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# SMAD6 is frequently mutated in nonsyndromic radioulnar synostosis

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**Purpose:** Radioulnar synostosis (RUS) can be syndromic or nonsyndromic. The genetic basis for several RUS syndromes have been reported. However, the genetic cause of nonsyndromic RUS (nsRUS) remains unknown.

**Methods:** We performed Giemsa (GTG) banding, Sanger sequencing, and exome sequencing on patients ( $n = 140$ ) and families ( $n = 11$ ) who suffered from RUS.

**Results:** GTG banding identified 10% RUS sporadic cases affected by sex chromosome aneuploidy. Sanger sequencing on candidate genes revealed noggin (*NOG*) rarely mutated in nsRUS. Exome sequencing identified 16 loss-of-function (LOF) and 6 missense variants (minor allele frequency [MAF]  $< 0.0001$ ) in 22/117 nsRUS sporadic patients. Genetic association analysis found a significant association between *SMAD6*-LOF variants and nsRUS risk (odds ratio [OR] = 430, 95% confidence interval [CI]: 238–780,  $P < 0.000001$ ). *SMAD6* mutated in nsRUS was further confirmed by

direct Sanger sequencing of *SMAD6*-coding regions on other unrelated cohorts of nsRUS cases or families. In summary, we detected 27 *SMAD6* rare variants in nsRUS, most of which were LOF variants, 4 were de novo, and 3 were transmitted in families with autosomal dominant inheritance.

**Conclusion:** As an intracellular bone morphogenetic protein (BMP) antagonist gene, *SMAD6* is frequently mutated in nsRUS. *NOG*, which encodes an extracellular BMP antagonist, is rarely mutated in nsRUS. This work is the first genetic study on nsRUS.

*Genetics in Medicine* (2019) 21:2577–2585; <https://doi.org/10.1038/s41436-019-0552-8>

**Keywords:** nsRUS; GTG banding; exome sequencing; *SMAD6*; *NOG*

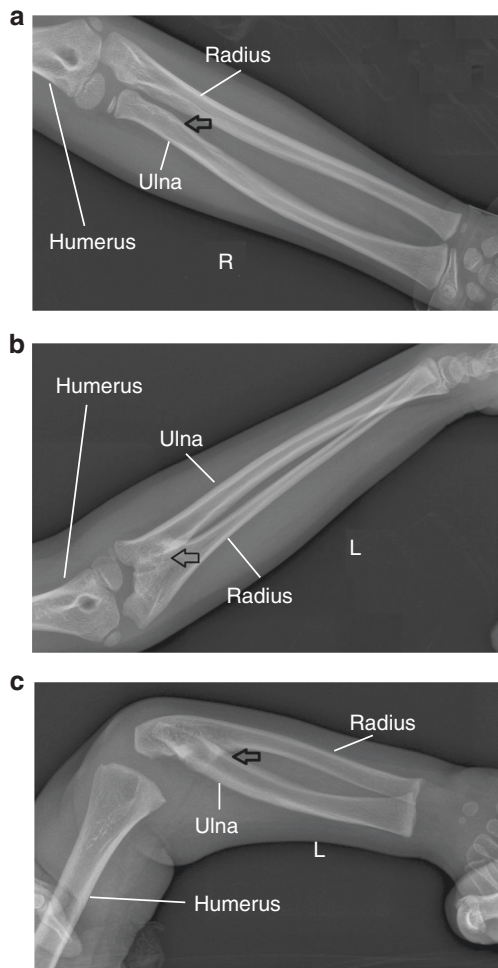
## INTRODUCTION

Radioulnar synostosis (RUS) is an abnormal connection between the radius and the ulna that limits the pronation and supination of the forearms.<sup>1,2</sup> Post-traumatic or soft tissue-connected RUS is extremely rare.<sup>3,4</sup> In the Chinese population, the overall RUS prevalence is 0.2‰ (ref. <sup>5</sup>). In clinical settings, RUS generally refers to a congenital bony fusion of the proximal areas between the radius and the ulna (Fig. 1).<sup>1,2</sup> Anatomically, two types of RUS have been described. Type 1 features a proximal fusion between the radius and the ulna. Type 2 is characterized by a fusion distal to the proximal radial epiphysis; it has a congenital dislocation of the radial head (Fig. 1).<sup>1</sup> RUS can occur as a part of an underlying syndrome. To date, several RUS-related syndromes have been reported.<sup>6–16</sup> Some of these syndromes have known genetic bases, including sex chromosome aneuploidy,<sup>6–8</sup> *MECOM* or *HOXA11* mutated in amegakaryocytic thrombocytopenia with RUS,<sup>9</sup> *B4GALT7* mutated in spondylodysplastic Ehlers–Danlos syndrome,<sup>10</sup> *FGFR2*

mutated in Crouzon or Pfeiffer syndrome,<sup>11</sup> *TBX5* mutated in Holt–Oram syndrome,<sup>12</sup> and *BMPRI1B* disrupted in Pierre Robin syndrome.<sup>13</sup> In 2012, an autosomal dominant family with multiple affected members suffered from Giuffrè–Tsukahara syndrome, which is a RUS-related syndrome.<sup>14,15</sup> Since then, studies have focused on the genetic characteristics of human RUS. Nonsyndromic RUS (nsRUS) is a condition in which a patient suffers from ulnar–radius fusion with no other identifiable disease. This condition was initially described by Sandifort in 1793.<sup>17</sup> Several studies have suggested that the inheritance of nsRUS is autosomal dominant.<sup>18–20</sup> However, most RUS cases encountered in clinics are sporadic, and the genetic basis for nsRUS remains unexplored. Thus, the present study investigated 140 sporadic patients and 11 families with RUS of an unknown cause. Genetic analysis identified that 10% of patients with RUS are affected by sex chromosome aneuploidy, ~1% of patients with RUS are affected by noggin (*NOG*) pathogenic variants, 24 patients (~19%) have sporadic nsRUS, and 30% of families

