + 3BNC117 + 10-1074   | NCT03588715                                   | Pegylated IFN $\alpha$ 2b combined with the HIV-1-specific bNAbs 3BNC117 and 10-1074  | Phase I     | Expected completion October 2022  |
| Pegylated IFN $\alpha$ 2a   | NCT00594880                                   | Pegylated IFN $\alpha$ 2a   | Phase II    | Completed; delayed viral rebound upon ATI; decreased integrated HIV-1 DNA; decreased expression of inhibitory KIRs on NK cells; enhanced NK cell-mediated killing ex vivo <sup>166,168</sup>  |
| <b>IL-15 super-agonist</b>  |   |   |             |   |
| ALT-803   | NCT04505501                                   | IL-15 super-agonist   | Phase II    | Expected completion August 2022   |
| ALT-803 + VRC07-523LS + 10-1074   | NCT04340596                                   | IL-15 super-agonist combined with the HIV-1-specific bNAbs VRC07-523LS and 10-1074  | Phase I     | Expected completion August 2023   |
| ALT-803 + haploidentical NK cell adoptive transfer  | NCT03899480                                   | IL-15 super-agonist combined with NK cell adoptive transfer   | Phase I     | Completed; no results available   |
| ALT-803   | NCT02191098                                   | IL-15 super-agonist   | Phase I     | Completed; increased expression of Ki67, a marker of proliferation, on NK cells and CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells; increased cell-associated HIV-1 RNA; detection of plasma HIV-1 RNA in some individuals; increased NK cell infiltration of lymph nodes (Z. Davis, personal communication) |

ATI, analytical treatment interruption; bNAb, broadly neutralizing antibody; ChAdOx1, chimpanzee adenovirus vector; DC, dendritic cell; HDAC, histone deacetylase; IFN, interferon; ISGs, interferon-stimulated genes; KIR, killer immunoglobulin-like receptor; LRA, latency-reversing agent; moDC, monocyte-derived dendritic cell; MVA, modified vaccinia virus Ankara; NK, natural killer; TLR, Toll-like receptor.

strain of SIV or SHIV, in dosing intervals and in the immune status of the macaques could affect the ability of TLR7 agonists to mediate latency reversal. The ability of TLR7 agonists to potently stimulate IFN $\alpha$  production by pDCs suggests a potential mechanism for indirectly

activating HIV-1-infected CD4<sup>+</sup> T cells<sup>50,57</sup>. In addition, the increased production of IFN $\alpha$  in response to TLR7 agonists may also indirectly enhance the cytolytic activity of NK cells (see later discussion), suggesting a role for DC–NK cell crosstalk in latency reversal<sup>56</sup>. Overall, TLR7

agonists, either in combination with a therapeutic vaccine to strengthen HIV-1-specific T cell responses or in combination with bNAbs to enable ADCC, have the potential to improve the elimination of HIV-1-infected cells. Agonists of other TLRs, such as TLR1/2, TLR5, TLR8 and TLR9, have also been shown to have modest latency-reversing effects in HIV-1-infected cell lines or HIV-1-infected central memory T cells<sup>58,59</sup>; these warrant further study, for example given the far broader effects of TLR8 agonists on innate immunity than those of TLR7 agonists. In summary, TLR agonists have proven to be potent activators of multiple immune functions and may aid HIV-1 cure strategies designed to reduce the size of the latent reservoir or maintain long-term virological control.

**Using DCs for latency reversal.** DCs could also contribute to the reactivation of latently infected cells during 'shock and kill' approaches through their antigen presentation function. For example, two vaccine studies using DCs expressing HIV-1 antigens have reported induction of CD4<sup>+</sup> T cell activation and increases in viral gene expression *in vivo*<sup>60</sup> and *in vitro*<sup>61</sup>. Through their effective presentation of antigen, DCs can contribute to the activation of latently infected CD4<sup>+</sup> T cells that are specific for the presented antigen<sup>40,41,62</sup>. However, this approach of reactivating antigen-specific latently infected cells has several limitations, including the small fraction of infected cells specific for any given antigen and the fact that the antigen specificity of most latently infected cells is unknown.

#### Box 2 | The role of plasmacytoid dendritic cells in innate immunity to HIV-1

The contribution of plasmacytoid dendritic cells (pDCs) early in HIV-1 infection can be inferred from a recent study showing that transmitted/founder viruses have increased resistance to type I interferons, which are mainly produced by pDCs, relative to viruses isolated at later time points during infection. This suggests that an early type I interferon response functions as a 'sieve' that prevents the transmission of interferon-sensitive viral variants<sup>32,33</sup>. During chronic infection, the number of circulating pDCs is reduced in people living with HIV-1 (PLWH). This decrease in the number of pDCs correlates with reduced CD4<sup>+</sup> T cell counts in viraemic PLWH<sup>190,191</sup>. In addition, persistent activation of pDCs may lead to increased levels of IFN $\alpha$ , resulting in chronic immune activation and inflammation<sup>192,193</sup>. Therefore, dysregulation of pDCs, which are the main producers of IFN $\alpha$ , during HIV-1 infection may affect the immune responses to HIV-1 (REFS<sup>192,194,195</sup>). Furthermore, peripheral blood mononuclear cells from individuals positive for HIV-1 on antiretroviral therapy (ART) had a 4.8-fold decrease in IFN $\alpha$  production following *in vitro* stimulation with a Toll-like receptor 7 (TLR7) agonist compared with peripheral blood mononuclear cells from individuals negative for HIV-1 (REF<sup>196</sup>).

