ARTICLE OPEN

Anderson–Kitaev spin liquid

Masahiko G. Yamada ^{1,2}✉

The bond-disordered Kitaev model attracts much attention due to the experimental relevance in α -RuCl₃ and A₃Lir₂O₆ (A = H, D, Ag, etc.). Applying a magnetic field to break the time-reversal symmetry leads to a strong modulation in mass terms for Dirac cones. Because of the smallness of the flux gap of the Kitaev model, a small bond disorder can have large influence on itinerant Majorana fermions. The quantization of the thermal Hall conductivity κ^{xy}/T disappears by a quantum Hall transition induced by a small disorder, and κ^{xy}/T shows a rapid crossover into a state with a negligible Hall current. We call this immobile liquid state Anderson–Kitaev spin liquid (AKSL). Especially, the critical disorder strength $\delta J_{c1} \sim 0.05$ in the unit of the Kitaev interaction would have many implications for the stability of Kitaev spin liquids.

npj Quantum Materials (2020)5:82; <https://doi.org/10.1038/s41535-020-00285-3>

INTRODUCTION

The Kitaev model¹ is one of the greatest examples of two-dimensional (2D) solvable models of quantum spin liquids (QSLs)^{2–4}, especially in the perspective of spin-orbital-entangled physics^{5,6}. This model has a bond-dependent anisotropic interaction, which brings about exchange frustration and realizes gapped and gapless spin liquid states depending on its parameters. Amazingly, this interaction can be furnished in materials with a strong spin–orbit coupling⁷. Iridates and α -RuCl₃ are prominent examples of candidate materials for the Kitaev model^{8–10}, and thus are called Kitaev materials. As a hallmark, the half-integer quantization of the thermal Hall conductance has been observed in α -RuCl₃ with a magnetic field¹¹, suggesting the fractionalization of spin degrees of freedom predicted from the Kitaev model. However, it is also known that these honeycomb materials cannot fully be understood by the original (pure) Kitaev model^{12,13}. While other diagonal or offdiagonal interactions might be important in real materials¹⁴, the importance of disorder has been ignored in these materials until recently^{15–17}. Indeed, experiments in A₃Lir₂O₆ (A = H, Ag, etc.) show a universal scaling in the field dependence of the heat capacity^{5,18}, which strongly suggests the existence of disorder^{19,20}. The candidate ground states must be disordered QSLs, and the absence of long-range order can be attributed to the critical role of disorder.

In fact, the role of disorder in QSLs itself is a long-standing problem because of the absence of a solvable model, except for limited cases²¹. We propose a disordered Kitaev model as a “numerically” solvable model for the disordered QSL, where we can treat the magnetic field effect within the perturbation theory. Thus, this study is not only a model investigation for the disordered Kitaev materials like A₃Lir₂O₆ (A = H, D, Ag, etc.)^{5,18,22}, but also a systematic examination of a numerically solvable disordered QSL, which would be an attempt towards the universal understanding of various disordered QSLs. Especially, since most QSLs are unsolvable, an unbiased study of disordered QSLs was impossible in the previous method.

Moreover, the bond-disordered Kitaev model with an applied magnetic field is not an “analytically” solvable problem. While it seems that the problem is reduced to the Anderson localization of symmetry class D with a short-range correlated disorder²³, we

discovered that this is not the end of the story. The nontrivial part comes from the third-order perturbation in an applied field because the computation of the “disorder” in the time-reversal-breaking term requires diagonalization¹. This nonlocal operation makes the disorder long-range correlated. Such a long-range correlated random mass disorder could be a relevant perturbation²⁴, and a simple renormalization group analysis may fail. Treating the long-range correlated disorder essentially requires a large-scale calculation and the extrapolation to the thermodynamic limit, which were numerically difficult in the finite-size Monte Carlo methods^{25,26}. For these reasons, we invented a powerful numerical method based on the kernel polynomial method (KPM)²⁷ to overcome the difficulty.

From the materials side, the dispersion of low-energy excitations of H₃Lir₂O₆ is a mystery. Although the behavior of the heat capacity of H₃Lir₂O₆ without a magnetic field⁵ is partially explained by the bond-disordered Kitaev model¹⁷, the T^2 -dependence of the heat capacity of H₃Lir₂O₆ with a magnetic field⁵, which suggests the linear dispersion of the low-energy density of states (DOS)⁵, has never been described by an unbiased calculation. Such heat capacity behaviors are phenomenologically explained by the random-singlet theory with a Dzyaloshinskii–Moriya (DM) interaction¹⁹. However, it is not clear whether the DM interaction is important in H₃Lir₂O₆, and symmetric Kitaev– Γ interactions may be a dominant consequence of the spin–orbit coupling. We rather try to explain the observed linear dispersion with an applied field from the bond-disordered Kitaev model. Indeed, an unbiased numerical simulation of the bond disorder is not only theoretically nontrivial but also experimentally important.

The Anderson transition (or quantum Hall transition) is visible in a Kitaev spin liquid (KSL) by applying a small magnetic field. The quantized thermal Hall conductivity serves as an order parameter, which must go to zero in the large-disorder limit. This limit without a linear slope of the thermal Hall conductivity is named Anderson–Kitaev spin liquid (AKSL). The transition between KSL and AKSL is nothing but a transition between different Hall plateaus. Although we defined the transition in 2D, the transition may be observable even in three-dimensional (3D) generalizations^{28,29} (mobility edge).

¹Department of Materials Engineering Science, Osaka University, Toyonaka 560-8531, Japan. ²Institute for Solid State Physics, University of Tokyo, Kashiwa 277-8581, Japan.

