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# Incidence and predictive factors for developing vesicoureteric reflux in individuals with suprasarcral spinal cord injury: a historical cohort study

Patpiya Sirasaporn<sup>1</sup> · Jittima Saengsuwan D<sup>1</sup>

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## Abstract

Study design A historical cohort study

**Objectives** The aim of the study was to examine the incidence of and predictive factors for VUR in individuals with suprasacral spinal cord injury (SCI).

Setting Srinagarind Hospital, Khon Kaen University, Thailand

**Methods** Medical records were reviewed for all individuals with SCI and neurogenic bladder admitted for urological checkup between 1996 and 2016. The primary outcome was the cumulative incidence of VUR. The statistical tests used included the Nelson–Aalen Estimator and Cox Proportional Hazard Ratio. Harrell's C concordance statistic was used to evaluate the discrimination ability of the predictive model.

**Results** 293 participants with SCI (102 tetraplegic and 191 paraplegic) were included. Most participants were male (67%), and the median age was 52 years. The overall incidence of VUR was 7.5 cases per 100 person-years (95% CI, 6.15–9.4). In the multivariate analysis, the predictive factors for VUR were: (1) maximum detrusor pressure at first visit  $\geq$  75 cm of water (HR<sub>adj</sub>: 2.4 [95% CI: 1.4–4.1]); (2) indwelling urethral catheterization (IUC) (HR<sub>adj</sub>: 11.1 [95% CI: 3.9–31.7]) and clean intermittent catheterization (CIC) (HR<sub>adj</sub>: 6.5 [95% CI: 2.2–18.7]); (3) age  $\geq$  60 years at onset of SCI (HR<sub>adj</sub>: 1.7 [95% CI: 1.1–2.8]); and, (4) absence of antimuscarinic medication (HR<sub>adj</sub>: 3.8 [95% CI: 2.4–6.1]). The predictive model had an overall C-index of 0.78.

**Conclusions** The incidence of VUR was high up to 12 years after SCI. High maximum detrusor pressure, IUC, age  $\ge 60$  years and absence of antimuscarinic medication were predictive factors for VUR.

# Introduction

Neurogenic bladder is one of the most common problems found after spinal cord injury (SCI). This condition can lead to two types of abnormality in bladder function during the storage and emptying phases: overactive bladder and/or incomplete emptying of the bladder [1]. These problems require bladder management for urinary drainage, such as indwelling urethral catheterization (IUC) or clean intermittent catheterization (CIC) [2]. Individuals with SCI require urological long-term care to maintain their quality of life [3]. Investigations of the urological system include: urinalysis, urine culture, ultrasonography, urodynamic study, and voiding cystourethrography [4]. Despite advances in early urological investigation and medical treatments, affected persons continue to endure urological complications (i.e., vesicoureteric reflux (VUR), renal calculi, hydrone-phrosis, and urinary tract infection) [2, 5–7].

One of the most common complications in neurogenic bladder is VUR which is a condition in which urine flows backward from the bladder to one or both ureters and sometimes to the kidneys. Its prevalence is in the range of 8–24% in individuals with SCI [2, 8–10]. It was noted that most people with SCI developed VUR within 4 years after injury [2, 8, 9, 11]. VUR is one of the causes of morbidity and mortality in people with SCI. If VUR is not properly treated, the condition can lead to recurrent

Jittima Saengsuwan sjittima@kku.ac.th

<sup>&</sup>lt;sup>1</sup> Department of Rehabilitation Medicine, Faculty of Medicine, Khon Kaen University, Khon Kaen, Thailand

urinary tract infection, hydronephrosis, renal failure, and/or death [3, 12].

The vesicoureteral junction (VUJ) is on the border of the upper urinary tract and the lower urinary tract and it protects the upper tract from reflux by active and passive anti-reflux mechanisms [13]. The VUR can be classified into 2 types: the primary type is an anatomical defect that results in incomplete closure of the VUJ; and a secondary type, which is the main cause of VUR in SCI, which is due to elevated bladder pressure either during the filling phase or the voiding phase [14]. Additionally, failure of VUJ can contribute to VUR which may be caused by chronic infections weakening the valve mechanism due to scarring of the VUJ;[15] and bladder trabeculations or diverticula near the ureteral orifice, thus preventing the submucosal ureteral tunnel being passively compressed [14].

