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Comparative study of $Co_3O_4(111)$, $CoFe_2O_4(111)$, and $Fe_3O_4(111)$ thin film electrocatalysts for the oxygen evolution reaction

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Water electrolysis to produce 'green H_2 ' with renewable energy is a promising option for the upcoming green economy. However, the slow and complex oxygen evolution reaction at the anode limits the efficiency. Co_3O_4 with added iron is a capable catalyst for this reaction, but the role of iron is presently unclear. To investigate this topic, we compare epitaxial $Co_3O_4(111)$, $CoFe_2O_4(111)$, and $Fe_3O_4(111)$ thin film model electrocatalysts, combining quasi in-situ preparation and characterization in ultra-high vacuum with electrochemistry experiments. The well-defined composition and structure of the thin epitaxial films permits the obtention of quantitatively comparable results. $CoFe_2O_4(111)$ is found to be up to about four times more active than $Co_3O_4(111)$ and about nine times more than $Fe_3O_4(111)$, with the activity depending acutely on the Co/Fe concentration ratio. Under reaction conditions, all three oxides are covered by oxyhydroxide. For $CoFe_2O_4(111)$, the oxyhydroxide's Fe/Co concentration ratio is stabilized by partial iron dissolution.

Recent years have seen a push towards renewable energy sources in the transition away from our dependence on fossil fuels. However, due to natural fluctuations of sources such as solar, wind, and tidal energy, an efficient energy storage path is needed for situations where the production level does not match the consumption. One potential route for energy storage is the electrocatalytic production of H_2 via water splitting. H_2 produced this way is called green hydrogen when the energy stems from renewable sources^{1,2}. The limiting factor in this process is the sluggish kinetics of the oxygen evolution reaction (OER) and its high thermodynamic potential.

Catalysts based on noble metals such as Ru and Ir exhibit excellent OER performance in acidic media, but their high cost conflicts with a large-scale use³. On the other hand, a number of oxides of less expensive, abundant metals have shown comparable reactivity in alkaline media, particularly oxide spinels containing Co, Ni, and Fe^{2,4}. According to current knowledge, the real catalysts are not the oxides

themselves, but surface oxyhydroxide layers which form under OER conditions⁵⁻⁸. Thus, the oxides are just pre-catalysts. Pure iron oxide was not predicted to yield a good catalyst⁹, but it was discovered that Fe-impurities in the electrolyte could enhance the activity of other oxides and oxyhydroxides^{10,11}. This also holds for Co and Ni-based catalysts^{12,13}, and it has been proposed that the active sites in iron-containing mixed oxyhydroxide catalysts are iron-based¹⁴⁻¹⁶. A recent study by Haase et al. reveals the presence of oxyl species on cobalt oxyhydroxide during OER, which may also play a relevant role for the reaction¹⁷.

Understanding catalytic processes on nanoparticulate 'real' catalysts is demanding. For catalysis, the surface structure is relevant, but this can be very complex in such systems, and usually only incomplete knowledge is available. Moreover, the catalyst's composition and structure are often inhomogeneous, which additionally enhances the complexity. Epitaxial thin film model catalysts are not afflicted by these

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issues: they have a well-defined surface structure and composition, and all parts of the surface can be equally well reached by the electrolyte. Furthermore, electrical conductivity, and its variation over the surface area can be a problem for the study of nanoparticulate 'real' catalysts, whereas homogeneous thin films with a thickness of just a few nanometres suffer much less from this issue even if the film material is nominally insulating. Consequently, results for epitaxial films can be more easily interpreted, and data for different films can be compared quantitatively if the experimental conditions are identical.

Only very few experimental OER studies have been performed on well-ordered surfaces within the Co-Fe-O system. These include $Co_3O_4(111)$ and $CoOOH(001)^{18-20}$, CoO_x nano-islands on $Au(111)^{21,22}$, and Fe₃O₄(001) and (110) single crystal surfaces^{23,24}. Building on the work of Bergmann et al. on polycrystalline Co₃O₄ thin film catalysts²⁵, Reikowski et al. elegantly showed that a thin layer of CoOOH is formed on the surface of Co₃O₄(111) in a rapid and reversible process that begins before the onset of OER²⁰. Chung et al. recently reported that Fe ions in iron oxyhydroxides are "dynamically stable", i.e., the ions are continuously dissolved from and redeposited onto the surface²⁶. Müllner et al. used single crystal Fe₃O₄ samples to show that the (001) and (110) surfaces are stable during OER, and that the (110) surface is more reactive²³. Further, an operando study by Grumelli et al. demonstrated that the ($\sqrt{2}\times\sqrt{2}$)R45° surface reconstruction of Fe₃O₄(001) is maintained deep into the OER regime and has a large effect on the OER kinetics²⁴. Han et al. prepared Co_xFe_{3-x}O₄ films with different preferential orientations via electrodeposition on Cu single crystal substrates²⁷ and found that the OER activity depends on the surface orientation, with (110) > (111) > (001). The same orientation-reactivity trend was found by Poulain et al. for NiO thin films⁶.

The central topic of the present study is the investigation of the effect of the Co/Fe concentration ratio on the OER activity for films of the same surface orientation 8,13,27,28 . We found the best OER reactivity and stability for mixed $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ layers ($|\delta|<0.2$) with a low Fe concentration, while pure cobalt oxide and iron oxide layers lag behind. However, $Co_3O_4(111)$ freshly introduced into the electrolyte had an initial similar activity as the best $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ film, pointing towards a high activity of cobalt hydroxide which is unstable under OER conditions. Furthermore, for $Co_{1+\delta}Fe_{2-\delta}O_4(111)$, we observed a reduction of the Fe concentration by Fe dissolution into the electrolyte

during OER, accompanied by an increase of the OER activity. Our results reveal that the cobalt/iron ratio is an important parameter for the OER reactivity of the films, while the surface morphology plays only a minor role.

