

# **POPULATION STUDY ARTICLE** Determinants of neonatal, post-neonatal and child mortality in Afghanistan using frailty models

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**BACKGROUND:** Afghanistan has one of the highest under-five mortality rates in South Asia, 70.4 per 1000 live births. Determinants need to be identified to reduce this rate. Knowledge of the existence of familial and community frailty will also assist in the reduction of under-five mortality.

**METHODS:** The 2015 Afghanistan Demographic Health Survey, including 32,712 live births, was analysed. Under-five mortality was disaggregated into neonatal, post-neonatal and child mortality and piecewise traditional Cox proportional hazard, variance-corrected and frailty models were developed. All the models identified determinants and the two frailty models examined the existence of familial and community frailty for each age group.

**RESULTS:** There was statistically significant evidence of community frailty. Breastfeeding status was a highly significant determinant under univariable and multivariable analysis for neonatal and post-neonatal mortality. Post-neonates of employed mothers also experienced increased mortality, particularly those whose mother worked in agriculture where the hazard ratio was 2.77 (95% CI 2.10, 3.65). Birth order 5+ was associated with increased mortality for all three age groups.

**CONCLUSION:** The Afghanistan Ministry of Public Health should identify frail communities. Support, such as daycare facilities, should be provided and early initiation of breastfeeding and breastfeeding throughout the post-neonatal period should also be encouraged.

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## **IMPACT:**

- The study identified determinants of neonatal, post-neonatal and child mortality.
- The study also established the presence of community frailty with respect to under-five mortality in Afghanistan.
- The study shows that the association of not breastfeeding and mortality is more acute in the early neonatal age group and it extends into the post-neonatal age group.
- The study identified the association of high birth order and mortality in the neonatal, post-neonatal and child age groups in Afghanistan.
- Policies should be implemented that encourage early initiation of breastfeeding to continue throughout the post-neonatal period and support for vulnerable families should be provided.

## INTRODUCTION

A global study estimated under-five deaths at 5.4 million in 2017.<sup>1</sup> This is a huge number given that the United Nations and World Health Organization have been working on the reduction of under-five deaths for over 30 years. A number of countries in South Asia have recorded some of the highest under-five mortality rates in the world. In an effort to reduce these rates, a couple of studies conducted in the region attempted to identify determinants. Chowdhury<sup>2</sup> identified breastfeeding status, place of residence, region of residence and previous death of a sibling as determinants in Bangladesh. Sohail and Neupane<sup>3</sup> identified maternal age, employment status of mothers and father's education as determinants in India and Pakistan. Identifying frail sub-groups may also aid in the reduction of under-five mortality

and at least one study had this focus where community and maternal frailty were identified in Bangladesh.<sup>4</sup>

Afghanistan, a South Asian country, has some of the highest under-five mortality rates in the region. In 2015, under-five mortality and neonatal mortality were 70.4 per 1000 and 40.5 per 1000, respectively.<sup>5</sup> The aim of the present study is to identify determinants of neonatal, post-neonatal and child mortality in Afghanistan. The determinants identified by an earlier study in Afghanistan are for neonatal mortality and included multiple gestation, larger and smaller than average birth sizes, maternal age  $\leq 18$ , maternal age  $\geq 35$ , and birth interval < 2 years.<sup>6</sup> Determinants of the other sub-age groups of under-five mortality, namely, post-neonatal and child mortality, also need to be identified. The study also aims to determine if there are familial

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Received: 8 December 2020 Accepted: 20 March 2021 Published online: 20 April 2021 and community frailty with respect to the named sub-groups. Frailty has not been previously investigated in Afghanistan.

The study is also new in investigating time-dependent variables as possible determinants of under-five mortality in Afghanistan. Knowledge of time-dependent determinants will identify the time period where the problem is most acute and provide for focused, effective and efficient use of resources in reducing under-five mortality. This investigation will therefore assist and guide healthcare planners, as they institute policies and allocate scarce resources, in their efforts to reduce under-five mortality.

#### **METHODS**

#### Data

The 2015 Afghanistan Demographic Health Survey (AfDHS) data were analysed. The data were collected from a nationally representative sample of 25,650 households. The AfDHS involved administering a woman's questionnaire to ever-married women, aged 15–49 years, in selected households in each province. Ever-married women who were permanent residents of the household or those who stayed over the night before were interviewed. The number of ever-married women actually interviewed was 29,461. The data were cleaned using the Stata software, where mislabeled and out of range values were identified and deleted. After cleaning, the data consisted of information on 32,712 children born during the five years before the interview.<sup>7</sup> The final data set had missing values of less than 4% for any of the variables analysed. All analyses were done using the Stata SE 15 (Stata Corp., College Station, TX) and R.<sup>8</sup>

## Independent variables

The potential determinants were identified after reviewing the literature and included breastfeeding status (not breastfed or breastfed), sex of the child (male or female), maternal age at first birth (<20, 20–29 or  $\geq$ 30 years), mother's current age group (<20, 20-29, 30-39 or 40 years+), number of births in the past 1 year (no births or one birth or more births), mother's age at birth of child (<20, 20–29, or ≥30 years), birth order (1, 2, 3, 4 or 5+), size of child at birth (very small and small, average or very large and large), source of drinking water (piped/well/protected or open source/unprotected/others), place of delivery (institutional or noninstitutional), delivery by caesarean (yes or no), mother's education level (no education or primary and higher), father's education (no education or primary and higher), mother's occupation (unemployed, professional/technical/managerial/clerical, agricultural/self-employed or service/manual skilled and unskilled), father's occupation (professional/technical/managerial/clerical, agricultural/self-employed or service/sales/manual skilled and unskilled), household wealth (poorest, poorer, middle, richer and richest), place of residence (rural or urban) and region of residence. The provinces were grouped into eight regions. Balkh, Faryab, Jawzjan, Samangan and Sar-E-Pul as the Northern region; Badakhshan, Baghlan, Kunduz and Takhar as the North Eastern region; Badghis, Farah, Ghor and Herat as the Western region; Bamyan and Daykundi as the Central Highland region; Kabul, Kapisa, Logar, Panjsher, Parwan and Wardak as the Capital region; Ghazni, Helmand, Kandahar, Nimroz, Urozgan and Zabul as the Southern region; Khost, Paktika and Paktya as the South Eastern region; and Kunarha, Laghman, Nangarhar and Nooristan as the Eastern region. Neither the effects of duration of breastfeeding nor exclusive breastfeeding on under-five mortality were investigated in the present study and breastfeeding status 'breastfed' included children who were breastfed for any length of time. Not breastfed children were those who were never breastfed. Breastfeeding status was measured through questions from the interview that asked if the child was ever breastfed or currently breastfeeding.

