

## CLINICAL RESEARCH ARTICLE



# Predictive characteristics to discriminate the longitudinal outcomes of childhood asthma: a retrospective program-based study

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**BACKGROUND:** Childhood asthma is an inflammatory disease with heterogeneous outcomes. We sought to determine the impact of total IgE, blood eosinophil, allergen sensitization, and inhaled corticosteroid (ICS) on longitudinal outcomes and to identify characteristics for discriminating different outcomes.

**METHODS:** We conducted a retrospective study in 383 childhood asthma patients and another 313 patients with blood eosinophil data only receiving regular program-based visits from September 1, 2004, to December 31, 2018. Peak expiratory flow (PEF) variability, PEF predicted %, asthma severity, and asthma control at each visit were assessed as clinical outcomes.

**RESULTS:** Our data show that the percentage of blood eosinophils was significantly associated with increased asthma severity (OR: 1.043, 95% CI: 1.002–1.086,  $P = 0.0392$ ). Mold sensitization was significantly associated with asthma severity (OR: 2.2485, 95% CI: 1.3253–3.8150,  $P = 0.0027$ ). Characteristics including sensitization status plus ICS dosage had the best area under the receiver operating characteristic curve (AUC) value for predicting longitudinal PEF predicted % (0.6609), PEF variability (0.6885), asthma severity (0.5918), and asthma control (0.6441), respectively.

**CONCLUSIONS:** We showed that the risk for adverse clinical outcomes at follow-up differed between serum IgE, blood eosinophil, and allergen sensitization identified at baseline. Sensitization and ICS dosage were predictive characteristics of long-term clinical outcomes.

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## IMPACT:

- The unique aspects of the study are its longitudinal assessment of patients receiving guideline-based asthma management program to help characterize the stability of the clinical outcomes over time. Characteristics including allergen sensitization and ICS dosage demonstrated an improved capability for distinguishing between better and worse clinical outcomes. Through longitudinal serial assessment, this study indicates the risk for adverse clinical outcomes differed between children with serum IgE/blood eosinophil/allergen sensitization characterized at baseline.

## INTRODUCTION

Childhood asthma is a heterogeneous disease with diverse clinical and molecular phenotypes<sup>1</sup>. The major focus of asthma investigations is on the underlying immunoglobulin E (IgE)-mediated inflammatory mechanisms. T-helper 2 (Th2)-high asthma is characterized by exaggerated airway hyperresponsiveness, high total serum IgE, and peripheral blood eosinophilia<sup>2</sup>. Total serum IgE is related to the severity of atopy in allergic diseases<sup>3</sup>. In children, however, there are conflicting results regarding the relationship of asthma severity and control with total serum IgE. Some works show that the total serum IgE determines atopy but not its severity<sup>4</sup>, whereas others report a positive correlation

between total serum IgE and asthma severity and control in children<sup>5–12</sup>.

Serial measurements of blood eosinophil count correlate with asthma severity, and can be used for monitoring changes therein<sup>13–15</sup>. Blood eosinophilia is also observed in pediatric asthma and is related to disease severity<sup>16</sup>. Blood eosinophil counts have traditionally been used to monitor asthma control status<sup>17</sup>. There is a direct relationship between eosinophil count and the frequency of asthma exacerbations (that is, poor asthma control)<sup>18</sup>. Few studies of the association of both asthma severity and control with peripheral blood eosinophils have involved pediatric patients.

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Studies attempting to identify childhood asthma phenotype outcomes are hampered by the fact that most studies characterized patients at a single time point<sup>19</sup>. Furthermore, it is not clear whether controller therapy has been optimized based on guidelines and that compliance with treatment has been carefully assessed<sup>11</sup>. Furthermore, it is very challenging to demonstrate airflow limitation using the available lung function tests (i.e., spirometry, bronchodilation test, bronchial challenges), especially in children<sup>20</sup>. Most children with persistent asthma exhibit abnormal pulmonary function only during acute exacerbations. Characterization of asthma severity and control in pediatric patients should consider these observations.

The reported variables used by the area under the receiver operating characteristic curve (AUROC) method to predict asthma include sputum eosinophil<sup>21</sup>, blood eosinophil<sup>22</sup>, biomarker such as serum eosinophil-derived neurotoxin<sup>17</sup> and fractional exhaled nitric oxide (FeNO) levels, pulmonary function<sup>23</sup>, childhood asthma control test (c-ACT)<sup>24</sup>, and other clinical information. Incorporating information of both inhaled corticosteroid (ICS) and allergen sensitization to improve the AUC for predicting asthma risk had also been recently reported<sup>25</sup>. There are insufficient data supporting the use of baseline characteristics (total serum IgE level, percentage of blood eosinophil, allergen sensitization) along with follow-up ICS dosage using the AUROC method to improve discrimination of specific outcomes of asthma (e.g., asthma severity and asthma control).

The objective of this analysis was to assess the impact of total IgE, blood eosinophil, allergen sensitization, and controller ICS dosage on the longitudinal asthma outcomes, including lung function measured with peak expiratory flow (PEF), asthma severity, and asthma control, in a population of childhood asthma receiving asthma management program. We also aimed to evaluate the discriminative potential of these characteristics alone or in combination in discriminating the long-term outcome of childhood asthma.