The viruses that are present in the plasma during initial rebound of HIV-1 after treatment interruption also have a high level of resistance to type I interferons, similar to that reported for transmitter/founder viruses<sup>33</sup>. This biological property is relevant to the design of cure strategies that directly or indirectly engage type I interferon pathways. A recent study of PLWH who started ART during acute infection and subsequently underwent an analytical treatment interruption reported an increased frequency and activation state of circulating pDCs upon ART interruption but before detection of viraemia<sup>197</sup>. Furthermore, the authors demonstrated a decrease in the capacity of pDCs to produce IFN $\alpha$  upon stimulation with a TLR7 agonist at the peak of pDC frequency but before detectable viraemia, which indicates that pDCs enter a 'refractory state' after their initial activation. Together, these studies highlight the importance of pDCs in the early control of viral replication following treatment interruption. Studying the resistance of viruses in the latent reservoir of HIV-1 to key elements of the innate and adaptive immune responses can lead to a better understanding of virus–host interactions during the pre-rebound stage and of how HIV-1 might escape from immune control.

The addition of autologous immature monocyte-derived DCs (iDCs) to a primary cell model of latent HIV-1 infection caused latency reversal by a contact-dependent mechanism that may have involved factors independent of canonical T cell receptor (TCR) stimulation<sup>63</sup>. Activation of infected CD4<sup>+</sup> T cells through the TCR and subsequent stimulation with iDCs increased extracellular levels of HIV-1 RNA and induced more viral outgrowth compared with TCR stimulation or iDC stimulation alone. This DC-mediated latency reversal may have involved contact-induced activation of the PI3K–AKT–mTOR pathway in CD4<sup>+</sup> T cells, which was necessary for potent viral reactivation. Furthermore, a separate study using the same primary cell model of HIV-1 latency to investigate the effects of different DC subsets and other immune cell types on latency reversal showed that tissue-resident and blood-derived myeloid DCs induce viral reactivation with different efficiencies<sup>64</sup>. However, these findings should be interpreted with caution considering that the latency model used in these studies was generated under conditions that do not reflect naturally occurring establishment of HIV-1 latency. In addition, these studies did not show whether DCs were presenting HIV-1 antigens and therefore affected HIV-1-specific CD4<sup>+</sup> T cells through an antigen-dependent mechanism<sup>62,63</sup>. Studies using primary cell latency models to investigate DC-induced reversal of HIV-1 latency have so far yielded inconclusive data owing to the fact that these model systems are more efficient to reactivate than latently infected cells from PLWH<sup>23,24</sup>. Thus, the role of DCs in latency reversal requires further investigation using cells from PLWH. One recent study using cells from ART-suppressed PLWH reported that using monocyte-derived DCs (moDCs) to present peptide pools of HIV-1 Gag or of cytomegalovirus to autologous CD4<sup>+</sup> T cells resulted in latency reversal<sup>65</sup>. This effect was dependent on bidirectional crosstalk between moDCs and CD4<sup>+</sup> T cells during their canonical antigen-driven interaction, including CD40–CD40 ligand (CD40L) signalling<sup>65</sup>. However, the latency reversal observed in this study might be influenced by the fact that prolonged cultures were maintained in the absence of antiretroviral drugs, which enabled viral spread from reactivated cells. Indeed, the authors acknowledge that moDC-mediated viral reactivation might have been an indirect result of a potent global antigen-specific response rather than a direct effect on HIV-1-infected cells in the reservoir; thus, the underlying mechanism of moDC-mediated latency reversal remains unclear. Further investigation of the antigen specificity of the latent reservoir may improve DC-based latency reversal strategies by allowing for the reactivation of latently infected cells through the presentation and recognition of cognate antigen.

#### DC vaccines to enhance CTL responses

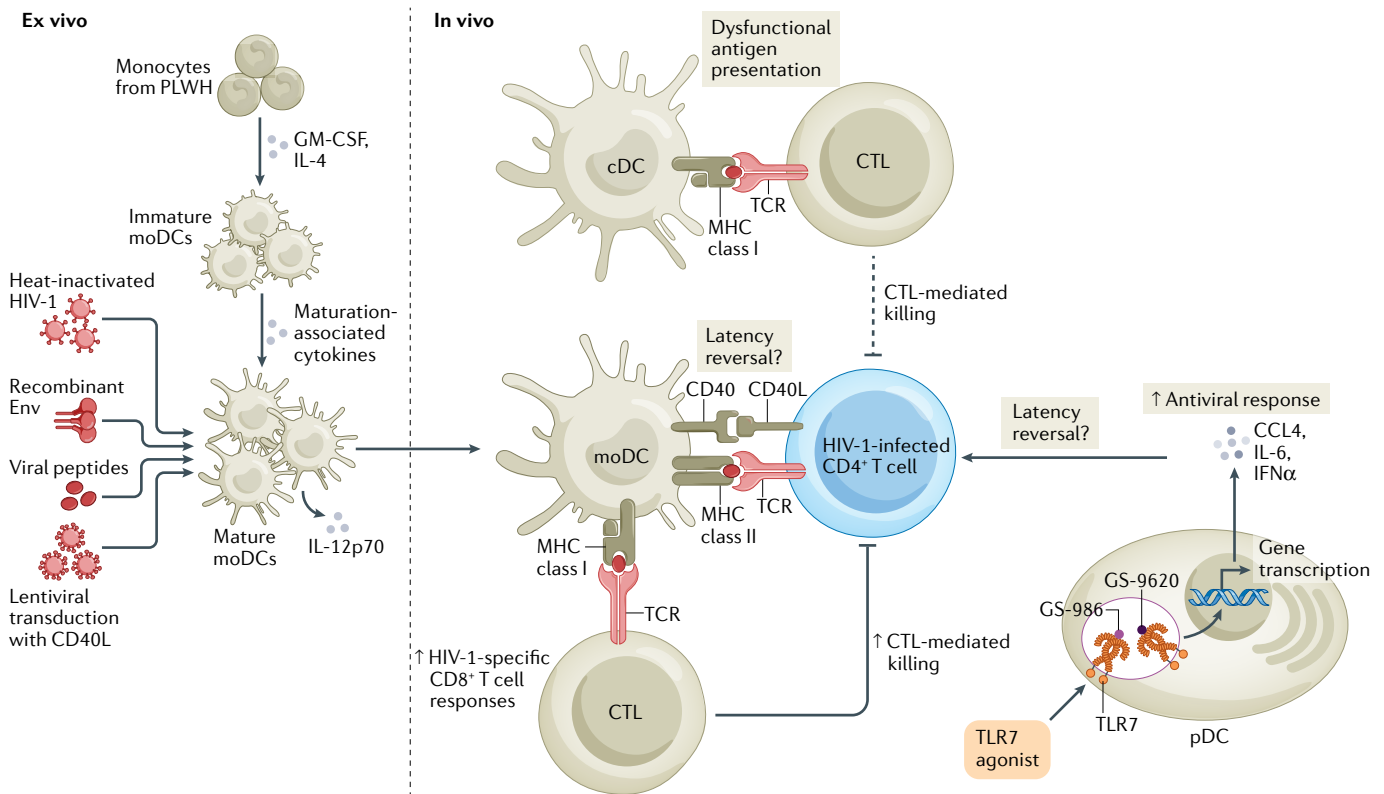
Recent studies suggest that depleting the latent reservoir of HIV-1 may require a combination therapeutic approach with both 'shock' and 'kill' components. Latency reversal by itself may not necessarily be followed by the clearance of cells expressing HIV-1 antigens<sup>12,66,67</sup>.