## Outcome variable

Under-five mortality was disaggregated into neonatal (first 28 days), post-neonatal (between 28 days and 1 year) and child mortality (between 1 year and 5 years). The outcome variable was the survival status of the child for these three age groups. One (1) was used if the child died during the period and 0 if the child survived the period.

#### Univariable analysis

Mortality rates, as a percentage of live births, were calculated for each category of the independent variables. Log-rank tests were performed to identify any statistical difference between the various levels. This was done for the neonatal, post-neonatal and child age groups.

## Multivariable analysis

The determinants were identified using piecewise versions of the traditional Cox proportional hazard model, two Cox variancecorrected models (clustered by family and community) and two frailty models that tested familial and community frailty. Here, familial refers to a mother and her offspring and community refers to the cluster or sample point used during the fieldwork. The survival times of individuals belonging to the same family or community may be dependent; therefore, the variance-corrected model adjusts at the inferential level for the dependency in such instances.<sup>9</sup> The estimates for the traditional model and the variance-corrected model are usually the same. However, there is an adjustment of the confidence interval (CI) with the variance-corrected by robust sandwich type standard errors.

The main assumption of the Cox proportional hazard model is the assumption of proportional hazard.<sup>10</sup> This was tested using a global Chi-square test and per-variable test using the cox.zph command in R. The Chi-square test, with one degree of freedom, tested the null hypothesis that the slopes of plots of scaled Schoenfled residuals were equal to zero. The independent variables where the null hypothesis was rejected (*p* value <0.05) were deemed time dependent. Log–log plots were also drawn to test the proportional hazard assumption for each independent variable.

The analytical models, used in the present study, were similarly used in a study done in India by Mani et al.,<sup>11</sup> however, the use of the piecewise version is a major adaption. The piecewise versions catered for time-varying independent variables and involved dividing the time period into strata where the proportional hazard assumption held true for each stratum. The strata were chosen after inspection of plots of Schoenfled residuals derived from the per-variable tests.

The structure of the traditional Cox proportional piecewise model is

$$h_{ji}(t) = h_0(t) \exp\left\{\sum_{j=1}^n \beta_j x_{ji}(t)\right\},$$

where  $h_{ji}$  (*t*) is the hazard for the *i*th child in the *j*th interval,  $h_0(t)$  is the baseline hazard, and  $\beta_j$  are log hazard ratios for covariates  $x_{ji}$  in the *j*th interval.

The structure of the variance-corrected Cox proportional hazard piecewise model is given by

$$h_{jjk}(t) = h_0(t) \exp\left\{\sum_{j=1}^n \beta_j x_{jjk}(t)\right\}$$

where  $h_{jik}(t)$  is the marginal hazard for the failure time of the *i*th child belonging to the *k*th mother or *k*th community in the *j*th interval. The  $x_{jik}$  is the covariate for the *i*th child belonging to the *k*th mother or *k*th community, in the *j*th interval.

The structure of the Cox frailty piecewise models is

$$h_{jjk}(t) = h_0(t) v_k exp\left\{\sum_{j=1}^n \beta_j x_{jjk}(t)\right\}$$

where  $h_{jik}(t)$  is the hazard function for failure time for the *i*th child belonging to the *k*th mother or *k*th community and  $v_k$  is the frailty for the *k*th mother or *k*th community. Gamma frailty was assumed, and a Chi-squared test examined the null hypothesis that the variance of frailty was equal to zero. The difference between estimates of the Cox frailty model and the estimates of the other two models is frailty.<sup>12-16</sup>

## Model selection

The variables for each model were selected using backward elimination. A time-independent, traditional Cox proportional hazard model was first fitted with all the variables and backward elimination was performed. This involved removing each variable in turn and comparing the Akaike information criterion (AIC). The variable that resulted in the lowest AIC was permanently removed from the model. This was done until there was no further reduction in AIC. Hence the model with the lowest AIC was selected.

## Assessing model fit

Model fit was assessed using likelihood ratio test (LRT), Wald test and Score (log-rank test). The AIC and concordance was also observed in assessing model fit. An examination for influential observation was also conducted. DFBETAs, which measure the difference in the estimated parameters with and without each data point, were plotted. A data point was considered an influential observation if its DFBETA was not within a  $\pm 2$  threshold. A search for interactive terms was also carried out where interaction terms were added to the models and plots developed to test possible interaction between variables.

## Ethical considerations

This study is based upon data made available through the Demographic Health Survey (DHS) programme with prior approval. The AfDHS 2015 received ethical approval from the ICF Institutional Review Board and the Ministry of Public Health of Afghanistan.

## RESULTS

The sampled live births for the neonatal period were 17,014 males and 15,698 females; for the post-neonatal period there were 16,570 males and 15,367 females; and, for the child age group there were 16,224 males and 15,072 females, respectively. There were 1644 deaths in total, where 775 were during the neonatal period, 641 during the post-neonatal period and 228 were child mortalities. The distribution of live births and number of deaths for the respective categories of the independent variables, for each sub-age group, are presented in Table 1.