✉email: myamada@mp.es.osaka-u.ac.jp

In this article, we simulate the bond-disordered Kitaev model to see a crossover between KSL and AKSL, especially from the topological transition in the thermal Hall effect^{11,25,30}. We discovered that the quantized thermal Hall effect is not as stable as expected from the Anderson transition with a short-range correlated disorder.

RESULTS

Magnetic field effect

The pure Kitaev model on the honeycomb lattice can be defined from Fig. 1a. The bonds parallel to the red, green, and blue ones are x -, y -, and z -labeled bonds, respectively. The Hamiltonian is

$$H_0 = -J \sum_{\langle jk \rangle \in \gamma} \sigma_j^\gamma \sigma_k^\gamma, \quad (1)$$

where $\langle jk \rangle$ means a nearest-neighbor (NN) bond, and $J > 0$. $\gamma = x, y$, or z is determined by a bond label. This model is known to be solvable by representing σ_j^γ by Majorana fermions $ib_j^\gamma c_j$. This representation still works even if we introduce bond disorder as follows:

$$H_{\text{bond}} = - \sum_{\langle jk \rangle \in \gamma} J_{jk} \sigma_j^\gamma \sigma_k^\gamma, \quad (2)$$

where $J_{jk} = J \pm \delta J$ is a bond-dependent hopping, and $\delta J > 0$ is the strength of bond disorder. This model is still numerically solvable if we can assume that the ground state is 0-flux when δJ is in the perturbative regime. Under this assumption, all the states with a vison, which is defined as a pair of neighboring π -flux vortices excited by flipping the sign of a single bond, are assumed to be the "first" excited states from the ground state flux sector. This is how the perturbation theory works for this Kitaev model. We employ Kitaev's trick to solve these Hamiltonians with an applied magnetic field¹.

Let us first review the perturbation for the pure Kitaev model with a magnetic field

$$H = H_0 + V. \quad (3)$$

$$V = - \sum_j \left(h^x \sigma_j^x + h^y \sigma_j^y + h^z \sigma_j^z \right), \quad (4)$$

where $\mathbf{h} = (h^x, h^y, h^z)^t$ is an applied magnetic field.

It is well known that V can be treated by the third-order perturbation¹. The result after introducing itinerant Majorana

fermions c_j is

$$H_{\text{eff}} = \frac{iJ}{2} \sum_{\langle jk \rangle} c_j c_k + \frac{i\tilde{K}}{2} \sum_{\langle\langle kl \rangle\rangle} c_k c_l + (\text{four-fermion terms}). \quad (5)$$

$$\tilde{K} = \frac{3h^x h^y h^z}{48a_0^2 J^2}, \quad (6)$$

where $a_0 = 0.262433$ in the thermodynamic limit for the 0-flux state, and $a_0 J$ is a vison gap in the uniform case. The determination of the prefactor follows a mean-field solution³¹. The direction of the next-nearest-neighbor (NNN) bond $\langle\langle kl \rangle\rangle$ is defined clockwise as shown in Fig. 1a around the site j . A site connected by the γ -bond from j is called $\gamma[j]$ for $\gamma = x, y$, and z , as shown in Fig. 1a. We define $\tilde{h} = h^x h^y h^z / 48$.

Kitaev's trick

Next, let us include binary disorder as $H = H_{\text{bond}} + V$. Following Kitaev¹, we can always do perturbation from any random H_{bond} by a formula

$$H_{\text{eff}}^{(3)} = \Pi_0 V G'_0(E_0) V G'_0(E_0) V \Pi_0, \quad (7)$$

where Π_0 is a projection onto the ground state flux sector, $G'_0(E)$ is an unperturbed Green function constructed from H_{bond} with the ground state flux sector excluded from the Hilbert space, and E_0 is an initial energy. Since H_{bond} is solvable by Majorana fermions, it is in principle possible to calculate $G'_0(E)$ numerically to exhaust every term appearing in the third order. For example, a Green function for excited states is efficiently obtained by KPM²⁷ numerically. However, this strategy is surely overkill for our problem.

A much simpler solution is to use a trick introduced by Kitaev. Though we still need an $O(N^4)$ calculation cost to decide all terms by usual matrix diagonalization, where N is the number of sites, there is no need for matrix exponentiation or integration. Kitaev's trick is done by replacing $G'_0(E_0)$ by $-(1 - \Pi_0)/\Delta_{\text{vison}}$, assuming that the virtual state energy is constant determined just by a vison gap Δ_{vison} . This is a bold approximation to simplify the problem drastically, but as we will see essential features, such as the modulation of the mass term, can be captured even within Kitaev's approximation.

In this way, a typical third-order term is as follows:

$$H_{\text{eff}} = \frac{i}{2} \sum_{\langle jk \rangle} J_{jk} c_j c_k + \frac{i}{2} \sum_{\langle\langle kl \rangle\rangle} \tilde{K}_{kl} c_k c_l + \dots \quad (8)$$

where $\tilde{K}_{kl}$ depends on the intermediate site j in the third-order perturbation process. Seen from j , $\tilde{K}$ can be calculated by replacing $3/(a_0 J)^2$ by $1/(\Delta_x \Delta_y) + 1/(\Delta_y \Delta_z) + 1/(\Delta_z \Delta_x)$, where Δ_γ is the energy of the vison excited by flipping the sign of the bond between j and $\gamma[j]$.

$$\tilde{K}_{kl} = \tilde{K}_j = \frac{\tilde{h}}{\Delta_x \Delta_y} + \frac{\tilde{h}}{\Delta_y \Delta_z} + \frac{\tilde{h}}{\Delta_z \Delta_x}. \quad (9)$$

We note that three bonds have the same value of $\tilde{K}_{kl}$ around j . Thus, the disorder simply modulate the mass term of Dirac cones via random NNN hoppings, and the problem is still solvable numerically.

