# **ARTICLE** OPEN (Check for updates) Weak-coupling to strong-coupling quantum criticality crossover in a Kitaev quantum spin liquid $\alpha$ -RuCl<sub>3</sub>

Jae-Ho Han<sup>1,2,3,4,10</sup>, Seung-Hwan Do 1<sup>1,5,6,10</sup>, Kwang-Yong Choi 5<sup>5,7</sup>, Sang-Youn Park<sup>1</sup>, Jae-You Kim<sup>1,8</sup>, Sungdae Ji <sup>9</sup><sup>1</sup>, Ki-Seok Kim <sup>1,2 ×</sup> and Jae-Hoon Park <sup>1,2,8 ×</sup>

We report a quantum criticality crossover representing two different universal scaling behaviors in a Kitaev quantum magnetic material *a*-RuCl<sub>3</sub>. *a*-RuCl<sub>3</sub> presents both a symmetry-breaking antiferromagnetic order and a long-range entangled topological order of a quantum spin liquid, and thus could be a candidate system for a unique universality class involving deconfined fractionalized excitations of the local Z<sub>2</sub> fluxes and itinerant Majorana fermions. Theoretical analyses on the inelastic neutron scattering, ac-magnetic susceptibility, and specific heat results demonstrate that Wilson–Fisher-Yukawa-type 'conventional' weak-coupling quantum criticality in high-energy scales crosses over to heavy-fermion-type 'local' strong-coupling one in low-energy scales. Our findings provide deep insight on how quantum criticality evolves in fermion-boson coupled topological systems with different types of deconfined fermions.

npj Quantum Materials (2023)8:33; https://doi.org/10.1038/s41535-023-00563-w

# INTRODUCTION

Searching for a universality class near a quantum-critical point (QCP), a precarious point of quantum instability between two competing phases, is a fundamental paradigm with the emergence of exotic elementary excitations. Quantum criticality involving quantum instability<sup>1,2</sup> has been demonstrated in various quantum systems with two competing orders such as magnetic heavy-fermion materials<sup>3</sup>, high-T<sub>C</sub> superconductors<sup>4</sup>, one-dimensional Ising systems<sup>5</sup>, and Luttinger liquids<sup>6,7</sup>. Meanwhile, topological orders with emerging gauge fields develop ground states without symmetry breaking as observed in fractional quantum Hall liquids<sup>8,9</sup> and quantum spin liquids (QSLs)<sup>10,11</sup>, and the low-energy physics is described by deconfined fractionalized excitations. In particular, the topological QSL state is exactly derived by fractionalizing the spin excitations into localized Z<sub>2</sub> gauge fluxes and itinerant Majorana fermions (MFs) in a two-dimensional (2D) Kitaev honeycomb 1/2-spin network with Ising-like nearest-neighbor bond directional Kitaev exchange interactions<sup>12</sup>. Recently,  $\alpha$ -RuCl<sub>3</sub> has been found to host both the 2D Kitaev model with fractionalized excitations and a zigzag-type antiferromagnetic (AFM) order below  $T_{\rm N} \approx 6.5 \, {\rm K}^{13-16}$ .

The Ru<sup>3+</sup> ion in *a*-RuCl<sub>3</sub> has a  $J_{eff} = 1/2$  pseudospin<sup>17</sup> due to strong Ru 4*d* spin–orbit coupling, and the system becomes a spin–orbit-coupled Mott insulator<sup>15</sup>. The orbital state forms three orthogonal bonds in the honeycomb lattice to embody the Kitaev model in the edge-shared octahedral environments<sup>18</sup>. Indeed, magnetic susceptibility, specific heat, Raman spectroscopy, and neutron scattering measurements consistently demonstrate the characteristic behaviors of thermally fractionalized MFs above  $T_{N}$ , suggesting proximity to the Kitaev QSL phase competing with the AFM phase<sup>19–22</sup>. The quantum nature of fractionalized MFs also reveals in the thermal Hall measurements. Although are still debates with sample dependence<sup>23,24</sup> or quantum oscillation of transverse thermal

conductivity<sup>25</sup>, half-integer quantization of thermal Hall conductivity emerges as the magnetic field becomes large enough to suppress the AFM order<sup>26–28</sup>.

Meanwhile, the dynamic spin susceptibility, which agrees well with the pure Kitaev behavior in a high-energy scale (*T* or  $\omega \gtrsim 5$  meV), considerably deviates from the Kitaev behavior in a low-energy scale (*T* or  $\omega \lesssim 4$  meV) above  $T_N^{20}$ . Such proximity behaviors may indicate that the system is nearby a QCP between competing topological QSL and long-range-ordered AFM phases and possibly offers a universality class relevant to the topological order.

In this study, we report a quantum criticality crossover in  $\alpha$ -RuCl<sub>3</sub>, which represents two different universal scaling behaviors in the low and high-energy scales. We demonstrate experimentally as well as theoretically that the low-energy spin dynamics obtained from the inelastic neutron scattering (INS) follows heavy-fermion-type strong-coupling physics, although the high-energy one follows weak-coupling physics close to the pure Kitaev. We also ensured that the strong-coupling local quantum criticality at low energies emerges from the weakly coupled rather conventional Wilson–Fisher-Yukawa-type quantum criticality at high energies. The crossover behavior is also confirmed in the magnetic-specific heat  $C_{\rm rm}$ , which displays a peculiar plateau up to ~50 K above  $T_{\rm N}$  and then follows a *T*-linear increase to ~100 K, as well explained with the low-energy strong-coupling local critical physics and the high-energy weak-coupling itinerant Dirac fermion one.

# RESULTS

### **Physical picture**

We first discuss a physical picture with respect to a universality class and quantum criticality for critical spin fluctuations in a model system with two competing interactions of 2D Kitaev and

<sup>&</sup>lt;sup>1</sup>MPPHC-CPM, Max Planck POSTECH/Korea Research Initiative, Pohang 37673, Republic of Korea. <sup>2</sup>Department of Physics, Pohang University of Science and Technology, Pohang 37673, Republic of Korea. <sup>3</sup>Asia Pacific Center for Theoretical Physics (APCTP), Pohang 37673, Republic of Korea. <sup>4</sup>Center for Theoretical Physics of Complex Systems, Institute for Basic Science (IBS), Daejeon 34126, Republic of Korea. <sup>5</sup>Department of Physics, Chung-Ang University, Seoul 06974, Republic of Korea. <sup>6</sup>Materials Science and Technology Division, Oak Ridge National Laboratory, Oak Ridge, TN 37831, USA. <sup>7</sup>Department of Physics, Sungkyunkwan University, Suwon 16419, Republic of Korea. <sup>8</sup>Division of Advanced Materials Science, Pohang University of Science and Technology, Pohang 37673, Republic of Korea. <sup>9</sup>Advanced Quantum Materials Research Section, Korea Atomic Energy Research Institute, Daejeon 34057, Republic of Korea. <sup>10</sup>These authors contributed equally: Jae-Ho Han, Seung-Hwan Do. <sup>56</sup>email: jsungdae@kaeri.re.kr; tkfkd@postech.ac.kr; jhp@postech.ac.kr



**Fig. 1** Schematic diagrams near the quantum-critical point. a Schematic-phase diagram as a function r (*J/K*), a ratio of the non-Kitaev antiferromagnetic (AFM) exchange *J* to the Kitaev exchange *K*. The quantum-critical region is divided into a weak-coupling one in high temperatures (green-shaded) and a strong-coupling one in low temperatures (blue-shaded) governed by different critical scaling physics, resulting in a crossover behavior of the quantum criticality (QC). **b** Renormalization group (RG) flows on the  $r = r_C$  critical surface described with two coupling constants  $\lambda$ , g, and velocity  $v_{\text{flux}}$  of the Z<sub>2</sub> flux excitation. The RG flows at high temperatures ( $v_{\text{flux}} = c$ ) show actual results of the Wilsonian RG analyses in the one-loop level, while those are schematically presented at low temperatures ( $v_{\text{flux}} = 0$ ).

Ising-type AFM exchange interactions as in  $\alpha$ -RuCl<sub>3</sub>. The AFM spin fluctuations couple to two different types of deconfined fractional excitations of localized Z<sub>2</sub> fluxes and itinerant MFs dominantly in low- and high-energy scales, respectively.

At high temperatures far above  $T_{N_r}$  the Z<sub>2</sub> fluxes and MFs dissolve to form an incoherent critical soup. The original quasiparticle weights become vanishing, and emerging excitations losing the quasiparticle nature specify characteristics of weak-coupling conventional quantum criticality. This phenomenon is analogous to the physics of metallic quantum criticality responsible for the marginal Fermi-liquid phenomenology in the strange metallic phase of high-T<sub>C</sub> cuprates<sup>4</sup>, where the Landau quasiparticle excitations dissociate into incoherent particle-hole excitations due to their correlations. Remarkably, this conventional quantum criticality evolves into strong-coupling local quantum criticality at low temperatures above  $T_N$ , where critically fluctuating local moments appear to carry the entropy, analogously to the local quantum criticality of heavy-fermion systems<sup>3</sup>. This crossover behavior in quantum-critical physics of  $\alpha$ -RuCl<sub>3</sub> originates from the coupling between the Ising-type AFM fluctuations and the two types of deconfined fractionalized fermions governing different energy scales.

Figure 1a shows a schematic-phase diagram representing the Kitaev QSL phase with the well-defined fractionalized excitations and the zigzag AFM phase, similar to the diagram of the Landau Fermi-liquid phase with well-defined quasiparticle excitations and an associated symmetry broken phase, respectively. Here the external tuning parameter, such as the magnetic field or pressure, tunes the ratio of the AFM exchange *J* to the QSL Kitaev exchange *K*. In a J = 0 limit, the spin-spin correlation is governed by *K* leading to the Kitaev QSL ground state. The spin excitations are fractionalized into itinerant MFs and gapped Z<sub>2</sub> fluxes, which become consecutively defined upon cooling through  $T_{\rm H}$  and  $T_{\rm L}$ , respectively<sup>29,30</sup>.

