



# Is it time to report carrier state for recessive disorders in every microarray analysis?—A pilot model based on hearing loss genes deletions

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## Abstract

This study aimed to examine the implications of reporting heterozygous losses of recessive genes in Chromosomal Microarray Analysis (CMA), based on the incidence of microdeletions of three common hearing impairment genes in the local cohort and the prevalence of sequence variants in these genes in worldwide databases. Prevalence of heterozygous microdeletions in *OTOA* and *STRC* genes, as well as deletions in the *DFNB1* locus encompassing *GJB6* gene, was determined using electronic database of Rabin Medical Center. ClinVar archive and Deafness Variation Database were used to generate a list of clinically significant sequence variants in these three genes, as well as *GJB2* gene, and estimation of the frequency of sequence variants was performed. Of the 19,189 CMA tests were performed in our laboratory, 107 *STRC* microdeletions were found (0.56%), followed in frequency by *OTOA* deletions (39, 0.2%), and *DFNB1* locus deletions (10, 0.05%). The estimated risk for a hearing loss in the examined individual carrying the microdeletion was estimated as 0.11–0.67% for *STRC*, 0.016–0.13% for *OTOA*, and 1.9–7.5% in the *DFNB1* locus (including double heterozygosity with *GJB2* clinically significant sequence variants). The risks were higher in specific populations. In conclusion, we believe that that general decision whether to report or to disregard such incidental findings cannot be part of a uniform policy, but rather based on a detailed evaluation of origin-specific variants for each gene, with a careful consideration and discussion whether to include the microdeletion in the final report for each patient.

## Introduction

Chromosomal Microarray Analysis (CMA) is currently the test of choice in patients with neurodevelopmental disorders

and/or congenital anomalies, as well as in fetuses with structural aberrations [1]. In addition, it has been shown that CMA can detect clinically significant (i.e., pathogenic and likely pathogenic) copy number variants (CNVs) in up to 1.4% of low-risk pregnancies [2].

When done in whole genome approach, CMA testing can detect copy number losses encompassing genes for recessive disorders, diagnosing a carrier state. As such findings are not expected to influence the health status of the examined patient, while on the other hand may be associated with morbidity in case of sequence variants in the second allele and with future morbidity of the offspring, the duty to report these CNVs is controversial. The first version of American College of Medical Genetics and Genomics (ACMG) standards and guidelines for interpretation and reporting of postnatal constitutional CNVs, published in 2011, stated that “comprehensive reporting of heterozygous recessive variants is outside the scope of the intended use of these tests and, in general, is not recommended. Disclosure of recessive variants may be considered in cases of well-characterized recessive disorders, for which carrier frequency is reasonably high in the patient

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population and/or carrier screening is commonly available, or in recessive disorders with clinical features consistent with the patient's reason for referral" [3]. The 2014 Belgian prenatal array guidelines state that "carriership for an autosomal recessive condition will only be communicated if the carrier frequency is sufficiently high (with a cut-off on 1:50)" [4]. The 2019 European guidelines for constitutional cytogenomic analysis state that benign and likely benign variants "are not to be included in the final report, unless, for example, it concerns a loss encompassing a recessive disease gene matching the clinical phenotype of the patient" [5]. Similarly, the 2016 Israeli guidelines state that "routine detailed reporting of CNVs associated with carrier state for recessive disorders is not recommended". The updated 2019 ACMG guidelines, however, state that "there are some situations when disclosure of carrier status is recommended" (rather than "may be considered"), such as "well-characterized disorders where loss of function is the established disease mechanism" [6]. This lack of uniformity has led various laboratories to consider reporting all heterozygous deletions encompassing autosomal recessive disease genes.

In this study, we aimed to examine the prevalence of heterozygous microdeletions encompassing genes of autosomal recessive inheritance in a large cohort of microarray analyses, and to estimate the risk for affected offspring in case these findings are reported.

As a pilot model, we focused on three genes associated with autosomal recessive non-syndromic hearing impairment: *OTOA* gene (OMIM #607038), *STRC* gene (OMIM #606440) and *GJB6* gene (OMIM #604418). These genes were chosen as relatively common causes of autosomal

recessive hearing loss, known for many years, and having a well-defined and early onset phenotype. In addition, both numerous sequence variants and recurrent microdeletions in these genes are known to be associated with the phenotype. Finally, genetic variants in these genes are universal to all populations and not unique to a particular ethnic origin.