#### Univariable analysis

Overall, 2.37% and 2.01% of the neonates and post-neonates died, respectively. This was high when compared with the 0.73% that died from the child age group. The highest mortality rate of 29.31% of live births was observed for neonates who were not breastfed. The corresponding rates for not breastfed post-neonates and those from the child age group were 5.63% and 1.00%, respectively. The highest post-neonatal mortality and child mortality rates were found among children of mothers working in agriculture/self-employed, 7.21% and 3.11% of live births, respectively. The corresponding neonatal mortality rate for this category was 5.30% of live births (Table 1).

### Multivariable analysis

The Likelihood ratio, Wald test and Score (log rank) tests all indicated that the models were better at predicting mortality than the null model. The concordance also indicated good model fit (Tables 2-4) and the AIC improved with the introduction of the piecewise models over the time-independent, traditional Cox proportional hazard model that was used during model selection. No influential data points were identified and there were no statistically significant interactions between variables. Only variables that were statistically significant for all five models, for the respective sub-age groups, were identified as determinants of neonatal, post-neonatal, and child mortality, thereby ensuring the robustness of the results. There was statistically significant evidence of community frailty for all three sub-age groups. This made the hazard ratios reported by the community frailty model, in the respective sub-age groups, more reliable and are therefore auoted in the following results.

The selected variables for the neonatal models were sex of child, mother's age at first birth, mother's age group, number of births in the past one year, birth order, size of child at birth, breastfeeding status, source of drinking water, place of delivery, mode of delivery, father's education, father's occupation and place of residence. In the post-neonatal models, sex of child, mother's age at first birth, mother's age group and mode of delivery got dropped even as mother's occupation became statistically significant. The selected variables for the child models got further reduced and were: birth order, breastfeeding status and source of drinking water.

## Neonatal mortality

Of all the variables, only breastfeeding status was found to be time-dependent. The piecewise model consisted of three strata: birth to 2 days, 2–6 days and 6–28 days. Not breastfeeding was associated with increased neonatal mortality in all three strata, HR 48.89 (95% CI 38.81, 61.59), 15.11 (95% CI 10.20, 22.36) and 13.44 (95% CI 8.99, 20.12), respectively. Other variables associated with increased neonatal mortality were males, HR 1.27 (95% CI 1.09, 1.48), one or more births in the past 1 year, HR 1.33 (95% CI 1.13, 1.56), mother's age group less than 20 years, HR 1.77 (95% CI 1.17, 2.68), birth order 5+, HR 1.74 (95% CI 1.28, 2.36), very small and small births, HR 2.02 (95% CI 1.70, 2.41) and very large and large births, HR 1.66 (95% CI 1.32, 2.09), non-institutional deliveries, HR 1.35 (95% CI 1.12, 1.62) and rural place of residence, HR 1.64 (95% CI 1.28, 2.10) (Table 2).

## Post-neonatal mortality

Of all the variables, breastfeeding status was found to be again time-dependent. The piecewise models consisted of two strata, 1 month to 6 months and 6 months to 1 year. There was a 20% increase in post-neonatal mortality for one or more births when compared to no births in the past year, HR 1.20 (95% CI 1.02, 1.42). Birth order 5+, HR 1.45 (95% CI 1.13, 1.90), size of child, very small and small, HR 2.23 (95% CI 1.86, 2.67), non-institutional delivery, HR 1.52 (95% CI 1.24, 1.85), mother's occupation, professional/ technical/managerial/clerical, HR 1.50 (95% CI 1.04, 2.16), agricultural/self-employed, HR 2.77 (95% CI 2.10, 3.65), service/manual skilled and unskilled, HR 1.88 (95% CI 1.30, 2.71) and rural place of residence, HR 1.41 (95% CI 1.08, 1.85) were all associated with increased post-neonatal mortality. Not breastfeeding was associated with increased post-neonatal mortality in both the strata of 1 to 6 months, HR 3.28 (95% CI 2.18, 4.95) and 6 months to 1 year, HR 3.01 (95% CI 1.40, 6.50) (Table 3).

#### Child mortality

There were no time-dependent variables for child mortality. Children of birth order 5+, HR 1.86 (95% CI 1.21, 2.87) and children whose source of drinking water were open source/unprotected/