## METHODS

### Improvement program for health care benefits of asthma (National Health Insurance, Taiwan)

In September 2004, National Taiwan University Hospital joined this program. The program introduces incentives to improve the quality of asthma care, encourages hospitals to engage in patient-centered complete asthma care, improves compliance with guidelines, strengthens follow-up visits and health-education services for asthmatic patients, and ensures the provision of complete and continuous care. This program also uses asthma case managers to provide a multifaceted approach to asthma care for "high-risk" outpatients; this approach is tailored to patients and is implemented every 3 months (refer to Supplementary Material for detail of program component).

**Inclusion criteria.** The inclusion criteria for patients were as follows: diagnosed with asthma within 90 days prior to enrollment by the same physician and had completed at least two outpatient visits to that physician; willing to comply with asthma care regulations and with follow-up contacts by our asthma case managers; and received care and follow-up from their physician for at least 3 months.

**End of enrollment.** 1. Completion of self-care and self-evaluation (disease resolved).

2. Refusal by the patient of further contact by asthma case managers and/or follow-up by asthma specialists.

3. Loss of contact and/or follow-up for >90 days.

4. Change in place of residence.

5. Death.

6. Noncompliance with the program regulations for >1 year.

7. Others (e.g., transfer to other care providers, change in diagnosis).

These patients continued to receive medications and treatments for their asthma as indicated by their asthma specialist and the Global Initiative for Asthma (GINA) guidelines<sup>26</sup>. The data were collected at

baseline (total serum IgE and blood eosinophil percentage), and the following clinical data were collected by asthma case managers during interviews and follow-up visits: (1) tracking/visit date, (2) frequency of daytime symptoms, (3) frequency of nighttime symptoms, (4) peak expiratory flow (PEF) (predicted %), (5) PEF variability (%), (6) asthma severity, and (7) asthma control.

Enrolled patients were provided comprehensive information on asthma care, including the pathophysiology of asthma, how to diagnose asthma, asthma severity, environmental allergens, and control, management of acute attacks, long-term control of asthma, asthma relievers and controllers, and the importance of monitoring PEF. We instructed the patients in the correct method of inhalation, the timing of medications, and measurement of the peak PEF at home.

## Patients

**Asthma diagnosis.** Asthma diagnoses were made based on the following criteria: history of cough, recurrent wheezing, recurrent difficulty breathing, and recurrent chest tightness. Symptoms tend to occur or worsen at night or with exercise, viral infection, exposure to allergens and irritants, changes in weather, hard laughing or crying, and stress. Diagnostic criteria also included a family history of eczema, hay fever, asthma, or atopic disease. Complete physical examinations focused on both the upper and lower respiratory tracts, chest (use of accessory muscles, appearance of hunched shoulders, barrel configuration to the chest indicating chronic air trapping), nose (allergic "shiners," allergic crease), and skin (atopic dermatitis). Radiographic studies may indicate radiographic signs of air trapping, such as hyperlucency, flattening of the diaphragms, increased anteroposterior (AP) diameter, or horizontal positioning of the ribs.

Children 5 years and younger with clinical features and characteristics suggesting asthma and children 6–11 years and adolescents (12–18 years) meeting the diagnostic criteria were diagnosed with asthma according to the National Asthma Education and Prevention Program: Expert Panel Report 3 (EPR-3)<sup>27</sup> and Global Strategy for Asthma Management and Prevention. Global Initiative for Asthma (GINA)<sup>26</sup>. From September 1, 2004, to December 31, 2018, we registered 1115 eligible children receiving regular program-based visits. Among them, 383 children with data on total serum IgE, allergen sensitization and percentage of blood eosinophil were enrolled. Another 313 children with percentages of blood eosinophil data only were also enrolled as the validation cohort. Data on PEF variability, PEF predicted %, asthma severity, and asthma control during the follow-up of these 696 patients were collected as clinical outcomes according to EPR-3<sup>27</sup>. Asthma and rhinitis were optimally managed by study physicians according to the applicable guidelines. This study was approved by the Institutional Review Board and Research Ethics Committee of the National Taiwan University Hospital and was conducted in compliance with the protocol for good clinical practices and the principles of the Declaration of Helsinki. The informed consents were obtained from all participants and/or their legal guardians.

Descriptive statistics were calculated for demographic and baseline characteristics. IgE values in excess of 5000 IU/mL were assigned a value of 5000 IU/mL. The geometric mean (GM) and the arithmetic mean (AM) were calculated to approximate the normal distribution for statistical inference and modeling.

**Peak expiratory flow (PEF).** We measured the PEF variability and predicted the PEF percentage according to the Guidelines for the Diagnosis and Management of Asthma (EPR-3)<sup>27</sup>. Diurnal PEF variability is calculated from twice daily PEF measurements as follows:  $(\text{day's highest} - \text{day's lowest}) / \text{mean of day's highest and lowest}$ , averaged over 1 week. Good reproducible results for peak expiratory flow rates could be obtained in children under 5 years of age<sup>28</sup>.