Results

Pre- and post-electrochemistry characterization in ultra-high vacuum

Well-defined epitaxial thin films were prepared via oxidative physical vapour deposition as discussed in the experimental section. The samples were characterized in situ with X-ray photoelectron spectroscopy (XPS), low-energy electron diffraction (LEED), and scanning tunnelling microscopy (STM) before and after the electrochemistry experiments. After more than 2 h under OER conditions, the oxide films still exhibited a visible LEED pattern, see Fig. 1. The high background intensity after OER indicates a high level of surface disorder, most pronounced for Fe₃O₄(111). We assign this to remnants of the (oxy)hydroxide layers formed during OER^{5,7,20,25}. They might be disordered and thus be partially responsible for the diffuse LEED background. The XPS data discussed later clearly reveal the presence of such layers.

The STM images in Fig. 2a-c show the general surface morphology of the as-prepared $Co_3O_4(111)$, $Fe_3O_4(111)$ and $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ films. The step height of the terraces on all three films is -4.8 Å, which is the distance between equivalent layers along [111]. The Fe₃O₄(111) film has terraces larger than 100 nm across, while the $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ terraces are smaller, approximately 20-60 nm wide. Co₃O₄(111) exhibits an island-like structure, with terrace widths in the range of 10-30 nm. RMS roughness values are listed in Table 1. The presence of steps and edges gives rise to an increased surface area, and therefore, the areas of the surface profiles are larger than the STM scan areas. The ratio of both (determined using the WSXM software²⁹ from several 500 × 500 nm STM images) is the scaling or roughness factor listed in Table 1. By multiplying the area enclosed by the electrochemical cell (0.283 cm²) by the scaling factor we can get an estimate of the electrochemically active surface area (ECSA) and find that the ECSA is not much different between the different as-prepared films.

In order to determine the specific capacitance, C_s³⁰, we divided the double-layer capacitance measured with potentiostatic electrochemical

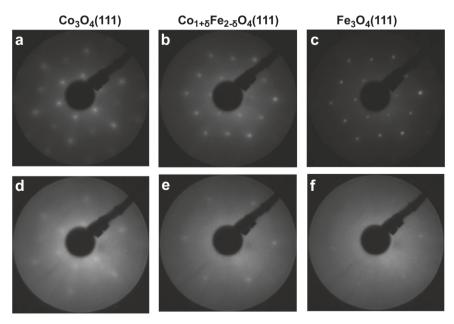


Fig. 1 | LEED patterns of the thin films. Panels (a-c) before electrochemistry, (d-f) after electrochemistry. The electron energy was 160 eV. The contrast of the images has been digitally enhanced to improve the visibility of the structures.

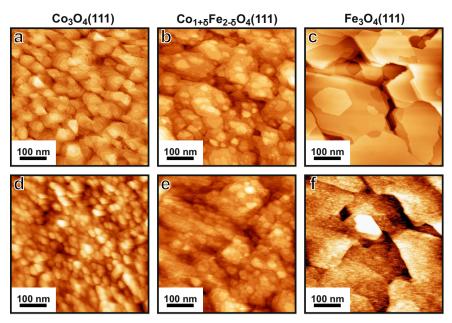


Fig. 2 | STM images of the thin films. Panels (a-c) before, and (d-f) after more than 2 h of electrochemistry. Tunnelling conditions: (a-c, e, f) sample bias 2.0 V, current 0.1 nA, (d) 3.0 V, 0.2 nA.

Table 1 | Root mean square (RMS) roughness, surface area scaling factor, electrochemical surface area (ECSA), and specific capacitance, C_s , for $Co_3O_4(111)$, $Co_{1+\delta}Fe_{2-\delta}O_4(111)$, and $Fe_3O_4(111)$ before and after OER (oxygen evolution reaction)

	Before OER				After OER			
Film	RMS (nm)	Surface area scaling factor	ECSA (cm²)	C _s (mF/cm ²)	RMS (nm)	Surface area scaling factor	ECSA (cm²)	C _s (mF/cm ²)
Co ₃ O ₄ (111)	1.56	1.04	0.294	0.082 ± 0.01	2.21	1.07	0.302	0.079 ± 0.01
Co _{1+δ} Fe _{2-δ} O ₄ (111)	1.09	1.03	0.291	0.069 ± 0.01	0.99	1.07	0.302	0.073 ± 0.01
Fe ₃ O ₄ (111)	0.75	1.01	0.286	0.087 ± 0.02	0.70	1.16	0.330	0.061 ± 0.01

The C_s capacitances reported before and after OER are computed from double-layer charges determined with potentiostatic electrochemical impedance spectroscopy (PEIS) at the beginning and the end of a 2-h chronoamperometry (CA) run at OER conditions.

impedance spectroscopy (PEIS) (Supplementary Fig. S1 and Supplementary Table S1) by the ECSA listed in Table 1. Interestingly, the values of C_s for the $Co_3O_4(111)$ surface are ~3 times larger than those determined for metallic Co^{30} , which may be due to different surface structures and oxidation states.

An investigation of the $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ surface structure has not yet been reported. Quantitative XPS analysis finds an enhanced iron concentration in the surface-sensitive spectra for as-prepared $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ (see Supplementary Fig. S2), indicating that the terminating layer consists mostly of iron. In agreement with that, atomic resolution STM images (panels d,e of Supplementary Fig. S3) exhibit similar arrangements of protrusions for $Fe_3O_4(111)$ and $Co_{1+\delta}Fe_{2-\delta}O_4(111)$. Thus, the combined information from STM, LEED, and XPS infers that tetrahedrally terminated $Fe_3O_4(111)$ terminates most of the $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ surface (Supplementary Fig. S4). This is plausible, since $Co_3O_4(111)$ and $Fe_3O_4(111)$ are both terminated by tetrahedral layers $^{31-33}$, and $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ might share this termination, being a mixture of both.