Determinants	Live births	Neonatal mortality	P value (log- rank test)	Live births	Post-neonatal mortality	P value (log- rank test)	Live births	Child mortality	P value (log- rank test)
Overall	32,712	775 (2.37)		31,937	641 (2.01)		31,296	228 (0.73)	
Sex of the baby									
Male	17,014	444 (2.61)	0.003	16,570	346 (2.09)	0.3	16,224	119 (0.73)	0.9
Female	15,698	331 (2.11)		15,367	295 (1.92)		15,072	109 (0.72)	
Missing (%)	-			-			-		
Maternal age at first bi	rth								
<20 years	18,801	460 (2.45)	0.09	18,341	398 (2.17)	0.04	17,943	120 (0.67)	0.3
20–29 years	13,573	302 (2.23)		13,271	239 (1.80)		13,032	105 (0.81)	
≥30 years	338	13 (3.85)		325	4 (1.23)		321	3 (0.93)	
Missing (%)	-			-			-		
Mother's age group									
<20 years	1077	36 (3.34)	0.005	1041	22 (2.11)	0.9	1019	3 (0.29)	<0.001
20–29 vears	18,387	397 (2.16)		17,990	353 (1.96)		17,637	91 (0.52)	
30–39 years	10.624	264 (2.48)		10.360	210 (2.03)		10.150	103 (1.01)	
40+	2624	78 (2.97)		2546	56 (2.20)		2490	31 (1 24)	
Missing (%)	_	70 (2.57)		_	50 (2.20)		_	51 (1.2.1)	
Births in the past one y	vear								
No births	19 778	419 (2 12)	<0.001	19 359	362 (1.87)	0.03	18 997	166 (0.87)	<0.001
One birth or	12 934	356 (2.75)	<0.001	12 578	279 (2.22)	0.05	12 299	62 (0.50)	<0.001
more births	12,954	550 (2.75)		12,570	279 (2.22)		12,299	02 (0.50)	
Mather's are at hirth (	-			-			-		
would s age at birtin ()	(1146	116 (2.00)	<0.001	4020	02 (2 21)	0.2	2027	15 (0.20)	-0.001
	4140	116 (2.80)	<0.001	4030	93 (2.31)	0.5	3937	15 (0.38)	<0.001
20–29 years	19,300	403 (2.09)		18,897	365 (1.93)		18,532	124 (0.67)	
30 years and above	9266	256 (2.76)		9010	183 (2.03)		8827	89 (1.01)	
Missing (%)	-			-			-		
Birth order		(2.22)						22 (2 52)	
	5995	139 (2.32)	<0.001	5856	111 (1.90)	<0.001	5745	30 (0.52)	0.008
2	5504	96 (1.74)		5408	91 (1.68)		5317	26 (0.49)	
3	4919	98 (1.99)		4821	68 (1.41)		4/53	34 (0.72)	
4	4277	95 (2.22)		4182	92 (2.20)		4090	33 (0.81)	
5+	12,017	347 (2.89)		11,670	279 (2.39)		11,391	105 (0.92)	
Missing (%) Size of child at birth	-			-			-		
Very small and small	7111	287 (4.04)	<0.001	6833	230 (3.37)	<0.001	6603	64 (0.97)	0.004
Average	19,716	328 (1.66)		19,388	323 (1.67)		19,065	128 (0.67)	
Very large and large	4574	111 (2.43)		4463	63 (1.41)		4400	20 (0.45)	
Missing (%) Breastfeeding	3.93			3.76			3.68		
Yes	31,545	476 (151)	< 0.001	31069	592 (1.91)	< 0.001	30477	217 (0 71)	0.4
No	904	265 (29 31)	(0.001	639	36 (5.63)	(0.001	603	6 (1 00)	0.1
Missing (%)	0.70	205 (25.51)		0.60	50 (5.05)		0.65	0 (1.00)	
Source of water	0.75			0.05			0.05		
Piped/well/protected	19 000	401 (2 11)	0.002	18 599	300 (1.61)	<0.001	18 299	109 (0.60)	0.002
Open source/	13,000	354 (2.63)	0.002	13 082	330 (2.52)	<0.001	12 752	114 (0.89)	0.002
unprotected/others	0.92	554 (2.05)		0.77	550 (2.52)		0.74	114 (0.05)	
Place of delivery	0.05			0.77			0.74		
Institutional	1/ 086	277 (1 95)	<0.001	1/1 700	181 (1 22)	<0.001	14 529	57 (0 20)	<0.001
Non-institutional	17 550	211 (1.03) 171 (7.40)	<b>\U.UU</b>	17 09	101 (1.23)	<b>\U.UU</b>	16 640	166 (1 00)	<b>\U.UU</b>
Missing (%)	0.50	4/1 (2.08)		0 42	<del>44</del> 0 (2.02)		0.20	100 (1.00)	
Nuissing (%)	0.50			0.42			0.58		
No	21.075	752 (225)	0.1	21 222	622 (202)	0.1	20 500	226 (0 7 A)	0.2
NO	31,9/5	/ J2 (2.35)	0.1	31,223 620	(2.U3) 7 (1.11)	0.1	30,390 633	220 (0.74)	0.2
Niccing (9()	0.26	21 (3.23)		029	7 (1.11)		022	2 (0.32)	
wissing (%)	0.20			0.20			0.25		

 Table 1. Distribution of mortality by demographic characteristics, log-rank test and age specific mortality, as a percentage of live births, for the 5 years preceding the 2015 AfDHS.

Table 1. continued									
Determinants	Live births	Neonatal mortality	P value (log- rank test)	Live births	Post-neonatal mortality	P value (log- rank test)	Live births	Child mortality	P value (log- rank test)
Mother's education									
No education	28,137	678 (2.41)	0.2	27,459	570 (2.08)	0.03	26,889	208 (0.77)	0.02
Primary and higher	4575	97 (2.12)		4478	71 (1.59)		4407	20 (0.45)	
Missing (%)	-			-			-		
Father's education									
No education	18,529	472 (2.55)	0.007	18,057	406 (2.19)	<0.001	17,651	157 (0.89)	<0.001
Primary and higher	13,732	287 (2.09)		13,445	225 (1.64)		13,220	71 (0.54)	
Missing (%)	1.35			1.31			1.28		
Mother's occupation									
Unemployed	28,191	586 (2.08)	<0.001	27,605	436 (1.58)	<0.001	27,169	153 (0.56)	<0.001
Professional/ technical/managerial/ clerical	1461	48 (3.29)		1413	34 (2.41)		1379	9 (0.65)	
Agricultural/self- employed	1904	101 (5.30)		1803	130 (7.21)		1673	52 (3.11)	
Service/manual skilled and unskilled	1134	40 (3.53)		1094	41 (3.75)		1053	14 (1.33)	
Missing (%)	0.07			0.07			0.07		
Father's occupation									
Professional/ technical/managerial/ clerical	7749	178 (2.30)	0.08	7571	109 (1.44)	<0.001	7462	42 (0.56)	0.003
Agricultural-self- employed	9326	249 (2.67)		9077	255 (2.81)		8822	87 (0.99)	
Service/sales/manual skilled and unskilled	15,517	346 (2.23)		15,171	275 (1.81)		14,896	99 (0.66)	
Missing (%)	0.36			0.35			0.35		
Household wealth Inde	х								
Poorest	5940	143 (2.41)	0.03	5797	132 (2.28)	<0.001	5665	49 (0.86)	<0.001
Poor	7631	195 (2.56)		7436	159 (2.14)		7277	61 (0.84)	
Middle	7380	190 (2.57)		7190	162 (2.25)		7028	68 (0.97)	
Richer	7142	167 (2.34)		6975	134 (1.92)		6841	39 (0.57)	
Richest	4619	80 (1.73)		4539	54 (1.19)		4485	11 (0.25)	
Missing (%)	-			-			-		
Place of residence									
Rural	24,958	635 (2.54)	<0.001	24,323	548 (2.20)	<0.001	23,775	197 (0.83)	<0.001
Urban	7754	140 (1.81)		7614	93 (1.20)		7521	31 (0.41)	
Missing (%)	-			-			-		
Region of residence									
Northern	4236	73 (1.72)	<0.001	4163	83 (1.99)	<0.001	4080	26 (0.64)	<0.001
North Eastern	3299	94 (2.85)		3205	58 (1.81)		3147	26 (0.83)	
Western	4268	91 (2.13)		4177	88 (2.11)		4089	27 (0.66)	
Central Highland	1363	27 (1.98)		1336	13 (0.97)		1323	4 (0.30)	
Capital	4673	98 (2.10)		4575	63 (1.38)		4512	24 (0.53)	
Southern	5074	113 (2.23)		4961	95 (1.91)		4866	22 (0.45)	
South Eastern	4152	81 (1.95)		4071	38 (0.93)		4033	17 (0.42)	
Eastern	5647	198 (3.51)		5449	203 (3.72)		5246	82 (1.56)	
Missing (%)	-			-			-		

