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Homogeneous cobalt-catalyzed reductive amination for synthesis of functionalized primary amines

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The development of earth abundant 3d metal-based catalysts continues to be an important goal of chemical research. In particular, the design of base metal complexes for reductive amination to produce primary amines remains as challenging. Here, we report the combination of cobalt and linear-triphos (bis(2-diphenylphosphinoethyl)phenylphosphine) as the molecularly-defined non-noble metal catalyst for the synthesis of linear and branched benzylic, heterocyclic and aliphatic primary amines from carbonyl compounds, gaseous ammonia and hydrogen in good to excellent yields. Noteworthy, this cobalt catalyst exhibits high selectivity and as a result the -NH₂ moiety is introduced in functionalized and structurally diverse molecules. An inner-sphere mechanism on the basis of the mono-cationic [triphos-CoH]⁺ complex as active catalyst is proposed and supported with density functional theory computation on the doublet state potential free energy surface and H₂ metathesis is found as the rate-determining step.

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atalysis constitutes an indispensable tool for controlling all kinds of chemical transformations¹⁻¹¹. Although catalysts are routinely employed in industrial production of fine and bulk chemicals as well as for exhaust gas decomposition in environmental technologies and enzymatic processes, there is a constant need for better and improved catalytic systems^{1–11}. With respect to organometallic catalysts, their activity and selectivity are controlled to a large extent by the nature of the metal and the adjacent ligands^{1,Ž,8-11}. In this regard, precious metals were generally believed to be crucial components¹²⁻²³. Indeed, organometallic complexes based on palladium (coupling reactions)¹²⁻¹⁴, ruthenium (metathesis)^{15,16}, rhodium (hydrogenations and hydroformylations)¹⁷⁻¹⁹, platinum (hydrosilylations)^{20,21}, and iridium (hydro-genations)^{22,23} have revolutionized organic synthesis. Key for their success is the use of a broad variety of complexes based on certain privileged ligands^{24,25}. However, the limited availability $(10^{-7}-10^{-6})$ proportion of weight in the Earth's crust) and consequently the higher price as well as the toxicity of some derivatives of these metals^{26,27} have spurred interest towards the development of alternative earth abundant metal catalysts. Hence in recent years, 3d-metal complexes have been successfully developed for a variety of reactions including hydrogenation of carboxylic acids, esters, ketones, nitriles and olefins²⁸⁻³⁷. Nevertheless, more challenging reactions such as reductive amination with ammonia and hydrogen to access primary amines were scarcely explored³⁸⁻⁴⁴. In general, applying molecularly defined catalysts this transformation suffers from low selectivity to the desired product due to side reactions such as over-alkylation or reduction to the corresponding alcohols³⁸⁻⁴⁴. In addition, catalyst deactivation by ammonia constitutes another problem⁴⁵. Thus, to the best of our knowledge no defined homogeneous catalysts based on available 3d-metals are known for this transformation and only a few Rh-, Ir-, and Ru-complexes have been reported to catalyze amination of carbonyl compounds with ammonia and molecular hydrogen³⁸⁻⁴⁴. Thus, in the past reductive aminations are mainly relied on heterogeneous catalysts of precious metals^{38,39,46-50} or Raney nickel^{38,39,50,51}. However, the latter material is limited in its application due to selectivity, stability and handling problems. Notably in 2017, we reported specific supported cobalt nanoparticles derived from metal organic frameworks, which proved to be general reductive amination catalysts⁵². In addition, very recently, Kempe⁵³ and our group⁵⁴ disclosed nickel materials. Despite these notable advancements, the development of related homogeneous non-noble metal catalysts remains interesting because of the inherent advantage regarding activity-in principle, all the individual metal centers can be active here. Furthermore, compared to homogeneous catalysts the upscaling of advanced heterogeneous materials possesses additional challenges.