Comparison of the $Fe_3O_4(111)$ and $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ STM images recorded before and after electrochemistry (Fig. 2) reveals that the terrace structures are not significantly modified, as also reflected in the small changes in the RMS roughness (see Table 1). The latter gets slightly smaller, indicating that the OER remnants tend to smoothen the surface by filling the valleys. On the other hand, the $Co_3O_4(111)$ surface is more granular after electrochemistry: the density of islands has approximately doubled, and the RMS roughness is -40% larger (Table 1). After electrochemistry, there is clearly an enhanced short-

scale roughness for Fe $_3$ O $_4$ (111) on the terraces, accompanied by a notable surface area increase and a C $_s$ decrease. This may be related to the oxidation of the film (see discussion below) or structural rearrangements during the formation of the oxyhydroxide layer.

O 1s and metal 2p XPS spectra are compiled in Fig. 3. The O 1s spectra of all three films (panels a-c) show the main oxide peak at ~530 eV and after electrochemistry an additional small peak at about 1.5 eV higher binding energy, which we assign to surface hydroxide. Oxyhydroxide O1s peaks overlap with the oxide bulk peak and are therefore not clearly detectable³⁴. Yang et al. identified the cobalt hydroxide O 1s bulk peak at 531.2 eV, somewhere between the surface hydroxide and the bulk oxide peak³⁵, which makes it hard to clearly identify it. Since the layer may also contain oxyhydroxide, we use the notation '(oxy)hydroxide' when we refer to the full layer. Assuming a flat and homogeneous layer, we find a surface (oxy)hydroxide layer thickness in the range of 3 Å for all three oxides. The whole surface layer may not be much thicker, as concluded from the intensity of the LEED spots after OER (see Fig. 1), which would be compatible with a flat layer less than ~1 nm thick (for details see SI). For Fe₃O₄(111) it was checked whether the hydroxide stems from the electrochemical experiments by recording an O 1s spectrum from a sample area not exposed to the electrolyte (Supplementary Fig. S5). The much weaker hydroxide-related O 1s intensity shows that the hydroxide layer indeed results mostly from the OER electrochemistry. It is expected that the same holds for the $Co_3O_4(111)$ and $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ samples.

Contaminants were absent according to the XPS spectra, except for a minute amount of adventitious carbon (<1 monolayer). Substrate

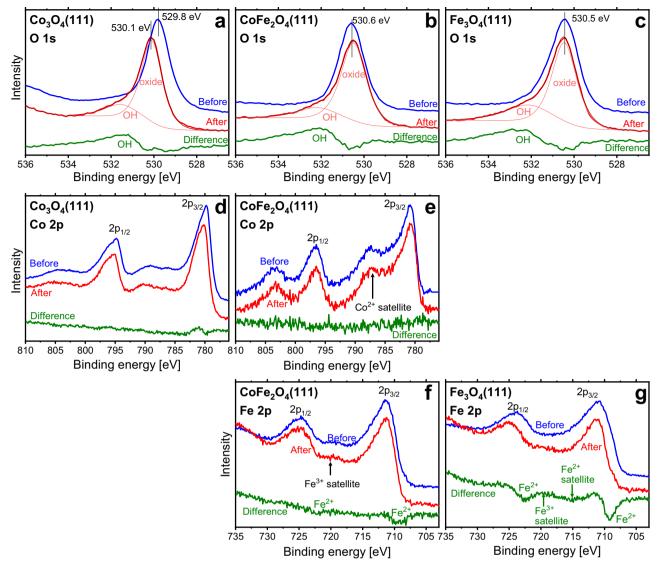


Fig. 3 | X-ray photoelectron spectroscopy (XPS) spectra from the O 1s and metal 2p regions recorded before (blue lines) and after (red lines) electrochemistry (offset for clarity). Panels (a, d): $Co_3O_4(111)$, (b, e, f): $Co_{1+\delta}Fe_{2-\delta}O_4(111)$, (c, g): $Fe_3O_4(111)$. Difference spectra ('After'–'Before') are plotted using olive lines. Peak fits for the O 1s spectra after electrochemistry are shown as thin red and brown

lines. Prior to the calculation of the 2p difference spectra, the 2p spectra recorded before electrochemistry were shifted on the energy axis (to compensate for band bending effects) to align them with the spectra recorded after electrochemistry and they were normalized to identical peak areas to reveal changes of the electronic structure. Therefore, concentration changes are masked in the different data.

material (Pt or Au) could not be detected (Supplementary Fig. S6), indicating that all films are closed.

The Fe 2p spectra of the pure Fe₃O₄(111) film, Fig. 3g, reveal a strongly reduced Fe²⁺ intensity after OER. This will (in part) be due to oxyhydroxide formed during OER, but in view of the limited thickness of the layer, one may expect that also part of the oxide becomes oxidized to Fe₂O₃. Such an oxidation process has also been observed for Fe₃O₄(100) by Müllner et al.²³. and for Fe₃O₄ nanocubes by Hsu et al.³⁶. The Fe₃O₄(111) LEED pattern of the oxide after OER shows spots at similar positions as before OER, see Fig. 1c, f, which would be compatible with the formation of maghemite (γ -Fe₂O₃), which is cubic and can be formed by dissolution of tetrahedrally-coordinated iron out of the Fe₃O₄ lattice leading to a Fe oxidation state of 3+ without changing the lattice structure. As shown in Table 1, the specific capacitance of the Fe₃O₄(111) film has decreased by -25 %, which we attribute to the oxidation process.

