

ARTICLE



Anti-thymocyte globulin with CsA and MMF as GVHD prophylaxis in nonmyeloablative HLA-mismatched allogeneic HCT

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Nonmyeloablative regimens are used for allogeneic hematopoietic cell transplantation (HCT) of older or medically unfit patients, but successful outcome is still hindered by graft-versus-host disease (GVHD), especially in the setting of HLA-mismatched HCT. New GVHD prophylaxis strategies are emerging, including the triple drug strategy, that improve the GVHD-free and relapse-free survival (GRFS). Because the impact of ATG in HLA-mismatched Flu-TBI-based nonmyeloablative HCT has not been investigated, we did a retrospective analysis in three Dutch centers. 67 patients were evaluable, with a median age of 56 years. Overall survival, relapse-free survival and GRFS at 4 years were 52%, 43%, and 38%, respectively. NRM findings and cumulative incidence of relapse at 4 years were 26% and 31%, respectively. At 1-year grade II-IV had occurred in 40% of the patients, and the incidence of moderate-severe chronic GVHD incidence was 16%. Acknowledging the limitations of retrospective analyses, we conclude that the use of ATG for HLA-mismatched truly nonmyeloablative Flu-TBI HCT is feasible and results in acceptable long term outcomes, especially with regards to GRFS. We consider ATG in combination with cyclosporin and mycophenolate mofetil as an alternative for the triple drug strategy that uses sirolimus for GVHD prophylaxis in this particular setting.

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INTRODUCTION

Nonmyeloablative regimens are successfully used for allogeneic hematopoietic cell transplantation (HCT) of older or medically unfit patients [1]. Nevertheless graft-versus-host disease (GVHD) and disease relapse remain the main obstacles whose impact is reflected in the composite endpoint GVHD-free, relapse-free survival (GRFS) [2]. Whilst Flu-TBI-based HCT with HLA-matched related and unrelated donors results in a GRFS of ~30% at 3-years, results are probably worse with HLA-mismatched donors [3]. Recently, two studies from the Fred Hutchinson Cancer Research Center in Seattle showed that adding sirolimus to the standard GVHD prophylaxis regimen, consisting of cyclosporine A (CsA) and mycophenolate mofetil (MMF) (triple drug strategy), resulted in less acute GVHD and better survival, when using HLA-matched or mismatched unrelated donor [4, 5].

Other means to improve GVHD prophylaxis are amongst others ex vivo T-cell depletion, in vivo T-cell depletion with anti-thymocyte globulin (ATG), and post-transplant cyclophosphamide. ATG is effective in diminishing acute and chronic GVHD and is considered the standard of care in the setting of HLA-matched and mismatched unrelated donor HCT, especially in the setting of myeloablative conditioning [6]. However, in the setting of nonmyeloablative and reduced-intensity (RIC) conditioning the

reduced GVHD incidence with ATG must be weighed against an increased risk for disease relapse [6]. Because the impact of ATG in HLA-mismatched truly nonmyeloablative HCT has not been systematically investigated, we did a retrospective analysis to determine the transplant outcomes when using ATG for GVHD prophylaxis in this particular setting.

METHODS

Study design and participants

We performed a retrospective analysis in three Dutch HCT centers. Patients receiving anti-thymocyte (ATG) in combination with Flu-TBI as nonmyeloablative conditioning for HCT with a HLA-mismatched unrelated donor (MMUD) were included. Nonmyeloablative condition was chosen because of high age (≥ 55 years, later 60 years) or a high HCT comorbidity index (HCT-CI 3 or higher). Only patients with a follow-up of at least 6 months and HCT for a hematologic malignancy were included. We identified 79 patients that received ATG-Flu-TBI conditioning mostly from a MMUD. Twelve patients were excluded because of a follow-up less than 6 months ($n = 2$), missing data ($n = 8$; lost to follow-up and incomplete records) and HCT for aplastic anemia ($n = 2$), leaving 67 patients. The characteristics and features of the patients, donors, and HCT procedures are summarized in Table 1.

This retrospective study was approved by the Institutional Review Board at the Radboud University Nijmegen Medical Center.

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Table 1. Patient, donor, and HCT characteristics.