Suprasacral SCI is associated with VUR [16]. This may be because participants with suprasacral SCI have detrusor overactivity which results in high filling pressure and bladder outlet obstruction from detrusor external sphincter dyssynergia (DESD) which results in high voiding pressure, thus contributing to VUR and upper tract deterioration [12]. An SCI between thoracic level 10 and lumbar level 2 which involves the sympathetic nervous system shows a significantly higher risk of VUR than in other spinal cord regions, which may be due to sympathetic injury affecting the VUJ mechanism and bladder compliance [17]. Age at onset of SCI, duration of SCI, types of bladder management especially indwelling urethral catheterization (IUC), duration of IUC of more than 6 months, urodynamics findings of low bladder capacity, low bladder compliance, high urethral pressure, high maximum detrusor pressure, high detrusor leak point pressure, abnormal radiologic lower urinary tract findings, recurrent urinary tract infection and the absence of antimuscarinic usage were found to be associated with VUR in univariate and multivariate analysis [10, 18–22].

If the aim of urologic surveillance is early detection of such urological complications, it may be useful to see the natural history of VUR development (i.e., incidence of VUR). Since follow-up is time and resource limited, it would be best to allocate the resource to the group with the highest risk and/or at a specific time point of highest risk. To the best of our knowledge, most published studies analyzed data from cross-sectional or retrospective studies, and there have been no published studies on the incidence of VUR in individuals with SCI from a cohort study. The purpose of the present study was to describe the incidence of VUR and to identify the predictive factors associated with the development of VUR in individuals with suprasacral SCI.

#### Methods

#### Study design and participants

Our historical cohort study was reviewed and approved by the Khon Kaen University Ethics Committee in Human Research (HE591494). We obtained data from the hospital's OPD records and IPD reviews. The data set contained: sex, age, cause of SCI, neurological level, duration of SCI, duration from SCI to first urological checkup and to outcome, medication, radiologic findings, methods of bladder managements, and urodynamic results.

## Methodology

We analyzed the data from all participants with neurogenic bladder after SCI who underwent the urological check-up between January, 1996 and December, 2016 at Srinagarind Hospital, Thailand. The urological check-up data included annual urodynamic studies and vesicoureterography. The outcome of the study was a first episode of VUR identified by vesicoureterography.

Demographics and clinical data were collected on all available participants who had had a urological checkup. Excluded from the study were participants who had myelomeningocele or did not go to early follow-up for a urological check-up (time after SCI and first check-up more than 2 years apart). We were thus able to identify 293 participants.

Urodynamics were determined by standardized procedure. The bladder was filled and the intravesical pressure recorded via a transurethral double-lumened catheter while abdominal pressure was recorded by a rectal indwelling catheter. Sterile saline solution was used to fill the bladder at a rate of 20 cc/min [23]. Bladder compliance was calculated by the detrusor pressure and corresponding bladder volume at cystometric bladder capacity or immediately before the start of detrusor pressure was defined as the highest detrusor pressure measured during filling cystometry [24].

The primary outcome measure was presence of VUR. For each cohort member, person-years at risk were calculated commencing upon diagnosis of SCI, and finishing on the date of VUR documentation, loss of follow up, death or the end of December 2016 when the data set was frozen.

# Terminology

'Neurogenic bladder'' was diagnosed according to the definition of adult neurogenic lower urinary tract dysfunction (ANLUTD) which refers to abnormal or difficult function of the bladder or urethra in mature individuals in the context of clinically confirmed relevant neurologic disorder; [25] specifically, in the present study, disorder refers to SCI with AIS classification A–D. 'Balanced bladder' describes a state where patients can empty their bladder with a maximum detrusor pressure below 60 cm  $H_20$  and with a post-void residual urine less than 100 ml [26] for 3 days. 'Intermittent catheterization' describes patients who drain their urine from the bladder with subsequent removal of the catheter either combined without or with voluntary voiding (IC based on residual urine).

