

## REVIEW ARTICLE OPEN



# A review on barrier layers used in flexible stainless-steel based CIGS photovoltaic devices

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Two primary engineering challenges are en route to fabricating high-performance flexible stainless-steel based Cu(In,Ga)(S,Se)<sub>2</sub> solar cells; Growing absorbers without contamination from the substrate, and providing alkali dopants to the absorber. The former is chiefly addressed by introducing a barrier layer, and the latter by post-deposition treatment or including dopant-containing layers in the stack. Here we organize these solutions and different approaches in an accessible scheme. Additionally, reports on interaction between contamination and alkali elements are discussed, as is the impact of barrier layer properties on the interconnect technology. Lastly, we make recommendations to consolidate the multitude of sometimes inharmonious solutions.

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## INTRODUCTION

In 1974 Bell Laboratories published their pioneering work on a CuInSe<sub>2</sub>/CdS solar cell device with ~5% efficiency<sup>1</sup>, with the ~12% CIS modules of the Atlantic Richfield Company to follow in the 80 s. Still, it took the commercial market another decade to see the lights of 10 W modules<sup>2</sup>. The slow but steady progress though did not stop, and since the turn of millennia, American, European, and Asian research centers gradually approached and broke the 20% ceiling on cell and module levels<sup>3</sup>. See the review of Mufti et al., for an overview on this progress, in terms of synthesis method, doping techniques, and substrates used, and the 2020 PV technologies roadmap for key innovations in CIGS history<sup>4,5</sup>.

Despite achieving similar performances to (multi-crystalline) Si modules, it has proved difficult for this technology to reach double-digit market shares<sup>6</sup>. Of the many companies and startups active in the field, only a handful have survived the harsh realities of the energy market. Nonetheless, recent developments in two areas have given the community a breath of fresh air; i) flexible (tandem) photovoltaics for ii) (extra)terrestrial applications.

On the latter note, CIGS has been positively tested under space environment for a considerable amount of time already<sup>7</sup>. For instance, a study by JAXA (Japanese Aerospace Exploration Agency) in 2015 suggests redundancy of glass coverage for these cells, as they do not require radiation protection. CIGS technology has also consistently shown radiation tolerance and persistent performances under low-intensity low-temperature (LILT) conditions, and they are accessible at a relatively low price compared to space-dominant III-V products<sup>8–10</sup>.

On the former note, most notably, there have been world record series of work from EMPA, reporting CIGS on polyimide flexible substrates since 1999 (12.8%<sup>11</sup>), up until 2022, with a remarkable 21.38%<sup>12</sup> cell. Combining such high-performing devices with liberating the configuration from constrained form factors can expand the range of PV applications to various integrated solutions in, i.a., vehicles and infrastructures (VIPV and IPV, respectively). On steel substrates as well, EMPA demonstrated at lab scale an 18% CIGS device<sup>13</sup>, while Miasolé are producing

modules with power conversion efficiencies (PCE) approaching 19% already<sup>3</sup>.

In multiple junction formats too, all thin-film light-weight tandems comprising top perovskite and CIGS bottom cells already show promising results approaching 25% PCE<sup>14,15</sup>. As demonstrated by Solliance and Miasolé, such tandem concepts can also be realized in flexible configurations, with ~23% efficiencies<sup>16</sup>. Once mature, such products could own a niche, untapped, but large markets where silicon is either unable to enter (in several space applications) due to lack of radiation tolerance or has difficulty adopting (e.g., VIPV), due to its brittleness, rigidity, and heavyweight. In fact, such flexibility, stretchability, and low weight have been the cornerstone of products in successful companies with CIGS at their business core: Miasolé, Ascent Solar, Mid-Summer, Avancis, and Sunplugged are some of the valuable players in the market, providing products suitable for (extra) terrestrial integrated applications<sup>16–21</sup>.

Avancis has been a leader in CIS technology since 1981, with numerous world records to date, including the current 30 × 30 cm<sup>2</sup> fully encapsulated, glass-based modules exceeding 19% PCE<sup>17</sup>. While not flexible per se, their light-weight products demonstrate the immense potential of the CIGS technology as a photovoltaic solution to energy demands. They cover the entire value chain of technology and offer a range of unique products. Designed and developed in Germany, their current two premium solar modules- Skala and PowerMax®, present aesthetically stunning solutions (in many different colors) for open spaces/solar parks and building integrated/ façades. These products surpass their thin film and (poly)crystalline competition in module performance under low light and partial shadowing and are responsive under a broad light spectrum (according to the current product sheets)<sup>22</sup>.

Ascent solar technologies is another long-standing and active player in manufacturing flexible CIGS products onto a polyimide substrate<sup>21</sup>. This American company has had monolithically integrated products for consumers, government, aero-/space, and, recently, agriculture industries. Such a wide range of applications comes through off-the-shelf to custom-made products, having maximum curvature radius of 3 mm, and a specific

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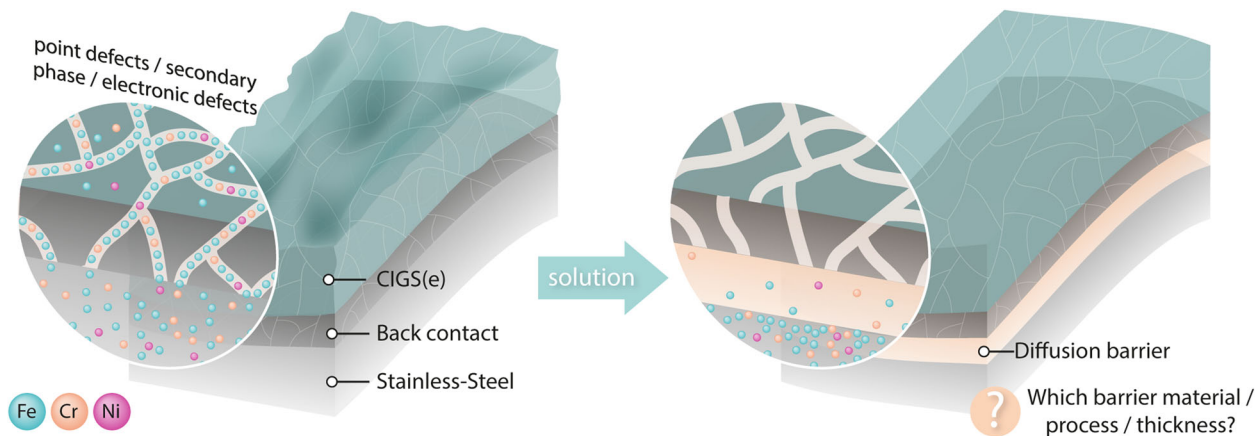
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**Table 1.** Comparison of coefficient of thermal expansion (CTE) in different layers.

