ARTICLE





Next-generation hypomethylating agent SGI-110 primes acute myeloid leukemia cells to IAP antagonist by activating extrinsic and intrinsic apoptosis pathways

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Abstract

Therapeutic efficacy of first-generation hypomethylating agents (HMAs) is limited in elderly acute myeloid leukemia (AML) patients. Therefore, combination strategies with targeted therapies are urgently needed. Here, we discover that priming with SGI-110 (guadecitabine), a next-generation HMA, sensitizes AML cells to ASTX660, a novel antagonist of cellular inhibitor of apoptosis protein 1 and 2 (cIAP1/2) and X-linked IAP (XIAP). Importantly, SGI-110 and ASTX660 synergistically induced cell death in a panel of AML cell lines as well as in primary AML samples while largely sparing normal CD34+ human progenitor cells, underlining the translational relevance of this combination. Unbiased transcriptome analysis revealed that SGI-110 alone or in combination with ASTX660 upregulated the expression of key regulators of both extrinsic and intrinsic apoptosis signaling pathways such as TNFRSF10B (DR5), FAS, and BAX. Individual knockdown of the death receptors TNFR1, DR5, and FAS significantly reduced SGI-110/ASTX660-mediated cell death, whereas blocking antibodies for tumor necrosis factor (TNF)-related apoptosis-inducing ligand (TRAIL) or FAS ligand (FASLG) failed to provide protection. Also, TNFα-blocking antibody Enbrel had little protective effect on SGI-110/ASTX660-induced cell death. Further, SGI-110 and ASTX660 acted in concert to promote cleavage of caspase-8 and BID, thereby providing a link between extrinsic and intrinsic apoptotic pathways. Consistently, sequential treatment with SGI-110 and ASTX660-triggered loss of mitochondrial membrane potential (MMP) and BAX activation which contributes to cell death, as BAX silencing significantly protected from SGI-110/ASTX660-mediated apoptosis. Together, these events culminated in the activation of caspases-3/-7, nuclear fragmentation, and cell death. In conclusion, SGI-110 and ASTX660 cooperatively induced apoptosis in AML cells by engaging extrinsic and intrinsic apoptosis pathways, highlighting the therapeutic potential of this combination for AML.

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Introduction

Acute myeloid leukemia (AML) is a heterogeneous disease characterized by clonal proliferation of poorly differentiated myeloid cells and occurs primarily in older adults

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(age \geq 60 years) [1]. Despite improvements in outcomes for younger AML patients in recent decades, the prognosis for older patients, who are ineligible for intensive treatment, remains dismal [2], highlighting the urgent need for better therapeutic options.

Dysfunction of epigenetic modifiers, such as DNA methyltransferases (DNMTs), contributes to AML pathogenesis through aberrant epigenetic silencing of tumor suppressor genes (TSGs) involved in differentiation and apoptosis [3]. DNMT3A mutations are found in ~22% of AML patients, and around 60% of these mutations affect the R882 codon, which is highly associated with poor prognosis [3, 4]. Firstgeneration hypomethylating agents (HMAs), such as azacytidine and decitabine, have been approved for the treatment of older AML patients [5]. SGI-110 (guadecitabine), a dinucleotide of decitabine and deoxyguanosine, is a nextgeneration HMA that is resistant to degradation by cytidine deaminase and provides a prolonged in vivo exposure compared with decitabine [6]. Recently, safety and clinical activity of SGI-110 in both elderly treatment-naive and relapsed/refractory AML patients have been shown in phase II trials [7, 8]. The proposed central mechanism of action of HMAs is the depletion of DNMTs, thus inducing hypomethylation of global DNA and CpG-island promoters, which might lead to gene expression of silenced TSGs [6, 9], and may sensitize tumor cells to other anticancer agents, including chemotherapeutics [10, 11], immunotherapeutics [12], or apoptosis-inducing agents [13].

Apoptosis plays an important role in the hematopoietic system. There are two well-defined pathways of apoptosis: the extrinsic and the intrinsic pathway [14]. The extrinsic (death receptor-mediated) pathway is activated upon interaction of death receptor ligands, such as tumor necrosis factor (TNF), TNF-related apoptosis-inducing ligand (TRAIL), and FAS ligand (FASLG) with their cognate death receptors TNF receptor 1 (TNFR1), TRAIL-R1 (DR4), TRAIL-R2 (DR5), and FAS, resulting in the activation of caspase-8, which can cleave downstream effector caspases [15]. The intrinsic (mitochondrial-mediated) pathway involves loss of mitochondrial membrane potential (MMP) due to mitochondrial outer membrane permeabilization (MOMP) that is controlled by proapoptotic (e.g., BAX, BAK, and BID) and antiapoptotic proteins of the BCL-2 family. This leads to caspase activation, nuclear fragmentation, and apoptotic cell death [14]. A crosslink between extrinsic and intrinsic pathways is provided by caspase-8-mediated cleavage of BID into its active form (tBID) [16].

Evasion of apoptosis is a major cause of treatment resistance and is often caused by overexpression of antiapoptotic proteins such as inhibitor of apoptosis proteins (IAPs) [17]. Overexpression of XIAP has been associated with poor outcome in AML [18] and high cIAP1 expression has been reported in pediatric AML [19]. XIAP exerts its antiapoptotic activity by inhibiting caspases [20], while cIAP1/2 can regulate proapoptotic signaling complexes [17]. Therefore, IAPs are considered attractive targets for anticancer therapy. Various IAP antagonists have been developed which trigger autoubiquitination and proteasomal degradation of IAPs [21]. ASTX660, a novel orally bioavailable, nonpeptidomimetic antagonist of cIAP1/2 and XIAP [22], is currently under evaluation in a phase I/II clinical trial for advanced solid tumors and lymphomas (NCT: 02503423). However, several IAP antagonists have so far shown only weak single-agent efficacy in clinical trials [22].

Since the therapeutic efficacy of HMAs is limited in AML patients [13], there is a high medical need to identify novel combinations. Therefore, in the present study we investigated whether priming with next-generation HMA SGI-110 increases the sensitivity of AML cells towards cell death triggered by the novel IAP antagonist ASTX660.

Methods and materials

AML cell lines

AML cell lines were obtained from German Collection of Microorganisms and Cell cultures (DSMZ, Braunschweig, Germany), except ML-2 and PLB-985 cells that were kindly provided by T. Oellerich, Department of Medicine II, University Hospital Frankfurt, Germany. All cell lines were authenticated by STR profiling and routinely checked for mycoplasma contamination. All AML cell lines except OCI-AML-3 were cultured in RPMI 1640 medium (Life Technologies, Inc., Darmstadt, Germany) supplemented with 10% fetal calf serum (FCS), 1% penicillin/streptomycin, and 1% sodium pyruvate (Invitrogen, Karlsruhe, Germany). OCI-AML3 cells were cultured in alpha-MEM medium supplemented with 20% FCS, 1% penicillin/streptomycin, and 1% sodium pyruvate.

Primary samples

Bone marrow specimens from AML patients were obtained at diagnosis before the onset of therapy after obtaining written informed consent from patients according to the declaration of Helsinki and after approval by the local ethics committee of the University Hospital Frankfurt (Approval No. SHO-05-2014). Mononuclear cell (MNC) fractions were obtained by density gradient centrifugation using Ficoll Isopaque (Amersham Bioscience, Freiburg, Germany) and maintained as previously described [23]. The clinical characteristics of the AML patients are summarized in Supplementary Table S1. Primary samples with spontaneous cell death $\geq 40\%$ at the time point of measurement were excluded from the analysis. MNCs and clinical data were obtained from the hematological biobank and the tumor documentation of the UCT Frankfurt, Germany.

