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# p63 suppresses the ability of pregnancy-identified mammary epithelial cells (PIMECs) to drive HER2-positive breast cancer

Christopher E. Eyermann<sup>1</sup>, Jinyu Li<sup>1</sup> and Evguenia M. Alexandrova<sup>1</sup> 

## Abstract

While pregnancy is known to reduce a woman's life-long risk of breast cancer, clinical data suggest that it can specifically promote HER2 (human EGF receptor 2)-positive breast cancer subtype (HER2+ BC). HER2+ BC, characterized by amplification of HER2, comprises about 20% of all sporadic breast cancers and is more aggressive than hormone receptor-positive breast cancer (the majority of cases). Consistently with human data, pregnancy strongly promotes HER2+ BC in genetic mouse models. One proposed mechanism of this is post-pregnancy accumulation of PIMECs (pregnancy-identified mammary epithelial cells), tumor-initiating cells for HER2+ BC in mice. We previously showed that p63, a homologue of the tumor suppressor p53, is required to maintain the post-pregnancy number of PIMECs and thereby promotes HER2+ BC. Here we set to test whether p63 also affects the intrinsic tumorigenic properties of PIMECs. To this end, we FACS-sorted YFP-labeled PIMECs from p63+/-;ErbB2 and control p63+/+;ErbB2 females and injected their equal amounts into immunodeficient recipients. To our surprise, p63+/- PIMECs showed increased, rather than decreased, tumorigenic capacity in vivo, i.e., significantly accelerated tumor onset and tumor growth, as well as increased self-renewal in mammosphere assays and proliferation in vitro and in vivo. The underlying mechanism of these phenotypes seems to be a specific reduction of the tumor suppressor TAp63 isoform in p63+/- luminal cells, including PIMECs, with concomitant aberrant upregulation of the oncogenic ΔNp63 isoform, as determined by qRT-PCR and scRNA-seq analyses. In addition, scRNA-seq revealed upregulation of several cancer-associated (Il-4/Il-13, Hsf1/HSP), oncogenic (TGFβ, NGF, FGF, MAPK) and self-renewal (Wnt, Notch) pathways in p63+/-;ErbB2 luminal cells and PIMECs per se. Altogether, these data reveal a complex role of p63 in PIMECs and pregnancy-associated HER2+ BC: maintaining the amount of PIMECs while suppressing their intrinsic tumorigenic capacity.

## Introduction

HER2 (human epidermal growth factor receptor 2)-positive breast cancer (HER2+ BC) comprises 15–20% of all sporadic breast cancers and is an aggressive subtype. It is characterized by gene amplification/protein upregulation of HER2 receptor tyrosine kinase and presents with reduced survival and high rate of relapse after chemotherapy, due to enhanced cell proliferation, angiogenesis, metastasis, and

reduced apoptosis<sup>1</sup>. HER2+ BC is frequently diagnosed at widely metastatic stage III/IV and in younger patients<sup>2</sup>. Although HER2-targeted therapies (Trastuzumab, Lapatinib) have greatly improved management of this malignancy, there is a significant rate of primary and acquired resistance<sup>3,4</sup>, urging to identify additional factors that contribute to HER2+ BC pathogenesis and survivorship.

In contrast to other subtypes, HER2+ BC seems to be associated with pregnancy. Thus, the incidence of HER2+ BC among so called pregnancy-associated breast cancers is increased to 28–58%, compared to 16–22% of age-matched control patients or 19% in the general population of reproductive age<sup>5–10</sup>. Moreover, parity can increase the

Correspondence: Evguenia M. Alexandrova ([evguenia.alexandrova@stonybrook.edu](mailto:evguenia.alexandrova@stonybrook.edu))

<sup>1</sup>Department of Pathology and Stony Brook Cancer Center, Stony Brook University, Stony Brook, NY 11794-8691, USA  
Edited by M Agostini

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life-long risk of HER2+ BC. Indeed, two large studies (2710 and 28,095 patients, respectively) found a significant association of parity with the risk of HER2+ BC, whereas  $\geq 3$  full-term pregnancies had an even greater association<sup>11,12</sup>. In agreement, parity accelerates tumor onset and mortality in two genetic mouse models of HER2+ BC, the ErbB2 and Neu mice (with constitutively active and amplified HER2, respectively)<sup>13–15</sup>. Compelling explanation for this came from mouse studies showing that a major cancer stem cell/tumor-initiating cell population for HER2+ BC are PIMECs (pregnancy-identified mammary epithelial cells)<sup>13,16</sup>. PIMECs are multipotent alveolar progenitors that comprise 0.8–4% of mammary epithelial cells (MECs) in virgins, but undergo enormous expansion in late pregnancy to give rise to essentially all milk-producing alveoli<sup>17–19</sup>. Importantly, PIMECs—unlike the rest of MECs—are largely resistant to apoptosis during post-lactation gland involution and now comprise 20–30% luminal cells and greatly contribute to gland expansion in subsequent pregnancies<sup>17,19–21</sup>. This significant increase in PIMECs content in parous females is likely the basis for their increased susceptibility to HER2 tumorigenesis. Of note, even in virgin mice, all HER2-driven tumors arise from lineage-traced PIMECs, highlighting their bone fide role as tumor-initiating cells for HER2+ BC, at least in mice<sup>13,16</sup>.

We previously showed that p63, an epithelial master regulator and a homologue of the tumor suppressor p53, is a critical novel regulator of PIMECs and pregnancy-associated HER2+ BC<sup>15</sup>. Specifically, mammary glands from heterozygous p63+/- females (homozygous p63-/- animals die perinatally<sup>22,23</sup>) exhibit enhanced apoptosis in post-lactation gland involution, mediated by Oncostatin M/Stat3 and reduced Neuregulin/Stat5 signaling<sup>15</sup>. Moreover, the post-involution p63+/- mammary glands contain on average 40% fewer PIMECs than p63+/+ glands<sup>15</sup>, suggesting that p63 is required to maintain the PIMECs pool. Consistently, p63+/-;ErbB2 females are partially protected from HER2+ BC (which is not observed in virgins), pointing to the reduced PIMEC content as the likely underlying mechanism<sup>15</sup>. Since p63 is a known regulator of normal epithelial stem cells and cancer stem cells<sup>24–28</sup>, here we set to test whether, besides the PIMECs content, p63 also regulates their intrinsic tumorigenic properties. Surprisingly, we found that p63 does play a role, but as a tumor suppressor rather than an oncogene. This seems to be due to an interplay between p63 isoforms: tumor suppressor TAp63 and oncogenic  $\Delta$ Np63.