In this case, four-fermion terms are short-ranged and we have just ignored them as we are only interested in the Hall conductivity in the $\mathbf{h} \rightarrow \mathbf{0}$ limit. Though we will assume the ground state of H_{bond} to be 0-flux in the following discussions, the perturbation can be done from any flux configuration. We note that a second-order perturbation in $\mathbf{h}$ is ignored because it just renormalizes bond-dependent hoppings J_{jk} and does not break the time-reversal symmetry. Indeed, there is a priori no way to determine the ratio of the coefficients of the second- and third-order perturbations, although we can always use a mean-field

Fig. 1 Schematic phase diagram. **a** Directional dependence of the bond interaction and the NNN hopping arising for Majorana fermions under the magnetic field. **b** $\Delta_{\text{min}} = \min(\Delta_{\text{vison}})$ versus disorder strength δJ . After the gap closing around $\delta J = \delta J_{c2}$, the flux sector becomes vison glass. **c** κ^{xy}/T versus disorder strength δJ . From $\delta J > \delta J_{c1}$, the crossover to the AKSL is observed and κ^{xy}/T finally reaches 0 around $\delta J/J = 1$.

Fig. 2 Main results. **a** Kubo formula versus NCCN. NCCN-Diag means that the Chern number is calculated by diagonalization, while NCCN-KPM means that the Chern number is calculated by KPM with $M' = 512$ and $R = 100$. In order to put errorbars, random vectors are chosen to be Haar-random. Only L mod $6 = 2, 4$ is plotted. The ordinary periodic boundary condition is used. **b** Mean and minimum values of flux gaps calculated by KPM. The error bar is smaller than the line width and only plotted for $L = 10$. KPBC is used. **c** NCCN calculated by diagonalization (solid lines) and KPM (scatter plots). $N_{\text{sample}} = 24$ is used for the diagonalization. For KPM we used $R = 24$, and $N_{\text{sample}} = 360$. KPBC is used. **d** NCCN calculated by KPM and the value extracted for $L \rightarrow \infty$. The margin of error at 5% significance level is used for the ribbon for the extrapolation. Error bars are standard errors (SE) if not mentioned.

solution of the pure Kitaev model to estimate it³¹. As stressed in the Introduction, the computation by Kitaev's trick is an essential part of this study, producing long-range correlation in the mass disorder.

Thermal conductivity

We only consider zero temperature and ignore thermal flux fluctuations above the 0-flux sector. Lieb's theorem³² no longer applies, but we can expect it to be applicable on average. Anyway, the calculation is relevant only in the regime where the flux gap is not closed by thermal fluctuation or bond disorder ($\delta J < \delta J_{c2}$ in Fig. 1b, c).

We employed Kitaev's trick to calculate a Majorana spectrum with an external magnetic field for each quenched bond disorder. From this, we can compute an inplane thermal Hall conductivity $\kappa^{xy}(T)$, especially behavior of κ^{xy}/T at $T \rightarrow 0$. Here xy does not coincide with the Cartesian axis but means a transverse component of the thermal conductivity.

As described in Methods, the thermal Hall conductivity can be computed directly by the Kubo formula. However, we can alternatively use the so-called noncommutative Chern number (NCCN)³³, which is defined by a spectral projector for occupied free fermions. This formula is advantageous because it is proven to become integer after disorder average with some conditions, whereas it only makes sense in the thermodynamic limit.

$$\text{Ch} = -\frac{2\pi i}{L^2} \text{tr}\{P_F[[r_1, P_F], [r_2, P_F]]\}, \quad (10)$$

where P_F is a spectral projector, i.e. the projection operator onto the occupied states, and L is a linear scale of the system. This formula must agree with the Kubo formula in the thermodynamic limit by a well-known relation $\kappa^{xy}/T = \pi k_B^2 \text{Ch}/(12\hbar)$ for Majorana fermions. We note that there are other ways to detect the topological nontriviality^{34–36}.

After taking an average of $\text{Ch} \propto \kappa^{xy}/T$ over a number of disorder configurations, we plot a physical thermal Hall conductivity as a function of δJ . The error bar is estimated from a statistical deviation. From now on we set $\hbar = k_B = J = 1$.

Numerical results

We first note that, since we only include the third-order perturbation, the results here are not simply comparable with experiments. However, it was proposed that the contribution from $h^x h^y h^z$ can be picked up by applying an inplane magnetic field³⁷, so we only take an odd component under every sign change ($h^x \mapsto -h^x$, $h^y \mapsto -h^y$, and $h^z \mapsto -h^z$) of the three components of **h**

from total κ^{xy} . From now on we denote κ^{xy} as an odd component under every sign change and ignore other components.

The approximate correspondence between the Kubo formula and NCCN is confirmed for the pure Kitaev model (see Fig. 2a). We note that Haar-random vectors are used in this calculation, but are not used in the following as described in Supplementary Methods and Supplementary Fig. 1. From here we will prefer NCCN to the Kubo formula because we can use KPM to approximate the spectral projector P_F to avoid diagonalization. We note that the application of KPM to the Kubo formula requires efforts³⁸.