#### Statistical analysis

All participants were enrolled into the cohort on the date of their first recorded SCI. Follow-up continued until December 31, 2016, unless they had VUR. Participants who were lost to follow-up were censored at the time of their last urological check-up. Person-years at risk (PYAR) were calculated from the date of censoring and of VUR. Nelson-Aalen estimator was used to demonstrate the cumulative risk of VUR. The log-rank test was used to assess differences between the incidence rate across age at index date of SCI, sex, level of spinal cord injury, bladder compliance, cystometric bladder capacity, maximum detrusor pressure at first visit, antimuscarinic medication, the presence of abnormal radiologic findings classified by Ogawa's classification of bladder deformity [27] and bladder management methods. Univariate and Multivariate Cox Proportional hazard ratios (HRs) and the respective 95% confidence intervals (CIs) were calculated to determine the independent effect of each variable and the overall rates of VUR. The age at index date of SCI, maximum detrusor pressure at first visit, antimuscarinic medication and bladder management methods were included in the adjusted model. Multicollinearity was tested on all variables before adding each into the regression model. In order to find the optimum cut-off point for maximum detrusor pressure, each individual cut-off score was tested to determine sensitivity and specificity for having VUR. The optimum cut-off was determined by the Youden's index. Discrimination ability of the predictive model was evaluated from Harrell's C concordance statistics and reported in overall C-index [28]. A Cindex of more than 0.9 indicates high accuracy, a value from 0.7 to 0.9 indicates moderate accuracy and a value around 0.5 indicates no predictive ability [29]. All statistical tests were two-sided and the significance level was 0.05. All statistical analyses were done using Stata version 13.0 (Stata Statistical Software: Release 13. College Station, TX: Stata Corp LP).

# Results

293 participants with SCI were included (Fig. 1): 102 were tetraplegic and 191 were paraplegic. Most of the

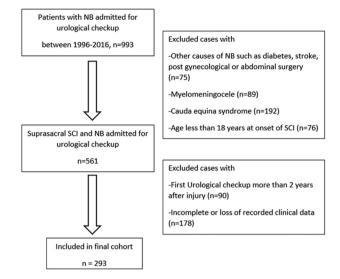


Fig. 1 Flow chart. Identification of participants with neurogenic bladder with suprasacral SCI admitted from 1996 to 2016.

participants were male (67%), and the median age was 52 years. The median duration of documented SCI to first urological check-up was 6 months. The median follow-up time in this cohort was 2 years and 7 months. The types of bladder management used included: (1) indwelling urethral catheterization (IUC) in 106 (37%) participants, (2) clean intermittent catheterization (CIC) in 108 (38%), and (3) balanced bladder in 70 (25%) (Table 1).

Of participants with SCI, there were 85 VUR events in the analysis cohort. The overall incidence of VUR was 7.5 cases/100 person-years at risk (PYAR) (95% CI, 6.1–9.3) (Table 2). The Nelson–Aalen estimator in Fig. 2 presents the estimates cumulative hazard of VUR in the overall cohort. The median cumulative hazard is 11.6 years.

In the univariate analysis and multivariate analysis, the predictive factors for VUR were (1) maximum detrusor pressure at first visit of more than 75 cm H<sub>2</sub>O (HR<sub>adj</sub>: 2.4 [95% CI: 1.4–4.1]); (2) bladder management methods by IUC (HR<sub>adj</sub>: 11.1 [95% CI: 3.9–31.7]) and clean intermittent catheterization (HR<sub>adj</sub>: 6.5 [95% CI: 2.2–18.7]); (3) age more than 60 years at the onset of SCI (HR<sub>adj</sub>: 1.7 [95% CI: 1.1–2.8]); and, (4) absence of antimuscarinic medication (HR<sub>adj</sub>: 3.7 [95% CI: 2.4–6.1]) (Table 3). The estimates cumulative hazard of VUR for each variable are presented in Fig. 3. The prediction model yielded an overall C-index of 0.78 which indicates moderate accuracy.