others, HR 1.38 (95% Cl 1.03, 1.85) experienced increased child mortality (Table 4).

## DISCUSSION

Community frailty was statistically significant for the neonatal, post-neonatal and child age sub- groups. There are, therefore, unobserved factors shared by members of the same community that have a multiplicative effect on the hazard function.<sup>17</sup> At risk communities were, however, not identified. Our study is new in suggesting that work be undertaken to identify vulnerable

communities so as to improve under-five survival rates in Afghanistan. Our study also identifies determinants that are statistically significant for neonatal, post-neonatal and child mortality. It is important to note that as the child grows, the number of determinants of mortality decreases. Therefore, our study suggests that the period of up to one year is crucial for the survival of the child and additional measures must be put in place for this vulnerable population.

Not breastfeeding, particularly in the first 2 days after birth, was statistically significant for neonatal mortality. The use of the Cox piecewise model was instrumental in pinpointing this high-risk

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 Table 2.
 Determinants of neonatal mortality using traditional Cox model, variance-corrected model and frailty model in Afghanistan for 5 years

 preceding the 2015 Demographic Health Survey, hazard ratios (HR) and 95% confidence intervals (CI) reported.

Neonatal									
Determinants	Cox traditional proportional Hazard model	Cox variance-correcte	ed model	Cox Frailty model					
	HR (95% CI)	Familial HR (95% CI)	Community HR (95% Cl)	Familial HR (95% CI)	Community HR (95% CI)				
Sex of the child									
Female (reference)									
Male	1.25 (1.07, 1.46)	1.25 (1.07, 1.45)	1.25 (1.07, 1.46)	1.24 (1.06, 1.46)	1.27 (1.09, 1.48)				
Mother's age at first birth									
20-29 years (Reference)									
<20 years	0.95 (0.80, 1.13)	0.95 (0.79, 1.14)	0.95 (0.77, 1.16)	0.96 (0.79, 1.16)	0.93 (0.77, 1.11)				
≥30 years	1.61 (0.86, 3.01)	1.61 (0.84, 3.08)	1.61 (0.84, 3.09)	1.55 (0.78, 3.08)	1.67 (0.87, 3.21)				
Mother's age group									
20–29 years (Reference)									
<20 years	1.73 (1.16, 2.58)	1.73 (1.16, 2.58)	1.73 (1.14, 2.62)	1.68 (1.09, 2.59)	1.77 (1.17, 2.68)				
30–39 years	0.89 (0.71, 1.11)	0.89 (0.71, 1.11)	0.89 (0.71, 1.11)	0.93 (0.73, 1.19)	0.91 (0.72, 1.15)				
40+	0.90 (0.64, 1.26)	0.90 (0.63, 1.28)	0.90 (0.62, 1.30)	0.96 (0.66, 1.38)	0.90 (0.63, 1.28)				
Births in the past one year No births (Reference)									
One or more births	1.32 (1.13, 1.54)	1.32 (1.13, 1.55)	1.32 (1.13, 1.55)	1.36 (1.15, 1.60)	1.33 (1.13, 1.56)				
Birth order									
2 (Reference)									
1	1.06 (0.79, 1.42)	1.06 (0.79, 1.41)	1.06 (0.79, 1.41)	1.05 (0.77, 1.43)	1.04 (0.77, 1.40)				
3	1.23 (0.91, 1.65)	1.23 (0.92, 1.64)	1.23 (0.90, 1.67)	1.21 (0.89, 1.65)	1.21 (0.90, 1.65)				
4	1.33 (0.97, 1.83)	1.33 (0.98, 1.82)	1.33 (0.96, 1.85)	1.28 (0.92, 1.78)	1.29 (0.94, 1.78)				
5+	1.81 (1.34, 2.45)	1.81 (1.34, 2.45)	1.81 (1.33, 2.46)	1.72 (1.25, 2.36)	1.74 (1.28, 2.36)				
Size of child									
Average (Reference)									
Very small and small	1.99 (1.68, 2.35)	1.99 (1.68, 2.36)	1.99 (1.65, 2.40)	2.01 (1.69, 2.41)	2.02 (1.70, 2.41)				
Very large and large	1.62 (1.30, 2.03)	1.62 (1.29, 2.04)	1.62 (1.27, 2.06)	1.66 (1.31, 2.09)	1.66 (1.32, 2.09)				
Breastfeeding status (time deper	ndent)								
Birth to 2 days									
Yes (Reference)									
No	42.79 (34.35, 53.30)	42.79 (34.14, 53.63)	42.79 (32.91, 55.63)	47.64 (37.65, 60.28)	48.89 (38.81, 61.59)				
2–6 days									
Yes (Reference)									
No	11.77 (8.03, 17.26)	11.77 (8.07, 17.17)	11.77 (8.02, 17.28)	16.32 (10.98, 24.25)	15.11 (10.20, 22.36)				
6–28 days									
Yes (Reference)									
No	10.05 (6.79, 14.89)	10.05 (6.72, 15.03)	10.05 (6.62, 15.28)	15.03 (10.00, 22.58)	13.44 (8.99, 20.12)				
Source of water									
Piped/well/protected (Reference	2)								
Open source/unprotected/ others	1.08(0.92, 1.27)	1.08 (0.91, 1.28)	1.08 (0.89, 1.31)	1.09 (0.91, 1.29)	1.08 (0.91, 1.30)				
Place of delivery									
Institutional (Reference)		/	/						
Non-institutional	1.36 (1.14, 1.61)	1.36 (1.13, 1.62)	1.36 (1.11, 1.65)	1.39 (1.16, 1.67)	1.35 (1.12, 1.62)				
Delivery by caesarean section									
No (Reference)	1 21 (0 02 2 11)	1 21 (0 02 2 10)	1 21 (2 22 2 10)	1 20 (0 77 2 1 ()	1 20 (0 70 2 12)				
Yes	1.31 (0.82, 2.11)	1.31 (0.82, 2.10)	1.31 (0.82, 2.10)	1.28 (0.77, 2.16)	1.29 (0.79, 2.12)				
Pather's education									
No education	1 10 (0.02 1 20)	1 10 (0 02 1 21)	1 10 (0.02 1 20)	1 11 (0.02 1 22)	1 11 (0 02 1 22)				
Fother's accuration	1.10 (0.93, 1.30)	1.10 (0.92, 1.31)	1.10 (0.92, 1.30)	1.11 (0.92, 1.33)	1.11 (0.93, 1.32)				
	undvilled (Peference)								
Professional/technical/		1 14 (0 02 1 40)	1 14 (0 02 1 40)	1 17 (0 04 1 45)	1 16 (0.05 1 42)				
managerial/clerical	1.14 (0.94, 1.39)	1.14 (0.93, 1.40)	1.14 (0.95, 1.40)	1.17 (0.94, 1.45)	1.10 (0.95, 1.45)				
Agricultural-self-employed	1.11 (0.92, 1.34)	1.11 (0.91, 1.34)	1.11 (0.91, 1.35)	1.09 (0.89, 1.33)	1.10 (0.91, 1.35)				

