



COMMENT



<https://doi.org/10.1038/s41467-020-19135-8>

OPEN

The future of low-temperature carbon dioxide electrolysis depends on solving one basic problem

Joshua A. Rabinowitz ¹ & Matthew W. Kanan ¹✉

Carbonate formation is the primary source of energy and carbon losses in low-temperature carbon dioxide electrolysis. Realigning research priorities to address the carbonate problem is essential if this technology is to become a viable option for renewable chemical and fuel production.

The plummeting cost and daily curtailment of renewable electricity have spurred growing interest in using CO₂ electrolysis to produce chemicals and fuels. High-temperature solid oxide cells that convert CO₂ to CO and O₂ have reached nascent commercialization. Low-temperature CO₂ electrolysis is an attractive alternative that offers more convenient and flexible operation and the ability to generate multicarbon products such as ethylene, ethanol, and propanol. Over the past 10 years, a dramatic expansion of research in this area has yielded substantial progress in fundamental understanding and prototype devices. Leveraging insights from fuel cells and membrane water electrolyzers, researchers have developed gas diffusion electrode (GDE) cells demonstrating synthetically relevant CO₂ electrolysis current densities (>100 mA cm⁻²) and promising stability. Despite these advances, the energy efficiency (power-to-product) and carbon efficiency (CO₂-to-product) of low-temperature CO₂ electrolysis remain too low to support large-scale applications^{1,2}. While much current research is focused on CO₂ reduction catalyst design, the biggest obstacle to improving performance is an often overlooked basic chemistry problem: the rapid and thermodynamically favorable reaction of CO₂ with hydroxide (OH⁻) to form carbonate (CO₃²⁻) imposes steady state electrolysis conditions that result in large voltage and CO₂ losses. Although recent work has brought attention to some aspects of the CO₃²⁻ problem²⁻⁴, it is far more pernicious than what is widely appreciated. Here we explain how CO₃²⁻ formation compromises efficiency to highlight the need for new research directions that address this problem.

Hydroxide consumption makes alkaline CO₂ electrolyzers fuel-wasting devices

The state-of-the-art for low-temperature CO₂ electrolysis has been obscured by studies that utilize a reservoir of flowing alkaline electrolyte to maintain a high pH in the cell⁵⁻⁸. High pH minimizes the cell voltage, which makes these systems appear to have high energy efficiency, but consumption of OH⁻ in the reservoir by CO₃²⁻ formation results in a net negative energy balance. Understanding why the cell voltage is minimized at high pH helps to clarify the CO₃²⁻ problem (Fig. 1a). For most known catalyst materials, including Au and Cu, the CO₂ reduction rate depends on the electron transfer driving force but not explicitly on pH⁹⁻¹³. As a result, synthetically relevant current densities require rather negative potentials versus an absolute reference such as the standard hydrogen electrode (SHE). Even with high surface area electrodes, CO₂ reduction at a geometric current density of >200 mA cm⁻² has generally required potentials

¹Department of Chemistry, Stanford University, 337 Campus Drive, Stanford, CA 94305, USA. ✉email: mkanan@stanford.edu

