



# The role of clinical response to treatment in determining pathogenicity of genomic variants

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**Purpose:** The 2015 American College of Medical Genetics and Genomics/Association for Molecular Pathology (ACMG/AMP) guidelines for the interpretation of sequence variants provide a framework to standardize terminology in the classification of variants uncovered through genetic testing. We aimed to assess the validity of utilizing clinical response to therapies specifically targeted to a suspected disease in clarifying variant pathogenicity.

**Methods:** Five families with disparate clinical presentations and different genetic diseases evaluated and treated in multiple diagnostic settings are summarized.

**Results:** Extended evaluations indicated possible genetic diagnoses and assigned candidate causal variants, but the cumulative clinical, biochemical, and molecular information in each instance was not completely consistent with the identified disease. Initiation of

treatment specific to the suspected diagnoses in the affected individuals led to clinical improvement in all five families.

**Conclusion:** We propose that the effect of therapies that are specific and targeted to treatable genetic diseases embodies an in vivo physiological response and could be considered as additional criteria within the 2015 ACMG/AMP guidelines in determining genomic variant pathogenicity.

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**Keywords:** 2015 ACMG/AMP guidelines; treatable human conditions; clinical genetic testing; interpretation; variant classification

## INTRODUCTION

The guidelines for the interpretation of sequence variants formulated through the joint efforts of the American College of Medical Genetics and Genomics (ACMG) and Association for Molecular Pathology (AMP) are an important framework to standardize how laboratories, researchers, clinicians, and others categorize and curate the ever-increasing number of sequence variants uncovered during the course of molecular testing to determine the genetic etiology of human diseases.<sup>1</sup> Accurate variant classifications are critical for clinical management decisions and recurrence risk discussions, in addition to guiding research pursuits and determining eligibility for clinical trials. Assigning a pathogenic or benign direction and strength to a variant relies on information in population, disease-specific, and sequence databases; predictions from numerous in silico algorithms; inheritance patterns; and the published literature. If there is an insufficient amount of relevant data, then a classification of “uncertain significance” is assigned. Functional studies can

assist in these circumstances and thus comprise strong criteria in determining a variant’s pathogenic (PS3) or benign (BS3) nature. However, model or experimental systems have inherent limitations, it is difficult to test every identified variant, and often, functional assays do not exist or are not readily accessible.

There are an increasing number of targeted therapeutic options available to personalize management and treatment for genetic diseases (e.g., nutritional therapies, medical diets, enzyme therapies, antisense oligonucleotides, gene therapies, small molecular inhibitors, chaperone therapies), which if initiated in a patient, the ensuing physiologic reaction is, in effect, an in vivo functional response. In this article we highlight multiple cases in which variants were identified that did not have enough evidence to score as pathogenic according to the 2015 ACMG/AMP guidelines, but positive clinical responses to treatments targeted to the suspected genetic conditions provided useful functional evidence of variant pathogenicity.

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## MATERIALS AND METHODS

Patients were evaluated by diagnosticians following standard clinical practice (Supplementary Data). Genetic testing results were as reported by the performing laboratories. Variant calls were re-evaluated by two independent variant analysis teams incorporating current information. Informed consent for testing and publication was obtained from all families. Formal research ethics approval at each of the institutions was not required because the cases initially were evaluated independently, and then retrospectively identified and compiled.

## RESULTS

### Clinical reports

#### Family 1

This case is described in detail in Shen *et al.*<sup>2</sup> In brief, the proband was evaluated because of hepatomegaly and the diagnosis of lysosomal acid lipase (LAL) deficiency (MIM 278000) was suspected. However, the Lalistat2 assay repeatedly indicated normal or mildly low enzymatic activity, and although sequencing of *LIPA* uncovered compound heterozygous variants (c.260G>T, p.Gly87Val and c.853C>T, p.Pro285Ser) in *trans*, only one was classified as pathogenic.<sup>2</sup>

The proband was started on sebelipase alfa and there has been improvement in her transaminases, dyslipidemia, hepatomegaly, and liver pathology.

#### Family 2

The proband presented at 11 years old for poor ability to gain weight ( $Z$ -score =  $-2.70$ ) and gastroparesis. Her 9-year-old brother had hypotonia starting at 3 years old but, in contrast to his sister, was without weight concerns.

