

## ARTICLE OPEN

Nanoscale visualization and spectral fingerprints of the charge order in  $\text{ScV}_6\text{Sn}_6$  distinct from other kagome metals

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Charge density waves (CDWs) in kagome metals have been tied to many exotic phenomena. Here, using spectroscopic-imaging scanning tunneling microscopy and angle-resolved photoemission spectroscopy, we study the charge order in kagome metal  $\text{ScV}_6\text{Sn}_6$ . The similarity of electronic band structures of  $\text{ScV}_6\text{Sn}_6$  and  $\text{TbV}_6\text{Sn}_6$  (where charge ordering is absent) suggests that charge ordering in  $\text{ScV}_6\text{Sn}_6$  is unlikely to be primarily driven by Fermi surface nesting of the Van Hove singularities. In contrast to the CDW state of cousin kagome metals, we find no evidence supporting rotation symmetry breaking. Differential conductance  $dI/dV$  spectra show a partial gap  $\Delta^1_{\text{CO}} \approx 20$  meV at the Fermi level. Interestingly,  $dI/dV$  maps reveal that charge modulations exhibit an abrupt phase shift as a function of energy at energy much higher than  $\Delta^1_{\text{CO}}$ , which we attribute to another spectral gap. Our experiments reveal a distinctive nature of the charge order in  $\text{ScV}_6\text{Sn}_6$  with fundamental differences compared to other kagome metals.

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## INTRODUCTION

The kagome lattice, a pattern of tessellated hexagons connected by small corner-sharing triangles, emerged as a versatile platform for exploring a variety of novel quantum phases of matter. Due to the geometric frustration intrinsic to the kagome lattice, layered kagome materials are characterized by a characteristic electronic band structure consisting of Dirac fermions, flat bands and Van Hove singularities (VHSs)<sup>1–5</sup>. This prototypical band structure can be intertwined with a rich array of exotic electronic instabilities, which have been theoretically explored and experimentally realized in several families of kagome metals thus far. For example, Fe<sup>6–15</sup>, Mn<sup>16–21</sup> and Co<sup>22–25</sup> based kagome magnets yielded the realization of topological flat bands<sup>11,23,26</sup>, Dirac and Weyl fermions<sup>6,9,12,22,24,27</sup> and Fermi arcs<sup>22,24</sup>; a non-magnetic V-based  $\text{AV}_3\text{Sb}_5$  ( $A = \text{Cs}, \text{K}, \text{Rb}$ ) kagome metal family<sup>28–30</sup> on the other hand attracted a large interest<sup>31–41</sup> due to the emergence of superconductivity and various symmetry-breaking states including charge density waves potentially in connection to loop current orders<sup>42</sup>.

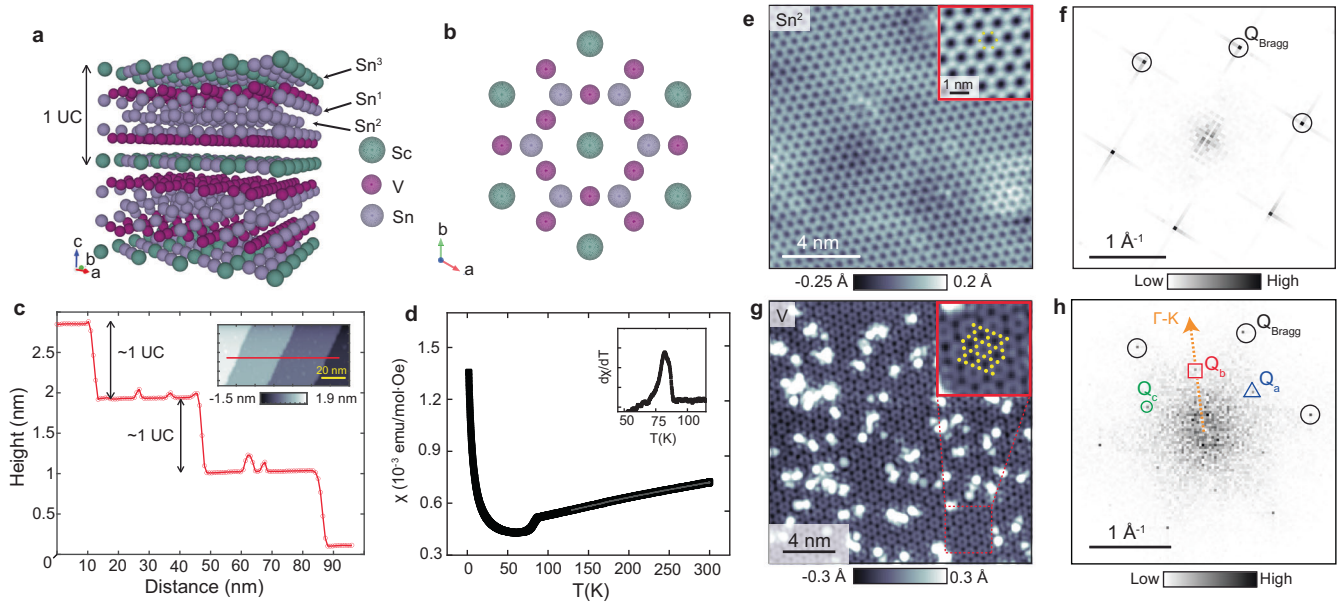
The recently discovered bilayer kagome metals in the  $\text{RV}_6\text{Sn}_6$  structure (166 family), where  $R$  stands for a rare earth ion, offer a new tunable platform to investigate Fermi surface instabilities of the kagome lattice<sup>43–48</sup>. Similarly to that in  $\text{AV}_3\text{Sb}_5$ , vanadium atoms that comprise the kagome layers in  $\text{RV}_6\text{Sn}_6$  remain non-magnetic<sup>44–46,49</sup>, but magnetism can still be selectively tuned by the choice of the rare earth element  $R$ <sup>49–51</sup>. Out of the wide array of kagome metals in the  $\text{RV}_6\text{Sn}_6$  structure,  $\text{ScV}_6\text{Sn}_6$  presents a unique platform where a charge density wave (CDW) state was

reported below  $T_{\text{CDW}} \approx 92$  K<sup>46</sup>. In contrast to the CDW ordering wave vector in the  $\text{AV}_3\text{Sb}_5$  family that connects the M points, which satisfies the nesting condition between VHSs at M and corresponds to the natural breathing modes of the kagome lattice, the ordering wave vector of the charge order (CO) in  $\text{ScV}_6\text{Sn}_6$  connects the K points, time-reversal invariant points where the Dirac nodes are formed. Similar to the bulk CDW phase in  $\text{AV}_3\text{Sb}_5$ , the CO phase in  $\text{ScV}_6\text{Sn}_6$  is also three-dimensional but now with a different wave vector  $\mathbf{Q}^* = (1/3, 1/3, 1/3)$  and rotated 30 degrees with respect to the hexagonal lattice directions. Recent studies further report time-reversal symmetry breaking from muon spectroscopy experiments<sup>52</sup> and anomalous Hall effect from transport measurements<sup>53</sup>, both concomitant with the onset of the CO phase despite the absence of spin magnetism. Understanding the formation of the CO in  $\text{ScV}_6\text{Sn}_6$  and how it compares to the more intensely investigated CDW in  $\text{AV}_3\text{Sb}_5$  has been of high interest, but very little is known about its origin and associated spectroscopic fingerprint.