### Discussion

Our study aimed to determine the incidence of VUR and to determine the predictive factors for VUR in participants after SCI. Most studies reported a prevalence of VUR between 8–24% among individuals with SCI [2, 8–10]. after

Variables	N (%)
Sex	
Male	196 (66.9)
Female	97 (33.1)
Age (years), Median (IQR)	51.9 (38.9-64.0)
SCI level	
Tetraplegia:	102(34.8)
Complete	29
Incomplete	73
Paraplegia:	191 (65.2)
Complete	85
Incomplete	106
Cause of SCI	
Trauma	137 (46.8)
Non-Traumatic	
Degenerative	7 (2.4)
Acquired abnormalities: vascular, inflammatory	51 (17.4)
Neoplastic	41(14.0)
Infection	48 (16.4)
Others	9 (3.1)
Duration of documented SCI to first urological check up (years), Median (IQR)	0.5 (0.2–0.9)
Follow up time (years), Median (IQR)	2.6 (1.2-5.3)
Bladder management methods, number (%)	
IUC	106 (37.3)
CIC	108 (38.0)
Balanced bladder	70 (24.7)

*SD* standard deviation, *IQR* Interquartile range, *SCI* spinal cord injury, *CIC* Clean intermittent catheterization, *IUC* indwelling urethral catheterization.

SCI. To our knowledge, ours is the first study to report the incidence of VUR (viz., 7.5 cases per100 PYAR [95% CI, 6.1–9.3]). The incidence of VUR was high up to 12 years

As with previous studies, we found that IUC was a strong predictive factor for VUR [10, 20]. There are a few reasons that could account for this. It is possible that IUC may promote an inflammatory response and infectious process which may lead to changes at the ureteral orifice from infection [30] or changes in bladder connective tissue content [31]. These process together with continual bladder decompression may accelerate bladder wall fibrosis. Larsen et al. suggested that severe, histological, detrusor fibrosis was likely a risk factor in upper urinary tract deterioration [32]. Alternatively, IUC may be related to unknown neurological mechanisms-such as aberrant local reflexes or altered bladder and outlet interaction-that may contribute to VUR [33]. Our study supported Lamid who reported that having an IUC did not prevent reflux formation and did not protect the refluxing kidney from damage [11]. IUC was,

Table 2 Incide	nce of VUR by v	variables and log rank te	st.
Variables	VUR events	Incidence cases/100	P valu

Variables	VUR events	Incidence cases/100 PYAR (95% CI)	P value				
Overall SCI cohort	85	7.5 (6.1–9.3)	NA				
Gender							
Male	59	8.1 (6.2–10.4)	0.57				
Female	26	7.4 (6.2–10.4)					
Age at onset of SC	I						
<60 years	53	6.3 (4.8-8.3)	0.032				
≥60 years	32	11.4 (8.0–16.1)					
Duration after SCI							
<5 years	61	7.9 (6.1–10.1)	0.17				
6-10 years	20	9.5 (6.1–14.7)					
>11 years	2	2.6 (0.6-10.3)					
Level of spinal cor	Level of spinal cord injury						
Tetraplegia	27	7.4 (5.1–10.7)	0.87				
Paraplegia	58	7.7 (6.0-10.0)					
Lesion level							
T10-L2	28	7.7 (5.3–11.1)	0.84				
Others	57	7.6 (5.8–9.8)					
Completeness of le	sion						
Complete	26	6.9 (4.7-10.2)	0.94				
Incomplete	59	7.8 (6.1–10.1)					
Maximum detrusor	pressure at 1st v	visit					
<75 cm H2O	61	6.4 (5.0-8.2)	< 0.0001				
≥75 cm H2O	21	16.1 (10.5-24.6)					
Bladder compliance	e at 1st visit						
≥10 ml/ cm H <sub>2</sub> O	27	6.1 (4.2-8.9)	0.27				
<10 ml/ cm H <sub>2</sub> O	51	7.6 (5.8–10.0)					
Cystometric bladder capacity							
<200 ml	51	7.2 (4.9–10.5)	0.12				
≥200 ml	27	6.9 (5.2–9.1)					
Antimuscarinic medication							
Yes	40	5.1 (36.9)	< 0.0001				
No	39	13.3 (9.7–18.1)					
Ogawa's classificat	ion of bladder d	eformity at 1st visit					
grade <2	64	7.0 (5.5–9.0)	0.76				
grade ≥2	12	7.2 (4.1–12.7)					
Bladder manageme	nt methods						
Balanced bladder	6	2.6 (1.1-5.7)	0.0001				
CIC	34	6.4 (4.6–9.0)					
IUC	42	12.7 (9.4–17.2)					

*VUR* vesicoureteric reflux, *SCI* spinal cord injury, *AIS* American spinal injury association impairment scale, *Pdet* detrusor pressure, *CIC* clean intermittent catheterization, *IUC* indwelling urethral catheterization, *NA* not applicable.

moreover, shown to be associated with higher urological complications such as urinary tract infection, bladder calculi, and severe urethral incompetence [32, 34–36].