For $Co_3O_4(111)$, the Co 2p spectrum (panel d) is very similar to spectra reported by others³⁷ and is not affected very much by the OER process, which means that the oxide is mostly stable under the chosen

OER conditions. The features in the difference spectrum at around 780 eV are consistent with the formation of CoOOH at the surface 37,38 . Likewise, the small shift of the main lines to slightly higher binding energy would be compatible with the formation of CoOOH and Co(OH) $_2$ 35 .

For the $\mathrm{Co_{1+\delta}Fe_{2-\delta}O_4(111)}$ film, the Co 2p spectra recorded before and after electrochemistry (panel e) are also very similar, with very small CoOOH-related features in the region around 780 eV. Within the composition range $|\delta|$ <=0.2, the spectra do not vary much and therefore only data for a selected film (Film 1, Table 2) are shown. Clearly, the Co 2p spectrum of $\mathrm{Co_{1+\delta}Fe_{2-\delta}O_4(111)}$ is different from the corresponding $\mathrm{Co_3O_4(111)}$ spectrum in panel d, which results from the fact that the cobalt ions in $\mathrm{Co_{1+\delta}Fe_{2-\delta}O_4(111)}$ are in a 2+ oxidation state, and therefore, the Co 2p spectrum is similar to that of $\mathrm{CoO^{37}}$.

A quantitative evaluation of the Co 2p and Fe 2p spectra of the Co₁₊₈Fe₂₋₈O₄(111) films reveals a decrease of the Fe concentration during electrochemistry in all experiments. Table 2 shows that the decrease is more pronounced in the surface-sensitive spectra, which demonstrates that the iron loss occurs at the surface.

No significant changes of the $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ surface compositions were observed when the samples were placed in the electrolyte without applied potential, which shows that the iron loss only occurs under OER conditions (see Supplementary Fig. S7). An OER run performed for 10 min instead of 2 h showed a similar loss, indicating that it occurred mostly within the first 10 min. However, there are probably also some long-term losses as indicated by Supplementary Fig. S8, which reveals an activity improvement for more than 20 h.

The Fe^{2+} intensity of $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ is reduced after OER, revealing that part of the surface iron is oxidized. Oxyhydroxide formation and oxidation of the iron oxide at the surface may be responsible for this. The Co^{2+} ions are apparently not further oxidized under the given OER conditions, similar to the observation for $Co_3O_4(111)$.

Electrochemical measurements

Cyclic voltammetry (CV) was applied to identify the influence of Fe on the surface redox electrochemistry. Before a 2-h OER run, linear sweep voltammograms (LSVs) were recorded from the open-circuit voltage (OCV) up to OER conditions (defined here as the potential where the current density reaches 1 mA/cm²), see Fig. 4a, b.

For the ${\rm Co_3O_4(111)}$ film, a redox feature is visible at -1.46 V. This feature has typically been assigned to a ${\rm Co^{3^+/Co^{4^+}}}$ redox transition 39,40 . The assignment is likely a strong simplification as it neglects metalligand charge reorganization leading to electron–hole formation at surface oxygen ions, similar to ${\rm IrO_x}^{17,41}$. There is no indication of a peak previously reported between 1.0 and 1.3 V for other Co-based electrocatalysts 18,25,42 . We note that a peak at this potential has been

Table 2 | Fe concentrations (the cobalt concentration is C_{Co} = 100- C_{Fe}) as a percentage of the total metal content for the prepared $Co_{1+\delta}Fe_{2-\delta}O_4$ (111) films before and after oxygen evolution reaction (OER) experiments

		θ = 0 °	θ=70°	θ = 0 °	θ=70°	θ = 0 °	θ=70°	
No	δ before OER	Fe concent	ration before OER	Fe concentration after OER		Difference	Difference ('after'-'before')	
1	0.20	60%	64%	52%	49%	8%	15%	
2	0.08	64%	70%	55%	48%	9%	22%	
3	0.05	65%	70%	not measured	not measured			
4	0.02	66%	70%	58%	53%	8%	17%	
5	-0.04	68%	76%	62%	62%	6%	14%	

Concentrations are calculated from the areas of the Fe 2p and Co 2p peaks in the XPS spectra (see Fig. 3). The electron detection angles θ are given relative to the surface normal. The XPS sampling depths are: λ = 12.0 Å and 4.1 Å for Fe and 10.9 Å and 3.7 Å for Co, considering 0° and 70° as respective detection angles. For more details, see the SI.

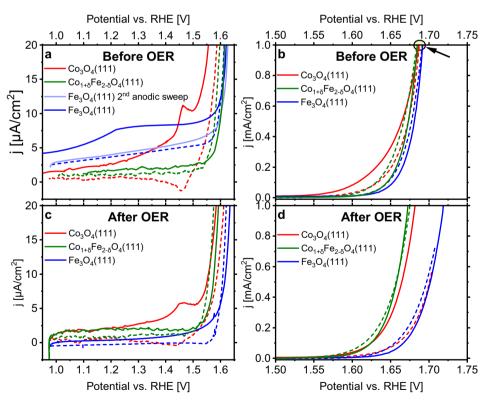


Fig. 4 | **Cyclic voltammograms of Co₃O₄(111), Fe₃O₄(111), and Co₁₊₈Fe₂₋₈O₄(111) thin films.** The data in (a, b) were recorded at the beginning of the experiment from the open-circuit voltage (OCV) up to $1 \, \text{mA/cm}^2$, and the data in (c, d) after $2 \, \text{h}$ at OER (oxygen evolution reaction) conditions starting with a cathodic sweep. Panels (a) and (c) show the redox transition region. In (a) the second anodic sweep of the Fe₃O₄(111) sample is displayed to show the disappearance of the redox transition at -1.26 V. Scan rate: $5 \, \text{mV/s}$. Electrolyte: 0.1 M KOH. Cathodic scans are shown as

dashed lines. The arrow in panel (**b**) marks the potentials where the current densities reach 1 mA/cm². These potentials were employed for the subsequent CA measurements. For $\text{Co}_{1+\delta}\text{Fe}_{2-\delta}\text{O}_4(111)$, data from the film with the lowest Fe concentration are shown [Film 1, Table 2]. The resistances for the IR correction and the high-frequency cell resistances are listed in Supplementary Tables S2 and S3, respectively.