Here we use a combination of scanning tunneling microscopy/spectroscopy (STM/S) and angle-resolved photoemission spectroscopy (ARPES) to investigate the electronic structure and the CO formation in  $\text{ScV}_6\text{Sn}_6$ . STM topographs reveal a  $\sqrt{3} \times \sqrt{3}$   $R30^\circ$  electronic superstructure, which corresponds to the in-plane component of the bulk CO wavevector identified in diffraction measurements. In contrast to the  $C_2$ -symmetric CDW state in  $\text{AV}_3\text{Sb}_5$ , we discover that the electronic structure of the CO state of  $\text{ScV}_6\text{Sn}_6$  does not show the same unidirectionality. Our low-temperatures ARPES measurements reveal that the electronic

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**Fig. 1** Crystal structure, magnetization and topographic characterization of  $\text{ScV}_6\text{Sn}_6$ . **a** The schematic of the bulk crystal structure of  $\text{ScV}_6\text{Sn}_6$ , and **(b)**  $ab$ -plane surface atomic structure. **c** Topographic linecut showing single steps between consecutive  $\text{Sn}^2$  terraces taken along the red line in the inset. Inset in **c** shows an STM topograph spanning several consecutive steps. **d** Magnetization measurements (zero-field cooled, then taken at 1 T applied in the  $ab$ -plane warming up) showing a kink at  $T^*$  associated with the bulk transition. STM topographs of **(e)**  $\text{Sn}^2$  termination and **(g)** kagome termination, and **(f, h)** associated Fourier transforms. Atomic Bragg peaks are circled in black; CO peaks are enclosed in green circles, red squares and blue triangles. STM setup conditions: **(c)**  $I_{\text{set}} = 10$  pA,  $V_{\text{sample}} = 1$  V; **(e)**  $I_{\text{set}} = 400$  pA,  $V_{\text{sample}} = 20$  mV; **(g)**  $I_{\text{set}} = 200$  pA,  $V_{\text{sample}} = 200$  mV. Scale bars correspond to: **(e, g)** 4 nm; **(f, h)**  $1 \text{ \AA}^{-1}$ .

structure of  $\text{ScV}_6\text{Sn}_6$  (with the CO) shares much in common with that of  $\text{TbV}_6\text{Sn}_6$  (without the CO), thus making the purely Fermi-surface-nesting-driven mechanism of the CO unlikely. We uncover the temperature evolution of the electronic bands in the vicinity of the K-point, which is consistent with the modulation of the surface bands in first-principle calculations as a consequence of the CO. Differential conductance maps reveal that CO modulations exhibit a spatial phase shift, a so-called contrast inversion, away from zero energy. In other materials, contrast inversion has been associated with underlying spectral gaps. Here we find that the energy scale of the contrast inversion is incompatible with the dominant spectral gap from DFT calculations or the small gap at the Fermi level in our  $dI/dV$  spectra. Given that mounting theoretical evidence suggests the importance of a Dirac node near Fermi level in the formation of the  $\sqrt{3}\times\sqrt{3}$  CO, we hypothesize that the contrast inversion energy scale is potentially related to a CO gap on the Dirac cone at K, which could point to a fundamentally different CO mechanism in  $\text{ScV}_6\text{Sn}_6$  compared to other kagome metals.

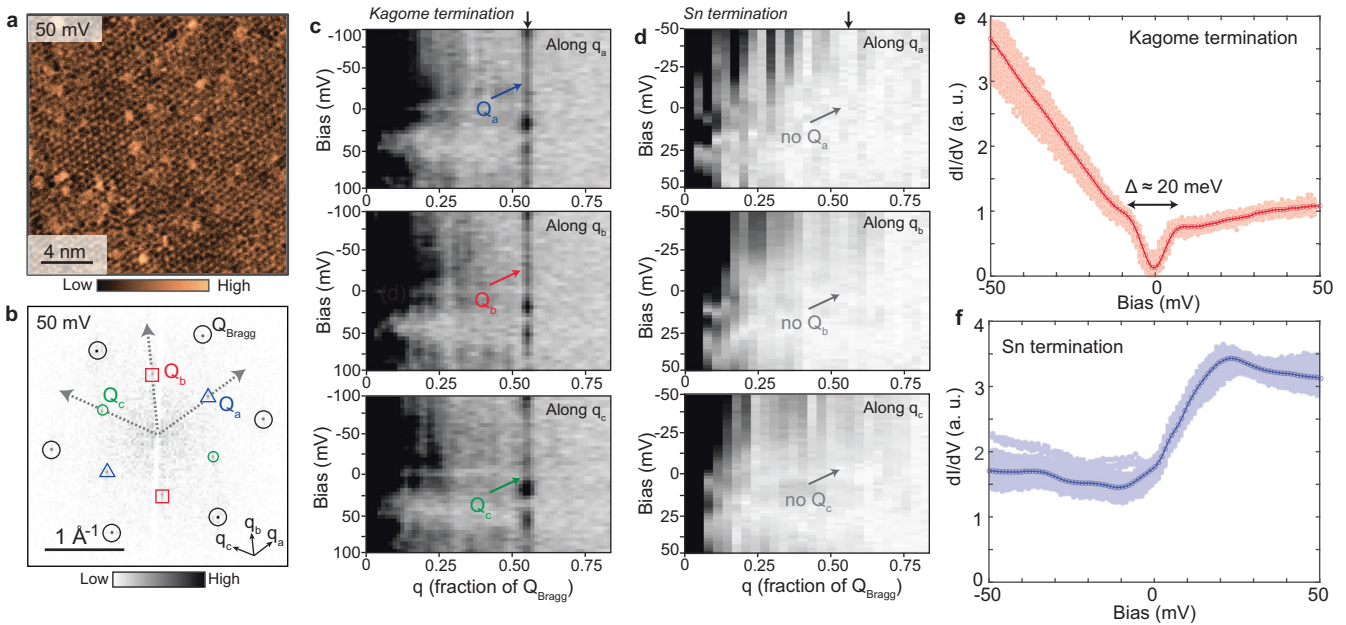
## RESULTS

### Microscopic visualization of the CO structure

Crystal structure of  $\text{ScV}_6\text{Sn}_6$  is composed of layers of V atoms arranged on a kagome network, each stacked between a  $\text{ScSn}^3$  layer and a  $\text{Sn}^1$ - $\text{Sn}^2$ - $\text{Sn}^1$  trilayer (Fig. 1a, b). We study bulk single crystals of  $\text{ScV}_6\text{Sn}_6$  grown by a flux-based method (Methods), which exhibit the characteristic kink in the magnetization measurements associated with the onset of the CO (Fig. 1d). We cleave the bulk single crystals in ultra-high vacuum to expose a pristine surface before measurements (Methods). Related materials in the same crystal structure tend to cleave along the  $c$ -axis to reveal  $ab$ -plane surface terminations<sup>19,20,27,43,45,47</sup>. This is consistent with the observed surface structure of UHV-cleaved  $\text{ScV}_6\text{Sn}_6$  – STM topographs exhibit a hexagonal lattice (Fig. 1e–h) and the occasionally observed steps are an integer number of  $c$ -axis unit