Material	SLG	Ti	Cu	Stainless Steel (430)	Kovar (Ni/Co)	CIGS	Cr	TiN	Al <sub>2</sub> O <sub>3</sub>	SiO <sub>x</sub>	Mo
CTE (ppm/K)	~9	~8.5	16.5–18.5	~10.5	~6	8–9	4.9	9	6–8	1–9	4.8–5.9

Data from multiple references<sup>50,60,75,98,136–140</sup>.

**Fig. 2** Defects generation due to impurity diffusion from the substrate, and how to avoid them via a barrier.

subsequently transfer it onto bendable substrates, e.g., metallic or polymeric foils. Such a method has already been exercised in different PV technologies<sup>43,44</sup>. On the other hand, many research institutes and a handful of companies (as demonstrated above) have integrated flexible substrates into their CIGS process flow from the beginning, in different geometries and designs and even in stretching conditions<sup>20,45,46</sup>.

A make-or-break part of the second approach lies in the substrate material, which must comply with many requirements<sup>47</sup>. From a large-scale production point of view, it should be ideally integrable into roll-to-roll processing, reasonably priced, and lightweight. Notably, it ought to be considerably corrosion resistant under exposure to chalcogen agent at high temperatures, exhibit low surface roughness, and be compatible with other layers in the stack (fits into the same thermal budget, have a similar coefficient of thermal expansion- CTE, and good interlayer adhesion)<sup>48</sup>. Table 1 summarizes CTE for different layers of relevance as a pivotal property.

A combination of these properties is needed to choose the substrate material. Metallic substrates are generally a practical choice due to their withstanding of the high-temperature processing conditions of CIGS absorbers (typically north of 500 °C). However, as expected, a compromise must be made as none of the candidates has all optimal properties. For instance, copper foils are interesting abundant choices, and, e.g., Solartech-nik GmbH reported interesting results back in 2003<sup>49</sup>. However, its CTE mismatch induces poor interlayer adhesion in the stack, making the device unreliable from a mechanical integrity perspective. Mo foils are appropriate from CTE compatibility and architecture redundancy perspectives, because it is already a prevalent choice for back contact in CIGS. However, their high cost and specific weight leave them as less favorable candidates<sup>50</sup>. Likewise, while Ti foils meet most substrate/ barrier layer requirements, they are still too expensive to be profitable in real-world, large-scale applications- especially for terrestrial applications.

A compromise could be met in SS substrates for both terrestrial and space applications<sup>38</sup>. Still, the SS family has a wide variety, and the grade choice and surface preparation must also be

considered. Looking at the literature, most successful cases use a ferritic 430 SS substrate; it is relatively cheap, can become very smooth ( $R_a \leq 50$  nm), and has decent thermal conductivity, high-temperature resistance, and, not least, comparable CTE<sup>50</sup>- see Table 1.

Before processing, these substrates should be cleaned with various acids, bases, and/or organic solvents. For most of the surveyed literature here, SS is successively cleaned in an ultrasonic bath in isopropanol and acetone for at least 5 min apiece. Some also report a further bath in methanol<sup>51</sup>, or an air baking of the SS at moderately high temperatures<sup>52</sup>. The cleaning procedure is intended to remove dust particles and organic and other residual surface contaminations, otherwise promoting short circuits, cracks, pinholes, and other surface-originated aberrations throughout the device fabrication phase<sup>48</sup>.

Growing CIGS on SS involves two more critical considerations-impurity containment and stack isolation. Iron, chromium, and magnesium collectively form over 90% of SS chemical composition. However, they are known to have a detrimental impact on CIGS absorbers, especially in the case of Fe, and not least on the eventual device's short circuit current<sup>53</sup>. In fact, Fe concentrations at percent scale already form CuFeSe<sub>2</sub> or FeInSe<sub>2</sub> compounds and damages the absorber function<sup>54,55</sup>. Studies have shown that the Fe presence beyond 30 ppm level reduces the charge carrier collection in the absorber- especially in the NIR region, decreases the net doping concentration and/or induces defects that hinder carrier mobility in the CIGS layer<sup>53,56,57</sup>. This problem is illustrated in Fig. 2. This critical threshold is suggested to be as low as sub-ppm levels to achieve 20% CIGS solar cells. Alas, once inside the absorber, the Fe diffusion kinetics in CIGS results in its even distribution across the depth of the film very quickly<sup>58</sup>, thus defecting the entire film.

There are two main approaches to address this problem. Lowering the thermal budget in absorber growth stage can reduce the chance of outward diffusion of these elements from the SS substrate, as shown before<sup>57</sup>. However, such has unwanted side effects; Indeed, high-temperature processing is either integral to the process (in a two-step sequential method) or remarkably beneficial (in the co-evaporation method) in

**Table 2.** A summary of metallic barriers used in SS-based CIGS.

Substrate	Barrier	Thickness ( $\mu\text{m}$ )	Depo. method	Absorber process	Temp ( $^{\circ}\text{C}$ )	PCE (%)	Source
SS <sup>Mild Tata</sup>	Ni+Cr	2 + 0.1	electro plating	multistage	400–550	18	13
SS	Cr	0.076	e-beam evap.	multistage	550	13.82 <sup>+NaF</sup>	82
SS		0.2	e-beam evap.	sequential (Se)	550	Kesterite <sup>4.8</sup> +NaF	51
SS		0.1-0.2	evaporation	sequential (Se)	550–620	8.7	49
SS <sup>Austenitic</sup>		0.22	DC	sequential (Se)	450–550	Kesterite <sup>3–3.5</sup>	81
SS		1	DC	sequential (H <sub>2</sub> Se)	500	9.9	141
SS <sup>430BA</sup>		0.07-1	DC	3-stage	330–570	~9	84
SS		-	x	3-stage	x	17.7 <sup>+NaF</sup>	142
SS <sup>430</sup>		0.2	x	co-evap	500–520	14.1 <sup>+NaF</sup>	83
SS <sup>430</sup>		0.1	x	multistage	425–525	14.1 <sup>+NaF</sup>	57
SS <sup>Mild</sup>	Mo	0.5-2	DC	1-stage	>600	(6.2–11.4) <sup>+NaF</sup>	54
SS <sup>Ferritic</sup>		2.7	DC	1-stage	~600	10.3 <sup>+RbF PDT</sup>	75
SS		0.8	RF	3-stage	400–480	12.2	50
SS <sup>304</sup>	Mo: Na	0.6	DC	3-stage	-	12.03 <sup>+Na</sup>	78
SS <sup>304</sup>		0.6	DC	3-stage	300–550	8.73 <sup>+Na</sup>	79
SS <sup>430</sup>		0.9	RF	3-stage	400–750	15.04 <sup>+MgF<sub>2</sub></sup>	77
SS <sup>461</sup>	Ti	0.05	e-beam evap.	x	550	x	62
SS <sup>430</sup>		0.2	RF	1-stage	540	12.9	90
SS <sup>430</sup>		0.05	DC	sequential (S)	560	4.10 <sup>+NaF</sup>	87
SS <sup>430</sup>		0.05	DC	sequential (S)	560	6.29 <sup>+Mo:Na</sup>	88
Fe	Ti-W	0.3- 0.5	DC	x	673-823	x	73