Thus, the purpose of our study was to examine the implications of reporting heterozygous losses of recessive genes in CMA tests, based on the incidence of microdeletions of three common hearing impairment genes in the local cohort and the prevalence of sequence variants in these genes in worldwide databases.

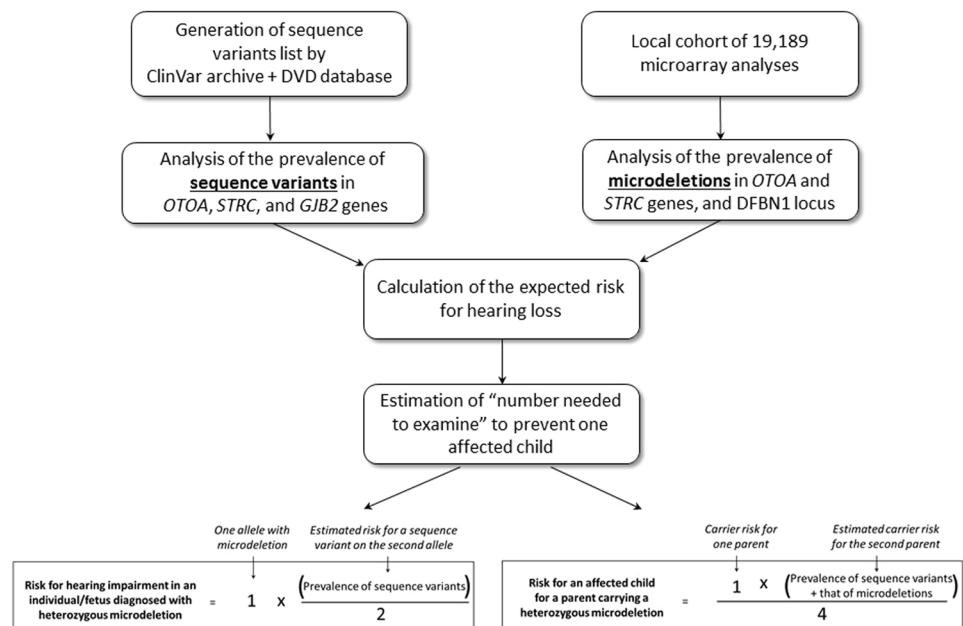
## Methods

The steps undertaken to estimate the implications of reporting heterozygous microdeletions in the examined genes are presented in Fig. 1.

### 1. Calculation of estimated prevalence of heterozygous microdeletions in *OTOA* and *STRC* genes, and deletions in the *DFNB1* locus

Due to paucity of data in medical literature or professional databases regarding the prevalence of such CNVs, a search was performed using the electronic database of Genetic laboratory of Rabin Medical Center (one of the largest Israeli genetic laboratories) through the years 2013–March 2020.

**Fig. 1 Estimation of risk for an affected child.** Flow diagram describing steps undertaken to calculate the risk of an affected child for parent diagnosed with incidental heterozygous microdeletions in *STRC* gene, *OTOA* gene, or *DFNB1* locus.



## CMA analysis

The CMA analysis was performed using two single-nucleotide polymorphism-based array platforms:

1. Illumina (San Diego, CA) during the years 2013–2016 [7].
2. CytoScan 750K array (Affymetrix, Santa Clara, CA, USA) now part of Thermo Fisher Scientific (Thermo Fisher Scientific, Inc.; Waltham, MA, USA), between 2017 and 2019 [8].

The data were analyzed by Nexus Copy Number software 7.5 (BioDiscovery, El Segundo, CA, USA) and Chromosome Analysis Suite (ChAS) v3.1 software (Thermo Fisher Scientific, Inc), respectively.

Our local database includes prenatal samples of amniotic fluid or chorionic villi sampling, as well as postnatal microarray analyses. Prenatal CMA tests are performed in two major groups: fetuses with abnormal sonographic findings, and low-risk pregnancies (which include parental request, advanced maternal age, soft sonographic markers for chromosomal abnormality and abnormal maternal screening for common trisomies). Postnatal DNA samples are drawn from blood of individuals with congenital malformations or neurodevelopmental disorders, or from healthy and phenotypically normal individuals performing microarray testing due to demonstration of various microarray findings in their children/fetuses (mostly due to variants of uncertain significance).

