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Phase segregation and nanoconfined fluid O_2 in a lithium-rich oxide cathode

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Lithium-rich oxide cathodes lose energy density during cycling due to atomic disordering and nanoscale structural rearrangements, which are both challenging to characterize. Here we resolve the kinetics and thermodynamics of these processes in an exemplar layered Li-rich (Li_{1.2-x}Mn_{0.8}O₂) cathode using a combined approach of ab initio molecular dynamics and cluster expansion-based Monte Carlo simulations. We identify a kinetically accessible and thermodynamically favourable mechanism to form O₂ molecules in the bulk, involving Mn migration and driven by interlayer oxygen dimerization. At the top of charge, the bulk structure locally phase segregates into MnO₂-rich regions and Mn-deficient nanovoids, which contain O₂ molecules as a nanoconfined fluid. These nanovoids are connected in a percolating network, potentially allowing long-range oxygen transport and linking bulk O₂ formation to surface O₂ loss. These insights highlight the importance of developing strategies to kinetically stabilize the bulk structure of Li-rich O-redox cathodes to maintain their high energy densities.

Lithium-ion batteries using conventional cathodes based upon layered LiCoO₂ have revolutionized portable electronics and electric vehicles. Yet the continuing demand for improved battery performance means further increases in energy density are needed. Lithium-rich oxide cathodes offer higher energy densities than conventional cathodes because they utilize capacity from both transition metal ion and oxygen redox when cycled^{1,2}. Oxygen redox is typically accompanied by bulk structural changes associated with a large loss of energy density^{3,4}. Understanding these structural changes and their relationship to O-redox behaviour is one of the major challenges for improving Li-rich cathodes. While some aspects of these O-redox-driven structural changes are already understood, such as the involvement of transition metal migration^{5,6} and oxygen dimerization^{7,8}, the atomic-to nanoscale picture remains incomplete, in part due to challenges in experiments and modelling to characterize the structure and O-redox behaviour of Li-rich cathodes during cycling9.

Electronic structure modelling is a powerful tool for understanding atomic-level structures and predicting redox behaviour.

Modelling O redox, however, is non-trivial^{9,11}. Computational predictions of O-redox behaviour depend on the choice of structures used in these models. Because O-redox cathodes undergo atomic rearrangements during cycling, after the early stages of the first charge, the structures of these cathodes are not known a priori and must be solved in silico. For computational modelling studies to make useful predictions of O-redox behaviour, both the kinetics and thermodynamics of structural rearrangements must be considered. Firstly, structural rearrangements must proceed via kinetically accessible paths. Secondly, any kinetically accessible structures should be stable with respect to further rearrangement on relevant experimental timescales. Computational approaches that predict behaviour using structures that form only via kinetically inaccessible pathways or that are kinetically unstable to further rearrangement can yield unrealistic descriptions of O redox. Finally, to understand cathode behaviour over multiple cycles, it is necessary to consider thermodynamic factors. O-redox cathodes cycled towards a thermodynamic ground state increasingly exhibit both crystallographic site disorder 12,13 and nanoscale structural

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changes, such as the formation of nanovoids^{14–17}. To model crystallographic disorder and nanoscale structural features, computational studies must search a vast configurational space to identify stable low-energy configurations while also using cell sizes large enough to capture relevant structural features. Many previously proposed O-redox mechanisms are based on computational studies that assessed structures using density functional theory (DFT)^{18–27}. However, DFT is too computationally expensive to directly investigate disorder and nanoscale structures, meaning that additional modelling methods are required to provide a complete picture of O-redox behaviour.

Here, we use a computational strategy that directly addresses these kinetic and thermodynamic factors in O-redox modelling. We have used long-timescale ab initio molecular dynamics (AIMD) to identify kinetically viable atomic-scale rearrangements during the first charge and, in parallel, have developed a DFT-derived cluster expansion model of oxygen redox that accounts for disorder and nanoscale structural changes produced after many cycles, which we have used to perform large-scale Monte Carlo simulations. This approach allows us to efficiently search the vast configurational space for low-energy structures at the top of charge and to conduct this search in structures containing -50,000 atoms to resolve thermodynamically driven nanoscale structural rearrangements.

We have applied this combined strategy to high-capacity O2-layered Li_{1.2-x}Mn_{0.8}O₂, which is an exemplary system for understanding Li-rich oxide cathodes. We identify a kinetically viable O-redox mechanism in which the formation of transient interlayer superoxide and peroxide intermediates drives out-of-plane Mn migration, resulting in O₂ molecules forming within the bulk structure. The thermodynamic ground state structure at the top of charge exhibits phase segregation into a two-phase mixture of MnO₂ and O₂. Bulk O₂ molecules are confined within nanometre-sized Mn-deficient voids that form a connected percolating network. These O₂ molecules have a nanoconfined supercritical fluid character and can potentially diffuse through the network of voids, providing a mechanistic link between bulk O₂ formation and surface O₂ loss.

Kinetics of structural change: charged O2-Li_x[Li_{0.2}Mn_{0.8}]O₂

The crystal structure of lithium-rich O2-Li $_{1.2}$ Mn $_{0.8}$ O $_2$ (Fig. 1a) features O2-stacked ²⁸ layers of octahedrally coordinated Li and Mn 29,30 . A regular pattern of Li sites in the Mn layers gives a characteristic 'ribbon' superstructure ³¹. Oxygen ions are coordinated to three (O–Mn $_3$) or two (O–Mn $_2$) Mn atoms, with the O–Mn $_2$ atoms coordinated to the Li ions in the Mn-rich layers. These O–Mn $_2$ atoms have a single O 2p orbital at the top of the valence band that is unhybridized with any Mn 3d orbitals and is susceptible to oxidation upon charge ^{19,32}.