DC and RF indicate direct current and radio frequency sputtering, Also, depo, temp, SS<sup>x</sup>, and x, denote respectively, deposition, temperature, stainless-steel<sup>grade</sup>, and not mentioned or not carried out.

obtaining ideal roughness, grain growth and phase transformation in CIGS synthesis.

To avoid such compromise in the thermal budget, either a defect passivation technique should be implemented, as demonstrated lately by Ag alloying<sup>59</sup>, or more commonly, a diffusion barrier can be deposited to block the out-diffusion of substrate impurities in the first place. A barrier could ideally have insulating properties as well to accommodate monolithic integration technology. This unification of barrier properties would reduce at least one process step to help simplify the manufacturing steps. Once a fully functioning barrier, complying with all the requirements, is in place, the rest of the stack could be developed in iterations and following the footsteps of rigid CIGS technology development. For a hallmark in studying flexible SS-based CIGS, readers are encouraged to see the works of ZSW<sup>60,61</sup>. In this context, the next chapter looks at different types of barriers in literature and discusses their performance.

### WHAT ARE THE CHOICES FOR DIFFUSION BARRIERS IN SS-BASED CIGS?

Both diffusion and insulation properties of the barrier(s) used are mainly a product of their type (material), thickness, synthesis method, and the deposition technique of the subsequent layers in the stack<sup>55,60</sup>. Above all, barriers' adhesion, and surface properties (under all processing steps) are essential for the device's mechanical stability and integrity. This becomes particularly critical in the case of large-scale modules where there is a higher probability of defect generation in the layers. E.g., enamel layers with thicknesses beyond 10 $\mu\text{m}$  exhibit decent insulation and diffusion properties but lack the desired mechanical properties<sup>55</sup>. On the other hand, implementing a barrier may necessitate adjustments in other layers of the stack. For instance, Morán et al. demonstrated how different types of barriers could remarkably

change Mo back contact's microstructure, adhesion, thermomechanical stability, and volatility under high processing temperatures- properties that eventually affect device performance<sup>62,63</sup>.

Lastly, unlike soda lime glass (SLG), SS substrates are devoid of alkali elements by nature. Therefore, automatic inclusion of these elements via outward diffusion from the substrate during growth phase is not possible. As such, the heights of SLG-based technology cannot be achieved unless other strategies are followed to include these alkalis. Three primary techniques include PDT (post-deposition treatment), adding an alkali-containing precursor layer, and added functionality to the back contact or the barrier layers<sup>48,50,64</sup>. Furthermore, depending on the technique and whether light or heavy alkali is used, their impact might vary considerably<sup>65</sup>. For more details on these strategies, readers are encouraged to see the exceptional review from INL and HZB<sup>66</sup>.

All these considerations have led different groups to develop various barriers, which can be classified into metals, nitrides, oxides, and alloying techniques. Tables 2–4 encompass a collection of contributions worldwide, including a few entries of Kesterite and CdTe literature- this can be relevant as the materials, processing techniques and temperatures are comparable to that of CIGS. The following sections will cover notable instances of metallic, nitride, oxide, and other unclassified barriers. Also, alkali elements inclusion and their interaction with the barrier layer's performance are discussed when relevant.

### Unclassified

There have been reports with no notion of barrier layers. These are indeed interesting results but are pretty rare as far as our surveyed literature goes. This might suggest that a barrier was indeed used, but only not explicitly discussed. The most notable case is the work of NREL in 1999, when Contreras et al. reported an impressive 17.4 CIGS on SS (with anti-reflection coating),

fabricated by a modified 3-stage co-evaporation method<sup>67</sup>. Comparatively low but still remarkable results for barrier-less devices were achieved by Satoh et al. and Kampmann et al.<sup>49,68</sup>. In the latter case, contrary to the expectations, they found traces of iron only in samples with as thick as 100–200 nm Cr barriers, compared to no barrier. Which suggests either the barrier actually facilitated the diffusion, or it wasn't free from iron in the first place. On the sequential selenization route, Jung et al. published on 11.45% efficient SS-based CIGS devices with no reported barrier<sup>69</sup>. Their solution processed alkali doped absorbers showed smooth, coherent microstructure but fell short in terms of minority carrier lifetime. A very remarkable contribution can also be found in a report by Institut für Physikalische Elektronik, where instead of a barrier to block impurities, an etching treatment (with e.g., KCN) is advised at the CIGS/ CdS interface, to produce highly efficient devices<sup>70</sup>. On pure sulfide CIGS front too, very decent devices were reported by Dhere et al., with sometimes no mention of barriers<sup>71</sup>. Another notable example in unclassified barriers are high-temperature-resistant polymer-derived ceramics which found their way from the ceramics world to CIGS technology<sup>72</sup>.

## Metals

Metals are interesting choices of barriers due to their excellent thermal conductivity and general resistance at high temperatures. Additionally, their combinations and alloys open a vast horizon of possibilities. E.g., Gao et al. presented an in-depth study on a W-Ti alloy to block iron diffusion. In studying the kinetics of the Fe diffusion, they found a layer of ~300 nm thickness to be effective in keeping Fe away from CIGS. Furthermore, they identified grain boundary diffusion as the primary mechanism for Fe atoms to diffuse inside their barriers<sup>73</sup>. To avoid this, Kumar and Avasthi deposited high entropy alloy nano-crystallites in an amorphous matrix (to minimize grain boundaries) and indeed saw no penetration of Fe into the barrier under very high anneal temperatures<sup>74</sup>. However, their performance under a chalcogen atmosphere was not investigated. Besides these rare cases of alloys, pure Mo, Cr, and Ti have been commonly used as barriers. These are summarized in Table 2, and notable examples are covered afterward.