cell heights (Fig. 1c, Supplementary Fig. 7). STM topographs show two types of surface morphologies, both with a hexagonal lattice (Fig. 1e, g, Supplementary Fig. 1, Supplementary Fig. 2). We identify the termination in Fig. 1e as the  $\text{Sn}^2$  termination due to the clearly resolved individual atoms forming a hexagonal lattice (top inset in Fig. 1e) similar to the  $\text{Sn}^2$  surface imaged in  $\text{YMn}_6\text{Sn}_6$ <sup>20</sup>. The surface termination in Fig. 1g is likely the kagome layer, as we deem the other two possibilities,  $\text{Sn}^1$  and  $\text{ScSn}^3$ , to be unlikely: the  $\text{Sn}^1$  termination in  $\text{YMn}_6\text{Sn}_6$  showed a triangular atomic structure<sup>20</sup> and the surface equivalent to  $\text{ScSn}^3$  termination in  $\text{TbMn}_6\text{Sn}_6$  appears to have a tendency to reconstruct into stripe-like features as reported in ref. 27.

In addition to the atomic Bragg peaks  $\mathbf{Q}_{\text{Bragg}}^i$  ( $i = a, b$  or  $c$ ), Fourier transforms (FTs) of STM topographs of the V kagome termination show six additional superlattice peaks (Fig. 1h). These FT peaks are positioned exactly along each  $\Gamma$ -K direction at  $\mathbf{Q}^* = (\mathbf{Q}_{\text{Bragg}}^i + \mathbf{Q}_{\text{Bragg}}^j)/3$  ( $i, j = a, b$  or  $c$ ) and are symmetric with respect to the center of the FT. They correspond to the staggered intensity modulations seen in real-space in STM topographs (inset in Fig. 1g). To investigate the origin of these modulations, we examine the energy dependence of the associated wave vectors in the FTs of differential conductance  $dI/dV(\mathbf{r}, V)$  maps (Fig. 2a–d) and associated  $dI/dV$  spectra (Fig. 2e, f). On the kagome termination, the FT peaks are discernible in a wide range of energies  $eV$  and the peak positions in momentum-transfer space are independent of the bias  $V$  (Fig. 2c). Moreover, the peak position is exactly consistent with the in-plane component of the newly discovered CO phase detected in diffraction experiments<sup>46</sup>. Therefore, the charge modulations observed in the STM topograph in Fig. 1g represent a microscopic visualization of the bulk CO state at the surface of this system. To confirm the three-dimensional nature of the CO, we study the charge modulations across a step edge, where we observe a phase shift of modulation peaks between the two adjacent terraces consistent with the expected bulk CO structure<sup>46</sup> (Supplementary Fig. 7, Supplementary Note 2). Differential conductance  $dI/dV$  spectra on the kagome surface



**Fig. 2** Termination-dependent scanning tunneling microscopy and spectroscopy of the CO state. **a** Differential conductance  $dI/dV(\mathbf{r}, V=50\text{ mV})$  map acquired over the kagome termination, and **(b)** associated Fourier transform (FT) maps of the kagome termination, starting at the FT center along the three  $\Gamma$ -K directions (labeled as  $q_a$ ,  $q_b$  and  $q_c$  in **b**). Non-dispersive CO peaks are observed along all three directions. **d** Energy-dependent FT linecuts of  $dI/dV(\mathbf{r}, V)$  maps of the  $\text{Sn}^2$  termination, starting at the FT center along the three  $\Gamma$ -K directions. No additional peaks are observed at the same momentum-transfer position where the CO peaks are seen on the kagome termination. Small vertical arrows above the top panel in **(c, d)** denote the position where the CO peak is expected. Average  $dI/dV$  spectra (solid line and circle symbols) over **(e)** the kagome and **(f)**  $\text{Sn}^2$  termination. Diffuse orange (blue) background shows representative  $dI/dV$  spectra taken over several linecuts in the same field-of-view on kagome ( $\text{Sn}^2$ ) surface. STM setup conditions: **(a)**  $I_{\text{set}} = 50\text{ pA}$ ,  $V_{\text{sample}} = 50\text{ mV}$ ,  $V_{\text{exc}} = 4\text{ mV}$ ; **(c)**  $I_{\text{set}} = 30\text{ pA}$ ,  $V_{\text{sample}} = -100\text{ mV}$ ,  $V_{\text{exc}} = 5\text{ mV}$ ; **(d)**  $I_{\text{set}} = 200\text{ pA}$ ,  $V_{\text{sample}} = 50\text{ mV}$ ,  $I_{\text{set}} = 2\text{ mV}$ ; **(e)**  $I_{\text{set}} = 30\text{ pA}$ ,  $V_{\text{sample}} = 50\text{ pA}$ ,  $V_{\text{exc}} = 3\text{ mV}$ ; **(f)**  $I_{\text{set}} = 200\text{ pA}$ ,  $V_{\text{sample}} = 50\text{ mV}$ ,  $V_{\text{exc}} = 1\text{ mV}$ . Scale bars correspond to: **(a)** 4 nm; **(b)**  $1\text{ \AA}^{-1}$ .

termination show a gap-like feature, with a partial suppression in the density-of-states of about 20 meV (Fig. 2e). As the CO is the only known ordered state in this system, we deem that the gap is likely related to the emergent CO. Interestingly, the observed gap is much smaller than the CDW gap observed in  $dI/dV$  spectra of  $\text{AV}_3\text{Sb}_5$  and  $\text{FeGe}$  of about 40–50 meV<sup>31,34,35,54</sup>, despite the comparable  $T_{\text{CDW}}$  temperatures in the two systems.