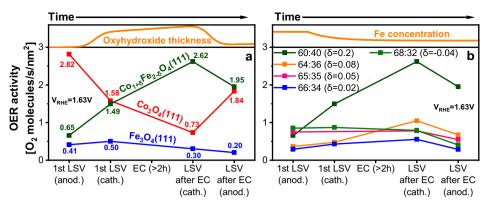


Fig. 5 | Oxygen evolution activies of the thin films at different stages of the experiment. Panels (a) $Co_3O_4(111)$, $Co_{1+\delta}Fe_{2-\delta}O_4(111)$, and $Fe_3O_4(111)$ thin films, (b) $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ thin films with differing Fe:Co ratios. Data for the $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ film with the lowest Fe concentration (δ = 0.2) are shown in (a). The oxyhydroxide layer thickness plot in the top panel in (a) and the Fe concentration plot in the top panel in (b) are qualitative guesses based on a report that

the oxyhydroxide layer thickness increases with increasing potential 20 and the observation of a decreasing Fe concentration during OER in this study, respectively. 'anod.' means 'anodic' and 'cath.' means 'cathodic', both describing the scan direction. The activities are given as the number of $\rm O_2$ molecules per second and square nanometre for $\rm V_{RHE}=1.63~V.$

observed more frequently for layered CoOOH-like structures than for Co₃O₄-like oxides²⁵.

The first anodic linear sweep of the Fe $_3$ O $_4$ (111) film exhibits broad oxidation features centred at approximately 0.73 V (Supplementary Fig. S9) and 1.26 V vs RHE. These features only appear in the first sweep, and no reduction is observed during the following cathodic scan, nor further oxidation on later anodic sweeps up to OER conditions. We tentatively assign these transitions to the oxidation of near-surface iron atoms in the context of the Fe $_3$ O $_4$ \rightarrow Fe $_2$ O $_3$ transformation. These redox features were completely missing in the Fe $_3$ O $_4$ (001) CV data published by Grumelli et al.²⁴. However, Calvillo et al. observed peaks at ~1.25 V during the first two CVs for Fe $_3$ O $_4$ /Pd(001) films⁴³.

An approximately linear offset is seen in the initial Fe $_3O_4(111)$ CVs (see Supplementary Fig. S9). The background current observed may be due to a slow oxidation process in the bulk of the film. In the CVs obtained after OER this background is not present anymore, which is another indication that it is related to the Fe $_3O_4 \rightarrow$ Fe $_2O_3$ transformation, since the oxidation process may be largely complete or have slowed down after OER.

The initial anodic scan of the $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ films had no distinguishable redox features. This may be because the Fe in $Co_{1+\delta}Fe_{2-\delta}O_4$ is already in a 3+ oxidation state⁴⁴, which is probably also the case for most of the surface iron atoms (there is only a small Fe^{2+} contribution, see Fig. 3f). As discussed, the cobalt concentration at the surface of the pristine sample is probably small, which could explain why Co redox transitions were not detected. The peak expected between 1.0 and 1.3 V_{RHE} may be absent for a similar reason as discussed for $Co_3O_4(111)$. Additionally, the presence of Fe has been shown to shift the Co oxidation peaks to higher potentials²⁸. Therefore, the peak that we observe at 1.46 V for $Co_3O_4(111)$ may be obscured by the onset of OER if it does exist for $Co_{1+\delta}Fe_{2-\delta}O_4(111)$.

After the initial CVs, the samples were exposed to OER conditions for 2 h using the potential where the current density reached 1 mA/cm² in the preceding CV scan (see arrow mark in Fig. 4b). During the 2-h period at OER conditions, chronoamperometry (CA) data were recorded to reveal the time dependence of the current, see Supplementary Fig. S10. Following OER, further CVs were measured, which are shown in Fig. 4c, d. These data reveal that the onset of the Fe₃O₄(111) activity after OER is at a significantly higher potential than before OER. Co₃O₄(111) has a similar onset potential to Fe₃O₄(111) in the cathodic scan after OER, but is much better in the subsequent anodic scan, while the Co_{1+δ}Fe_{2-δ}O₄(111) film has a lower onset potential after OER.