A rather intuitive approach to block the impurities from the SS substrate is to extend the thickness of (Mo) back contact. In their enlightening work, Wuerz et al. investigated the iron diffusion in CIGS grown on mild steel and observed a logarithmic dependence of all cell parameters on the thickness of the Mo layer- with the highest impact on the short-circuit current density<sup>54</sup>. Curiously, on a Moly back contact/ barrier layer of ~550 nm, which lies within typical thicknesses used in the literature, they could deduce 170–381 ppm concentration for Fe atoms- a hundred times more than the critical value. Therefore, they concluded that to keep the concentration under an acceptable threshold and achieve world-class devices, a minimum 2  $\mu\text{m}$  thick Mo back contact is necessary. Still, pondering these conclusions in light of their applied CIGS processing method (1-stage co-evaporation) and substrate (mild steel) is worthwhile. This Mo thickness suggestion was picked up in ZSW's subsequent work in 2017, where they looked for the locale of Rb activity in CIGS co-evaporated on SS at 600 °C substrate temperature<sup>75</sup>. Rubidium was introduced to the system by evaporating a RbF layer onto the CIGS layer before annealing the stack in vacuum at 355 °C for 20 min. In their thorough investigation, Rb was not detected in the grain interior (< 0.015%). Instead, high-angle GBs, dislocation cores, and the CIGS/MoSe<sub>2</sub> interface were identified as favorable diffusion paths (and passivation targets) of Rb<sup>76</sup>. Understanding this is particularly important because, as seen in other works, Fe diffusion is also more likely through GBs of the barrier or CIGS<sup>75</sup>.

Another approach to include alkali is to use a sodium-doped Mo layer beneath a conductive Mo layer. As demonstrated by

different groups, such a bilayer structure provides both a Na reservoir and a diffusion barrier property while serving as the electrical back contact<sup>77</sup>. Like in rigid devices, alkali elements, such as Na, have been demonstrated to positively impact the SS-based CIGS through structural, compositional, electrical, and texture avenues<sup>78</sup>. Therefore, while including light or heavy alkalis seems beneficial, its simultaneous optimization with diffusion blockage properties is necessary. Such consideration becomes even more critical when the interaction of alkalis with Ga grading, CIGS spectral response, and MoSe<sub>2</sub> layer formation is also taken into account<sup>79,80</sup>.

Chromium is another metallic layer broadly used as a barrier layer due to its suitability under more corrosive and thermally intense environments<sup>51,81</sup>. Using Cr barriers at sub-100 nm thicknesses, a few groups successfully developed highly efficient solar cells<sup>57,82</sup>.

Ångström Solar Center studied the interplay of a Cr barrier and NaF alkali layer, both deposited at the Mo interface of a co-evaporated CIGS. At low Cr thicknesses, (excess amount of) NaF appeared to negatively affect the device by facilitating the Fe outward diffusion<sup>83</sup>. HZB and ZSW also followed the same idea with 100 nm of Cr and 8 nm of NaF for co-evaporated CIGS and could achieve same performances at a lower maximum growth temperature (425 °C). At the same thickness, they could reduce Fe concentration in the absorber to below 80 ppm. Later, Cho et al. actually established a positive correlation between Cr barrier thickness and device efficiencies<sup>84</sup>. An attempt which was made by Kampmann et al. a decade earlier, though not conclusive<sup>49</sup>. In any case, too much thickness imposes its own challenge by promoting (extra) Cr accumulation at the Mo interface and deteriorating the back contact<sup>83</sup>, or positively affecting Fe concentration<sup>49</sup>. Thus, applying Cr-barriers and alkali reservoirs together needs careful co-optimizations, as was seen in Mo barriers.

A Cr/Ni bilayer was also recently shown by TNO at WCPEC-8 conference to effectively impede Fe, Ni, and Cr concentration in CIGS to below three ppm (per Secondary ion mass spectrometry-SIMS). Their reported 17.9 PCE device was co-evaporated at 550 °C and had a double Mo: Na/ Mo: K alkali source sandwiched between the absorber and Mo back contact. The combination of Na and K could achieve slightly higher  $V_{OC}$  than Na alone. EMPA also used a similar Ni-Cr barrier layer for their certified world-record CIGS device in 2018 (on mild steel). While Ni trace was found in their absorber, it could not be associated with defects identified under admittance spectroscopy. Instead, Cr and/or Fe impurities could be linked to a non-radiative recombination center around 0.33 eV, whose formation their barrier could impede in a CIGS grown under 500 °C. They demonstrated that going to higher substrate temperatures, while still succeeding in reducing the formation of such defects, requires an extra TiN barrier<sup>13</sup>. This will be more discussed later in the nitride section.

Titanium has been also used as a flexible substrate to CIGS for a long time<sup>85,86</sup>. Though here, we only cover the literature reporting it as a barrier layer on SS. In two subsequent works, UNSW researchers worked on 50 nm Ti barriers to synthesize SS-based Kesterite in a two-step sequential sulfurization route. Mainly, they experimented with different sources of Na to assess its impact on, i.e., the elemental distribution of absorbers and impeding the substrate impurities<sup>87,88</sup>. In one work, the sodium was supplied by evaporating a NaF layer onto the metallic precursor, while the other implemented different configurations of Mo: Na and/or Mo as their back contact. Their study shows poor adhesion and uniformity when the absorber directly interfaces a Mo: Na layer in all configurations, as previously seen in CIGS literature as well<sup>89</sup>. Such an issue was shown to be resolved by sandwiching as thin as 30 nm conductive Mo between the absorber and Mo: Na layers. Furthermore, all other barrier/ back contact configurations with Ti/ Mo and Mo: Na showed excellent Fe and Cr blockage to below

**Table 3.** A summary of nitride barriers used in SS-based CIGS.

Substrate	Barrier	Thickness ( $\mu\text{m}$ )	Depo. method	Absorber process	Temp ( $^{\circ}\text{C}$ )	PCE (%)	Source
SS	Ti/ TiN	0.06/ 0.23	Pulsed DC	PVD	350–435	CdTe 10.9 <sup>+Cu</sup>	92
SS <sup>NK-430MA</sup>		0.05/ 0.3	Reactive RF	3-stage	350–550	8.9 <sup>+MoNa</sup>	99
SS <sup>Mild</sup>	TiN	0.35	DC	x	700	-	100
Ti		0.05	Sputtering	sequential (Se)	580	4.85	96
SS		0.02-0.1	Sputtering	x	700	x	93
Glass/ Si		0.5	Reactive Sputtering	x	-	x	104
SS <sup>316</sup>		0.07/0.35	RF	x	450-550	x	98
SS	CrN	1	E-beam evap.	3-stage	550	9.8	82
SS	AlN	0.2-1	DC	3-stage	530	11.8	106
SS <sup>430</sup>	AlN, Si <sub>3</sub> N <sub>4</sub> , Al <sub>2</sub> O <sub>3</sub>	0-3	Doctor blade	x	600	x	107
SS <sup>430</sup>	Mo <sub>x</sub> N <sub>y</sub>	0.8	RF	sequential	550	6.86	110
SS		0.6	Reactive Sputtering	closed space sublimation (CSS)	400-500	x	91
SS	Si <sub>3</sub> N <sub>4</sub>	1	PECVD			x	
SS <sup>430, 316</sup>		0.5	RF	CSS	400-650	CdTe 6.2	109