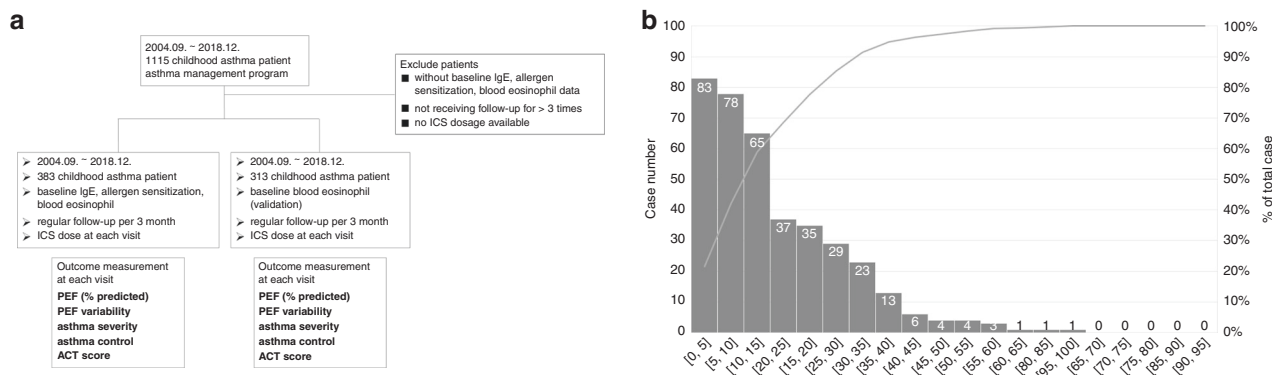
## Sensitization

Allergen sensitization was determined with multiple allergen simultaneous tests: *MAST Optigen* which contain 36 kinds of allergens (Hitachi Chemical Diagnostics, Mountain View, CA). Sensitization results were interpreted as class 0–4 with *MAST Optigen* luminometer. Class >1 was interpreted as positive sensitization.

Thirty-six serum allergen-specific IgEs (sIgEs) were grouped into the following categories: dust mites (Pyroglyphidae, Dermatophagoides farinae, Dermatophagoides pteronyssinus), danders/feathers (chicken feather: *Gallus gallus*; cat: *Felis domesticus*; dog: *Canis familiaris*), molds (*Alternaria alternata*, *Aspergillus fumigatus*, *Cladosporium herbarum*, *Penicillium notatum*), grasses/trees (Bermuda grass: *Cynodon dactylon*; Willow black: *Salix nigra*; Eucalyptus: *Eucalyptus globulus*; Cedar Japan:

**Table 1.** Binary classification of four clinical asthma outcomes.

| Clinical outcomes | Better                                | Worse   |
|-------------------|---------------------------------------|---|
| PEF predict %     | >80% of predicted; 60%–80% predicted  | PEF < 60% of predicted                            |
| PEF variability   | PEF variability (<20%)                | PEF variability (20%–30%); PEF variability (>30%) |
| Asthma severity   | Mild intermittent; mild persistent    | Moderate persistent; severe persistent            |
| Asthma control    | Well controlled; partially controlled | Poorly controlled                                 |



**Fig. 1 Schematic of study design** **a** Flow diagram illustrating study subject selection, clinic follow-up visits, baseline data, inhaled corticosteroid dose, and clinical outcomes collected throughout the study. **b** Pareto chart plot of clinic follow-up visits of 383 patients. The orange line showed the accumulated percentages of patients who received different times of clinic follow-up visits. The numbers below the columns indicate the range of times of outpatient visits for participants within that column. For example, [0,5] means there were 83 patients who received 0–5 times clinic follow-up visits while [95,100] means there was 1 patient who received 95–100 times clinic follow-up visits.

Cryptomeria japonica; mulberry mix: Morus alba; pigweed mix: Amaranthus retroflexus; ragweed mix: Ambrosia Elatior+ Ambrosia Trifida; Timothy grass: Phleum pratense), foods (avocado: Persea Americana; pork: Sus scrofa; beef: Bos taurus spp.; milk, cheddar cheese; shrimp: Penaeus spp.; crab: Callinectes sapidus; clam: Mercenaria mercenaria; codfish: Gadus morhua; tuna: Thunnus sp.; peanut: Arachis hypogaea; soybean: Glycine max; wheat: Triticum aestivum; brewer's yeast, egg yolk, egg white), cockroach mix (Blattella Germanica+ Periplaneta Americana), and latex (Hevea brasiliensis).

### Statistical analysis

Generalized estimating equations (GEEs) are important in the analysis of correlated data<sup>29</sup>. These data sets can be generated in longitudinal studies, in which patients are measured at different points in time, or in cluster studies, in which measurements are taken of patients who share a common characteristic. We performed GEE analysis using the GENMOD and GEE procedures in SAS software. Missing data are common in longitudinal studies and can be caused by dropouts or skipped visits. Both procedures implement the standard GEE approach for longitudinal data; this approach is appropriate for complete data or when data are missing completely at random.