In the Kitaev paramagnetic (PM) phase ( $T_L < T < T_H$ ), the MFs exhibit metallic behaviors under thermally fluctuating  $Z_2$  fluxes. Below  $T_L$ , the  $Z_2$  fluxes are frozen, and only low-energy MFs remain itinerant. Even for a finite *J*, the QSL ground state persists if r = J/K is sufficiently small. As *r* increases, the AFM coherence length ( $\xi$ ) increases, while the coherence temperature  $T^* \sim \xi^{-1}$  decreases. In a large *r* limit, the AFM long-range order (LRO) is stabilized below  $T_N$  due to the dominant *J*. The quantum phase transition between QSL and LRO phases is expected to occur at a moderate value of  $r = r_C$  (QCP), and the physical behaviors become quantum critical in the region (blue-shaded) around QCP. Considering that the magnetic field-induced AFM to QSL transition  $\alpha$ -RuCl<sub>3</sub> occurs at

 $H_{\rm C} \sim 6 \,\rm T$  (see Supplementary Fig. 3)<sup>26</sup>, its *r*-value is expected to be near the critical point  $r_{\rm C}$  as presented in the figure.

The most fascinating physics is an apparent crossover behavior across the high (green-shaded) to low-temperature (blue-shaded) region, suggesting the presence of two types of  $\omega/T$  quantumcritical scaling physics in the dynamic spin susceptibility. This crossover behavior results from the fact that the spin excitations in high- and low-energy scales are governed by different fixed points (FPs) and there appears a renormalization group (RG) flow between these two FPs as a function of the energy scale parameter (*T* or  $\omega$ ). As a result, the fractionalized excitations form an intriguing QSL state in this quantum-critical region. Here the phase boundaries are based on experimental observations<sup>20–22,26</sup> and theories<sup>29,30</sup>, although the diagram is rather schematic. The crossover region in Fig. 1a is referred to the neutron scattering (Fig. 2b) and magnetic-specific heat results (Fig. 8a).

Building upon the physical picture described in Fig. 1a, we explore the nature of this quantum criticality, where the critical AFM spin fluctuations couple to both  $Z_2$  fluxes and MFs. Figure 1b displays the RG flow diagram around the critical surface at  $r = r_c$ . The RG flows in the high-energy scale show actual calculation results of the Wilsonian RG analyses conducted in the one-loop level on the critical surface. On the other hand, those are schematically presented in the low-energy scale, where the physics involves strong coupling between the deconfined excitations and AFM fluctuations to be beyond the perturbative framework. However, it is worth noting that the existence of the strong-coupling FP is verified by the dynamical mean-field theory (DMFT) calculation, as discussed below.

In the absence of fractionalized excitations, the Ising spin quantum criticality is represented with the Wilson–Fisher type FP<sup>31</sup> characterized by an effective critical interaction  $\lambda$  between the Ising spin fluctuations. Meanwhile, as this spin sector interaction is turned off ( $\lambda = 0$ ), the fractionalized fermionic spin excitations can be described in terms of an effective Yukawa-type theory characterized by an effective critical Yukawa coupling *g* between the fractionalized excitations and the spin fluctuations. This theory naturally hosts a weak-coupling FP<sup>31</sup>. When  $\lambda \neq 0$ , an interacting FP, referred to as a Wilson–Fisher–Yukawa FP, emerges on the critical surface as presented in Fig. 1b (the high-temperature green-shaded region in Fig. 1a).

The proximity effect observed in  $\alpha$ -RuCl<sub>3</sub> at high temperatures is expected to be governed by this interacting FP. The dynamic spin susceptibility should exhibit a universal behavior and further follow a universal scaling law characterized by an anomalous critical exponent derived from this FP. It is remarkable to note that



**Fig. 2** Temperature-dependent INS spectra of  $\alpha$ -RuCl<sub>3</sub>. a Inelastic neutron scattering  $S(\mathbf{Q}, \omega)$  maps along high-symmetry directions as indicated in the *HK*-reciprocal space of the rhombohedral ( $R\overline{3}$ ) structure. Each map presents combined two data sets with incident neutron energies of  $E_i = 50$  meV (upper) and 22 meV (lower). b  $S(\mathbf{Q}, \omega)$  spectra at  $\mathbf{Q} = \Gamma(0, 0, 0)$  measured at T = 25 K and 75 K in a log–log scale. Red dashed line is a linear guideline for a power-law behavior of the excitations above ~4 meV. Error bars represent 1 standard deviation.

this Wilson–Fisher–Yukawa FP becomes destabilized at low temperatures ( $T \lesssim 4$  meV) above  $T_N$  to flow into another FP, where the propagation velocity of the  $Z_2$  flux excitation is strongly renormalized (localized  $Z_2$  flux excitation). Then the nature of spin dynamics becomes locally critical (a strong-coupling FP) as described for the local quantum criticality of heavy-fermion physics. The appearance of the localized flux excitations in the Kitaev QSL state is likely responsible for the heavy-fermion type local quantum criticality in the low-energy scale.

### Inelastic neutron scattering

The dynamic spin susceptibility can be extracted from INS measurements probing the magnetic excitations. The scattering cross-section is proportional to the dynamic structure factor  $S(\mathbf{Q}, \omega)$  of the spin correlation function, which displays the magnetic excitations in the transferred momentum ( $\mathbf{Q}$ ) and energy ( $\omega$ ) space. Figure 2a shows representative  $S(\mathbf{Q}, \omega)$  maps of  $\alpha$ -RuCl<sub>3</sub> in the *HK*-reciprocal space measured at temperatures below (T = 2.3 K) and above  $T_N = 6.5$  K (T = 25 K, 75 K, and 150 K). The  $S(\mathbf{Q}, \omega)$  map at T = 2.3 K exhibits a strongly dispersive feature of spin wave excitations originated from the zigzag AFM order below 4 meV. Besides the spin wave feature, it also displays broad continuum excitations extending from near 0meV to even above 15 meV through the whole Brillouin zone, which corresponds to the fractionalized MFs excited from the Kitaev QSL state<sup>20,30</sup>. These continuum excitations emerge upon cooling across the

fractionalization temperature ( $T_{\rm H} \sim 100$  K) and persist even below  $T_{\rm Nr}$  reflecting the proximity to the QSL<sup>20</sup>.

Above  $T_N$  (25 K and 75 K), one can recognize distinguishable energy-dependent behaviors of the continuum excitation weight around  $\mathbf{Q} = \Gamma(0, 0, 0)$  across ~4 meV; a strong spectral weight is nearly maintained up to ~4 meV and then become significantly reduced with the increase of the energy. Such energy-dependent behaviors can be clearly observable in the  $S(\mathbf{Q} = \Gamma, \omega)$  spectra in the log-log scale as shown in Fig. 2b. Above ~4 meV, the spectral intensity merges on a linear line representing a single scaling of criticality while it apparently deviates from the line below ~4 meV, alluding to the emergence of emergence of another scaling. This result is consistent with the weak-coupling to strong-coupling quantum criticality crossover as described above (also see Fig. 1) and suggests that the nature of continuum excitations can be characterized by two different universal scaling laws, i.e., one for the weak-coupling and the other for the strong-coupling guantum criticality in the high and low-energy scales, respectively. Interestingly, a puzzling plateau feature above  $T_N$  observed in the specific heat<sup>20</sup> persists up to 50 K, corresponding to the deviation energy scale (~4 meV). We speculate that the delocalized Z<sub>2</sub> flux excitations at high temperatures become localized below this temperature, although it is well above the freezing temperature  $T_{\rm L}$  in the pure Kitaev<sup>29,30</sup>, causing a heavy-fermion type local quantum criticality as discussed below.



Fig. 3 Schematic descriptions of the theoretical model and quantum-critical scaling behaviors of dynamic spin susceptibility. a Kitaev honeycomb lattice represented by two triangular sublattices differentiated by black and white dots and zigzag antiferromagnetic ordering. b Deformed brick-wall type lattice by stretching the honeycomb lattice along the zigzag chain. The red and yellow balls are  $f_{r,b}$  and  $f_{r,w}$  fermions, respectively. c Conceptual diagram for emergent local quantum criticality in the low-energy scale.

#### **Effective field theory**

In this section, we introduce an effective field-theory modeling for the spin excitations of a-RuCl<sub>3</sub> with the AFM spin fluctuations coupling to the  $Z_2$  fluxes and itinerant MFs in order to derive the universal scaling applicable to the dynamic spin susceptibility extracted from the INS results. The true magnetic lattice Hamiltonian of a-RuCl<sub>3</sub> is quite complicated and still under debate. Thus we build up a simplified Kitaev QSL interacting with the zigzag AFM order in the a-RuCl<sub>3</sub> lattice.

We first adopt a coarse-grained lattice model (Fig. 3a) to construct the effective Hamiltonian consisting of the Kitaev QSL Hamiltonian  $H_{Kr}$ , the zigzag AFM Ising spin Hamiltonian  $H_{AFr}$ , and an effective Zeeman-type interaction  $V_{K-AF}$  accounting for a coupling between the Kitaev fermions and the zigzag AFM-ordered spins. The total Hamiltonian can be described as follows,

$$H = H_{\rm K} + H_{\rm AF} + V_{\rm K-AF},$$
  

$$H_{\rm K} = -\sum_{\langle ij \rangle} K_{\gamma_{ij}} \sigma_i^{\gamma_{ij}} \sigma_j^{\gamma_{ij}}, \quad V_{\rm K-AF} = -g \sum_i \phi_i \sigma_i^z,$$
(1)

where  $\sigma_i^{\alpha}$ ,  $\alpha = x, y, z$  are the Pauli matrices at honeycomb lattice site *i*,  $\langle ij \rangle$  represent nearest-neighboring sites,  $\gamma_{ij} = x, y, z$  depending on the bond as shown in Fig. 3a, and  $\phi_i$  is the zigzag AFM order parameter fluctuations. Here, we do not specify  $H_{AF}$ explicitly due to the lack of concensus on the lattice magnetic Hamiltonian. The detailed form of  $H_{AF}$  is not important in this study, only for the long-range physics. We will implement dynamics of the zigzag Ising AFM order in a continuum expression form when we construct the effective action below. The interaction  $V_{K-AF}$  between  $\sigma$  and  $\phi$  is supposed to be in a local Zeeman type.