OER activity data for a potential of $1.63\,V_{RHE}$ are compiled in Fig. 5 for the thin film oxides using data from linear sweep voltammograms

measured at various stages of the experiment. We note that the OER activity was calculated taking into account the surface roughness and thus, correspond to the intrinsic activity of the exposed surfaces. The experimental first step was a CV cycle (an anodic LSV from open circuit potential to OER potential, followed by a cathodic LSV to 1 V_{RHF}). Following this, the potential was brought back to that for $j = 1 \text{ mA/cm}^2$ and held for at least 2 h. Then, a further CV scan was performed (a cathodic LSV from the potential applied during OER down to 1 V_{RHE}, followed by an anodic sweep back to OER conditions). Figure 5 displays the activities derived from the current densities at $V_{RHE} = 1.63 \text{ V}$ during the first CV and the CV after 2 h at OER conditions. Thus, Fig. 5 illustrates condition- and time-dependent trends in the activity of the catalysts. The activity data can be quantitatively compared among the thin films, since the electrochemistry experiments were performed under identical conditions and the ECSAs are well known, see Table 1. Since the data shown at the third abscissa point in Fig. 5, 'LSV after EC (cathodic)' were obtained directly after the extended OER scan, they are likely the best representation of the activities of the catalysts under reaction conditions. In panel a, $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ ($\delta = 0.2$) was the most active catalyst at this point. We also found a clear trend in the dependence of the activity on the Fe concentration in $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ in the given concentration range: films with a lower Fe concentration have the higher activity, see panel b. The dependence is significant-the activity varies by several times with a change in Fe concentration of only a few percent.

Under OER conditions, the iron oxide film is covered by an FeOOH layer⁷, which is soluble in basic media, and dissolves slowly during OER, with the rate of dissolution depending on the potential applied⁴⁵. At high anodic potentials it is rapidly oxidized to FeO₄²⁻, which is highly reactive but also highly soluble⁴⁵. An ICP-MS (inductively coupled plasma mass-spectrometry) characterization of the iron content in the electrolyte revealed a slight increase after electrochemistry, which corresponds to the dissolution of just 0.4 nm of Fe₃O₄. This is a negligible part of the Fe₃O₄(111) film, which had a thickness between 10 and 15 nm. The data in Fig. 5 also revealed that the activity of Fe₃O₄(111) is the lowest of the three catalysts. After a brief improvement at the beginning, it degrades until it finally reaches about 50% of the starting activity. This degradation may in part be due to the oxidation towards Fe_2O_3 , which is an electrical insulator, but the fact that the activity is the smallest at all abscissa points in Fig. 5 suggests that the oxyhydroxide layer on Fe₃O₄(111) simply has a low OER activity, as also proposed by other authors⁹.

To obtain insights into the state of the thin films during OER, *operando* Raman spectra were recorded. The spectra support the XPS

result that part of the film transforms to γ -Fe₂O₃ during OER (Supplementary Fig. S11 and accompanying discussion). No (oxy)hydroxide-related peaks could be found in any of the Raman spectra even after extended times (>1 h) under OER conditions. This indicates that the oxyhydroxide film was too thin for a detectable signal. *Operando* X-ray scattering was also applied, but also here, the oxyhydroxide could not be clearly detected. This is in line with the results of Wiegmann et al.⁴⁶. who found that the oxyhydroxide layer formed on an Co₃O₄(111) layer grown in ultra-high vacuum (UHV) is very thin, thinner than on electrochemically grown Co₃O₄(111) layers. It may be assumed that structural imperfections in the latter give rise to this difference.

Figure 5a suggests that the formation and conditioning of the oxyhydroxide layer on Co₃O₄(111) has a detrimental effect on the activity: before electrochemistry, the Co₃O₄(111) activity is higher than that of the other oxides, but the activity decreases once OER conditions have been reached and it keeps decreasing with increasing OER time. This is also visible in Supplementary Fig. S10, which shows that the Co₃O₄(111) OER current density decreases continuously after the start of the experiment. During the cathodic scan after the EC experiments, the activity is as small as that of Fe₃O₄(111) while the Co₃O₄(111) OER activity improves again when the potential is decreased and the film exposed to reducing conditions, see the last data point in Fig. 5a. One possible conclusion from this observation is that the activity of the surface layer, probably mostly hydroxide, which forms after exposure of the sample to the electrolyte⁴⁷ is higher than that of the thermodynamically more stable oxyhydroxide layer which forms under OER reaction conditions.

An oxyhydroxide layer with an initially high iron concentration may form on Co_{1+δ}Fe_{2-δ}O₄(111) due to oxide's high surface iron concentration, which may be the reason for the similar activities of $Fe_3O_4(111)$ and $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ in the initial phase of the experiment, Fig. 5, and also for the similar slopes of the Fe₃O₄(111) and $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ CA curves in the first ~50 s, see Supplementary Fig. S10. Beyond this time, the Fe₃O₄(111) and Co_{1+ δ}Fe_{2- δ}O₄(111) CA curves deviate more and more with increasing time. Burke et al. found that (Co.Fe)OOH mixed oxyhydroxide electrodes dissolve in a KOH electrolyte during OER when the Fe concentration is larger than 54%, but they are stable at lower Fe concentrations²⁸. We find a reduction of the surface Fe concentration to similar values, see Table 2 for Co_{1+δ}Fe_{2-δ}O₄(111) after OER. An ICP-MS characterization of the electrolyte after OER showed the same Fe loss as for the Fe₃O₄(111) film (i.e., ~0.4 nm), but no increase of the Co concentration in the electrolyte, indicating that Co is not lost from the film. We hypothesize that iron dissolves into the electrolyte from the oxyhydroxide layer without re-deposition. To test this hypothesis, a $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ film was prepared, but in the final preparation step, pure Fe was deposited instead of a Fe/Co mixture. After oxidizing the film, the excess Fe was still not well-mixed within the film, and it remained as an Fe₃O₄(111)-like layer on the surface. A long-term OER CA scan (-21 h, see Supplementary Fig. S8) revealed that for about 1 h the film behaved similar to Fe₃O₄(111), where the current mostly decreases as a function of time, see Supplementary Fig. S10. However, after this the current increased, which we attribute to iron dissolution and the concomitant enrichment of cobalt in the oxyhydroxide layer. The Fe concentration following the CA scan was ~50%. Details are discussed in the SI (Supplementary Fig. S8).