For the data analysis, we excluded patients with hearing loss. In addition, we excluded any family members of cases with previously diagnosed *OTOA*, *STRC* and *DFNB1* locus microdeletions, such that only one case with specific microdeletion was included in the final analysis.

The search for microdeletions encompassing the examined genes was performed using the following coordinates by the human genome assembly GRCh37 (hg19) in UCSC Genome Browser (<https://genome.ucsc.edu/index.html>):

- *STRC* gene - chromosome 15: 44,006,787-44,010,016.
- *OTOA* gene - chromosome 16: 21,689,835-21,772,050.
- *GJB6* gene - chromosome 13: 20,796,110-20,806,534.

The prevalence of microdeletions encompassing the examined genes was presented as percentage with 95% confidence intervals, as well as ratios. In addition, we performed a comparison of prenatal vs. postnatal frequency of microdeletions, as well as comparison between these frequencies in fetuses with abnormal ultrasound vs. low-risk pregnancies, and between cases vs. healthy controls, using the Chi-square calculator with Yates' correction. This subgroup comparison was done to examine whether the whole cohort can be used as one single group to represent the general population.

The study was approved by the Institutional Review Board (no. of approval 0231-15, date of approval April 27, 2015).

## 2. Calculation of the estimated prevalence of sequence variants in *OTOA*, *STRC*, and *GJB2* genes

ClinVar archive was used (<https://www.ncbi.nlm.nih.gov/clinvar/>) to generate a list of sequence variants in the examined genes [9]. The search was restricted to pathogenic and/or likely pathogenic, single gene, variant length <51 bp in size, and method type of “clinical testing”. In addition, a list of sequence variants was generated in *GJB2* gene, causing autosomal recessive deafness-1B in the form of double heterozygosity with deletions in *DFNB1* locus. As variants in *GJB6* do not cause non-syndromic hearing loss, this gene was not included in the analysis.

We used Genome Aggregation Database as the preferred data set to retrieve the allele frequency of each variant (due to highest number of examined individuals) [10]. If data were unavailable regarding the specific variant, it was retrieved from Exome Aggregation Consortium, followed by dbSNP and any available data set.

Additional generation and estimation of the frequency of sequence variants was performed using Deafness Variation Database (DVD: <http://deafnessvariationdatabase.org/>) [11], summarizing the frequencies of pathogenic and likely pathogenic sequence variants.

In addition, a meta-analysis of Mahdiah and Rabbani was used for the ethnic-specific carrier frequency of the common *GJB2* variant (35delG) [12]. Finally, the frequency of *STRC*, *OTOA*, and *GJB2* sequence variants in Ashkenazi Jewish population in Israel was calculated, according to the Israel National Genetic Database <https://medicine.ekmd.huji.ac.il/En/INGD/Pages/default.aspx>.

## 3. Statistical analysis for estimated risk calculation

**3a.** Calculation of the estimated risk for hearing impairment in an individual diagnosed with microdeletion encompassing *OTOA*, *STRC* genes or *DFNB1* locus (including prenatal CMA):

In this case, hearing impairment could be caused by the presence of a sequence variant on the other allele, inherited from the second parent. Thus, the risk for hearing loss was estimated as the prevalence of sequence variants divided by two.

**3b.** Calculation of the estimated risk for an affected child in case of a parent carrying a microdeletion encompassing *OTOA*, *STRC* genes or *DFNB1* locus:

To calculate the chance for a child with hearing loss for a parent carrying a microdeletion encompassing *OTOA*, *STRC* gene or *DFNB1* locus, the chances to be diagnosed with a microdeletion were summed with the frequency of

**Table 1** Frequency of microdeletions encompassing *STRC* gene, *OTOA* gene and *DFNB1* locus, in Rabin Medical Center local database.