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Submitted 20 December 2018; accepted: 14 May 2019  
Published online: 29 May 2019



**Fig. 1 Anatomical classification of radioulnar synostosis (RUS).** X-ray images exhibit normal proximal radius and ulna of the right hand (a) and the RUS type II of the left hand (b) on a 7.5-year-old girl. c Image of RUS type I of the left hand on a 5.5-year-old boy.

with nsRUS harbor *SMAD6* rare variants. These findings have implications in the molecular diagnosis, genetic counseling, and prenatal diagnosis of human RUS.

## MATERIALS AND METHODS

### Study subjects

The study protocol was approved by the Academic Committee of Hunan Children's Hospital (approval number: HCHLL58, Changsha City, Hunan Province, China). Participants with RUS were ascertained from either the Child Healthcare Center or the Department of Orthopedics of Hunan Children's Hospital from July 2010 to June 2018. All participants and their parents, as well as their family members (if available), provided written informed consent to take part in this study. Inclusion criteria involved the diagnosis of unilateral or bilateral RUS in the absence of identifiable syndromes examined by an orthopedic surgeon and a doctor of genetics. For the in-house controls used in data analysis, exome data were obtained from our in-house

database, which included the exome data of 75 cases without RUS or any identifiable joint fusion disease. For *BMP2* (rs1884302) frequency analysis, the genomic DNA of 27 healthy controls (24 males and 3 females) was obtained from individuals who underwent routine health examinations in our hospital.

### Cytogenetic analysis

RUS cases and families were referred to our laboratory for cytogenetic analysis. The peripheral venous blood of the patients and their family members was collected in a vacutainer sodium heparin vial. Slides were prepared from phytohemagglutinin-stimulated peripheral lymphocyte cultures by using standard cytogenetic methods. Giemsa (GTG) banding at a 400-band level to a 550-band level was performed in accordance with the standard laboratory protocol. Two cultures corresponding to two series of slides from each sample were separately prepared and analyzed. At least 40 metaphases were analyzed for each individual.

### Sanger sequencing

For each subject, genomic DNA was extracted from peripheral blood by using a DNA isolation kit (Cat# D3392-02; Omega Bio-Tek, Inc., Norcross, GA, USA) in accordance with the manufacturer's instructions. Sanger sequencing was performed on the coding regions of *NOG* (NM\_005450), *GDF5* (NM\_000557), *SMAD6* (NM\_005585), and a ~250 bp interval that included the *BMP2*-rs1884302 locus. Polymerase chain reaction (PCR) amplification was performed using genomic DNA as a template in a Goldstar® PCR kit (Cat# CW0655M; Jiangsu Kangwei Century Biotechnology Co., Ltd., Beijing, China). Sanger sequencing was conducted with a BigDye® Terminator v3.1 cycle sequencing kit (Applied Biosystems, Thermo Fisher Scientific, Inc., Waltham, MA, USA) in accordance with the manufacturer's protocol. The amplified PCR products were purified with 70% ethanol (analytically pure) and then run on an Applied Biosystems™ 3500 Series Genetic Analyzer (Applied Biosystems, Thermo Fisher Scientific, Inc., Waltham, MA, USA). Details about the primers and the PCR conditions in the current study are provided in Supplementary Table 1.

### Exome sequencing

Exome sequencing was carried out for 117 sporadic nsRUS patients (Supplementary Table 2). Genomic DNA was evaluated through agarose gel electrophoresis and initially quantified with Qubit. Then, >0.6 µg of DNA for each patient was fragmented into 180–280 bp segments by a Covaris Bath Sonicator. A library was prepared and captured using an Agilent SureSelect Human All Exon V6 kit in accordance with the manufacturer's protocol. The captured library was initially quantified using Qubit 2.0, and the insert size was detected with Agilent 2100. Then, the effective concentration of each sample was precisely quantified through qualitative PCR to ensure that the captured library has high quality. The quality-passed library was subsequently sequenced on an Illumina

HiSeq X Ten Sequencing system (Illumina Inc., San Diego, CA, USA) to generate  $2 \times 150$  bp paired-end reads. After a raw BCL file was converted to a raw FASTQ file, 11.9 G bases were obtained for each sample, and the average yield was  $\sim 15.8$  Gb with an error rate of  $<0.1\%$ . Furthermore,  $>80\%$  bases had a Phred quality score of  $\geq 30$  (Q30).