The zigzag AFM order consists of alternating spin chains with different spin states, and the original honeycomb lattice is identically represented by a brick-wall lattice. Under the Jordan–Wigner transformation for the spin variables described in Fig. 3b, one can map the Kitaev spin model to a fermionic

model: Transforming the spin operators  $\sigma_i^a$  as

$$\sigma_i^z = K_i, \ \sigma_i^+ = f_i^\dagger \prod_{j < i} K_j, \ \sigma_i^- = \left(\prod_{j < i} K_j\right) f_i, \tag{2}$$

where  $K_i = 2f_i^{\dagger}f_i - 1$ , and  $f_i(f_i^{\dagger})$  are fermion annihilation (creation) operators. Then  $H_K$  becomes

$$H_{\rm K} = -\sum_{r,a=x,y} K_a (\psi_{r+a}^{\dagger} - \psi_{r+a}) (\psi_r^{\dagger} + \psi_r) - K_z \sum_r (2\chi_r^{\dagger}\chi_r - 1) (2\psi_r^{\dagger}\psi_r - 1),$$
(3)

where the lattice site index *i* is separated into Bravais lattice index *r* and the basis *b* or *w* for the black or white site, respectively, as shown in Fig. 3. We also combine the fermions attached to two ends of the *z*-bond as  $\chi_r = \text{Ref}_{r,w} - \text{ilmf}_{r,b}$ , and  $\psi_r = \text{Imf}_{r,w} + \text{iRef}_{r,b}$ . Now  $\psi_r$ s resemble the itinerant fermions in the one-dimensional *p*-wave superconductor model and  $\chi_r$ s representing the  $Z_2$  fluxes describe localized fermions interacting with  $\psi_r$  fermions<sup>31,32</sup>.

Now we consider  $V_{K-AF}$ , the coupling between the Ising spin fluctuations and the Jordan–Wigner fermions in the critical region. In the coarse-grained representation, this coupling can be expressed as a Yukawa-type effective interaction, and the model Hamiltonian becomes an effective continuum field theory described in terms of two types of fermion excitations coupled with Ising spin fluctuations. The Yukawa-type effective interaction is given by

$$V_{\rm K-AF} = -ig \sum_{r} \phi_r(\psi_r^{\dagger} \chi_r^{\dagger} + \psi_r \chi_r).$$
<sup>(4)</sup>

To obtain the low-energy effective field theory, we expand both fermion fields near two gapless points for  $H_{\kappa}$  in the momentum space. In the absence of zigzag AFM fluctuations  $(\phi_r = 0), \chi_r^{\dagger}\chi_r$  becomes a conserved quantity for each site *r*. The ground state sector is  $\chi_r^{\dagger}\chi_r = 0$ , and within this sector, the Hamiltonian has gapless points at  $k = \pm (4\pi/3, 0)$ . Linearizing the Hamiltonian and using the field near these gapless points, we have

$$H_{\mathrm{K}} = \sum_{k} \sum_{a=\pm} \left[ \varepsilon_{a,k} \psi_{a,k}^{\dagger} \psi_{a,k} + \frac{\mathrm{i}\Delta_{a,k}}{2} (\psi_{a,k}^{\dagger} \psi_{-a,-k}^{\dagger} + \psi_{a,k} \psi_{-a,-k}) + 2K \chi_{a,k}^{\dagger} \chi_{a,k} \right] + H_{\mathrm{int}},$$
(5)

$$H_{\text{int}} = \frac{4K}{N_z} \sum_{k,p,q} \sum_{a,b=\pm} \chi_{a,p}^{\dagger} \chi_{a,p+q} \psi_{b,k+q}^{\dagger} \psi_{b,k} + \frac{4K}{N_z} \sum_{k,p,q,} \sum_{a,b=\pm} \chi_{a,p}^{\dagger} \chi_{-a,p+q} \psi_{b,k+q}^{\dagger} \psi_{-b,k},$$
(6)

$$V_{\rm K-AF} = -\frac{ig}{\sqrt{N_z}} \sum_{k,q} \sum_{a=\pm} \phi_q(\chi^{\dagger}_{-a,-k+q} \psi^{\dagger}_{a,k} + \chi_{-a,-k-q} \psi_{a,k}),$$
(7)

where  $\pm$  signs in the subscript indicate two gapless points,  $e_{\pm,k} = vk_{xr}$ ,  $\Delta_{\pm,k} = -vk_{yr}$ , v = 3 Ka, a is the lattice constants,  $N_z$  is the number of z-bond. Here we are interested in the symmetric phase of the Kitaev model, so  $K_x = K_y = K_z \equiv K$ . Here  $H_{\text{int}}$  is fourfermion interactions between itinerant and localized fermions. It turns out that these effective interactions are not relevant in RG sense, and we ignore these terms. Note that they can affect behaviors in weak-coupling high-energy regions, but it is similar to the effects due to the AFM coupling, as discussed in the Discussion section. Therefore we construct the effective model without  $H_{\text{int}}$ . Introducing spinors as

$$\Psi_{k} = (\Psi_{+,k}, \Psi_{-,-k}^{\dagger})^{T}, \ X_{k} = (\chi_{+,k}, \chi_{-,-k}^{\dagger})^{T},$$
(8)

one obtains effective Hamiltonian in Dirac form

$$H = \sum_{k} \Psi_{k}^{\dagger} (\mathbf{v} \mathbf{k}_{\mathbf{x}} \tau^{\mathbf{z}} + \mathbf{v} \mathbf{k}_{\mathbf{y}} \tau^{\mathbf{y}}) \Psi_{k} + 2K \sum_{k} X_{k}^{\dagger} \tau^{\mathbf{z}} X_{k} - \frac{\mathbf{I}g}{\sqrt{N_{z}}} \sum_{k,q} \phi_{q} (X_{k+q}^{\dagger} \tau^{\mathbf{x}} \Psi_{k} - \Psi_{k}^{\dagger} \tau^{\mathbf{x}} X_{k-q}).$$
(9)



Fig. 4 Feynmann diagrams and the renormalization group flow diagram of coupling constants. a Green functions and one-loop Feynman diagrams for self-energies and vertex functions. b RG flows in a  $\tilde{g}$ - $\tilde{\lambda}$  coupling space with  $\tilde{g}^2 = g^2/8\pi^2$  and  $\tilde{\lambda} = \lambda/8\pi^2$  by solving the coupled  $\beta$ -functions. Various fixed points (FPs) are indicated, two unstable FPs (black dots) and one stable interacting FP (red dot).

Including a self-interaction term for AFM fluctuations  $\phi$ , the resulting effective action in the continuum limit is given by

$$\begin{split} S &= S_{\mathsf{K}} + S_{\mathsf{AF}} + S_{\mathsf{K}-\mathsf{AF}}, \\ S_{\mathsf{K}} &= \int \frac{d^3k}{(2\pi)^3} \left( \overline{\Psi}(k) i \gamma \cdot k \Psi(k) + \overline{X}(k) (i \gamma_0 k_0 + i \mathbf{y} \cdot \mathbf{M}) X(k) \right), \\ S_{\mathsf{K}-\mathsf{AF}} &= -i g \int \frac{d^3k d^3 q}{(2\pi)^6} \phi(q) \left( \overline{X}(k+q) \Psi(k) - \overline{\Psi}(k) X(k-q) \right), \\ S_{\mathsf{AF}} &= \frac{1}{2} \int \frac{d^3k}{(2\pi)^3} \phi(-k) (k^2+r) \phi(k) + \frac{\lambda}{4!} \int \frac{d^3k d^3 p d^3 q}{(2\pi)^2} \phi(-k) \phi(-p-q) \phi(p) \phi(k+q). \end{split}$$

$$(10)$$

Here,  $\Psi(k)$  and X(k) are Dirac spinors formed by itinerant and localized fermions, respectively, and  $\mathbf{M} = (M, 0)$ , M is the  $Z_2$  flux gap. Interestingly, the  $Z_2$  flux gap appears as a momentum shift of nodal points, and this shift plays a central role in the quantum-critical scaling of dynamic spin susceptibility at the  $\Gamma$  point. There appears an effective  $\phi^4$ -type field theory of self-interactions<sup>31</sup>, which describes the critical dynamics of the Ising spin fluctuations in the coarse-graining procedure. The details are in Supplementary Notes.

In the following sections, we derive the scaling behavior of the dynamic spin susceptibility and the specific heat based on Eq. (10) in the weak-coupling limit for the high-energy region and also in the strong-coupling limit for the low-energy region.

#### Weak-coupling high-energy region

In the high-energy region, the coupling becomes weak so we derive the universal scaling function using perturbative analysis. The zigzag AFM order parameter also strongly fluctuates and thus the "localized" X(k) fermions become delocalized due to the interaction  $S_{K-AF}$ . As a result, the two fermionic excitations can be described in the Dirac theory with different Dirac velocities. We first perform the Wilsonian RG analyses of these two itinerant Dirac field models up to the oneloop level and reveal the Wilson–Fisher–Yukawa FP. Then we evaluate the dynamic spin susceptibility at the FP for comparison with the INS results of  $\alpha$ -RuCl<sub>3</sub>.

Reflecting delocalization of the  $X_k$  fermions, we replace  $\gamma_0 k_0$  with  $\gamma \cdot k$  to modify the  $S_K$  term in Eq. (10) as follows;

$$S_{\mathsf{K}} \to \int \frac{d^3k}{(2\pi)^3} \left[ \overline{\Psi}(k) \mathbf{i} \mathbf{\gamma} \cdot k \Psi(k) + \overline{X}(k) \mathbf{i} \mathbf{\gamma} \cdot (k+M) X(k) \right]. \tag{11}$$

With the modified effective action, we follow the standard Wilsonian RG procedure. We first divide the fields into high- and low-energy components,

$$\Psi(k) = \Psi(k)\theta[k(\Lambda/b-k)] + \Psi(k)\theta[(\Lambda-k)(k-\Lambda/b)] \equiv \Psi_l(k) + \Psi_h(k),$$
(12)

and similar to X and  $\phi$  fields. Integrating over high-energy field degree of freedom, i.e., performing the functional integration

 $\int \mathscr{D}\Psi_h(k) \mathscr{D}X_h(k) \mathscr{D}\phi_h(k) e^{-S}$  in the perturbative way of the cumulant expansion, we obtain quantum-fluctuation corrections on the dynamics of low-energy degrees of freedom. After rescaling the momentum coordinates and all low-energy fields, we obtain an effective action for the low-energy fields with renormalized couplings. The relations between the low-energy fields and renormalized fields are

$$\Psi_{R}(k) = b^{-2} Z_{\psi}^{-1/2} \Psi_{I}(k/b), \ X_{R}(k) = b^{-2} Z_{X}^{-1/2} X_{I}(k/b), \ \Psi_{R}(k) = b^{-5/2} Z_{\phi}^{-1/2} \Psi_{I}(k/b),$$
(13)

and that between the bare coupling constant and the renormalized ones are

$$g_{R} = b^{1/2} Z_{g}^{-1} Z_{\psi}^{1/2} Z_{\chi}^{1/2} Z_{\phi}^{1/2} g, \ \lambda_{R} = b Z_{\lambda}^{-1} Z_{\phi}^{2} \lambda, \ M_{R} = b Z_{M}^{-1} Z_{\chi} M.$$
(14)

Here, the subscript *R* represents the renormalized field. The first factors, exponents of *b* in the fields and coupling constants, originate from the classical scaling. The renormalization factors  $Z_a$ ,  $a = \Psi$ , X,  $\phi$  which are defined by  $Z_a^{-1} = 1 + \delta_a$  contain quantum corrections  $\delta_a$  which are calculated from self-energies and vertex corrections.