Novel candidate vaccines and immunotherapies designed to enhance the killing of infected cells following latency reversal have been tested in various clinical trials without any clear promising results<sup>68–70</sup>. Targeting DCs may offer a path to inducing HIV-1-specific CTLs as DCs naturally prime both CD4<sup>+</sup> and CD8<sup>+</sup> T cells<sup>62</sup>. DCs have been incorporated in immunotherapeutic strategies for both cancer and HIV-1 (REFS<sup>71,72</sup>). However, there are multiple challenges associated with the effective targeting of infected cells by CTLs<sup>73</sup>, including spatial separation of CTLs and target cells<sup>74</sup>, CTL exhaustion<sup>28</sup>, impaired recognition of HIV-1 epitopes owing to escape mutations<sup>66,75</sup>, and intrinsic resistance of latently infected cells to cytolysis<sup>76</sup>. Another major obstacle for successful DC-based therapeutic vaccination is endogenous DC dysfunction during HIV-1 infection<sup>77</sup>. ART can restore the function of endogenous DCs although some functions, such as IL-12p70 secretion in response to certain stimuli, are only partially rescued<sup>78</sup>.

**Vaccination with autologous antigen-loaded DCs.** One approach to overcoming the problem of endogenous DC dysfunction and improving vaccine-induced immune

responses has been to generate mature, antigen-loaded autologous DCs ex vivo and then reintroduce these DCs to PLWH as a form of adoptive immunotherapy. This has involved generating fully matured and functional type-1-polarized moDCs that express both CCR7, which is necessary for their migration to lymph nodes for antigen presentation to T cells, and IL-12p70, which promotes CD4<sup>+</sup> T helper 1 cell responses<sup>79</sup>. Ex vivo generation of large numbers of autologous moDCs requires the culture of monocytes from PLWH with granulocyte-macrophage colony-stimulating factor (GM-CSF) and IL-4 (REF.<sup>80</sup>). The addition of IFN $\gamma$  and a combination of maturation-associated cytokines to ex vivo-generated moDCs produces polarized DCs capable of increased IL-12p70 expression<sup>81,82</sup>. DCs of this phenotype are associated with sustained HIV-1-specific effector T cell responses<sup>83</sup>.

Reinfusion of autologous moDCs presenting HIV-1 antigens into PLWH has been shown to be safe and immunogenic in several trials<sup>68–70</sup>. For these studies, various HIV-1 antigens, including whole inactivated virus, recombinant envelope glycoproteins, viral peptides or recombinant viral vectors, were used to prime



**Fig. 2 | Ex vivo generation of monocyte-derived dendritic cells for therapeutic vaccination.** Monocytes from people living with HIV-1 (PLWH) are cultured with granulocyte-macrophage colony-stimulating factor (GM-CSF), IL-4, additional maturation-associated cytokines and sources of HIV-1 antigens to generate IL-12p70-producing mature monocyte-derived dendritic cells (moDCs). These DCs loaded with HIV-1 antigens ex vivo are returned to the donor to induce HIV-1-specific CD8<sup>+</sup> T cell responses (cytotoxic T lymphocyte (CTL) responses) and overcome dysfunctional antigen presentation by conventional DCs (cDCs). Recent DC-based vaccination efforts have also involved the transduction of moDCs with CD40L as a strategy to enhance their maturation, increase IL-12p70

production and aid effective antigen presentation to T cells. Emerging studies also suggest that moDCs can induce the activation of CD4<sup>+</sup> T cells and implicate moDCs in the induction of latency reversal. One recent study brought attention to canonical antigen presentation by DCs and CD40-CD40L signalling in latency reversal but the mechanism remains unexplained. Other strategies to reactivate latently infected CD4<sup>+</sup> T cells involve the use of Toll-like receptor 7 (TLR7) agonists (such as GS-986 and GS-9620) to induce a type I interferon (IFN) response by plasmacytoid dendritic cells (pDCs) and the production of other pro-inflammatory cytokines associated with the antiviral response (such as CCL4 and IL-6) to activate other immune cells. TCR, T cell receptor.