Human G-CSF-mobilized CD34+ hematopoietic progenitor cells (HPCs) from healthy donors were kindly provided by H. Bönig, Institute for Transfusion Medicine and Immunohematology, Frankfurt, Germany. After thawing, HPCs were cultured in IMDM enriched with 20% FCS, 1% penicillin/streptomycin, 50 ng/ml rh-SCF, 50 ng/ml IL-3, 100 ng/ml FLT3-Ligand (AF-300-19, PeproTech), and 20 ng/ml GM-CSF.

Determination of cell death and apoptosis

For measurements of cell death and apoptosis, cells were cultured at 1×10^5 cells/ml (AML cell lines) or 1×10^6 cells/ml (primary AML, CD34+ HPCs). Cell death of suspension cells was assessed by forward/side scatter (FSC/SSC) and flow cytometry (FACS Canto II, BD Biosciences, Heidelberg, Germany). Apoptosis was determined by FACS analysis following staining of the cells with Annexin V-FITC and propidium iodide (PI). Early-apoptotic (Annexin V+/PI-) and late-apoptotic cells (Annexin V+/PI+) were summarized as Annexin V+ cells. Primary AML cells were stained with Annexin V-FITC and 0.5 µl anti-CD45-APC antibody (17-0459-42, eBioscience, San Diego, CA, USA). Flow cytometry was used to determine cell death of primary AML blasts identified by using a CD45/SSC gating strategy as described previously [24]. Flow cytometric analysis using a PI-containing Nicoletti buffer was performed to determine the proportion of apoptotic sub-G1 hypodiploid cells with internucleosomal DNA fragmentation as previously described [25].

DNA isolation and global methylation assay

DNA was isolated using the PureLink Genomic DNA Mini Kit (Invitrogen, Carlsbad, CA, USA). Global DNA Methylation-long interspersed nuclear element-1 (LINE-1) kit (Active Motif, Carlsbad, CA, USA) was employed in accordance with the manufacturer's instructions.

Human genome microarray profiling

RNA was isolated using the PeqGold Total RNA Kit and the PeqGOLD DNAase digest kit (Peqlab, Erlangen, Germany) following the manufacturer's protocol. Human genome microarray profiling was performed at the DKFZ Genomics and Proteomics Core Facility (Heidelberg, Germany) using the Affymetrix Human Genome U133 Plus 2.0 Array.

Transcriptome analysis

quantile, robust multichip analysis (RMA) background normalization and log2 transformation. After preprocessing and filtering, 20352 genes were further analyzed. Differentially expressed genes (DEGs) were identified with the R/Bioconductor package limma [29]. For the comparisons of SGI-110/ASTX660-Ctrl, SGI-110-Ctrl, SGI-110-ASTX660 and SGI-110/ASTX660-ASTX660 the leastsquares method and for the comparisons of ASTX-Ctrl and SGI-110/ASTX660-SGI-110 the robust method for linear model fitting were used. Genes were considered significant with an adjusted p value < 0.05 (Benjamini–Hochberg). Microarray data were deposited in the publicly accessible database Gene Expression Omnibus under accession number GSE138322.

Gene-set enrichment analysis (GSEA)

Enrichment of signaling pathways was performed as implemented in the R/Bioconductor package [30] with Gene ontology (GO) terms [31, 32], Reactome pathways [33] and ConsensusPathDB pathways [34]. Pathways are considered significant with an adjusted p value < 0.05 (Benjamini–Hochberg).

Cell surface expression of death receptors

Flow cytometric analysis of cell surface expression of TNFR1, DR4, DR5, and FAS was performed using following phycoerythrin (PE)-conjugated antibodies: anti-TNFR1 (130-106-286, Miltenyi Biotech, Bergisch Gladbach, Germany), anti-DR4 (FAB347P), anti-DR5 (FAB6311P, R & D Systems, Wiesbaden, Germany) and anti-FAS (556641, BD BioSciences, San Diego, CA, USA) and their respective isotype controls (IgG1 (IC002P), IgG2b (IC0041P, R & D systems, Wiesbaden, Germany)), REA control (130-113-462, Miltenyi Biotech, Bergisch Gladbach, Germany). In brief, treated cells were harvested and washed twice with ice-cold staining buffer (2% FCS in PBS). Afterwards, cells were incubated with PE-conjugated antibodies to the death receptors or respective isotype controls for 30 min at 4 °C in the dark. Cells were then washed twice with staining buffer and resuspended in PBS for flow cytometric analysis. Data were analyzed using FlowJo software.

Immunoprecipitation

BAX activation was determined by immunoprecipitation using active conformation-specific antibodies. Briefly, cells were lysed in CHAPS buffer (1% CHAPS, 150 mM NaCl, 10 mM HEPES, pH 7.4) supplemented with protease inhibitor cocktail (1169749800, Roche, Mannheim, Germany). Briefly, 500 μ g protein was immunoprecipitated with 2 μ l mouse anti-BAX antibody (6A7, Sigma-Aldrich, Munich, Germany) and $10 \,\mu$ l pan-mouse IgG Dynabeads (Dako, Hamburg, Germany) overnight at 4 °C and washed with CHAPS buffer. The precipitate was analyzed for BAX expression by Western blotting, using the rabbit anti-BAX NT antibody (ABC11, Merck-Millipore, Burlington, MA, USA).

XIAP immunoprecipitation was performed as previously described [22] with the following exception: cell lysates were incubated with streptavidin-coated Dynabeads (60210, Thermo Fisher Scientific). The resulting precipitate was analyzed by Western blotting and the membranes probed with antibodies against second mitochondrial activator of caspases (SMAC) (#2954) and XIAP (#14334, Cell Signaling Technology) to detect interactions.

Determination of MMP

To measure MMP cells were incubated with 100 ng/ml tetramethylrhodamine methyl ester (TMRM Reagent; Thermo Fisher Scientific, Waltham, MA, USA) for 10 min at 37 °C, washed and directly analyzed by flow cytometry.

Western blot analysis

Cells were lysed using a Triton X-100 lysis buffer (30 mM TrisHCl, 150 mM NaCl, 10% Glycerol, 0.5 mM PMSF, 2 mM DTT, 1% Triton X-100, and 1× Protease Inhibitor Cocktail). Western blot analysis was carried out using the following primary antibodies: rabbit anti-BID (2002S, Cell Signaling), rabbit anti-caspase-8 (ab32125, Abcam), goat anti-cIAP1 (#AF8181, R & D systems), rabbit anti-BAX (ABC11, Merck-Millipore), rabbit anti-BAK (06-536, Upstate/Merck), mouse anti-XIAP (610716, BD Bioscience), rabbit anti-DNMT1 (39905, Active motif), mouse anti-DNMT3A (sc-365769, Santa Cruz Biotechnology), mouse anti-GAPDH (5G4-6C5, BioTrend (Hy Test Ltd)), mouse anti-β-ACTIN (A5441, Sigma), and rat anticIAP2 (ALX-803-341-C100, Enzo Life Sciences, Farmingdale, NY, USA). Goat anti-mouse IgG, goat anti-rabbit IgG (Abcam), goat anti-rat IgG, donkey anti-goat IgG conjugated to horseradish peroxidase (Santa Cruz Biotechnology, Santa Cruz, CA, USA), and enhanced chemiluminescence (Amersham Bioscience, Freiburg, Germany) or infrared dye-labeled secondary antibodies and infrared imaging (Odyssey Imaging System, LI-COR Bioscience, Bad Homburg, Germany) were used for detection. Representative blots of at least two independent experiments are shown.