	Overall microarray analyses	<i>STRC</i>			<i>OTOA</i>			<i>DFNB1</i> locus		
		<i>n</i>	% 95% CI	One in	<i>n</i>	% 95% CI	One in	<i>n</i>	% 95% CI	One in
<i>Total prenatal</i>	12,683	69	0.54 0.42–0.69	184	26	0.21 0.13–0.28	488	7	0.055 0.01–0.10	1812
Fetuses with sonographic anomalies	4773	19	0.40 0.24–0.62	251	7	0.15 0.06–0.30	682	4	0.084 0.02–0.21	1193
Low-risk pregnancies	7910	50	0.63 0.47–0.83	158	19	0.24 0.14–0.37	416	3	0.038 0.01–0.11	2637
<i>p</i> value (abnormal vs. normal US)			0.107			0.355			0.499	
<i>Total postnatal</i>	6506	38	0.58 0.41–0.80	171	13	0.20 0.11–0.34	500	3	0.046 0.01–0.13	2169
Neurodevelopmental disorders and/or congenital anomalies	5280	27	0.51 0.34–0.74	196	10	0.19 0.09–0.35	528	2	0.038 0.00–0.14	2640
Healthy parents	1226	11	0.90 0.45–1.43	111	3	0.25 0.05–0.71	409	1	0.082 0.00–0.24	1226
<i>p</i> value (patients vs. healthy)			0.165			0.972			0.521	
<i>p</i> value (prenatal vs. postnatal)			0.802			0.940			0.794	
<i>Overall</i>	19,189	107	0.56 0.46–0.67	179	39	0.20 0.14–0.27	492	10	0.052 0.02–0.08	1919

**Table 2** Allele frequency of microdeletions encompassing *STRC* gene, *OTOA* gene, and *DFNB1* locus in 6068 Cytoscan arrays.

	Total mothers	Mothers of CNV carriers			Total fathers	Fathers of CNV carriers			Average detection rate		
		<i>STRC</i>	<i>OTOA</i>	<i>GJB6</i>		<i>STRC</i>	<i>OTOA</i>	<i>GJB6</i>	<i>STRC</i>	<i>OTOA</i>	<i>GJB6</i>
Ashkenazi Jews	2732	34	7	5	2659	39	7	3	1.35	0.26	0.15
Morocco Jews	951	12	1	1	930	7	1	0	1.01	0.11	0.05
Iraq Jews	773	6	–	–	705	8	–	–	0.95	–	–
Yemen Jews	571	9	1	1	512	7	0	1	1.48	0.09	0.18
Iran Jews	376	5	1	–	376	1	0	–	0.80	0.13	–
Muslim Arabs	371	7	–	–	376	7	–	–	1.87	–	–
Turkey Jews	303	3	0	–	315	0	1	–	0.49	0.16	–
Libya Jews	276	3	–	–	266	0	–	–	0.55	–	–
Tunisia Jews	269	0	–	0	230	1	–	1	0.20	–	0.20
Egypt Jews	196	–	0	0	162	–	1	1	–	0.28	0.28
Russia non-Jews	185	3	1	–	159	1	1	–	1.16	0.58	–
Syria Jews	176	2	–	–	140	4	–	–	1.90	–	–
Bukhara Jews	115	2	1	–	132	1	2	–	1.21	1.21	–
Bulgaria Jews	100	1	–	–	129	0	–	–	0.44	–	–
Algeria Jews	98	0	–	–	90	2	–	–	1.06	–	–
Kurdistan Jews	95	1	–	–	96	1	–	–	1.05	–	–
Sefardi Jews	93	0	–	–	97	4	–	–	2.11	–	–
Ethiopia Jews	88	1	–	–	74	1	–	–	1.23	–	–
Greece Jews	81	3	0	–	98	1	1	–	2.23	0.56	–
India Jews	64	1	–	–	69	1	–	–	1.50	–	–
Caucasus Jews	60	1	–	–	59	0	–	–	0.84	–	–
Bedouin Arabs	49	–	1	–	50	–	1	–	–	2.02	–
Others <sup>a</sup>	128	7	0	1	115	1	1	1	3.92	0.41	0.82

<sup>a</sup>Ukraine non-Jews (61), Afghanistan Jews (63), Lebanon Jews (61), Uzbekistan Jews (28), Germany non-Jews (10), Belarus Jews (8), Romania Jews (8), Belarus non-Jews (3), Uzbekistan non-Jews (2), Switzerland non-Jews (1).

**Table 3** Frequency of mutations in the examined genes, and the risk for hearing loss for the individual carrying the examined microdeletions / for future child of a carrier parent.