ex vivo-generated moDCs<sup>60,68–70,84,85</sup> (FIG. 2). The most promising results were obtained by using whole inactivated autologous virus as the antigen source<sup>68–70</sup>. In one study, vaccination with moDCs pulsed with autologous heat-inactivated HIV-1 resulted in a small yet significant decrease in viraemia and increased HIV-1-specific T cell responses in ART-naïve individuals at 24 weeks after vaccination<sup>69</sup>. In a follow-up study, the same DC vaccination strategy was tested in PLWH treated with ART who underwent several analytical treatment interruptions after vaccination<sup>70</sup>. A log<sub>10</sub> decrease in HIV-1 RNA setpoint was achieved<sup>70</sup>, although viral rebound after stopping ART was detected in all study participants. However, the reservoir size at the time of the second treatment interruption after vaccination was not measured and the authors note that potential replenishment of the latent reservoir during the first analytical treatment interruption is a major problem with the study design.

It is important to also acknowledge the practical limitations of this vaccination approach for a larger scale-up, particularly with regard to obtaining large numbers of moDCs. In addition, the choice of immunogen can affect optimal maturation of moDCs and the propagation of IL-12p70-producing moDCs. Although using whole inactivated autologous virus as a source of antigen for loading DCs may have an advantage over other immunogens in terms of the presentation of relevant, donor-specific epitopes, the practicality of this approach on a large scale is a concern. Furthermore, there is the additional concern of ensuring that the virus preparation has been completely inactivated and is no longer infectious.

**Novel approaches to DC-based vaccination.** To facilitate the generation of IL-12p70-producing moDCs, new strategies have been developed for the ex vivo genetic manipulation of DCs. One such approach towards improving DC-based vaccines involves genetic delivery of CD40L to DCs<sup>86,87</sup>. CD40L, which is transiently expressed on the surface of activated T cells and B cells<sup>88,89</sup>, binds to its cognate CD40 receptor on the surface of DCs<sup>90</sup>. This CD40–CD40L interaction induces the upregulated expression of costimulatory molecules by DCs and their secretion of cytokines such as IL-12p70, which are important for the maturation of DCs into fully competent antigen-presenting cells<sup>91</sup> and the effective priming of T cells. Exploiting the knowledge of this interaction has led to the development of a personalized DC-based immunotherapy, termed AGS-004, which consists of autologous moDCs co-electroporated with RNAs encoding human CD40L and autologous HIV-1 antigens (Gag, Vpr, Rev and Nef)<sup>92–94</sup>. In one clinical trial, this approach was shown to induce HIV-1-specific CD8<sup>+</sup> T cell effector responses, although these responses were not effective compared with placebo in improving host control of viral replication as measured during an analytical treatment interruption<sup>92</sup>. A recent study (TABLE 1) investigated the combined effect of the LRA vorinostat and AGS-004 on the latent reservoir of HIV-1 (REF.<sup>94</sup>). Six individuals on suppressive ART received four doses of

AGS-004 every 3 weeks over a 12-week period, followed by vorinostat treatment every 72 hours for 30 days. Although the treatment was well tolerated, there was no effect on the latent reservoir as measured using the quantitative viral outgrowth assay (BOX 1). Furthermore, no increase in HIV-1-specific CD8<sup>+</sup> T cell or NK cell responses was observed. There are several possible explanations for the absence of a treatment effect in this study. First, the study had a small sample size and lacked a control group. Second, although some in vivo studies have shown that vorinostat treatment causes a significant increase in HIV-1 RNA expression indicative of the reactivation of latently infected cells<sup>67,95</sup>, there is no evidence that treatment with vorinostat can induce sufficient expression of HIV-1 genes from replication-competent proviruses and effective antigen presentation to allow for the elimination of HIV-1-infected cells by autologous CD8<sup>+</sup> T cells<sup>96</sup>. In addition, the absence of detectable HIV-1-specific CD8<sup>+</sup> T cell responses could be a result of CTL escape variants of HIV-1 being dominant in the latent reservoir of the participants in this study<sup>75</sup>.

Overall, although DC-based immunotherapies for HIV-1 infection have proven safe, well tolerated and moderately immunogenic, they have had limited success in clinical trials in terms of reducing the size of the latent reservoir<sup>97</sup>. Methods of DC generation and preparation, choice of immunogen, immunological parameters to measure viral control, and the assessment of immunological and virological responses have varied between studies, which makes it difficult to compare results<sup>60,68–70,84,94</sup>. One possible explanation for a lack of clinical efficacy for DC-based therapies is the limited capacity of DCs to induce potent HIV-1-specific CD8<sup>+</sup> T cell responses owing to CTL exhaustion. In this respect, the combination of DC-based vaccines with immune-checkpoint inhibitors, such as antibodies to PD1 or CTLA4, is currently being investigated in several clinical trials for solid tumours<sup>98,99</sup>, which could improve the effector functions of CD8<sup>+</sup> T cells through reversal of exhaustion<sup>100</sup>. Furthermore, the incomplete maturation of DCs during vaccine production could result in DCs with a limited capacity to migrate to the lymph nodes and that have a tolerogenic phenotype<sup>101</sup>. As an alternative to the laborious process of generating DC-based vaccines in vitro, the cross-presentation capacity of native circulating cDC1s could be enhanced by targeted antigen delivery to these cells. For example, some studies have reported that tumour antigen-conjugated antibodies recognizing C-type lectin receptors, such as DEC-205 or CLEC9A, that are highly expressed on cDC1s elicit robust tumour-specific CTL responses<sup>99,102,103</sup>. Therefore, we anticipate that rather than DC-based vaccine monotherapies, combinatorial strategies that incorporate DC-based vaccination or approaches to improve the antigen presentation of native cDCs will be more effective for HIV-1 cure efforts. A better understanding of the factors leading to impairment of DC responses in PLWH may contribute to the design of effective adjuvants or vectors that can rescue DC function in vivo and improve current DC-based vaccine strategies for the treatment of HIV-1 infection.