Table 2. continued						
Neonatal						
Determinants	Cox traditional proportional Hazard model	Cox variance-correct	ted model	Cox Frailty model		
		Familial	Community	Familial	Community	
	HR (95% CI)	HR (95% CI)	HR (95% CI)	HR (95% CI)	HR (95% CI)	
Place of residence						
Urban (Reference)						
Rural	1.60 (1.29, 1.98)	1.60 (1.28, 2.01)	1.60 (1.27, 2.03)	1.63 (1.29, 2.05)	1.64 (1.28, 2.10)	
Variance of frailty (p value)				1.06 (0.21)	0.53 (<0.001)	
Concordance (s.e.)	0.75 (0.01)	0.75 (0.01)	0.75 (0.01)	0.93 (0.93)	0.85 (0.85)	
Likelihood ratio test (p value)	1199 (<0.001)	1199 (<0.001)	1199 (<0.001)	2160 (<0.001)	1701 (<0.001)	
Wald test	1642 (<0.001)	1615 (<0.001)	1289 (<0.001)			
Score (log-rank) test	4134 (<0.001)	4134 (<0.001)	4134 (<0.001)			
AIC	12,811.99	12,811.99	12,811.99	13,116.77	12,781.30	

period and in so doing justifies its use in the present study. As a matter of policy early initiation of breastfeeding, on the first day or hour of life is encouraged in an effort to reduce neonatal mortality.<sup>18</sup> However, breastfeeding was also statistically significant for post-neonatal mortality. This was also observed in a study done in the United States, by Chen and Rogan,<sup>19</sup> where everbreastfed children experienced a decreased risk for post-neonatal mortality. The implication here is that the benefits of breastfeeding extend beyond the neonatal period into the post-neonatal period and the child sub-age group. The present study made no attempt to establish causation with respect to breastfeeding in that underlying illnesses may have prevented breastfeeding and led to the death of the children.

Mother's age group less than 20 years was statistically significant for increased neonatal mortality. Number of births in the past 1 year was found to be a determinant of neonatal and post-neonatal mortality. Also birth order 5+ was associated with increased neonatal, post-neonatal and child mortality. These factors may lead to increased competition for family resources and maternal depletion which may contribute to the increased mortality observed.<sup>20</sup> Neal et al.<sup>21</sup> in a study encompassing 45 countries found that young maternal age was associated with increased neonatal mortality. As per Neal et al., young mothers should also be exposed to educational programmes to assist and guide them in caring for their children. Small and very small birth sizes were associated with increased neonatal and post-neonatal mortality. Very large and large birth sizes were also found to be associated with increased neonatal mortality. The mother had been asked to assess the relative size of a specific child at birth. She was asked, "When (name) was born, was he/she very large, larger than average, average, smaller than average or very small?" The answer was based on maternal recall and estimation. Even though this is based on mother's assessment, studies have found it to be a good estimate for child health along with child weight at birth.<sup>22</sup> The results confirm an earlier study done in Afghanistan, where smaller and larger than average birth sizes were identified as determinants of neonatal mortality.<sup>6</sup> It also concurs, in part, with a study done in Pakistan by Nisar and Dibley<sup>23</sup> where smaller than average birth size was identified as a determinant of neonatal mortality. Males experienced increased hazard for neonatal mortality. Past studies have also reported a similar disadvantage for males. One study done by Sawyer<sup>24</sup> found that under-five mortality was 2% higher for boys in developing countries.