To understand the first-cycle behaviour of O2-Li $_{1.2}$ Mn $_{0.8}$ O $_2$ in the oxygen-redox regime, we modelled charging the pristine cathode structure past the conventional transition metal redox limit (that is, Mn $^{4+}$) by removing one lithium ion per formula unit, giving a composition of Li $_{0.2}$ Mn $_{0.8}$ O $_2$. When relaxed using DFT, this delithiated structure appears stable with respect to structural rearrangement, with no observed Mn rearrangement or oxygen dimerization (Fig. 1b). This absence of structural rearrangements is an artefact of this DFT relaxation approach, which yields a structure trapped in a local minimum. This DFT-relaxed delithiated structure, however, is unstable with respect to structural rearrangement over experimental timescales 33,34 .

To identify kinetically accessible structural rearrangements, we performed high-temperature AIMD, analogous to a thermal decomposition experiment, by heating metastable $\mathrm{Li}_{0.2}\mathrm{Mn}_{0.8}\mathrm{O}_2$ to 900 K and holding it at that temperature for 400 ps (Methods) ^{35,36}. Using AIMD gives an unbiased search for structural rearrangements that selects for kinetically viable rearrangement pathways. For structures obtained from this AIMD trajectory that displayed oxygen dimerization or transition metal ion migration, we performed full relaxations (quenching)

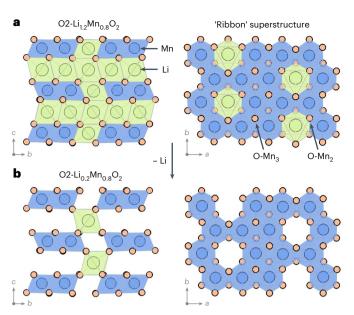


Fig. 1| Structures of pristine O2-Li_{1.2}Mn_{0.8}O₂ and metastable O2-Li_{0.2}Mn_{0.8}O₂.

a, Pristine O2-Li_{1.2}Mn_{0.8}O₂ showing the octahedral interlayer Li sites, the 'ribbon' superstructure arrangement of lithium ions in the Mn layer and the two types of oxygen coordination: O-Mn₂ and O-Mn₃. b, Metastable O2-Li_{0.2}Mn_{0.8}O₂ with the ribbon structure preserved, obtained in the absence of host framework rearrangements, showing octahedral lithium ions remaining in the Li layer only in sites directly above and below the vacancies in the Mn layers. Green and blue shading shows the connectivity around LiO₆ and MnO₆ octahedra, respectively.

using higher-accuracy hybrid DFT calculations to evaluate whether these rearrangements are thermodynamically favoured.

Applying this procedure to delithiated O2-Li_{0.2}Mn_{0.8}O₂ (Fig. 2a) reveals a previously unreported O-redox mechanism. O ions in O-Mn₂ sites are preferentially oxidized, and interlayer peroxide (O_2^{2-}) and superoxide (O_2^-) species then form from these O-Mn₂ sites in adjacent transition metal layers (Fig. 2b,c, structure II). Once these O atoms have dimerized, their bonding interaction with their neighbouring Mn ions is reduced (Supplementary Fig. 4). This drives Mn migration into the interlayer space, proceeding through hops to first or second neighbour sites. This Mn migration results in de-coordination of the O-O dimers from Mn to form a pair of O_2 molecules (structure III). Over longer timescales (~400 ps), six Mn ions migrate to the interlayer space, and more O₂ molecules form, which group together in the Mn vacancy cluster (structure IV). This process is kinetically viable and causes an overall thermodynamic stabilization of the system, with structure IV approximately 11 eV per cell more stable than the starting structure I (Fig. 2d). O-O dimerization requires an interlayer distance between O atoms of <1.5 Å. This is achieved by a rippling of the transition metal layers that gives a local contraction of the space between them, even while the average interlayer spacing and clattice parameter are not substantially changed (Supplementary Table 1 and Supplementary Fig. 3b).

Previous studies have proposed an alternative pathway to forming O_2 in Li-rich layered cathodes, in which Mn migration occurs before oxygen dimerization 21,25 . Our results show no evidence of this mechanism in Li_{0.2}Mn_{0.8}O_2. Indeed, our AIMD simulations consistently demonstrate interlayer O–O dimerization before Mn migration (Supplementary Fig. 2). Mn migration to interlayer sites without accompanying oxygen dimerization gives structures that are higher in energy than structures that contain interlayer superoxide and peroxide species (Supplementary Fig. 3). These results, therefore, indicate that the kinetically favoured first step in the O-redox mechanism is interlayer O–O dimerization rather than Mn migration.