## Materials and methods

### Animals and mammary fat pad transplantation assay

p63+/- mice<sup>15,23</sup> were a gift from Frank McKeon. Rosa-LSL-YFP mice<sup>17</sup> and MMTV-ErbB2 mice<sup>15,29</sup> were from the Jackson Laboratory, strains Gt(ROSA)26Sortm1(Smo/

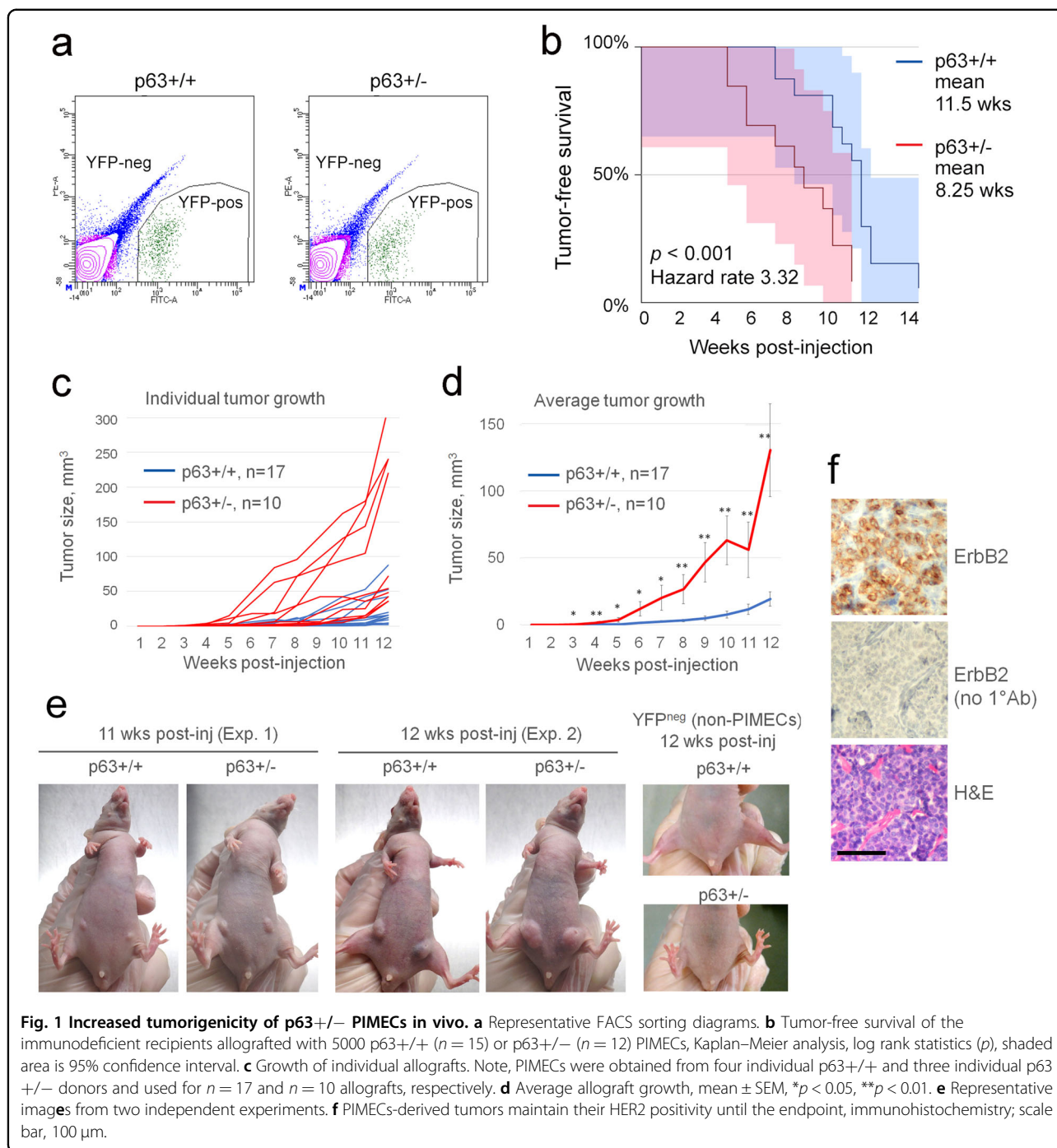
EYFP)Amc/J and FVB-Tg(MMTV-ErbB2)NK1Mul/J, respectively. WAP-Cre mice<sup>15</sup> were from the NCI Mouse Repository (<https://frederick.cancer.gov/science/technology/mouserpository>), strain 01XA8. The littermate experimental p63+/-;Rosa-LSL-YFP;WAP-Cre;ErbB2 and control p63+/-;Rosa-LSL-YFP;WAP-Cre;ErbB2 females (“p63+/-” and “p63+/+”, respectively) on a mixed 129SVJ/C57Bl6J:FVB (50:50) background were generated as previously described<sup>15</sup>, impregnated at 3 months of age and allowed to nurse pups for 10 days to stimulate induction and YFP labeling of PIMECs<sup>17,21</sup>. For fat pad transplantation, FACS-sorted p63+/+ and p63+/- PIMECs in 100  $\mu$ l 50:50 DMEM:Matrigel (Cat # 356234, Corning) were injected into mammary glands #4 and #9 of 4–5 weeks old virgin immuno-deficient female recipients, strain Foxn1<sup>Nu/Nu</sup> (the Jackson Laboratories), at ~5000 cells per site ( $4920 \pm 594$  and  $5067 \pm 847$  of p63+/+ and p63+/- cells, respectively). The recipients were monitored weekly for tumor onset (when p63+/+ and p63+/- allografts measured  $7.7 \pm 1.3$  mm<sup>3</sup> and  $8.8 \pm 3.8$  mm<sup>3</sup>, respectively, mean  $\pm$  SD) and their tumors were measured weekly by caliper. Tumor volume was calculated as  $l \cdot w \cdot h / 2$ , where “l” is length, “w” is width, “h” is height (an approximate formula for the ellipsoid volume). Allografts that did not reach 5 mm<sup>3</sup> by week 12 were excluded from the analysis. All animals were treated humanely and according to the guidelines by the Stony Brook University Institutional Animal Care and Use Committee (IACUC; protocol number 924666). Males were excluded from the analysis. The sample size was not predetermined.

### Fluorescence-activated cell sorting (FACS) and quantitative RT-PCR (qRT-PCR)

For PIMECs isolation, p63+/- and p63+/+ females were euthanized at 6 weeks post-lactation (i.e., complete gland involution<sup>15</sup>), and their total MECs were isolated from mammary glands #2–5 and #7–10 as previously described<sup>30</sup>, immediately followed by sterile FACS sorting for YFP-positive cells, i.e., PIMECs (Fig. 1a). Freshly isolated PIMECs were used for mammary fat pad transplantation, mammosphere assays, or plated for in vitro proliferation assay. For qRT-PCR, total MECs were FACS-sorted with CD24 antibody (BD Cell Analysis, Cat #561079), and CD24<sup>high</sup> (i.e., luminal) cells were used for qRT-PCR as previously described<sup>2</sup> with the following primers: TAp63: ATGAATTTTGAACTTCACGGTGTG (F), GGGTCAC TGAGGTCTGAGTCTTG (R);  $\Delta$ Np63: GTTGTACCTGG AAAACAATGC (F), CAGGCATGGCACGGATAAC (R). For scRNA-seq analysis, CD24<sup>pos</sup> (i.e., epithelial) cells were used, see below.

