A different perspective on alternative cleavage and polyadenylation

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In a Review in this journal (Alternative cleavage and polyadenylation in health and disease. *Nat. Rev. Genet.* **20**, 599–614 (2019))¹, Gruber and Zavolan summarized recent research on alternative polyadenylation (APA). Under the presumption that APA is beneficial, the authors presented cases where APA produces mRNAs of different functions or regulations from the same gene and discussed the APA defects that can cause disease. However, genome-scale evidence suggests that APA arises largely from molecular error and is generally non-adaptive², which runs counter to the notion that APA has a positive functional impact.

Support for the notion that APA enhances useful function or regulation is available only anecdotally^{1,3–5}. Genomic studies have failed to detect a clear relationship between APA and mRNA stability and translatability⁶ or protein concentration⁷. These and other observations prompted the proposal that most genes have only one optimal polyadenylation site, which is typically the most frequently used site (hereafter referred to as the major site), and that APA occurs largely owing to polyadenylation error². This error hypothesis predicts a number of global patterns of APA that have been verified in multiple tissues from five mammals². Below, we estimate the fraction of deleterious APA that occurs in humans by an established method^{8–10}.

Given a polyadenylation error rate, more molecules of non-optimal mRNA are produced from more highly expressed genes. This difference creates stronger selection against polyadenylation error in more highly expressed genes, causing the extent of APA to decrease with gene expression level². Under the assumption that polyadenylation error has not been selectively removed at all in the least expressed genes but has been completely removed in the most expressed genes, a conservative estimate of the fraction of deleterious polyadenylation can be obtained by 1 minus the extent of APA that occurs in the 20 most expressed genes relative to that in the 20 least expressed genes. This fraction turns out to be ~93% (based on figure 1A in REF.²), potentially explaining why beneficial APA is not observed at the genomic scale. Note that a genome-wide difference in the length of 3' untranslated regions among tissues or developmental stages is not evidence for adaptation because such a difference could

result from expression changes of a small number of *trans*-factors¹.

The mechanisms by which alterations of APA have an impact on health and disease differ under the adaptive and error hypotheses. A disruption of polyadenylation at the major site is expected to be harmful under both hypotheses. By contrast, increasing polyadenylation at an existing non-major site or creating a new polyadenylation site is not expected to be pathogenic under the adaptive hypothesis, but will probably be so under the error hypothesis. Thus, the finding that gaining a proximal polyadenylation site in *IRF5* causes systemic lupus erythematosus¹¹ is more readily explained by the error hypothesis than the adaptive hypothesis.

In summary, the error hypothesis provides a different perspective on the origin, biological significance and disease relevance of APA than the adaptive view held by Gruber and Zavolan¹. Considering both perspectives will likely be more productive in APA research than holding only one view, especially when this view fails the test of genomics.

There is a reply to this letter by Gruber, A. J. & Zavolan, M. *Nat. Rev. Genet.* <https://doi.org/10.1038/s41576-019-0199-y> (2019).

Reply to 'A different perspective on alternative cleavage and polyadenylation'

Andreas J. Gruber and Mihaela Zavolan

In their Correspondence (A different perspective on alternative cleavage and polyadenylation. *Nat. Rev. Genet.* <https://doi.org/10.1038/s41576-019-0198-z> (2019))¹, Xu and Zhang state that we have written our recent Review on alternative cleavage and polyadenylation (APA) in health and disease (Alternative cleavage and polyadenylation in health and disease. *Nat. Rev. Genet.* **20**, 599–614 (2019))² under the presumption that APA is generally beneficial or adaptive. This is not the case.

As we and others have found in early genomics studies of alternative splicing, many alternative isoforms can be explained by 'noise' in the processing of closely spaced

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Author contributions

C.X. researched the literature. C.X. and J.Z. provided substantial contributions to discussions of the content, wrote the article, and reviewed and/or edited the manuscript before submission.

Competing interests

The authors declare no competing interests.

that very likely result from imprecise processing, and we typically also require that analysed sites be identified in multiple experiments.

Beyond this, it is important to stress that in the absence of a specific model, such as the one we had for splicing, it is unclear what sort of patterns of isoform expression to expect. Furthermore, 'noisy' expression may be, in fact, beneficial in some situations^{7,8}. Establishing whether particular molecular events are adaptive is notoriously difficult, and we thus avoided making claims to that effect in our Review. Instead, we focused on patterns that, in our opinion, warrant further investigation. Specifically, we highlighted (1) the coherent shift toward usage of proximal poly(A) sites in cancers and its potential mechanisms and (2) some examples of changes in poly(A) site usage for which the relationship to the disease has strong experimental support. How a systematic shift towards proximal poly(A) site usage arises in cancers is unclear. We and others hypothesized that specific regulators are involved (for example, REFS^{9–12}), but the question is by no means answered. Once generated, either owing to a general defect in polyadenylation or to the action of specific regulatory proteins, APA isoforms can be

co-opted in pathogenic processes, as the *IRF5* example illustrates¹³. Identifying such cases, and especially predicting at what level they affect cellular function, is not trivial. Our efforts to approach this issue are described in a previous study¹⁴.

To conclude, we do not hold the view that the majority of unique APA isoforms that are observed in a deep-sequencing study have specific functions. While our Review highlights some known examples of functional isoforms, more remain to be uncovered, as even those APA isoforms that result from noisy processing can play roles in pathogenic processes. Further progress in the field requires approaches for identifying functional variants and the mechanisms that generate them.

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The authors contributed equally to all aspects of the article.

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