Here, we report that the specific combination of cobalt and bis (diphenylphosphinoethyl)phenylphosphine (so-called linear triphos) allows the reductive amination of broad variety of aldehydes and ketones with ammonia in presence of molecular hydrogen, and this enables the synthesis of a series of functionalized and structurally diverse linear and branched benzylic, heterocyclic and aliphatic amines. The resulting primary amines serve as key precursors and central intermediates for the production of advanced chemicals, pharmaceuticals, agrochemicals, biomolecules, and materials^{55–57}. Our present work is complementary to the known syntheses of primary benzylic and aliphatic amines by direct catalytic amination of alcohols^{58–61} and hydroamination using ammonia^{45,62–64}.

Results

Catalyst and reaction design. We started our investigations to identify potentially active non-noble metal complexes based on iron, manganese and cobalt for the reaction of 4-methylbenzaldehyde with ammonia and molecular hydrogen.

In general, the presence of strongly coordinating anions (e.g., halides) is inferior for hydrogen catalysis. Hence, Fe $(BF_4)_2 \bullet 6H_2O$ and $Co(BF_4)_2 \bullet 6H_2O$ were employed as metal salts. In case of manganese, the corresponding tetrafluoroborate salt is not commercially available, therefore the inexpensive manganese(II) acetate was used. To avoid the formation of wellknown Werner-type ammonia complexes, selected privileged phosphine ligands (L1-L8) with strong coordination to the metal center were chosen (Table 1). Testing the in situ generated respective Fe-phosphorus complexes, all ligands produced inactive catalysts except for L7. This Fe-L7 system showed some activity and gave the secondary imine as the main product. However, no 4-methylbenzylamine was observed. In case of manganese, none of the ligands led to an active catalyst. Conversely, Co-complexes based on linear- and tripodaltriphos ligands (Co-L7 and Co-L8) produced the desired primary amine in 96 and 93% yield, respectively. Next applying Co-L7, important reaction parameters such as catalyst concentration, temperature and pressure of hydrogen were tested (Supplementary Table 1, entries 2-5). Optimal results for the synthesis of 4-methylbenzylamine were achieved with a combination of 3 mol% of Co(BF₄)₂•6H₂O and 4 mol% of L7 at 40 bar of hydrogen, 5 bar of ammonia and 100 °C. Variation of different solvents revealed the importance of alcohols, with 2,2,2-trifluoroethanol (TFE) as the best one (Supplementary Table 2). Notably, this 3d-metal catalyst system allows performing reductive aminations with ammonia under milder reaction conditions compared to all previously reported precious metal complexes⁴⁰⁻⁴⁴.

To know the structure of the in situ-formed cobalt-triphos complex (C-L7) and to understand its mode of reactivity, the molecularly defined Co-L7 complex A was prepared (Methods). Surprisingly, the single crystal analysis of complex A revealed the coordination of two phosphine ligands (1:2 ratio of Co:L7) to the Co center, in which one ligand coordinates via three phosphorus atoms and the second ligand via two phosphorus atoms (Supplementary methods, complex A). Performing the crystallization attempts without vigorous exclusion of air gave the partially oxidized phosphine ligand, which forms cobalt complex B. Both complexes A and B were observed as cobalt (II) species consisting of a complex dication and two tetrafluoroborates. Comparing these two defined complexes in the benchmark reaction, complex A exhibited similar activity and selectivity to that of the in situ generated Co-triphos system, while B is much less active and produced only 70% of the corresponding secondary imine 4 as the sole product (Supplementary Table 1, entries 8-9). This indicates a major deactivation of the catalyst by oxidation of phosphine to phosphine oxide. poisoning experiments were performed. As expected, adding Hg or 50 mol%PPh₃ to the reaction under standard conditions did not affect the activity or selectivity of complex A (Supplementary Table 4).

Synthesis of linear primary amines from aldehydes. After having identified the active homogeneous cobalt catalyst system [L7CoH]⁺, we explored its general applicability for the preparation of primary amines.