### 3D floating mammosphere assay

For mammosphere assays, freshly isolated p63+/+ and p63+/- PIMECs (single cells) were plated into ultra-low-



adherent 12-well plates at 6500–17,500 cells per well (see Supplementary Table 1 for details) in serum-free mammary epithelial basal cell growth medium (Lonza), supplemented with B27 (Fisher Scientific), 20 ng/ml HB-EGF, 20 ng/ml bFGF and 4  $\mu$ g/ml Heparin (all from Sigma). Floating mammospheres were counted 12 days later. The mammosphere formation efficiency (MFE) was calculated as  $m/p \times 100\%$ , where “m” is the number of mammospheres and “p” is the number of plated cells.

#### In vitro and in vivo proliferation assays

For in vitro proliferation assay, freshly isolated p63+/+ and p63+/- PIMECs were plated into 24-well plates at 30,000–120,000 cells per well in DMEM/F12 media (Gibco), switched to CNT Prime media (Cellntec) after two days, and stained for the proliferation marker Ki67 either after 6 days (when 70,000–120,000 were plated) or after 12 days (when 30,000 cells were plated), as described below. Five random non-overlapping fields were

photographed at  $\times 20$  magnification, and the percent of Ki67-positive cells was calculated. For the in vivo proliferation assay, mammary gland #4 from p63 $^{+/+}$  and p63 $^{+/-}$  sisters were flattened on filter paper, fixed in formalin, embedded in paraffin, and sectioned (5  $\mu$ m), followed by immunofluorescent staining as described below. Ten random non-overlapping fields were photographed at  $\times 20$  magnification, and the percent of Ki67-positive cells within YFP-positive cells was calculated.

### Immunofluorescence

For immunofluorescent staining of proliferating PIMECs, cells were fixed with 4% paraformaldehyde, blocked in 5% goat serum, and incubated with primary antibodies (Ki67, 1:200, Cell Signaling, Cat #12202; GFP, 1:200, Aves Labs, Cat #GFP-1020) overnight at 4 °C. After PBS washing, slides were incubated with secondary antibodies (goat anti-rabbit, Alexa Fluor 594, Invitrogen, Cat #A-11012; goat anti-chicken, Alexa Fluor 488, Invitrogen, Cat #A-11039), followed by counterstain with DAPI. For immunofluorescent staining of mammary glands, slides were deparaffinized, boiled in citrate antigen retrieval buffer (Vector Labs) for 15 min, blocked in 5% goat serum, and incubated with primary antibodies (Ki67, 1:400, Cell Signaling, Cat #12202; GFP in lieu of YFP, 1:400, Aves Labs, Cat #GFP-1020) overnight at 4 °C. After PBS washing, slides were incubated with fluorescent secondary antibodies (as above), or (Fig. 1f) biotinylated secondary antibody (Invitrogen, Cat #31820) followed by Vectastain ABC-HRP (Vector Labs, Cat #7200) and DAB Quanto substrate (Thermo Fisher Scientific) with hematoxylin counterstain (or hematoxylin and eosin). Coverslips were mounted with Prolong Gold with DAPI (Invitrogen). Images were taken with Nikon Eclipse Ti-S microscope (Nikon) using NIS-Elements AR software (Nikon).

### Single-cell RNA sequencing (scRNA-seq)

Cell suspensions of p63 $^{+/+}$  and p63 $^{+/-}$  PIMECs obtained as described above from four pooled p63 $^{+/+}$  or three pooled p63 $^{+/-}$  mice, respectively, were loaded on a 10x Genomics Chromium instrument to generate single-cell gel beads in emulsion (GEMs). Approximately 45,000 cells of each genotype were loaded per channel. ScRNA-seq libraries were prepared using the following kits: Chromium Next GEM Single Cell 3' GEM, Library & Gel Bead Kit v3.1, PN-1000121; Chromium Next GEM Chip G Single Cell Kit, PN-1000120 and Single Index Kit T Set A PN-1000213 (10x Genomics) as described<sup>31</sup> and following the User Guide (manual part #CG000204 Rev D). Libraries were run on an Illumina NovaSeq 6000 paired-end reads, read 1 is 28 cycles, i7 index is 8 cycles, and read 2 is 91 cycles, one lane per sample, for approximately >57% and >48% sequencing saturation (p63 $^{+/+}$  and p63

$^{+/-}$ , respectively). The Cell Ranger Single Cell Software Suite (v1.3) was used to perform UMI processing and single-cell 3' gene counting. Cell Ranger (v5.0.1), Loupe Browser (v5.0.0), and R package Seurat (v4.0.0) were used to visualize gene expression and find significantly altered genes. For best cell type identification and separation, we chose K-mean clustering ( $k = 8$ ) and UMAP for dimensionality reduction. Gene expression analysis of luminal cells (Figs. 3b–e, 4, 5a) was performed using Loupe browser and the Significant Feature Comparison/Locally Distinguishing function. Gene expression analysis of PIMEC cells (Fig. 5b–e) was performed using Seurat, where exogenous *YFP* gene was added to identify PIMECs. The control and experimental samples were processed with “cellranger count”, followed by re-aggregation into a combined dataset using “cellranger aggr”. Again, UMAP was used (resolution = 0.015), and differentially expressed genes between p63 $^{+/-}$  and p63 $^{+/+}$  PIMECs were found by comparing YFP/Krt8 double-positive cells (expression > 0), using FindMarkers function. Wilcoxon rank-sum test and Bonferroni corrections were used to calculate adjusted  $p$ -values.

### Statistical analysis

Mouse tumor-free survival was analyzed by Kaplan–Meier analysis and log rank statistics, and the  $p$  value and the hazard rate were determined using online software (<https://www.evanmiller.org/ab-testing/survival-curves.html>). Tumor size and the mammosphere formation efficiency were analyzed by unpaired two-tailed Student's  $t$ -test,  $p < 0.05$  was considered statistically significant. No animal randomization was used. No blinding was used. No statistical method was used to pre-determine sample size. Normal distribution of data and data variation was not assessed.