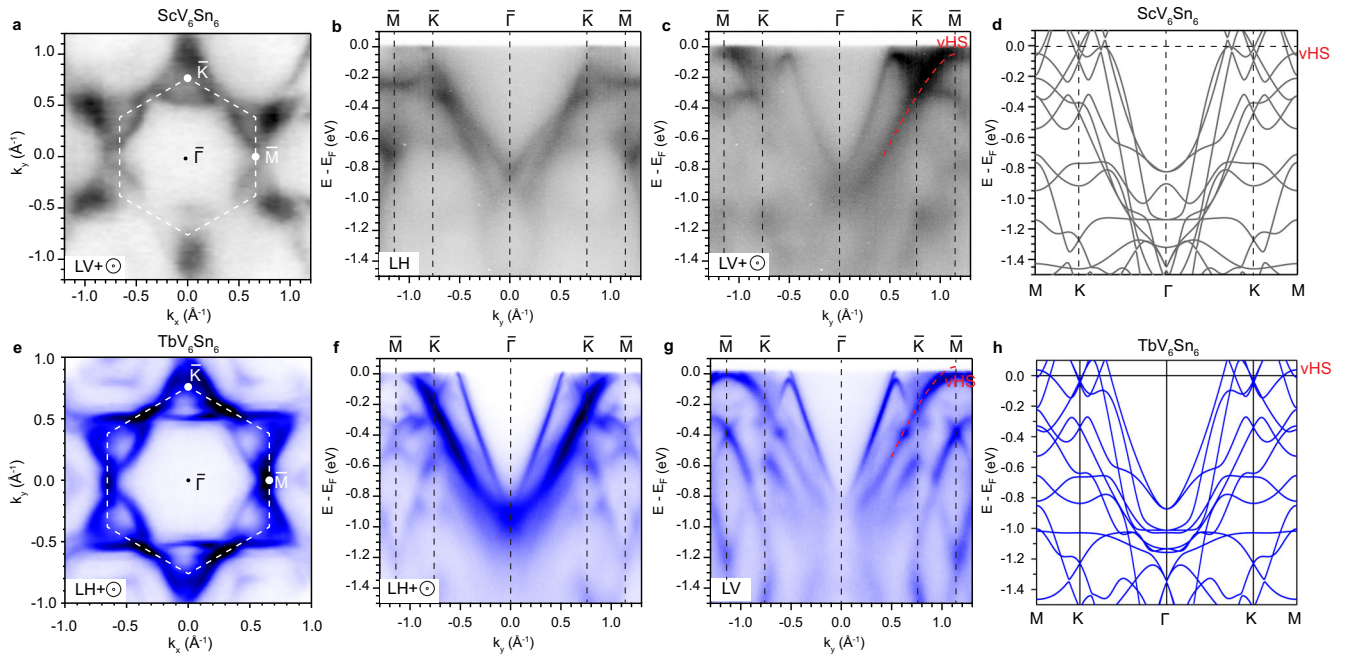
### Spectroscopic investigation of the nature of the CO

Having identified the real-space signatures of the CO in  $\text{ScV}_6\text{Sn}_6$ , we next turn to the momentum-space evidence. ARPES has been an extremely useful tool in determining the signature kagome bands<sup>14,40,55–58</sup>, the CDW gap modulation and the band reconstruction associated with CDW order<sup>57–59</sup> in  $\text{AV}_3\text{Sb}_5$  and  $\text{FeGe}$  where CDWs have been reported thus far. We first show the measured Fermi surface (FS) of  $\text{ScV}_6\text{Sn}_6$  obtained on the kagome termination (see Supplementary Note 1, Supplementary Fig. 3). The FS consists of segments connecting the M points of the Brillouin zone (BZ) (Fig. 3a), similar to that of other kagome metals such as  $\text{AV}_3\text{Sb}_5$ <sup>29,40,57,59</sup> and  $\text{FeGe}$ <sup>14,58</sup>. Figure 3b, c shows the dispersions measured along the high symmetry direction  $\Gamma$ -K-M, acquired using beams of linear horizontal (LH) and linear vertical (LV) polarizations, respectively. We observe a quadratic band centered at  $\Gamma$ , with the band bottom located at about 1 eV below the Fermi level,  $E_F$ . This band extends to the M point in the form of a VHS near  $E_F$  (Fig. 3c). This is consistent with the typical band structure associated with the kagome lattice, and also matches well with the band structure calculated by the density-functional theory (DFT) (Fig. 3d), suggesting weak electron-electron correlation effects. Since both  $\text{ScV}_6\text{Sn}_6$  and  $\text{TbV}_6\text{Sn}_6$  share the same V kagome layer, we proceed to compare the band structures of the two systems. Both the FS and band dispersions show a striking

similarity (Fig. 3a–c, Fig. 3e–g). In particular, the locations of the VHS at M are very close to  $E_F$  in both systems, with that in  $\text{ScV}_6\text{Sn}_6$  slightly below  $E_F$  and that in  $\text{TbV}_6\text{Sn}_6$  slightly above  $E_F$  (Fig. 3c, g). The small difference in VHS positions is also consistent with the DFT calculations (Fig. 3d, h).

To investigate the effect of the CO on the electronic structure of  $\text{ScV}_6\text{Sn}_6$ , we perform temperature-dependent measurements of dispersions along the  $\Gamma$ -K-M direction (one such plot acquired at 20 K shown in Fig. 4a) across the transition  $T^* \approx 92\text{ K}$ <sup>46</sup>. To reveal the band reconstruction, we present a series of normalized intensity plots, showing the subtracted difference between the cuts taken at selected temperatures and that taken at 120 K  $> T^*$  (Fig. 4b) (see Supplementary Fig. 4). The most substantial difference appears in the vicinity of the K points near  $E_F$ , seen as patches of blue on these color maps, and disappears above about 100 K (Fig. 4b). This difference can also be clearly seen by comparing the energy distribution curves (EDCs) taken at 20 K and 120 K in the region across the K point, where we discover a prominent spectral weight shift towards  $E_F$  on the side of the K point closer to the M point (upper half of Fig. 4c). The temperature evolution of the spectral weight change can also be seen in the collapsed stack of integrated EDCs in this momentum-space region (Fig. 4d). To quantitatively determine the temperature dependence of the spectral weight shift, we plot the peak area of this EDC integrated between  $-0.2\text{ V}$  and  $E_F$  (Fig. 4d, e). The peak area decreases as the temperature increases, with an abrupt change of the slope within a temperature window of  $\sim 15\text{ K}$  across  $T^*$  (Fig. 4e), consistent with the transition observed. The abrupt drop of the peak area is consistent with a first-order nature of the phase transition<sup>46</sup>.

To understand the origin of the band reconstruction near the K points, we perform DFT calculations for the CDW state. We first present the DFT calculations for the bulk bands along  $\Gamma$ -K-M



**Fig. 3 Comparison between band structure of  $\text{ScV}_6\text{Sn}_6$  and  $\text{TbV}_6\text{Sn}_6$ .** **a** Fermi surface map of  $\text{ScV}_6\text{Sn}_6$  overlaid with the 2D BZ. **b, c** Dispersions acquired along the high-symmetry direction  $\Gamma$ -K-M, with the polarization LH and LV +  $\odot$ , respectively. Here LH and LV are defined as the in-plane component along the horizontal and vertical directions of the image. When there is an out-of-plane contribution due to experimental setup, we denote it by + $\odot$ . The red dashed line serves as a guide to the eye for the band forming the VHS near  $E_F$ . **d** Band structure of  $\text{ScV}_6\text{Sn}_6$  in the pristine phase calculated by DFT. **e-h** Data (83 eV photon energy) and DFT calculations of  $\text{TbV}_6\text{Sn}_6$  corresponding to each panel of  $\text{ScV}_6\text{Sn}_6$  above.