DC and RF indicate direct current and radio frequency sputtering, Also, depo, temp, SS<sup>x</sup>, and x, denote respectively, deposition, temperature, stainless-steel<sup>grade</sup>, and not mentioned or not carried out. Note that PECVD indicates plasma-enhanced chemical vapor deposition.

sputtering target material impurity of 10 ppm<sup>88</sup>. However, they report a slight accumulation of Ti at the bottom of the absorber, the effect of which required further investigation. Regarding the elemental distribution, either NaF or Mo: Na alkali sources showed almost always a correlation between sodium and oxygen depth profiles (according to time of flight- SIMS). Moreover, NaF addition could counter metallic elements segregation otherwise present in the absence of sodium<sup>87</sup>, while Mo: Na source did not result in a typical U-shaped Na profile (which is understood as beneficial in their SLG-based CIGS)<sup>88</sup>.

Another point of interest raised by Jiang et al. in using Ti is its texture's impact on suppressing impurities. They identify granular structure, voids, and dislocations associated with a dominant (002) crystal orientation as primary diffusion paths for Fe atoms in the Ti. Instead, a (001) dominated texture, realized under lower sputtering pressure, provides a smoother surface with more efficient blocking<sup>90</sup>. Furthermore, while such a Ti barrier looked necessary (and successful) to impede Fe and Cr, it was redundant to block Ni impurities from SS. Indeed, only the triple layer of Mo back contact used in their stack was found sufficient to block Nickel.

A common theme in most Ti literature is the adhesion it provides to the whole at the SS substrate interface. This is unsurprising given its compatible CTE<sup>85</sup> (8.6 ppm/K) with the rest of a typical CIGS stack- see Table 1. As will be seen, this adhesion improvement is a feature other groups have tapped into to realize or improve oxide-/ nitride-based barriers.

### Nitrides

Different nitrides have been widely used in semiconductor technology as impurity barriers due to their high thermal, chemical, and mechanical stability, hardness, melting points, and resistance to moisture corrosion and mobile ions diffusion<sup>91</sup>. Therefore, many groups have tried to use them as a barrier and/ or an insulation layer in SS-based chalcogenide thin film solar cell technologies. Table 3 summarizes some of these work, with occasional entries on Ti, Si, and glass substrates.

Titanium nitride has been successfully implemented to block diffusion from SS in other technologies with similar processing temperatures, i.e., CdTe PV and Bi<sub>2</sub>Te<sub>3</sub> thermoelectrics<sup>92–94</sup>. In the case of CIGS, its similar CTE helps avoid thermal stress-induced damages at high temperatures, which in turn helps crystal growth and avoids layer detachment. Furthermore, TiN's high thermal

stability and high optical reflectance in the NIR region have made them the most applied nitride barrier in the literature of SS-based CIGS<sup>93,95</sup>.

In a study of sequentially grown Kesterite, Sun et al. investigated the TiN thickness effect on residual stress in the absorber layer; a 75% stress relief was seen when using 100 nm of TiN (instead of none) beneath their Ti-based Kesterite<sup>96</sup>. Additionally, TiN introduction could result in higher crystalline quality with less porous microstructure (by a factor of 4), hence higher compactness and mechanical stability under bending conditions.

A relatively thin Ti layer beneath the TiN can improve the adhesion at the SS interface, as seen in other applications<sup>97</sup>. Such Ti layer also alleviates the roughness of SS and, in turn, helps with the diffusion properties via improved microstructure/ texture<sup>98,99</sup>. Liu et al. worked on Ti/TiN and evaluated their stacking configuration effect on Fe blockage through roughness, texture, and depth composition analysis<sup>99</sup>. In their CIGS device stack, they used a triple layer of Mo back contact and compared a multi-layer versus bilayer barrier of Ti/TiN with an overall equal thickness; The multi-layer comprised many Ti interlayers, which eventually resulted in a smoother surface. However, this did not translate into improved Fe blockage than the bilayer counterpart as the TiN thickness and crystallinity were reduced. Still, the interaction between these effects is confounded and not perused in the paper.

The sole effect of TiN texture on Fe and Cr blockage was investigated later by Kumar et al. on a similar bilayer configuration<sup>100</sup>. By modulating the sputtering conditions, they controlled the preferred orientation of TiN films deposited onto SS; Under an annealing temperature of 700  $^{\circ}\text{C}$ , they found films oriented at (111) to show little grain boundary diffusion of Fe ions (contrary to Cr) and reported a remarkable diffusivity of  $1.5 \times 10^{-18} \text{ cm}^2/\text{s}$ <sup>100</sup>. However, results should be treated with care due to the absence of Se or S in their annealing atmosphere.

A point of consideration for (Ti/) TiN barriers is their relatively high electrical conductivity<sup>101</sup>, which removes the option of monolithic interconnection. An additional insulating layer, such as SiN<sub>x</sub> could overcome this. Still, when used in advantage, the high conductivity of TiN might actually help reduce the back contact thickness in the stack (or even its omission), hence reducing the costs. There is actually a strong case for the potential of TiN, as its average work function is close to that of Mo<sup>102,103</sup>. Attempts to practice such an idea on Si and glass substrates have been