The gene	The database	Genetic variant type [%]		Allele frequency <sup>b</sup>		Risk for hearing impairment in a carrier individual		Carrier parent — risk for future child with hearing impairment	
		Sequence variant	Microdeletion <sup>a</sup>	[%]	One in	[%]	One in	[%]	One in
<i>STRC</i>	ClinVar	0.21	0.56	0.77	130	0.105	952	0.19	521
	DVD	1.34		1.9	52.6	0.67	149	0.48	210
<i>OTOA</i>	ClinVar	0.032	0.20	0.23	426	0.016	6250	0.059	1703
	DVD	0.26		0.46	379	0.13	758	0.12	862
<i>GJB6</i>	—	—	0.052	0.052	1923	—	—	0.013	7692
<i>GJB6</i>	ClinVar	3.79	0.052	3.84	26	1.895	53	0.96	104 <sup>c</sup>
+ <i>GJB2</i>	DVD	15.06	(for DFNB1 locus)	15.11	7	7.53	13	3.78	26 <sup>c</sup>

DVD – Deafness Variation Database (<https://deafnessvariationdatabase.org/>), assessed at February 9, 2021.

ClinVar (<https://www.ncbi.nlm.nih.gov/clinvar/>), assessed at April 30, 2020.

<sup>a</sup>Based on a local cohort of 19189 microarrays.

<sup>b</sup>Based on the estimated frequency of sequence variants + microdeletions.

<sup>c</sup>Double heterozygote for *GJB6* deletions and *GJB2* sequence variants.

sequence variants, and divided by four, in concordance with Mendelian inheritance principles (Fig. 1). This risk was considered as the number of partners needed to be examined to detect one affected child.

In addition, a separate calculation was performed for the risk for Deafness-Infertility syndrome (DIS) in microdeletions encompassing *STRC* and *CATSPER2* genes (OMIM #611102).

## Results

### Patient cohort

Overall, 19,189 CMA tests were performed in our laboratory from 2013 to March 2020. Of these, 13,121 tests were performed using Illumina platform, while additional 6068 CMA tests were performed during 2017–2020 using Cytoscan array (Table 1).

Cohort examined by Illumina platform is thoroughly described in a previous manuscript [7]. Briefly, single parental origin was reported in 22.6% of the patients, two origins were observed in 23.1%, multiple origins in 30.3%, while in 24% the origin was not reported. Of the patients with single parental origin, the largest proportions belonged to Ashkenazi Jewish descent (65.6%) and Muslim Arabs (15.2%). Yemenite Jews, Druze, Uzbekistan Bukharan Jews, Moroccan Jews, and Bedouins constituted between 2 and 3% each.

As in samples examined by Cytoscan array a separate annotation was given to maternal and paternal origin, the analysis of allele frequency could be performed in more details (Table 2). In this population, the most prevalent origins were full or partial Ashkenazi Jews (34.9%), followed by Moroccan Jews (12.2%), Iraqi Jews (9.6%), Yemen Jews (7.0%), Iranian Jews (4.9%), and Muslim Arabs (4.8%).

### Estimated prevalence of heterozygous microdeletions in *OTOA*, *STRC* genes and DFNB1 locus

The frequency of microdeletions encompassing *OTOA*, *STRC*, genes and DFNB1 locus, including 95% confidence intervals is presented in Table 1 and Supplementary Table 1. Overall, 156 deletions were found (0.81%, one in 123). *STRC* deletions were the most common, yielding a frequency of one in every 179 CMA tests (0.56%). It must be noted that all the detected microdeletions in *STRC* gene encompassed *CATSPER2* gene. Second in their prevalence *OTOA* deletions were noted, involving one in every 492 CMA tests (0.2%), while the lowest prevalence was noted in the occurrence of microdeletions in the DFNB1 locus, approaching one in every 2000 CMA tests. The CNVs were submitted to ClinVar database—SUB8692904.

No statistically significant differences were noted between all the subgroups (i.e., prenatal vs. postnatal cohorts, fetuses with abnormal ultrasound vs. low-risk pregnancies, and between cases vs. healthy controls) (Table 1).

Allele frequency of microdeletions by specific origins is presented in Table 2. Looking at origins with over 100 representative subjects, the highest frequency of *STRC* microdeletions was noted in Greece Jews (2.23%), *OTOA* microdeletions—in Bukhara Jews (1.21%), and microdeletions in the *DFNB1* locus—in Egypt Jews (0.28%).

### Estimated prevalence of sequence variants in *OTOA*, *STRC*, and *GJB2* genes

*ClinVar* database search for pathogenic and likely pathogenic sequence variants in the examined genes (April 30, 2020) yielded 27 variants in *STRC* gene, and 10 in *OTOA* gene (Supplementary Table 2). In addition, 105 variants were found in *GJB2* gene.

The highest allele frequency of sequence variants was shown in the *GJB2* gene—3.8%, or one in 26 (Table 2). Second was the *STRC* gene—0.21% (one in 477), followed by the *OTOA* gene—0.03% (one in 3165).