Within the one-loop level, self-energies and vertex corrections are

$$\begin{split} \Sigma_{\Psi} &= g^2 \int_{\Lambda/b}^{\Lambda} \frac{d^3 q}{(2\pi)^3} \, G_X(k+q) G_{\phi}(q), \ \ \Sigma_X &= g^2 \int_{\Lambda/b}^{\Lambda} \frac{d^3 q}{(2\pi)^3} \, G_{\Psi}(k+q) G_{\phi}(q), \\ \Sigma_{\phi,1}(q) &= -2g^2 \int_{\Lambda/b}^{\Lambda} \frac{d^3 k}{(2\pi)^3} \, \mathrm{Tr}[G_{\Psi}(k+q) G_X(k)], \ \ \Sigma_{\phi,2}(q) &= -\frac{\lambda}{2} \int_{\Lambda/b}^{\Lambda} \frac{d^3 k}{(2\pi)^3} \, G_{\phi}(k), \end{split}$$
(15)

and

$$\begin{split} \Gamma_{g} &= -ig^{3} \int_{\Lambda/b}^{\Lambda} \frac{d^{3}q}{(2\pi)^{3}} G_{\phi}(q) G_{\Psi}(q) G_{X}(q), \\ \Gamma_{\lambda,1} &= -\frac{3\lambda^{2}}{2} \int_{\Lambda/b}^{\Lambda} \frac{d^{3}q}{(2\pi)^{3}} \left[ G_{\phi}(q) \right]^{2}, \ \ \Gamma_{\lambda,2} &= g^{4} \int_{\Lambda/b}^{\Lambda} \frac{d^{3}q}{(2\pi)^{3}} \operatorname{Tr}[G_{X}(q)G_{\Psi}(q)G_{X}(q)G_{\Psi}(q)], \end{split}$$
(16)

with Green functions,

$$G_{\Psi}(k) = -\frac{i\gamma \cdot k}{k^2}, \ G_X(k) = -\frac{i\gamma \cdot (k+M)}{(k+M)^2}, \ G_{\phi}(k) = \frac{1}{k^2}.$$
 (17)

The diagrams are shown in Fig. 4a. From the self-energies and vertex functions, one can deduce renormalization constants and renormalized couplings. The quantum corrections are  $\delta_a = -\partial_i \gamma \cdot k \Sigma_a(k)|_{k=0}$  for  $\Psi$  and X fields, and  $\delta_a = -\partial_{k^2} \Sigma_a(k)|_{k=0}$  for  $\phi$  field from the self-energies, and  $\delta_a = \Gamma_a$  from vertex corrections. Then the beta functions for coupling g and  $\lambda$  are given by

$$\beta_{g} \equiv \frac{dg_{R}}{d\log b} = \frac{g_{R}}{2} - \frac{g_{R}^{2}}{4\pi^{2}} + \mathcal{O}(M),$$

$$\beta_{\lambda} \equiv \frac{d\lambda_{R}}{d\log b} = \lambda_{R} - \frac{3\lambda_{R}^{2}}{16\pi^{2}} + \frac{3g_{R}^{4}}{2\pi^{2}} + \mathcal{O}(M),$$
(18)

and the anomalous scaling dimensions are

$$\eta_{\Psi} = \frac{1}{2} \frac{\partial \log Z_{\Psi}}{\partial \log b}, \quad \eta_{\chi} = \frac{1}{2} \frac{\partial \log Z_{\chi}}{\partial \log b}, \quad \eta_{\phi} = \frac{1}{2} \frac{\partial \log Z_{\phi}}{\partial \log b}.$$
 (19)

See Supplementary Notes for details. The RG flow and the FPs are shown in Fig. 4. As can be seen in the figure, when g = 0, the fermions are decoupled from the zigzag AFM fluctuations, and the system flows towards a well-known stable Wilson–Fisher FP. Turning on the coupling ( $g \neq 0$ ) between fermions and zigzag AFM fluctuations, it flows to another FP, Wilson–Fisher–Yukawa FP. Essential information on the interacting FP is the critical exponents to describe anomalous scaling dimensions of the delocalized and itinerant fermion excitations, and the exponents originate from their correlations with the critical Ising spin fluctuations.

To compare with INS data at  $\Gamma$  point, we calculate uniform spin susceptibility. In order to extract the dynamical spin susceptibility at the  $\Gamma$ -point, we introduce a uniform field Zeeman coupling  $H_{UZ} = -g_u \sum_i \sigma_i^z H_i^u$ , which can be reformulated as

$$H_{UZ} = ig_u \int \frac{d^3k d^3q}{(2\pi)^6} H_u(q) \left(\overline{X}(k+q)\gamma_0 \Psi(k) - \overline{\Psi}(k)\gamma_0 X(k-q)\right).$$
(20)

Here, we do not take into account the coupling between the zigzag AFM  $\phi(k)$  and the uniform field since its effect is negligible in comparison with the ferro-component contribution of the  $\Gamma$ -point. Then, the spin susceptibility is given by

$$\begin{aligned} \chi_{u} &= \frac{1}{V} \frac{\delta^{2} \log Z_{\text{eff}}[H(q)]}{\delta H_{u}(-q) \delta H_{u}(q)} |_{H(q)=0} \\ &= -g_{u}^{2} Z_{\Psi} Z_{X} \int \frac{d^{3}k}{(2\pi)^{3}} \operatorname{Tr} \{ [G_{X}(k+q) + G_{X}(k-q)] \gamma_{0} G_{\Psi}(k) \gamma_{0} \}, \end{aligned}$$
(21)

where  $Z_{\text{eff}}$  is the effective partition function, including the Zeeman term. The renormalization factors play roles of anomalous scaling dimensions in the spin susceptibility and account for the absence of well-defined quasiparticle excitations near the interacting fixed point.

After the integration and taking into account the field renormalization factors and anomalous dimensions, one can find the scaling theory for the spin susceptibility,

$$Im\chi_{u}(\omega, M, T) = b^{1+2\eta_{\psi}+2\eta_{\chi}}Im\chi_{u}\left(\frac{\omega}{b}, \frac{M}{b^{\gamma_{M}}}, \frac{T}{b}\right)$$
$$= T^{1+2\eta_{\psi}+2\eta_{\chi}}Im\chi_{u}\left(\frac{\omega}{T}, \frac{M}{T^{\gamma_{M}}}, 1\right),$$
(22)

where we set b = T in the second equality and  $y_M$  is the scaling dimension of M which is calculated from  $M_R = bZ_M^{-1}Z_X M \approx b^{y_M} M$ . Assuming  $M/T^{y_M} \ll 1$ , one can expand  $\chi_u(\omega/T, M/T^{y_M}, 1)$  in  $M/T^{y_M}$ . The lowest non-vanishing term is  $(M/T^{y_M})^2$  and in the quantum-critical regime of  $\omega/T \gtrsim 1$ , the spin susceptibility is given by

$$\operatorname{Im}\chi_{u}(\omega, M, T) = \chi_{0}M^{2}T^{-2y_{M}+1+2\eta_{\Psi}+2\eta_{\chi}}\left(\frac{T}{\omega}\right)^{1-2\eta_{\Psi}-2\eta_{\chi}}\tanh\frac{\omega}{4T},$$
(23)

where  $\chi_0$  is a cutoff dependent and non-universal constant. Within the one-loop level, we obtained the anomalous scaling dimension of the spin susceptibility  $\eta_{\chi} = 2y_M - (1 + 2\eta_{\Psi} + 2\eta_{\chi}) = 1$  and critical exponent  $1 - 2\eta_{\Psi} - 2\eta_{\chi} \approx 1.25$ . Then the universal scaling function is

$$T^{\alpha} \operatorname{Im} \chi(\omega, T)_{Q=\Gamma} = \chi_0 M^2 \left(\frac{T}{\omega}\right)^{1.25} \tanh \frac{\omega}{4T}.$$
(24)

with a = 1. Here,  $tanh \frac{\omega}{4T}$  reflects "particle"–"hole" excitations of both fractionalized fermions. *M* is the momentum-space distance between the Dirac points of the Majorana fermion and the Z<sub>2</sub> flux and reduces to the Z<sub>2</sub> flux gap at zero temperature. It is

remarkable to observe that the spin susceptibility at the  $\Gamma$ -point is proportional to  $M^2$ . Although the spectral intensity of the twoparticle correlation function should vanish at the  $\Gamma$ -point with M = 0, the appearance of the spectral intensity at the  $\Gamma$ -point indicates that a shift in the nodal point effectively retains the Z<sub>2</sub> flux gap, like the inter-band transition gap.

To investigate the critical behavior of  $\alpha$ -RuCl<sub>3</sub>, the neutron scattering results at  $\mathbf{Q} = \Gamma$  with diverse temperatures are compared to the scaling function model. Here, the imaginary part of the dynamic spin susceptibility is extracted through the fluctuation-dissipation theorem<sup>33</sup>Im $\chi(\mathbf{Q}, \omega) \equiv \chi''(\mathbf{Q}, \omega) = \tilde{S}(\mathbf{Q}, \omega)$  $(1 - e^{-\hbar\omega/k_BT})$  from  $S(\mathbf{Q}, \omega)$  measured at T = 2.3 K, 6 K, 25 K, 75 K, and 150 K in a full energy range of  $1 \text{meV} < \hbar \omega < 15 \text{meV}$  available in the present experimental conditions (see Sec. A in SI). Figure 5 displays  $\chi''(\Gamma, \omega)T^{\alpha}$  versus  $\hbar\omega/k_{\rm B}T$  in the log–log plot. The scaling function data extracted from the zero-field INS  $S(\Gamma, \omega)$  in the ranges  $\hbar \omega = [1, 9]$  meV with incident neutron energy  $E_i = 22$  meV and  $\hbar \omega = [6, 15]$  meV with  $E_i = 50$  meV at T = 2.3 K, 6 K, 25 K, 75 K, and 100 K. The data are compared with the theoretical universal scaling function (blue solid line) for the weak-coupling quantum criticality, Eq. (24). The fitting value of the exponent  $\alpha$  is 0.91, which is close to the theoretical value 1. The  $\chi''(\Gamma, \omega)T^{\alpha}$  value itself strongly varies with energy and temperature, while those values collapse onto a single line over two decades for  $\hbar \omega \gtrsim 5$  meV. Such behaviors certainly reflect the universal scaling involving the weak-coupling quantum criticality applicable to the high-energy scale. This merging line corresponds to the universal law for  $\chi''(\Gamma, \omega)T^{\alpha}$  derived from the theoretical model calculations as described above. Meanwhile, one can recognize that the dynamic spin susceptibility does not follow the university scaling at low energies, and the deviation becomes considerable for  $\hbar\omega \leq 4$  meV commonly at different temperatures as can be seen in Fig. 5 (blurred circle plots). This common deviation at the low energies indicates that the weak-coupling quantum criticality is valid only at the high energies (also see Supplementary Fig. 1).