RNA interference

Gene silencing with small interfering RNA (siRNA) was achieved using Silencer Select siRNA (Thermo Fisher

Scientific, Waltham, MA, USA) and Neon Transfection System (Invitrogen, Karlsruhe, Germany) following the manufacturer's protocol. The following constructs were used: nontargeting control siRNA (4390843) or targeting siRNAs for BAX (#1: s1888, #2: s1890), BAK (#1: s1880, #2: s1881), cIAP1 (#1: s1449, #2: s1450, #3: s1448), XIAP (#1: s1454, 2: s1555, #3: s1556), TNFR1 (#1: s14266, #2: s14267), DR5 (#1: s16756, #2: s16758), and FAS (#1: s1506, #2: s1507, #3: s1508). For knockdown of cIAP1 and XIAP, cells were transfected once with 200 nM siRNA and immediately treated with SGI-110. For all other knockdowns, cells were transfected twice with 100 nM siRNA and treated 24 h after the second transfection.

Analysis of caspase-3/-7 activity and of morphological changes of the nucleus

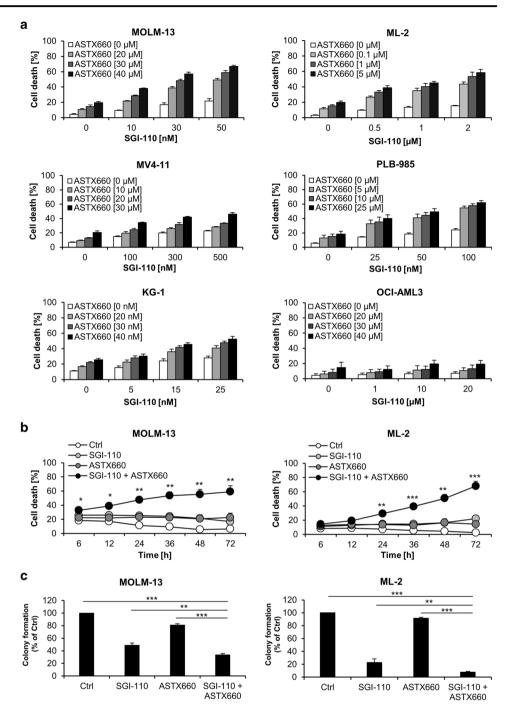
Caspase activity was determined using Cell Event Caspase-3/-7 Green Detection Reagent (Thermo Fisher Scientific) according to manufacturer's instructions. For analysis by ImageXpress Micro XLS system (Molecular Devices, Biberach an der Riss, Germany), cells were additionally counterstained with Hoechst-33342 (Invitrogen, Carlsbad, CA, USA). For evaluation of the nuclear morphology, cells were stained with Hoechst-33342 and examined using an inverted fluorescence microscope (ImageXpress Micro XLS system) followed by analysis with MetaXpress Software (Molecular Devices Sunnyvale, CA, USA) using the nuclear fragmentation scoring tool.

Statistical analysis

All results are expressed as mean and standard deviation (SD). The numbers of independent repetitions and replicates for each experiment are indicated in the respective figure legends. Experiments were considered as reliable if the SD did not exceed 10% within the replicates and repetitions. For each in vitro independent experiment using cancer cell lines, technical triplicates were used and three experiments were performed to ensure adequate statistical power. Statistical significance was verified by using t-test in Microsoft Excel (two-samples, two-tailed distribution, unequal variance), unless otherwise indicated. For drug combination dose-response studies in multiple AML cell lines, data were analyzed by one-way ANOVA followed by Tukey's multiple comparisons test, using GraphPad Prism as specified in the table legend. Drug interaction was analyzed using CalcuSyn software (Biosoft, Cambridge, UK) [35]. The obtained values were rated as follows: CI < 0.9 indicates synergism, 0.9-1.1 additivity and >1.1 antagonism.

Fig. 1 SGI-110 synergizes with ASTX660 to induce cell death and to suppress clonogenic growth of AML

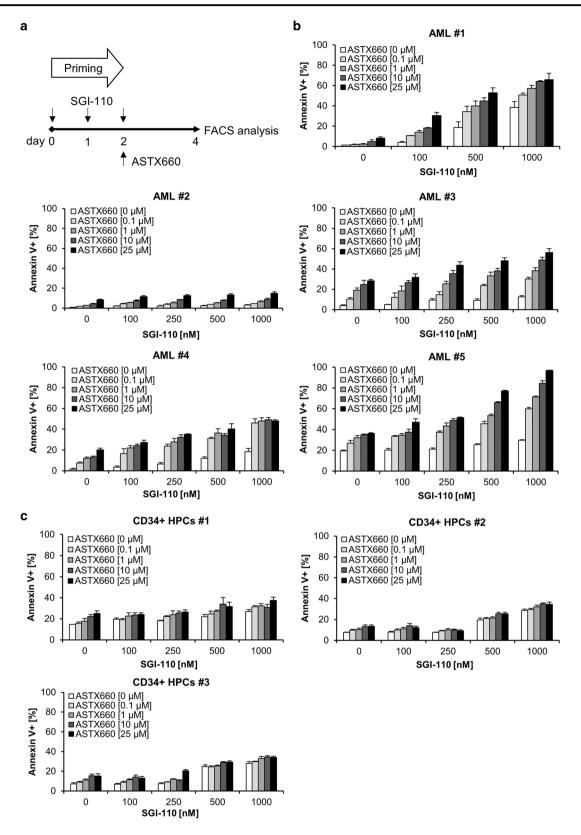
cell lines. a Following pretreatment with indicated concentrations of SGI-110 for 24 h, AML cells were treated with indicated concentrations of ASTX660 for another 48 h. Cell death was determined by FSC/ SSC analysis and flow cytometry. b After 24 h of pretreatment with SGI-110 (MOLM-13: 50 nM, ML-2: 2 uM). AML cells were treated with ASTX660 (MOLM-13: 40 µM, ML-2: 5 µM) for indicated time points. Cell death was determined by FSC/SSC analysis and flow cytometry. Significances after combination treatment are calculated versus single-treated cells. c AML cells were exposed to SGI-110 (MOLM-13: 50 nM, ML-2: 0.5 µM) for 24 h followed by ASTX660 (MOLM-13: 40 µM, ML-2: 5 µM) for 15 h before incubation in methylcellulose. Colony formation was assessed after 10-13 days and the number of colonies is expressed as percentage of solvent-treated controls. Mean and SD of three independent experiments performed in triplicate are shown. **p* < 0.05, ***p* < 0.01; ****p* < 0.001.