**Killer immunoglobulin-like receptor**

(KIR). A family of natural killer cell receptors that interact with MHC class I molecules on the surface of target cells to regulate natural killer cell activation.

**Antibody-dependent cellular phagocytosis**

The process by which a phagocytic immune cell recognizes and engulfs a target cell whose surface is bound by antibodies, resulting in elimination of the target cell and MHC class II-dependent presentation of antigens derived from the target cell by the phagocyte.

**Bispecific antibody**

An engineered antibody that recognizes two distinct epitopes or antigens.

**Killing of infected cells by NK cells**

NK cells are a subset of lymphoid cells that mount innate antiviral responses. In response to infection, NK cells can directly lyse infected cells and can also secrete pro-inflammatory cytokines that enhance the functions of other innate and adaptive immune cells. A protective role for NK cells in HIV-1 infection is suggested by their ability to drive viral escape mutations and by the existence of several conserved mechanisms that HIV-1 has evolved to avoid detection by NK cells. For example, one study proposed that the immune pressure generated by NK cell-mediated ADCC contributed to the selection of mutations in HIV-1 epitopes recognized by antibodies that mediate ADCC<sup>104</sup>. These mutations could not be attributed to escape from antibody-mediated neutralization as the antibodies involved did not have neutralizing activity<sup>105</sup>. Similarly, selective pressure may alter the repertoire of viral peptides presented on MHC class I molecules to favour the engagement of inhibitory members of the killer immunoglobulin-like receptor (KIR) family on NK cells<sup>105</sup>.

Another mechanism by which HIV-1-infected cells might be recognized by NK cells involves the G<sub>2</sub> cell-cycle arrest activity of the HIV-1 protein Vpr. Vpr promotes the activation of DNA damage and stress response pathways, resulting in increased surface expression of the stress proteins ULBP1 and ULBP2 by infected cells, which are ligands for the activating NK cell receptor NKG2D and are sufficient to induce NK cell-mediated killing of target cells<sup>106,107</sup>. However, HIV-1 avoids the recognition of infected cells by NKG2D through Nef-mediated degradation of ULBP1, ULBP2 and an additional NKG2D ligand, MICA<sup>108</sup>. To avoid the killing of infected cells by CTLs, HIV-1 also downregulates surface expression of HLA-A and HLA-B in a Nef-dependent manner<sup>109,110</sup>. To counteract the loss of interaction with inhibitory KIRs on NK cells caused by downregulation of HLA-A and HLA-B, HIV-1 is thought to selectively maintain surface expression of HLA-C and HLA-E<sup>111,112</sup>, which interact with additional inhibitory KIRs and NKG2A on NK cells, respectively. It is, however, worth noting that most studies that have reported the maintenance of surface expression levels of HLA-C and HLA-E by HIV-1-infected cells have used laboratory-adapted HIV-1 strains, which may not reflect the activity of primary isolates. More recent studies have suggested that, in cells infected with primary HIV-1 strains, Vpu and Nef may cause the downregulation of HLA-C and HLA-E, respectively<sup>113–115</sup>.

Further support for a role of NK cells in inhibition of HIV-1 infection comes from evidence linking NK cell-mediated ADCC to protection from contracting HIV-1 infection and suppression of viraemia. Post hoc analysis of the clinical trial results of RV144, the only HIV-1 vaccine trial so far to have resulted in some degree of protective efficacy, showed that one of the strongest positive correlates of protection is a high titre of non-neutralizing antibodies to the V1V2 region of the HIV-1 Env protein that can mediate ADCC<sup>116,117</sup>. However, additional analysis suggests that such antibodies may have multiple Fc-mediated effector functions, such as antibody-dependent cellular phagocytosis,

in addition to ADCC<sup>118,119</sup>. HIV-1-specific ADCC responses have also been detected in the acute phase of HIV-1 infection and may contribute to determining the setpoint viral load<sup>120,121</sup>. Finally, high levels of HIV-1-specific ADCC activity correlate with slower rates of disease progression<sup>122,123</sup>.