We first analyzed the association between independent variables (characteristics: total serum IgE, percentage of blood eosinophil, allergen sensitization, and follow-up ICS dosage) and dependent variables (clinical outcomes). We conducted this analysis using the 3-level ICS dose classification. Low, medium, and high daily doses of inhaled corticosteroids (ICS) of estimated comparability were defined by GINA<sup>26</sup>. The patients were stratified by asthma severity for further analysis. Patients with the severity of either mild intermittent or mild persistent were divided into low severity groups. Patients with the severity of either moderate persistent or severe persistent were divided into high severity groups. The odds ratio (OR) presented herein are calculated via GEE analysis using the binary severity indicator as to the outcome variable (Table 1). Significant univariate variables ( $P < 0.05$ ) were included for multivariable analysis.

### AREA UNDER A RECEIVER OPERATING CHARACTERISTIC (AUROC) CURVE ANALYSIS

AUROC analysis was applied to differentiate children who would be a worse outcome from those who would be a better outcome.

Four independent variables (total serum IgE, blood eosinophil %, allergen sensitization, and ICS dosage) will be used to predict 4 outcomes (PEF predicted %, PEF variability, asthma severity, asthma control). Logistic regression models were used to compare the predictive performance of the combinations of independent variables.

## RESULTS

### Patients' characteristics

The mean follow-up times of our 383 patients were 16.4 times (range: 3–97 times). The median follow-up times were 12 times (interquartile range: 6–24 times) (Fig. 1). We had performed sensitivity analysis by re-analyzing the OR results again but with each time dropping the data from patients with a lower number of follow-ups (below 5 times), dropping the data from patients with higher number of follow-ups (above 40 times), and dropping the data from patients with either below 5 times or above 40 times, respectively (Supplementary Fig. 1). Generally speaking, the results were similar when sensitivity analysis was applied directly to re-analyze the data with dropping a different set of follow-up times (<5 times, <5 or >40 times, and >40 times) compared with the original results before the sensitivity test.

The demographic characteristics of the 383 patients are presented in Table 2. The most common degree of asthma severity was intermittent to mild persistent severity (79.38%). The most frequent level of asthma control was partially well controlled (55.65%). Most patients were sensitized to mites (78.59%) and concurrently suffered from AR (53.0%).

### Relationship between total serum IgE levels and percentage of blood eosinophils with allergen sensitization and comorbidity

Pearson's correlation analysis revealed a moderate correlation between total serum IgE level and percentage of blood eosinophils (Pearson's  $r = 0.3473$ ,  $P < 0.0001$ ) (Fig. 2). The sensitizations to all allergens were significantly correlated with total serum IgE.

**Table 2.** Descriptive characteristics of 383 patients with childhood asthma.

|  | Total subject (n = 383)   |
|--|---------------------------|
| Age at enrollment, mean ± SD (range)             | 6.204 ± 2.925 (1.42–17.0) |
| F:M (ratio)                                      | 160:223 (0.72)            |
| Average asthma severity <sup>a</sup> (mean ± SD) | 1.866 ± 0.4581            |
| Severity types (n, %)                            | 383                       |
| Intermittent                                     | 18 (4.70)                 |
| >Intermittent                                    | 150 (39.16)               |
| Mild persistent                                  | 154 (40.21)               |
| >Mild persistent                                 | 33 (8.62)                 |
| Moderate persistent                              | 27 (7.05)                 |
| >Moderate persistent                             | 1 (0.26)                  |
| Average asthma control <sup>b</sup> (mean±SD)    | 1.606 ± 0.3733            |
| Control levels (n, %)                            | 239                       |
| Well controlled                                  | 30 (12.55)                |
| <Well controlled                                 | 133 (55.65)               |
| Not well controlled                              | 67 (28.03)                |
| <Not well controlled                             | 4 (1.67)                  |
| Very poorly controlled                           | 5 (2.09)                  |
| Total serum IgE, AM ± SD (IU/mL)                 | 655.7 ± 912.9             |
| Total serum IgE, GM ± SD (IU/mL)                 | 278.2 ± 4.447             |
| Peripheral blood eosinophil %, mean ± SD (%)     | 5.171 ± 4.242             |
| Sensitization status (n, %)                      |                           |
| Dust mites                                       | 301 (78.59%)              |
| Danders  | 72 (18.80%)               |
| Molds  | 17 (4.44%)                |
| Grass  | 15 (3.92%)                |
| Foods  | 150 (39.16%)              |
| Cockroach  | 89 (23.24%)               |
| Latex  | 1 (0.26%)                 |
| Comorbidity, no. (n, %)                          |                           |
| AR   | 203 (53.0%)               |
| AD   | 11 (2.87%)                |
| AR + AD  | 116 (30.29%)              |
| Nil (asthma only)                                | 53 (13.84%)               |

AM arithmetic mean, GM geometric mean.