As shown in Fig. 1, substituted, functionalized and structurally diverse aldehydes underwent amination to produce linear primary amines in good to excellent yields at $100-120^{\circ}$ C. Substrates containing either electron-donating or electron-withdrawing groups were successfully reacted and gave the desired products. The tested halogenated aldehydes were well tolerated and produced corresponding amines without significant dehalogenations (<5%) (Fig. 1, products **12–15** and



21). For any catalyst applicable in organic synthesis as well as drug discovery, achieving a high degree of chemoselectivity is important, yet challenging. To showcase this aspect, reductive aminations of various functionalized aldehyde were performed. Reducible groups such as C-C double bonds and esters remained untouched. In addition, thioethers and boronic ester groups are well tolerated (Fig. 1; products **22–25**). Primary amines of 3,4-methylenedioxy and benzo-1,4-dioxane, which represent versatile motifs in many drugs and natural products, were prepared in up to 96 % yield (products **26–28**). Finally, also aliphatic aldehydes produced the corresponding amines (Fig. 1, products **28–30**).

Synthesis of branched primary amines from ketones. Compared to aldehydes, amination of ketones is more challenging, because the hydrogenation of the sterically hindered imine is more difficult. Nevertheless, this Co-triphos catalyst system is active and selective for the reductive amination of ketones, too (Figs. 2, 3). As a result, 30 ketones were efficiently aminated to produce branched primary amines in high yields. Substrates bearing easily coordinating groups to the metal such as -NH₂, -OH and phenolic groups as well as pyridines gave 90–95% yield of corresponding amines (Fig. 2; products **35–36** and **40–43**). Further aliphatic ketones smoothly gave the branched amines in up to 93% yield (Fig. 2; products **48–52**).



Fig. 1 Cobalt-triphos catalyzed synthesis of primary amines from aldehydes. Reaction conditions: ^a0.5 mmol aldehyde, 3 mol% Co(BF₄)₂.6H₂O, 4 mol% triphos (**L7**), 5-7 bar NH₃, 40 bar H₂, 2 mL degassed TFE, 100 °C, 24 h. ^bGC yields using n-hexadecane as standard. ^cSame as 'a' at 120 °C. Isolated as free amines and converted to hydrochloride salts. Corresponding hydrochloride salts were subjected to NMR analysis.

Amination of life science molecules and steroid-derivatives. For any potential catalyst, its utility for the refinement of complex molecules is of central importance. In order to prove the general applicability of our catalytic system, we performed the amination of structurally complex ketones, including existing drug and steroid-based molecules (Fig. 3). Gratifyingly, cobalt-triphos is highly efficient and selective for the amination of drugs such as Nabumetone, Pentoxifylline, and Azaperone as well as Estrone and Stanolone-based steroid derivatives (Fig. 3). This methodology offers many opportunities for late stage functionalization of life science and bioactive molecules.

Discussion

Since $Co^{II}(BF_4)_2 \bullet 6H_2O$ is the most active cobalt salt for the reductive amination of 4-methylbenzaldehyde (Supplementary Table 3), the mono-cationic hydride $[L7CoH]^+$ (I) complex is proposed as the active catalyst along the catalytic cycle (Fig. 4) on the basis of our results as well as those of the previously reported cobalt/phosphine-catalyzed hydrogenation reactions^{31,65–68}, Starting from the cationic complex A $[(L7)_2Co^{II}]^{2+}$, the first step is the dissociation of one L7 ligand and the formation of the

active cobalt hydride catalyst $[L7CoH]^+$ (I) in the presence of ammonia and H₂. Without ammonia present, no hydrogenation of 4-methylbenzaldehyde to the corresponding alcohol occurred (Supplementary Table 1, entries 6–7).Next, the primary imine formed from 1 and ammonia generates complex II. In agreement with previous work using ruthenium complexes and based on our DFT calculations, we propose first substrate coordination and then beta hydride addition. Finally, coordination of H₂ followed by hydrogenolysis releases the primary amine and regenerates catalytically active species I.