#### Strong-coupling low-energy region

In the low-energy region, the perturbative analysis is not applicable since the strong-coupling physics emerges. Thus we first examine the INS results for a possibility of a universal scaling at the low energies and construct an empirical formula for the dynamic susceptibility in the effective model Hamiltonian with the local quantum criticality. Then we check the validity of the criticality using self-consistent analyses based on the DMFT description.



Fig. 5 Scaling plot in  $\hbar \omega / k_B T$  for the dynamic spin susceptibility at high energies,  $\hbar \omega \ge 4$  meV. Blurred circles present the data in the low-energy range  $\hbar \omega = [1, 4]$  meV, which are out of the universal scaling behavior. Error bars represent 1 standard deviation.



Fig. 6 Scaling plot for the dynamic spin susceptibility obtained at **low energies,**  $\hbar \omega \leq 5$  meV. The quantity  $\chi'' T^{\alpha}$  with  $\alpha = 0.14$  is plotted against  $\hbar\omega/k_{\rm B}T$ . The red line is a fit to the local guantum criticality model as described in the text. The gray circles presenting the 2K data deviate from the universal scaling due to the dominant magnon excitation at a low-energy AFM state below  $T_N \approx 6.5$  K. Error bars represent 1 standard deviation.

To examine the low-energy universal scaling of the dynamic spin susceptibility, we measure  $S(\mathbf{Q}, \omega)$  at more diverse temperatures of T = 2 K, 10 K, 16 K, 25 K, 40 K, 75 K, 100 K, 125 K, and 160 K in a low-energy range of 1 meV $\leq \hbar \omega \leq$  5 meV and extract  $\chi''(\Gamma, \omega)$  $T^{0.14}$  values scaled in  $\hbar\omega/k_{\rm B}T$ , as shown in Fig. 6. Remarkably, the  $\chi''(\Gamma, \omega)T^{0.14}$  values also merge to a single line of another universal scaling distinguished from the high-energy one. The universal scaling behavior drastically changes in the low-energy scale below ~ 5meV. The slop changes its sign across  $\hbar\omega/k_{\rm B}T$  ~ 2. In addition, the low-energy spectral weight is rather uniformly distributed in the momentum space around  $\mathbf{Q} = \Gamma$ , differently from the high-energy spectral weight (referred to Fig. 5). These two aspects recall an effective Bose-Fermi Kondo-type model adopted to the heavy-fermion local quantum criticality in a system with magnetic impurity states, collective bosonic modes, and dispersive fermions<sup>34</sup>. Those are analogous to the localized Z<sub>2</sub> fluxes, Ising AFM fluctuations, and itinerant Majorana fermions appearing in  $\alpha$ -RuCl<sub>3</sub>, respectively. This local quantum criticality is schematically pictured in Fig. 3c. Here, the AFM fluctuation interacts with the Z<sub>2</sub> fluxes and MFs to become locally critical.

The previous study for the heavy-fermion local quantum criticality<sup>3</sup> suggests a scaling expression for the dynamic spin susceptibility

$$\chi(\omega, T, H) = \frac{A}{\left(aT - i\omega\right)^a + a^a T^{*a}},$$
(25)

which represents the susceptibility of a local moment coupled to a critical continuum. Here, a, A, and a are parameters,  $T^*$  is the characteristic temperature, shown in Fig. 1a. A key feature of this local spin susceptibility is the branch-cut singularity with a critical exponent  $\alpha$  and the existence of the huge dissipation proportional to the transfer energy. We derive the universal scaling function at Γ for the strong-coupling local quantum criticality in a limit of the inverse of quantum coherence time  $T^* \rightarrow 0$  (QCP) as follows (see Supplementary Notes for details);

$$T^{\alpha} \operatorname{Im} \chi(\omega, T)_{\mathbf{Q}=\Gamma} = \frac{A}{\left(a^{2}T^{2} + \omega^{2}\right)^{\alpha/2}} \sin\left\{\alpha \tan^{-1}\left(\frac{\omega}{aT}\right)\right\}.$$
 (26)

As can be seen in Fig. 6, this theoretical scaling function well explains the low-energy universal scaling behavior obtained from the INS results ranging over about two orders of magnitude in  $\hbar\omega/$   $k_{\rm B}T$  above  $T_{\rm N}$  with  $\alpha \approx 0.14$ , the overall constant  $A \approx 63.9$ , and the order 1 constant  $a \approx 0.88$ .

One may point out that the high-temperature INS data even above 100 K but below 5 meV follow the strong-coupling locally quantum-critical scaling behavior, although those data points are in  $\hbar\omega/k_{\rm B}T$  < 1, which may not belong to the quantum-critical scaling region. Since the temperature would play the role of a lower cutoff in the RG flow of the spin-spin correlation function, it is natural to expect that the potential existence of other length scales at low energies might spoil the scaling behavior in  $\hbar\omega/$  $k_{\rm B}T < 1$ . Interestingly, the present scaling analysis shows the existence of this high-temperature but low-energy scaling behavior, although its origin is not clear.

To confirm the existence of the local quantum criticality at low temperatures in the vicinity of the genuine QCP, we perform a DMFT analysis for the localized Z<sub>2</sub> flux excitations, itinerant MFs, and locally critical Ising AFM spin fluctuations within a non-crossing approximation<sup>34,35</sup>. Compared to the Gross–Neveu–Yukawa-type model for the weak-coupling quantum criticality at high temperatures, two essential modifications have been made; the velocity of Z<sub>2</sub> flux fluctuations is strongly renormalized to vanish, and the dynamics of Ising spin excitations is governed by the inverse of the locally critical spin susceptibility instead of their relativistic dispersion.

Based on the empirical form of the spin susceptibility, Eq. (25), we write an effective action for the low-energy region as  $S = S_{K} + S_{AF} + S_{K-AF}$ . This action is the same as Eq. (10) (in Matsubara frequency space<sup>36</sup>) except for  $S_{AF}$  which is modified as follows,

$$S_{AF} = \frac{1}{2} \int_{0}^{\beta} d\tau d\tau' \int d^{2}x \, \phi(\tau, x) \chi_{LQCP}^{-1}(\tau - \tau') \phi(\tau', x), \qquad (27)$$
$$\chi_{LQCP}^{-1}(i\omega, T, H) = [(aT + |\omega|)^{a} + a^{a}T^{*a}]/A.$$

Note that  $\chi_{LOCP}^{-1}$  is the inverse of Eq. (25). This expression looks quite similar to that of the description of high-energy delocalized quantum criticality. However, there exists an essential difference in that both the spin and Z<sub>2</sub> flux dynamics are local. We perform the DMFT analysis in the non-crossing approximation<sup>37,38</sup>, which confirms that this renormalization ansatz is self-consistent.

The one-loop self-energies for  $\Psi$  and X fermions are

$$\begin{split} \Sigma_{\Psi}(i\omega,\mathbf{k}) &= -\frac{g^2}{2} \int_{i\Omega,\mathbf{q}} \chi_{LQCP}(i\Omega) \mathscr{G}_{\chi}(i\omega - i\Omega,\mathbf{k} - \mathbf{q}), \\ \Sigma_{\chi}(i\omega,\mathbf{k}) &= -\frac{g^2}{2} \int_{i\Omega,\mathbf{q}} \chi_{LQCP}(i\Omega) \mathscr{G}_{\Psi}(i\omega - i\Omega,\mathbf{k} - \mathbf{q}), \end{split}$$
(28)

where  $\int_{i\Omega,\mathbf{q}} \equiv \frac{1}{\beta} \sum_{i\Omega} \int \frac{d^2q}{(2\pi)^2}$  and  $\mathscr{G}_{\Psi,X}$  are renormalized Green's functions given by the Dyson equations

$$\mathcal{G}_{\Psi}^{-1}(i\omega, \mathbf{k}) = i\omega\gamma^{\tau} + i\mathbf{k}\cdot\boldsymbol{\gamma} - \Sigma_{\Psi}(i\omega, \mathbf{k}),$$
  
$$\mathcal{G}_{X}^{-1}(i\omega, \mathbf{k}) = i\omega\gamma^{\tau} + i\mathbf{M}\cdot\boldsymbol{\gamma} - \Sigma_{X}(i\omega, \mathbf{k}).$$
(29)

Here,  $\gamma^a$ ,  $a = \tau$ , 1, 2 are the Euclidean forms of Dirac gamma matrices in two-dimension. The self-consistent condition is formed from the renormalized spin susceptibility given by

$$\chi_{LQCP}(i\Omega) = \int_{i\omega, \mathbf{k}} Tr[\mathscr{G}_{X}(i\omega, \mathbf{k})\mathscr{G}_{\Psi}(i\omega + i\Omega, \mathbf{k} + \mathbf{q})], \qquad (30)$$

Resorting to self-consistent analyses in the non-crossing approximation, we found the susceptibility constraint for the power-law behavior with the critical exponent  $\alpha$  as  $\chi^{-1}(\omega,T) \propto (aT - i\omega)^{\alpha}$ , although a reliable  $\alpha$ -value is hard to be determined theoretically within the present mean-field analysis for the strong-coupling limit. Introduction of higher-order perturbative corrections would yield the exponent a to be positive due to unitarity, consistent with the phenomenological value  $\approx 0.14$  determined from the INS results. These DMFT analyses at least validate the existence of the heavy-fermion-like local quantum criticality at low temperatures due to emergent local dynamics of the Z<sub>2</sub> flux and Ising AFM fluctuations.

To further confirm the local quantum criticality in  $\alpha$ -RuCl<sub>3</sub>, we also performed ac-magnetic susceptibility measurement in a

J.-H. Han et al.

J.-H. Han et al.