Results

SGI-110 synergizes with ASTX660 to induce cell death and to suppress clonogenic growth of AML cell lines

Searching for new clinically relevant drug combinations for the treatment of AML, we asked the question whether the next-generation HMA SGI-110 can prime AML cells towards cell death induced by the novel IAP antagonist ASTX660. Interestingly, dose–response experiments revealed that SGI-110 pretreatment synergistically interacted with ASTX660 to induce cell death in five out of six AML cell lines with various genetic backgrounds and representing different AML subtypes (Fig. 1a, Supplementary Table 2–3). Only OCI-AML3 cells that harbor the hotspot *DNMT3A*^{R882C} mutation did not



respond even to high concentrations of ASTX660 and SGI-110. Synergistic drug interaction was evidenced by calculation of combination index (CI) (Supplementary Table S4).

MOLM-13 and ML-2 cells, representing the most common AML subtypes, were selected for further experiments. To investigate whether the timing of drug treatment schedules ◀ Fig. 2 SGI-110 cooperates with ASTX660 to induce cell death in a large proportion of primary AML blasts while showing minimal toxicity against normal CD34+ HPCs. a Treatment schedule for primary AML blast cells and CD34+ HPCs. Primary cells were primed with different concentrations of SGI-110 (0.1-1 uM) three times at 24 h intervals, followed by different concentrations of ASTX660 (0.1-25 µM) on day 2. Cell death was determined by flow cytometric analysis on day 4. Stimulation of the primary AML samples (b) and CD34+ HPCs (c) with SGI-110 and/or ASTX660 was performed according to our treatment schedule (a). b Combined Annexin V-FITC/CD45-APC staining and flow cytometry were used to determine cell death of primary AML blasts identified by CD45/SSC gating procedure. c Apoptotic cell death in normal CD34+ HPCs was determined by Annexin V-FITC/PI staining and flow cytometry. b, c Mean and SD of single experiments performed in triplicate are shown.

influences drug interactions, drug combinations were hence further tested using simultaneous application. This treatment schedule induced up to 20% less cell death in MOLM-13 and ML-2 cells than the sequential treatment with SGI-110 and ASTX660 (Supplementary Fig. S1, Supplementary Table S3, S5), demonstrating that priming by SGI-110 increases the efficacy of ASTX660 treatment.

Time-dependent analysis of drug-induced cell death demonstrated that sequential treatment with SGI-110 and ASTX660 increased cell death over time (Fig. 1b). Besides these short-term assays, we tested the drug combination on long-term clonogenic survival by performing methylcellulose colony forming assays. Importantly, SGI-110 and ASTX660 cooperated to significantly reduce colony formation compared with single-agent treatments (Fig. 1c; Supplementary Fig. S2). In order to further investigate the effect of sequential SGI-110/ASTX660 treatment on cell proliferation, we analyzed cell growth by viable cell counting as well as immunofluorescence staining of the proliferation marker Ki-67 [36]. In line with the results of the colony formation assay, SGI-110 alone, or even more pronounced in combination with ASTX660, dramatically reduced proliferation of ML-2 cells, whereas MOLM-13 cells were only slightly affected (Supplementary Fig. S3A-B). Taken together, SGI-110 synergistically acted in concert with ASTX660 to induce cell death and to suppress proliferation and long-term clonogenic growth.

SGI-110/ASTX660 regimen is synergistically active against primary AML blasts, whereas it shows minimal toxicity against CD34+ HPCs

To assess the translational relevance of our findings we extended our studies to freshly isolated leukemic blasts from treatment-naive AML patients, which were pretreated with SGI-110 for 3 consecutive days, whereby ASTX660

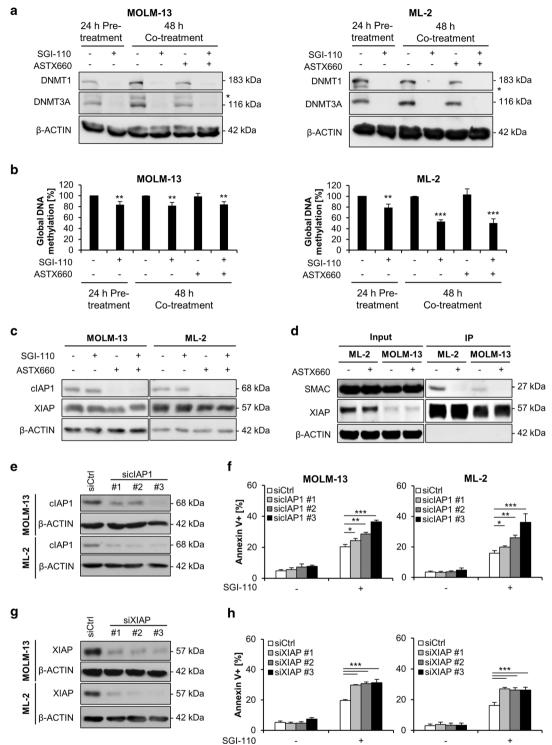
was added on day 2 (Fig. 2a). SGI-110 concentrations were chosen according to plasma levels reported in patients [37]. Importantly, combined exposure to SGI-110 and ASTX660 substantially increased cell death in four out of five AML samples, whereas single-agent treatment with either SGI-110 or ASTX660 had minimal to moderate effects on cell death (Fig. 2b). Calculation of CI values revealed that sequential treatment with SGI-110 and ASTX660 synergistically induced cell death in most primary AML samples (Supplementary Table S6).

In contrast to primary AML blasts, the identical treatment failed to synergize in the induction of cell death in normal CD34+ HPCs from three healthy donors (Fig. 2c), whereas standard and high doses of Cytarabine, based on therapeutically achievable plasma concentrations during standard and high-dose therapy [38, 39], were highly toxic to normal CD34+ HPCs (Supplementary Fig. S4). Also, the sequential SGI-110/ASTX660 treatment did not affect long-term clonogenic survival of CD34+ HPC (Supplementary Fig. S5A), while Cytarabine inhibited colony formation in a dose-dependent manner (Supplementary Fig. S5B). Taken together, these data suggest that sequential SGI-110/ASTX660 treatment exerts some tumor selectivity against AML blasts with minimal effects on normal CD34+ HPCs pointing to a potential therapeutic window.

SGI-110 and ASTX660 demonstrate on-target activity in AML cell lines

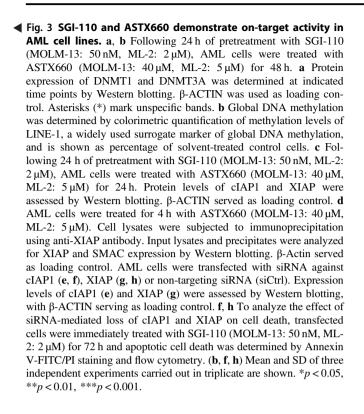
Next, we monitored on-target activity of SGI-110 and ASTX660. DNMT1 and DNMT3A protein levels were depleted within 24 h of SGI-110 pretreatment and did not recover until 48 h of sequential treatment with ASTX660, confirming that SGI-110 directly targets DNMTs for protein degradation (Fig. 3a). As depletion of DNMT activity results in global hypomethylation of the genome, we further investigated changes in global DNA methylation. Indeed, SGI-110 significantly caused hypomethylation of LINE-1, which serves as a surrogate marker for global DNA methylation [40], within 24 h of SGI-110 pretreatment (Fig. 3b). As IAP antagonists have been reported to stimulate autoubiquitination and proteasomal degradation of IAP proteins [21], we examined the effect of ASTX660 on IAP protein levels. As both model cell lines do not express cIAP2 (Supplementary Fig. S6), we focused on the antagonism of cIAP1 and XIAP by ASTX660 treatment. ASTX660 induced degradation of cIAP1 in the absence and presence of SGI-110, while XIAP protein levels remained relatively unaffected, as expected (Fig. 3c) [22]. To further confirm the direct antagonism of XIAP by ASTX660 we also assessed the displacement of SMAC from XIAP by





immunoprecipitation using anti-XIAP antibody. Following ASTX660 treatment for 4 h, a complete disappearance of SMAC previously immunoprecipitated with XIAP was observed in MOLM-13 and ML-2 cells (Fig. 3d). These results confirm on-target activity of SGI-110 as well as of ASTX660 in AML cell lines.