To understand the detailed mechanism, we carried out B3PW91 density functional theory computations for the hydrogenation of phenylmethanimine (Ph-CH = NH) generated from benzaldehyde and NH₃. In our calculations we used the real-size complexes and substrate and calculated the catalytic cycle in the gas phase as well as in a solution of 2,2,2-trifluoroethanol (dielectric constant = 26.69) without and with van de Waals dispersion correction. All these data are listed in Supplementary Information. The potential energy surfaces show the same trend and shape but differ quantitatively. In the gas phase and in a solution, the apparent barriers are close (96 vs. 108 kJ/mol), while that in a solution with dispersion correction is highly



Fig. 2 Synthesis of primary amines from ketones using cobalt-triphos catalyst. Reaction conditions: a 0.5 mmol ketone, 3 mol% Co(BF₄)₂·6H₂O, 4 mol% triphos (**L7**), 5-7 bar NH₃, 40 bar H₂, 2 mL degassed TFE, 120 °C, 24 h. b GC yields using n-hexadecane as standard. c Same as '**a**' with 50 bar H₂. d Diastereomeric ratio. Isolated as free amines and converted to hydrochloride salts. Corresponding hydrochloride salts were subjected to NMR analysis.



Fig. 3 Co-catalyzed amination of bioactive compounds. Reaction conditions: ^a0.5 mmol substrate, 3 mol% Co(BF₄)₂·6H₂O, 4 mol% triphos (**L7**), 5-7 bar NH₃, 40 bar H₂, 2 mL degassed TFE, 120 °C, 24 h. ^bSame as 'a' with 50 bar H₂. ^cDiastereomeric ratio. Isolated as free amines and converted to hydrochloride salts. Corresponding hydrochloride salts were subjected to NMR analysis.



Fig. 4 Proposed reaction mechanism for the Co-triphos catalysed reductive amination. Molecular structure of the cation of complexes A and B. Displacement ellipsoids are drawn at the 30% probability level; phenyl rings are shown as wireframe; hydrogen atoms are omitted for clarity.



Fig. 5 Gibbs free energy surface for Ph-CH=NH hydrogenation in TFE solvation. Ph-CH=NH hydrogenation by using mono-cationic [triphos-CoH]⁺ as active catalyst.

underestimated (29 kJ/mol). In addition to the mono-cationic catalyst $[L7CoH]^+$, we included the di-cationic catalyst $[L7Co]^{2+}$, and the apparent barriers are much too high (128 kJ/mol in the gas phase and 212 kJ/mol in a solution, 122 kJ/mol in a solution with dispersion correction, Supplementary Figs. 8–10) and this catalytic cycle can be discarded. We therefore discussed our results of the mono-cationic complex $[L7CoH]^+$ (I) in solvation. Since complex I has *fac* and *mer* conformations under equilibrium, we computed both catalytic cycles and found that *mer*-I is more stable than *fac*-I by 33 kJ/mol, while *fac*-I based catalytic cycle has lower apparent barrier than that of *mer*-I (108 vs. 140 kJ/mol, Supplementary Fig. 6). On the basis of Curtin–Hammett

principle, the *fac*-I based catalytic cycle is more preferred kinetically (Fig. 5). Starting from the *mer*-I, the coordination of Ph -CH = NH to form *fac*-II-LP is endergonic by 14 kJ/mol, and the formation of π -coordinated *fac*-II- π is endergonic by 72 kJ/ mol. The Gibbs free energy barrier of Ph-CH = NH insertion into *fac*-I is 71 kJ/mol. The formation of intermediate *fac*-III with agostic interaction is endergonic by 50 kJ/mol. In the second step, H₂ coordination to form *fac*-IV is endergonic by 71 kJ/mol. The metathesis step has Gibbs free energy barrier of 108 kJ/mol for *fac*-IV. The formation of *fac*-V is exergonic by 40 kJ/mol. The release of amine from complex *fac*-V with the regeneration of *mer*-I is exergonic 59 kJ/mol. The transition state of H₂ metathesis represents the highest point on the Gibbs free energy surface and is the rate-determining transition state; and the apparent Gibbs free energy barrier is 108 kJ/mol from *mer-I*. On the basis of our reaction conditions (100–120 °C, 40 bar H₂ and 15–24 h reaction time), the barrier is reasonable. This catalytic cycle is similar with that proposed by Hanson and Jones. It is noted that the result with GD3BJ correction (Supplementary Figs. 9 and 12) has extremely low apparent barrier (29 kJ/mol).