### Bioinformatics analysis

With raw data from exome sequencing, the adapter-contaminated and low-quality reads ("N" content  $>10\%$  or  $>75\%$  bases with a Phred quality score of  $<5$ ) of each DNA sample were removed to obtain clean reads. Such reads were then mapped to the reference human genome (hg19; [genome.ucsc.edu](http://genome.ucsc.edu)) by using the Burrows–Wheeler Aligner (version 0.7.8-r455, [bio-bwa.sourceforge.net](http://bio-bwa.sourceforge.net)) to generate the alignment files (.bam). SAMtools (version 1.0, [samtools.sourceforge.net](http://samtools.sourceforge.net)) and Picard (version 1.111, [broadinstitute.github.io/picard/](http://broadinstitute.github.io/picard/)) were then used to sort and remove duplicate reads by setting the default parameters. The mapping and coverage rates were evaluated on the basis of alignment files. In all of our sequenced samples,  $>99.8\%$  reads were mapped to the reference genome hg19, and  $>99.6\%$  of the target region was covered. SNVs and indels were detected by SAMtools (version 1.0) and then annotated by ANNOVAR (version 20160201, [annovar.openbioinformatics.org/en/latest/](http://annovar.openbioinformatics.org/en/latest/)), InterVar (version 20180118), and Phenolyzer (<http://phenolyzer.usc.edu>) for functional effects, allele frequencies, and phenotype correlations, respectively.

Short read alignments at specific variant positions were visualized using Integrative Genomics Viewer ([software.broadinstitute.org/software/igv/](http://software.broadinstitute.org/software/igv/)) as necessary.

### Segregation analysis

The fragments that harbored *SMAD6* and *NOG* variants of the probands were subjected to Sanger sequencing in their available family members to check whether or not the RUS phenotype was segregated with the candidate gene variants.

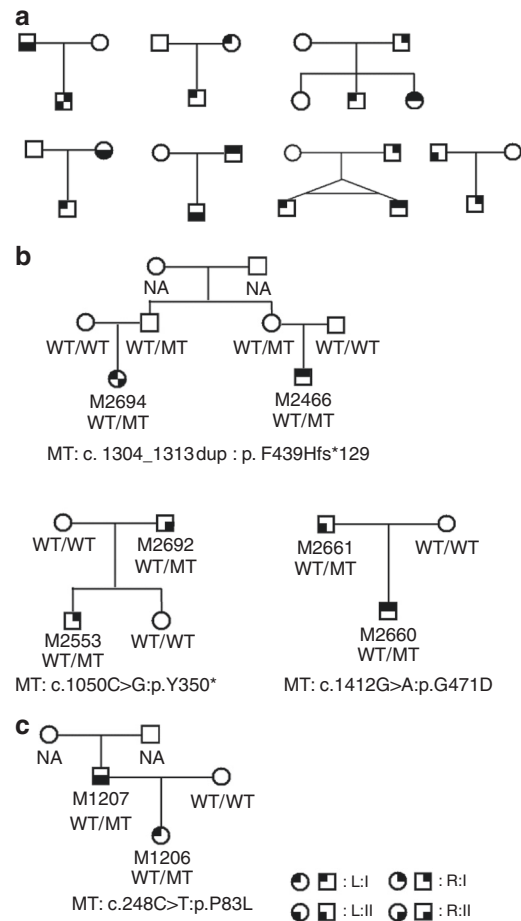
### Statistical analysis

In the genetic association analysis, two control groups were used, namely, the in-house control group and the EAS\_gnomAD control group. Logistic regression analysis was used to estimate the association between variant and nsRUS and to explore the association between the TC genotype of *BMP2*-rs1884302 and nsRUS. Statistical significance was considered when  $p < 0.05$ . Statistical analyses were conducted using IBM SPSS 20.0 (IBM SPSS, Inc., Chicago, IL).

## RESULTS

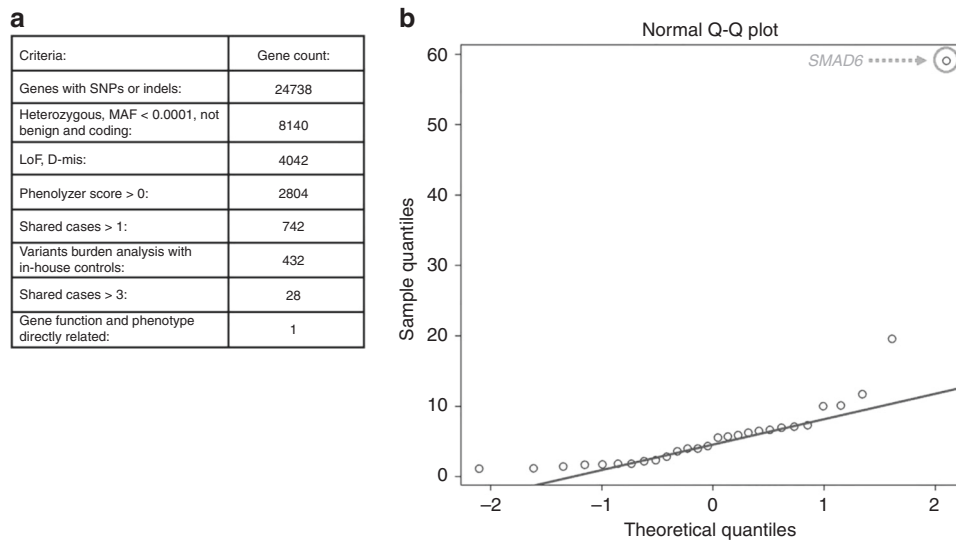
### GTG banding identified 14/140 sporadic RUS patients and 0/11 RUS families affected by sex chromosome aneuploidy

After excluding patients with clinically identifiable syndromes, such as amegakaryocytic thrombocytopenia with RUS;<sup>9</sup> spondylodysplastic Ehlers–Danlos syndrome;<sup>10</sup> Apert, Crouzon, or Pfeiffer syndrome; Holt–Oram syndrome;<sup>11,12</sup> Pierre Robin syndrome;<sup>13</sup> Giuffrè–Tsukahara syndrome;<sup>14,15</sup>