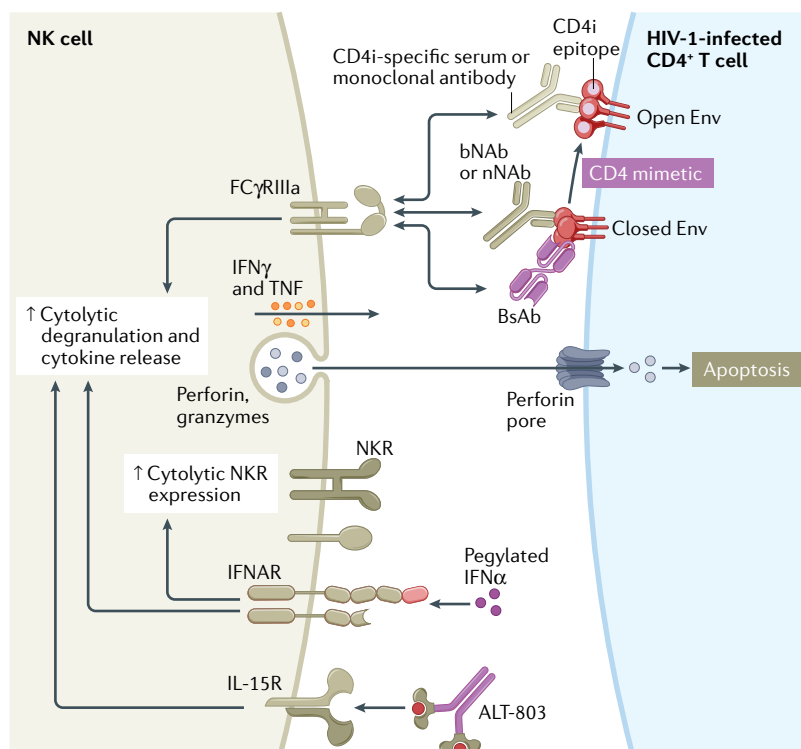
Although the data are controversial, there is some evidence that ADCC responses may be increased in HIV-1 controllers. In several cohorts, sera from HIV-1 controllers enhanced ADCC responses to a greater extent than did sera from viraemic progressors<sup>124–126</sup>. Furthermore, the breadth of ADCC-mediating antibodies may also contribute to viral control as HIV-1 controllers in one cohort had greater cross-reactivity of ADCC responses against multiple Env clades than did viraemic progressors<sup>125</sup>. By contrast, no differences in ADCC responses were detected between HIV-1 controllers and viraemic progressors in additional cohorts<sup>127–129</sup>. Definitive conclusions about the role of ADCC in HIV-1 controllers will likely require standardized definitions of HIV-1 control and standardized methodologies for detecting ADCC activity to allow for better comparison between studies.

Overall, the above studies suggest a role of NK cell-mediated cytolytic clearance of infected cells in inhibiting HIV-1 acquisition and disease progression. The ability of NK cells to potently kill HIV-1-infected CD4<sup>+</sup> T cells in diverse biological contexts warrants further study into the design of strategies to augment the innate antiviral properties of NK cells for an HIV-1 cure. Immunotherapies that improve the existing capacity of NK cells to eliminate HIV-1-infected cells *in vivo* may be effective alone or in combination with CTL-based strategies to reduce the size of the latent reservoir.

**Enhancing ADCC activity through passive antibody administration.**

Passive administration of antibodies to Env that are capable of mediating strong ADCC is a strategy under consideration to enhance the clearance of HIV-1-infected cells (FIG. 3). *In vitro* studies have shown that several well-characterized monoclonal bNAbs can facilitate the killing of HIV-1-infected cells through NK cell-mediated ADCC<sup>130–132</sup>. Evidence from treatment with bNAbs in models of HIV-1 or SHIV challenge suggests that bNAbs can also mediate the elimination of infected cells *in vivo*. bNAbs consistently protect from SHIV challenge in macaque models and potentially reduce viraemia<sup>133–139</sup>. Some of this effect is clearly owing to the direct antibody-mediated neutralization of virus. However, mutation of the bNAb b12 to abrogate Fc receptor binding reduced its ability to prevent SHIV acquisition<sup>133,134</sup>, which implicates a role for Fc-mediated effector functions, such as ADCC, in this activity. Furthermore, mutation of the bNAb VRC07-523LS<sup>139</sup> and the bNAb-derived bispecific antibody 117/1,400 (REF.<sup>140</sup>) to abrogate Fc receptor binding slows the rates at which these antibodies clear virus from the plasma of SHIV-infected macaques. An additional study in a humanized mouse model of HIV-1 challenge has shown that mutations in bNAbs that enhance Fc receptor binding result in greater protective activity, providing further evidence for the involvement of Fc-dependent





**Fig. 3 | Strategies to enhance natural killer cell-mediated killing of HIV-1-infected cells.** Various broadly neutralizing antibodies (bNAbs) and non-neutralizing antibodies (nNAbs) to HIV-1 Env protein bind the Fc receptor Fc $\gamma$ RIIIa (CD16a) on the surface of natural killer (NK) cells through their Fc domains. In addition, bispecific antibodies (BsAbs) can bind both Env on the surface of HIV-1-infected CD4 $^{+}$  T cells and Fc $\gamma$ RIIIa on NK cells. This interaction results in NK cell activation, release of cytolytic granules (containing perforin and granzymes) and pro-inflammatory cytokines (such as IFN $\gamma$  and tumour necrosis factor (TNF)) and apoptosis of the infected CD4 $^{+}$  T cell through a process known as antibody-dependent cellular cytotoxicity. Binding of CD4 mimetic compounds to Env can stabilize it in an 'open' conformation, thereby exposing CD4-induced (CD4i) epitopes and rendering the infected cell more vulnerable to binding of serum and monoclonal antibodies to CD4i epitopes and subsequent antibody-dependent cellular cytotoxicity. Cytokine-based therapies, including pegylated IFN $\alpha$  and the IL-15 superagonist ALT-803, sensitize NK cells to cytolytic killing of HIV-1-infected cells. IFNAR, interferon- $\alpha/\beta$  receptor; NKR, natural killer cell receptor.

mechanisms in bNAb-mediated protection against HIV-1 infection<sup>141</sup>. In PLWH, bNAb infusions have been well tolerated and have delayed rebound of viraemia following analytical treatment interruption<sup>142–145</sup>. In some individuals who receive bNAb monotherapy during analytical treatment interruption, the outgrowth of pre-existing bNAb-resistant escape variants of HIV-1 can occur<sup>142–144</sup>. However, this phenomenon was not observed when a combination of bNAbs targeting distinct epitopes of HIV-1 was administered during treatment interruption<sup>145</sup>. Disappointingly, however, bNAb treatment during analytical treatment interruption has not resulted in an observable reduction in size of the latent reservoir of HIV-1 (REFS<sup>146,147</sup>). This may be owing to the infrequent reactivation of latently infected cells and, thus, pairing the administration of bNAbs with a strategy to reverse latency may improve the clearance of infected cells. It should also be noted that it is unclear to what extent ADCC or other Fc-dependent antibody effector functions may contribute to the observed delay in

viral rebound after analytical treatment interruption in PLWH who received bNAb infusions because Fc-mutated variants of the bNAbs have not been evaluated in this context.