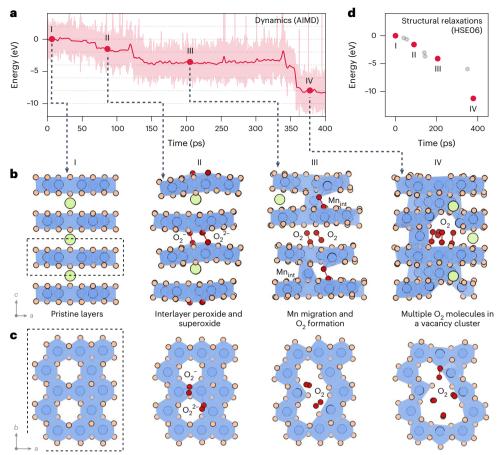


Fig. 2 | **Mechanism of O–O dimerization and Mn migration in Li**_{0.2}**Mn**_{0.8}**O**₂. **a**, The change in total energy from 400 ps DFT + U AIMD simulations at 900 K, with indicated positions showing structures that were extracted for further analysis. The shaded region shows the range of the fluctuations of total energy, and the red line indicates the energy averaged with a 1 ps time window. **b,c**, Optimized HSE06 equilibrium geometry of the extracted structures from **a** in both the a-c (**b**) and a-b planes (**c**). Some lithium ions have been removed

for clarity. **d**, The change in the total energy for HSE06 geometry relaxations of structures I–IV plotted as a function of the AIMD simulation time. Red dots correspond to the structures in **b** and **c**, with grey dots indicating additional relaxed structures (Methods). Green, blue and orange circles show Li $^{\scriptscriptstyle \dagger}$, Mn and lattice O ions, respectively. Red circles show O atoms in O–O dimers. Blue shading shows the MnO $_6$ octahedra.

Transition metal migration and structural degradation in Li- and Mn-rich cathodes have previously been attributed to lattice strain between $\mathrm{Li}_2\mathrm{MnO}_3$ and LiTMO_2 domains 37 (where TM is Ni or Co). Our results, described here for the single-phase ribbon-structured $\mathrm{Li}_{1.2-x}$ $\mathrm{Mn}_{0.8}\mathrm{O}_2$, suggest that there is a driving force for both transition metal migration into the Li layer and structural degradation, irrespective of whether nanodomains are present. Structural degradation is initiated by oxidized framework O atoms and proceeds if the transition metal interlayer separation can contract to permit interlayer O–O dimerization. Consequently, preventing interlayer O–O dimerization is probably an effective strategy for improving the kinetic stability of the transition metal layers against rearrangement. This might be achieved by increasing the spacing between layers with 'pillaring' cations or by including large interlayer ions, such as for Na-ion cathodes 38 .

Mn-deficient nanovoids and O₂ confinement

The AIMD simulations above investigate kinetically viable structural rearrangements during the first charge but do not permit enough Mn migration to obtain a thermodynamic ground state structure. To identify thermodynamically stable structures at the top of charge $(Mn_{0.8}O_2)$ that are representative of the cathode after many cycles, while accounting for disorder and nanoscale structural changes, we developed a cluster expansion model of oxygen redox. For this cluster expansion model, we computed the energies of structures along the

 $\rm O_2$ –MnO $_2$ –MnO tie line using hybrid DFT. We consider two situations for the $\rm O_2$ end-member: free gaseous $\rm O_2$, which corresponds to oxygen lost from the cathode, and $\rm O_2$ molecules confined in the bulk (Supplementary Notes 1 and 2), and construct a convex hull of formation energies (Fig. 3a), which defines the ground state structures at a given composition. Structures at the top of charge (Mn $_{0.8}$ O $_2$) are all above the ground state hull connecting the $\rm O_2$ states and MnO $_2$, indicating that Mn $_{0.8}$ O $_2$ is metastable with respect to decomposition into a two-phase mixture of MnO $_2$ and O $_2$. The gaseous O $_2$ state is below the confined O $_2$ state; that is, the lowest energy product is for O $_2$ to be lost from the system. Importantly, Mn $_{0.8}$ O $_2$ is above the ground state hull even for O $_2$ molecules confined in the bulk. This indicates that Mn $_{0.8}$ O $_2$ is thermodynamically susceptible to decomposition into MnO $_2$ and O $_2$, even if these O $_2$ molecules are trapped in the bulk and cannot be lost from the system.

To represent the bulk structure accurately, we fitted our cluster expansion using the energy of confined O_2 . We developed a sampling strategy that established that off-lattice O_2 molecules can be represented by $O-MnX_5$ and $O-X_6$ sites in a lattice model, where X is a cation vacancy (Supplementary Note 1). To systematically search for low-energy structures and examine nanoscale features, we used this cluster expansion model to run lattice Monte Carlo annealing simulations of structures containing 48,000 atoms, which is several orders of magnitude larger than is possible using pure DFT (Fig. 3b).

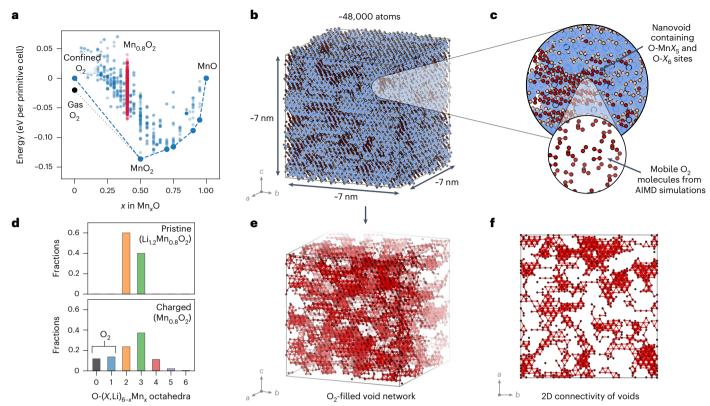


Fig. 3 | **Local phase segregation and formation of Mn-deficient O**₂-**filled nanovoids at the top of charge. a**, The DFT-calculated convex hull of formation energies used to fit the cluster expansion along the O_2 -MnO $_2$ -MnO tie line, showing the position of structures with the delithiated cathode composition (Mn_{0.8}O₂) above the ground state hull. b, A supercell of 48,000 atoms obtained from canonical lattice Monte Carlo simulated annealing of Mn_{0.8}O₂. Lattice O-Mn X_5 or O- X_6 sites, where X is a cation vacancy, represent O_2 molecules (Supplementary Note 1). **c**, The detailed lattice structure of a section from **b**,