DVD search (February 9, 2021) yielded 80 variants in *STRC* gene, 44 in *OTOA* gene, and 428 in *GJB2* gene (Supplementary Table 3). Summary of the frequencies yielded higher numbers for the three examined genes compared to *ClinVar* database: 1.34 vs. 0.21 for *STRC*, 0.26 vs. 0.032 for *OTOA*, and 15.1% vs. 3.79% for *GJB2* variants, respectively.

### Calculation of the estimated morbidity risks for an affected individual/future child

Based on the frequency of DVD sequence variants, the risk for a hearing loss in an individual carrying *STRC* microdeletion was estimated as 0.67 (one in 149), and the risk for a child with hearing impairment for a parent carrying a heterozygous *STRC* microdeletion was estimated as 0.48%, or one in 210 (Table 3). The risks were lower for *OTOA* microdeletions: 0.13% for an affected individual, and 0.12% for an affected child.

As the rate of sequence variants in *GJB2* gene was 15.1%, the highest risks for hearing loss were demonstrated in heterozygous carriers of microdeletions in the *DFNB1* locus—7.53% risk for hearing loss in a carrier individual (one in 13), and a 3.78% risk for an affected double heterozygous child (one in 24).

The risk for Deafness-Infertility syndrome in the progeny of individuals carrying a microdeletion encompassing *STRC* and *CATSPER2* genes was estimated as  $1/2 * 0.56% * 1/2 = 0.14%$ , or one in 714.

In addition, judging by the ethnic-specific carrier frequency of the 35delG variant in *GJB2* gene [12], the risk for a child with hearing loss for parent with incidentally diagnosed microdeletion in the *DFNB1* locus can reach up to 1:205 if the second spouse is of European origin, and up

**Table 4** Frequency of 35delG variant in *GJB2* gene in various populations, and the risk for affected child (double heterozygote for *GJB6* microdeletion and 35delG *GJB2* variant) in the case of incidentally diagnosed microdeletion in the *DFNB1* locus in one parent.

	Allele frequency for 35delG <i>GJB2</i> mutation		Carrier parent with microdeletion in the <i>DFNB1</i> locus—risk for a child with hearing impairment	
	[%] (8)	One in	[%]	One in
Europe	1.89	53	0.49	205
America <sup>a</sup>	1.52	66	0.39	254
Mixed <sup>b</sup>	0.56	179	0.15	652
Asia	0.93	108	0.25	406
Australia	1.50	67	0.39	258
Africa	0.64	156	0.17	573

<sup>a</sup>Brazil, Argentina, Venezuela, White Americans.

<sup>b</sup>African Americans, U.S.A-Americans, Asian Americans (Indian, Japanese, Koreans), U.S.A-Ashkenazi Jewish.

to 1:250 in Australian and certain American origin (Table 4).

Finally, risks for Ashkenazi Jewish population are presented in Table 5. According to the Israel National Genetic Database, the frequency of a founder c.4171 C>G (p.(Arg1391Gly)) *STRC* variant in Israeli Ashkenazi Jewish population is 0.5%. Thus, the risk for hearing loss in an individual carrying *STRC* microdeletion was estimated as 0.25% (one in 400). Combined with a 1.35% prevalence of *STRC* microdeletions in this origin, the risk for a child with hearing loss for a parent carrying an incidental *STRC* microdeletion, with an Ashkenazi Jewish spouse, is 0.46%, or one in 216. The risk for Deafness-Infertility syndrome in the progeny of Ashkenazi Jewish individuals carrying a microdeletion encompassing *STRC* and *CATSPER2* genes is 0.34%, or one in 296.

Moreover, the overall prevalence of *GJB2* sequence variants in Ashkenazi Jewish population was calculated as 7.23%, i.e., one in 14 (a sum of 0.75% for c.35delG, 1.6% for c.109 G>A, 1.6% for c.101 T>C, 3.26% for c.167delT, and 0.02% for c.94 C>T) (Supplementary Table 3). Thus, the estimated risk for hearing loss in Ashkenazi Jewish individual carrying microdeletion in the *DFNB1* locus was estimated as 3.6%, or one in 28; whereas the risk for a child with hearing loss for a parent carrying an incidental microdeletion in the *DFNB1* locus, with an Ashkenazi Jewish spouse, is 1.85%, or one in 54.