(Fig. 4f). Note that the CDW band structure has been unfolded back into the pristine BZ for ease of comparison. Comparing the pristine and CDW calculations, we observe little difference near the K point. This can be understood as the three-dimensional CDW wavevector  $(1/3, 1/3, 1/3)$  corresponds to a reduction of the pristine BZ to a smaller one, where the pristine K and  $K'_{1/3}$  (the point at  $1/3$  along  $K'$ -H') points are now equivalent in the reconstructed BZs (Fig. 4h). However, DFT calculations for bulk states predict no states in the vicinity of H point near  $E_F$  in the pristine phase (Supplementary Fig. 5, Methods), which would lead to negligible folding between K and  $K'_{1/3}$  points. Hence, the band reconstruction we observe near the K point is unlikely to be directly due to bulk band folding. However, when the surface states are subject to the CDW folding potential, they would fulfill the in-plane folding condition  $\mathbf{Q}_{\text{in-plane}}^* = (\mathbf{Q}_{\text{Bragg}}^a + \mathbf{Q}_{\text{Bragg}}^b)/3$ , folding states between the  $K'$  and K points. We carried out DFT calculations for the surface states on the kagome termination under the bulk CDW wavevector  $(1/3, 1/3, 1/3)$ . Indeed, folded bands and gap openings appear near the K point (Fig. 4g). Specifically, immediately below  $E_F$  at K, a band crossing opens a gap and the upper branch is pushed towards  $E_F$  (blue arrow in Fig. 4g). This is consistent with the spectral weight shift towards  $E_F$  we observe at the K point (Fig. 4i).

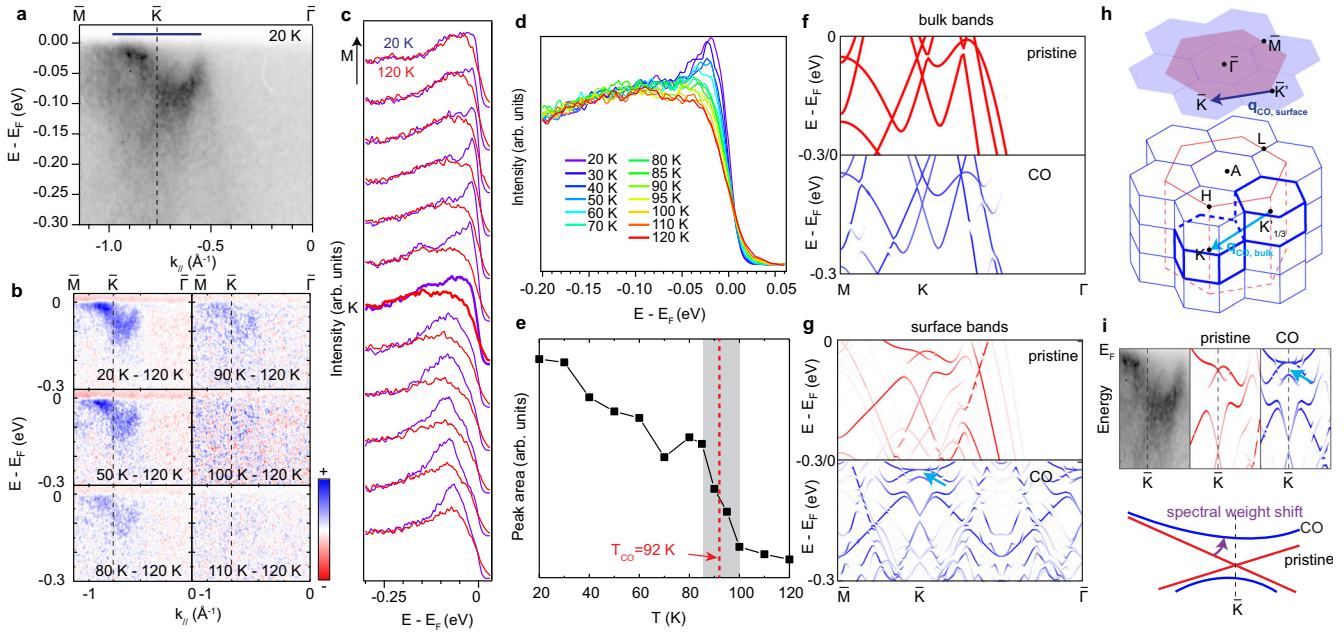
### Absence of rotational symmetry breaking in the CO state of $\text{ScV}_6\text{Sn}_6$

To fully understand any electronic phase in a material, it is crucial to determine which symmetries are broken and which are preserved. In the CDW phase of  $\text{AV}_3\text{Sb}_5$ , an array of experimental techniques observed signatures of in-plane rotational symmetry breaking, which first onsets at the  $T_{\text{CDW}}$  temperature<sup>37,60,61</sup> and reduces the in-plane rotational symmetry from six-fold to twofold<sup>31,32,35,37,60–62</sup>. We proceed to explore whether the rotational symmetry of the kagome bilayer in  $\text{ScV}_6\text{Sn}_6$  is also broken. For pedagogical

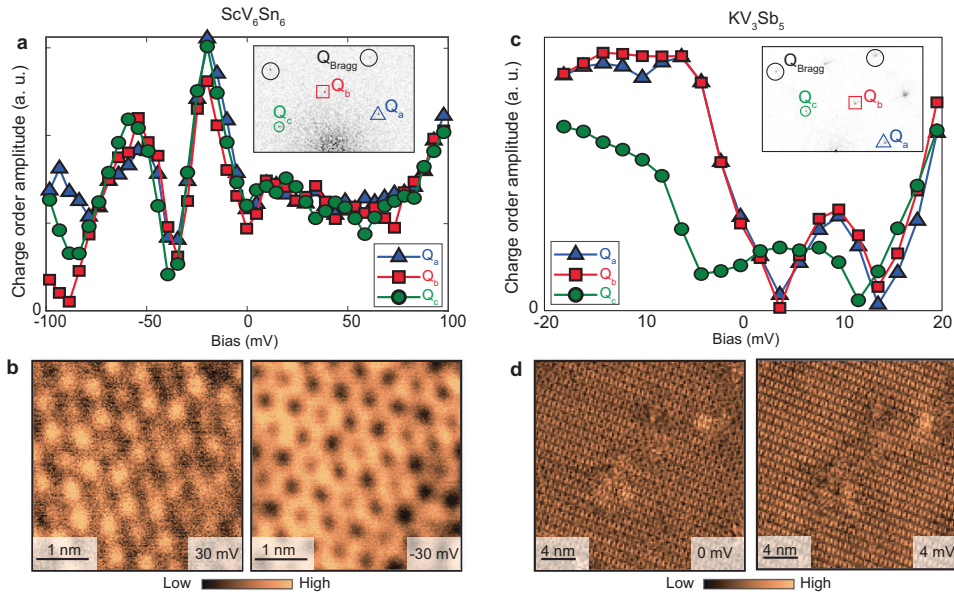
purposes, we first discuss the experiments on  $\text{KV}_3\text{Sb}_5$ . Similarly to the analysis done in refs. <sup>35,60,62</sup>, we compare the CDW amplitudes along the three inequivalent directions as a function of energy (Fig. 5c). A noticeable difference in the CDW amplitude dispersions is clearly observed between different peaks, with one direction being markedly different from the other two that are nearly the same. This FT peak anisotropy is also reflected in the unidirectionality of the pattern in  $dI/dV(\mathbf{r},V)$  maps (Fig. 5d). In  $\text{ScV}_6\text{Sn}_6$  however, we find that the shape of the CO amplitude dispersions versus energy along the three directions is nearly identical (Fig. 5a). We note that tiny differences between the three curves reflect the measurement and analysis uncertainty, as well as the inevitable small STM tip anisotropy. The approximately rotationally symmetric CO signature can also be seen in representative  $dI/dV(\mathbf{r},V)$  maps (Fig. 5b). This conclusion is further reinforced by equivalent analysis conducted in a separate region with a microscopically different tip, which yielded consistent results (Supplementary Fig. 11). These measurements suggest the absence of rotation symmetry reduction from  $C_6$  to  $C_2$  associated with the CO in  $\text{ScV}_6\text{Sn}_6$ .