Summing up, we have performed a comparative study of the OER activity of epitaxial crystalline thin oxide film model catalysts: $Co_3O_4(111), Co_{1+\delta}Fe_{2-\delta}O_4(111) \ (|\delta| <= 0.2), \ and \ Fe_3O_4(111). \ Epitaxial, \ well-characterized and defect-poor UHV-grown cobalt ferrite layers are used here for the first time for OER studies and permit to quantitatively assess the effect of the iron concentration on the reactivity, which may be a topic for future studies.$

 $\text{Co}_3\text{O}_4(111)$ and $\text{Co}_{1+\delta}\text{Fe}_{2-\delta}\text{O}_4(111)$ were largely stable under the chosen OER conditions, but $\text{Fe}_3\text{O}_4(111)$ was found to partially

transform to the cubic $\gamma\text{-Fe}_2O_3$ phase. $\text{Co}_{1+\delta}\text{Fe}_{2-\delta}O_4(111)$, the most active catalyst, was found to lose iron during OER via dissolution, eventually converging towards a stable low Fe concentration, while cobalt dissolution was not detected. The $\text{Co}_3\text{O}_4(111)$ surface appears to be somewhat granular after 2 h of OER, while the surface island structures of $\text{Co}_{1+\delta}\text{Fe}_{2-\delta}\text{O}_4(111)$ and $\text{Fe}_3\text{O}_4(111)$ remained nearly unchanged.

 $\text{Co}_3\text{O}_4(111)$ has an initial high OER activity which decreases when the OER reaction is running, which we attribute to a higher activity of the surface layer formed after introduction into the electrolyte comprising a higher density of reduced Co^{2+} sites and hydroxyls. In contrast, the $\text{Co}_{1+\delta}\text{Fe}_{2-\delta}\text{O}_4(111)$ activity increases during exposure to OER conditions and decreases upon exposure to reducing conditions. We attribute this finding to the compositional adaptations leading to elevated Fe surface concentration passivating the surface.

We feel that the most important aspect of the thin-epitaxial-film approach is a significant reduction of uncertainties inherent to less well-defined pre-catalyst systems, which permits quantitative comparison of the specific activity for different oxides. Given the homogeneity of the surfaces, we can use laterally averaging spectroscopy methods without averaging over differing areas. These data will constitute a feedstock for theoretical modelling which is likely needed to further unravel mechanistic details of the reaction process but also require more advanced surface sensitive chemical spectroscopy. Upcoming studies will also involve the effect of the facet terminating the surface and thereby, provide further needed insights to yield in a comprehensive picture of the oxygen evolution surface of Co-based electrocatalysts for alkaline water splitting.

Methods

Experimental chamber

An UHV chamber with a base pressure of 4×10^{-11} mbar was used for sample preparation and surface characterization with XPS, LEED, and STM at room temperature. For some measurements the surface sensitivity of XPS was enhanced by measuring at non-normal detection angles. Unless stated otherwise, measurements were made at normal emission geometry (0°) using Mg K α radiation (1253.6 eV). Further details of the UHV chamber may be found in the supporting information.

Thin film preparation

We have developed recipes for the production of well-ordered epitaxial thin films of $\mathrm{Co_{1+6}Fe_{2-6}O_4(111)}$ on Pt(111) and $\mathrm{Co_3O_4(111)}$ on Au(111). A well-established preparation procedure was employed for $\mathrm{Fe_3O_4(111)}$ films on Pt(111)⁴⁸. All samples were prepared and characterized quasi in situ in UHV before and after OER without exposure to air at any point to avoid surface contaminations. 'Quasi in-situ' means here that all experimental steps were done in the same system, but in different interconnected chambers and environments (UHV, liquid, 1 bar Ar), without intermediate exposure to air during the sample transfer.

The Pt(111) and Au(111) crystal substrates (MaTeck GmbH, Germany) were prepared through cycles of Ar $^+$ -sputtering and annealing in UHV until a sharp LEED pattern with a low background intensity was observed and no traces of carbon were detected with XPS. Fe and Co were deposited using e-beam assisted evaporators (EFM 4, Omicron) with the deposition rates being calibrated with a quartz microbalance. Deposition rates of 1.2 Å/min for Fe and 0.6 Å/min for Co were employed, except for the growth of Co $_3$ O $_4$ (111), where a Co deposition rate of 1.3 Å/min was used.

The Fe₃O₄(111) film was grown on a Pt(111) substrate by molecular beam epitaxy (MBE) using a slightly modified version of the procedure described by Sala et al.⁴⁸. First, 4.1Å of Fe was deposited at room temperature. The sample was then heated in 1×10^{-6} mbar O₂ from room temperature up to 1000 K, where it was held for 2 min. The O₂

pressure was not reduced until the sample had cooled down to 500 K after annealing. This led to the formation of an FeO(111) layer covering the whole substrate. Then, in at least 3 cycles, -20 Å of Fe were deposited at room temperature followed by annealing in 5×10^{-6} mbar of O_2 for 20 min. This led to films approximately 10–15 nm thick. The oxidation temperature was limited to 850 K to avoid de-wetting of the film. This limited temperature resulted in broader LEED spots and smaller terraces, but partial exposure of the Pt substrate could have led to misleading electrochemical data and therefore had to be avoided.

A recipe for the preparation of $\mathrm{Co_3O_4(111)}$ on $\mathrm{Ir}(100)$ films has been published 32 , but in view of the high OER activity of Ir we decided to avoid this metal as underlayer and developed a new procedure to prepare $\mathrm{Co_3O_4(111)}$ on Au(111). First, a buffer layer of 2.4 nm of Co was deposited at -250 K. Following this, 1.6 nm of Co was deposited in 5×10^{-6} mbar $\mathrm{O_2}$ at -350 K. While maintaining this $\mathrm{O_2}$ pressure, the sample was heated to 650 K and kept at this temperature while 1.9 nm of Co was deposited. After this, the sample was annealed at 650 K for 10 min. The valve allowing $\mathrm{O_2}$ into the chamber was closed when the annealing was stopped. The thickness of these films was approximately 10–12 nm.