Next, we would move on to a large-scale calculation by Kitaev's trick. We only take (Kitaev's) $L \times L$ periodic boundary condition (for spins) from $L = 10$, where the vison gap gets close to the thermodynamic limit. As long as we are interested in the topological property, the $\mathbf{h} \rightarrow \mathbf{0}$ limit does not have to be taken. We set $h^x = h^y = h^z \equiv h = \Delta_{\min}$, where $\Delta_{\min}$ is the minimum vison gap for each disorder configuration. In order to reduce the finite-size effect, we adopt Kitaev's torus basis where the finite-size effects cancel out, which is defined from a torus basis $(\mathbf{L}_1, \mathbf{L}_2 + \mathbf{n}_1)$ ¹. We call it Kitaev's periodic boundary condition (KPBC) for simplicity. The NCCN formula for KPBC has to be modified as described in Supplementary Methods. This arbitrary choice of boundary conditions does not matter in the thermodynamic limit. The averaged $\langle \kappa^{xy} \rangle / T$ for $T \rightarrow 0$ is shown as a function of δJ , and drops rapidly to 0 from the quantized value as the disorder strength δJ grows. From here $\langle \kappa^{xy} \rangle / T$ is plotted in the unit of a quantum $\pi/12$. We used $R = 24$ vectors to approximate the trace³⁹.

The mean and minimum values of vison gaps are plotted for each δJ in Fig. 2b. When $\delta J > \delta J_{c2} \sim 0.3$, the vison gap approaches 0 for some plaquette, and the 0-flux ground state is destabilized. We tentatively define δJ_{c2} as the point where $\Delta_{\min} = 0.05$. From here, the perturbation from the 0-flux sector cannot be justified. Moreover, some flux sectors get almost degenerate and the first-order perturbation in **h** now becomes relevant. Beyond this point, a quantized thermal Hall current is no longer a well-defined notion. Flux excitations and (itinerant) Majorana fermions are not separable, and the discussion based only on free Majorana fermions breaks down.

When $\delta J \ll \delta J_{c2}$, the calculation by Kitaev's trick can be justified. Fig. 2c shows NCCN calculated by diagonalization (line plot) and KPM (scatter plot). These two methods agree well. From the data of KPM we extrapolated the thermodynamic limit. The finite-size data are fit by exponential functions, and extracted the converged value for $L \rightarrow \infty$. The extrapolation is plotted in Fig. 2d and the thermodynamic limit is shown in a line plot with a ribbon. Around $\delta J = \delta J_{c1} = 0.05$, NCCN deviates from unity, which suggests the

Fig. 3 Arithmetic and geometric means of LDOS. a $\delta J = 0.0$. **b** $\delta J = 0.1$. **c** $\delta J = 0.2$. **d** $\delta J = 0.3$. For every figure $L = 100$, $R = 24$, and $N_{\text{sample}} = 360$.

existence of the topological transition into the gapless phase. For the calculations we took $N_{\text{sample}} = 360$ quenched disorder samples and used $M = 1024$, and $R = 24$, where M is the expansion order of KPM.

Local density of states

When $\delta J \ll \delta J_{c2}$, free Majorana fermions are only relevant low-energy excitations, and we can use many tools of free fermions to discuss properties of the transition, such as DOS and a localization length. DOS around the ground state can be measured from the information of the 0-flux sector. As is often the case, we only calculated local density of states (LDOS), instead. LDOS for a site i is defined as follows²⁷:

$$\rho_i(\varepsilon) = \sum_{k=1}^N |\langle i|k \rangle|^2 \delta(\varepsilon - \varepsilon_k), \quad (11)$$

where $|i\rangle$ is a site basis, and $|k\rangle$ is an eigenstate of the Hamiltonian with an energy $\varepsilon = \varepsilon_k$.

The nonlocality of Majorana fermions does not matter as averaged LDOS approximates DOS well enough. Both of the quantities are easily computed using KPM, and LDOS is enough for our purpose. The ratio of the arithmetic and geometric means of LDOS also works as the order parameter of an Anderson transition instead of the localization length. From the gapped Dirac spectrum (see Fig. 3a) the LDOS becomes gapless as the disorder strength increases. In the gapless region, DOS behaves linearly around $\varepsilon = 0$ (see Fig. 3b–d). The localization in Fig. 3b–d is clear from the discrepancy between the arithmetic and geometric averages of LDOS. Details are included in Supplementary Methods.

DISCUSSION

Even in a finite-size calculation, the transition between KSL and AKSL was well-observed and the schematic phase diagram in Fig. 1c was confirmed. From the extrapolation, δJ_{c1} is very small and $\delta J_{c1}/J \sim 0.05$. $\delta J_{c1}/J \ll a_0 \sim 0.26$ suggests the fragility of KSL. The fragility potentially explains the observed sample dependence of the half-integer quantized thermal Hall effect in α -RuCl₃⁴⁰. There is

a possibility that $\delta J_{c1}/J \rightarrow 0$ as $h/\Delta_{\text{min}} \rightarrow 0$, which means that the bond disorder in the Kitaev model is a relevant perturbation²⁴. After the transition, the V-shaped behavior of DOS completely agrees with an observed linear low-energy DOS for H₃Lir₂O₆ with an applied magnetic field⁵.

It was proposed that the spin-polarized scanning tunneling microscopy (SP-STM) could be a local probe for edge states of the Kitaev model⁴¹. During the crossover to AKSL, edge states should disappear gradually and thus SP-STM should be a good probe for the topological transition.

The $h/\Delta_{\text{min}} \rightarrow 0$ limit must be taken carefully. A small h/Δ_{min} suffers from the finite-size effect, so we need to increase N according to the $h/\Delta_{\text{min}} \rightarrow 0$ limit, as described in Supplementary Methods and Supplementary Fig. 2. Whether or not $\delta J_{c1}/J \rightarrow 0$ in this limit is an important future problem. We note that the vortex disorder is known to be relevant, so the introduction of random vortices may change the universality⁴².