showing O_2 -filled void regions arising from clustered $O-MnX_3$ and $O-X_6$ sites. The inset shows the relaxed structure of a box of confined O_2 molecules. \mathbf{d} , The analysis of the O coordination environments in pristine $\mathrm{Li}_{1.2}\mathrm{Mn}_{0.8}O_2$ and delithiated $\mathrm{Mn}_{0.8}O_2$ after charge. Approximately 20% of the O atoms after cycling are O_2 molecules (that is, $O-MnX_3$ or $O-X_6$) (Supplementary Note 1). \mathbf{e} , A 3D representation of the void network, showing the O atoms in O_2 molecules only. \mathbf{f} , A 2D slide of the percolating void network, highlighting the bottlenecks between voids.

Our simulations predict local phase segregation-type behaviour with the formation of MnO_2 -rich regions and Mn-deficient nanovoids that contain confined O_2 molecules (Fig. 3c). Approximately 20% of the O atoms in the system form O_2 molecules. O_2 molecules formed at the surface will be lost immediately, as this is the thermodynamically lowest energy pathway. However, O_2 molecules formed in the bulk will be trapped if they cannot escape the system. The phase segregation also results in disorder (Fig. 3d) and a complete loss of the layered structure.

The O_2 -filled nanovoids vary in size from ~0.5 nm to >1.5 nm in length and form a three-dimensional (3D) percolating network connecting 90% to 95% of the O_2 molecules. This percolating network may permit O_2 transport through the cathode but is highly tortuous, with a mean microscopic tortuosity factor τ of ~24, compared with τ = 1 for the pristine structure. The structure can be characterized as multiple large voids filled with many O_2 molecules, often connected by narrow passages, with these likely to act as bottlenecks for any potential oxygen transport (Fig. 3e,f).

Dynamics of nanoconfined O₂ molecules

Having established that the cathode bulk structures at the top of charge feature nanovoids that contain O_2 molecules, we performed additional molecular dynamics simulations at 300 K to characterize the room temperature dynamics of these O_2 molecules. The resulting radial distribution functions (RDFs) for Mn and lattice O^2 pairs show sharp peaks, indicative of an ordered crystalline solid (Fig. 4a). By contrast, the Mn $-O_2$ RDF shows broader peaks, with non-zero values between the peaks, indicating that the molecular O_2 is mobile. A similar effect is

seen in the RDF for the O atoms of only the O_2 molecules (Fig. 4b). The second neighbour peak describes the O_2 inter-molecular distance. For solid crystalline O_2 , this second peak in the RDF would be sharp; instead, we observe a broad second peak consistent with these O_2 molecules exhibiting rotational and translational degrees of freedom.

Figure 4b compares the RDF for O_2 in the cathode bulk with a simulated RDF of liquid O_2 under ambient conditions (Fig. 4b). The cathode bulk O_2 has a second-neighbour peak maximum at a much smaller distance than for liquid O_2 and has a density of approximately 1.45 g cm⁻³. This density is higher than that of liquid O_2 (1.141 g cm⁻³ at T=88 K and ambient pressure) but lower than the density of solid O_2 (2.21 g cm⁻³ at O_2 (2.21 g cm⁻³ at O_2 in the cathode bulk is predicted to be in the supercritical regime.

The mobile character of O_2 and the presence of a percolating void network mean that the O_2 might diffuse through the structure. To probe possible room temperature O_2 transport, we ran AIMD at 300 K on a $\text{Li}_{0.2}\text{Mn}_{0.8}O_2$ system with percolating Mn void pathways containing six O_2 molecules (Fig. 4d–f). Figure 4c shows the mean squared displacements (MSDs) calculated from this simulation for the O_2 molecules, lattice O^{2-} ions and O_2 molecules, lattice O^{2-} ions and O_2 molecules change from the initial value of zero (Fig. 4c), indicating that O^{2-} ions are not diffusing, as expected. In contrast, the MSDs for the O_2 molecules and O_2 molecules and O_2 molecules are lated diffusion coefficients for O_2 molecules and O_2 molecules are 1 × 10⁻⁷ cm² s⁻¹ and -7 × 10⁻⁸ cm² s⁻¹, respectively, indicating that the oxygen molecules are highly mobile over this short length scale.

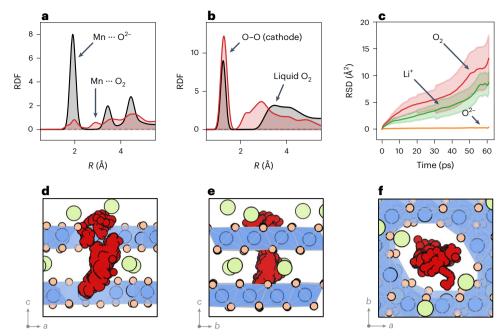


Fig. 4 | Room temperature transport and structural properties of $\mathbf{O_2}$ molecules in nanovoids. a, The RDF of $\mathrm{Mn\cdots O^{2^-}}$ and $\mathrm{Mn\cdots O_2}$ species in $\mathrm{Li_{0_2}Mn_{0.8}O_2}$ from AlMD simulations at 300 K, showing a solid-like character for the $\mathrm{O^{2^-}}$ lattice and a fluid-like character for the $\mathrm{O_2}$. b, The RDF of $\mathrm{O\cdots O}$ species, showing the compressed character of the $\mathrm{O_2}$ in the cathode relative to liquid $\mathrm{O_2}$ at ambient pressure. c, The MSD of $\mathrm{O_2}$ molecules, $\mathrm{Li^+}$ ions, and $\mathrm{O^{2^-}}$ ions showing $\mathrm{O_2}$ and $\mathrm{Li^+}$ diffusion. The shaded region shows the estimated standard deviation