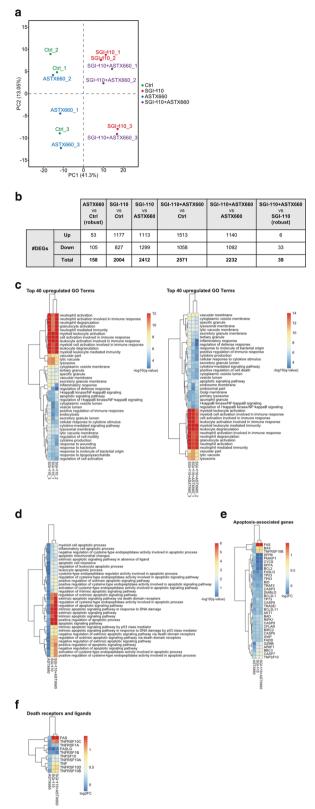
Since ASTX660 can antagonize both cIAP1 and XIAP [22], we individually silenced cIAP1 and XIAP to define their contribution to the observed synergistic interaction with SGI-110. The efficiency of cIAP1 and XIAP knockdown by several independent siRNAs was confirmed by Western blotting (Fig. 3e, g). Of note, silencing of cIAP1



(Fig. 3f) or XIAP (Fig. 3h) significantly increased SGI-110induced cell death. These results indicate that targeting of both, cIAP1 and XIAP, contributes to enhance SGI-110mediated cell death in AML cells.

Sequential SGI-110/ASTX660 treatment upregulates extrinsic and intrinsic apoptosis signaling genes

To gain further insights into the molecular mechanisms of the synergistic activity of SGI-110 and ASTX660 we performed transcriptome analysis prior to the onset of cell death. A principal component analysis was used to obtain an overview of treatment-induced changes in transcription (Fig. 4a). The first principal component (PC1) clearly separated the control and ASTX660-treated cells from those treated with SGI-110 alone or in combination with ASTX660. Analysis of DEGs revealed 2004 and 2571 DEGs upon SGI-110 alone or sequential SGI-110/ ASTX660 treatment, respectively, whereas only 158 DEGs were detectable following ASTX660 treatment compared with control (Fig. 4b). These results show that SGI-110 was primarily responsible for the global transcriptional changes following sequential SGI-110/ASTX660 treatment. We further performed GO enrichment analysis to investigate the biological functions of the identified DEGs (Fig. 4c and Supplementary Fig. S7). Interestingly, in both SGI-110 alone and sequential SGI-110/ASTX660 treatment, upregulated DEGs were significantly enriched in the apoptotic signaling pathway and IkB kinase/Nuclear factor-kappa B



signaling. Focusing our analysis on significantly upregulated apoptotic GO terms, we found several GO terms associated with apoptosis, including the extrinsic apoptotic ◀ Fig. 4 Sequential SGI-110/ASTX660 treatment upregulates extrinsic and intrinsic apoptosis signaling genes. ML-2 cells were treated with solvent alone or with 2 µM SGI-110 for 24 h followed by 5 µM ASTX660 for 9 h. RNA expression levels of three independent experiments were analyzed by microarray. a Principal component analysis (PCA) of ML-2 cells after drug treatment with SGI-110 and/or ASTX660 or solvent control (Ctrl). b Number of total, up-, and downregulated DEGs from multiple comparisons among the four treatment groups (adjusted p value < 0.05). For two comparisons, labeled with robust, the robust method for linear model fitting was used. The rest of the comparisons was fitted with the least-squares method. c The Top 40 enriched GO terms (biological process, cellular component, and molecular function, combined to one set for the analysis) of upregulated genes upon SGI-110 alone (left panel) or sequential SGI-110/ASTX660 treatment (right panel) compared with control treatment. d Heatmap showing significantly upregulated apoptotic GO terms in at least one condition after SGI-110 and/or ASTX660 treatment, normalized to solvent-treated control cells. Pathways were considered significant with an adjusted p value < 0.05. e Heatmap showing apoptosisrelated genes regulated in SGI-110 and/or ASTX660-treated ML-2 cells, normalized to solvent-treated cells. Genes were selected based on the "Apoptosis" pathway of ConsensusPathDB and the "Apoptosis" pathway of Reactome. Only the genes annotated in both pathways were considered. f Heatmap displaying log2 fold expression changes of death receptors and their ligands upon SGI-110 and/or ASTX660 treatment compared with solvent-treated control cells. (c-f) Columns and rows were hierarchically clustered by their Euclidian distance using complete linkage. The colors correspond to the $-\log 10$ transformed adjusted p values from the gene-set enrichment analysis (c, d) or log2 fold changes (FC) (e, f) obtained by comparing SGI-110 and/or ASTX660-treated versus solvent-treated control cells.

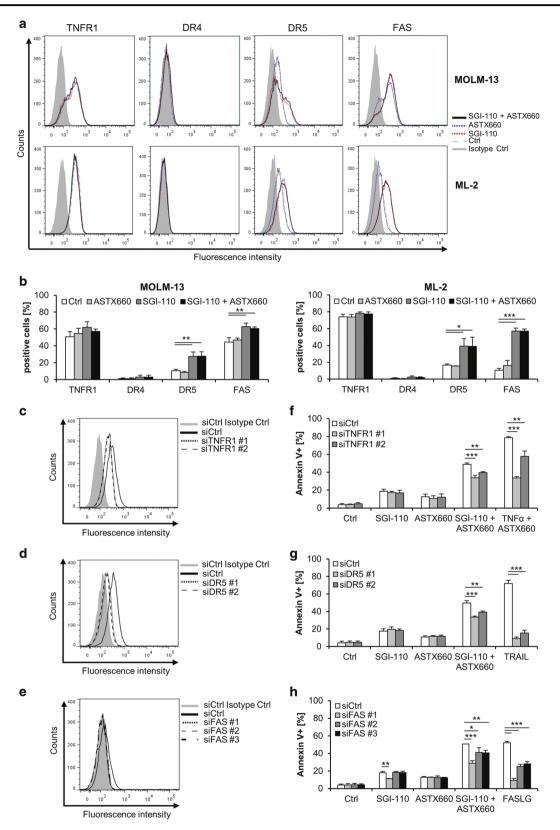
signaling pathway via death receptors, the intrinsic apoptotic signaling pathway and regulation of cysteine-type endopeptidase activity involved in apoptotic processes (Fig. 4d). Thus, we concentrated on apoptosis-related genes which were selected based on the "Apoptosis" pathway of ConsensusPathDB and Reactome (Fig. 4e). Of note, SGI-110 alone or in combination with ASTX660 significantly increased gene expression of FAS, BAX, and TNFRSF10B (DR5). Since this analysis indicated an involvement of death receptor-mediated apoptosis, we analyzed in more detail the transcriptional changes in death receptors and their ligands (Fig. 4f). Interestingly, FAS, several TRAIL receptors (i.e., TNFRSF10B (DR5), TNFRSF10C (DcR1), and *TNFRSF10D* (DcR2)) as well as *TNF* (TNF α) were significantly upregulated (adjusted p value < 0.05 and log2 fold change > 0.5) upon SGI-110 alone or sequential SGI-110/ASTX660 treatment. With regard to the additionally observed growth-inhibitory effects of SGI-110 alone or in combination with ASTX660, we extended our GSEA on proliferation-related GO terms. Thereby, we identified the cell cycle regulator CDKN1A (p21) as the strongest upregulated gene in the most significantly enriched proliferation GO term "negative regulation of proliferation" (Supplementary Fig. S8A-B). The upregulation of CDKN1A by SGI-110 alone or when combined with ASTX660 was confirmed by qRT-PCR (Supplementary Fig. S8C). Taken together, our transcriptome analysis showed that SGI-110 alone or in combination with ASTX660 upregulated signaling pathways in extrinsic and intrinsic apoptosis and regulated genes involved in proliferative signaling.