In conclusion, we demonstrate that reductive aminations for the preparation of primary amines can be easily performed using non-noble metal-based homogeneous catalysts. Key to success for this achievement is the use of a specific metal ligand system (cobalt-triphos), which enables the synthesis of a broad variety of linear and branched benzylic, heterocyclic, and aliphatic amines starting from inexpensive and easily accessible carbonyl compounds, gaseous ammonia and hydrogen. Remarkably, this cobalt-triphos system works under milder reaction conditions compared to the previously reported precious homogeneous catalysts for reductive amination with ammonia. Isolation of an active pre-catalyst revealed the fast oxidation of phosphine moiety as a potential deactivation pathway of the catalyst. Density functional theory computations verified the proposed innersphere mechanism with the H₂ metathesis step as the ratedetermining step.

Methods

General considerations. Unless specified, all substrates were obtained commercially from various chemical companies and their purity has been checked before use. Unless otherwise stated, all commercial reagents were used as received without purification. All catalytic reactions were carried out in 300 mL and 100 mL autoclaves (PARR Instrument Company). In order to avoid unspecific reactions, catalytic reactions were carried out either in glass vials, which were placed inside the autoclave, or glass/Teflon vessel fitted autoclaves. GC conversion and yields were determined by GC-FID, HP6890 with FID detector, column HP530 m \times 250 mm \times 0.25 μ m. ¹H, ¹³C, ¹⁹F NMR data were recorded on a Bruker ARX 300 and Bruker ARX 400 spectrometers using DMSO-d₆, CD₃OD and CDCl₃ solvents. HRMS data were recorded on (1) ESI-HRMS: HPLC System 1200 /ESI-TOF-MS 6210 (Agilent).

X-ray crystal structure analysis of Complex A and Complex B: Data were collected on a Bruker Kappa APEX II Duo diffractometer. The structures were solved by direct methods (SHELXS-97: Sheldrick, G. M. Acta Cryst. 2008, A64, 112.) and refined by full-matrix least-squares procedures on F^2 (SHELXL-2014: Sheldrick, G. M. Acta Cryst. 2015, C71, 3.). XP (Bruker AXS) and Mercury (Macrae, C. F., Edgington, P. R., McCabe, P., Pidcock, E., Shields, G. P., Taylor, R., Towler, M., van de Streek, J. J. Appl. Cryst. 2006, 39, 453.) were used for graphical representations.

Synthesis of cobalt (II) complexes A and B. In 100 mL dried schlenk tube, 340.63 mg of Co(BF₄)₂.6H₂O (1.0 mmol) was stirred in 40 mL of THF (dry and degassed) for 5 min under argon to dissolve metal salt completely to give pink colored solution. Then, 535.55 mg of triphos ((phenylphosphanediyl) bis(ethane-2,1-diyl)) bis (diphenylphosphane) (1.0 mmol) was added to the solution of metal precursor. Upon adding the ligand, the pink colored catalyst precursor solution was turned to brown colored solution. To this, another 20 mL of THF (dry and degassed) was added and stirring was continued for 2 h at RT. In 10 min of stirring time, the ligand was completely dissolved. After 1 h of stirring, the brown color solid was started to form along with some brown color crystal type material. After the completion of the reaction, the reaction mixture was stored at -30 °C for overnight. The THF solution was removed by using syringe and the complex formed was washed with 10 mL of THF (dry and degassed). Further, it was washed with dry and degassed hexane $(2 \times 10 \text{ mL})$ and then dried under high vacuum for 6 h, to get a brown color solid in 48-50% yield. The dark brown crystals were observed along with brown solid. These crystals were separated carefully and recrystallized with dry and degassed ethanol under argon. The crystals obtained were suitable for X-ray analysis. The oxide species of complex B (yellow crystals) were formed during the crystallization, when we carried out in normal solvent without dry and degas.

General procedure for synthesis of primary amines. The magnetic stirring bar and $Co(BF_4)_2.6H_2O$ (3 mol%) and linear triphos ((phenylphosphanediyl)bis (ethane-2,1-diyl)bis(diphenylphosphane) (4 mol%) were transferred to 8 mL glass vial and then 2 mL degassed (degassed under argon for 15 minutes before adding) trifluoroethanol (TFE) solvent was added. The colorless solution turned in to pale yellow first and then finally to brown color by stirring under argon for 15 min.