in the MSD. \mathbf{d} – \mathbf{f} , The trajectory of a single O_2 molecule over 60 ps shown along the three different crystallographic axes; red circles show the position of the O atoms in the O_2 molecule every 200 fs. The O_2 molecule moves between layers, indicating that oxygen diffusion is possible through stacked voids. Green, blue and orange circles show Li * , Mn and lattice O ions, respectively. Blue shading shows MnO $_4$ octahedra.

The non-crystalline diffusive character of this bulk O_2 means that these O_2 molecules can be considered a high-density nanoconfined fluid. Figure 4d–f shows the positions of the O atoms from a single O_2 molecule throughout the AIMD simulation. The molecule crosses the interlayer space and moves from one layer to beyond the layer above, demonstrating the potential for long-range O_2 diffusion given sufficient connectivity between voids.

Atomic to nanoscale mechanisms of oxygen redox

The structural rearrangements that occur during the cycling of Li-rich oxide cathodes introduce challenges for experimental characterization and atomistic modelling of their O-redox behaviour. The previous absence of suitable modelling strategies to account for both the kinetics and thermodynamics of these structural rearrangements $^{\rm o}$ has resulted in uncertainty about the mechanisms of O redox. Here, we have shown how combining AIMD and cluster expansion Monte Carlo simulations can resolve these structural changes over atomistic and nanoscale lengths while accounting for the kinetics and thermodynamics of this structural rearrangement (Fig. 5).

Our results show that O_2 molecules can form in the bulk through kinetically accessible pathways. Peroxide and superoxide species form as transient reaction intermediates along these pathways and are not the final O-redox product at the top of charge. This result is consistent with high-resolution resonant inelastic X-ray scattering, neutron pair distribution function and superconducting quantum interference device magnetometry measurements of several lithium-rich cathodes, which provide evidence for molecular O_2 confined in the bulk at the top of charge and the absence of peroxide or superoxide species $^{31,38,40-44}$.

The convex hull of formation energies for Mn_xO_2 (Fig. 3a) shows that there is a thermodynamic driving force at the top of charge for $Mn_{0.8}O_2$ to phase segregate into MnO_2 and molecular O_2 , whether this O_2 is lost from the system or confined in the bulk. O_2 loss and bulk O_2 formation, therefore, can be considered to be different outcomes of the

same thermodynamically driven process, where O^{2^-} ions are oxidized to molecular O_2 (ref. 38). O_2 formed in the bulk is unstable with respect to gas-phase O_2 , and bulk O_2 may subsequently escape through the surface of the cathode, thereby contributing to net O_2 loss.

While O_2 formed at the surface is expected to be lost immediately, as indicated by online electrochemical mass spectrometry data³², the degree to which O₂ formed in the bulk will be lost depends on the ability of this O₂ to diffuse through the bulk material and on the permeability of the cathode surface to O₂ molecules. Our AIMD data indicate that O₂ can diffuse through the bulk via a network of voids formed by the O-redox mechanism. When this void network percolates, as we predict it does for Mn_{0.8}O₂, long-range O₂ diffusion from the cathode interior to the surface is feasible. Surface O₂ loss during the first cycle, however, is understood to be accompanied by surface densification³², and these densified surfaces are expected to be relatively impermeable to O_2 , preventing the loss of bulk-formed O₂ from the particle. This model of O redox is consistent with the previously proposed mechanism of O redox where O₂ forms throughout the cathode, with O₂ formed at the surface being lost while O_2 in the bulk is trapped ³⁸. Any bulk-formed O_2 that remains in the cathode is available to be reduced back to O²⁻ upon discharge, providing a mechanism for reversible O-redox capacity. Resonant inelastic X-ray scattering measurements of other Li-rich cathode materials show evidence for molecular O₂ being present at the top of charge, but not after full discharge, which is consistent with the reduction of trapped bulk O₂ back to lattice O²⁻ (refs. 31,40).

Our thermodynamic analysis indicates that in, $\text{Li}_x \text{Mn}_{0.8} \text{O}_2$, bulk O_2 formation and O_2 loss can be considered to be parallel outcomes of the same thermodynamically favoured process. This link between bulk O_2 formation and surface O_2 loss probably extends to other cathode materials. If a material demonstrates oxygen loss as gas-phase O_2 upon charging, this indicates a thermodynamic tendency of the parent phase to phase-separate to a mixture of an O-deficient phase and molecular O_2 . If the phase separation energy is sufficiently large,