### Comment

Autosomal recessive disorders are a significant cause of severe childhood mortality and mortality, affecting at least

**Table 5** Frequency of mutations in the examined genes, and the risk for hearing loss for the individual carrying the examined microdeletions/for future child of a carrier parent in Ashkenazi Jewish population.

The gene	Genetic variant type [%]		Allele frequency <sup>b</sup>		Risk for hearing impairment in a carrier individual		Carrier parent—risk for future child with hearing impairment	
	Sequence variant	Microdeletion <sup>a</sup>	[%]	One in	[%]	One in	[%]	One in
<i>STRC</i>	0.5 <sup>c</sup>	1.35	1.85	54	0.25	400	0.46	216
<i>OTOA</i>	0.26 <sup>d</sup>	0.26	0.52	190	0.13	758	0.13	763
<i>GJB6</i>	–	0.15	0.15	667	–	–	0.04	2667
<i>GJB6</i> + <i>GJB2</i>	7.23 <sup>c</sup>	0.15 (for <i>GJB6</i> )	7.38	14	3.6	28	1.85	54

<sup>a</sup>Based on a local cohort of 19189 microarrays, for Ashkenazi subgroup.

<sup>b</sup>Based on the estimated frequency of sequence variants + microdeletions.

<sup>c</sup>Based on the Israel National Genetic Database.

<sup>d</sup>Based on Deafness Variation Database (<https://deafnessvariationdatabase.org/>), assessed at February 9, 2021.

25 in 10,000 children [13]. Thus, detection and reporting of carrier status for heterozygous recessive variants is of great importance for future reproductive counseling, as well as early diagnosis and treatment. Preconception carrier screening for reproductive purposes is recommended by numerous guidelines, whether limited pan-ethnic (for cystic fibrosis and spinal muscular atrophy) and ancestry-based genetic testing for common sequence variants, and up to high-throughput genotyping and sequencing approaches that allow simultaneous testing of numerous genetic disorders [14]. However, guidelines referring to next generation sequencing techniques define “incidental or secondary findings” as disease-causing variants in 59 medically actionable genes related to autosomal dominant severe and preventable conditions, and do not recommend reporting carrier status of recessive genes [15]. Thus, the 2019 ACMG recommendation to disclose carrier status in microarray analysis on certain terms, such as “reasonably high carrier frequency in the patient population” [6], is a new and unusual decision.

It must be noted that the frequency of incidental heterozygous CMA microdeletions encompassing any genes of autosomal recessive disorders has not been thoroughly described in the literature. This can be the reason for the controversial policies whether to report such findings. Thus, in this pilot model we chose to focus on three recurrent copy number losses of genes associated with non-syndromic hearing loss. Hearing impairment is a multifactorial condition, affecting up to 1 in 500 newborns with a pre-lingual hearing impairment [16]. Traditionally, the diagnosis of genetic causes of hearing loss was performed by Sanger sequencing to search for sequence variants. However, in the last decade numerous papers were published describing the contribution of CNVs (i.e., deletions and duplications of approximately 1 kb) to genetic deafness. For example, in 2014 Shearer et al. have examined 686 patients with hearing

loss and identified CNVs within a known deafness gene in 15.2% of these cases [17]. The most common CNVs (73%) involved *STRC* gene, followed by CNVs in *OTOA* (13%).

In our study, we demonstrate the heterozygous microdeletions encompassing *STRC*, *OTOA* genes and the *DFNB1* locus can overall be demonstrated in up to every 123 microarray analyses. Demonstration of similar frequency between various subgroups supports the assumption that microdeletions encompassing *STRC*, *OTOA* and the *DFNB1* locus are truly incidental findings, and that the whole cohort can be used as a single group for estimation of the prevalence. The considerable frequency of recurrent microdeletions encompassing *OTOA* and *STRC* genes, as well as *DFNB1* locus raises a question whether these microdeletions should be reported as incidental findings in all microarray tests. It must be noted that these three genes associated with hearing loss were chosen as a pilot model, and thus the overall prevalence of microdeletions encompassing autosomal recessive genes, as well as the expected risk for an affected offspring, may be much higher. According to ethical standards for human subjects’ research, incidental findings should be reported when knowledge of the information bears a strong net benefit for the patient or the offspring [18]. The “strong net benefit” category includes genetic information that can be used in reproductive decision-making, e.g., to ameliorate or avoid significant risk for offspring of a condition likely to be life-threatening or grave. Early detection of genetic disorders is crucial for the application of palliative treatments and special education. In addition, diagnosis of carrier state may allow the couples informed decisions regarding reproductive plans.

