

CLINICAL RESEARCH ARTICLE Racial differences in opioid withdrawal syndrome among neonates with intrauterine opioid exposure

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BACKGROUND: The aim of this study was to investigate the association between race and severe neonatal opioid withdrawal syndrome (NOWS) in infants exposed to intrauterine opioids.

METHODS: This is a prospective observational study on intrauterine opioid-exposed term infants. Exposure to opioids was based on maternal disclosure, urine, or umbilical cord drug screening. Severe NOWS was defined based on modified Finnegan scoring and the need for pharmacological intervention.

RESULTS: One hundred and fifty mother–infant pairs, 60 Black and 90 White with history of opioid exposure during pregnancy, were included. More White than Black infants developed NOWS that required pharmacological treatment, 70 vs. 40%: RR = 1.75 (1.25–2.45). In adjusted analysis, there was no significant association between race and the development of severe NOWS in mothers who attended opioid maintenance treatment program (OMTP). However, in mothers who did not attend OMTP, White race

remained a significant factor associated with the development of severe NAS, RR = 1.69 (1.06, 2.69). **CONCLUSIONS:** Severe NOWS that required pharmacological intervention was significantly higher in White than in Black infants

born to mothers who did not attend OMTP. Larger studies are needed to evaluate the association between social as well as genetic factors and the development of NOWS.

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IMPACT:

• There is a significant association between race and development of severe NOWS.

INTRODUCTION

The incidence of opioid use/abuse during pregnancy and therefore the number of intrauterine opioid-exposed infants has increased significantly over the past decades.¹⁻³ About 50–94% of opioid-exposed infants show varying manifestations of withdrawal that include autonomic and central nervous system dysfunction and respiratory and gastrointestinal disorders.³ Among those who show withdrawal signs, 30–80% require pharmacological interventions.¹

Several factors have been identified to be associated with neonatal opioid withdrawal syndrome (NOWS): intrauterine exposure to opioids; attendance to opioid maintenance treatment program (OMTP) for opioid use disorder (OUD);⁴ and concomitant use of opioids with other substances, such as methamphetamine,⁵ cocaine,⁶ marijuana,⁷ tobacco,⁸ and psychotropic medications.⁹ Also, other factors such as maternal psychological disorders and socioeconomic disparity,¹⁰ genetic polymorphism for metabolism of drugs in mothers and infants,¹¹ genomic variants for placental absorption, metabolism and distribution of drugs,¹² term infants compared to preterm infants,¹³ and male sex.¹⁴ have been entertained as possible reasons for manifestation of severe NOWS. Although opioid use and OUD are more commonly observed in White mothers, there is still a paucity of information on the significance of racial association and

the development of NOWS. In general, the severity of NOWS is believed to be multifactorial. $^{2,4,9,10,13-15}_{\mbox{ }}$

Like many centers, we also observed an increase in the number of mothers with OUD over the past several years and as a result an increased number of infants who required to be admitted for close observation and/or treatment for opioid withdrawal. While most of our obstetric population were Black, we noticed that many of our infants with severe NOWS who required pharmacological interventions were White. A plausible thought was that we might have had more White than Black mothers with OUD in our Maternity Ward, Black mothers had less access to OMTP, or White infants were more prone for severe NOWS.

We hypothesized that race is associated with the development of severe NOWS. In order to validate our hypothesis, we followed mothers who used/abused opioids during pregnancy and collected outcome data on their infants with specific attention to racial differences.

METHODS

This is a single-center prospective observational study at the Regional One Health (ROH) Hospital, Neonatal Intensive Care Unit (NICU) in Memphis, TN. Our maternity hospital at ROH is a regional

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medical center that provides services to high-risk pregnancy and low-income mothers.

For this study, data were collected from the ongoing OUD/NOWS project in our institution (July 2013 to December 2017). The study protocol was approved by the Institutional Review Board of the University of Tennessee Health Science Center (UTHSC). As per OUD/ NOWS project, informed consent was obtained from the mothers or the legal guardians within 24–36 h of delivery. All infants exposed to intrauterine opioids were eligible for this study. Opioid exposure was based on maternal disclosure to opioid use during this pregnancy, positive opioids in maternal and/or infant's urine, and/or the umbilical cord drug screening. All mothers with OUD were referred to an OMTP. Those who attended the program were prescribed methadone or buprenorphine and received counseling.

As per the American Academy of Pediatrics recommendations and our NICU guidelines, all newborns with prenatal history of opioid exposure were admitted for 5-7 days of observation or for a longer duration if treatment for withdrawal was needed.³ In 2006, our NICU developed internal guidelines for the management of NOWS; no changes to the guidelines were made during study period. All opioid-exposed infants received supportive care such as on-demand high-calorie formula,¹⁶ a guiet environment, swaddling, cuddling, rocking, music, and/or massage therapy throughout their NICU stay.¹⁷ A recent practice for infants born to mothers with OUD encourages the "Eat, Sleep, Console" method, breast feeding, and rooming-in in order for mothers to bond with their infants, to reduce the pharmacological intervention, and to decrease the duration of hospital stay.¹⁸ Since we were not equipped to monitor the maternal drug use during infant's hospital stay, no breast feeding was recommended, and no rooming-in was provided. However, we encouraged parents and immediate designated family members to visit and stay with the infants as long and as often as their lifestyle allows.¹