## Results

### Accelerated HER2 tumorigenesis of p63 $^{+/-}$ PIMECs

To test whether p63 regulates the intrinsic tumorigenic property of PIMECs, we sought to isolate PIMECs from p63 $^{+/+}$  and p63 $^{+/-}$  females and transplant their equal amounts into immunodeficient recipients via mammary fat pad transplantation<sup>20</sup>. As cancer stem cells, HER2-expressing PIMECs give rise to mammary tumors in this assay<sup>32</sup>. To this end, we generated p63 $^{+/-}$ ;WAP-Cre; Rosa-LSL-YFP;ErbB2 and control p63 $^{+/+}$ ;WAP-Cre; Rosa-LSL-YFP;ErbB2 cohorts (hereafter “p63 $^{+/-}$ ” and “p63 $^{+/+}$ ”, respectively), which are similar to our previously described model<sup>15</sup>, except for in vivo YFP lineage tracing instead of in vitro detectable LacZ<sup>17,19,21</sup>. In these mice, the Cre recombinase driven by the WAP (whey acidic protein) promoter is activated in the second half of pregnancy and efficiently removes the LoxP-Stop-LoxP (LSL) cassette upstream of YFP, thus permanently labeling

PIMECs and their stable post-lactation progeny<sup>17–21,33</sup>. Freshly FACS-isolated p63<sup>+/+</sup> and p63<sup>+/-</sup> PIMECs (Fig. 1a) were injected at equal amounts (about 5000 cells per site) into mammary glands #4 and #9 of immunodeficient female recipients. To our surprise—and contrary to our expectations—p63<sup>+/-</sup> allografts appeared much earlier than p63<sup>+/+</sup> allografts in all three independent experiments. Thus, tumor-free survival was 1.4 times shorter for p63<sup>+/-</sup> PIMECs, median 8.25 weeks vs. 11.5 weeks in controls (Fig. 1b), and the growth rate was significantly faster for p63<sup>+/-</sup> allografts (Fig. 1c–f). Of note, YFP-negative (non-PIMEC) MECs did not produce tumors, confirming that PIMECs are true tumor-initiating cells (Fig. 1e, right).

#### Increased self-renewal and proliferation capacity of p63<sup>+/-</sup> PIMECs

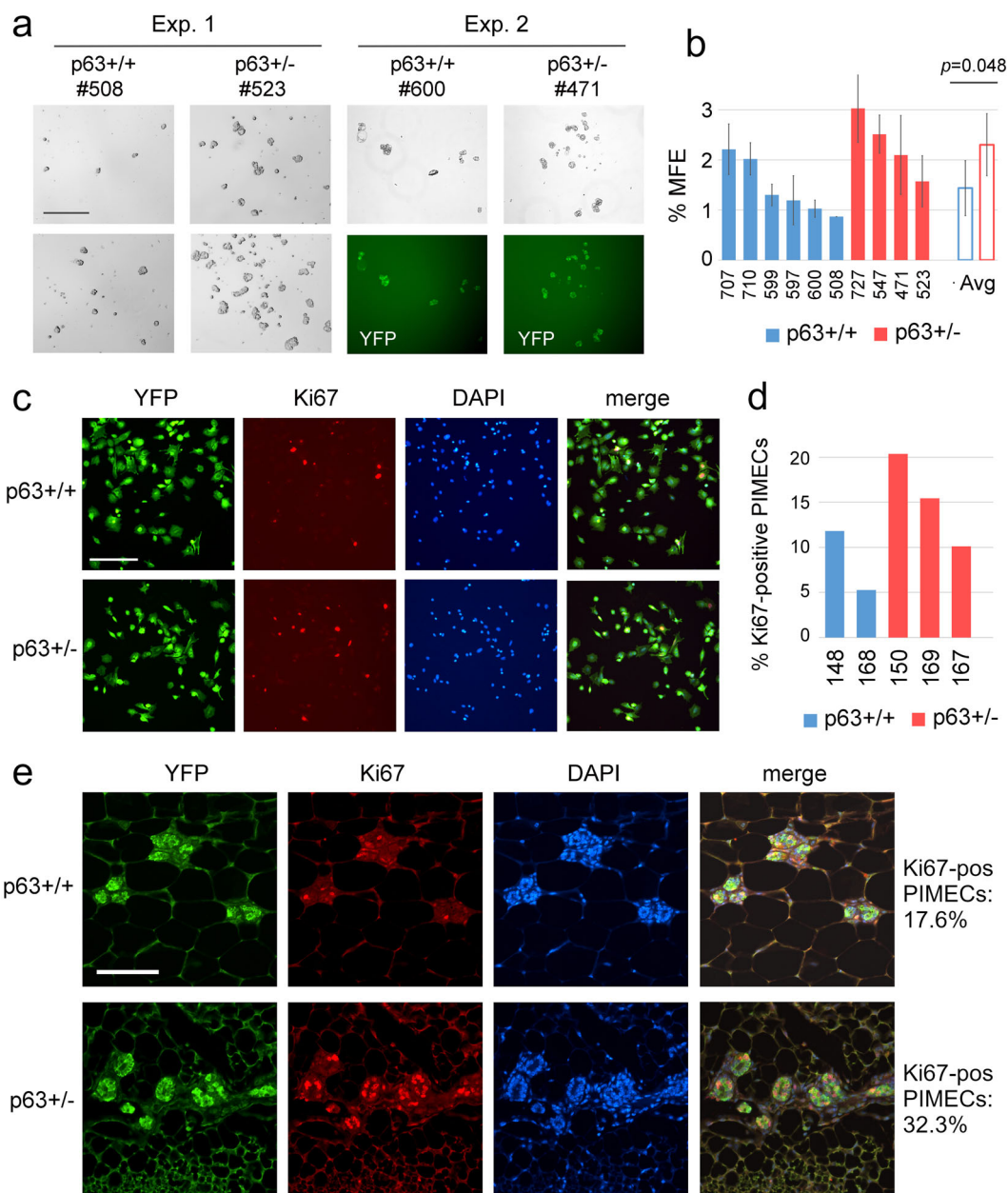
Cancer stem cells are characterized by the ability to give rise to the bulk of the tumor and—similarly to normal stem/progenitor cells—to self-renew and give rise to floating spheres in suspension conditions<sup>20,26</sup>. We hypothesized that the more aggressive nature of p63<sup>+/-</sup> PIMECs in transplantation assays may be due to their increased self-renewal capacity. To test this, we used the 3D floating mammosphere forming assay, which is widely used to test stem cell activity in tissues, tumors, and cell lines<sup>34</sup>. Spheroids originate from rare cells with stem cell features able to grow in suspension and behaving as tumorigenic in mice<sup>34</sup>. To this end, we plated freshly isolated single-cell suspensions of p63<sup>+/+</sup> and p63<sup>+/-</sup> PIMECs onto ultra-low-adherent 12-well plates (6500–17,500 cells per well, see Supplementary Table 1). This induced formation of mammospheres within 7–12 days, as previously reported<sup>21</sup>. As expected, the mammosphere formation efficiency (MFE) was significantly higher for p63<sup>+/-</sup> than p63<sup>+/+</sup> PIMECs:  $2.30 \pm 0.62$  vs.  $1.44 \pm 0.55$ , respectively (Fig. 2a, b). The average mammosphere size was not different between the genotypes (data not shown).