Sequential SGI-110/ASTX660 treatment initiates death receptor-dependent apoptosis

As our transcriptome analysis identified death receptors and their ligands as top hits following SGI-110 alone or sequential SGI-110/ASTX660 treatment, we next determined the functional relevance of the death receptor pathway for SGI-110/ASTX660-induced apoptosis. Since DcR1 and DcR2 are unable to transmit death signals [41], we focused our experiments on TNFR1, DR4, DR5, FAS, and their respective ligands. Validation experiments using qRT-PCR confirmed differential expression of selected death receptors and ligands in both AML cell lines (Supplementary Fig. S9). To investigate whether these drug-induced changes in gene expression resulted in altered protein expression of death receptors we analyzed cell surface expression by flow cytometry. Indeed, SGI-110 alone or sequential treatment with SGI-110 and ASTX660 significantly upregulated the surface expression of DR5 and FAS, while TNFR1 expression remained nearly unchanged (Fig. 5a, b). By comparison, DR4 was hardly detectable on the surface of MOLM-13 and ML-2 cells.

To test the functional relevance of TNFR1, FAS, and DR5 for SGI-110/ASTX660-induced apoptosis we genetically silenced these receptors by siRNA and confirmed knockdown efficiency by flow cytometry (Fig. 5c–e; Supplementary Fig. S10A–C). Importantly, knockdown of either TNFR1 or FAS significantly rescued SGI-110/ ASTX660-mediated apoptosis in both AML cell lines. By comparison, DR5 knockdown significantly protected only ML-2 cells from SGI-110/ASTX660-induced apoptosis (Fig. 5f–h; Supplementary Fig. S10D–F). This set of experiments indicates that SGI-110/ASTX660-induced cell death depended, at least partly, on death receptors such as TNFR1 and FAS.

Next, we investigated whether the observed upregulation of $TNF\alpha$ (Fig. 4f) was required for SGI-110/ASTX660mediated apoptosis as described previously for similar settings [21, 42–44]. To this end, we used the TNF α -blocking antibody Enbrel. While Enbrel slightly reduced SGI-110/ ASTX660-induced apoptosis in ML-2 cells, it had no effect in MOLM-13 cells (Supplementary Fig. S11A). Control experiments confirmed that Enbrel significantly decreased TNF α /ASTX660-mediated apoptosis. In addition, TRAILor FASLG-blocking antibodies failed to rescue SGI-110/



ASTX660-induced apoptosis, whereas they potently suppressed TRAIL or FASLG-mediated apoptosis used as positive controls (Supplementary Fig. S11B–C). This

indicates that SGI-110/ASTX660 induced cell death in AML cells in a TRAIL- and FASLG-independent fashion, while the effect of TNF α seems to be cell line-dependent.

Fig. 5 Seguential SGI-110/ASTX660 treatment initiates death receptor-dependent apoptosis. a-b Following 24 h of pretreatment with SGI-110 (MOLM-13: 50 nM, ML-2: 2 µM), AML cells were treated with ASTX660 (MOLM-13: 40 µM, ML-2: 5 µM) for 15 h. Death receptor expression on cell surface was determined by flow cytometric analysis after staining with PE-conjugated antibodies specific to each death receptor (open histograms) or with isotype-matched IgG controls (shaded gray histogram). Representative overlay histograms (a) and quantification of cell surface expression of death receptors (b) from three independent experiments performed in triplicate are shown. (c-h) ML-2 cells were transfected with non-targeting control siRNA (siCtrl) or siRNA against TNFR1, DR5, or FAS. (c-e) Cell surface expression of death receptors (open histograms) was analyzed by flow cytometry. Gray shaded histograms represent respective isotype controls. Representative overlay histograms are shown. (f-h) Following 24 h of pretreatment with 2 µM SGI-110, ML-2 cells were treated with 5 µM ASTX660 for 36 h. Treatments with 1 ng/ml TNFa and 5 µM ASTX660 (f), 10 ng/ml TRAIL (g) or 750 ng/ ml hexameric FAS ligand (FASLG) (h) for 36 h were used as positive controls to demonstrate the efficacy of gene silencing. Cell death was determined by Annexin V-FITC staining and flow cytometry. In (b, fh), mean and SD of three independent experiments performed in triplicate are shown. *p < 0.05, **p < 0.01, ***p < 0.001.

Sequential SGI-110/ASTX660 treatment engages intrinsic apoptosis as well as a crosstalk between extrinsic and intrinsic apoptotic pathways

As our transcriptome analysis highlighted the engagement of the intrinsic apoptosis pathway beyond the extrinsic pathway, we examined whether a crosstalk between these pathways may exist during SGI-110/ASTX660-induced apoptosis. One of the best-characterized connections between the two pathways is BID, which translocates to mitochondria after caspase-8-mediated cleavage [45]. Indeed, sequential SGI-110/ASTX660 treatment-induced cleavage of caspase-8 and BID (Fig. 6a), suggesting a crosstalk between extrinsic and intrinsic apoptosis pathways.

tBID has been reported to facilitate apoptosis by engaging lysosomal permeabilization [46] in addition to promoting activation of BAX/BAK and MOMP [47]. Since our microarray analysis indicated a potential role of lysosomes upon SGI-110/ASTX660 treatment (Fig. 4c, e), we examined the effect of lysosomal protease inhibitors on SGI-110/ASTX660-induced apoptosis. However, the addition of E64D/Pepstatin A failed to rescue AML cells from SGI-110/ASTX660-induced apoptosis, while control experiments confirmed the functionality of these inhibitors (Supplementary Fig. S12).