**Fig. 7** ac-magnetic susceptibility at nonzero applied magnetic field. a Temperature-magnetic field contour plot of the real part of the ac-magnetic susceptibility  $\chi'_{ac}(T, H)$  for applied magnetic field along *a* axis of the honeycomb lattice, as depicted in the inset of (**b**). The black circles indicate the phase boundaries between zigzag AFM1 (ZZ1), zigzag AFM2 (ZZ2), and polarized ferromagnet (PF). **b**  $\chi'_{ac}(T)$  measured at various fields near the phase boundary between the ZZ2 and PF, displayed in log–log plot.  $\chi'_{ac}$  measured at  $H_C = 7.2$  T shows power-law behavior, representing the criticality, and this field value is indicated with the blue arrow in (**a**). The black solid line is a linear guide to the eyes. **c** A scaled plot of the ac-magnetic susceptibility, plotted as  $\chi'_{ac}T^a$  with a = 0.14 as a function of  $\mu_B(H - H_C)/k_BT$ .

nonzero applied magnetic field. Figure 7a shows the real part of the ac magnetic susceptibility  $\chi'_{ac}(T, H)$  measured with magnetic fields along H//a (see the inset of Fig. 7b for the field direction), representing the phase diagram in the temperature and the magnetic field. The low-temperature  $\chi'_{ac}(H)$  below 4 K reveals two anomaly peaks, indicating phase transitions between zigzag AFM1 (ZZ1), zigzag AFM2 (ZZ2), and polarized FM (PF), in turn. The appearance of the multi phases in the magnetic field is consistent with the phase diagrams provided by previously reported specific heat, ac-magnetic susceptibility, and neutron diffraction measurements<sup>39,40</sup>. Figure 7b shows  $\chi'_{ac}(T)$  at various magnetic fields near the phase boundary between the ZZ2 and PF. In particular,  $\chi'_{ac}(T)$ exhibits a power-law behavior below 14 K at  $H_{\rm C} = 7.2$  T, revealing a criticality where the zigzag antiferromagnetic order is suppressed by the magnetic field. The collected  $\chi'_{ac}(T)$  are scaled with  $\chi'_{ac}T^{\alpha}$ with  $\alpha = 0.14$ , and this quantity collapses onto a single line as a function of  $(H - H_c)/k_BT$  for the fields above  $H_c$ . The observed critical exponent a = 0.14 is consistent with the critical exponent from the dynamical spin susceptibility at the low energy, reconfirming the local quantum criticality in the  $\alpha$ -RuCl<sub>3</sub>.

#### Magnetic-specific heat: Weak to Strong-coupling crossover

The crossover behavior of the quantum criticality is also observable in the magnetic-specific heat  $C_m$  of  $\alpha$ -RuCl<sub>3</sub><sup>20</sup>. As shown in Fig. 8a,  $C_{\rm m}$  exhibits a low-temperature plateau up to ~50 K above  $T_N$  and then follows a T-linear like behavior up to  $T_H$ ~100 K. The latter, which was attributed to the Dirac-like itinerant MFs<sup>29</sup>, indeed agrees well with the contribution calculated in a system with free Dirac fermions, consistent with the weakcoupling quantum criticality. Remarkably, the plateau feature, which has been puzzling, turns out to be understood with the lowenergy local quantum criticality. Using the dynamic spin susceptibility, we construct a standard form of the free energy F(T, H) = $-T\sum_{i\omega} \log \chi(i\omega, T, H)$  and calculate  $C_m(T, H = 0) = -T\partial^2 F/\partial T^2$ with the fitting parameters  $a \approx 0.14$  and  $a \approx 0.88$  obtained from the low-energy scale INS results (see Supplementary Notes and Supplementary Fig. 10 for details). As can be seen in the figure, the calculated C<sub>m</sub> reasonably well reproduces the plateau feature above  $T_N$ . This result implies that most of the entropy is given by the critically fluctuating local moments in this regime.

Besides the plateau feature, the strong-coupling local quantum criticality also predicts a scaling behavior of the specific heat  $C_m$  (also see Supplementary Notes). Now the energy scale separated from QCP corresponds to  $T_N(H) = T_N(0)(1 - H/H_C)^{vz}$ , inverse of the quantum coherence time at an *H*-field. The critical exponent *vz* involves the critical exponent *v* of the correlation length and the

dynamic critical exponent z. In the local quantum criticality,  $v \rightarrow 0$ (local) and  $z \rightarrow \infty$  yield a finite vz. To determine the exponent vz, we measured the specific heat  $C_m$  at various magnetic fields below the critical field  $H_C \approx 6T$ , where  $T_N(H_C)$  is supposed to become zero. Figure 8b shows  $T_N$  vs.  $\delta h = 1 - H/H_C$  and the best fit is obtained with  $vz \approx 0.125$ . Using this value, we examine the scaling behavior of  $(C_m/T)\delta h^{vz}$  as a function of  $T/T_N(H)$ . As can be seen in the inset, all  $(C_m/T)\delta h^{vz}$  at different *H*-fields merge into a universal scaling curve, indicating that the effective spatial dimension, in which critical fluctuations dominate the entropycarrying, is extremely local. This result confirms that the lowtemperature specific heat above  $T_N$  is governed by the strongcoupling local quantum criticality.

#### DISCUSSION

In this study, have shown the crossover behavior of a-RuCl<sub>3</sub> from the high-energy weak-coupling critical region to the low-energy strong-coupling critical region above  $T_N$  as described in Fig. 1. We identified a Wilson-Fisher-Yukawa FP which governs the universal physics in the high-energy region. It is essentially the same physics as that of the pure Kitaev model. Although the zigzag Ising AFM fluctuations are introduced in the present effective field-theory description, they just contribute short-ranged effective interactions to both matter fluctuations, itinerant MFs and localized Z<sub>2</sub>flux excitations, in the high-temperature guantum-critical regime. In this respect, it is not surprising to have a reasonable agreement between the experiment and the pure Kitaev theory, and the essential ingredients in our field-theory description are almost the same as those of the simulation from the pure Kitaev model<sup>19,20,29,30</sup>. Here, we want to emphasize that the present study on the Kitaev-AFM model determines the explicit formula for the scaling function, Eq. (24), which cannot be obtained from previous numerical studies.

The more interesting discovery lies in the low-energy, strongcoupling region. We demonstrated experimentally and theoretically that the spin dynamics follow the heavy-fermion-type strongcoupling physics at low energies. We could show that this emergent strong-coupling local quantum criticality at low energies appears from the weakly coupled rather conventional quantum criticality at high energies. This weak-coupling (Wilson–Fisher type) to strong-coupling (locally critical heavyfermion type) quantum criticality crossover revealed in *a*-RuCl<sub>3</sub> has not been expected before. The local quantum criticality is crosschecked both theoretically and experimentally; self-consistent analysis based on the DMFT description and the magnetic-specific



**Fig. 8** Scaling behavior of the magnetic-specific heat of  $\alpha$ -RuCl<sub>3</sub>. a Magnetic-specific heat (magnetic contribution)  $C_m$  obtained at the zero magnetic field and theoretical model calculations. b Log–log plot of the Néel temperature  $T_N$  under magnetic fields H as a function of  $\delta h = 1 - H/H_C$  (H//a) with the critical field  $H_c \approx 6T$ . The blue-filled circles denote  $T_N(H)$  extracted from the AFM peak position in  $C_m(H, T)$ . The red dashed line is given by scaling function for  $T_N \propto \delta h^{\nu z}$ , where  $\nu$  is the critical exponent of the correlation length and z is the dynamical critical exponent. A universal scaling behavior of  $C_m$  is presented in the inset, where the scaling function data  $\left(\frac{C_m}{T}\right)\delta h^{\nu z}$  for different H-fields merge into a single universal curve above  $T_N(H)$  with  $\nu z \approx 0.125$  in the log–log plot as a function of  $T/T_N(H)$ .

heat measurement, respectively. The scaling behavior at low temperatures above  $T_{\rm N}$  indicates that the critical fluctuations dominating the entropy-carrying are extremely local. Unfortunately, we could not explicitly derive the crossover regime since it requires a non-perturbative theoretical approach, which is quite complicated and too difficult. Instead, we verified the existence of the heavy-fermion-like strong-coupling FP in a self-consistent way based on the DMFT. Again, we point out that this strong-coupling phenomenon has never been either observed or discussed in the research of *a*-RuCl<sub>3</sub>.

In  $\alpha$ -RuCl<sub>3</sub>, it is well known that the symmetric  $\Gamma$ -term is as large as the Kitaev term, and there have been many studies on the effect on the Kitaev spin liquid phase<sup>41–47</sup>. Our model contains the Kitaev term coupled with Ising fluctuations but lacks the **F**-term. However, the antiferromagnetic zigzag order and the corresponding Ising spin excitation result from possible interplays among the Γ-term, the Kitaev interaction term, and the Heisenberg exchange term in the microscopic lattice model perspectives. In this respect, the role of the **F**-term in the ground state and its collective excitation has been taken into account rather "effectively." On the other hand, there can be additional interactions between Ising spin fluctuations in the effective field theory induced by the Fterm. Recalling that four-fermion local interaction in the twodimensional Dirac theory, possibly allowed by the T-term, are RG irrelevant for guantum criticality, we speculate that the T-term does not affect much the critical behavior of Ising spin fluctuations. The **F**-term has a form

$$H_{\Gamma} = \Gamma_x \sum_{x-\text{bonds}} (S_j^y S_k^z + S_j^z S_k^y) + \Gamma_y \sum_{y-\text{bond}} (S_j^z S_k^x + S_j^x S_k^z) + \Gamma_z \sum_{z-\text{bond}} (S_j^x S_k^y + S_j^y S_k^z),$$
(31)

which couples the spin *z*-component (Ising) to the *x*-, *y*-component. Thus, the  $\Gamma$ -term shows the spin exchange coupling between the gapless critical degrees of freedom (Ising spin excitations along the *z*-direction) and the gapped spin excitations of the *x*-, *y*-direction. In this respect, it is natural to expect that the  $\Gamma$ -term is irrelevant to the Ising quantum criticality. In addition, for the high-energy weak-coupling region, the previous experiment<sup>20</sup> shows that the pure Kitaev model without the  $\Gamma$ -term well explains the behavior above the temperature 50 K. Therefore, as far as quantum criticality is concerned, the  $\Gamma$ -term does not affect physics much. For anisotropy in the  $\Gamma$ -term, i.e., different coupling strengths for different bonds, it is usually irrelevant to the criticality due to the symmetry of Dirac fermions.