As another possible mechanism of the increased tumorigenic capacity of p63<sup>+/-</sup> PIMECs, we then assessed their proliferation potential in vitro and in vivo. To this end, we plated freshly isolated p63<sup>+/+</sup> and p63<sup>+/-</sup> PIMECs onto adherent 24-well plates and, 6–12 days later, stained them for the cell proliferation marker Ki67, frequently used in the clinic to assess tumor aggressiveness. As expected, p63<sup>+/-</sup> PIMECs had on average 1.8-folds higher proliferation index than p63<sup>+/+</sup> PIMECs (Fig. 2c, d). We also attempted to analyze Ki67 in the PIMECs of unperturbed mammary glands, i.e., in vivo, but found it problematic, likely due to high-fat content, as previously reported<sup>35</sup>. Nevertheless, a single p63<sup>+/+</sup> and p63<sup>+/-</sup> sister pair again revealed a 1.8-fold higher PIMECs proliferation rate in the p63<sup>+/-</sup> compared to

p63<sup>+/+</sup> gland (Fig. 2e). In sum, these data uncovered a novel tumor suppressor—rather than oncogenic—role of p63 in PIMECs, which is associated with altered self-renewal and proliferation ability.

#### Downregulation of TAp63 and upregulation of $\Delta$ Np63 in p63<sup>+/-</sup> luminal cells and PIMECs

The uncovered role of p63 as a tumor suppressor in isolated PIMECs is in sharp contrast with our previous report that implicated p63 as an oncogene in ErbB2-overexpressing mammary glands<sup>15</sup>. The simplest explanation to unify these observations is that different assays reveal the roles of different p63 isoforms known to play opposite roles in cancer. Two major p63 isoforms are the full-length TAp63, a bona fide tumor suppressor similar to p53, and the N-terminally truncated  $\Delta$ Np63, a bona fide oncogene<sup>36–38</sup>. We speculate that in intact p63<sup>+/-</sup> mice,  $\Delta$ Np63—expressed exclusively in the basal cells<sup>39–41</sup>—maintains the number of post-pregnancy PIMECs, which are luminal cells<sup>16,17</sup>, in a non-cell-autonomous manner and thus, promotes HER2+ BC<sup>15</sup> (see “Discussion”). On the other hand, TAp63—expressed exclusively in the luminal cells<sup>39–41</sup> and therefore in PIMECs—cell-autonomously represses their tumorigenic properties, thus suppressing HER2+ BC (this study). To directly test this idea, first, we assessed the levels of *TAp63* and  *$\Delta$ Np63* mRNAs by qRT-PCR in the luminal (CD24<sup>high</sup>) mammary cells in lieu of PIMECs, since very low PIMECs yields precluded their direct assessment by qPCR even upon combining mammary glands from several females (data not shown). We found that indeed, *TAp63* levels were somewhat reduced in p63<sup>+/-</sup> luminal cells (Fig. 3a, left). Surprisingly, we also found upregulation of  *$\Delta$ Np63* in the luminal cells that normally is not expressed there (Fig. 3a, right). In order to gain deeper insight into the molecular underpinnings of p63<sup>+/-</sup> mammary gland, we then performed single-cell RNA sequencing (scRNA-seq) analysis on pooled CD24<sup>pos</sup> (i.e., epithelial) mammary cells from p63<sup>+/+</sup> ( $n=4$ ) and p63<sup>+/-</sup> ( $n=3$ ) mammary glands (Figs. 3b–e, 4, 5). Focusing on the PIMECs-enriched luminal cluster (Fig. 3b, orange), identified by the expression of *Krt8*, *Krt18*, *Epcam*, *Gata3* etc. (Fig. 3c, Supplementary Table 2), we assessed the expression of known TAp63 and  $\Delta$ Np63 target genes. We found that among TAp63 targets, *Casp1* was significantly down-regulated, while *Puma*, *Noxa*, *p21*, *Mdm2*, *Dicer* etc.<sup>37,42–45</sup> were not changed (Fig. 3d, e and data not shown). On the other hand, all significantly changed  $\Delta$ Np63 targets were upregulated in p63<sup>+/-</sup> luminal cells (Fig. 3d, e). Subsequently, using more advanced software Seurat, we also found a significant downregulation of *Casp1* in p63<sup>+/-</sup> PIMECs per se (Fig. 5e, left). On a side note, our conventional scRNA-seq (from the 3' end) did not directly detect *TAp63* and  *$\Delta$ Np63* isoforms, likely because they are