CIC has a higher chance of causing the development of VUR compared to participants with balanced bladder. The ability to void with acceptable residual urine of less than 100 ml in patients with balanced bladder may imply a lesser degree of neurological involvement such as lower degrees of detrusor overactivity and detrusor external sphincter dyssynergia thus such patients would be less likely to

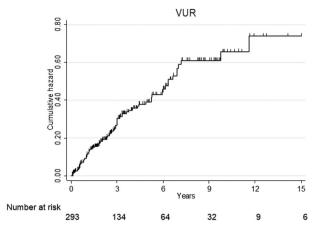


Fig. 2 Cumulative hazard estimates of VUR. Overall follow-up data for 293 participants with suprasacral SCI.

develop VUR. Nevertheless, in patients with residual urine and unable to reach a balanced bladder, CIC is a better choice of bladder management as the incidence of VUR and other urological complications is lower than IUC.

Absence of anticholinergic medication, high maximum detrusor pressure ( $\geq$ 75 cm H<sub>2</sub>O), and leak point pressure (≥40 cm H<sub>2</sub>O) were risk factors and predictive factors for upper tract deterioration in participants with SCI [21, 22, 37]. Our study showed that the presence of maximum detrusor pressure of ≥75 cmH<sub>2</sub>O at first urological checkup and absence of antimuscarinic drug usage are predictors for developing VUR in the future. The cut-off point for maximum detrusor pressure found in our study (≥75 cm H<sub>2</sub>O)—obtained from the ROC curve analysis was similar to that found by Cetinel et al. [22]. In contrast to previous studies, we did not find abnormal radiographic findings, low cystometric bladder capacity, or low bladder compliance as predictors for developing VUR [10, 22]. We propose that since previous studies were retrospective, the results may indicate an association between the latest urodynamic or radiologic findings and the presence of VUR. Our study used the early data from the first urological checkup, thus in the beginning the low bladder capacity or abnormal radiologic findings might not yet be fully demonstrated.

Age over 60 years was a significant predictive factor for VUR. Weld et al. reported that IUC trended to be used in elderly patients [20]. The significant association of age over 60 years at SCI in the multivariate analysis implies that there may be another factor that increases the chance of developing VUR in older persons that is independent of the methods used for bladder management. This may be due to weakening of the vesicoureteric sphincter in old age, or it may be that antimuscarinic medication used in old age is lower dosage than in younger age groups because of side effects thus making detrusor pressure not well-controlled.

 Table 3 Unadjusted and adjusted hazard ratio between different variables and VUR.

	Unadjusted		Adjusted	
	HR (95% CI)	P value	HR (95%CI)	P value
Gender				
Female	Ref			
Male	1.1 (0.7–1.8)	0.57		
Age at onset of S	CI			
<60 years	Ref		Ref	
≥60 years	1.6 (1.0-2.5)	0.033	1.7 (1.1-2.8)	0.023
Level				
Tetraplegia	Ref			
Paraplegia	1.0 (0.7–1.6)	0.87		
Completeness of 1	lesion			
Incomplete	Ref			
Complete	1.0 (0.6–1.6)	0.94		
Maximum detruso	or pressure at 1st	t visit		
<75 cm H <sub>2</sub> O	Ref		Ref	
≥75 cm H <sub>2</sub> O	2.5 (1.6-4.2)	< 0.001	2.4 (1.4-41)	0.001
Bladder complian	ce at 1st visit			
>10 ml/ cm H <sub>2</sub> O	Ref			
≤10 ml/ cm H <sub>2</sub> O	1.3 (0.8–2.1)	0.28		
Cystometric blade	ler capacity at 1	st visit		
≥200 ml	Ref			
<200 ml	1.1 (0.7–1.7)	0.72		
Antimuscarinic m	edication			
Yes	Ref		Ref	
No	2.4 (1.6–3.9)	< 0.001	3.8 (2.4-6.1)	< 0.001
Ogawa's classifica	ation of bladder	deformity	at 1st visit	
grade <2	Ref			
grade ≥2	1.1 (0.6–2.0)	0.76		
Bladder managem	ient			
Balanced bladder	Ref		Ref	
CIC	2.6 (1.1-6.2)	0.031	6.5 (2.2–18.7)	0.001
IUC	5.0 (2.1–11.7)	<0.001	11.1 (3.9–31.7)	<0.001