 $Co_{1+\delta}Fe_{2-\delta}O_4(111)$ films were prepared on Pt(111) by following a procedure similar to that used for growing Fe₃O₄(111) films on Pt(111)⁴⁹. First, an FeO layer was prepared as detailed above. Following this, Fe and Co were simultaneously deposited at room temperature for -18 min, followed by annealing in 1×10^{-5} mbar O_2 for at least 30 min at 850 K. This deposition and oxidation cycle was repeated at least three times. Annealing temperatures were limited to 850 K, as for Fe₃O₄(111). The stoichiometry of the layers was judged from Fe 2p and Co 2p XPS spectra. If required, it was adjusted by the addition of iron or cobalt combined with annealing and oxidation. The small differences in the final concentrations of iron and cobalt within these films, denoted $Co_{1+\delta}Fe_{2-\delta}O_4(111)$, did not lead to large differences in the LEED and STM data. These films were 15–20 nm thick. Some STM images and LEED patterns of as-prepared $Co_3O_4(111)$, $Co_{1+\delta}Fe_{2-\delta}O_4(111)$, and $Fe_3O_4(111)$ are shown in Supplementary Fig. S3.

According to published studies^{31,50} the $Fe_3O_4(111)$ surface is terminated with a layer of iron atoms under UHV conditions. In the bulk, these iron ions would be in tetrahedral positions. At the surface, the Co–O coordination in $Co_3O_4(111)$ is unsaturated (lower than 4-fold), but the layer is still commonly called 'tetrahedral'. Based on an IV-LEED study of $Co_3O_4(111)/lr(100)^{33}$, $Co_3O_4(111)$ is terminated with a 'tetrahedral' Co layer.

Electrochemical tests

We investigated the electrochemical (EC) performance of the thin films in an electrochemical cell attached to the UHV chamber, allowing transfer in situ without exposure to air. Details of the electrochemistry setup can be found in Supplementary Fig. S12 and discussion. Following electrochemistry, the sample was rinsed using ultrapure water before being reintroduced to the load-lock chamber. The load lock was pumped down to UHV using a turbomolecular pump, before the sample was reintroduced to the UHV analysis chamber for post-electrochemistry analysis.

The following protocol was used for the electrochemical measurements. First, the electrolyte was introduced with the sample at OCV. Then, at OCV+0.04 V, PEIS was measured, followed by cyclic voltammograms (CVs). The first anodic sweep was from the previous potential, slightly above OCV, to the potential where the current density reached $1\,\text{mA/cm}^2$. The following CV cycle was between $1\,\text{V}_{\text{RHE}}$ and the potential corresponding to $1\,\text{mA/cm}^2$. Then a PEIS spectrum was recorded with the potential at this value. The measured resistance was used for iR correction. Following this, chronoamperometry (CA) data were recorded with the sample at the same potential for approximately $2\,\text{h}$ to observe time-dependent reactivity changes. Then, another CV was measured: the first LSV was cathodic, starting from the potential applied during OER down to $1\,\text{V}_{\text{RHE}}$, followed by

an anodic scan to the potential where the current density reached 1 mA/cm². After this, a PEIS spectrum was measured at OER conditions (1 mA/cm²). Finally, the seal between the sample and the cell was removed while this potential was still being applied. This was to ensure that the sample did not return to OCV in the electrolyte, as this might induce additional changes at the surface, which might affect the post-reaction surface characterization results. All given potentials and all potential scales are iR-corrected and referenced to the reversible hydrogen electrode (RHE).

In all the electrochemical experiments, we used 0.1 M KOH and calibrated the Ag/AgCl reference electrode against a commercial RHE in the same electrolyte to compensate for any deviations from the nominal pH. For the reference electrode calibration, we measured the open circuit potential between the Ag/AgCl reference electrode and the RHE in the electrolyte after equilibration for at least 15 min. [We note that a potentially wrong calibration of the reference electrode might cause stronger deviations in the current compared to the variations in the OH- concentrations due to errors in the weighting of the chemicals.] We did not specify the pH of the electrolyte in the manuscript to avoid any misleading or wrong statements.

The ohmic resistance of the electrochemical setup was determined from a Nyquist plot obtained via potentiostatic impedance spectroscopy as the high-frequency resistance HFR (HFR = Re(Z) at $Im(Z) = 0 \Omega$).

Operando Raman measurements

Operando Raman measurements were conducted using a Renishaw (InVia Reflex) confocal Raman microscope. The electrochemical measurements were performed in a 0.1 M KOH electrolyte in a home-built spectro-electrochemical cell made of Teflon and controlled by a Biologic SP240 potentiostat. The cell was equipped with a reference electrode (leak-free Ag/AgCl, Alvatek) and a counter electrode (Pt foil). The substrate crystal with the thin film was fixed in place using Kapton tape. The sample was transferred from the UHV chamber to the cell through air. Further details of the *operando* Raman measurements may be found in the SI.

Data availability

The source files for all figures can be downloaded from https://isc. archive.fhi.mpg.de/D626. These files contain the relevant experimental data (CV, CA, LEED, XPS, STM and Raman data). Raw data files are available from the corresponding authors upon request.

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Author contributions

E.M.D. was responsible for conducting the experimental work. A.B., C.Z., H.K., and B.R.C. contributed with guidance, experimental support, and discussion of the results. The preparation of this manuscript was a joint effort of all authors.

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