A comprehensive biochemical and pathological workup (Supplementary Data) did not indicate a diagnosis. Clinical exome sequencing revealed a previously reported likely pathogenic homozygous *TK2* variant (c.173A>G, p.Asn58Ser) present in both the proband and her brother, suggestive of thymidine kinase 2 (*TK2*)-related mitochondrial DNA depletion syndrome (MIM 609560). However, at the time of diagnosis, patients were reported as having a severe clinical course with early respiratory failure and death, while both siblings denied any respiratory issues; additionally, cachexia was not a known phenotypic feature.

The siblings were enrolled in an expanded access protocol for nucleoside bypass therapy<sup>3,4</sup> (Dr. Michio Hirano, Columbia University, New York, NY, USA). There has been a dramatic increase in the proband's weight ( $Z$ -score =  $-6.28$  prior to therapy,  $Z$ -score =  $-1.59$  after 6 months of therapy), and improvements in objective measures of strength, endurance, and pulmonary function for both the proband and her brother (personal communication, M. Hirano).

#### Family 3

The proband presented at 2 years of age because of falling episodes and intellectual disability. Her electroencephalogram

(EEG) was consistent with absence seizures and she was started on clobazam.

An extensive workup indicated a disorder of serine biosynthesis, likely 3-phosphoglycerate dehydrogenase (PHGDH) deficiency (MIM 601815) (Supplementary Data). However, all reported cases of PHGDH deficiency have significantly decreased cerebrospinal fluid (CSF) serine levels (Supplementary Table S1) while hers was only minimally decreased at 22.9 micromol/L (normal range 25.0–56.0 micromol/L). Additionally, *in vitro* PHGDH enzymatic activity assayed as normal (29 nmol/min/mg protein, normal range 20–70 nmol/min/mg protein). *PHGDH* sequencing uncovered previously unreported compound heterozygous variants (c.1117G>T, p.Ala373Ser and c.792+6T>G) in the *trans* configuration.

Oral L-serine 250 mg/kg/day supplementation was started and within 3 months, her seizures decreased and her antiepileptic medication was stopped. Her developmental progress improved and therapy services were no longer required after 12 months. After more than 2.5 years of serine supplementation, she attends regular classes and neurodevelopmental evaluations assess her as age-appropriate.

#### Family 4

The proband was referred at 28 months of age for severe encephalopathy and epilepsy since 10 months of age. Her younger sister had seizures as a neonate and at 11 months of age, but when evaluated at 16 months of age was developmentally normal.

After extensive evaluations (Supplementary Data) did not uncover an etiology, exome sequencing showed a homozygous *PNPO* variant of uncertain significance (c.500T>C, p.Ile167Thr), suggestive of pyridoxamine 5'-phosphate oxidase (PNPO) deficiency (MIM 610090), that was also present in her sister; each parent was heterozygous. Pyridoxine 20 mg/kg/day was started and seizures improved in the proband although no new developmental skills were acquired, while her sister has been seizure-free and continues with age-appropriate developmental progress.

#### Family 5

The proband had congenital normocytic anemia requiring transfusions every 5–7 weeks, neutropenia, and nephrolithiasis. Exome sequencing did not reveal a likely diagnosis.

Subsequent metabolic testing (Supplementary Data) showed urine orotic acid of ~3000 micromol/mmol creatinine (normal range 0–4 micromol/mmol creatinine), leading to a suspicion of hereditary orotic aciduria, or uridine monophosphate synthase (*UMPS*) deficiency (MIM 258900). Retrospective analysis of the exome data revealed compound heterozygous *UMPS* variants both originally called as likely benign (c.866A>G, p.Asp289Gly and c.1064A>C, p.Gln355Pro) inherited one from each parent.

Uridine was started (250 mg daily, then 250 mg twice daily). His leukocyte count has normalized, and he has not needed a transfusion for more than 33 weeks at the time of this report.

## DISCUSSION

All of the patients described had detailed clinical assessments followed by thorough biochemical and molecular evaluations indicating a likely diagnosis, with genetic testing uncovering relevant missense and intronic variants (Supplementary Table S3). However, when critically evaluating the cumulative information in each family, important aspects were conflicting or insufficiently abnormal to fully “clinch” the diagnosis (Table 1, Supplementary Data). Initiation of therapy and supplementation specifically targeted to the presumed disease led to significant improvement clinically and across objective measures, helping to confirm the diagnosis that was originally suspected.