*VUR* vesicoureteric reflux, *HR* hazard ratio, *SCI* spinal cord injury, *CIC* clean intermittent catheterization, *IUC* indwelling urethral catheterization.

Similar to a previous study, sex and completeness of lesion are not significantly associated with VUR [19]. We did not find any evidence of predominance of lesion level. By comparison, one study reported that lesions between T10-L2 were associated with a higher risk of VUR than other levels perhaps because the sympathetic system supplies the area of trigone such that lesions between T10-L2 would disrupt the sympathetic system [2, 17] Further studies are needed to confirm this.

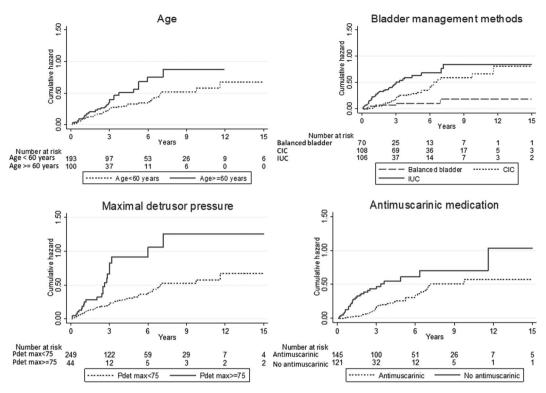


Fig. 3 Cumulative hazard estimates of VUR. This shows univariate effect of (A) age, (B) bladder management methods, (c) maximal detrusor pressure at first visit and (D) anticholinergic medication.

It should be noted that the current study mainly focused on predictive factors. Although the analyzing methods are the same for the study of risk and predictive factors, predictive factors do not necessarily indicate causation which is different from risk factors [38]. Any association, causal or non-causal, can serve as predictive factors. Additionally, the concepts of confounding, mediation and effect measure modification are not applicable to predictive models and variables that cannot be manipulated can be included [39, 40]. The findings in our study should be interpreted with caution. For example, CIC and age may not serve as risk factors themselves, but as predictive factors [41, 42].

The main limitation of our study was that the design of our study involved a historical cohort. There were missing data and some missed factors that are common risk factors associated with VUR, such as UTIs or the presence of detrusor external sphincter dyssynergia, which may add more accuracy in the predictive model. The rate of occurrence of VUR more than 12 years after SCI should be interpreted with caution because of a low number of individuals at risk mainly due to loss of follow up in the later years. Generalizability of data may be limited due to a single center source and the lost to follow up population. Additionally, loss of follow up population may cause an over- or underestimate of the association between the predictor and the outcome. Notwithstanding, the results of the current study provide a basis for further studies and may guide the development of VUR surveillance concepts.

In conclusion, the incidence of VUR was high up to 12 years after SCI. The occurrence of VUR should be considered, especially among participants with SCI (1) with IUC, (2) with high maximum detrusor pressure ( $\geq$ 75 cm H<sub>2</sub>O) at first visit, (3) who are older than 60 years and, (4) with absence of antimuscarinic medication.

#### Data availability

The datasets generated and/or analyzed during the current study are available from the corresponding author on reasonable request.

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Author contributions PS designed the research question, collected the data, drafted the paper and wrote the final version of the paper. JS designed the research question, collected the data, analyzed the data and commented on the final version of the paper.

#### **Compliance with ethical standards**

**Conflict of interest** The authors declare that they have no conflict of interest.

**Statement of Ethics** This study was approved by the Ethics Review Committee of Khon Kaen University, Thailand (HE591494). We certify that all applicable institutional and governmental regulations concerning the ethical use of human volunteers were followed during the course of the research.

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