**Table 4.** A summary of oxide barriers used in SS-based CIGS.

Substrate	Barrier	Thickness ( $\mu\text{m}$ )	Depo. Method	Absorber process	Temp ( $^{\circ}\text{C}$ )	PCE (%)	Source
SS	$\text{Cr}_2\text{O}_3$	x	Annealing	sequential (Se)	500	5.86	116
SS		x	Annealing	sequential (Se)	500	10.6	117
SS	$\text{Fe}_2\text{O}_3$	0.012	Annealing	sequential (Se)	500	10.15	115
$\text{SS}^{430\text{BA}}$	$\text{iZnO}$	1	RF	3-stage	350–590	13.95 <sup>+Na</sup>	133
$\text{SS}^{430}$		0.2	RF	3-stage	540	12.5	131
$\text{SS}^{430}$		0.1–0.2	RF	3-stage	x	x	143
$\text{SS}^{430\text{BA}}$	$\text{ZnO}$	0.05	RF	annealing	550	x	62
SS		0.05–0.2	RF	3-stage	500	9.06	134
$\text{SS}^{430}$		0.5	RF	sequential (Se+ $\text{H}_2\text{S}$ )	480	10.3	121
SS		1–3	DC	3-stage	570	10.04 <sup>+Na</sup>	135
SS		1.5	Sputtering	3-stage	350–550	15	144
SS	$\text{Al}_2\text{O}_3$	0.1–1	SPD	3-stage	475	14.4	127
$\text{SS}^{430}$		25 + 10	Anodization	3-stage <sub>submodule</sub>	500	15.9	132
$\text{SS}^{430}$		0.3	ALD	3-stage	540	~13.44 <sup>+Na</sup>	131
SS		0.1–0.3	ALD	Co-evap	550	x	129
$\text{SS}^{430}$		2	RF	3-stage	400–540	15.8 <sup>+Na</sup>	145
SS		0.15	RF	3-stage	500	x	146
SS	$\text{SiOx}+\text{Al}_2\text{O}_3$	10	Silk screen printing	3-stage	580	10.2	147
$\text{Ti,SS}^{\text{Kovar, Cr}}$	$\text{SiOx, Al}_2\text{O}_3$	1–3,3–7,1–6	RF, Sol-Gel, PECVD	x	500–600	10.8, 10.7, 10.9	55
$\text{SS}^{\text{Kovar, Cr}}$	$\text{SiOx} (:Na)$	1.5–3	PECVD   Sol-Gel	Co-evap	550	12.3 <sup>+Na</sup>	48
$\text{SS}^{\text{Sheet}}$	$\text{SiOx} (:Na)$ - Enameled	3.4	PECVD   Sol-Gel	1-stage	600	12.8 <sup>+Na</sup>	53
$\text{SS}^{\text{Mild}}$	Enameled	150	Spray coating	multi-stage	600	17.6 <sup>+Na+K</sup> +MgF <sub>2</sub>	125
$\text{SS}^{\text{Enameled}}$		x	Spray coating	X <sub>submodule</sub>	-	15.4 <sub>MgF<sub>2</sub></sub>	126
Ti	$\text{SiOx}$	3	PECVD <sup>microwave</sup>	1-stage	550	14.1 <sup>+Na</sup>	148
SS		3	PECVD	3-stage	-	10.57 <sup>+Na</sup>	119
$\text{SS}^{430\text{BA}}$		1	PECVD	3-stage	350–590	11.82 <sup>+Na</sup>	133
$\text{SS}^{430\text{BA}}$		2	PECVD	3-stage	-	12.43 <sup>+Na</sup>	120
$\text{SS}^{430}$		0.5	PECVD	sequential (Se+ $\text{H}_2\text{S}$ )	550	Kesterite 10.3 <sup>+NaF</sup>	121
$\text{SS}^{430\text{BA}}$		0.2	DC	3-stage	360–560	15.6	123
SS		x	x	multistage	450–580	19.1 <sup>+RbF</sup>	149
$\text{SS}^{430}$		0.2–0.6	SPD aerosol	x	500	x	122
$\text{SS}^{316}$		2, 1.5	Sol-Gel, Sputtering	x	500	x	63
$\text{SS}^{430}$		1	Sol-Gel	sequential (Se)	540–570	3.8	52
SS		1.5	Reactive sputtering	CSS (CdTe)	390–420	x	91
SS		1	Silk screen printing	3-stage	580	10.57 <sup>+Na</sup>	147
SS		0.3	RF	3-stage	500	12.3	146
$\text{SS}^{304}$	$\text{Ti}+\text{SiOx}/\text{AlOx}$	0.6 + 0.25/2	Pulsed DC	x	500–700	x	124

DC and RF indicate direct current and radio frequency sputtering, Also, depo, temp,  $\text{SS}^x$ , and x, denote, respectively, deposition, temperature, stainless-steel<sup>grade</sup>, and not mentioned or not carried out. ALD and SPD, indicate atomic layer deposition and spray pyrolysis deposition, respectively.

made<sup>104</sup>, while others actually utilized TiN as a back contact concept in CIGS solar cell processing/ operation<sup>98</sup>. Such an idea is also seen in other nitrides like  $\text{CrN}$ <sup>82</sup>. However, they observed a  $V_{\text{OC}}$  lower than expected in achieving cells just shy of 10% efficiency. This subpar performance was traced back to defects generated in the CIGS absorber due to Fe and Cr diffusion from the substrate or barrier/ back contact, respectively. Therefore, simultaneous optimization of conductive nitrides as both back contact and diffusion barrier requires more meticulous research.

Another nitride of interest is the insulating AlN which has a similar lattice constant and CTE (4.2 ppm/K) to Mo (4.8 ppm/K)<sup>105</sup>. Li et al. illustrated the efficacy of a micron-thick AlN layer to block impurities, improve Mo microstructure, and insulate the stack from SS in CIGS PV<sup>106</sup>; They report on the necessity of going beyond micrometer thickness for AlN in order for the sputtered film to

sustain its insulation properties and resist peeling off under scotch tape tests. Furthermore, the barrier improved Mo microstructure through grain enlargement- without detrimental impact on Mo crystallinity, but at the expense of a slight increase in root mean square roughness ( $\sim 1.5 \text{ nm}$ )<sup>106</sup>.

Delgado-Sanchez et al. focused on developing adhesive AlN barriers with high throughput and less costly methods, i.e., doctor blading using various aqueous suspensions comprised of  $\text{Al}_2\text{O}_3$ , AlN, and  $\text{Si}_3\text{N}_4$  powders, as well as additives<sup>107</sup>. In their investigation, solution-processed bilayers of either  $\text{Al}_2\text{O}_3$  or AlN resulted in a reduction of 52% in surface roughness and absolute values lower than sputtered  $\text{Al}_2\text{O}_3$ . Both configurations with a similar overall thickness ( $\sim 1.3 \mu\text{m}$ ) also showed no signs of cracks or layer detachment incidence under high-temperature treatments (after barrier deposition); they both showed decent

insulation measures and succeeded in preventing Fe diffusion according to X-ray photoelectron spectroscopy analysis<sup>107</sup>.