### Unusual charge modulation contrast reversal away from Fermi level

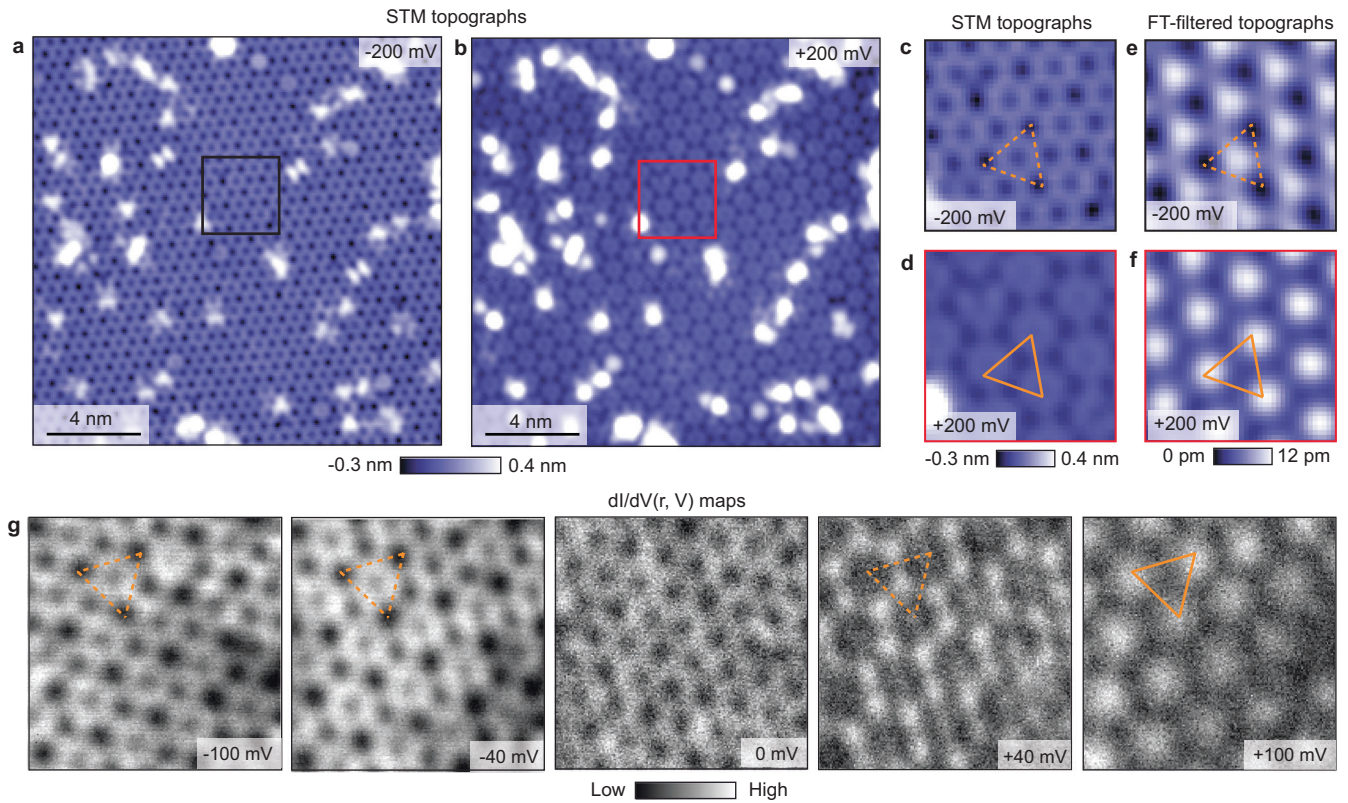
In the classical Peierls scenario, the CDW-induced spectral gap opening at the Fermi level is accompanied by charge modulations that are out-of-phase in occupied and unoccupied electronic states. This contrast inversion can be seen in STM data – locations of charge accumulation below Fermi level will correspond to charge depletion above Fermi level, and vice versa. It is considered one of the hallmarks of the CDW state seen in many materials<sup>63,64</sup>, including the cousin CDW kagome systems  $\text{AV}_3\text{Sb}_5$ <sup>31</sup>. To test this, we examine and compare STM topographs, which contain information on integrated density-of-states from Fermi level to the energy corresponding to the imaging bias, acquired over identical regions of the sample but at different polarities of bias. We find that STM



**Fig. 4 Temperature-dependent band reconstruction induced by the CO.** **a**  $\Gamma$ -K-M cut in the vicinity of  $E_F$  taken at 20 K. **b** Subtraction between cuts measured at selected temperatures and that at 120 K, with the energy and momentum range same as **(a)**. The intensity is such that white represents zero, indicating no change. **c** EDC comparison taken at 20 K and 120 K in the region denoted by the dark blue bar in **(a)**. **d** Stacked EDCs as a function of temperature acquired in the region between K and M. **e** Integrated peak area of each curve in **(d)** between  $-0.2$  eV and  $E_F$ , plotted as a function of temperature. Grey shade covers the temperature range where an abrupt drop of peak area occurs. The red dashed line indicates  $T^*$ . **f** Bulk band structure calculated by DFT, in the pristine phase (upper) and the CO phase (lower). **g** Surface bands in the pristine and the CO phase calculated by DFT. The blue arrow points to the gap opening (see text). **h** Schematic of the surface and bulk BZs. Dark blue and light blue arrows correspond to  $\mathbf{q}_{\text{CO,surface}}$  and  $\mathbf{q}_{\text{CO,bulk}}$ , respectively. **i** Direct comparison of ARPES data, pristine surface bands and the surface bands in the CO state, and the schematic showing the origin of the spectral weight shift.