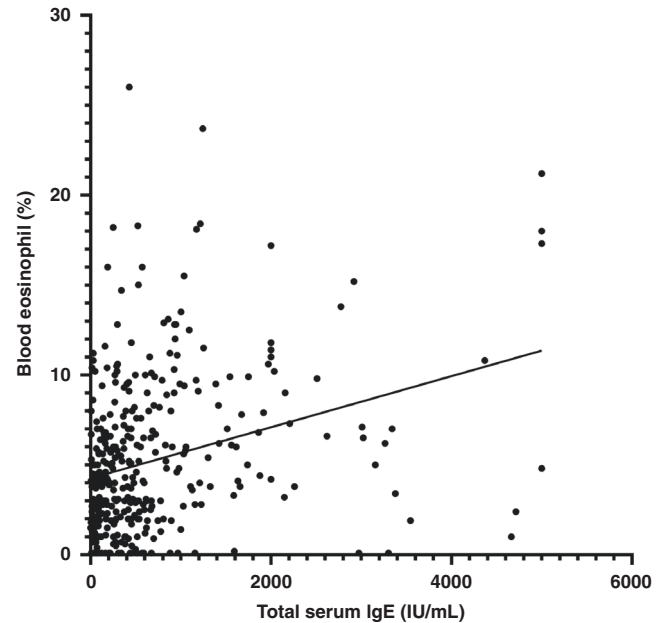
<sup>a</sup>Asthma severity score were defined as: intermittent (1 point), mild persistent (2 point), moderate persistent (3 point), and severe persistent (4 point).

<sup>b</sup>Asthma control score were defined as: well controlled (1 point), not well controlled (2 point) and very poorly controlled (3 point).

In univariate analyses, the percentage of blood eosinophil was associated with mite and dander/feather sensitization. The total serum IgE level, but not the percentage of blood eosinophils, was associated with AR and/or AD (Supplementary Table 1).

#### Effect of baseline total serum IgE level and percentage of blood eosinophil on longitudinal asthma outcomes

Total serum IgE levels were transformed into a log scale and divided by 1,000, respectively, and considered a continuous variable for the statistical models. The GEE analysis showed that IgE level (divided by 1,000) was slightly but not significantly associated with increased PEF variability (OR 1.3354, 95% CI: 0.8862–2.0124,  $P=0.1668$ ). Log IgE tended to be associated with better asthma control, but the association was not significant



**Fig. 2** Correlation between total serum IgE level and peripheral blood eosinophil percentage of 383 patients. Pearson's  $r=0.34725$ ,  $P<0.0001$ .

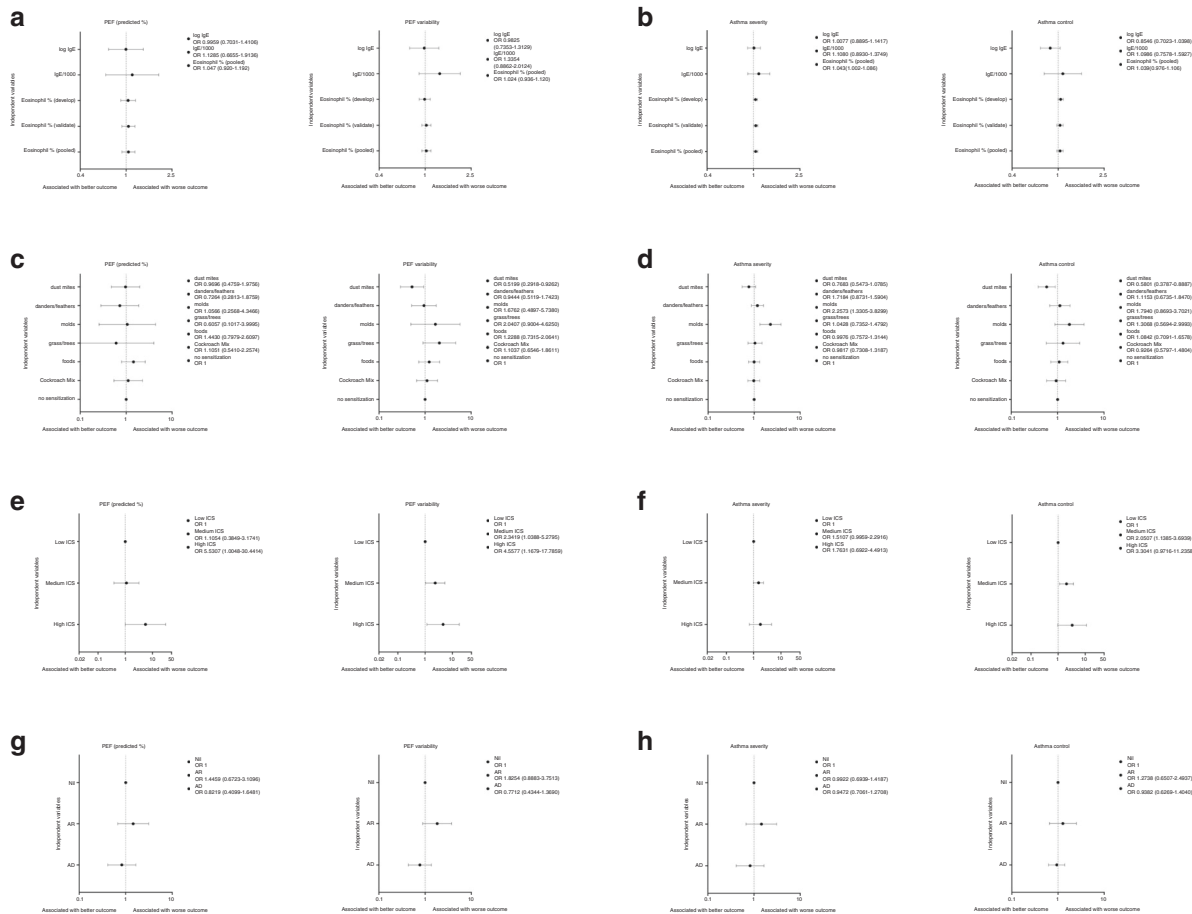
(OR = 0.8546; 95% CI: 0.7023–1.0398;  $P=0.1164$ ) (Fig. 3a, b). Of the 383 patients, percentage of blood eosinophils was marginally associated with asthma severity and with asthma control (OR: 1.0522, 95% CI: 0.9976–1.1098,  $P=0.0614$ ; OR: 1.0392, 95% CI: 0.9987–1.0814,  $P=0.0579$ ; respectively). We analyzed the validation cohort of another 313 patients with eosinophils data only (Supplementary Table 2) and found that elevated percentage of blood eosinophils tended to be associated with increased asthma severity and with poor asthma control (OR: 1.0444, 95% CI: 1.0033–1.0871,  $P=0.034$ ; OR: 1.039, 95% CI: 0.9762–1.1057,  $P=0.229$ ; respectively) (Fig. 3a, b). When the data from two groups of patients were pooled together (total 696 patients), the percentage of blood eosinophils was significantly associated with increased asthma severity (OR: 1.043, 95% CI: 1.002–1.086,  $P=0.0392$ ). For patients with higher asthma severity, the percentage of blood eosinophils was significantly associated with worse asthma control (OR: 1.127, 95% CI: 1.010–1.257,  $P=0.0323$ ) (supplement Fig. 2).