As our microarray analysis revealed increased BAX levels by sequential SGI-110/ASTX660 treatment, we then investigated the role of BAX in SGI-110/ASTX660-induced apoptosis. SGI-110/ASTX660-stimulated upregulation of BAX was confirmed by qRT-PCR (Fig. 6b) and Western blotting (Fig. 6c). To investigate whether BAX is activated upon sequential SGI-110/ASTX660 treatment

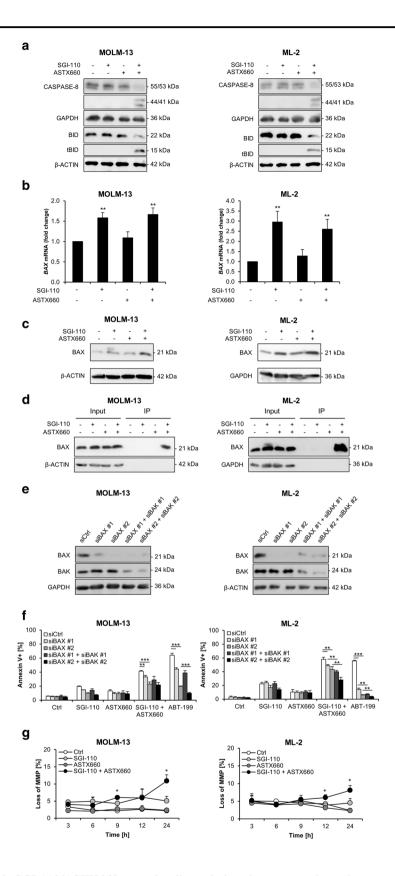
we performed immunoprecipitation experiments with a conformation-specific antibody for activated BAX. Intriguingly, SGI-110 and ASTX660 acted in concert to activate BAX compared with either drug alone (Fig. 6d). To determine the functional relevance of BAX for SGI-110/ ASTX660-induced apoptosis, we performed BAX knockdown using siRNA (Fig. 6e). Notably, silencing of BAX significantly reduced SGI-110/ASTX660-mediated apoptosis (Fig. 6f). Furthermore, concomitant knockdown of BAX and BAK was significantly more effective to inhibit SGI-110/ASTX660-mediated apoptosis in ML-2 cells compared with BAX knockdown alone (Fig. 6f). ABT-199 treatment, reported to kill AML cells in a BAX/BAK-dependent fashion [48], was used as a positive control (Fig. 6f).

As activated BAX can cause MOMP leading to cytochrome c release into the cytosol [49], we next assessed MMP. SGI-110 and ASTX660 cooperated to increase loss of MMP in a time-dependent manner (Fig. 6g). Together, these data suggest that sequential SGI-110/ASTX660 treatment engages intrinsic apoptosis as well as a crosstalk between extrinsic and intrinsic apoptotic pathways.

SGI-110 and ASTX660 cooperate to induce biochemical and morphological hallmarks of apoptosis

To examine effector mechanisms of SGI-110/ASTX660induced cell death we determined activation of the executioner caspases-3 and -7 by fluorescence microscopy. Interestingly, sequential treatment with SGI-110 and ASTX660 significantly increased activation of caspases-3/-7 compared with either treatment alone in a time-dependent manner (Fig. 7a). Furthermore, SGI-110 acted together with ASTX660 to significantly increase DNA fragmentation (Fig. 7b). Consistently, the analysis of nuclear morphology revealed that AML cells underwent morphological changes typical of apoptosis such as chromatin condensation and nuclear fragmentation (Fig. 7c). Quantification revealed a significant increase in nuclei fragmentation upon combination treatment compared with either single treatment or to untreated cells (Fig. 7d). Together, this set of experiments demonstrates that SGI-110 and ASTX660 cooperated to trigger typical apoptotic events such as caspase activation and nuclear fragmentation.

However, addition of the pan-caspase inhibitor zVAD. fmk alone failed to protect from SGI-110/ASTX660induced apoptosis and even increased cell death, in line with reports showing that caspase inhibition can cause a switch from apoptotic to necroptotic cell death in AML cells [23, 42]. In line with this notion, simultaneous treatment with zVAD.fmk and the necroptosis inhibitors Necrostatin-1s (Nec-1s), Necrosulfonamide (NSA), GSK'872, or Dabrafenib significantly reduced SGI-110/ASTX660-induced



cell death compared with SGI-110/ASTX660-treated cells in the presence of zVAD.fmk alone (Supplementary Fig. S13). This indicates that SGI-110/ASTX660 primarily induced caspase-dependent apoptosis in apoptosisproficient AML cells, while it engaged necroptosis upon caspase inhibition. Fig. 6 Seguential SGI-110/ASTX660 treatment engages intrinsic apoptosis as well as a crosstalk between extrinsic and intrinsic apoptotic pathways. a AML cells were pretreated with SGI-110 (MOLM-13: 50 nM, ML-2: 2 µM) for 24 h followed by ASTX660 (MOLM-13: 40 µM, ML-2: 5 µM) for 15 h. a Cleavage of caspase-8 and BID was assessed by Western blotting, GAPDH, and β-ACTIN served as loading controls. b, c AML cells were pretreated with SGI-110 (MOLM-13: 50 nM, ML-2: 2 µM) for 24 h followed by ASTX660 (MOLM-13: 40 µM, ML-2: 5 µM) for 9 h (b) or 15 h (c). b BAX mRNA expression was analyzed by qRT-PCR and fold changes relative to untreated control are shown with mean and SD of three independent experiments performed in triplicate. Significances are calculated versus control cells. c BAX expression was determined by Western blotting, GAPDH, and β-ACTIN served as loading controls, d After 24 h of pretreatment with SGI-110 (MOLM-13: 50 nM, ML-2: 2 µM), AML cells were treated with ASTX660 (MOLM-13: 40 µM, ML-2: 5 µM) for 15 h (MOLM-13) or 24 h (ML-2). Activation of BAX was assessed by immunoprecipitation using active conformationspecific antibodies and protein expression of BAX was detected by Western blotting. GAPDH and β-ACTIN served as loading controls. e, f AML cells were transiently transfected with siRNA against nontargeting siRNA (siCtrl) or BAX, BAK, or both. e Expression of BAX and BAK were assessed by Western blotting, with GAPDH serving as loading control. f Transfected cells were pretreated with SGI-110 (MOLM-13: 50 nM, ML-2: 2 µM) for 24 h, followed by addition of ASTX660 (MOLM-13: 40 µM, ML-2: 5 µM) for 24 h (MOLM-13) or 36 h (ML-2). Treatment with 1 µM ABT-199 for 24 h (MOLM-13) or 36 h (ML-2) was used as positive control to demonstrate the efficacy of gene silencing. Apoptotic cell death was measured by Annexin V-FITC/PI staining and flow cytometry. g Following 24 h of pretreatment with SGI-110 (MOLM-13: 50 M, ML-2: 2 µM), AML cells were treated with ASTX660 (MOLM-13: 40 µM, ML-2: 5 µM) for indicated time points. Loss of MMP was analyzed by flow cytometry using the fluorescent dye TMRM. Significances after sequential SGI-110/ ASTX660 treatment are calculated versus single-treated cells. In b, f, g, mean and SD of three independent experiments carried out in triplicate are shown. p < 0.05, p < 0.01, p < 0.01.