Alternative explanations could be proposed to account for the therapeutic responses observed. The proband in family 1 could have a lipid metabolism disorder unable to be identified through exome sequencing that was ameliorated by sebelipase alfa; however, a newer LAL assay demonstrated clear enzymatic deficiency.<sup>2</sup> For the proband in family 3, perhaps resolution of her developmental delay and seizures was the natural course of her disease independent of any therapy, but a trial off serine led to seizure relapse, supporting a treatment effect. For the sisters of family 4, there could be additional loci responsible for their clinical responses as pyridoxine (and pyridoxal 5-phosphate) administration has led to seizure improvement in individuals without identifiable variants in *ALDH7A1* or *PNPO*.<sup>5–8</sup> For the remaining two families, supplementation with deoxycytidine and deoxythymidine (family 2) and uridine (family 5) is known only to have effects on the biochemical pathways to which they are targeted.

As it has been more than 4 years after sequencing was performed for three of these families, including two prior to the 2015 ACMG/AMP guidelines, additional molecular information and newer prediction algorithms would be available to refine the classification of their variants. However, variant reanalyses for all five families did not more strongly indicate pathogenicity (Table 1, Supplementary Table S4).

The passage of time has provided additional insight into variant pathogenicity through other means, instead. The assay confirming LAL deficiency for the proband of family 1 was developed 3 years after this disease was first suspected.<sup>2</sup> Two years after genetic testing of family 2, published information from larger cohorts of individuals affected with *TK2*-related mitochondrial DNA depletion syndrome indicated that the siblings' discordant phenotype fits within the broader disease spectrum.<sup>9,10</sup> For the sisters of family 4, plasma vitamin B6 vitamer studies have demonstrated that the correct pathway is targeted (Supplementary Data).

With the clinical improvement in our families after initiation of targeted therapies, we were able to accelerate the timeline of confirming the pathogenicity of their variants. In our view, this capability to rapidly classify variants adds a significant and impactful aspect to their positive responses to treatment, second in importance only to the clinical benefit itself.

We propose that new criteria are added to the 2015 ACMG/AMP guidelines that take into account clinical response to

treatment for a genetic disease, with a positive benefit representing a human-based *in vivo* physiologic response and thus justifying a pathogenic strong (PS level) assertion, while lack of a clear effect would be a benign supporting (BP level) code. These response to treatment criteria would be considered meaningful corroborative evidence, but are not intended to be sufficient by themselves or standalone, in determining variant pathogenicity. The missense variants described in this article are likely hypomorphic alleles, which especially in autosomal recessive diseases generally are difficult to classify. Various disease/gene groups under the ClinGen umbrella are evaluating modifications of the ACMG/AMP guidelines criteria to determine how best to include minor allele frequencies (MAF) and other information that can assist in clarifying the pathogenicity of these types of variants.<sup>11–13</sup> For our families, clinical improvement and objective measures of treatment response as incorporated through our proposed criteria led to recharacterization of multiple variants of uncertain significance to likely pathogenic (Table 1, Supplementary table S4). For two variants already designated as likely pathogenic, as often is the case, with current guidelines this is the most pathogenic direction that the laboratory could assign to the variant with the limited information available. Inclusion of therapeutic response assisted in driving classification to complete pathogenicity.

We categorically do not envision or recommend that treatment is started solely for the purpose of variant classification. It is important that a full genomic workup has been performed and, from a clinical perspective, there needs to be sufficiently high suspicion for an identified disease in a patient to justify potential side effects and logistical difficulties associated with initiating therapy. Additionally, the value of the treatment response in providing functional evidence of variant pathogenicity is tied to and proportional to how specific and targeted the therapeutic option is to the affected gene product, molecular pathway, and suspected diagnosis. As an example, levocarnitine can be helpful in numerous inborn errors of metabolism so any benefit is nonspecific and may not assist in reclassifying variants through our proposed criteria. Our families underwent thorough and extensive evaluations that narrowed down the diagnostic considerations, pinpointing which biochemical pathways were affected and implicating the associated disorders for which specific treatment options were available. Although we recognize that other loci could underlie some of their clinical responses to treatment (as one of the *PHGDH* variants was still classified as benign there could be another variant missed by the original genetic testing or another disorder of serine biosynthesis responsive to serine supplementation for family 3; another form of pyridoxine-dependent epilepsy for family 4; and anemia in CAD deficiency which improves with uridine supplementation<sup>14</sup> for family 5), additional relevant variants were not identified through exome sequencing.