#### Longitudinal associations between baseline allergen sensitization and asthma outcomes

Mold sensitization was significantly associated with asthma severity (OR: 2.2573, 95% CI: 1.3305–3.8299,  $P=0.0025$ ) and was validated in a multivariate analysis (OR: 2.2485, 95% CI: 1.3253–3.8150,  $P=0.0027$ ) (Fig. 3c, d and Supplementary Table 3). Our findings were in consistent with that fungal sensitization is associated with disease severity in persistent childhood asthma<sup>11</sup>. Grass/trees sensitization tended to be positively associated with PEF variability, although the association was not significant (OR: 2.0407, 95% CI: 0.9004–4.625,  $P=0.0875$ ). Patients with mite sensitization seemed likely to be associated with lower PEF variability (OR: 0.5199, 95% CI: 0.2918–0.9262,  $P=0.0264$ ) and with better asthma control (OR: 0.5801, 95% CI: 0.3787–0.8887,  $P=0.0123$ ). However, these associations were not significant in the multivariate analysis (Supplementary Table 3). These associations were also not significant when patients were stratified by asthma severity (Supplementary Fig. 2).

#### Longitudinal associations between follow-up ICS dosage and asthma outcomes

Medium-dose ICS (OR: 2.3419, 95% CI: 1.0388–5.2795,  $P=0.0402$ ) and high-dose ICS (OR: 4.5577, 95% CI: 1.1679–17.7859,  $P=0.0290$ )



**Fig. 3 Association of clinical characteristics on odds ratio (OR) of asthma outcomes.** Effect of total serum IgE and blood eosinophil (a, b), allergen sensitization (c, d), ICS dosage (e, f) and co-morbidities (AR, AD) (g, h) on OR (95% CI) of having better or worse asthma outcome: PEF predicted % (>80% or 60–80% versus <60%), PEF variability (<20% versus 20–30% or >30%), asthma severity (mild intermittent or mild persistent versus moderate persistent or severe persistent), and asthma control (well-controlled or partially controlled versus poorly controlled). Legend: Eosinophil (develop): blood eosinophil % data from 383 patients of develop cohort; Eosinophil (validate): blood eosinophil % data from 313 patients of validate cohort; eosinophil % (pooled): blood eosinophil % data from 383 patients plus 313 patients (total 696 patients).

were significantly associated with PEF variability. For patients with high asthma severity, high-dose ICS but not medium-dose ICS was positively associated with increased PEF variability (OR: 8.000, 95% CI: 1.452–44.064,  $P = 0.0169$ ) (Supplementary Fig. 2). Medium-dose ICS was significantly associated with poor asthma control (OR: 2.0507, 95% CI: 1.1385–3.6939,  $P = 0.0168$ ) (Fig. 3e, f). The association with almost all clinical outcomes followed a dose-response relationship; the odds of association with four outcomes increased progressively with ascending categories of ICS dosage compared with the reference category of low dose ICS. ICS use could be considered a marker of asthma severity<sup>30</sup>. The risk of asthma persistence was significantly increased among those with the use of inhaled steroids<sup>31</sup>. Our findings suggest that different asthma outcomes could be differentiated according to the longitudinal maintenance dose of ICS.