Similarly to bNAbs, monoclonal non-neutralizing antibodies to the HIV-1 Env protein mediate ADCC in vitro, albeit with generally weaker activity<sup>130,148</sup>. Of particular interest are V1V2-targeting non-neutralizing antibodies, similar to those antibodies that were thought to be protective in the RV144 vaccine trial. One study found that V1V2-targeting non-neutralizing antibodies have highly potent ADCC activity, greater in magnitude than that observed with tested bNAbs<sup>149</sup>. However, in contrast to bNAbs, the administration of non-neutralizing antibodies failed to protect macaques from SHIV challenge<sup>150–153</sup>. Although the non-neutralizing antibodies were not protective against infection, treatment was associated with positive outcomes in some of these studies, including reductions in viral load<sup>150,153</sup> and levels of cell-associated HIV-1 DNA<sup>153</sup> as well as the clonal restriction of transmitted/founder viruses<sup>151</sup>. Given that non-neutralizing antibodies cannot inhibit infection by cell-free virions, these treatment effects may be attributed to Fc-mediated effector activity against infected cells.

**Engineering antibodies for improved NK cell cytolytic activity.** Advances in antibody engineering have made it possible to modify existing monoclonal antibodies to better elicit Fc-mediated effector functions. Mutational analysis of the IgG Fc domain has revealed combinations of point mutations that result in improved Fc receptor binding and ADCC activity compared with the wild-type Fc domain<sup>154–156</sup>. In addition to or as an alternative to modifying the protein sequence of the antibody Fc domain, alterations to the Fc glycan structure can enhance the ability of IgG antibodies to mediate ADCC. Compared with IgG antibodies expressed in commonly used cell lines (such as wild-type CHO or 293T cells), IgG antibodies expressed in cell lines that yield low Fc fucose content (such as Lec13, YB2/0 or FUT8 cells) have increased Fc receptor binding and ADCC activity<sup>157–160</sup>. The afucosylated forms of the bNAbs 2G12 and b12 have enhanced Fc receptor binding and ADCC activity compared with the fucosylated forms<sup>161,162</sup>. Unexpectedly, however, the afucosylated form of b12 did not improve protection from SHIV challenge compared with the fucosylated form<sup>162</sup>. It would be of considerable interest to compare an ADCC-enhanced bNAb to the wild-type version in the context of analytical treatment interruption for differences in efficacy in delaying viral rebound and reducing levels of cell-associated HIV-1 DNA.

In addition to these efforts to enhance the interaction between the IgG Fc domain and the Fc receptor Fc $\gamma$ RIIIa (also known as CD16a), several groups have engineered bispecific antibodies that induce potent NK cell-mediated cytolytic activity (FIG. 3). Bispecific antibodies that engage Env on the surface of HIV-1-infected cells and CD3 on the surface of T cells have previously been shown to promote CTL-mediated killing of infected target cells<sup>163–166</sup>. Recent studies have shown that replacing the CD3-specific antibody variable fragment

(Fv) of existing bispecific antibodies that engage CD3 and Env with a CD16-specific antibody Fv<sup>166</sup> or fusing a CD16-specific antibody Fv to the carboxyl terminus of gp41-specific IgG antibodies<sup>167</sup> enabled these molecules to effectively bind NK cells and enhance the killing of HIV-1-infected cells. The design of novel bispecific antibody reagents may contribute to more efficacious clearance of HIV-1-infected cells if incorporated into a 'shock and kill' strategy.

**Sensitizing infected cells to ADCC.** Recent work suggests that a specific class of small molecules may enhance the capacity of autologous antibodies to induce ADCC. Sera from PLWH contain a high concentration of antibodies that are potentially capable of mediating ADCC but that target Env epitopes that are conformationally occluded<sup>168</sup>. These epitopes, termed CD4-induced (CD4i) epitopes, are exposed only in the 'open' conformation of Env that is induced by CD4 binding<sup>168,169</sup>. The downregulation of CD4 expression in HIV-1-infected cells by Nef and Vpu is thought to minimize the interaction between Env and CD4 on the surface of infected cells, thus resulting in infrequent exposure of these CD4i epitopes and protection of the infected cells from ADCC mediated by CD4i-specific antibodies<sup>168,169</sup>. CD4 mimetic compounds can bind Env on the surface of infected cells in a similar manner to soluble CD4 and trigger the exposure of CD4i epitopes<sup>170</sup>, thereby enhancing the ADCC potential of serum antibodies against autologous infected CD4<sup>+</sup> T cells<sup>171–173</sup> (FIG. 3).