**Fig. 2** Clinical and genetic information of 11 hereditary families with nonsyndromic radioulnar synostosis (nsRUS). **a** Families with unknown genetic causes. **b** Families with *SMAD6* rare variants. **c** Families with *NOG* rare variants. L:I type I RUS on the left upper limb, L:II type II RUS on the left upper limb, MT mutant type, NA DNA unavailable, R:I type I RUS on the right upper limb, R:II type II RUS on the right upper limb, WT wild type.

and RUS with polydactyly or severe mental retardation, we obtained 140 RUS sporadic cases and 11 RUS families. We successfully performed GTG banding on these 140 sporadic RUS cases, their available parents, and 11 RUS families. We detected that 14/140 sporadic RUS cases suffered from sex chromosome aneuploidy consisting of 47,XXY (six patients), 48,XXYY (four patients), 49,XXXXY (one patient), 45,X[8]/46,XY[134] (one patient), 47,XXY[10]/46,XY[10] (one patient), and 47,XXY[4]/46,XY[96] (one patient) (Supplementary Table 2 and Supplementary Data 1). None of them carried autosomal chromosomal abnormalities. Among the 14 RUS cases with sex chromosome aneuploidy, all of which were males, 12 were affected by bilateral RUS, and two were affected by right RUS (unilateral). The ratio of RUS type I: type II was 18:8. No chromosome abnormality was detected on all patients with RUS in 11 families. The genetic basis for the remaining 126 sporadic RUS cases and 11 RUS families (Fig. 2) was unknown. We defined all sporadic RUS or hereditary RUS as nsRUS without cause.



**Fig. 3 Analyzing strategies and preliminary results of 117 exome sequencing data.** **a** Filtering strategies for searching of pathogenic genes of nonsyndromic radioulnar synostosis (nsRUS). *LoF* loss-of-function variants, *D-mis* = damaging missense variants, when two or more of four software programs (**a–d**) predict the single-nucleotide variant (SNV) is damaging. **b** Quantile–quantile (Q–Q) plots of odds ratio of observed rare damaging variants in 117 nsRUS cases vs. in 123,136 controls of the All\_gnomAD database (*LoF* and *D-mis*, *MAF* < 0.0001) for the filtered/prioritized 28 genes. *MAF* minor allele frequency, *SNP* single-nucleotide polymorphism.

### General information on 126 sporadic nsRUS patients and 11 nsRUS families

Supplementary Table 2 shows the basic data of 126 patients with sporadic nsRUS, as follows: (1) age of diagnosis, ranging from 11 days to 15 years; (2) males were more frequent than females, that is, 97 males and 29 females (77% male, 23% female); (3) unilateral RUS was more frequent than bilateral RUS, that is, 58 bilateral and 68 unilateral (left: 41, right: 27); (4) type I RUS (108) was more frequent than type II RUS (76); (5) types I and II RUS could occur on the same patients. Among the 58 cases with bilateral RUS, 15 had one hand affected by type I RUS and the opposite hand affected by type II RUS, implying that both types were not separate entities.

For the 11 nsRUS families (Fig. 2), although male-to-male, male-to-female, and female-to-male direct transmission occurred, inheritance was consistent with autosomal dominance.<sup>18–20</sup> We did not observe female-to-female transmission, and the number of males (19) was more than that of females (5). Therefore, we modified the inheritance of family nsRUS to autosomal dominant, and males exhibited greater susceptibility than females. In a single RUS family, type I and II RUS could occur, further implying that both types were not separate entities.

### Multiple-synostosis gene *NOG* mutated in 1/126 sporadic patients and in 1/11 nsRUS families

Variants in *NOG* (MIM 602991) on 17q22 or *GDF5* (MIM 601146) on 20q11.2 are two major genes responsible for human multiple synostosis.<sup>21,22</sup> The *NOG*- and *GDF5*-coding regions of 126 patients with nsRUS and 11 families with nsRUS were subjected to Sanger sequencing. However, we detected two variants on *NOG* but none on *GDF5* when the variants were filtered with the 1000G database (minor allele

frequency [*MAF*] < 0.0001). The *NOG* missense variants were c.310C>A:p.L104M and c.248C>T:p.P83L (Fig. 2, Supplementary Data 2). The c.248C>T:p.P83L cosegregated with nsRUS in a family trio, the proband was affected by unilateral RUS, and his father was affected by bilateral RUS (Fig. 2, Supplementary Data 2). The c.310C>A:p.L104M occurred on a sporadic patient with left RUS, and his mother carried the variant with minor finger deformity but did not present RUS (Fig. 2, Supplementary Data 2). *NOG* is encoded as a secretory protein.<sup>21</sup> Functional assay revealed that c.310C>A:p.L104M and c.248C>T:p.P83L mutants were less secretory than wild-type (WT) *NOG* (Supplementary Data 2).