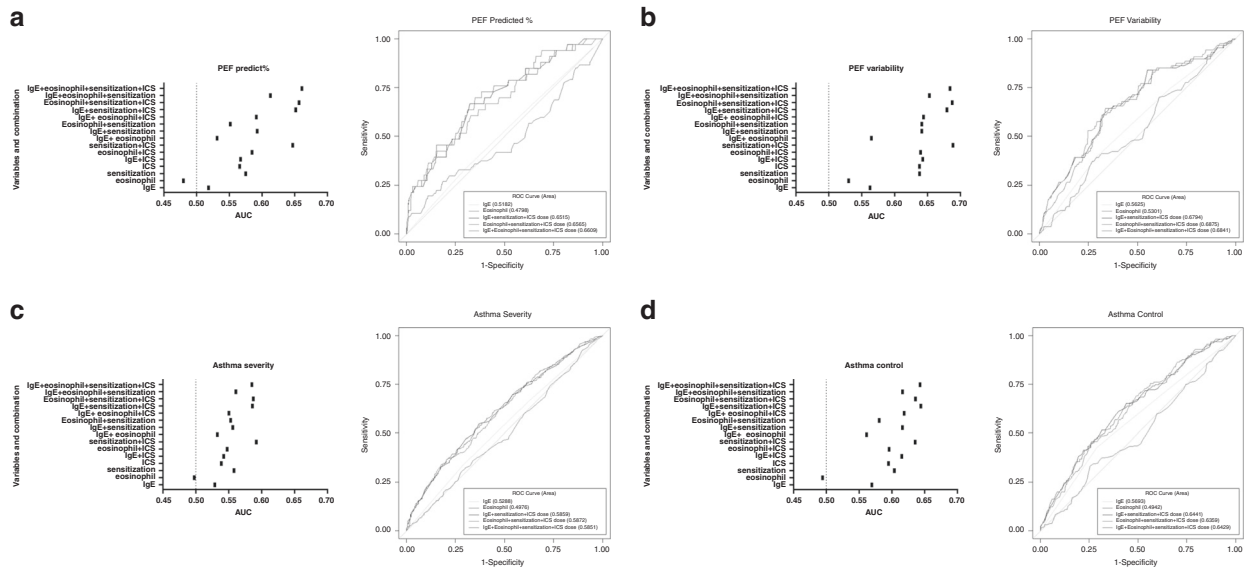
**Area under the receiver operating characteristic (ROC) curve (AUROC)**

We calculated prediction performance for the models based on total serum IgE alone, blood eosinophil percentage alone, allergen sensitization alone, and ICS dosage alone and successively combined with other variables to differentiate between better and worse clinical outcomes (Table 1). The analysis showed that, for all four types of outcomes, more variables added can improve

the discriminative capacity. Overall, the best performance is the prediction of PEF variability, and the worst performance is the prediction of asthma severity (Fig. 4). The discriminative abilities of IgE + eosinophil + sensitization + ICS as variables for predicting PEF predicted % were with an AUC value of 0.6609. The best AUC for predicting PEF variability with sensitization + ICS as variables were 0.6885. The combination of sensitization + ICS had a better predictive effect on asthma severity with the best AUC of 0.5918. The ROC curve indicated that IgE + sensitization + ICS had the highest predictive accuracy for asthma severity (AUC = 0.6441). Except for asthma severity, our AUC value is with acceptable discrimination ( $0.7 \geq \text{AUC} > 0.6$ )<sup>32</sup>. We demonstrated that characteristics including allergen sensitization plus ICS dosage demonstrated a predictive capability for distinguishing between better and worse clinical outcomes.

**DISCUSSION**

We showed that the risk for adverse clinical outcomes at follow-up differed between serum IgE, blood eosinophil, and allergen sensitization identified at baseline. Most childhood asthma studies support the finding that total IgE is positively correlated with asthma severity (Supplementary Table 4). High total IgE has been reported to be associated with uncontrolled asthma<sup>11,30</sup>. Our data show that total



**Fig. 4** Predictive performance to discriminate the specific asthma outcomes of 383 children using clinical characteristics. Comparison of prediction performance of area under receiver operating characteristic (ROC) curves for discrimination of specific asthma outcomes of **a** PEF predicted %, **b** PEF variability, **c** asthma severity, and **d** asthma control with different models using total serum IgE, blood eosinophil percentage, allergen sensitization, and ICS dose as univariate variables in 383 children with asthma. Compared with total serum IgE or blood eosinophil percentage, the combination of allergen sensitization plus ICS dosage were better predictors of all outcome status. Overall, the best performance is the prediction of PEF variability ( $AUC \cong 0.65$ ), and the worst performance is the prediction of asthma severity ( $AUC \cong 0.55$ ). The dotted line at 0.5 corresponds to the AUC value where a prediction model discriminates worse outcome from better outcome not better than at random.

serum IgE levels tended to be associated with increased PEF variability. Individual peak flow measurements are highly variable, and the PEF variability has greater predictive power for future exacerbations than individual PEF measurements<sup>33</sup>. Blood eosinophil counts have traditionally been used to monitor asthma control<sup>17</sup>. We demonstrated that the percentage of blood eosinophils was associated with long-term both asthma severity and asthma control in different patient cohorts. Our data on blood eosinophils is useful for the management of childhood asthma.