Molybdenum nitride is also a potential barrier candidate coming from the Cu metallization practice in semiconductors<sup>108</sup>. Palekis et al. observed the superiority of  $\text{Mo}_x\text{N}_y$  diffusion barriers compared to  $\text{Si}_x\text{N}_y$ , in CdTe devices<sup>91,109</sup>, not least in the interlayer adhesion, given the difference in CTEs<sup>109</sup>. In CIGS, Li et al. sandwiched a fixed thickness of  $\text{Mo}_2\text{N}$  between an adhesive and conductive Mo. In doing so, they found no impact on the crystalline quality of conductive Mo or the absorber layer. Furthermore, while they succeeded in dramatically reducing Fe outward diffusion, their device only shows modest PCEs. This was attributed to the selenization nature (sequential method), suboptimal barrier thickness (hence Fe diffusion), and, not least, lack of alkali doping<sup>110</sup>. All of which indicate a need for more studies.

## Oxides

Oxides are commonly used in semiconductors for different purposes, including passivation, isolation, and impurity barriers<sup>111</sup>. Therefore, their application in PV technologies is understandable<sup>112,113</sup> as their properties are known and widely researched. Table 4 summarizes notable uses of oxides as a barrier for CIGS, Kesterite, and CdTe devices. Such oxide layers could either be made via direct deposition or through the oxidation of specific materials. Many groups also tapped into the dielectric properties of oxides and evaluated their insulation performance. Some of these are also covered.

Researchers of Chonbuk National University in Korea have produced some limited resources available on flexible SS-based CIGS devices made via a sequential two-step technique. In this method, a metallic precursor of the constituent elements is selenized (and/or sulfurized) in a selenium (and/or sulfur) containing atmosphere. Although holding the higher promise of large-scale manufacturing (compared to, e.g., co-evaporation), this method is known with Ga accumulation at the Mo interface and hence, creating an inconsistent bandgap throughout the bulk<sup>114</sup>.

In order to address this issue and promote a coherent, uniform CIGS absorber, Lee et al. suggested using a Se interlayer in their metallic stack<sup>115</sup>. Then, a homomorphic barrier was grown on the SS substrate by its oxidation at 600 °C for a single minute. This work reported this process to result in a  $\text{Fe}_2\text{O}_3$ , and a  $\text{Cr}_2\text{O}_3$  in their later publications<sup>116,117</sup>. Either way, they report the remarkable efficacy of such barriers in blocking Cr contaminations and smoothening the SS surface. However, while Fe inclusion is significantly limited, its trace was not negligible<sup>116</sup>.

Silicon dioxide and its variations are unsurprisingly the most employed (oxide) barrier layers. Also used in other fields as an insulating layer<sup>112</sup>, their nitride variation is actually widely present in glass-based CIGS stack, to i.a., block diffusion of native alkalis<sup>118</sup>. ZSW published one of the detailed systematic studies of dielectric barriers for fabricating flexible CIGS modules on Ti, Kovar, and Cr-steel foil substrates, focusing on silicon (di)oxide<sup>55</sup>. They found evidence for interactive effects of the barrier's deposition technique and thickness on its dielectric and adhesion properties.

Concerning the surface and interlayer properties, combining specific deposition techniques can aid in minimizing barrier surface defects and roughness. E.g.,  $\text{SiO}_x$  via sol-gel<sup>52</sup> or PECVD were shown to improve the surface roughness; 2 and 3  $\mu\text{m}$   $\text{SiO}_x$  and  $\text{SiO}_2$ , respectively, doubled down the surface roughness<sup>119,120</sup> and thin enough amorphous  $\text{SiO}_x$  also smoothed the surface and was suggested to be (more) successful in blocking the Fe diffusion<sup>121,122</sup>, probably due to lack of grain boundaries. Still, the thickness impact is not linear and might affect other properties. Less than a threshold might result in delamination (e.g., 3  $\mu\text{m}$  for sol-gel deposited  $\text{SiO}_x$ ) or inefficient contamination blockage<sup>68</sup>; Exceeding a certain thickness causes pinholes and

cracks and reduced flexibility, though improving the dielectric and diffusion properties (e.g., more than 10  $\mu\text{m}$  in high-temperature varnishes and enamel layers). Another concern for higher thicknesses is the cost of material and processing time, especially when made via sputtering<sup>123</sup>. Depending on the synthesis method, different thicknesses are reported to be a practical compromise; ZSW suggests 3  $\mu\text{m}$  double layer  $\text{SiO}_x$ ,  $\text{SiO}_x$ : Na, made via PECVD and sol-gel, respectively, while 200 nm was suggested for sputtering or aerosol deposition<sup>48,122,123</sup>. Adding an adhesive Ti layer beneath the former (also seen elsewhere<sup>124</sup>), together with co-deposition of NaF, improved SS-based device performances and interlayer adhesion, as documented in the exceptional work of Wuerz et al.<sup>53</sup>.

To study dielectric properties on either Ti, Kovar, or SS substrates, Herz et al. demonstrated the necessity of tests under real CIGS synthesis conditions<sup>55</sup>. That is, characterization after mere high temperature treatment might lead to unreliable conclusions, and exposure to the chalcogen agent is essential to the analysis. After such rigorous testings, beyond 60 V breakdown voltage was achieved through a combination of barriers; 6  $\mu\text{m}$  thick bilayers of  $\text{SiO}_x$ , prepared through CVD and sol-gel techniques, respectively, as well as a combination of PECVD deposited  $\text{SiO}_x$  with RF sputtered  $\text{Al}_2\text{O}_3$ . However, single layers of either kind didn't fare as well. This is in part because the additional layer helps with both insulation (partially through layer thickening), and reduction of pinhole densities. It is certainly plausible to assume impact of the latter on the former. This observation is also reported elsewhere when using multiple layers of  $\text{SiO}_x$  and  $\text{AlO}_x$ . Nevertheless, given the lack of actual testing after exposure to CIGS growth conditions, results should be seen carefully.

ZSW also demonstrated the efficacy of enameling as a multi-functional, high-temperature resistant layer, an alkali source for CIGS, and an insulation and diffusion barrier. Indeed, the 130  $\mu\text{m}$  enamel layer limited Fe atoms by a factor of 300 to unarmful levels (compared to when it is not used), insulated the stack with no signs of microstructural flaws, and provided sodium and potassium concentrations and profiles comparable to (or even better than) glass-based technology. The technique was also used to produce 10  $\times$  10 and 23  $\times$  30  $\text{cm}^2$  modules with a remarkable 14.5% and 12.9% efficiency, respectively (without antireflective coating)<sup>125,126</sup>.