The fragility of the quantization has many implications to experiments. Disorder always exists in real materials, especially in any 2D layered system, and even in clean samples of α -RuCl₃ stacking faults must exist⁴³. Thus, the situation is quite similar to that of the fractional quantum Hall effect (FQHE). The observation of FQHE requires a really clean sample, and the recently observed quantized thermal Hall current of FQHE is more sensitive to disorder⁴⁴. The sensitivity also resembles unconventional superconductors⁴⁵. It might be universal in strongly correlated systems. Thus, we need to reconsider the importance of cleanliness for the topological order in general. Further discussions can be found in Supplementary Discussion.

Note added

After the early version of the present paper on arXiv, a finite-temperature calculation of the disordered Kitaev models has been reported⁴⁶.

METHODS

We would like to introduce the KPM^{27,47,48}. KPM is used twofold in this work. The first is for the vison gap calculation and the second is for the

Chern number calculation. The calculation cost for the former is $O(N^2)$ and for the latter $O(N)$.

Vison gap calculation

First, let us consider a Majorana Hamiltonian with the following form.

$$H = \frac{1}{4} \sum_{j,k} \mathcal{H}_{jk} c_j c_k, \quad (12)$$

where $\mathcal{H}$ is a Hermitian matrix. For Majorana fermions c_j , $\mathcal{H}$ has a form $\mathcal{H} = iA$, where A is a real skew-symmetric matrix. From now on, we assume $\mathcal{H}$ to be the ones considered in the main text, either with or without a magnetic field. The eigenvalues of the $N \times N$ matrix $\mathcal{H}$ is denoted by E_k with $k = 1, \dots, N$.

A Green function can be expanded by a Chebyshev polynomial $T_m(x)$ as follows:

$$G_{jj}(E + i\epsilon) = i \frac{\tilde{\mu}_0 + 2 \sum_{m=1}^{M-1} \tilde{\mu}_m \exp[-im \arccos(E/s)]}{\sqrt{s^2 - E^2}}, \quad (13)$$

$$\tilde{\mu}_m = g_m \langle j | T_m(\mathcal{H}/s) | j \rangle. \quad (14)$$

$$g_m = \frac{\sinh[\lambda(1 - m/M)]}{\sinh \lambda}, \quad (15)$$

where $\lambda = 4.0$ was used in the Lorentz kernel g_m . M is the expansion order and $m = 0, \dots, M-1$. ϵ is a small parameter which goes to 0 when $M \rightarrow \infty$. The scaling factor s is necessary so that the spectrum of the Hamiltonian $\mathcal{H}/s$ falls within the domain of the Chebyshev polynomials $[-1, 1]$. We note that this expression is for diagonal components, but almost the same is true for offdiagonal components. We simply set $s = E_{\max} + 0.1$, where $E_{\max}$ is the maximal eigenvalue.

Elements of Chebyshev moments $T_m(\mathcal{H}/s)$ can be computed recursively by using $T_m(x) = 2xT_{m-1}(x) - T_{m-2}(x)$ and $T_{2m+i}(x) = 2T_m(x)T_{m+i}(x) - T_i(x)$ for $i = 0, 1$. The total $O(N^2)$ cost is required to compute all the necessary elements.

From the expanded Green function, we can compute the energy change by the local modification of the Hamiltonian $\mathcal{H} \rightarrow \mathcal{H} + \delta\mathcal{H}$. We define

$$\mathcal{D}(E) = \det[1 + G(E)\delta\mathcal{H}]. \quad (16)$$

By extending this function to a complex number, the energy difference, i.e. vison gap Δ_{vison} can be computed as follows:

$$\Delta_{\text{vison}} = \frac{1}{\pi} \int_{-\infty}^{\infty} F(E) \lim_{\epsilon \rightarrow 0} \text{Im} \log [\mathcal{D}(E + i\epsilon)] dE, \quad (17)$$

where $F(E)$ is a Fermi-Majorana function at zero temperature.

$$F(E) = - \lim_{\beta \rightarrow \infty} \frac{1}{2} \tanh \frac{\beta E}{2} = \vartheta(-E) - \frac{1}{2}. \quad (18)$$

The evaluation of the integral in the Green function requires fast Fourier transformation (FFT) or discrete cosine transformation (DCT)²⁷. Using this, the integral is reduced to a discrete weighted sum of the Fermi(-Majorana) function evaluated at some specific points. The discretization size $\tilde{M}$ for the summation was set $\tilde{M} = 2M$ for simplicity. We compared $M = 64, 128, 256, 512, 1024$, and 2048 . Among these, we found that $M = 1024$ has the best performance for our purpose, where the error is always about $0.01J$.

KPM can reproduce the vison gap with an enough precision and reduce the computational cost to $O(N)$ with a truncation algorithm^{49–51}. However, later we found that the truncation causes a problem in our simulation, and thus we used the abovementioned $O(N^2)$ algorithm without a truncation^{27,47,48}.