### Performance of exome sequencing on 117 nsRUS sporadic patients revealed 22/117 patients harbored *SMAD6* rare variants

Exome sequencing was performed on 117 sporadic patients with nsRUS (Supplementary Table 2). The variants were detected on 24,738 genes (Fig. 3a). nsRUS is a rare and dominant disorder.<sup>5,19,20</sup> Thus, it should be attributed to a mutated gene with rare heterozygous variant. The number of candidate genes was reduced to 8140, considering the heterozygous, not benign, *MAF* < 0.0001 (All\_gnomAD, ExAC, 1000 Genomes and ESP6500), coding region variants (Fig. 3a). For these 8140 genes, we focused on genes with damaging variants, such as loss-of-function (*LoF*) and damaging missense (*D-mis*) variants; the gene number was reduced to 4042 (Fig. 3a). The gene number was reduced to 2804 after using Phenolyzer. We focused on genes whose damaging variants were detected in more than one nsRUS patient; the gene number was reduced to 742 (Fig. 3a). We subsequently performed a rare variant (*MAF* < 0.0001) burden analysis of the numbers of patient variants per gene

versus the numbers found in the control cohort (75 in-house controls); 432 genes remained ( $p < 0.05$ ). To further discriminate the major gene for nsRUS, we considered genes whose damaging variants accounted for  $>3$  nsRUS cases; the gene number was reduced to 28 (Supplementary Table 3). Finally, we compared the frequency of rare variants (MAF  $< 0.0001$ ) in these 28 genes in 117 nsRUS cases and 123,136 unrelated controls (All\_gnomAD). Q–Q plots showed that damaging variants on *SMAD6* were most significantly enriched in nsRUS patients (Fig. 3b, Supplementary Table 3). *SMAD6* encodes an inhibitor of bone morphogenetic protein (BMP) signaling. Reports suggested that *SMAD6* frequently mutates in a bone fusion disease, that is, midline craniosynostosis.<sup>23,24</sup> Then, we rechecked the data of the *SMAD6* gene for cases that received exome sequencing in this study. We found that the average coverage (depth  $>20$ ) of the coding regions of *SMAD6* was 100%, whereas the average coverage ( $>5\times$ ) of 5'UTR of *SMAD6* was 20.9%, and the average coverage ( $>5\times$ ) of 3'UTR of *SMAD6* was 40.7%.

After Sanger sequencing validation, we identified 22 *SMAD6* rare variants (MAF  $< 0.0001$ ) that occurred on 22 nsRUS patients (Table 1, Supplementary Data 3). Results of logistic regression showed that the *SMAD6*-LOF variants were significantly associated with increased risk of nsRUS (odds ratio [OR] = 430, 95% confidence interval [CI]: 237.535–780.076,  $P < 0.000001$ ), and the *SMAD6*-LOF + D-mis variants were significantly associated with nsRUS with an OR of 59.6 (95% CI: 37.168–95.419;  $P < 0.000001$ ) (Supplementary Table 4).

Segregation analysis was used to test whether or not these *SMAD6* variants segregated with nsRUS. Parental genomic DNA was available for 11 probands with *SMAD6* rare variants. Sanger sequencing validated that four variants were de novo (the paternity relationship for each trio was validated, Supplementary Table 5), six were inherited from the probands' unaffected mother, and one was inherited from the probands' unaffected father (Table 1 and Supplementary Data 3).

#### Further Sanger sequencing confirmed rare *SMAD6* variants in 2/8 sporadic nsRUS patients and 3/10 nsRUS families

We performed Sanger sequencing of *SMAD6*-coding regions for an unrelated cohort of nsRUS patients (10 transmitted families and 8 sporadic cases). We further detected five rare variants (MAF  $< 0.0001$ ) for this cohort of nsRUS patients. Among them, *SMAD6* variants segregating with nsRUS were detected in 3/10 families, and *SMAD6* variants were detected in 2/8 sporadic cases (Fig. 2, Table 1 and Supplementary Data 3).

#### Genotype–phenotype correlation for *SMAD6* mutated in 24 sporadic cases and three families with nsRUS

The human *SMAD6* gene (NM\_005585), which is located on 15q22.31, consists of four exons that encode a BMP-signaling inhibitor. This inhibitor consists of 496 amino acids with an amino-terminal region (N domain) and a conserved carboxy-

terminal MH2 domain.<sup>25</sup> We identified a total of 27 rare variants (19 LOF and 8 missense) on *SMAD6* that occurred in 24/125 sporadic cases and 3/10 families with nsRUS. Among the 19 LOF variants, 14 (73.7%) occurred on exon 1 of *SMAD6* (Fig. 4a) and 16/19 LOF variants are located on the N domain of the *SMAD6* protein (Fig. 4b). Among the eight rare missense variants of *SMAD6* (Fig. 4b, c), three are located on the MH2 domain (among them, c.1412G>A:p.G471D is on the D3 loop motif), and five are evenly distributed on the N domain section (from amino acids 154 to 267) of the protein.

We identified 30 nsRUS patients (sporadic and family patients) who harbored *SMAD6* rare variants (Table 1). Among them, 25 were males and five were females (male:female ratio was 5:1). In addition, 17 were affected by bilateral RUS, and 13 were affected by unilateral RUS (left: 9, right: 4). Among the 47 limbs affected by RUS, 35 were type I and 12 were type II RUS.

## DISCUSSION

Elbow joint is formed at the end of the humerus, the radius, and the ulna. The elbow is first identifiable 35 days after conception; at this stage, the cartilaginous anlagen of the humerus, the radius, and the ulna is continuous.<sup>1,2</sup> Subsequently, programmed segmentation produces elbow joints, which include three articulations, namely, humeroulnar, humeroradial, and radioulnar articulations.<sup>2</sup> RUS is an upper limb skeletal malformation characterized by bony fusion at the proximal aspect of the radius and the ulna.<sup>1,2</sup> If these bones do not appropriately separate, then two articulations, namely, humeroradial and radioulnar, are affected, and the muscles that rotate the radius and the ulna are absent.<sup>26</sup> Consequently, patients with RUS suffer from defects of supination and pronation of the forearms; some severely affected patients also suffer from the defects of adduction or abduction of elbow joints.<sup>26</sup> Several RUS-related syndromes caused by gene variant or cytogenetic abnormalities have been described.<sup>6–17</sup> However, the most common form of RUS in clinics is nsRUS, and its genetic characteristics are largely unexplored.