**Cytokine-based therapies to enhance NK cell-mediated killing of infected cells.** The incorporation of cytokine-based therapies into a 'shock and kill' regimen could be used to augment the clearance of HIV-1-infected cells by enhancing the cytolytic effector functions of innate immune cells. Of current interest are therapies based on IFN $\alpha$  or IL-15 (TABLE 1). The administration of pegylated IFN $\alpha$ 2 to PLWH on ART has been shown to delay time to viral rebound upon analytical treatment interruption and reduce levels of cell-associated HIV-1 DNA as detected by Alu-Gag PCR<sup>174,175</sup>, prompting consideration for its use as part of a 'shock and kill' strategy. A growing body of evidence suggests that the ability of IFN $\alpha$  to decrease levels of cell-associated HIV-1 DNA results from increased NK cell-mediated cytotoxicity (FIG. 3). Low levels of cell-associated HIV-1 DNA in PLWH on ART who were administered IFN $\alpha$ 2 correlated with changes in the expression of NK cell receptors, including an increased frequency of NK cells expressing the activating receptors NKP30 and NKG2D<sup>175</sup>. In addition, NK cells from individuals who maintained control of viral load throughout a 12-week analytical treatment interruption after receiving IFN $\alpha$  therapy had lower levels of expression of inhibitory KIRs and a superior capacity for cytolytic killing *ex vivo*<sup>176</sup>. *In vitro* treatment of NK cells from viraemic progressors and HIV-1 controllers with IFN $\alpha$ 2 results in an increase of both cytokine production and cytolytic potential<sup>177</sup>.

Recently, there has been growing interest in using the IL-15 super-agonist ALT-803 in 'shock and kill' strategies for HIV-1 cure. IL-15 is naturally produced by

macrophages and DCs and is presented by these cells *in trans* to neighbouring NK cells. IL-15 is known to stimulate various functions of NK cells that would be desirable in the context of a 'shock and kill' regimen, including cytotoxic activity, IFN $\gamma$  production, ADCC and inhibition of HIV-1 outgrowth<sup>178</sup>. ALT-803 consists of a highly potent mutated form of IL-15 complexed with the sushi domain of IL-15 receptor- $\alpha$  fused to an IgG Fc domain<sup>179,180</sup> (FIG. 3). In addition to improving the biodistribution and half-life of ALT-803, the Fc domain allows for binding to Fc receptors on the surface of macrophages and DCs, which mimics endogenous *trans*-presentation of IL-15 and further improves the potency of ALT-803 (REF.<sup>180</sup>). There is evidence from animal models of HIV-1 infection that ALT-803 enhances the activity of NK cells and CTLs. In a humanized mouse model of acute HIV-1 infection, ALT-803 inhibited viral replication and this inhibition was not observed in NK cell-depleted mice<sup>181</sup>. Furthermore, ALT-803 transiently reduced viral load in SIV-infected rhesus macaques, which was associated with an increased number of NK cells and CD8<sup>+</sup> T cells in peripheral blood<sup>182</sup>. In SHIV-infected macaques, ALT-803 increased the migration of NK cells and SHIV-specific CD8<sup>+</sup> T cells to lymph node follicles, sites that are known to harbour latent HIV-1 during ART and that normally exclude cytolytic effector cells<sup>183</sup>. In addition to its potential for enhancing the elimination of HIV-1-infected cells by cytolytic effector mechanisms, ALT-803 may also promote latency reversal. In SIV-infected or SHIV-infected macaques and HIV-1-infected humanized mice, the administration of ALT-803 paired with depletion of CD8<sup>+</sup> T cells during ART led to detectable plasma viraemia<sup>184,185</sup>. The potential of this IL-15 super-agonist to function both in latency reversal and by enhancing the clearance of infected cells makes it an attractive candidate for 'shock and kill' strategies.

### Concluding remarks

Emerging strategies for an HIV-1 cure include combination approaches that use LRAs coupled with methods to enhance immune effector functions. Although current efforts to reactivate latently infected cells are mainly focused on novel pharmacological LRAs, TLR agonists are promising candidates in this regard that may receive more attention in the future. Furthermore, recent studies reporting the potential of moDCs to reverse latency may offer insights into designing novel LRAs based on understanding the underlying mechanism of viral reactivation reported in those studies. The induction of a potent and broad immune response to HIV-1 infection might be achieved through the use of DC-based immunotherapies to enhance the killing of infected cells. However, there are many limitations to the use of DC-based therapies, including their large-scale production and the determination of which immune responses are the best measures of viral control. Future studies might focus, instead, on interventions that both activate and deliver antigen to DCs directly *in situ*. Another promising strategy to improve immune-mediated clearance of HIV-1-infected cells is to enhance the cytolytic capacity of innate immune effector cells such as NK cells. ADCC is

#### Alu-Gag PCR

A PCR method for measuring integrated HIV-1 DNA using primers that recognize Alu repeat elements in the host genome and the *gag* gene of the HIV-1 genome.

a mechanism of cell lysis mediated by NK cells that could be exploited for the elimination of infected cells through the therapeutic administration of small-molecule pharmacological agents, monoclonal antibodies or recombinant proteins such as ALT-803. Clinical trials are already under way to study and apply innate immune-based therapies for HIV-1 cure (TABLE 1). With further continuation of clinical trials involving TLR7 agonists and ALT-803, we believe that it is of crucial importance to better understand the molecular mechanisms of latency reversal caused by these reagents and whether their effects are mediated by direct activation of latently infected cells or indirect engagement through an innate immune response. Better mechanistic understanding

of these therapeutic agents may lead to more effective targeting of innate immune responses and inform the design of combinatorial approaches to an HIV-1 cure. We remain optimistic that progress will continue to be made in defining the role of innate immunity in shaping the biology of viral rebound and in contributing to spontaneous control of HIV-1. In summary, we expect future studies to focus on enhancing our understanding of the role of innate immunity in the progression and control of HIV-1 infection as well as on investigating innate immunity-enhancing therapeutics as tools for achieving HIV-1 cure.

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