Chern number calculation

A Kubo formula for κ^{xy} at zero temperature is reduced to the generalized Thouless-Kohmoto-Nightingale-den Nijs (TKNN) formula⁵² for noninteracting Majorana Hamiltonians:

$$\kappa^{xy} = \frac{\hbar^2 k_B^2 T}{6L^2} \sum_{m,n} \vartheta(-\epsilon_m) \frac{2\text{Im}[\langle m | v_1 | n \rangle \langle n | v_2 | m \rangle]}{(\epsilon_m - \epsilon_n)^2}, \quad (19)$$

where m and n label eigenvalues of $\mathcal{H}$, ϵ_m and ϵ_n , corresponding to eigenstates $|m\rangle$ and $|n\rangle$, respectively^{53,54}. $\vartheta(x)$ is a Heaviside theta and $v_\alpha = i[\mathcal{H}, r_\alpha]/\hbar$ is a velocity operator along the α -direction. We define a position operator r_α for the $\mathbf{n}_\alpha$ -direction for $\alpha = 1, 2$. A gravitomagnetic term should be added to derive this formula. We note the generalized

formula without a translation symmetry was originally discussed by Kitaev¹ using a flow of unitary matrices and extended by Kapustin and Spodyneiko⁵⁵. This Kubo-TKNN formula is nothing but a real-space formulation of the Chern number calculation.

However, as mentioned in the main text, it is better to use a noncommutative Chern number (NCCN) Eq. (10)³³ to compute the same quantity in the thermodynamic limit. Though Eq. (10) makes sense only in the thermodynamic limit, we can make use of a discretized version, which exponentially converges to the thermodynamic limit by an artificial k -space quantization of a size $L \times L$. This version can be derived by replacing the commutator³³ as follows:

$$-i[r_\alpha, P_F] \mapsto \sum_{q=-Q}^Q c_q e^{-iq\Delta r_\alpha} P_F e^{iq\Delta r_\alpha}, \quad (20)$$

where $\Delta = 2\pi/L$, $c_0 = 0$ and $c_q = -c_{-q}$ are determined to hold $x - \sum_{q=-L/2}^{L/2} c_q e^{iq\Delta x} = O(\Delta^L)$, and $Q \leq L/2$. Thus, we can expect that these two methods to compute κ^{xy} may agree with a large L , while the Hall conductivity and the Chern number are a priori different quantities. We fixed $Q = 15$ for $L > 30$ because otherwise the calculation cost becomes $O(N^3)$.

The spectral projector P_F can be rewritten as:

$$P_F = -\frac{1}{2\pi i} \oint_C \frac{1}{\mathcal{H} - E} dE, \quad (21)$$

where C is a contour which encloses every negative eigenvalue of $\mathcal{H}$. The integrand is nothing but a Green function, and can be expanded by KPM.

It is better to use the following Fermi function instead of approximating a spectral projector directly.

$$P_F^{\text{eff}} = F(\mathcal{H}/s) = \vartheta(-\mathcal{H}/s) - \frac{1}{2}. \quad (22)$$

Due to the particle-hole symmetry, this deformation also gives a correct Chern number. This expression can again be expanded by Chebyshev polynomials. Especially, the Fermi function has been expanded as

$$f_m = \int_{-1}^1 \frac{dx}{\pi \sqrt{1-x^2}} T_m(x) F(x). \quad (23)$$

$$F(x) = f_0 + 2 \sum_{m=1}^{M'-1} f_m T_m(x), \quad (24)$$

where M' is a cutoff of the expansion for NCCN³⁹. We used the Jackson kernel for the Chern number:

$$g'_m = \frac{(M' - m + 1) \cos \frac{\pi m}{M'+1} + \sin \frac{\pi m}{M'+1} \cot \frac{\pi}{M'+1}}{M' + 1}, \quad (25)$$

where $m = 0, \dots, M'-1$. We here used $M' = 512$, instead. Thus,

$$P_F^{\text{eff}} \sim g'_0 f_0 T_0(\mathcal{H}) + 2 \sum_{m=1}^{M'-1} g'_m f_m T_m(\mathcal{H}). \quad (26)$$

This can indeed be evaluated with a calculation cost of $O(N)$. Detailed information for the $O(N)$ approximation is included in Supplementary Methods.

DATA AVAILABILITY

All data are available from the corresponding author.

CODE AVAILABILITY

All codes are available from the corresponding author.

Received: 19 April 2020; Accepted: 15 October 2020;

Published online: 06 November 2020

REFERENCES

1. Kitaev, A. Anyons in an exactly solved model and beyond. *Ann. Phys.* **321**, 2–111 (2006).
2. Balents, L. Spin liquids in frustrated magnets. *Nature* **464**, 199–208 (2010).