Despite the obvious benefits, the question whether to include any heterozygous losses of genes associated with known autosomal recessive diseases in the final microarray report is not easy to answer. It depends on numerous parameters unique for each gene, including the severity and age of onset of the associated disorder, carrier frequency in

the patient population, and the availability of genetic counseling and testing. In addition, a variable phenotype for different variants should be taken into account, as well as the possibility of unknown phenotype in case of double heterozygosity for all genetic variants. In our analysis we show that the estimated risks for morbidity in the examined individual and future progeny vary for each gene and the specific population, and range from as low as one in 1703 for *OTOA* microdeletions, and up to one in 28 for deletions in the *DFNB1* locus in Ashkenazi Jewish population.

Based on the results of our model pilot for heterozygous microdeletions encompassing three hearing impairment genes, the reporting of such findings should not be based on a uniform recommendation but should be individually considered. Although potentially cumbersome, for each microdeletion encompassing a gene associated with autosomal recessive disorder, the frequency of known disease-causing sequence variants and deletions in this gene should be calculated in the specific origin. Also, a cut-off for the risk for an affected proband must be determined. For instance, as the risks for an affected individual or future child for microdeletions in the *DFNB1* locus in this Ashkenazi Jewish community reach 1:28 and 1:54, respectively, it seems that incidental microdeletions in the *DFNB1* locus in this community should be reported. Of note, the partner can be examined only for the common origin-specific common variants, rather than performing gene sequencing, which could lower the costs of the genetic testing. On the contrary, as the risk for *OTOA* sequence variants and microdeletions was estimated as 0.24%, the risk for an affected child in the case of a parent with incidentally diagnosed *OTOA* microdeletion is 1:862. This means that “number needed to test”, i.e., the number of spouses which will need to undergo *OTOA* genetic sequencing to detect one affected child, is 862, an unacceptably high number. Furthermore, as no common sequence variants are known in *OTOA* gene, genetic testing of the partner would demand whole gene sequencing, with associated costs.

Additional point needs to be considered is that *STRC*, *OTOA* and *GJB6* are relatively common and well-explored genes. If, according to the new ACMG recommendations, we are to report any heterozygous losses of recessive genes, including those in which the frequency of sequence variants is unknown, the numbers of CMA analyses with autosomal recessive incidental findings can be unacceptably high, so that almost every partner will have to perform complementary gene sequencing. For instance, analytical validation of 36,859 patients by sequencing-based expanded carrier screening panel of 235 genes with panel-wide CNV calling has shown that novel CNVs constitute 9.2% of variants associated with fetal disease [19]. Of the screened 7498 couples, 335 (4.5%) were identified as at risk for an

affected offspring, showing that about 1 in 300 pregnancies could be affected by at least one serious condition. This high frequency of carrier rate implies that position papers and guidelines should carefully consider the approach to incidental findings.

In summary, in this model pilot of microarray microdeletions encompassing genes associated with autosomal recessive hearing loss, we tested the option of reporting such CNVs as an incidental finding. The carrier frequency is not uniform for all three genes demonstrated, and not for every population. Thus, the general decision whether to report or to disregard such findings cannot be part of a uniform policy, for all recessive genes, for all genetic variants or for all populations, but rather should be based on a detailed evaluation of origin-specific sequence variants for each gene, with a careful consideration and discussion whether to include the microdeletion in the final report for each patient.

In addition, the issue of possible incidental findings should be meticulously discussed with the patients before diagnostic or prenatal testing, as not all individuals may be willing to be informed of such findings. As the choice to have access to the knowledge of carrier status belongs to the patient and their parents, genetic counselors could help patients and their families navigate the intricacies and implications for such findings. Since there is evidence stating that every person might be a carrier of up to 30 sequence variants in autosomal recessive genes, the universal recommendation to report such findings might involve almost every sample, in the context of microarray as well as whole exome sequencing performed for various indications. Eventually, each prenatal microarray will necessitate further sequencing of the sample, up to complementary exome sequencing. While currently this is not readily feasible, since each method of genetic testing carries an additional cost, this may be relevant for the future in which all tests will be performed by low-cost whole genome approach.

## Compliance with ethical standards

**Conflict of interest** The authors declare no competing interests.

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