Rapid molecular diagnostics capabilities are being implemented more widely,<sup>15–19</sup> and a variety of treatment options

**Table 1** Clinical information and variant analyses.

Disease (gene) [phenotype MIM number]	Evidence supporting suspected diagnosis	Uncertain, contrary or conflicting evidence	Response to therapy	Transcript and protein change	Variant classification	
					At time of diagnosis	Current With treatment response criteria <sup>a</sup>
Family 1 Lysosomal acid lipase deficiency (LIPA) [278000]	-Elevated transaminases -Liver size, dyslipidemia -Abnormal liver biopsy -Compound heterozygous LIPA variants	-Lalitestat2 inhibitor assay with normal or borderline low LAL enzyme activity -One LIPA variant is VUS	-Normal transaminases -Decreased hepatomegaly -Improved lipid profile -Improved appearance on repeat liver biopsy	c.260G>T; p.Gly87Val c.853C>T; p.Pro285Ser	p <sup>b</sup> LP VUS	P (+1) LP (+1)
variant 1						
variant 2						
Family 2 TK2-related mitochondrial DNA depletion syndrome (TK2) [609560]	-Hypotonia -Homozygous for TK2 variant previously reported	-No respiratory issues -Cachexia not described -Discordant phenotype between the siblings -Mild clinical presentation	-Weight gain in proband -Both with objective improvements in strength, endurance, and pulmonary function			
variant				c.173A>G; p.Asn58Ser (homozygous)	LP	P (+1)
Family 3 3-phosphoglycerate dehydrogenase deficiency (PHGDH) [601815]	-Seizures -Developmental delay -Low plasma serine -Compound heterozygous PHGDH variants	-Only mildly low CSF serine -Normal PHGDH enzymatic activity -PHGDH variants are VUS	-Now seizure-free off of antiepileptic medication -Significant gains in development, now in age-appropriate classes			
variant 1				c.1117G>T; p.Ala373Ser	VUS <sup>b</sup>	LP (+1)
variant 2				c.792+61>G; intronic	— <sup>b</sup>	B
Family 4 Pyridoxamine 5'-phosphate oxidase deficiency (PNPO) [610090]	-Seizures -Homozygous PNPO variant	-Later onset of seizures -Discordant phenotype between the siblings -PNPO variant is VUS	-Improved seizure control and alertness in proband -Sister now seizure-free, normal development			
variant				c.500T>C; p.Ile167Thr (homozygous)	VUS	LP (+1)
Family 5 UMPS-related hereditary orotic aciduria (UMPS) [258900]	-Transfusion-dependent -Neutropenia -Turine orotic acid -Nephrolithiasis	-No growth deficiency -No neurological concerns -Likely benign compound heterozygous UMPS variants	-Stable hemoglobin values -No further transfusions -No neutropenia			
variant 1				c.866A>G; p.Asp289Gly	LB	LP (+1)
variant 2				c.1064A>C; p.Gln355Pro	LB	LP (+1)

B benign, CSF cerebrospinal fluid, LB likely benign, LP likely pathogenic, P pathogenic, VUS variant of uncertain significance.

<sup>a</sup>Difference in classification in parentheses.

<sup>b</sup>Initial genetic testing occurred prior to publication of the 2015 American College of Medical Genetics and Genomics/Association for Molecular Pathology (ACMG/AMP) guidelines. Detailed gene and variant information is in Supplementary Table S3. Variant classification analyses are in Supplementary Table S4.

are becoming available with personalized medicine initiatives.<sup>20</sup> It is our hope that additional criteria such as those we have proposed can be utilized in variant classification to help in definitively assigning pathogenicity, allowing earlier initiation of appropriate treatment to actualize the benefit and purpose of these rapid diagnostic techniques in improving the clinical outcome and lives of patients affected by genetic disorders.

### SUPPLEMENTARY INFORMATION

The online version of this article (<https://doi.org/10.1038/s41436-020-00996-9>) contains supplementary material, which is available to authorized users.

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### DISCLOSURE

S.R., C.D.C., and M.R.H. are employees of PerkinElmer Genomics, Inc., but were not involved in the testing of these families. The other authors declare no conflicts of interest.

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