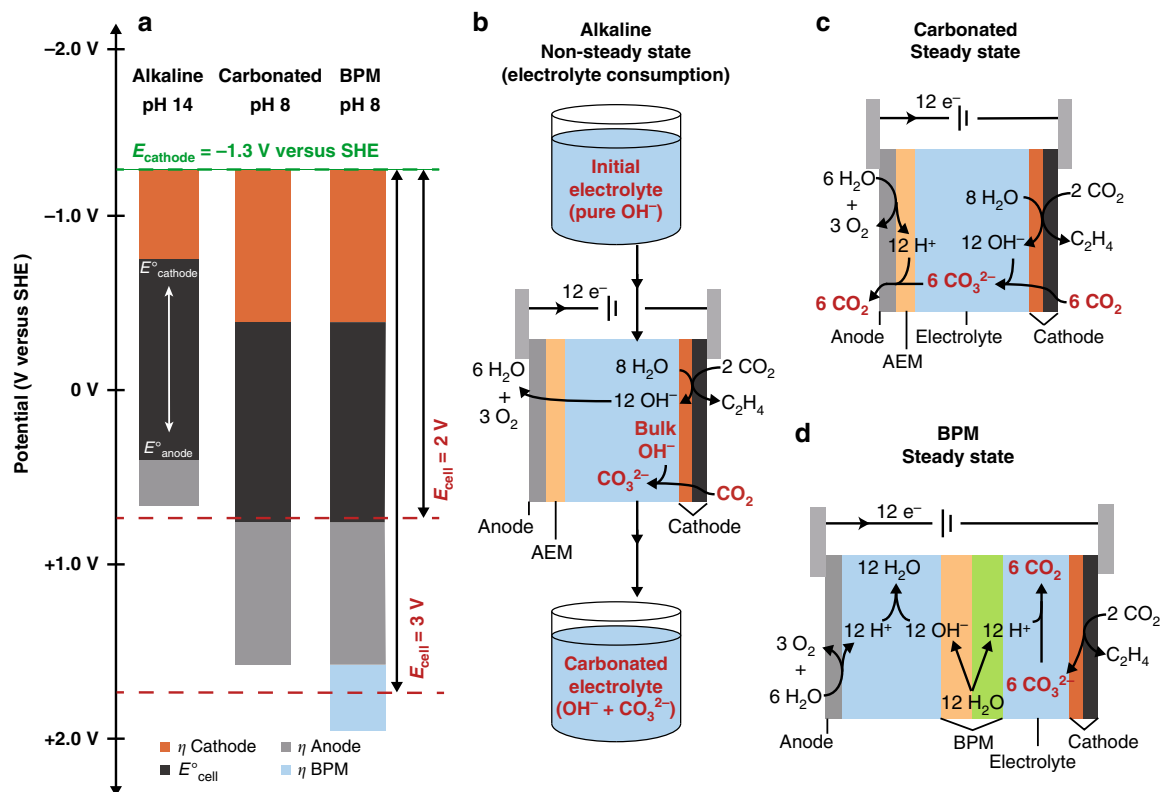
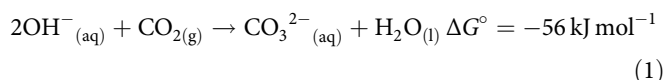


Fig. 1 The CO₃²⁻ problem. **a** Visualization of various contributors to the cell voltage for a CO₂ electrolysis cell operating under alkaline conditions, carbonated conditions, or with a bipolar membrane (BPM). The contributions shown are the thermodynamic cell potential (E°_{cell}), and cathode, anode, and BPM overpotentials (η). Electrode potentials are referenced to the SHE scale. For simplicity, cell resistance was not included, which would add to the cell voltage. The green dotted line represents the least negative cathode potential reported for low-temperature CO₂ reduction at >200 mA cm⁻². The red dotted lines provide a visual reference for cell voltages. The thermodynamic potentials for the cathode ($E^{\circ}_{\text{cathode}}$) and anode (E°_{anode}) shift positive versus SHE as the pH is decreased. Alkaline conditions minimize cell voltage but cannot be maintained at steady state because of CO₃²⁻ formation. **b** Schematic of anion transport in an alkaline flow cell showing OH⁻ consumption by CO₂. **c** Schematic of a cell at steady state after carbonation showing the carbon loss due to CO₂ released at the anode. **d** Schematic of a BPM cell at steady state.

< -1.3 V versus SHE. Because the thermodynamic electrode potentials become more negative versus SHE as the pH is increased, the cathode overpotential is minimized by increasing pH at a fixed cathode potential versus SHE. The overpotential for oxygen evolution at the anode is also generally lowest in base. These contributions significantly reduce the cell voltage at high pH (Fig. 1a).

While minimizing cell voltage is obviously desirable, cells operating under flowing alkaline conditions are not at steady state. The OH⁻ in the electrolyte reservoir is continuously consumed by exergonic CO₃²⁻ formation as it contacts CO₂ at the cathode (Eq. 1¹⁴ and Fig. 1b):



In practice, the energy required to regenerate CO₂ and 2 OH⁻ from aqueous CO₃²⁻ is much larger than $|\Delta G^{\circ}|$, for example >230 kJ mol⁻¹ with an optimized system using a calcination cycle¹⁵. Depending on the product, the energy stored by CO₂ electrolysis is ~100–130 kJ mol⁻¹ of electrons. Thus, the energy balance with flowing alkaline electrolyte will be negative if carbonation consumes ~1 OH⁻ equivalent for each electron of CO₂ electrolysis current. Though it is rarely quantified, one recent study reported that ~3 OH⁻ were consumed per electron of CO₂-to-CO electrolysis current with a flowing 1 M KOH electrolyte⁸. Unfortunately, it is common practice to ignore OH⁻ consumption and calculate an “energy efficiency” based solely on the applied

voltage, thermodynamic voltage, and faradaic efficiency. These values are qualitatively incorrect. In reality, flowing alkaline CO₂ electrolysis cells are fuel-wasting devices because it would require more fuel to regenerate the spent electrolyte than the amount of fuel produced by the electrolysis. Using data from flowing alkaline conditions in technoeconomic analyses will grossly overestimate the state-of-the-art for CO₂ electrolysis.