Previously, we pointed out that the high-energy quantumcritical scaling behavior can be explained by two kinds of emergent Majorana fermions<sup>48</sup>. In particular, the  $Z_2$  flux excitations are coupled with the itinerant fermions, and these effective interactions are responsible for the weak-coupling high-energy quantum-critical scaling behavior in the high-frequency INS data. The relevance of these many-body interactions in the spin-spin correlation function has also been discussed for the pure Kitaev model<sup>49</sup>. Actually, we confirmed similar high-energy quantumcritical scaling behavior in the quantum Monte Carlo simulation for the pure Kitaev model<sup>19,20,29,30</sup>.

It is an essential question whether or not the pure Kitaev physics gives rise to the strong-coupling low-energy quantumcritical scaling behavior in the low-frequency INS data. Since the Z<sub>2</sub> flux excitations coupled with the itinerant fermions are localized at low energies, one may expect strong-coupling behavior in that energy scale. Here we emphasize that role of the quantum-critical Ising-type AFM fluctuations is essential in the appearance of the local quantum criticality. The existence of such quantum-critical spin fluctuations results in long-range singular interactions between the Z<sub>2</sub> flux and itinerant fermion excitations along the time direction. However, the strong-coupling guantum criticality is not possible in the pure Kitaev model since the effective many-body interactions are short-ranged and nonsingular to become irrelevant at low energies due to the formation of the  $Z_2$  flux gap. Indeed, we confirmed that the low-frequency INS data significantly deviates from the simulation results for the pure Kitaev model at low energies<sup>20</sup>.

Note that the existence itself of two FPs is not surprising. For example, suppose a scalar field theory with an effective  $\phi^4$ -type interaction, regarded to be an effective field theory for a transverse-field Ising lattice model<sup>50</sup>. This field theory is well known to show its RG flow from a non-interacting Gaussian FP at the high-energy UV regime to an interacting Wilson-Fisher one at the low-energy IR regime<sup>51</sup>. In quantum chromodynamics, there is an RG flow from an ("almost" non-interacting) asymptotically free theory to a strong-coupling confinement phase<sup>51</sup>. Here, we have an RG flow between the weak-coupling Wilson-Fisher-type "conventional" UV FP and the strong-coupling heavy-fermiontype unconventional or local IR FP. A remarkable point is that we reveal the nature of the IR FP in this Kitaev-type material  $\alpha$ -RuCl<sub>3</sub>. In particular, this IR FP is strongly correlated to be locally quantum critical. The emergence of this heavy-fermion-type strongcoupling FP in this material is completely unexpected.

One may criticize that the heavy-fermion system shows a similar weak-coupling to strong-coupling quantum criticality crossover near the heavy-fermion magnetic QCP. Indeed, some crossover behaviors have been observed in thermodynamics and transport

measurements<sup>52</sup>. However, these crossover behaviors were not clearly understood both experimentally and theoretically. For example, there is a crossover behavior in the specific heat of YbRh<sub>2</sub>Si<sub>2</sub> near a magnetic field-tuned QCP<sup>52</sup>. One may claim that the high-temperature region would be governed by the Hertz-Moriya-Millis theory, a standard weak-coupling theory for heavy-fermion quantum criticality<sup>53</sup>. Meanwhile, there is no consensus for the low-temperature anomalous behavior, not understood as far as we know. In addition, there is a classical paper on INS measurements for  $CeCu_{(6-x)}Au_x^{34}$ , in which the lowenergy spin dynamics was described in a momentumindependent local form with  $\omega/T$  scaling. The origin of this functional form was proposed based on a DMFT framework, but the high-energy spin dynamics were not clarified in the study, and it has not yet been understood how the spin dynamics evolve from UV to IR.

Analogous to the crossover between the weak-coupling Hertz–Moriya–Millis quantum criticality and the strong-coupling local quantum criticality in heavy-fermion systems, we verified a similar quantum criticality crossover behavior in  $\alpha$ -RuCl<sub>3</sub> not only theoretically but also experimentally. Our study verifies the quantum criticality crossover behavior in  $\alpha$ -RuCl<sub>3</sub>. A similar crossover was suggested in the heavy-fermion system, but its mechanism has not been understood in our opinion.

If one could simulate the Kitaev–Heisenberg lattice model<sup>18</sup> and calculate the spin-spin correlation function in a brute force way, we believe that the low-energy strong-coupling guantum-critical physics can be verified theoretically. It remains as an interesting future research. In this respect, an interesting message given by the present study is that the Kitaev-Heisenberg lattice model (with a gamma term) would show the weak-coupling to strongcoupling quantum criticality crossover behavior from UV to IR. To confirm the locally quantum-critical scaling function at low energies more transparently, we have to show momentum independence of the low-energy spin spectrum. In other words, we have to investigate the scaling plot at other transfer momentum points in our neutron scattering measurements. In addition, we can calculate both longitudinal and transverse thermal conductivities based on this locally quantum-critical scaling function for the spin spectrum. Resorting to the DMFT framework with this local spin spectrum, we calculate the selfenergy of the itinerant fractionalized fermion excitations, which gives the temperature dependence of their scattering rate. Here, the main point is that localization of Z<sub>2</sub> gauge fluxes causes that of Ising antiferromagnetic fluctuations, both of which are coupled to the delocalized fractional excitations. As a result, we suspect that both thermal transport coefficients would show effectively a metal (UV) to insulator (IR) crossover behavior due to the localization physics. We expect that this physics may be reflected in a H/Tscaling function for the thermal conductivities.

We believe that our discovery of the crossover behavior from deconfined weak-coupling "non-local" quantum criticality to deconfined strong-coupling "local" quantum criticality in  $\alpha$ -RuCl<sub>3</sub> opens an intriguing research field of critical quantum spin liquids, which results from the interplay between the spontaneous symmetry breaking and the topological ordering. In particular, thermal transport properties in  $\alpha$ -RuCl<sub>3</sub> would reveal transport phenomena distinguishable from existing ones, giving rise to a unique universal scaling law of the transport properties due to the fade-out of well-defined fractionalized excitations in spite of the topological ordering. In this aspect,  $\alpha$ -RuCl<sub>3</sub> provides an ideal platform to explore a unique universality class, where the universal scaling laws govern the thermodynamic, spectroscopic, and transport properties.

#### METHODS

#### **Experimental details**

Single crystalline a-RuCl<sub>3</sub> samples were prepared using the vacuum sublimation method as described in ref. <sup>20</sup>. The crystal orientation was determined by using the X-ray Laue. The acmagnetic susceptibility and specific heat of a-RuCl<sub>3</sub> were measured by using the conventional AC Measurement System II (ACMS II) and calorimeter equipped at a commercial Quantum Design Physical Property Measurement System (PPMS-Dynacool), respectively. The ac-magnetic susceptibility was measured at 500 Hz with an oscillating field of 10 Oe.

The zero-field INS measurements were performed by using MERLIN and LET time-of-flight spectrometers at the ISIS spallation neutron source in Rutherford Appleton Laboratory, UK. 165 pieces of  $\alpha$ -RuCl<sub>3</sub> single crystals with the total mass ~5.5 g were coaligned on an aluminum plate with the (0, K, L) scattering plane. The samples were placed under a liquid helium flow-type cryostat with a temperature control ranging from 2 to 290 K. In the MELRIN experiments, we used a chopper frequency of 300 Hz, which provides  $E_i = 12 \text{ meV}$ , 22 meV, and 50 meV of incident neutron energies with FWHM (full width at the half maximum) energy resolutions of 0.34 meV, 0.75 meV, and 2.23 meV at elastic scattering, respectively. The measurements were performed for the sample rotation from  $-52^{\circ}$  to  $52^{\circ}$  with  $4^{\circ}$  step referring to  $0^{\circ}$ at  $k_i//c^*$ . The LET experiments were performed at  $E_i = 10$  meV with the energy resolution of 0.36 meV (FWHM) for the elastic scattering, and the sample rotation from  $-30^\circ$  to  $30^\circ$  with  $5^\circ$  step relative to  $k_i/c^*$ . The background signals were separately obtained by using an identical aluminum sample holder both in the MERLIN and LET experiments for the background correction. INS data were normalized and converted to the unit for the neutron scattering function by using the incoherent neutron scattering intensity of a standard reference vanadium sample.

The data presented in Figs. 5 and 6 were obtained by integration over [0, 0, L] = [-2.5, 2.5], [0, K, 0] = [-0.17, 0.17], and [H, -0.5H, 0] = [-0.17, 0.17]. The magnetic form factor contribution from the *L*-component in the integrated data was corrected by dividing with the Ru<sup>3+</sup> magnetic form factor at each data point<sup>20</sup>, and the scaled data were compared with the theoretical model calculations for  $S(\Gamma, \omega)$ . All the data were analyzed by using HORACE software distributed by ISIS<sup>54</sup>.

#### DATA AVAILABILITY

Data are available from the corresponding author upon reasonable request.

#### CODE AVAILABILITY

All numerical codes in this paper are available from the corresponding authors upon reasonable request.

Received: 22 June 2022; Accepted: 20 May 2023; Published online: 09 June 2023

## REFERENCES

- 1. Coleman, P. & Schofield, A. J. Quantum criticality. Nature 433, 226-229 (2005).
- 2. Sachdev, S. Quantum magnetism and criticality. Nat. Phys. 4, 173-185 (2008).
- Schröder, A. et al. Onset of antiferromagnetism in heavy-fermion metals. *Nature* 407, 351–355 (2000).
- Keimer, B., Kivelson, S. A., Norman, M. R., Uchida, S. & Zaanen, J. From quantum matter to high-temperature superconductivity in copper oxides. *Nature* 518, 179–186 (2015).
- Coldea, R. et al. Quantum criticality in an Ising chain: experimental evidence for emergent E8 symmetry. *Science* 327, 177–180 (2010).
- Kohno, M., Starykh, O. A. & Balents, L. Spinons and triplons in spatially anisotropic frustrated antiferromagnets. *Nat. Phys.* 3, 790–795 (2007).