BMP signaling plays crucial roles in bone and joint morphogenesis. The intensity and duration of BMP signaling must be precisely regulated by two types of inhibitory factors, namely, extracellular and intracellular BMP antagonists.<sup>27</sup> The importance of extracellular BMP antagonists, such as *NOG* and *chordin*, has been documented.<sup>28,29</sup> For instance, the variant of *NOG* causes a variable spectrum of human joint synostosis or bone fusion diseases.<sup>21,29</sup> Several cases with truncating *NOG* variants also exhibit cranial malformation.<sup>30,31</sup> *NOG*-null mice exhibit enlarged growth plates and joint fusions.<sup>28</sup> To test if *NOG* is mutated in RUS, we performed Sanger sequencing of *NOG*-coding regions on 146 cases with nsRUS, which included a combination of sporadic and family patients. However, only two missense variants were detected, as follows: one was from a sporadic patient and another was directly transmitted with RUS in a family trio. Functional assay indicated that both mutants

**Table 1** Phenotype–genotype list for cases and families with nonsyndromic radioulnar synostosis (nsRUS) and *SMAD6* rare variants

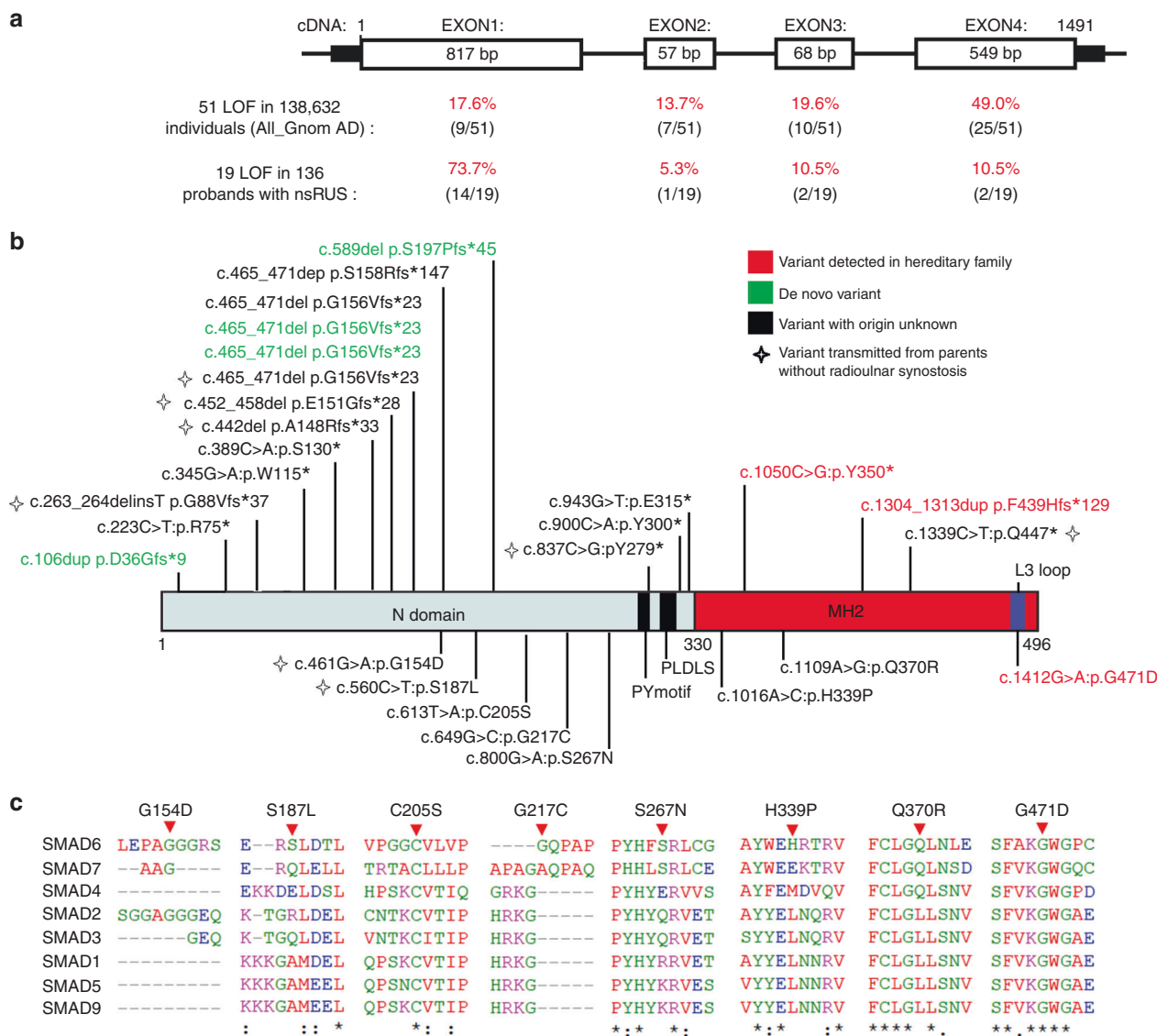
Patient	Sex <sup>d</sup>	RUS side, type <sup>e</sup>	Position <sup>f</sup>	Exon	Variants	Method <sup>g</sup>	Origin <sup>h</sup>	Frequency in All_gnomAD
M0683	F	L:II	66996245	1	c.649G>C p.(G217R)	ES	NA	0.00009251
M0855	M	L:I	66996050	1	c.465_471dup p.(S158Rfs*147)	ES	NA	0
M0879	M	L:I	67073491	4	c.1109A>G p.(Q370R)	ES	NA	0
M0902	M	B:I	67008784	3	c.900C>A p.(Y300*)	ES	NA	0.00000406
M0908	M	R:I	66995819	1	c.223C>T p.(R75*)	ES	NA	0
M0934	M	B:I	66996396	1	c.800G>A p.(S267N)	ES	NA	0
M1180	M	L:I	66996209	1	c.613T>A p.(C205S)	ES	NA	0
M1204	M	L:I	67073398	4	c.1016A>C p.(H339P)	ES	NA	0
M1227	M	B:I	67073721	4	c.1339C>T p.(Q447*)	ES	Maternal	0
M1246	M	B:I	66995985	1	c.389C>A p.(S130*)	ES	NA	0
M1393	M	B:II	66995859	1	c.263_264delinsT p.(G88Vfs*37)	ES	Maternal	0
M1419	M	B:II	66996047	1	c.452_458del p.(E151Gfs*28)	ES	Maternal	0
M1592	M	B:II	66996156	1	c.560C>T p.(S187L)	ES	Maternal	0
M1624	M	L:I	66996050	1	c.465_471del p.(G156Vfs*23)	ES	De novo	0
M1722	M	L:I	66995941	1	c.345G>A p.(W115*)	ES	NA	0
M1723	M	B:I	67008827	3	c.943G>T p.(E315*)	ES	NA	0
M1828	M	B:II	66996050	1	c.465_471del p.(G156Vfs*23)	ES	Maternal	0
M1871	F	R:I	67004025	2	c.837C>G p.(Y279*)	ES	Maternal	0
M2046	M	B:I	66995700	1	c.106dup p.(D36Gfs*9)	ES	De novo	0
M2059	M	B:I	66996034	1	c.442del p.(A148Rfs*33)	ES	Paternal	0
M2111	F	B:I	66996050	1	c.465_471del p.(G156Vfs*23)	ES	De novo	0
M2264	M	B:I	66996184	1	c.589del p.(S197Pfs*45)	ES	De novo	0
Ms636	M	B:I	66996057	1	c.461G>A p.(G154D)	S	Maternal	0
Ms913	M	L:I	66996050	1	c.465_471del p.(G156Vfs*23)	S	NA	0
M2553 <sup>a</sup>	F	R:I	67073432	4	c.1050C>G p.(Y350*)	S	Paternal	0.00001268
M2692 <sup>a</sup>	M	R:II	67073432	4	c.1050C>G p.(Y350*)	S	NA	0.00001268
M2466 <sup>b</sup>	M	B:I	67073684	1	c.1304_1313dup p.(F439Hfs*129)	S	Maternal	0
M2694 <sup>b</sup>	F	L:I, R:II	67073684	1	c.1304_1313dup p.(F439Hfs*129)	S	Paternal	0
M2660 <sup>c</sup>	M	B:I	67073794	4	c.1412G>A p.(G471D)	S	Paternal	0
M2661 <sup>c</sup>	M	L:II	67073794	4	c.1412G>A p.(G471D)	S	NA	0