Characteristic	Value
Patient age, median (IQR), years	56 (50–64)
Male sex, no (%)	36 (54%)
Donor age, median (IQR), years	34 (25–44)
Unrelated donor, no. (%)	66 (99%)
Decitabine, no. (%)	12 (18%)
Stem cell source, no. (%)	
PBSC	67 (100%)
HLA-match, no. (%)	
9/10 (one locus mismatch)	65 (97%)
8/10 (two locus mismatch) ^a	2 (3%)
HLA class I mismatch, no. (%)	50
HLA-A	23 (46%)
HLA-B	10 (20%)
HLA-C	17 (34%)
HLA class II mismatch, no. (%)	19
HLA-DRB1	12 (70%)
HLA-DBQB1	7 (30%)
Sex of patient/donor, no. (%)	
Male/female	10 (15%)
Other	55 (82%)
Missing	2 (3%)
Diagnoses, no. (%)	
Acute myeloid leukemia	28 (42%)
Myelodysplastic syndrome	13 (19%)
MDS-EB1	5 (7%)
MDS-EB2	6 (9%)
Other	2 (3%)
Chronic myelomonocytic leukemia	2 (3%)
CML-BP/MPN-BP	2 (3%)
Chronic myeloid leukemia	1 (2%)
Acute lymphoblastic leukemia	6 (9%)
Non-Hodgkin lymphoma	5 (7%)
Chronic lymphocytic leukemia	4 (6%)
Hodgkin lymphoma	1 (2%)
Multiple myeloma	4 (6%)
Disease status at HCT	
CR1	39
CR2	8
CR3	2
PR	4
Untreated MDS/CMML	8
Missing	6
Disease risk index, no. (%)	
Low	6 (9%)
Intermediate	32 (48%)
High/very-high	29 (43%)
ELN2017 risk (AML)	
Intermediate	15 (54%)
Adverse	13 (46%)
HCT comorbidity index, no. (%)	
0	12 (18%)
1–2	23 (34%)
3+	28 (42%)
Missing	4 (6%)
HCT year, no. (%)	
2009–12	24 (36%)
2013–6	30 (45%)
2017–20	13 (19%)

^aTwo patients had a two locus class I mismatch; one HLA-A and HLA-C and one HLA-B and HLA-C.

Conditioning and post HCT immunosuppression

Conditioning consisted of fludarabine 30 mg/m² fludarabine on days –4, –3, and –2, and low-dose TBI (2 Gy) on day –1 (days are expressed relative to transplantation) [7, 8]. In vivo T-cell depletion was performed with 2 mg/kg/day Thymoglobulin (rabbit-ATG; Genzyme) on days –8, –7, –6, and –5. Some patients participated in a HCT study for adverse risk myeloid malignancies and received 10 days of decitabine (20 mg/m²) (NCT02252107) [9]. On day 0, all patients received a T-cell-repleted graft with mobilized peripheral blood stem cells. Post-HCT immunosuppression included MMF for 96 days and oral CsA for 180 days. CsA started at day –3 and was initially dosed at 4.5 mg/kg twice daily, with tapering starting on day +100 [10].

HLA typing

Patients and donors were typed for HLA-A, B, C, DRB1, DR3/4/5, DQB1, and DPB1. Typing for class I was based on full gene sequencing and typing of class II was based on sequencing of exons 2 and 3. Matching was based on HLA-A, B, C, DRB1, and DQB1 at the second field level.

Definitions

Non-relapse mortality (NRM), cumulative incidence of relapse (CIR), relapse-free survival (RFS), and OS were defined according to standard criteria [11]. GRFS was defined as surviving post HCT without relapse and without severe acute GVHD (i.e. grade III–IV) and/or severe chronic GVHD [2]. Survival outcomes were analysed at 1 and 4 years post HCT. Acute and chronic GVHD were diagnosed and graded as proposed by Harris et al. and Jagasia et al. [12, 13]. Incidence of acute GVHD was determined at 100 and 180 days; the latter was chosen because with the use of Flu-TBI-based conditioning acute GVHD occurs beyond day 100 in a considerable proportion of patients [10, 14]. The incidence of EBV and CMV infection and disease was defined in accordance with established guidelines [15, 16]. The disease risk index (DRI), HCT-CI, and European Group for Blood and Marrow Transplantation (EBMT) risk score were determined in accordance with published scoring systems [17–19].

Statistics

We used descriptive statistics to analyze the patient, donor, and HCT characteristics. The Kaplan–Meier method was used to estimate OS, RFS, and GRFS. A competing risk analysis was performed to obtain CIR estimates with NRM as a competing risk and vice versa [11]. Putative factors affecting severe acute GVHD, CIR, NRM, and OS were selected for univariate analysis. Considering the small cohort with a limited number of events only factors with a *P* value <0.2 were incorporated in a multivariate analysis using logistic regression or Cox regression. Analyses were done with SAS[®] 9.4 and IBM SPSS Statistics 25. Differences with a *P* value of <0.05 were considered statistically significant.