- Lake, B., Tennant, D. A., Frost, C. D. & Nagler, S. E. Quantum criticality and universal scaling of a quantum antiferromagnet. *Nat. Mater.* 4, 329–334 (2005).
- Fradkin, E. Field Theories of Condensed Matter Physics, 2nd edn. (Cambridge University Press, 2013).
- Stormer, H. L., Tsui, D. C. & Gossard, A. C. The fractional quantum Hall effect. *Rev. Mod. Phys.* 71, 5298 (1999).
- 10. Balents, L. Spin liquids in frustrated magnets. *Nature* 464, 199–208 (2010).
- Savary, L. & Balents, L. Quantum spin liquids: a review. *Rep. Prog. Phys.* 80, 016502 (2016).
- 12. Kitaev, A. Anyons in an exactly solved model and beyond. Ann. Phys. **321**, 2–111 (2006).
- Banerjee, A. et al. Proximate Kitaev quantum spin liquid behaviour in a honeycomb magnet. *Nat. Mater.* 15, 733–740 (2016).
- Johnson, R. D. et al. Monoclinic crystal structure of α-RuCl<sub>3</sub> and the zigzag antiferromagnetic ground state. *Phys. Rev. B* 92, 235119 (2015).
- Plumb, K. W. et al. α-RuCl<sub>3</sub>: a spin-orbit assisted Mott insulator on a honeycomb lattice. *Phys. Rev. B* **90**, 041112 (2014).
- 16. Sears, J. A. et al. Magnetic order in  $\alpha$ -RuCl<sub>3</sub>: a honeycomb-lattice quantum magnet with strong spin-orbit coupling. *Phys. Rev. B* **91**, 144420 (2015).
- 17. Kim, B. J. et al. Novel  $J_{eff} = 1/2$  Mott state induced by relativistic spin-orbit coupling in Sr<sub>2</sub>IrO<sub>4</sub>. *Phys. Rev. Lett.* **101**, 076402 (2008).
- Jackeli, G. & Khaliullin, G. Mott insulators in the strong spin-orbit coupling limit: from Heisenberg to a quantum compass and Kitaev models. *Phys. Rev. Lett.* **102**, 017205 (2009).
- Banerjee, A. et al. Neutron scattering in the proximate quantum spin liquid α-RuCl<sub>3</sub>. Science 356, 1055–1059 (2017).
- Do, S.-H. et al. Majorana fermions in the Kitaev quantum spin system *a*-RuCl<sub>3</sub>. *Nat. Phys.* 13, 1079–1084 (2017).
- Sandilands, L. J., Tian, Y., Plumb, K. W., Kim, Y.-J. & Burch, K. S. Scattering continuum and possible fractionalized excitations in *a*-RuCl<sub>3</sub>. *Phys. Rev. Lett.* **114**, 147201 (2015).
- 22. Widmann, S. et al. Thermodynamic evidence of fractionalized excitations in *a*-RuCl<sub>3</sub>. *Phys. Rev. B* **99**, 094415 (2019).
- 23. Lefrançois, E. et al. Evidence of a phonon Hall effect in the Kitaev spin liquid candidate α-RuCl<sub>3</sub>. *Phys. Rev. X* **12**, 021025 (2022).
- 24. Bruin, J. et al. Origin of oscillatory structures in the magnetothermal conductivity of the putative Kitaev magnet *a*-RuCl<sub>3</sub>. *APL Mater.* **10**, 090703 (2022).
- 25. Czajka, P. et al. Oscillations of the thermal conductivity in the spin-liquid state of *a*-RuCl<sub>3</sub>. *Nat. Phys.* **17**, 915–919 (2021).
- 26. Kasahara, Y. et al. Majorana quantization and half-integer thermal quantum Hall effect in a Kitaev spin liquid. *Nature* **559**, 227–231 (2018).
- Yokoi, T. et al. Half-integer quantized anomalous thermal Hall effect in the Kitaev material candidate α-RuCl<sub>3</sub>. Science **373**, 568–572 (2021).
- Bruin, J. A. N. et al. Robustness of the thermal Hall effect close to half-quantization in *a*-RuCl<sub>3</sub>. *Nat. Phys.* 18, 401–405 (2022).
- Nasu, J., Udagawa, M. & Motome, Y. Thermal fractionalization of quantum spins in a Kitaev model: temperature-linear specific heat and coherent transport of Majorana fermions. *Phys. Rev. B* **92**, 115122 (2015).
- Yoshitake, J., Nasu, J. & Motome, Y. Fractional spin fluctuations as a precursor of quantum spin liquids: Majorana dynamical mean-field study for the Kitaev model. *Phys. Rev. Lett.* **117**, 157203 (2016).
- 31. Zinn-Justin, J. *Quantum Field Theory and Critical Phenomena*, 5th edn. (Oxford University Press, 2021).
- Lee, D.-H., Zhang, G.-M. & Xiang, T. Edge solitons of topological insulators and fractionalized quasiparticles in two dimensions. *Phys. Rev. Lett.* 99, 196805 (2007).
- Squires, G. L. Introduction to the Theory of Thermal Neutron Scattering (Courier Corporation, 1996).
- Si, Q., Rabello, S., Ingersent, K. & Smith, J. L. Locally critical quantum phase transitions in strongly correlated metals. *Nature* 413, 804–808 (2001).
- Kotliar, G. et al. Electronic structure calculations with dynamical mean-field theory. *Rev. Mod. Phys.* 78, 865–951 (2006).
- Mahan, G. D. Many-Particle Physics, 3rd edn. (Kluwer Academic/Plenum Publishers, 2000).
- Parcollet, O., Georges, A., Kotliar, G. & Sengupta, A. Overscreened multichannel SU(*N*) Kondo model: large-*N* solution and conformal field theory. *Phys. Rev. B* 58, 3794–3813 (1998).
- Müller-Hartmann, E. Self-consistent perturbation theory of the Anderson model: ground state properties. *Zeitschrift für Physik B Condensed Matter* 57, 281–287 (1984).
- Tanaka, O. et al. Thermodynamic evidence for a field-angle-dependent majorana gap in a Kitaev spin liquid. *Nat. Phys.* 18, 429–435 (2022).
- Balz, C. et al. Field-induced intermediate ordered phase and anisotropic interlayer interactions in a – RuCl<sub>3</sub>. Phys. Rev. B 103, 174417 (2021).
- Rau, J. G., Lee, E. K.-H. & Kee, H.-Y. Generic spin model for the honeycomb iridates beyond the Kitaev limit. *Phys. Rev. Lett.* **112**, 077204 (2014).

- Gohlke, M., Wachtel, G., Yamaji, Y., Pollmann, F. & Kim, Y. B. Quantum spin liquid signatures in Kitaev-like frustrated magnets. *Phys. Rev. B* 97, 075126 (2018).
- Gordon, J. S., Catuneanu, A., Sørensen, E. S. & Kee, H.-Y. Theory of the fieldrevealed Kitaev spin liquid. *Nat. Commun.* 10, 2470 (2019).
- Lee, H.-Y. et al. Magnetic field induced quantum phases in a tensor network study of Kitaev magnets. Nat. Commun. 11, 1639 (2020).
- Nanda, A., Dhochak, K. & Bhattacharjee, S. Phases and quantum phase transitions in an anisotropic ferromagnetic Kitaev-Heisenberg-Γ magnet. *Phys. Rev. B* 102, 235124 (2020).
- Buessen, F. L. & Kim, Y. B. Functional renormalization group study of the Kitaev-F model on the honeycomb lattice and emergent incommensurate magnetic correlations. *Phys. Rev. B* **103**, 184407 (2021).
- Zhang, S.-S., Halász, G. B., Zhu, W. & Batista, C. D. Variational study of the Kitaev-Heisenberg-Gamma model. *Phys. Rev. B* 104, 014411 (2021).
- Song, X.-Y., You, Y.-Z. & Balents, L. Low-energy spin dynamics of the honeycomb spin liquid beyond the Kitaev limit. *Phys. Rev. Lett.* **117**, 037209 (2016).
- Knolle, J., Kovrizhin, D. L., Chalker, J. T. & Moessner, R. Dynamics of a twodimensional quantum spin liquid: signatures of emergent Majorana fermions and fluxes. *Phys. Rev. Lett.* **112**, 207203 (2014).
- 50. Kardar, M. Statistical Physics of Particles (Cambridge University Press, 2007).
- 51. Peskin, M. E. An Introduction to Quantum Field Theory (CRC Press, 2018).
- Gegenwart, P., Custers, J., Tokiwa, Y., Geibel, C. & Steglich, F. Ferromagnetic quantum critical fluctuations in YbRh<sub>2</sub>(Si<sub>0.95</sub>Ge<sub>0.05</sub>)<sub>2</sub>. *Phys. Rev. Lett.* **94**, 076402 (2005).
- Zhu, L., Garst, M., Rosch, A. & Si, Q. Universally diverging grüneisen parameter and the magnetocaloric effect close to quantum critical points. *Phys. Rev. Lett.* **91**, 066404 (2003).
- 54. Ewings, R. et al. Horace: software for the analysis of data from single crystal spectroscopy experiments at time-of-flight neutron instruments. *Nucl. Instrum. Methods Phys. Res. Sec. A: Accelerators, Spectrometers, Detectors and Associated Equipment* **834**, 132–142 (2016).

### ACKNOWLEDGEMENTS

We thank J. Ross Stewart and D.J. Voneshen for technical support in the INS experiments and E.-G. Moon for useful discussions. Experiments at the ISIS Neutron Source were supported by beamtime allocations RB1900147 and RB1610313 from the Science and Technology Facilities Council. This work is supported by Max Planck POSTECH/Korea Research Initiative (grant No. 2022M3H4A1A04074153) through the National Research Foundation of Korea, funded by the Ministry of Science and ICT. J.-H.H. and K.-S.K. acknowledge support from the NRF grant (NRF-2021R1A2C1006453 and NRF-2021R1A4A3029839). J.-H.H. also acknowledges support from the Institute for Basic Science in the Republic of Korea through the project IBS-R024-D1. S.-H.D. and J.-Y.K. acknowledge partial support from an NRF grant (NRF-2017K1A3A7A09016303). K.-Y.C. is supported by the KRF of Korea (Grant No. 2020R1A5A1016518). S.J. acknowledges support from the KAERI Internal R&D Program (Grant No. 524460-23).

### AUTHOR CONTRIBUTIONS

The project was conceived by K.Y.C. and led by S.J., K.S.K., and J.H.P. S.H.D., and K.Y.C. prepared single crystals and measured the bulk physical properties. S.H.D., S.Y.P., J.Y.K., and S.J. performed the INS experiment, and S.H.D. and S.J. analyzed the neutron scattering data. J.H.H. and K.S.K. constructed the theoretical model and carried out the model calculations. J.H.H., S.H.D., K.S.K., and J.H.P. wrote the manuscript. All authors discussed the results and commented on the manuscript.

#### **COMPETING INTERESTS**

The authors declare no competing interests.

#### ADDITIONAL INFORMATION

Supplementary information The online version contains supplementary material available at https://doi.org/10.1038/s41535-023-00563-w.

**Correspondence** and requests for materials should be addressed to Sungdae Ji, Ki-Seok Kim or Jae-Hoon Park.

Reprints and permission information is available at http://www.nature.com/ reprints

**Publisher's note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

**Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit http:// creativecommons.org/licenses/by/4.0/.

© The Author(s) 2023