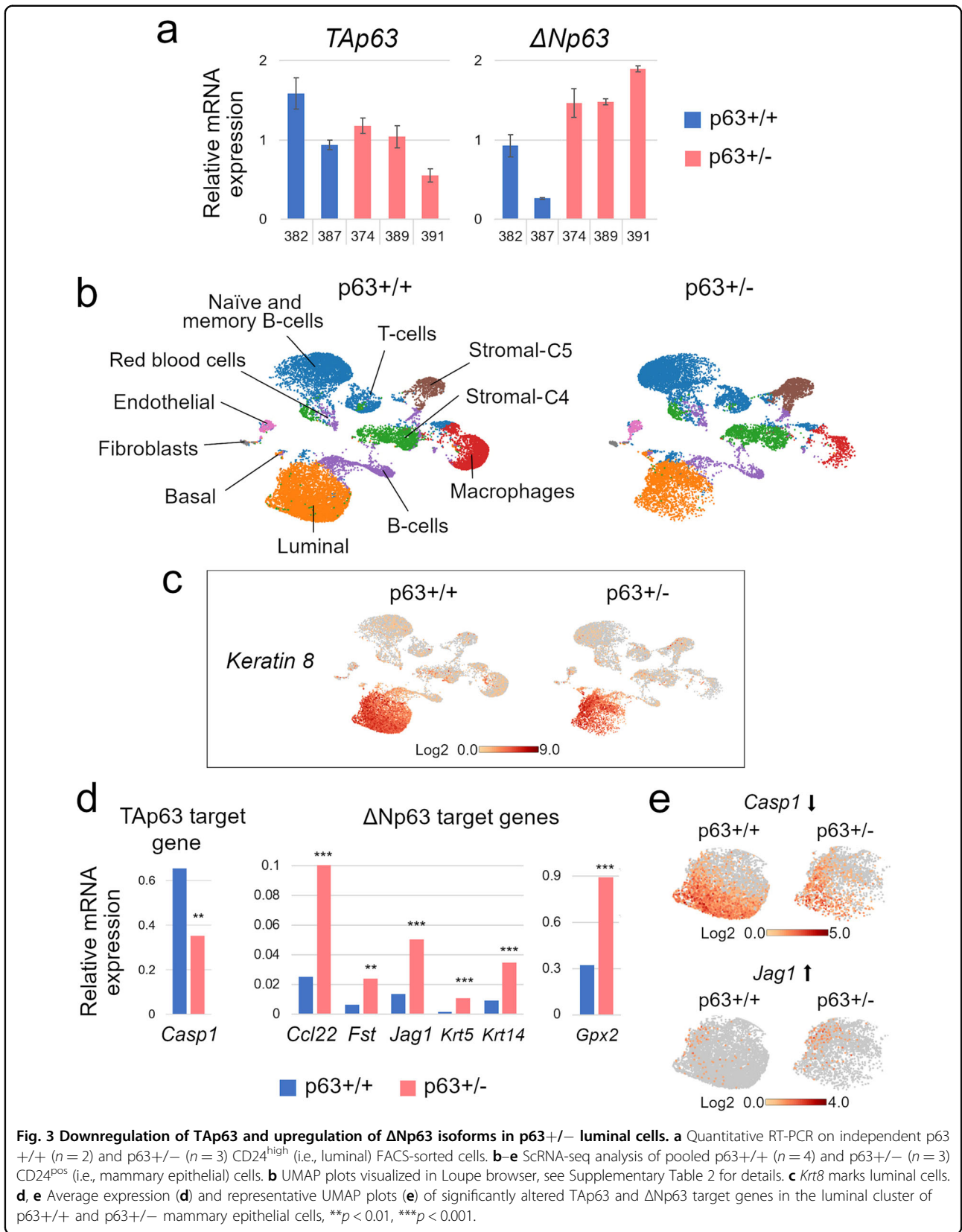


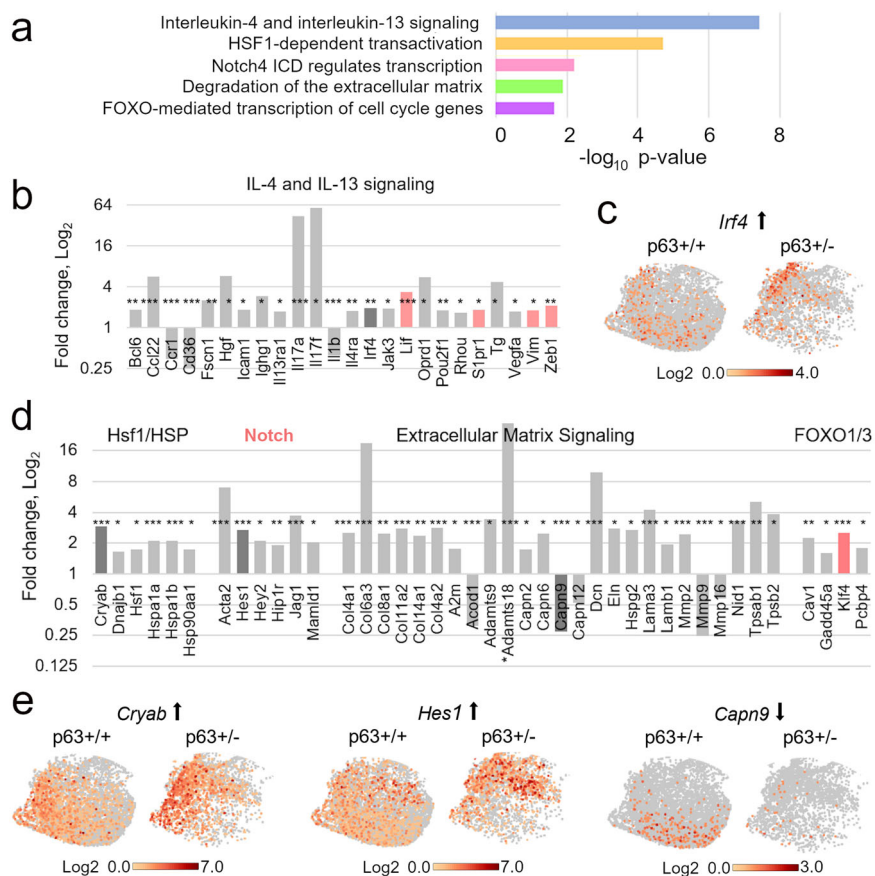
**Fig. 2 Increased self-renewal and proliferation of p63+/- PIMECs.** **a, b** Floating mammosphere assay. **a** Two representative experiments out of three in total. ~15,000 PIMECs (Exp. 1, two different fields are shown) and ~10,000 PIMECs (Exp. 2) were seeded in 12-well plates and analyzed 12 days later. YFP, PIMECs auto-fluorescence. Scale bar, 250  $\mu$ m. **b** Summary of three independent experiments, open bars are mean  $\pm$  SD of all independent p63+/+ ( $n = 6$ ) and p63+/- ( $n = 4$ ) PIMEC isolates, respectively. MFE, mammosphere formation efficiency. See Supplementary Table 1 for more details. **c, d** PIMECs proliferation in vitro. Freshly FACS-sorted p63+/+ ( $n = 2$ ) and p63+/- ( $n = 3$ ) PIMECs were plated onto adherent 24-well plates, followed by immunofluorescent staining for YFP (i.e., PIMECs) and Ki67. **c** Representative images; scale bar, 100  $\mu$ m. **d** Quantification. **e** PIMECs proliferation in vivo. Mammary glands from a single p63+/+ and p63+/- sister pair were dissected at 6 weeks post-lactation (the complete involution stage) and stained for YFP and Ki67. Representative images; scale bar, 100  $\mu$ m.

N-terminal. Altogether, these data are consistent with the idea that the increased tumorigenic capacity of p63+/- PIMECs is due to reduced TAp63 and possibly, induction of oncogenic  $\Delta$ Np63 in the luminal cells.

#### Upregulation of oncogenic and self-renewal pathways in p63+/- luminal cells and PIMECs

To further analyze p63+/- PIMECs at the molecular level, we then compared gene expression in p63+/- vs.