RESULTS

Between 2010 and 2020 67 (*n* = 67) patients received ATG-Flu-TBI conditioning for a HLA-mismatched unrelated donor allogeneic HCT. The median age was 56 years (range 30–75) and 54% were male. Most patients were transplanted for a myeloid malignancy; 43 (64%) diagnosed with AML, MDS with excess blasts (MDS-EB1/2), CMML with ≥5% marrow blasts (CMML1/2), or blast phase MPN or CML (Table 1). DRI was high or very high in 43% and 46% of AML patients had adverse genetics according to the ELN2017 risk classification. The median HCT-CI and EBMT score were 2 (range 0–7, missing *n* = 4) and 3 (range 0–7, missing *n* = 2). 46 (69%) patients had a single HLA class I antigen mismatch, 2 (3%) had two HLA class antigen mismatches, and 19 (28%) patients were mismatched for HLA class II. Unmodified PBSC grafts were obtained only from unrelated donors.

Post HCT outcome

The median follow-up of surviving patients was 57 months (range 10–116). Overall survival at 4 years was 52% (95% CI 40–62), Fig. 1. RFS and GRFS at the same time point were 43% (95% CI 31–55) and 38% (95% CI 26–49), respectively, Fig. 1. NRM findings at 1

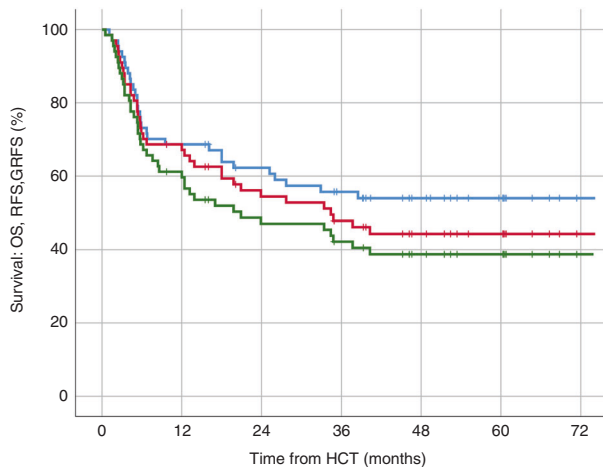


Fig. 1 Survival outcomes HLA-mismatched HCT after ATG-Flu-TBI conditioning ($n = 67$). Kaplan-Meier curves of overall survival (blue), relapse-free survival (red-curve) and GVHD-free, relapse-free survival (green curve).

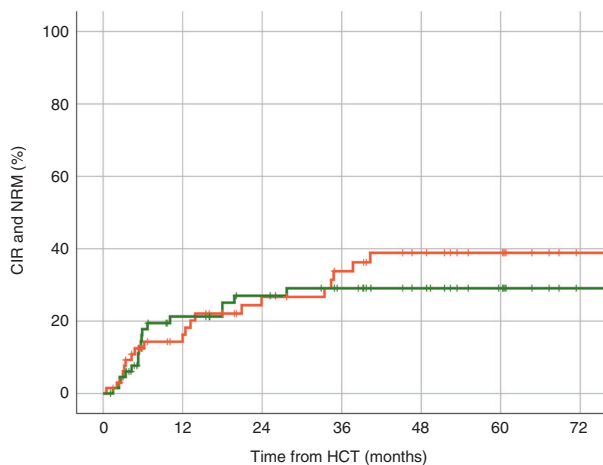


Fig. 2 Incidence of relapse and non-relapse mortality. Cumulative incidence of relapse (orange) and non-relapse mortality (green), $n = 67$.

and 4 years were 20% (95% CI 12–27) and 26% (95% CI 16–37), respectively, Fig. 2. CIR at 1 and 4 years were 16% (95% CI 6–27) and 31% (95% CI 20–43), respectively, Fig. 2.

Median PBSC CD34 + cell dose was $7.9 \times 10^6/\text{kg}$ (IQR 6.2–10.2). Of the 48 patients developing neutropenia the median time to neutrophil and thrombocyte recovery was 17 days (IQR 13–22) and 16 days (IQR 14–19), respectively. Sustained engraftment was achieved in all but two (3%) patients. One case of primary graft failure was probably related to cryopreservation (COVID-19 policy) and re-HCT was not successful. The other case was a rejection related to a HLA-C mismatch in the host-versus-graft direction.