Then, 0.5 mmol corresponding carbonyl compound was added to the reaction vial. The vial was fitted with septum, cap, and needle. The reaction vials (8 vials with different substrates at a time) were placed into a 300 mL autoclave. The autoclave was flushed with hydrogen twice at 30 bar pressure and then it was pressurized with 5-7 bar ammonia gas and 40 bar hydrogen. The autoclave was placed into an aluminum block preheated at 130 °C (placed 30 minutes before counting the reaction time in ordered to attain reaction temperature) and the reactions were stirred for a required time. During the reaction, the inside temperature of the autoclave was measured to be 120 °C and this temperature was used as the reaction temperature. After the completion of the reactions, the autoclave was cooled to room temperature. The remaining ammonia and hydrogen were discharged and the vials containing reaction products were removed from the autoclave. The reaction mixture was filtered off and washed thoroughly with ethyl acetate. The reaction products were analyzed by GC-MS. The crude product was purified by flash column chromatography. The corresponding primary amines were converted to their respective hydrochloride salt and characterized by NMR and GC-MS analysis. For converting into hydrochloride salt of amine, 1-2 mL methanolic HCl (0.5 M HCl in methanol) was added to the ether solution of respective amine and stirred at room temperature for 4-5 h. Then, the solvent was removed and the resulted hydrochloride salt of amine is dried under high vacuum. The yields were determined by GC for the selected amines: After completion of the reaction, nhexadecane (100 uL) as standard was added to the reaction vials and the reaction products were diluted with ethyl acetate followed by filtration using a plug of silica and then analyzed by GC.

Note: Dry and degassed solvent should necessary for this transformation to achieve high yield and reactivity. Similarly, dry ligand and metal salts have been employed. And also well-defined complex-A is more active than in situ prepared system and Complex-A should be stored at -30 °C for maintaining its stability for a longer time.

Computational methods and models. All calculations were carried out with Gaussian 09 program⁶⁹. Geometry optimization was carried out in gas phase at the B3PW9170 level with the TZVP71 basis set. All optimized structures were further characterized either as energy minimums without imaginary frequencies or transition states with only one imaginary frequency by frequency calculations, which provided zero-point vibrational energies and thermodynamic corrections to enthalpy and Gibbs free energy at 298.15 K under 1 atmosphere. On the basis of B3PW91/TZVP geometries in gas phase, two types single-point energies were calculated, one including solvation effect of 2,2,2trifluoroethanol (TFE) as solvent (dielectric constant $\varepsilon = 26.69^{72}$) based on solute electron density (SMD) at the B3PW91/Def2-TZVP⁷³ level (B3PW91-SMD) and one including solvation⁷³ and van der Waals dispersion⁷⁴ correction for the effect of phenyl substitution (B3PW91-SMD-D3). The Gibbs free energies were further corrected to standard state in solution with a standard concentration of 1 mol/L (p = 24.5 atm) from standard state in gas phase (p = 1 atm). Both mono-cationic hydride [L7CoH]⁺ (L7 = triphos) and di-cationic [L7Co(H)₂]²⁺ complexes are proposed as potential active catalysts (Table 1).

Data availability

The data that support the findings of this study are available from the corresponding authors (M.B. and R.V.J.) upon reasonable request. The X-ray crystallographic coordinates for structures reported in this study have been deposited at the Cambridge Crystallographic Data Centre (CCDC), under deposition numbers CCDC 1897492-1897493. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data_request/cif.

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Author contributions

K.M., R.V.J. and M.B. planned and developed the project. K.M., R.V.J., V.G.C., H.N. and M.B. designed the experiments. K.M. and V.G.C. performed catalytic experiments. Z.W. and H.J. carried out DFT calculations. A.S. performed X-ray crystallography analysis. K.M., R.V.J., M.B., H.N., Z.W. and H.J. wrote the manuscript. R.V.J. and M.B. supervised the project.

Competing interests

The authors declare no competing interests.

Additional information

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