**Fig. 5 Scanning tunneling microscopy and spectroscopy investigation of rotation symmetry breaking in  $\text{ScV}_6\text{Sn}_6$ , and the comparison to  $\text{KV}_3\text{Sb}_5$ .** **a** Energy-dependent amplitudes of the three inequivalent CDW peaks (marked in the example Fourier transform in the inset) in  $\text{ScV}_6\text{Sn}_6$ . The overall shape of all three dispersion curves is nearly identical and largely overlaps one another. **b** Zoom-in on representative high-resolution  $dI/dV(\mathbf{r}, V)$  maps showing the approximately rotationally symmetric real-space signature. **c** Energy-dependent amplitudes of the three inequivalent CDW peaks (marked in the example Fourier transform in the inset) in cousin kagome metal  $\text{KV}_3\text{Sb}_5$ , the dispersion of  $Q_c$  amplitude is noticeably different than the other two,  $Q_a$  and  $Q_b$ , that are nearly indistinguishable. **d** Representative  $dI/dV(\mathbf{r}, V)$  maps showing a unidirectional real-space signature that breaks the six-fold symmetry. STM setup conditions: **(a)**  $I_{\text{set}} = 30$  pA,  $V_{\text{sample}} = -100$  mV,  $V_{\text{exc}} = 5$  mV; **(b)**  $I_{\text{set}} = 100$  pA,  $V_{\text{sample}} = 100$  mV,  $V_{\text{exc}} = 2$  mV; **(c, d)**  $I_{\text{set}} = 150$  pA,  $V_{\text{sample}} = 10$  mV,  $V_{\text{exc}} = 1$  mV. Scale bars correspond to: **(b)** 1 nm; **(d)** 4 nm.



**Fig. 6 Charge modulation contrast reversal in  $\text{ScV}_6\text{Sn}_6$  away from Fermi level.** **a, b** STM topographs acquired at opposite bias polarities, drift-corrected and aligned to be over the exact same area with atomic-registry. Small black and red squares in **(a, b)** mark an identical region of the sample in the two topographs. **c, d** Zoom-in over the two small squares in **(a, b)**, and **(e, f)** Fourier-filtered (FT-filtered) images that only contain the CO Fourier peaks. Triangles in **(c, f)** mark the same location of the sample connecting three dark checkers (dashed line) or three bright checkers (solid line). **g** Differential conductance  $dI/dV(r, V)$  maps at different bias showing contrast reversal between +40 mV and +100 mV bias. Importantly there is no contrast reversal between -40 mV and +40 mV maps. STM setup conditions: **(a, c)**  $I_{\text{set}} = 50$  pA,  $V_{\text{sample}} = -200$  mV; **(b, d)**  $I_{\text{set}} = 100$  pA,  $V_{\text{sample}} = 200$  mV; **(g)**  $I_{\text{set}} = 100$  pA,  $V_{\text{sample}} = 100$  mV,  $V_{\text{exc}} = 2$  mV. Scale bars correspond to: **(a, b)** 4 nm.

topographs at +200 mV and -200 mV indeed show the charge modulation contrast reversal (Fig. 6a–d). This is especially apparent in the Fourier-filtered zoomed-in regions, aligned with atomic-registry using the process of drift-correction, where we can see that high-conductance features at one bias polarity correspond to low-conductance features at the opposite bias (Fig. 6e, f).

Interestingly however, STM topographs at lower bias show a striking asymmetry in the CO signal. In particular, while the topograph at -100 mV still shows visible CO modulations, the CO in the +100 mV topograph becomes difficult to discern (Supplementary Fig. 8). This bias dependence is highly unusual. To gain further insight into this behavior, we turn to differential conductance  $dI/dV(r, V)$  maps in this bias range (Fig. 6g). The maps acquired at negative bias all show the same phase of CO (Fig. 6g). The trend continues for small positive bias, but flips around 60–80 mV (Fig. 6g, Supplementary Fig. 9). Such contrast inversion away from the Fermi level is unexpected given the small spectral gap detected at the Fermi level. The absence of contrast inversion at the Fermi level is also confirmed in STM topographs obtained at  $\pm 20$  mV (Supplementary Fig. 10). This is in striking contrast to the CO contrast inversion in  $\text{AV}_3\text{Sb}_5$  reported to occur at low bias near the Fermi level<sup>31</sup>, and in general, suggests an unusual nature of the CO in  $\text{ScV}_6\text{Sn}_6$ .

## DISCUSSION

Our experiments provide the microscopic visualization of the CO phase in  $\text{ScV}_6\text{Sn}_6$  and associated spectroscopic fingerprints, and

have several important implications. First, they enable a comparison of the role of VHSs in the CO formation between different families of kagome metals. The VHSs have now been observed at the M points of the BZ near  $E_F$  in both  $\text{ScV}_6\text{Sn}_6$  and  $\text{TbV}_6\text{Sn}_6$ , as well as  $\text{AV}_3\text{Sb}_5$  and FeGe. In  $\text{AV}_3\text{Sb}_5$  and FeGe, it is natural to relate the  $2 \times 2$  CDW order to the FS nesting as the wavevector in principle fulfills the nesting condition. However, in the present case of  $\text{ScV}_6\text{Sn}_6$ , while the VHSs remain close to  $E_F$  at the M point, the CO wave vector is no longer compatible with the same FS nesting wave vectors, which suggests a non-VHS-nesting origin of the CO in  $\text{ScV}_6\text{Sn}_6$ . This is further supported by the similarities in the fermiology of  $\text{ScV}_6\text{Sn}_6$  and  $\text{TbV}_6\text{Sn}_6$ , and the contrasting presence of the CO in the former and the absence in the latter.

Our experiments also reveal a surprising surface termination dependence of the three-dimensional bulk CO. While charge modulations are clearly observed on the kagome termination,  $\text{Sn}^2$  surface termination does not show the CO in either the topograph or  $dI/dV(r, V)$  maps near  $E_F$ . Correspondingly, the small spectral gap in  $dI/dV$  spectra on the kagome termination is absent on the Sn termination (Fig. 2e, f). Since DFT calculations<sup>46,65</sup> and X-ray diffraction<sup>46,66,67</sup> suggest that atoms in the two layers, V and  $\text{Sn}^2$ , are not expected to show substantial atomic displacements, our measurements suggest layer-dependent variation of the charge density. These observations should be relevant for other surface sensitive studies, which should take into account the unusual termination dependence of the bulk order in this system. For comparison, the  $2 \times 2$  CDW state in  $\text{AV}_3\text{Sb}_5$  as well as FeGe can be

detected in STM/S measurements of both surface terminations imaged<sup>31,32,34,35,54</sup>.

From the symmetry perspective, the CO in Sc-166 is also distinct from other kagome metals. In particular, while the CO in ScV<sub>6</sub>Sn<sub>6</sub> breaks the translational symmetry of the lattice, the rotational symmetry appears to be preserved. This is in contrast to AV<sub>3</sub>Sb<sub>5</sub>, where rotation symmetry breaking occurs concomitant with the CDW onset<sup>37,60,61</sup>. It is conceivable that rotation symmetry breaking in AV<sub>3</sub>Sb<sub>5</sub> is then related to the unusual orbital picture as recently evidenced in isostructural CsTi<sub>3</sub>Bi<sub>5</sub><sup>68,69</sup>.