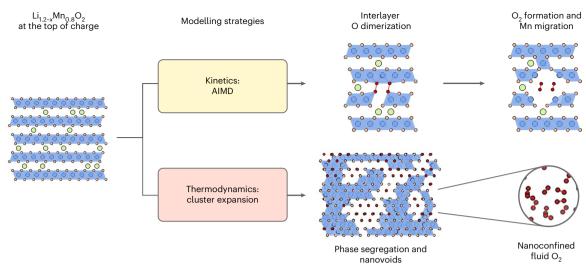


Fig. 5 | Schematic summary of the combined modelling strategies to probe structural rearrangements in delithiated $02\text{-Li}_{1.2\text{-}x}\text{Mn}_{0.8}O_2$. At top of charge, ribbon-superstructure $\text{Li}_{0.2}\text{Mn}_{0.8}O_2$ is predicted to be metastable by DFT structural relaxation but rearranges during experimental timescales. The kinetics and thermodynamics of these structural rearrangements can be modelled using AIMD and cluster expansion Monte Carlo, respectively. Modelling kinetic processes with AIMD and hybrid DFT relaxations identifies the

O-redox mechanism as initiated by interlayer O-O dimerization, forming stable O_2 molecules. Thermodynamic modelling using a cluster expansion model and Monte Carlo simulations identifies the formation of nanoscale voids containing O_2 . AIMD characterizes O_2 in the nanovoids as a high-density nanoconfined fluid. Green, blue and orange circles show Li $^+$, Mn and lattice O ions, respectively. Red circles show O atoms in O-O dimers. Blue shading shows MnO $_6$ octahedra.

molecular O_2 formation will be thermodynamically favoured irrespective of whether the resulting O_2 is released as a gas or confined in the bulk. Experimental observations of O_2 loss, widely observed in other Li-rich^{12,45} and conventional layered oxides^{46,47}, may therefore be an indicator for materials that are thermodynamically unstable with respect to bulk O_2 formation. Whether these materials do form bulk O_2 will depend on the precise mechanisms of O redox in each case, which may potentially be resolved by applying the methods used in this study.

Our study also explains experimental observations of disordering and nanostructuring as being driven by O redox. Confined O₂ in the bulk cannot easily be directly imaged. Nevertheless, the phase segregation in our Monte Carlo simulations reveals that Mn-deficient nanovoids are a signature of bulk O₂ formation. This structural description is consistent with observations of nanovoids in cycled cathodes from X-ray and neutron pair distribution function, small-angle X-ray and neutron scattering and electron microscopy experiments 14-17,48. Some studies have attributed the formation of voids to the presence of oxygen vacancies, often in the context of oxygen loss¹⁵. Our results indicate that nanovoids can form even without oxygen loss; oxygen atoms can instead dimerize to form molecular O₂ species near the vacated O sites. Our results also indicate that these nanovoids can form throughout the $Li_{1,2-x}Mn_{0,8}O_2$ material; it is not necessary for voids to form at the surface and then propagate into the bulk. We expect design strategies that stabilize the bulk and the surface against the formation of nanovoids to be important in preventing structural degradation and a loss of energy density in Li-rich cathodes.

Our large-scale models shine new light upon the properties of the confined O_2 molecules. Previous studies using ^{17}O NMR and AIMD have suggested that small numbers of O_2 molecules are rigidly caged within their local environment 40,49 , with no long-range diffusion. Our results show a thermodynamic drive to form large voids that contain large numbers of O_2 molecules. Within the voids, these O_2 molecules are mobile and collectively can be considered as a high-density supercritical nanoconfined fluid. Individual O_2 molecules in Mn vacancy clusters may have a more solid-like character, but as the Mn-deficient voids increase in size, we predict that O_2 within these voids will increasingly display fluid-like behaviour.

The fluid character of O_2 highlights important links between O_2 formation in the bulk, the cathode nanostructure, O_2 loss through the surface and voltage fade. Voltage fade has been associated with gradual O_2 loss from the bulk in oxide cathodes such as the lithium-rich nickel-manganese-cobalt system at high states of charge through a mechanism of oxygen vacancy transport from bulk to surface⁵⁰. Our results suggest an alternative oxygen transport mechanism in which nanoconfined fluid O_2 diffuses through a percolating network of voids.

We calculate a local diffusion coefficient for nanoconfined O₂ of $\sim 10^{-7}$ cm² s⁻¹ in Li_{0.2}Mn_{0.8}O₂. This calculated value is much higher than the macroscopic oxygen diffusion coefficient reported previously for Li-rich nickel-manganese-cobalt (~10⁻¹⁷ cm² s⁻¹)⁵⁰ from experimental X-ray absorption and ptychography measurements. Long-range diffusion rates are likely to be limited by other factors such as residual lithium in the structure, the high tortuosity and slow formation kinetics of the void network and influenced by the variation in structure and composition of different Li-rich cathodes (Supplementary Note 3). Coatings and surface structures that prevent O₂ release from the bulk of cathode materials are potentially important to preserve long-term cycling stability. However, these alone will not be able to inhibit structural rearrangements in the bulk, where most of the O₂ is formed. Designing bulk structures with void networks that do not interconnect is also a possible strategy for inhibiting bulk oxygen transport, thereby minimizing oxygen loss from the surface.

In conclusion, the combination of AIMD and cluster expansion-based Monte Carlo simulation allows the detailed atomic-scale exploration of a lithium-rich O-redox cathode by predicting realistic structures of the charged cathode material. Using this approach, we have identified a thermodynamically favourable and kinetically viable O-redox mechanism to form nanoconfined O_2 molecules in the bulk structure with Mn migration. Long-term cycling of the cathode results in local phase segregation into MnO_2 -rich regions and Mn-deficient nanovoids that contain O_2 molecules as a nanoconfined fluid. These nanovoids are connected in a percolating network that can facilitate oxygen diffusion, which provides a mechanistic link between O_2 formation in the bulk and O_2 loss through the surface. The combined methodology presented here answers long-standing questions about the atomic- to nanoscale mechanisms of O-redox in Li-rich Mn-based cathodes and highlights

directions for improving the performance of other high-energy density cathodes that display structural rearrangements during cycling.