Consequences of operating in carbonated electrolyte

Conversion of power to fuel with a positive energy balance requires operating under steady state conditions where there is no net electrolyte consumption. In a cell operating at steady state, CO₃²⁻ is still formed continuously at the cathode by reaction of CO₂ with the electrogenerated OH⁻, but it is protonated elsewhere in the cell to release CO₂. In an anion-transporting cell such as an anion exchange membrane (AEM) cell, CO₃²⁻ is transported to the anode (Fig. 1c). In order to protonate CO₃²⁻, the anode pH equilibrates to near-neutral (pH ~8)², which increases the cell voltage compared to high pH because the anode thermodynamic potential moves in the positive direction (Fig. 1a). In addition, there is a much greater oxygen evolution overpotential at near-neutral pH with available catalysts. Thus, most of the energy penalty from the CO₃²⁻ problem is a consequence of what happens at the anode. In fact, the same problem is seen in AEM water electrolysis, where the cathode catalyzes H₂ evolution. Contamination of an AEM water electrolyzer with trace amounts of air (400 ppm CO₂) results in the formation of CO₃²⁻, which causes a >1 V increase to the cell voltage¹⁶.

The steady state carbon flux imposed by CO_3^{2-} formation also sets an upper limit on carbon efficiency in anion transporting cells. For CO_2 reduction to CO, one CO_2 is released at the anode for every CO that is produced at the cathode, resulting in a maximum carbon efficiency of 50%. For ethylene, the maximum is 25% (Fig. 1c). Many cells operate at much lower carbon efficiencies than these maxima because a large excess of CO_2 is supplied to the cathode to support high current densities. Low carbon efficiency is a major barrier to large-scale chemical and fuel electrosynthesis because there is a substantial energy and financial cost to obtain a CO_2 feedstock of suitable purity.

An alternative to an anion transporting cell is to operate with a bipolar membrane (BPM) configured such that CO_2 electrolysis is coupled with water dissociation at the BPM. At steady state, CO_3^{2-} generated at the cathode is protonated at the BPM interface, releasing CO_2 on the cathode side (Fig. 1d). While this design avoids releasing CO_2 at the anode¹⁷, it has a lower energy efficiency than an AEM cell because the BPM imposes an additional overpotential in order to drive water dissociation (Fig. 1a). It is important to note that CO_2 crossover through a BPM is low but non-zero¹⁸. As such, BPM cells that start with high pH on the anode side will transform to a CO_3^{2-} electrolyte at steady state.

Current state-of-the-art and outlook

The consequences of the CO_3^{2-} problem are evident in the performance that has been demonstrated at steady state. For the production of CO, the best reported performance is for lab-scale (5 cm^2) devices that have been operated at steady state for >4000 h at $\sim 200\text{ mA cm}^{-2}$ CO_2 -to-CO current density, nicely demonstrating the viability of durable CO electrosynthesis at a reasonable rate. However, the carbon efficiency is 50% and the cell voltage is 3.0 V, corresponding to an energy efficiency of only 43%^{1,19}. Both of these values are consistent with the analysis in Fig. 1. To make a more reduced product such as ethylene, the CO_3^{2-} problem is more pronounced. The best reported performance at steady state is 60 h of operation at $\sim 500\text{ mA cm}^{-2}$ CO_2 -to-ethylene current density, $\sim 2\%$ carbon efficiency, and a cell voltage of 3.9 V, corresponding to an energy efficiency of $\sim 15\%$ ²⁰. The evaluation of full cell metrics under steady state conditions remains uncommon in CO_2 electrolysis research, but such experiments are essential for assessing progress and should become standard to demonstrate the impact of a material or design advance.

Low-temperature CO_2 electrolysis will not be competitive with other electrical energy storage or CO_2 conversion technologies without major gains in energy and carbon efficiency. Reducing the overpotential of CO_2 reduction catalysis remains an important objective provided it can be realized under steady state conditions at high current density. Avoiding the losses imposed by CO_3^{2-} formation demands a much broader research effort that includes strategies to control the formation and clearance of CO_3^{2-} , creative cell designs, and far greater attention to the anode. The progress on the CO_3^{2-} problem will determine the trajectory of CO_2 electrolysis in the next 10 years and its impact beyond the laboratory.