<sup>a</sup>Patients in M2553 family.<sup>b</sup>Patients in M2466 family.<sup>c</sup>Patients in M2660 family.<sup>d</sup>F female, M male.<sup>e</sup>L left, R right, I RUS type I, II RUS type II.<sup>f</sup>Human chromosome 15, according to GRCh37/hg19.<sup>g</sup>ES variants initially detected by exome sequencing, S variant detected by Sanger sequencing.<sup>h</sup>NA origin analysis was not performed.

could be secreted to the extracellular environment, but the amount of secreted mutants was less than those of the WT protein. Then, we suggested that these *NOG* variants were pathogenic but the effects were attenuated. These data imply that *NOG* pathogenic variants are a rare cause of human nsRUS.

Exome sequencing was performed to search for the disease gene of nsRUS. We identified 27 heterozygous *SMAD6* variants in 24 sporadic patients and three families with nsRUS. Among these *SMAD6* variants, four were de novo, three were cosegregated with RUS in families, eight were transmitted from unaffected parents, and the remaining variants had unknown origins.

*SMAD6* is an intracellular BMP antagonist that specifically inhibits BMP signaling by at least three approaches as follows: (1) blocking BMP signaling by binding to activated BMP type I receptor, (2) aggregating type I receptor degradation by recruiting E3 ubiquitin ligases to type I receptor, and (3) binding directly to *SMAD1*, thereby interfering the *SMAD1*–*SMAD4* complex formation.<sup>32–34</sup> These signaling steps converge on shared nuclear targets that promote bone formation. *SMAD6*-null mice exhibit an enhanced activity of proliferative and hypertrophic chondrocytes, which are associated with increased collagen production in axial and appendicular skeletal development.<sup>27</sup> In humans, *SMAD6* variants have previously been associated with a variety of