3. Savary, L. & Balents, L. Quantum spin liquids: a review. *Rep. Prog. Phys.* **80**, 016502 (2017).
4. Takagi, H., Takayama, T., Jackeli, G., Khaliullin, G. & Nagler, S. E. Concept and realization of Kitaev quantum spin liquids. *Nat. Rev. Phys.* **1**, 264–280 (2019).
5. Kitagawa, K. et al. A spin-orbital-entangled quantum liquid on a honeycomb lattice. *Nature* **554**, 341–345 (2018).
6. Yamada, M. G., Oshikawa, M. & Jackeli, G. Emergent SU(4) symmetry in α – ZrCl_3 and crystalline spin-orbital liquids. *Phys. Rev. Lett.* **121**, 097201 (2018).
7. Jackeli, G. & Khaliullin, G. Mott insulators in the strong spin-orbit coupling limit: from Heisenberg to a quantum compass and Kitaev models. *Phys. Rev. Lett.* **102**, 017205 (2009).
8. Singh, Y. et al. Relevance of the Heisenberg–Kitaev model for the honeycomb lattice iridates A_2IrO_3 . *Phys. Rev. Lett.* **108**, 127203 (2012).
9. Plumb, K. W. et al. α – RuCl_3 : A spin-orbit assisted mott insulator on a honeycomb lattice. *Phys. Rev. B* **90**, 041112 (2014).
10. Yamada, M. G., Fujita, H. & Oshikawa, M. Designing Kitaev spin liquids in metal-organic frameworks. *Phys. Rev. Lett.* **119**, 057202 (2017).
11. Kasahara, Y. et al. Majorana quantization and half-integer thermal quantum Hall effect in a Kitaev spin liquid. *Nature* **559**, 227–231 (2018).
12. Chaloupka, J., Jackeli, G. & Khaliullin, G. Kitaev–Heisenberg model on a honeycomb lattice: possible exotic phases in iridium oxides A_2IrO_3 . *Phys. Rev. Lett.* **105**, 027204 (2010).
13. Chaloupka, J., Jackeli, G. & Khaliullin, G. Zigzag magnetic order in the iridium oxide Na_2IrO_3 . *Phys. Rev. Lett.* **110**, 097204 (2013).
14. Song, X.-Y., You, Y.-Z. & Balents, L. Low-energy spin dynamics of the honeycomb spin liquid beyond the Kitaev limit. *Phys. Rev. Lett.* **117**, 037209 (2016).
15. Zschocke, F. & Vojta, M. Physical states and finite-size effects in Kitaev’s honeycomb model: Bond disorder, spin excitations, and NMR line shape. *Phys. Rev. B* **92**, 014403 (2015).
16. Li, Y., Winter, S. M. & Valenti, R. Role of hydrogen in the spin-orbital-entangled quantum liquid candidate $\text{H}_3\text{LiIr}_2\text{O}_6$. *Phys. Rev. Lett.* **121**, 247202 (2018).
17. Knolle, J., Moessner, R. & Perkins, N. B. Bond-disordered spin liquid and the honeycomb iridate $\text{H}_3\text{LiIr}_2\text{O}_6$: Abundant low-energy density of states from random Majorana hopping. *Phys. Rev. Lett.* **122**, 047202 (2019).
18. Bahrami, F. et al. Thermodynamic evidence of proximity to a Kitaev spin liquid in $\text{Ag}_3\text{LiIr}_2\text{O}_6$. *Phys. Rev. Lett.* **123**, 237203 (2019).
19. Kimchi, I., Shekleton, J. P., McQueen, T. M. & Lee, P. A. Scaling and data collapse from local moments in frustrated disordered quantum spin systems. *Nat. Commun.* **9**, 4367 (2018).
20. Kimchi, I., Nahum, A. & Senthil, T. Valence bonds in random quantum magnets: Theory and application to YbMgGaO_4 . *Phys. Rev. X* **8**, 031028 (2018).
21. Yamada, M. G. & Tada, Y. Quantum valence bond ice theory for proton-driven quantum spin-dipole liquids. *Phys. Rev. Res.* **2**, 043077 <https://doi.org/10.1103/PhysRevResearch.2.043077> (2020).
22. Geirhos, K. et al. Quantum paraelectricity in the Kitaev quantum spin liquid candidates $\text{H}_3\text{LiIr}_2\text{O}_6$ and $\text{D}_3\text{LiIr}_2\text{O}_6$. *Phys. Rev. B* **101**, 184410 (2020).
23. Laumann, C. R., Ludwig, A. W. W., Huse, D. A. & Trebst, S. Disorder-induced Majorana metal in interacting non-Abelian anyon systems. *Phys. Rev. B* **85**, 161301 (2012).
24. Fedorenko, A. A., Carpentier, D. & Orignac, E. Two-dimensional Dirac fermions in the presence of long-range correlated disorder. *Phys. Rev. B* **85**, 125437 (2012).
25. Nasu, J., Yoshitake, J. & Motome, Y. Thermal transport in the Kitaev model. *Phys. Rev. Lett.* **119**, 127204 (2017).
26. Self, C. N., Knolle, J., Iblisdir, S. & Pachos, J. K. Thermally induced metallic phase in a gapped quantum spin liquid: Monte Carlo study of the Kitaev model with parity projection. *Phys. Rev. B* **99**, 045142 (2019).
27. Weiße, A., Wellein, G., Alvermann, A. & Fehske, H. The kernel polynomial method. *Rev. Mod. Phys.* **78**, 275–306 (2006).
28. O’Brien, K., Hermanns, M. & Trebst, S. Classification of gapless $\mathbb{Z}_2$ spin liquids in three-dimensional Kitaev models. *Phys. Rev. B* **93**, 085101 (2016).
29. Yamada, M. G., Dwivedi, V. & Hermanns, M. Crystalline Kitaev spin liquids. *Phys. Rev. B* **96**, 155107 (2017).
30. Nasu, J., Udagawa, M. & Motome, Y. Vaporization of Kitaev spin liquids. *Phys. Rev. Lett.* **113**, 197205 (2014).
31. You, Y.-Z., Kimchi, I. & Vishwanath, A. Doping a spin-orbit Mott insulator: Topological superconductivity from the Kitaev–Heisenberg model and possible application to $(\text{Na}_2/\text{Li}_2)\text{IrO}_3$. *Phys. Rev. B* **86**, 085145 (2012).
32. Lieb, E. H. Flux phase of the half-filled band. *Phys. Rev. Lett.* **73**, 2158–2161 (1994).
33. Prodan, E., Hughes, T. L. & Bernevig, B. A. Entanglement spectrum of a disordered topological Chern insulator. *Phys. Rev. Lett.* **105**, 115501 (2010).
34. De Nittis, G. & Schulz-Baldes, H. Spectral flows associated to flux tubes. *Ann. Henri Poincaré* **17**, 1–35 (2016).
35. Akagi, Y., Katsura, H. & Koma, T. A new numerical method for $\mathbb{Z}_2$ topological insulators with strong disorder. *J. Phys. Soc. Jpn.* **86**, 123710 (2017).
36. Katsura, H. & Koma, T. The noncommutative index theorem and the periodic table for disordered topological insulators and superconductors. *J. Math. Phys.* **59**, 031903 (2018).
37. Yokoi, T. et al. Half-integer quantized anomalous thermal Hall effect in the Kitaev material α - RuCl_3 . Preprint at <https://arxiv.org/abs/2001.01899> (2020).
38. García, J. H., Covaci, L. & Rappoport, T. G. Real-space calculation of the conductivity tensor for disordered topological matter. *Phys. Rev. Lett.* **114**, 116602 (2015).
39. Varjas, D., Fruchart, M., Akhmerov, A. R. & Perez-Piskunov, P. M. Computation of topological phase diagram of disordered $\text{Pb}_{1-x}\text{Sn}_x\text{Te}$ using the kernel polynomial method. *Phys. Rev. Res.* **2**, 013229 (2020).
40. Yamashita, M., Kurita, N. & Tanaka, H. Sample dependence of the half-integer quantized thermal Hall effect in a Kitaev candidate α - RuCl_3 . Preprint at <https://arxiv.org/abs/2005.00798> (2020).
41. Feldmeier, J., Natori, W., Knap, M. & Knolle, J. Local probes for charge-neutral edge states in two-dimensional quantum magnets. *Phys. Rev. B* **102**, 134423 <https://doi.org/10.1103/PhysRevB.102.134423> (2020).
42. Bocquet, M., Serban, D. & Zirnbauer, M. Disordered 2d quasiparticles in class D: Dirac fermions with random mass, and dirty superconductors. *Nucl. Phys. B* **578**, 628–680 (2000).
43. Yamauchi, I. et al. Local spin structure of the α – RuCl_3 honeycomb-lattice magnet observed via muon spin rotation/relaxation. *Phys. Rev. B* **97**, 134410 (2018).
44. Banerjee, M. et al. Observation of half-integer thermal Hall conductance. *Nature* **559**, 205–210 (2018).
45. Ngampruetikorn, V. & Sauls, J. A. Impurity-induced anomalous thermal Hall effect in chiral superconductors. *Phys. Rev. Lett.* **124**, 157002 (2020).
46. Nasu, J. & Motome, Y. Thermodynamic and transport properties in disordered Kitaev models. *Phys. Rev. B* **102**, 054437 (2020).
47. Weiße, A. Green-function-based Monte Carlo method for classical fields coupled to fermions. *Phys. Rev. Lett.* **102**, 150604 (2009).
48. Mishchenko, P. A., Kato, Y. & Motome, Y. Finite-temperature phase transition to a Kitaev spin liquid phase on a hyperoctagon lattice: a large-scale quantum Monte Carlo study. *Phys. Rev. B* **96**, 125124 (2017).
49. Furukawa, N. & Motome, Y. Order N Monte Carlo algorithm for fermion systems coupled with fluctuating adiabatic fields. *J. Phys. Soc. Jpn.* **73**, 1482–1489 (2004).
50. Ishizuka, H., Udagawa, M. & Motome, Y. Application of polynomial-expansion Monte Carlo method to a spin-ice Kondo lattice model. *J. Phys. Conf. Ser.* **400**, 032027 (2012).
51. Ishizuka, H., Udagawa, M. & Motome, Y. Polynomial expansion Monte Carlo study of frustrated itinerant electron systems: application to a spin-ice type Kondo lattice model on a pyrochlore lattice. *Comput. Phys. Commun.* **184**, 2684–2692 (2013).
52. Thouless, D. J., Kohmoto, M., Nightingale, M. P. & den Nijs, M. Quantized Hall conductance in a two-dimensional periodic potential. *Phys. Rev. Lett.* **49**, 405–408 (1982).
53. Nomura, K., Ryu, S., Furusaki, A. & Nagaosa, N. Cross-correlated responses of topological superconductors and superfluids. *Phys. Rev. Lett.* **108**, 026802 (2012).
54. Sumiyoshi, H. & Fujimoto, S. Quantum thermal Hall effect in a time-reversal-symmetry-broken topological superconductor in two dimensions: approach from bulk calculations. *J. Phys. Soc. Jpn.* **82**, 023602 (2013).
55. Kapustin, A. & Spodyneiko, L. Thermal Hall conductance and a relative topological invariant of gapped two-dimensional systems. *Phys. Rev. B* **101**, 045137 (2020).