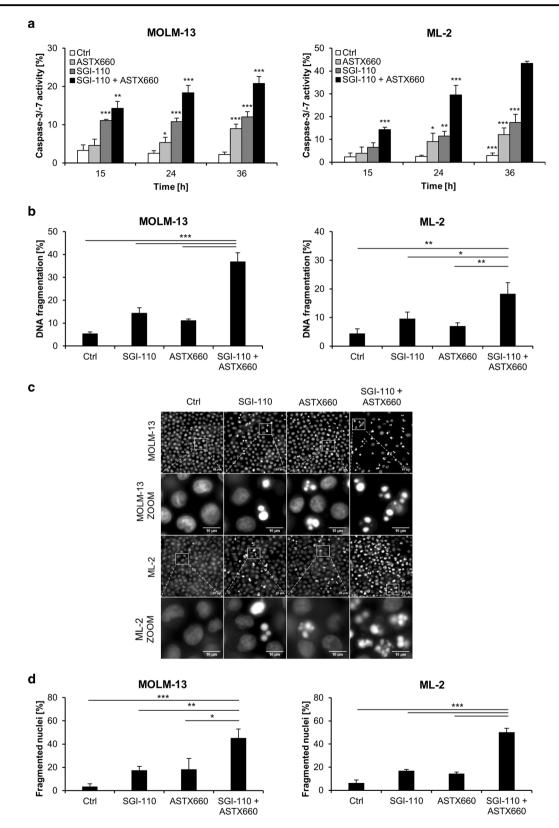
Discussion

Given the limited treatment options for elderly patients, the development of more effective and well-tolerated therapies remains a major unmet medical need [50]. Approval of decitabine for the first-line treatment of elderly AML patients highlights the general potential of HMAs in this setting [51]. However, as HMAs demonstrated only limited efficacy as monotherapy, combination strategies with either chemotherapy, immunotherapy, or targeted therapies such as the BCL-2 inhibitor ABT-199 are currently in different stages of clinical testing [13]. As evasion of apoptosis, e.g., by overexpression of IAPs, contributes to treatment resistance and poor outcome in AML [52], in the present study we investigated the combination of SGI-110 and the IAP antagonist ASTX660. Here, we discover that the combination of SGI-110 and ASTX660 synergistically induced cell death in a range of AML cell lines as well as in patientderived AML blasts. By comparison, sequential treatment with SGI-110 and ASTX660 exhibited limited toxicity to normal CD34+ HPCs, pointing to a favorable therapeutic window. Concentrations of SGI-110 (0.1-1 µM) required for synergism with ASTX660 in our study might be achievable in AML patients, as clinically achievable plasma levels have been reported to range from 20 to 400 nM for SGI-110 and from 0.3 to $1.6 \,\mu$ M for parental compound decitabine [37, 53].

While the *DNMT3A* mutant AML cell line OCI-AML3 proved to be resistant to sequential SGI-110/ASTX660 treatment, SGI-110 together with ASTX660 synergistically induced cell death in the tested primary AML sample harboring *DNMT3A* mutation. In line with this finding, Metzeler et al. reported that *DNMT3A*-mutated patients benefit from HMA treatment [54]. A possible explanation might be that *DNMT3A*^{R882}-associated hypomethylation patterns are preserved in primary AML samples, but not in the *DNMT3A*^{R882C}-mutated AML cell line OCI-AML3 [55]. Further studies are required to unravel the mechanisms for SGI-110/ASTX660 resistance in OCI-AML3 cells.

Importantly, our study provides new insights into the molecular mechanisms underlying the synergistic induction of cell death by SGI-110 and ASTX660. We identify activation of both extrinsic and intrinsic apoptotic pathways as key events during SGI-110/ASTX660-induced cell death in AML cells. This conclusion is supported by several lines of evidence.

First, unbiased transcriptome analysis revealed that SGI-110 alone and sequential SGI-110/ASTX660 treatment upregulated the death receptors DR5 and FAS, which was accompanied by increased protein expression on the cell surface. FAS upregulation might be a direct effect of HMAinduced FAS promoter demethylation [56]. By comparison, DR4 was hardly detectable on the cell surface of both AML cell lines, which is in line with previous studies demonstrating the absence or low expression of DR4 for different cancer entities [57, 58]. Second, TNFR1, DR5, and FAS were all required for cell death induction, since individual silencing of these death receptors significantly reduced SGI-110/ASTX660-induced apoptosis. The fact that SGI-110/ ASTX660-mediated cell death did not depend on a single death receptor underlines the importance of the death receptor pathway as a whole rather than single gene activation. Third, experiments with blocking antibodies revealed a contribution of TNFα-driven autocrine/paracrine signaling to SGI-110/ASTX660-mediated apoptosis in a cell line-dependent manner, which is consistent with our previous studies showing that Enbrel rescued leukemia cells from HMA/IAP antagonist-induced cell death [42, 43]. However, SGI-110/ASTX660-induced cell death occurred independently of TRAIL and FASLG, suggesting an activation of DR5 and FAS in a ligand-independent fashion. This is in line with previous evidence suggesting that anticancer drug-mediated apoptosis involved ligandindependent death receptor clustering and activation of caspases in leukemia as well as solid cancer cells [59-61].



Fourth, SGI-110/ASTX660-induced death signals are transmitted by caspase-8 and tBID to mitochondria, leading to activation of BAX and finally mitochondrial apoptosis. Fifth, SGI-110 increases BAX expression, in line with a

previous study using decitabine [62], thus shifting the balance of pro- and antiapoptotic factors towards apoptosis. The crucial role of BAX for SGI-110/ASTX660-induced apoptosis is emphasized by genetic silencing of BAX,

◀ Fig. 7 SGI-110 and ASTX660 cooperate to induce biochemical and morphological hallmarks of apoptosis. a After 24 h of pretreatment with SGI-110 (MOLM-13: 50 nM, ML-2: 2 µM), AML cells were additionally treated with ASTX660 (MOLM-13: 40 µM, ML-2: 5 µM) for indicated time points. Caspase-3/-7 activity was determined by Cell Event Caspase-3/-7 Green Detection Reagent and ImageXpress Micro XLS system. Significances are calculated versus control cells. b-d Following 24 h of SGI-110 pretreatment (MOLM-13: 50 nM, ML-2: 2 µM), AML cells were treated with ASTX660 (MOLM-13: 40 µM, ML-2: 5 µM) for 48 h. b DNA fragmentation was analyzed by flow cytometry after PI staining of nuclei. c Nuclear fragmentation was imaged using Hoechst-33342 staining and ImageXpress Micro XLS system. Representative images per sample are shown. d Quantification of fragmented nuclei upon indicated treatment. Mean and SD of three independent experiments performed in triplicate are shown. p < 0.05, p < 0.01, p < 0.001, p < 0.001

which significantly protected AML cells from SGI-110/ ASTX660-mediated apoptosis. Taken together, our in-depth molecular studies highlight the importance of both extrinsic and intrinsic apoptotic pathways in mediating SGI-110/ ASTX660-induced apoptosis.

Since both SGI-110 and ASTX660 as single agents are currently under evaluation in early clinical trials, our findings have important implications for the development of new combination strategies for AML. SGI-110 may offer several advantages compared with first-generation HMAs, as subcutaneous administration of SGI-110 exhibited increased efficacy and reduced toxicity compared with decitabine due to reduced peak plasma levels and prolonged half-life [8]. In addition, response rates to SGI-110 were reported in AML patients after azacytidine failure [63]. Previously, only first-generation HMAs such as azacytidine and decitabine have been shown to synergistically induce cell death together with IAP antagonist in AML [42], acute lymphoblastic leukemia [43] as well as in many NCI-60 cell lines from different cancer types [64], indicating a potential broad application of this combination strategy in cancer therapy. The success of current antileukemic therapies is often limited by evasion of apoptosis [52] highlighting the potential of this combination strategy of SGI-110 and ASTX660 for the treatment of AML.

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Compliance with ethical standards

Conflict of interest This work was supported in part by Astex Pharmaceuticals, Cambridge, UK (to SF). GAW is employee of Astex Pharmaceuticals. JD, TH, PM, MB, and MV declare no conflict of interest.

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