The disadvantage of non-institutional delivery with respect to the neonatal and post-neonatal sub-age groups was similarly observed in a study by Rasooly et al.,<sup>25</sup> in relation to maternal mortality. They believed that unhygienic conditions of home delivery contributed to increased maternal mortality. This was not

explored in the present study, nonetheless, the explanation put forward may be plausible with respect to under-five mortality. Rural place of residence was associated with increased hazard for neonatal and post-neonatal mortality. The rural disadvantage was established by a number of studies, including a study among Greek mothers where rural place of residence was statistically significant for infant (neonatal plus post-neonatal) mortality.<sup>26</sup> Siahanidou et al. demonstrated that the infant mortality rates were increasing in rural areas even while decreases were being observed in urban areas. A possible implication here is that policies to reduce disparities in infrastructure and amenities that support young life between urban and rural areas must be developed and implemented.

Post-neonatal children of mothers employed in all the employment categories experienced increased mortality. This was particularly evident for post-neonates of mothers employed in agriculture. Singh and Tripathi<sup>27</sup> observed increased hazard for under-five mortality among children of mothers working in agriculture and postulated that the arduous tasks mothers have to undertake, during agricultural work, may affect mother's health and in turn the health of the child. Mothers involved in the service and professional sectors may be likewise affected.

There were not many determinants of child mortality; however, open or unprotected source of drinking water was associated with increased hazard for this age group. The dangers of unprotected sources of water were also observed in a study by Gebretsadik and Gobreyohannes<sup>28</sup> that analysed the 2011 Ethiopia DHS. They observed that there was a 72.7% increase in under-five mortality for children who did not have access to piped water. A possible explanation proffered by the authors is that sources of water other than piped sources, such as rivers, were contaminated and this gave rise to the increased mortality observed.<sup>28</sup> This was not explored in the present study; however, it is a possible association that can be explored in future research.

#### CONCLUSION

The study analysed the 2015 AfDHS where under-five mortality was disaggregated into neonatal, post-neonatal and child mortality. The existence of community frailty was established therefore identifying at risk communities and providing support in terms of information, education and vital resources may assist in reducing under-five mortality. The use of the piecewise Cox models allowed for closer examination of the problem and identified areas of major concerns. Quite notably the hazard ratio was extremely high for neonates that were not breastfed in the first two days after birth. As such early initiation of breastfeeding should be encouraged and this should be continued throughout the post-neonatal period.

 Table 3.
 Determinants of post-neonatal mortality using the traditional Cox model, variance-corrected model and frailty model in Afghanistan for five

 years preceding the 2015 Demographic Health Survey, hazard ratios (HR) and 95% confidence intervals (CI) reported.

Post-neonatal								
Determinants	Cox traditional proportional hazard model	Cox variance-correc	ted model	Cox frailty model				
	HR (95% CI)	Familial HR (95% CI)	Community HR (95% CI)	Familial HR (95% CI)	Community HR (95% CI)			
Births in the past one year								
No births (Reference)								
One or more births	1.23 (1.04, 1.45)	1.23 (1.04, 1.45)	1.23 (1.04, 1.45)	1.24 (1.04, 1.47)	1.20 (1.02, 1.42)			
Birth order								
2 (Reference)								
1	1.28 (0.96, 1.71)	1.28 (0.97, 1.69)	1.28 (0.96, 1.71)	1.30 (0.97, 1.74)	1.29 (0.96, 1.73)			
3	0.79 (0.56, 1.10)	0.79 (0.57, 1.10)	0.79 (0.57, 1.09)	0.78 (0.56, 1.10)	0.79 (0.57, 1.11)			
4	1.34 (0.99, 1.82)	1.34 (0.99, 1.82)	1.34 (0.99, 1.82)	1.34 (0.98, 1.82)	1.35 (0.99, 1.83)			
5+	1.45 (1.13, 1.87)	1.45 (1.13, 1.87)	1.45 (1.13, 1.87)	1.47 (1.14, 1.90)	1.45 (1.13, 1.90)			
Size of child								
Average (Reference)								
Very small and small	2.10 (1.76, 2.50)	2.10 (1.76, 2.51)	2.10 (1.74, 2.54)	2.17 (1.81, 2.60)	2.23 (1.86, 2.67)			
Very large and large	1.07 (0.81, 1.41)	1.07 (0.81, 1.42)	1.07 (0.82, 1.40)	1.09 (0.82, 1.44)	1.12 (0.85, 1.48)			
Breastfeeding status (time dependent)								
1 month to 6 months								
Yes (Reference)								
No	3.00 (2.02, 4.47)	3.00 (2.01, 4.49)	3.00 (2.03, 4.44)	3.14 (2.07, 4.77)	3.28 (2.18, 4.95)			
6 months to 1 year								
Yes (Reference)								
No	2.74 (1.28, 5.86)	2.74 (1.29, 5.78)	2.74 (1.18, 6.36)	2.92 (1.35, 6.31)	3.01 (1.40, 6.50)			
Source of water								
Piped/well/protected (Reference)								
Open source/unprotected/others	1.11 (0.94, 1.32)	1.11 (0.93, 1.33)	1.11 (0.92, 1.34)	1.11 (0.93, 1.33)	1.14 (0.95, 1.37)			
Place of delivery								
Institutional (Reference)								
Non-institutional	1.59 (1.31, 1.93)	1.59 (1.31, 1.94)	1.59 (1.29, 1.96)	1.60 (1.31, 1.95)	1.52 (1.24, 1.85)			
Father's education								
Primary and higher (Reference)								
No education	0.94 (0.78, 1.13)	0.94 (0.78, 1.13)	0.94 (0.79, 1.12)	0.93 (0.77, 1.13)	0.94 (0.78, 1.13)			
Mother's occupation								
Unemployed (Reference)								
Professional/technical/managerial/clerical	1.61 (1.13, 2.30)	1.61 (1.13, 2.29)	1.61 (1.14, 2.29)	1.61 (1.12, 2.33)	1.50 (1.04, 2.16)			
Agricultural-self-employed	3.35 (2.67, 4.20)	3.35 (2.67, 4.19)	3.35 (2.62, 4.27)	3.42 (2.69, 4.35)	2.77 (2.10, 3.65)			
Service/manual skilled and unskilled	2.01 (1.44, 2.82)	2.01 (1.43, 2.82)	2.01 (1.42, 2.86)	2.04 (1.43, 2.90)	1.88 (1.30, 2.71)			
Father's occupation								
Professional/technical/managerial/clerical (R	eference)		0.00 (0.65, 4.00)					
Agricultural-self-employed	0.82 (0.65, 1.04)	0.82 (0.65, 1.04)	0.82 (0.65, 1.03)	0.82 (0.64, 1.04)	0.80 (0.63, 1.01)			
Service/manual skilled and unskilled	1.11 (0.92, 1.35)	1.11 (0.91, 1.35)	1.11 (0.92, 1.35)	1.13 (0.93, 1.38)	1.10 (0.90, 1.34)			
Place of residence								
Urban (Reference)	1 40 (1 10 1 00)	1 40 (1 00 1 01)	1 40 (1 00 1 01)	1 41 (1 10 1 01)	1 41 (1 00 1 05)			
	1.40 (1.10, 1.80)	1.40 (1.09, 1.81)	1.40 (1.09, 1.81)	1.41 (1.10, 1.81)	1.41 (1.08, 1.85)			
Can acridence (r.c.)	0.00 (0.01)	0 (0 (0 01)	0 (0 01)	1.08 (0.54)	0.45 (<0.001)			
Likelihood ratio test(n value)			0.01) 40.01	0.09 (0.89)	0.00 (0.80) 706 7 (<0.001)			
Wald test	373.7 (<0.001)	370.8 (<0.001)	3023 (<0.001)	1109 (<0.001)	700.7 (<0.001)			
Score (log-rank) test	422.4 (<0.001)	4774 (<0.001)	422.4 (<0.001)					
	11 890 75	11 890 75	11 890 75	12 241 55	11 884 30			
		11,020.75	11,020.2	12/2 11.33	11,001.00			