ACKNOWLEDGEMENTS

The author thanks Y. Akagi, S. Fujimoto, H. Ishizuka, G. Jackeli, H. Katsura, I. Kimchi, Y. Matsumoto, T. Matsushita, T. Morimoto, N. B. Perkins, Y. Tada, D. Takikawa, K. Totsuka, and S. M. Winter. M.G.Y. thanks G. Chen for suggesting a title. M.G.Y. is supported by the Materials Education program for the future leaders in Research, Industry, and Technology (MERIT), and by JSPS. This work was supported by JST CREST Grant Number JPMJCR19T5, Japan, and by JSPS KAKENHI Grant Numbers JP17J05736 and JP17K14333. This research was supported in part by the National Science Foundation under Grant No. NSF PHY-1748958. The computation in this work has been done using the facilities of the Supercomputer Center, the Institute for Solid State Physics, the University of Tokyo.

AUTHOR CONTRIBUTIONS

M.G.Y. wrote the manuscript.

COMPETING INTERESTS

The author declares no competing interests.

ADDITIONAL INFORMATION

Supplementary information is available for this paper at <https://doi.org/10.1038/s41535-020-00285-3>.

Correspondence and requests for materials should be addressed to M.G.Y.

Reprints and permission information is available at <http://www.nature.com/reprints>

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.

© The Author(s) 2020