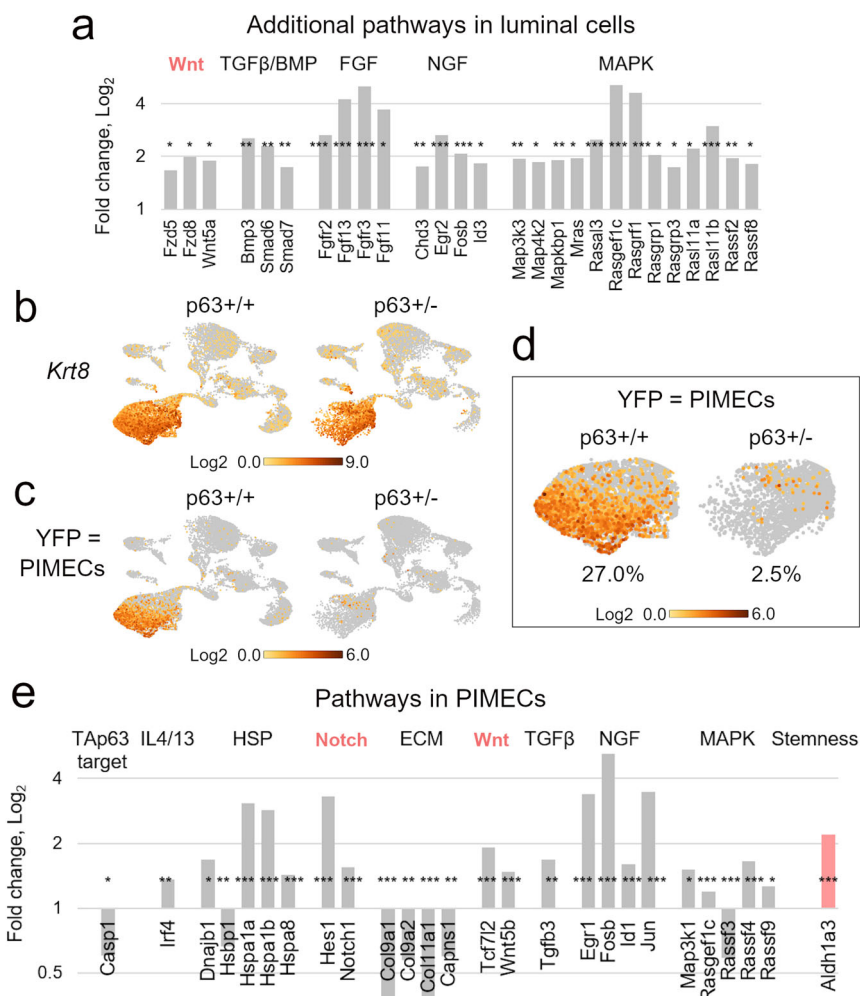
**Fig. 4** Pathways significantly altered in p63+/- vs. p63+/+ luminal mammary epithelial cells. **a** Representative pathways from the scRNA-seq analysis of the luminal p63+/- vs. p63+/+ clusters (defined as in Fig. 3b, c) revealed by the Reactome pathway analysis. See Supplementary Fig. 1 for the full list of enriched pathways. **b–e** Significantly altered genes in the individual pathways (**b, d**) and UMAP plots of selected genes (**c, e**). Dark gray bars, genes whose UMAP plots are shown; pink, stemness and self-renewal pathways and genes. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ .

p63+/+ luminal cells (in lieu of PIMECs) by the Reactome pathway enrichment analysis ([www.reactome.org](http://www.reactome.org)). We found that among significantly altered pathways were cancer-associated Il-4/Il-13<sup>46</sup> and the Hsf1/HSP (heat shock proteins)<sup>47</sup> pathways, the Notch pathway known to be induced by  $\Delta$ Np63 and promote stemness<sup>48,49</sup>, the extracellular matrix (ECM) components known to promote cancer aggressiveness when overexpressed both, outside and inside of cancer cells<sup>50,51</sup>, and the FOXO pathway that can be both pro- and anti-oncogenic<sup>52</sup> (Fig. 4, Supplementary Fig. 1). Interestingly, while most of the significantly altered genes were upregulated, some of the downregulated ones also signified an increased oncogenic capacity, e.g., *Capn9* (Fig. 4d, e), whose low level is a negative prognostic marker in breast cancer<sup>53</sup>. Furthermore, additional analysis of oncogenic signaling pathways revealed enrichment in Wnt (that mediates stemness downstream of  $\Delta$ Np63), TGF $\beta$ , FGF, NGF, and MAPK pathways<sup>28,51,54</sup> (Fig. 5a). Of note, several upregulated genes and pathways are associated with stemness and

self-renewal, e.g., *Lif*, *Vim*, *Zeb1*, *Klf4*, the Notch and Wnt pathway (Figs. 4b, d, 5a, pink), thus explaining enhanced self-renewal of p63+/- PIMECs.

Using more advanced scRNA-seq analysis software, Seurat, we then zoomed in on the PIMEC cells (Fig. 5b–e). We found that the number of PIMECs was greatly reduced in p63+/-;ErbB2 compared to p63+/+; ErbB2 luminal cells (more than 10 folds, Fig. 5b–d), even more dramatically than we previously reported for non-ErbB2 glands (by 40%)<sup>15</sup>. Moreover, similarly to the overall luminal cells, p63+/- PIMECs were enriched in the cancer-associated and oncogenic Il-4/Il-13, HSP, TGF $\beta$ , FGF, NGF, and MAPK pathways<sup>46,47,51,54</sup>, the self-renewal Notch and Wnt pathways<sup>28,48,49</sup>, overexpressed a stemness marker *Aldh1a3*, and had significantly decreased TAp63 target gene, *Casp1* (Fig. 5e). The smaller number of significantly altered genes in each pathway is likely due to the smaller number of the PIMEC cells compared to the total luminal population, so that many genes did not reach statistical significance. Altogether, the





**Fig. 5** Additional pathways in the luminal cluster and analysis of p63<sup>+/-</sup> vs. p63<sup>+/+</sup> PIMECs. **a** Additional pathways significantly altered in the p63<sup>+/-</sup> vs. p63<sup>+/+</sup> luminal cluster (defined as in Fig. 3b, c) that were not revealed by Reactome. **b–e** Analysis of PIMECs, identified by *YFP/Krt8* double expression. **b, c** UMAP plots of re-aggregated clusters upon addition of the exogenous *YFP* gene, Loupe browser. Note slightly shifted cell positions compared to Fig. 3b, c, due to *YFP* addition and re-aggregation. **b** Luminal marker *Krt8* identifies the luminal cluster. **c** PIMECs are almost exclusively luminal cells, as expected. **d** A close-up of the luminal clusters. Note significant, >10-fold, decrease in the PIMECs number in p63<sup>+/-</sup> vs. p63<sup>+/+</sup> MECs (**d**). **e** Pathways significantly altered in PIMECs closely resemble those altered in the luminal cells as a whole (**a**, also see Fig. 4). Pink, stemness and self-renewal pathways and genes. \**p* < 0.05, \*\**p* < 0.01, \*\*\**p* < 0.001.

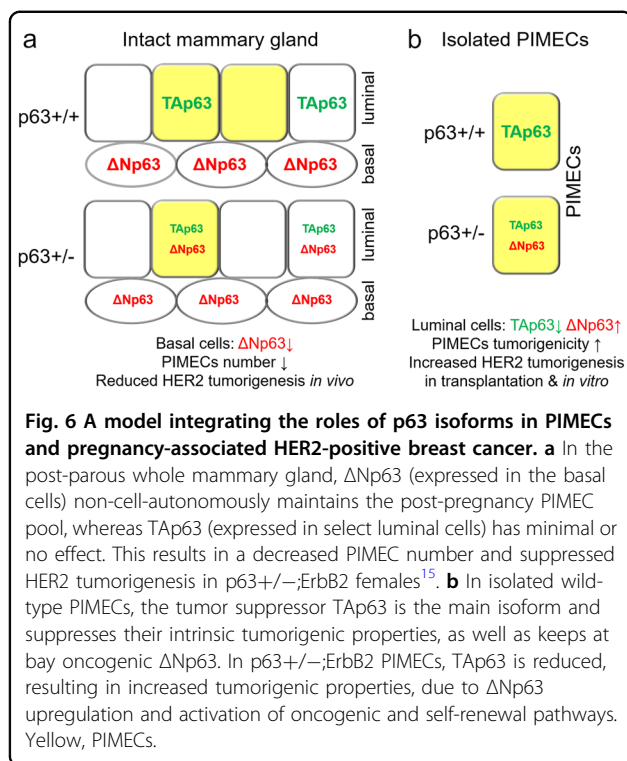
scRNA-seq analysis revealed broad pro-oncogenic changes in p63<sup>+/-</sup> luminal cells in general and PIMECs per se, thus providing a mechanistic explanation for their increased tumorigenic capacity.