The GINA guidelines and multiple clinical trials have helped to guide current evidence-based treatments and to maximize the overall level of asthma control<sup>26</sup>. However, it is not possible to change the natural history of the disease. Despite therapy to control asthma symptoms and acute exacerbations, the outcomes of asthma appear largely resistant to pharmacological therapy<sup>34,35</sup>. Notably, the clinical pattern of greater asthma severity occurred despite sustained use of the highest step levels of guideline-based asthma controllers for years<sup>11</sup>. Because our study focused on long-term asthma disease course and there were a comparable number of patients in our study, the fact that ICS did not change the asthma phenotype outcome might be applicable to our study. Because there was a dose-response relationship between ICS dose and all asthma outcomes, thus, we suggest that ICS dose at follow-up can be used as a good parameter for predicting long-term asthma outcomes.

PEF is a valuable, easily available measurement that is well suited for monitoring trends in asthma control over time<sup>27</sup>. PEF determinations help document the variable nature of a patient's airflow limitation (obstruction), which is a central feature of asthma<sup>36</sup>. Increases in the sputum eosinophil count are correlated with decreases in morning PEF and loss of asthma control. Several studies have demonstrated that blood eosinophil counts correlate well with asthma severity in children<sup>14</sup>. We showed that the percentage of blood eosinophil was associated with higher asthma severity and poorer asthma control. We considered blood eosinophilia is a consequence of systemic inflammation and reflects the degree of airflow limitation<sup>37,38</sup>. Here, we suggest PEF as an alternative objective measurement of airflow status in children with asthma.

A considerable problem with studies attempting to identify asthma phenotype outcomes is that patient characterization occurs as a single evaluation and does not include a measure of outcome stability over time<sup>11</sup>. Furthermore, in most of these studies, it is unclear whether therapy has been optimized based on guidelines and whether treatment adherence has been carefully assessed. Boudier et al. reported that most studies have relied on short to medium follow-ups and suggested strong tracking of asthma activity and lung function over time<sup>39</sup>. Howrylak et al. showed that differences in clinical characteristics observed between children assigned to different clusters persist into young adulthood<sup>9</sup>. We suggest that serial longitudinal asthma outcomes assessments can be used as an observer-independent and data-driven asthma methodology that corresponds to the clinical phenotypes of childhood asthma.

Most research on predicting asthma has focused on predicting the diagnosis of asthma, such as with the Asthma Predictive Index (API). Our prediction tool can be used to identify ways to discriminate better from worse asthma severity, asthma control, and pulmonary function changes (PEF). In particular, the AUC from models integrating allergen sensitization and ICS dosage improved the discriminative capacity<sup>25</sup>. A specific IgE sensitization is demonstrable in a significant proportion of patients with severe forms of asthma<sup>12</sup>. We used total serum IgE, percentage of blood eosinophils, allergen sensitization, and ICS dosage as our predictors. In addition to the OR as a correlation indicator, our research further identified predictive methods (AUROC). Our method will help with clinical applications to predict asthma severity, asthma control, and changes in lung function (PEF).

There are some limitations. The effects of ICS treatment of longitudinal cohort studies are difficult to ascertain, given that treatment is more likely to be offered to those with more severe disease (confounding by severity). Not the collection of variables in a broad range of domains. Genetic information had also been shown to modulate the effects of therapy and therefore might influence long-term outcomes.

## CONCLUSION

Through long-term assessment, we demonstrated some baseline characteristics (blood eosinophil and allergen sensitization) were related to the subsequent manifestation of asthma outcomes. Given the paucity of data on the longitudinal impact of blood eosinophils on asthma outcomes and the emergence of new therapies (anti-IL-5 mAb), our data on blood eosinophils is potentially valuable. The unique aspects of the study are its longitudinal assessment of patients receiving guideline-based asthma management programs to help characterize the stability of the clinical outcomes over time. Characteristics including allergen sensitization and ICS dosage demonstrated an improved capability for distinguishing between better and worse clinical outcomes.

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## AUTHOR CONTRIBUTIONS

J.-H.L. conceptualized and designed the study, drafted the initial manuscript, and critically reviewed and carefully revised the manuscript. A.-L.C., S.-Y.H., and K.-Y.C. collected data, carried out the initial interpretation, and reviewed and revised the manuscript. B.-L.C., Y.-H.Y., Y.-T.L., L.-C.W., H.-H.Y., and Y.-C.H. supervised data interpretation, and reviewed and revised the manuscript.

## COMPETING INTERESTS

The authors declare no competing interests.

## CONSENT STATEMENT

This study was conducted in compliance with the protocol for good clinical practices and the principles of the Declaration of Helsinki. The informed consents were obtained from all participants and/or their legal guardians.

## ADDITIONAL INFORMATION

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