**Fig. 4** Pattern diagram of 27 nonsyndromic radioulnar synostosis (nsRUS) *SMAD6* variants consisting of 19 loss-of-function (LOF) and eight missense variants. **a** *SMAD6* exons and the distribution of the 19 LOF variants. **b** Pattern diagram of the *SMAD6* protein showing N-terminal domain and MH2 domains and the positions of *SMAD6* variants. L3 loop, PLDLS, and PY motifs are shown according to a study elsewhere.<sup>27</sup> Variants above the protein diagram are rare LOF, whereas variants under the diagram are rare missense. **c** Multiple alignment of the SMAD protein families with eight *SMAD6* missense variants is indicated by the arrowhead. cDNA complementary DNA.

different phenotypes, namely, craniosynostosis,<sup>23,24</sup> congenital heart disease,<sup>35,36</sup> bicuspid aortic valve/thoracic aortic aneurysm,<sup>37-39</sup> and intellectual disability.<sup>40</sup>

Timberlake et al.<sup>23,24</sup> detected 18 *SMAD6* rare variants from 384 patients with nonsyndromic midline craniosynostosis. Then, *SMAD6* mutated in 4.7% patients with midline craniosynostosis.<sup>23,24</sup> Craniosynostosis is a congenital bone fusion disease in which one or more cranial sutures close prematurely. Among the 18 *SMAD6* pathogenic variants detected in midline craniosynostosis, several variants are de novo or transmitted with a certain phenotype in families, and the remaining variants are sporadic.<sup>23,24</sup> The penetrance of craniosynostosis associated with *SMAD6* mutation is reduced. Timberlake et al. also implicated two locus inheritance for the

entity as genotyping for the “C” risk allele of a candidate common variant located ~325 kb downstream of the *BMP2* gene (*BMP2*-Chr20-7106289T-C, rs1884302) demonstrated that 14/17 cases (82%) with a *SMAD6* rare variant and the risk “C” allele had craniosynostosis, whereas only 3/17 (18%) cases with a *SMAD6* rare variant and no rs1884302 risk allele were affected.<sup>23</sup>

After excluding the index cases, we obtained ten *SMAD6*-LOF carriers (Supplementary Fig. 1). Among these ten carriers, only two have nsRUS. Therefore, the penetrance of RUS in *SMAD6*-LOF cases is reduced (~20%). This phenomenon is consistent with the data, suggesting that incomplete penetrance of midline craniosynostosis is observed in *SMAD6*-mutated cases.<sup>23,24</sup> Then, we hypothesized that *SMAD6*

variants combined with *BMP2*-Chr20-7106289T-C cocontributed to the nsRUS phenotype. Two independent analyses were conducted using data from two control groups (in-house control group and the EAS\_gnomAD control group) (Supplementary Table 6). However, no significant associations were observed between *BMP2*-Chr20-7106289T-C genotype and nsRUS in cases with *SMAD6* rare variants (Supplementary Table 7). Meanwhile, the transmission disequilibrium test was performed but did not support the cocontribution of *BMP2*-Chr20-7106289T-C to nsRUS (Supplementary Fig. 1).

A gene variant other than *BMP2*-Chr20-7106289T-C might exist to modify *SMAD6* variants given the incomplete penetrance of nsRUS in *SMAD6*-mutated cases, thereby causing human nsRUS. However, when considering nearly half of the *SMAD6*-mutated patients affected by unilateral RUS (Table 1), other factors may interact with *SMAD6* rare variants, leading to nsRUS. Further studies are necessary to identify the modifier gene, screen the interacting factors in *SMAD6* mutation carriers, and interpret the incomplete penetrance of *SMAD6* mutations in this research.

We analyzed the *SMAD6* variant spectra of five types of disorders (nsRUS, craniosynostosis, congenital heart disease,<sup>35,36</sup> bicuspid aortic valve/thoracic aortic aneurysm,<sup>37–39</sup> and intellectual disability<sup>40</sup>). As shown in Supplementary Fig. 2, three *SMAD6*-LOF variants of nsRUS have been reported in craniosynostosis (p.G88fs and p.P156fs) or bicuspid aortic valve/thoracic aortic aneurysm (p.Y279X), none of the eight *SMAD6* D-mis variants have been reported in other disorders,<sup>35–40</sup> and the *SMAD6*-LOF variants of nsRUS tend to be close to the C-terminal of *SMAD6* protein.

In this study, several other genes (aside from *SMAD6*) approached exome-wide significance in these analyses of dominant alleles (Supplementary Table 3). However, most of these genes are functionally unrelated to joint/bone fusion or genes, the variants of which are detected in GC-rich (or repeat) regions. Therefore, further validation assay and gene functional experiments are required.

## Conclusion

The extracellular BMP antagonist gene *NOG* is rarely mutated, but the intracellular BMP antagonist *SMAD6* is frequently mutated in human nsRUS. The first genetic study on nsRUS emphasizes the importance of *SMAD6* in joint morphogenesis.

## SUPPLEMENTARY INFORMATION

The online version of this article (<https://doi.org/10.1038/s41436-019-0552-8>) contains supplementary material, which is available to authorized users.

## ACKNOWLEDGEMENTS

This work was supported by grants from the National Natural Science Foundation of China (81271946 to Y. Zhu and 31501017 to Y.Y.) and Hunan Health Commission Research Fund (B2019019). The authors are grateful to the family members

who participated in this study. We thank Rui Zhao (from Hunan Children's Hospital, Changsha City, China) and Zhong Nanbert (from Institute for Basic Research in Developmental Disabilities, Staten Island, NY, United States) for their suggestions and help in this study. Special thanks to Xun Li, Zhenqing Luo, and Shiting Xiang (Hunan Children's Hospital, Changsha City, China) for their statistical analysis and technique works.

## DISCLOSURE

The authors declare no conflicts of interest.

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