Online content

Any methods, additional references, Nature Portfolio reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/s41563-024-01873-5.

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Methods

AIMD with GGA + UDFT

Generalized gradient approximation (GGA) + UAIMD simulations were run with the VASP code $^{51-55}$. Electronic exchange and correlation were approximated using the Perdew–Burke–Ernzerhof + U functional. A Hubbard U parameter of 3.9 eV was applied to the Mn 3d orbitals to correct for the self-interaction error. Dispersion corrections were included through Grimme's semiclassical D3 scheme $^{56-58}$. All AIMD calculations used a plane-wave cutoff of 400 eV, a single Γ point for \mathbf{k} -space sampling and a time step of 2 fs. The lattice parameters were kept fixed to the zero-pressure 0% optimized values obtained from hybrid DFT geometry relaxations.

To sample structural transformations pathways in Li_{0.2}Mn_{0.8}O₂ (Fig. 2), simulations were performed at 300, 600 and 900 K, starting from the delithiated framework (Supplementary Fig. 2), using a $(1 \times 2 \times 1)$ expansion of the crystallographic unit cell of the ribbon superstructure (160 atoms). For each AIMD simulation, two equilibration stages were performed: first, a 2 ps run in the microcanonical (NVE) ensemble (with constant composition, volume and energy) by ramping the temperature up from 0 K to the target temperature with temperature rescaling every 50 steps; and second, a 2 ps run in the canonical (NVT) ensemble (with constant composition, volume and temperature) at the target temperature. The equilibration steps were designed to smooth any energy and temperature fluctuations in the system. Following the equilibration, we ran production simulations from which we sampled structures along the trajectories by assessing the formation or breaking of short (<1.7 Å, <1.4 A or <1.3 Å) O-O bonds or the movement of Mn ions out of the TM layer; if either of these events occurred (for example, a peroxide bond (1.4 Å $< d_{0-0} < 1.7$ Å) formed from two neighbouring O atoms bonded to Mn or a molecular O_2 bond (d_{0-0} < 1.3 Å) formed from a superoxide species (1.3 Å < $d_{\rm O-O}$ < 1.4 Å)), the structures were extracted from the AIMD simulations and fully relaxed with hybrid DFT calculations. GGA functionals over-estimate O-O bond lengths, so the demarcation points for these different O-O species bond lengths are slightly longer than used previously²⁵.

To fit the cluster expansion, AIMD was initially used to sample different, low-energy O–O configurations for each cation arrangement (as discussed in Supplementary Note 1). These sampling simulations were run at 300 K, in the NVT ensemble with velocities initialized to replicate the Boltzmann distribution. Structures along the trajectory displaying newly formed O–O bonds were extracted and fully relaxed with hybrid DFT.

AIMD was also used to examine the mobility of O–O dimers in low-energy structures obtained from the cluster expansion (Fig. 4). These simulations were run at 300 K in the NVT ensemble, with the same 2 ps NVE and 2 ps NVT equilibration procedure as used for the structural transformation sampling simulations. The simulations were run for 60 ps.

AIMD was used to compute the RDF of liquid O_2 . These simulations were run in a cubic box containing 256 O atoms (128 O_2 molecules), with an a lattice parameter of 18.22202 Å (equivalent to the density of liquid O_2 at T=88 K, 1.141 g cm $^{-3}$). These simulations were run at 300 K, in the NVT ensemble (with fixed cell volume and lattice parameters). The system was equilibrated with a 2 ps NVE, followed by a 2 ps NVT equilibration step. The RDF was calculated from a 10 ps trajectory.

Hybrid DFT geometry relaxations

Hybrid-exchange DFT geometry relaxations were performed to obtain high-accuracy results for selected structures along the GGA + *U*AIMD structural transformation trajectory and for the set of structures and energies to train the cluster expansion. Hybrid DFT relaxations were performed using the CRYSTAL17 code, which uses local basis sets⁵⁹. Electronic exchange and correlation were approximated using the screened hybrid-exchange functional HSE06^{60,61}, with dispersion

forces included using Grimme's semiclassical D3 scheme⁵⁶⁻⁵⁸. All-electron atom-centred Gaussian basis sets were used for all atoms. available from the CRYSTAL online database (www.crystal.unito.it). with the online labels: Li (Li 5-11(1d)G baranek 2013 LiNbO3), Mn (Mn 86-411d41G towler 1992) and O (O 8-411d1 cora 2005). The Coulomb overlap and penetration, exchange overlap, and g- and n-series exchange penetration were truncated with thresholds of 10⁻⁷, 10⁻⁷, 10⁻⁷, 10⁻⁷ and 10⁻¹⁴, respectively, as described in the CRYSTAL documentation. Reciprocal space was sampled using a 2 × 6 × 4 Γ-centred Monkhorst-Pack mesh⁶² for the ~80 atom $(1 \times 1 \times 1)$ crystallographic unit cell of ribbon-superstructure $Li_xMn_{0.8}O_2$, and a $2 \times 3 \times 4$ mesh for the ~160 atom $(1 \times 2 \times 1)$ supercell. The self-consistent field procedure was performed up to a convergence threshold of $\Delta E = 10^{-7}$ Hartree per unit cell. Calculations were initialized with Mn ions in a ferromagnetic alignment. Full geometry optimizations of lattice parameters and atomic positions, in the absence of any symmetry constraints, were performed using the default convergence criteria in CRYSTAL17.