## RECOMMENDATIONS

- (1) It is recommended that mothers be encouraged to breastfeed throughout the post-neonatal period.
- (2) Since mother's age less than 20 years was a determinant of neonatal mortality, more than one birth in the past year was a determinant of neonatal and post-neonatal mortality and

birth order 5+ was a determinant of neonatal, post-neonatal and child mortality, mothers who become pregnant in teenage years, or become pregnant again soon after the birth of a child and pregnancies of higher birth order should be tracked during clinical visits or via outreach programmes and resources provided for at risk families.

(3) Healthcare providers need to have policies to flag birth sizes that are above or below average and institute special

**Table 4.** Determinants of child mortality using traditional Cox model, variance-corrected model and frailty model in Afghanistan for five yearspreceding the 2015 Demographic Health Survey, hazard ratios (HR) and 95% confidence intervals (CI) reported.

Child								
Determinants	Cox traditional proportional	Cox variance-corre	cted model	Cox frailty model				
	HR (95% CI)	Familial HR (95% Cl)	Community HR (95% CI)	Familial HR (95% CI)	Community HR (95% CI)			
Birth order								
2 (Reference)								
1	1.04 (0.62, 1.77)	1.04 (0.62, 1.76)	1.04 (0.59, 1.86)	1.06 (0.62, 1.80)	1.07 (0.63, 1.82)			
3	1.25 (0.74, 2.12)	1.25 (0.74, 2.13)	1.25 (0.76, 2.07)	1.25 (0.73, 2.13)	1.25 (0.73, 2.12)			
4	1.60 (0.95, 2.68)	1.60 (0.95, 2.68)	1.60 (0.90, 2.84)	1.62 (0.96, 2.73)	1.63 (0.97, 2.74)			
5+	1.82 (1.18, 2.79)	1.82 (1.18, 2.80)	1.82 (1.10, 3.00)	1.83 (1.18, 2.83)	1.86 (1.21, 2.87)			
Breastfeeding status								
Yes (Reference)								
No	1.25 (0.51, 3.03)	1.25 (0.52, 3.00)	1.25 (0.53, 2.95)	1.20 (0.48, 3.00)	1.13 (0.46, 2.78)			
Source of water								
Piped/well/protected (Reference)								
Open source/unprotected/others	1.48 (1.14, 1.93)	1.48 (1.13, 1.94)	1.48 (1.09, 2.00)	1.48 (1.13, 1.95)	1.38 (1.03, 1.85)			
Variance of frailty (p value)				5.00 (>0.99)	1.93 (<0.001)			
Concordance (s.e.)	0.59 (0.02)	0.59 (0.02)	0.59 (0.02)	1.00 (1.00)	0.93 (0.93)			
Likelihood ratio test (p value)	21.95 (0.001)	21.95 (0.001)	21.95 (0.001)	797.3 (>0.99)	447.4 (<0.001)			
Wald test	21.51 (0.001)	19.55 (0.003)	14.93 (0.02)					
Score (log-rank) test	21.92 (0.001)	21.92 (0.001)	21.92 (0.001)					
AIC	4495.46	4495.46	4495.46	5776.02	4620.03			

healthcare interventions to preserve lives.

- (4) The increase in post-neonatal mortality with respect to noninstitutional deliveries, may be, indicative of poor healthcare choices. Post-natal visits should also be encouraged to promote better healthcare.
- (5) Records of maternal employment should be kept as a matter of policy and support for vulnerable families should be provided. A system of day care can also be developed to assist.
- (6) Increasing access to piped water will assist in reducing child mortality and hence under-five mortality.

The study presents an opportunity for policies to be implemented by the Islamic Republic of Afghanistan Ministry of Public Health that would reduce the ill effects of all the observed determinants. These strategies may reinforce, complement and build on the national health strategies 2016–2020 established by the ministry.

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