Received: 31 August 2020; Accepted: 25 September 2020;
Published online: 16 October 2020

References

- Küngas, R. Review—Electrochemical CO_2 reduction for CO production: comparison of low- and high-temperature electrolysis technologies. *J. Electrochem. Soc.* **167**, 044508 (2020).
- Ma, M. et al. Insights into the carbon balance for CO_2 electroreduction on Cu using gas diffusion electrode reactor designs. *Energy Environ. Sci.* **13**, 977 (2020).
- Weng, L. C., Bell, A. T. & Weber, A. Z. Towards membrane-electrode assembly systems for CO_2 reduction: A modeling study. *Energy Environ. Sci.* **12**, 1950–1968 (2019).
- Ripatti, D. S., Veltman, T. R. & Kanan, M. W. Carbon monoxide gas diffusion electrolysis that produces concentrated C_2 products with high single-pass conversion. *Joule* **3**, 240–256 (2019).
- Dinh, C.-T. et al. CO_2 electroreduction to ethylene via hydroxide-mediated copper catalysis at an abrupt interface. *Science* **360**, 783–787 (2018).
- Zhong, M. et al. Accelerated discovery of CO_2 electrocatalysts using active machine learning. *Nature* **581**, 178–183 (2020).
- Ma, W. et al. Electrocatalytic reduction of CO_2 to ethylene and ethanol through hydrogen-assisted C–C coupling over fluorine-modified copper. *Nat. Catal.* **3**, 478–487 (2020).
- Cheng, W.-H. et al. CO_2 reduction to CO with 19% efficiency in a solar-driven gas diffusion electrode flow cell under outdoor solar illumination. *ACS Energy Lett.* **5**, 470–476 (2020).
- Jan Schouten, K. P., Pérez Gallent, E. & Koper, M. T. The influence of pH on the reduction of CO and CO_2 to hydrocarbons on copper electrodes. *J. Electroanal. Chem.* **716**, 53–57 (2014).
- Wuttig, A., Yaguchi, M., Motobayashi, K., Osawa, M. & Surendranath, Y. Inhibited proton transfer enhances Au-catalyzed CO_2 -to-fuels selectivity. *Proc. Natl. Acad. Sci. USA* **113**, e4585–E4593 (2016).
- Verma, S. et al. Insights into the low overpotential electroreduction of CO_2 to CO on a supported gold catalyst in an alkaline flow electrolyzer. *ACS Energy Lett.* **3**, 193–198 (2018).
- Liu, X. et al. pH effects on the electrochemical reduction of CO_2 towards C_2 products on stepped copper. *Nat. Commun.* **10**, 1–10 (2019).
- Ringe, S. et al. Double layer charging driven carbon dioxide adsorption limits the rate of electrochemical carbon dioxide reduction on Gold. *Nat. Commun.* **11**, 1–11 (2020).
- Dean, J. A. *Lange's Handbook of Chemistry*, Vol. 15 (McGraw-Hill, 1992).
- Keith, D. W., Holmes, G., St Angelo, D. & Heide, K. A process for capturing CO_2 from the atmosphere. *Joule* **2**, 1573–1594 (2018).
- Parrondo, J. et al. Degradation of anion exchange membranes used for hydrogen production by ultrapure water electrolysis. *RSC Adv.* **4**, 9875–9879 (2014).
- Ma, M., Kim, S., Chorkendorff, I. & Seger, B. Role of ion-selective membranes in the carbon balance for CO_2 electroreduction via gas diffusion electrode reactor designs. *Chem. Sci.* <https://doi.org/10.1039/d0sc03047c> (2020).
- Zhou, X. et al. Solar-driven reduction of 1 atm of CO_2 to formate at 10% energy-conversion efficiency by use of a TiO_2 -protected III–V tandem photoanode in conjunction with a bipolar membrane and a Pd/C cathode. *ACS Energy Lett.* **1**, 764–770 (2016).
- Liu, Z., Yang, H., Kutz, R. & Masel, R. I. CO_2 electrolysis to CO and O_2 at high selectivity, stability and efficiency using sustainin membranes. *J. Electrochem. Soc.* **165**, 3371–3377 (2018).
- Pelayo García de Arquer, F. et al. CO_2 electrolysis to multicarbon products at activities greater than 1 A cm^{-2} . *Science* **367**, 661–666 (2020).

Author contributions

M.W.K. and J.A.R. developed the concept, wrote the comment, and prepared the figure.

Competing interests

The authors declare no competing interests.

Additional information

Correspondence and requests for materials should be addressed to M.W.K.

Reprints and permission information is available at <http://www.nature.com/reprints>

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.

© The Author(s) 2020