In multivariate analysis significant factors for NRM and relapse were identified, being HLA class I mismatch for NRM ($P = 0.01$) and DRI high/very high for relapse ($P = 0.01$), Supplementary Table. Class I mismatch was also significantly related to OS ($P = 0.01$) and DRI showed a trend for OS ($P = 0.07$), Supplementary Table.

Graft-versus-host disease and infectious complications

The cumulative incidence of grade II-IV and severe acute GVHD at day 100 and 180 days were, 27% (95% CI 17–38) and 38% (95% CI 28–51%) and 7% (95% CI 2–16) and 11% (95% CI 5–22), respectively, Fig. 3. The incidence of grade II-IV was comparable for HLA class I and II mismatches; e.g. grade II-IV 39.6% versus 36.8% ($P = 0.57$). However, severe acute GVHD occurred only in

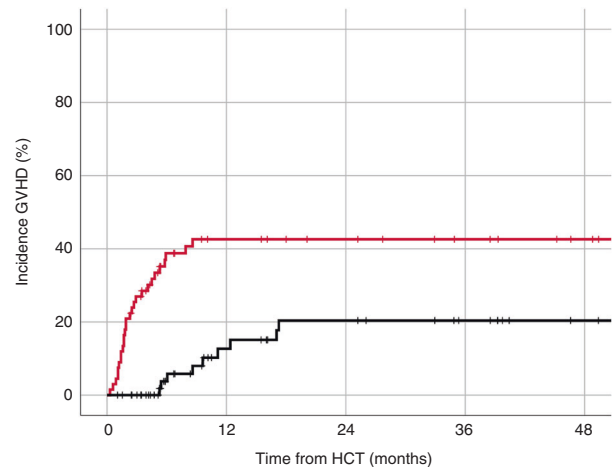


Fig. 3 Incidence of graft-versus-host disease. Cumulative incidence of acute GVHD grade II-IV (red) and moderate-severe chronic GVHD (black).

HLA class I mismatched patients (12%). In multivariate analysis HLA class I mismatch was the only significant factor for severe acute GVHD ($P = 0.02$).

The cumulative incidence of chronic GVHD and moderate-severe chronic GVHD at 1 year was 26% (95% CI 15–37) and 16% (95% CI 9–27) and at 4 years 30% (95% CI; 21–42) and 18% (95% CI; 10–29), Fig. 3. No significant difference in moderate-severe chronic GVHD was seen between HLA class I and II mismatches (14.5% vs 10.5%, $P = 0.62$).

Infectious complications

Data on CMV and EBV were available for 64 (96%) and 62 (93%) patients, respectively. Of the 40 CMV positive HCT recipients 16 (40%) experienced a CMV reactivation with two of them progressing to CMV disease (5%; pneumonitis, enteritis). EBV infection occurred in 14 patients (22%) with two cases of EBV disease; one probable and one proven PTLD. No CMV-related mortality occurred, and EBV disease resulted in one death.

DISCUSSION

In this retrospective analysis we provide data on the use of ATG added to CsA and MMF in HLA-mismatched truly nonmyeloablative HCT. We show that this GVHD prophylaxis regimen was feasible and effective with acceptable rates of GVHD and long-term outcomes with an OS at 4 years of 52% comparable to outcomes reported on alternative donor HCT in larger registries [20]. Especially, considering the high risk setting, with 43% high/very high DRI and a considerable number of patients with advanced myeloid malignancies. Also the relapse rate was not higher than expected in this high-risk population, a concern often expressed with the use of ATG. Also the rate of graft failure of 3% was low. The GRFS of 38% at 4 years also seems comparable to outcomes published on HCT with alternative donors and even superior to HLA-mismatched RIC and MAC HCT with use of serotherapy [21]. One drawback, clearly, was the higher incidence of viral complications including proven EBV disease and CMV disease, although with low attributable mortality. The main risk factors for OS in multivariate analysis were DRI and presence of a HLA class I mismatch. The impact of DRI on OS was not surprising, and mainly due to the very poor outcome of patients with a very-high DRI; none of 6 surviving. The impact of HLA class I mismatch on OS was mainly related to the higher incidence of severe GVHD with the associated NRM. The biological significance of HLA class I mismatches with a higher rate of severe acute GVHD has been repeatedly confirmed in large cohorts [22].