Aluminum and (intrinsic) zinc oxide are other choices of barrier layers due to their attractive properties, including matching CTE, thermomechanical stability, chemical inertness, thermal conductivity, and high insulation<sup>127</sup>. Above all, these layers are already integrated into CIGS production;  $\text{Al}_2\text{O}_3$  has been a choice of back surface passivation<sup>128</sup>, while ZnO has been the stack's most commonly used buffer/ window layer. Therefore, their usage makes the process flow in the fabrication procedure less complex.

$\text{Al}_2\text{O}_3$  is used as a standalone or in combination with  $\text{SiO}_x$ . Park and Bae et al. focused on ALD as a deposition route to promote denser, more conformed, uniform, and amorphous  $\text{Al}_2\text{O}_3$  films to stall the Fe (and Ni) diffusion. Their data suggests a similar blockage effect of the ALD-grown barrier, at one-third thicknesses compared to their sputtered cousin<sup>129,130</sup>. Later they reported a 95% reduction in Fe and Ni contamination when using 300 nm and 30 nm amorphous  $\text{Al}_2\text{O}_3$ , respectively, compared to no barriers<sup>131</sup>. Details of their ALD process can be found in their earlier publication<sup>129</sup>. To simplify the deposition and reduce costs, HZB worked on spray pyrolysis to fabricate amorphous  $\text{Al}_2\text{O}_3$  barriers. Their study suggests the necessity of using ethanol in the solution (to achieve smoothness) and rotating the sample under deposition (to achieve compact and homogenous films) to avoid shunt paths and pinholes<sup>127</sup>.

Anodization is a scalable, controllable, and relatively simple growth technique explored in the interesting work of Fujifilm and AIST. Moriwaki et al. grew a relatively thick 10  $\mu\text{m}$   $\text{Al}_2\text{O}_3$  on top of an even thicker Al laminated SS substrate. Such a bilayer



successfully blocked impurities and insulated the stack with excellent homogeneity and uniformity ( $\pm 5\%$ ). Moving to sub-module scales and having monolithic integration in mind, their barrier also fared well under the so-called P1 patterning, where the (Mo) back contact is structured. In fact, the dielectric layer did not deteriorate afterwards, and still showed a breakdown voltage of around 500 V, nearly thrice the acceptable range<sup>132</sup>.

Lee et al. compared SiO<sub>x</sub> and intrinsic zinc oxide (i-ZnO) as barriers for co-evaporated CIGS with sodium-doped Mo back contact. Their study suggests ZnO's superiority through more optimized Na distribution after the absorber growth. This is then reasonably attributed to ZnO's higher thermal conductivity, providing a higher thermal budget for CIGS synthesis<sup>133</sup>. The suitability of i-ZnO as a barrier layer is also studied by others using co-evaporation for CIGS growth<sup>134,135</sup>.

As hinted initially, such conclusions cannot be readily extended to other CIGS processing temperatures/ techniques. E.g., Ahn et al. found ZnO to be inferior to SiO<sub>x</sub> as a barrier layer in sequentially grown Kesterite absorbers. This was attributed to its comparably higher diffusion coefficient and susceptibility in reacting with Se. The latter is reported to result in the formation of a thin ZnSe layer with an even higher diffusion coefficient, which accelerates the outward diffusion of Fe into the absorber. Such discrepancies suggest the necessity of considering the barrier's intrinsic properties and diffusion behavior under a reactive (selenium) atmosphere<sup>121</sup>.

## SUMMARY AND OUTLOOK

In 2024 CIGS technology celebrates its half-century birthday, with many highs and lows along the way. The (renewable) energy-demanding future does hold a realistic promise for CIGS. Increased interest in space activities, multi-junction tandem configurations, and integrated PV in (mobile) applications with curved surfaces all require properties that are well achieved in this technology. We looked into stainless-steel-based flexible CIGS as a strong contender for such purposes and pointed out the necessity and importance of a diffusion barrier in their fabrication. A wide range of materials used as this layer in the literature were collected, and a summary of their properties and shortcomings was provided.

The literature suggests a need for *tailored* barrier layer material, thicknesses, and deposition methods, depending on the employed CIGS synthesis technique and temperature. In other words, no *one fits all solution* can be singled out. Nonetheless, a rather general trend was seen as follows. Looking at the number of reports, a Cr layer or thickening of Mo back contact in the metallic, SiO<sub>x</sub> variations in the oxides, and TiN in nitrides are the most used barriers in the literature. Additionally, for all kinds, depositing a thin Ti layer beneath the barriers seems to improve diffusion blockage and stack integrity by promoting interlayer adhesion. In terms of barrier thickness, in either of the classes, most of the literature indicates a need for hundreds of nanometers, with oxides leaning towards micron thick, and nitrides and metals below half a micron. This means a deposition method like conventional ALD would not be an industrially friendly choice despite its benefits, like film conformity. On the other hand, large-scale, and cost-effective growth techniques that are already part of CIGS technology value chain, such as DC or RF sputtering, are strong contenders. Nevertheless, there is no definitive solution here either, and other methods like evaporation and solution processing also showed efficacy.

In the case of monolithic integration, one must either choose an insulating diffusion barrier or deposit an additional thin insulator film in the stack. In either case, SiO<sub>x</sub> and its variations are the most used material. AlO<sub>x</sub> and ZnO was also shown to be appropriate candidates for this purpose. However, given a need for thick layers to also succeed in impurity blockage, they may come with a risk of delamination, surface defects, and reduced flexibility- which

defeats the purpose of technology. Therefore, it might be more practical to turn to other interconnect technologies and avoid the complexities coming with monolithic integration for SS-based CIGS.

Furthermore, a recurring challenge in the literature is the barrier performance in the presence of alkali sources, which cannot be snubbed when aiming for high-performing devices. We covered different groups' approaches to include light and heavy alkalis, and through different studies, the necessity of simultaneous co-optimization of the alkali inclusion and contamination blockage was emphasized. These elements and Fe have similar diffusion paths through grain boundaries and interact when present at the same time. Therefore, it appears more practical to synthesize the absorber first, focusing on impeding contaminations, and later use PDT to introduce alkalis at comparably lower temperatures where Fe diffusion is less probable.

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## AUTHOR CONTRIBUTIONS

S.H. and G.B. conceptualized the work. S.H. collected the data and wrote the original manuscript. G.B., J.P., and B.V. reviewed and edited the original draft.

## COMPETING INTERESTS

The authors declare no competing interests.

## ADDITIONAL INFORMATION

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