## Discussion

Here we uncovered a previously unknown role of p63 (specifically, TAp63) as a cell-autonomous tumor suppressor in PIMECs that curbs their intrinsic tumorigenic properties in parous ErbB2 females. Together with our previous report of an oncogenic role of p63 towards the PIMECs content<sup>15</sup> (likely due to oncogenic ΔNp63, which was not directly tested), this paints a more complete

picture of a complex role of p63 in pregnancy-associated HER2+ BC (Fig. 6).

ΔNp63 is the major p63 isoform in stratified epithelia, since ΔNp63 knockout mice greatly phenocopy global p63 knockout, i.e., lack skin, mammary gland, prostate etc.<sup>55</sup>. ΔNp63 is also the predominant isoform in the mammary gland, where it is highly and exclusively expressed in the basal cells<sup>39–41</sup>. Clinically, ΔNp63 is frequently overexpressed in the bladder and squamous cell carcinomas<sup>56–59</sup>, where it acts as an oncogene by promoting tumor initiation, maintenance, and chemoresistance<sup>60–63</sup>. In breast cancer, p63/ΔNp63—along with CK5/14—is a histopathologic marker of the aggressive basal-like breast



cancer<sup>64,65</sup>, and was proposed to contribute to poor outcomes in HER2+ BC as well. Indeed, patients with “HER2/basal” breast cancer have a significantly younger age of onset, shorter 5-year disease-free and overall survival, and increased metastasis<sup>66–69</sup>. “HER2/basal” tumors often are high-grade invasive ductal carcinomas<sup>67</sup> or aggressive comedo-DCIS (ductal carcinoma in situ)<sup>70</sup>, and are innately resistant to Trastuzumab<sup>67,69</sup>. In addition, in the “HER2/basal” breast cancer patients with brain metastases, those metastases show even higher expression of both, HER2 and basal markers<sup>71</sup>. Mechanistically,  $\Delta Np63$  promotes carcinogenesis via dominant-negative effects against full-length family members (p53, TAp63, TAp73) and via independent transcriptional, anti-apoptotic, and anti-senescence mechanisms<sup>25,36,37,60–62,72</sup>. We previously proposed that  $\Delta Np63$  affects HER2+ BC in a non-cell-autonomous manner<sup>15</sup> (Fig. 6a), especially since HER2+ BC is of luminal origin and  $\Delta Np63$  expression is normally basal<sup>39–41</sup>. In agreement, p63 depletion in the basal cells abolishes luminal milk-producing cells<sup>41</sup>, suggesting that basal  $\Delta Np63$  affects luminal PIMECs<sup>16,17</sup> as the principal lobulo-alveolar progenitors<sup>17,19</sup> (which was not directly tested). Here we show that  $\Delta Np63$  can also affect HER2+ BC cell-autonomously, by its aberrant upregulation in the luminal cells. This is consistent with the aforementioned “HER2/basal” BC phenotypes.

In contrast to  $\Delta Np63$ , TAp63 is expressed in the mammary gland much weaker and exclusively in the luminal cells<sup>39–41</sup>. In breast and other cancers, TAp63 is a

tumor suppressor, similarly to p53, and its expression correlates with patients’ positive outcomes and improved survival<sup>44,59,65</sup>. Mechanistically, TAp63 induces senescence or apoptosis of damaged cells, enhances chemosensitivity, and suppresses metastasis<sup>37,43–45,73–75</sup>. Loss of TAp63 in mouse models enhances tumorigenesis, via both p53-dependent and -independent mechanisms<sup>73–75</sup>. Moreover, loss of TAp63 drives aggressive metastatic mammary adenocarcinomas in mice, via upregulated Hippo pathway and accumulation of tumor-initiating and stem-like cells<sup>43,76</sup>. Consistently, we found that p63+/- PIMECs, that have decreased TAp63 and increased  $\Delta Np63$  function, show increased tumorigenic, self-renewal, and proliferative capacities (Fig. 6b) and over-express numerous oncogenic signaling pathways (but not the Hippo pathway, data not shown).

Besides the N-terminal p63 isoforms, several alternatively spliced C-terminal isoforms ( $\alpha$ ,  $\beta$ ,  $\gamma$  etc.) are known and affect p63’s activity<sup>77</sup>. However, we could not detect them by scRNA-seq, likely due to low expression and/or shared 3’ UTRs (data not shown). Moreover, it is unclear at present which C-terminal p63 isoforms are the most expressed and active in different MEC populations. Mechanistically, we found downregulation of TAp63 in the luminal cells (Fig. 3a) and downregulation of its target gene *Casp1* in the luminal cells and PIMECs (Figs. 3d, e, and 5e, left), but no change in other known TAp63 targets. This is likely because different TAp63 target genes mediate its effects in different settings. Thus, TAp63 activates *Puma* and *Noxa* in the oocytes<sup>78</sup>. *p21*, usually activated upon DNA damage (which is not expected in the normal mammary gland), is mostly activated by TAp63 $\beta$ <sup>79</sup>, which is weakly expressed in the mammary gland<sup>15</sup>. And it is unclear whether *Mdm2* is activated by TAp63,  $\Delta Np63$  or both<sup>80</sup>. Nevertheless, our data reveal a novel role of p63, likely TAp63, as an essential tumor suppressor in pregnancy-associated HER2+ BC and its tumor-initiating cells PIMECs.

#### Acknowledgements

We are thankful to Yutong Zhang, Christian Marier, and the NYU Genome Technology Center for help with the scRNA-seq experiment and to the Stony Brook Cancer Center Biostatistics and Bioinformatics shared resource facility for assistance with bioinformatic analysis.

#### Author contributions

C.E.E. contributed to the study design, performed data acquisition, analysis and interpretation, critically read the manuscript, and approved its final version. J.L. contributed to the study design, performed data analysis, critically read the manuscript, and approved its final version. E.M.A. designed the study, performed data acquisition, analysis, and interpretation, wrote the manuscript, and approved its final version.

#### Funding

This work was supported by NIH/NCI grant K22CA190653 and Stony Brook Cancer Center startup to E.M.A.

**Ethics statement**

No human tissues or samples were used. All mouse work was approved by the Stony Brook University Institutional Animal Care and Use Committee (IACUC), protocol 924666.

**Conflict of interest**

The authors declare no competing interests.

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Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

**Supplementary information** The online version contains supplementary material available at <https://doi.org/10.1038/s41419-021-03795-5>.

Received: 19 March 2020 Revised: 22 April 2021 Accepted: 26 April 2021

Published online: 22 May 2021

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