Our outcomes can compete to those recently reported from the prospective phase II study by Kornblit et al. who used a triple drug strategy with sirolimus in addition to CsA and MMF in the same setting of Flu-TBI based conditioning [4]. Relapse incidence, CMV infections, and incidence of grade II-IV were comparable, but with ATG the incidence of chronic GVHD was lower (30% versus 57%) and severe acute GVHD higher (11% versus 1%). The NRM was lower and OS was better in the Seattle cohort, however there were several important differences with regards to underlying diagnosis (AML/ALL; 51% vs 34%) and DRI (high/very-high; 43% vs 24%). Unfortunately, GRFS and EBV infections were not reported, and could not be compared.

In general, the possible higher risk for relapse with the use of ATG and delayed graft-versus-leukemia effects due to in vivo T-cell depletion cannot be neglected [23]. However, in our cohort, ATG was given relatively early (start day -8), reducing the in vivo exposure to ATG, thereby mitigating the depletion effect on donor lymphocytes but not patient lymphocytes. Optimal dosing of ATG is complex, but starting day -8 positively impacts overall survival in the MUD setting, at least partially because of enhanced immune recovery [24]. Unfortunately, we have no immune reconstitution data available substantiating this assumption in this study. Nevertheless, in the setting of NMA and RIC conditioning as mentioned in the introduction the reduced GVHD incidence with ATG must be weighed against an increased risk for disease relapse [6]. Worth mentioning in this regard is recent study showing that even in a high risk AML setting with RIC conditioning there was no adverse effect of ATG on relapse incidence [25]. In addition, in large randomized phase 3 trials ATG did not have an impact on relapse incidence either with the use of MAC or NMA/RIC conditioning [26, 27].

Acknowledging the limitations of such comparisons in our opinion serotherapy with ATG can be an alternative to sirolimus when added to CsA and MMF for Flu-TBI-based nonmyeloablative conditioning. The ease of ATG can be an advantage, as the use of sirolimus requires experience, meticulous therapeutic drug monitoring, and when combined with CsA, alertness for endothelial-related complications such as transplant-associated thrombotic microangiopathy and sinusoidal obstruction syndrome [28, 29]. The main drawback of ATG remains an increased infections risk, but we had only limited data on bacterial and fungal infections. The high incidence of CMV and EBV infections and occasionally disease should not be underestimated with institution of screening and preventive measures. Fortunately, these infections can nowadays be managed better by pre-emptive rituximab strategies and use of letermovir for CMV prophylaxis. In this context it is worth mentioning that introducing letermovir in the triple drug strategy might pose a problem, because there are significant drug-drug interactions with both calcineurin inhibitors and sirolimus [30]. Recently the role of post-transplantation cyclophosphamide (ptCy) used for GVHD prophylaxis has gained much attention and is already widely applied in the clinic. Recently, a large study in AML patients showed superiority of ptCy over ATG in the HLA-mismatched setting with a better OS mainly due to less acute GVHD while there was no difference in relapse incidence [31]. This novel approach could therefore possibly become a third option, although, currently, in the Flu-TBI NMA setting, no data are available.

Strengths of this study are the fact that, to our knowledge, no data exist on the use of ATG in the Flu-TBI HCT setting. In a multicenter design we included a significant number of patients with data on important survival outcomes and GVHD. Limitations include obviously the retrospective nature of the study, missing data (e.g. with regards to performance status, immune reconstitution, bacterial and fungal infections), a modest statistical analysis, and the study period spanning 11 years.

Acknowledging the mentioned limitations, we conclude that the use of ATG for HLA-mismatched nonmyeloablative Flu-TBI HCT is

feasible and results in acceptable long term outcomes, especially with regards to GRFS and chronic GVHD incidence. We believe that ATG can be considered a reasonable alternative for the triple drug strategy that was recently published [4], especially for centers with limited experience with sirolimus or those unable to perform therapeutic drug monitoring that is required for safe use in the light of complex drug-drug interactions.

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AUTHOR CONTRIBUTIONS

WV, SD, GC, MW designed the study. WV, GC, MW collected patient, donor and HCT data. AM obtained and supervised data on HLA-typing. WV, SD, GC, MW, JK, AM, NB, GH analyzed and interpreted the data. AH, WV performed the statistical analysis. WV, SD, GC, MW wrote the manuscript. All authors critically revised and approved the final manuscript.

Compliance with ethical standards

CONFLICT OF INTEREST

The authors declare no competing interests.

ADDITIONAL INFORMATION

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