Lastly, the CO contrast inversion observed at positive energies provides additional insights. In the classical Peierls scenario, the contrast inversion appears between the two sides of the spectral gap centered at the Fermi level. CO contrast inversions away from the zero energy are seldom reported, but in these rare cases, they have been attributed to hidden spectral gaps away from the Fermi level that are difficult to resolve in  $dI/dV$  spectra<sup>70,71</sup>. In ScV<sub>6</sub>Sn<sub>6</sub>, DFT calculations predict several gaps in the CO state, with by far the largest one of more than 200 meV occurring primarily at negative energies along the L – A direction<sup>65</sup>. However, the energy position of the CO contrast inversion is incompatible with either the large DFT-predicted gap or the small gap at the Fermi energy detected in our data. This brings a natural question of the reason behind the unexpected contrast inversion at positive energy.

The BZ K point is of special significance in ScV<sub>6</sub>Sn<sub>6</sub>. First, the neighboring K points are connected exactly by the wave vector of the CO. Second, DFT calculations of the phonon spectra indicate the presence of phonon softening also at the K points<sup>65</sup>. Third, mounting theoretical work demonstrated the importance of the proximity of a Dirac point at K near zero energy in stabilizing the  $\sqrt{3}\times\sqrt{3}$  CDW order on a kagome lattice<sup>72–74</sup>. In particular, a recent Monte-Carlo study suggests that electron-phonon coupling and the Dirac node at K positioned near Fermi level are both necessary ingredients for the formation of the CO state<sup>74</sup>. ScV<sub>6</sub>Sn<sub>6</sub> indeed shows at least one Dirac point in the vicinity of the Fermi level<sup>65</sup> (Fig. 3). Given that other prominent band gaps in Sc-166 are incompatible with the CO contrast inversion observed, it is conceivable that the contrast inversion here is governed by the gapped Dirac dispersion at K. In this scenario, the combination of the favorable Dirac cone placement and phonon softening at K may play a key role in the CO formation, a mechanism possibly realized experimentally here in ScV<sub>6</sub>Sn<sub>6</sub>. Our experiments also demonstrate the existence of multiple spectral gaps, thus highlighting a generic, yet often overlooked feature of the charge-ordered systems. Taken all evidence together, our results reveal not only a distinctive nature of the CO in ScV<sub>6</sub>Sn<sub>6</sub> in comparison to other kagome metals, but also point to a paradigm shift of the CO realization driven by the presence of Dirac nodes at K and structural instabilities, as opposed to VHSs at M. This further provides a plausible guiding principle for searching for equivalent COs in other systems, rooted in similar characteristic electronic band structure and structural instabilities at K.

## METHODS

### Single crystal growth and characterization

Single crystals of ScV<sub>6</sub>Sn<sub>6</sub> were grown from Sc (pieces, 99.9%), V (pieces, 99.7%), and Sn (shots, 99.99%) via a flux-based growth technique. The flux mixture of Sc, V and Sn was loaded inside an alumina crucible with a molar ratio of 1:6:40 and then heated at 1125 °C for 12 h. Then, the mixture was cooled at a rate of 2 °C hr<sup>-1</sup> to 780 °C and centrifuged to separate the single crystals from the excess Sn-flux.

## STM experiments

Single crystals of ScV<sub>6</sub>Sn<sub>6</sub> were cleaved in ultrahigh vacuum and inserted into the STM head at 4.5 K. All STM measurements were taken at about 4.5 K, using home-made electrochemically etched tungsten tips annealed in UHV before STM experiments. STM data were acquired using a customized Unisoku USM1300 STM system. Spectroscopic measurements were made using a standard lock-in technique with 910-Hz frequency and bias excitation as noted in the figure captions.

## Angle-resolved photoemission spectroscopy (ARPES) experiments

ARPES measurements on ScV<sub>6</sub>Sn<sub>6</sub> were performed at the QMSC beamline of the Canadian Light Source, equipped with a R4000 electron analyzer, and TbV<sub>6</sub>Sn<sub>6</sub> at Stanford Synchrotron Radiation Lightsource (SSRL), Beamline 5-2, equipped with a DA30 electron analyzer. The single crystals were cleaved in-situ at 20 K and measured in ultra-high vacuum with a base pressure better than  $6 \times 10^{-11}$  Torr. Energy and angular resolution were set to be better than 20 meV and 0.1°, respectively (Supplementary Fig. 6).

## Density-functional theory (DFT) calculations

All calculations of ScV<sub>6</sub>Sn<sub>6</sub> are performed within the DFT implemented in the Vienna ab-initio Simulation Package (VASP)<sup>75</sup>. The generalized gradient approximation as parameterized by Perdew, Burke, and Ernzerhof<sup>76</sup> is employed for the exchange-correlation interaction between electrons. The energy cutoff for the plane wave basis set is 300 eV. A force convergence criterion of 1 meV Å<sup>-1</sup> is used in the structural relaxation. The bulk Brillouin zone of the pristine ScV<sub>6</sub>Sn<sub>6</sub> is sampled with a  $k$ -mesh of  $21 \times 21 \times 10$ . The surface states of both the pristine phase and the CDW phase are simulated with slabs of 8 kagome layers thick, and obtained by projecting the slab band structure onto the surface unit cell. Spin-orbital coupling is not considered for both the bulk band structure and in slab calculations.

The DFT calculations on TbV<sub>6</sub>Sn<sub>6</sub> are performed using a full-potential linear augmented plane wave (FP-LAPW) method, as implemented in WIEN2k<sup>77</sup>. Considering that Tb moments are disordered above 4 K and occupied Tb-4f states are far below the Fermi level, we treat 4 f electrons as non-magnetic core states in the open-core approach. The generalized gradient approximation of Perdew, Burke, and Ernzerhof<sup>76</sup> is used for the correlation and exchange potentials. To generate the self-consistent potential and charge, we employed  $RMT \times K_{max} = 8.0$  with muffin-tin (MT) radii  $RMT = 2.7, 2.4, \text{ and } 2.5$  a.u., for Tb, V, and Sn, respectively. The calculations are iterated until charge differences between consecutive iterations are smaller than  $10^{-3}$  e and the total energy differences are lower than 0.01 mRy with 264  $k$ -points in the irreducible Brillouin zone (IBZ). Experimental lattice parameters<sup>45</sup> are employed, and spin-orbit coupling is included using a second variational method.

## DATA AVAILABILITY

Original data can be downloaded at <https://doi.org/10.5281/zenodo.10459513> and it is also available upon request from the corresponding authors.

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## AUTHOR CONTRIBUTIONS

S.C. and H.L. carried out the STM experiments and analyzed the data under the guidance of I.Z. Z.R., J.S.O., Y.G., Y.Z. and Z.Y. carried out the ARPES experiments and analyzed the data with the help from S.G., M.Z., M.H. and D.L. under the guidance of M.Y. DFT calculations were performed by H.T., Y.L., L.K. and B.Y. Single crystals samples were synthesized by G.P. under the guidance of S.D.W., and J.M.D., E.R. under the guidance of J.-H.C. Z.W. provided theoretical input on the interpretation of the data. I.Z., Z.R. and M.Y. wrote the manuscript with input from all the authors. I.Z. and M.Y. supervised the project.

## COMPETING INTERESTS

The authors declare no competing interests.

## ADDITIONAL INFORMATION

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