Cluster expansion

A cluster expansion model of Li₀Mn_{0.8}O₂ was trained using ICET code⁶³. The cluster expansion implemented a binary Mn vacancy basis, using the eight-atom primitive cell of an O2-layered LiTMO₂ structure. The cluster expansion was fitted with energies and structures from 632 hybrid DFT calculations in cells containing either 80 or 160 sites (including cation vacancies). The training data included compositions along the O_2 -MnO tie line to ensure that the local phase-segregation behaviour of Mn_{0.8}O₂ was accurately captured. Relaxed structures from the hybrid DFT calculations were mapped back onto the primitive unit cell lattice using the mapping tools in the ICET code. As discussed in Supplementary Note 1, oxygen atoms were mapped first to their nearest lattice sites. Cations were mapped subsequently, similar to a strategy reported previously for structures that exhibit large relaxations⁶⁴. The fit consisted of pair interactions up to 7.5 Å, triplet interactions up to 4.5 Å and quadruplet interactions up to 4.5 Å with the sum truncated at this point. The effective cluster interactions (ECIs) were obtained using the automatic relevance determination regression algorithm (ARDR), with a recursive feature elimination (RFE) approach, in which minimally contributing parameters are removed recursively and a cross-validation score calculated, repeated until the cross-validation score no longer improves. The training set structures were generated in three ways. An initial set was generated at random, based upon enumerating Li-Mn vacancy orderings within $(1 \times 1 \times 1)$ and $(1 \times 2 \times 1)$ expansions of the conventional unit cell of the delithiated ribbon-structured material (Supplementary Fig. 1b). A second set of 'targeted' structures containing O-O configurations were generated, which were established to be low energy (Supplementary Note 1 and Supplementary Figs. 4–6). Using this set of random and targeted structures, an initial, low-accuracy cluster expansion was trained. The parameterized cluster expansion Hamiltonian was then used to run lattice Monte Carlo annealing simulations in $(1 \times 1 \times 1)$ and $(1 \times 2 \times 1)$ expansions of the conventional cell of $Li_xMn_{0.8}O_2$, with compositions of Li_0Mn_xO ($0 \le x \le 1$), to generate additional structures that were relaxed and added to re-train the cluster expansion. This procedure of training, running MC, sampling new structures and re-training was performed several times to improve the quality of the fit. The final, fully-trained cluster expansion with the ARDR + RFE approach was fit with 60 non-zero ECIs and a k-fold cross-validation root-mean-squared error of 184 meV per primitive cell (equivalent to 23 meV per atom). Details of the fit are shown in Supplementary Figs. 8 and 9.

Lattice Monte Carlo

Lattice Monte Carlo simulations were performed using the 'mchammer' module within ICET. Using the parameterized cluster expansion, the internal energy of $\rm Li_0Mn_{0.8}O_2$ was calculated as a function of

temperature from a set of canonical ensemble Monte Carlo simulations using the Metropolis-Hastings algorithm. The simulations were run in the canonical annealing mode to obtain low-energy structures. Simulations started at a temperature of 300 K and reached a temperature of O K. In our workflow, we used Monte Carlo for two purposes, Firstly, as described above, to re-train the cluster expansion, we generated new structures from Monte Carlo annealing in $(1 \times 1 \times 1)$ and $(1 \times 2 \times 1)$ expansions of the conventional crystallographic unit cell of Li_xMn_{0.8}O₂. These simulations were run for 250,000 steps. Secondly, for the production annealing simulations (Fig. 3) we used a $(5 \times 15 \times 8)$ supercell expansion of the conventional unit cell, which contained 48,000 sites, where sites are Mn, O and X (where X is a cation vacancy). These simulations were run for 1,000,000 steps. We checked for size consistency by conducting equivalent Monte Carlo runs in supercells with $(2 \times 6 \times 3)$ and $(3 \times 9 \times 5)$ expansions of the conventional unit cell of Li_xMn_{0.8}O₂, containing 2,880 and 10,800 sites, respectively, and evaluating the O environments and percolating properties of the O₂ network.

Data availability

A complete dataset for the computational modelling and analysis described in this paper is available at *Zenodo* (https://doi.org/10.5281/zenodo.11068469) (ref. 65) and will be available from the University of Bath Research Data Archive. This dataset contains inputs and outputs for all DFT calculations plus scripts for analysis of the DFT data and for plotting Figs. 2–4. A subsidiary dataset containing only the figure-plotting scripts and relevant input data is available on GitHub (https://github.com/kitmccoll/data-phase segregation_nanoconfined_fluid_O2).

Code availability

Analysis of the results used the ASE⁶⁶ and Pymatgen⁶⁷ Python libraries, as well as NumPy⁶⁸, SciPy⁶⁹ and Matplotlib⁷⁰. The prevalence of different polyhedral in the final structure from the Monte Carlo simulations was analysed using the polyhedral_analysis code⁷¹. Diffusion was analysed using the kinisi code^{72,73}. Structures were visualized using VESTA⁷⁴.

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Author contributions

K.M. conceptualized and initiated the study. K.M., S.W.C. and P.Z.-P. conducted the DFT and AIMD calculations. K.M. analysed the data. K.M., B.J.M. and M.S.I. wrote the paper, with input from all authors. B.J.M. and M.S.I. supervised the project.

Competing interests

There are no competing interests to declare.

Additional information

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