In order to assess the severity of opioid withdrawal in infants, several scoring methods have been developed over the years.³ We chose the modified Finnegan scoring method that is widely used for evaluation of infants exposed to intrauterine opioids.³ This modified scoring system includes 31 items that evaluate signs of central nervous system, metabolic, vasomotor, respiratory, and gastrointestinal disturbances. Items are scored numerically from 1 to 5 depending on the severity of clinical signs. The modified Finnegan scoring was performed every 4 h. This method considers three consecutive scoring of ≥ 8 as severe NOWS.³ This is a valid cut-off, since in a prospective study, well infants who were not exposed to intrauterine opioids had Finnegan scoring of <8 during the first 3 days of life and again during the weeks 5 and 6.² Pharmacotherapy was initiated when 3 consecutive scorings were ≥ 8 or 2 consecutive scorings were ≥ 12 . Infants who required pharmacotherapy were grouped as "severe NOWS." Infants requiring no pharmacotherapy were grouped as "no/mild NOWS." Morphine was our first drug of choice, and phenobarbital was an adjunct drug for the management of the withdrawal.³ Our nurses were trained to use the modified Finnegan Neonatal Abstinence Scoring System. The study by Kushnir et al.²¹ showed that the time of the day or the day of the week did not influence the reliability of Finnegan scoring obtained by nurses.

STATISTICS

SAS V.9.4 (SAS Institute Cary, NC) was used for the statistical evaluation. Wilcoxon rank-sum test was used to compare the continuous variables between the two race groups. All tests were two sided; p < 0.05 was considered as statistically significant. Data are presented as mean \pm SD and/or as median and interquartile range as appropriate. A simple univariate analysis was initially run between the severe NOWS and non-severe/no-NOWS groups on all clinical variables. Binomial regression with PROC GENMOD was used derive relative risks; a 95% confidence interval was calculated for each test.

When p < 0.1, effect modification and confounding among variables were evaluated before progressing with the model. Results are presented stratified by the different levels of the variable to show the direction and magnitude of the association.

RESULTS

During the study period, 243 infants were exposed to intrauterine opioids. Ninety-three infants were preterm and were excluded since Finnegan scoring system is designed for evaluation of term infants. Data were collected from the remaining 150 mother–infant pairs. Ninety-nine percent of mothers with OUD were low income and were enrolled in the Tennessee Medicaid Program (TN-CARE Insurance). Sixty mothers (40%) identified themselves as Black. Table 1 represents the characteristics of all mothers with OUD and their infants stratified by race. Black mothers had a significantly higher parity at the time of delivery. They were also significantly more likely to have used tetrahydrocannabinol (THC) and to have a urine drug screen positive for opiates. White mothers were significantly more likely to have attended an OMTP; however, there was no racial difference in the relative frequency of prescription of methadone or buprenorphine while attending the program.

Table 2 represents univariate analysis for variables associated with the development of severe NOWS. Factors that were significantly different between the races (use of THC, maternal parity, and a positive maternal urine drug screen) did not significantly modify the association between race and the development of severe NOWS. In a stratified analysis, 50 mothers used THC. Racial difference in the development of severe NOWS was not influenced by concomitant use of THC. The risk ratio (RR) for White infants for the development of severe NOWS in mothers who used THC was 1.72 (1.08, 2.73) as compared to 1.92 (1.18, 3.12) in infants whose mothers did not use THC. A hundred mothers had a parity at ≤ 2 . In this group, White race had an RR of 1.87 (1.14, 3.07) for the development of severe NOWS as compared with mothers with a parity >2, RR = 1.73 (1.07, 2.80). Of the 127 mothers who had a UDS test done, 16 were negative and 111 were positive for opiates. In the positive group, the RR for White race for the development of severe NOWS was 1.67 (1.18, 2.36).

In the adjusted analysis between the two significant variables of White race and attendance to OMTP, both variables remained significantly associated with the development of severe NOWS. White race had an RR of 1.51 (1.06, 2.16), and attendance to OMTP had an RR of 1.44 (1.08, 1.93). There was no interaction between the two variable, p = 0.92. Black mothers attending OMTP only comprised 19% of the attendees. In the adjusted analysis, there was no association between race and the development of severe NOWS in infants who were born to mothers who attended OMTP; only 6 infants with severe NOWS were Black. There was also no racial difference between mothers taking methadone and the development of severe NOWS, Table 3. However, in mothers who did not attend OMTP, White race remained a significant factor associated with the development of severe neonatal abstinence syndrome, RR = 1.69 (1.06, 2.69).

DISCUSSION

In this prospective observational study, we found that White infants with exposure to intrauterine opioids had significantly more severe NOWS that required pharmacological interventions compared to Black infants.

While several factors have been reported to be associated with the development of NOWS, race has not been commonly found to be an independent factor associated with the development of severe NOWS.^{9,10,13,14} Also, a significant number of studies were on White mothers who attended the methadone/buprenorphine treatment program.^{9,10,13,14} Up to 80% of infants prenatally exposed to methadone/buprenorphine develop NOWS, and the severity of withdrawal is not believed to be related to methadone dosage.^{6,22–24}

Characteristics	Black mothers with OUD, $n = 60$	White mothers with OUD, $n = 90$	p value
Maternal age (years)	30 ± 6	28 ± 4	0.16
Gestational age (weeks)	39±1	39±1	0.16
Gravida (median, IQ)	4 (2, 6)	3 (2, 5)	0.36
Para (median, IQ)	3 (1, 4)	1 (1, 2)	<0.01
Prenatal care	53 (90%)	78 (91%)	0.86
Cesarean section	25 (42%)	32 (36%)	0.45
Maternal tobacco use	34 (57%)	58 (65%)	0.3
Maternal THC use	26 (43%)	24 (27%)	0.04
Maternal cocaine use	13 (22%)	11 (12%)	0.12
Maternal psychiatric disorder	17 (29%)	37 (42%)	0.1
Maternal poly-substance abuse	52 (88%)	77 (90%)	0.79
Attendance to OMTP	13 (22%)	54 (63%)	<0.01
Maternal methadone as prescribed for treatment	6 (46%)	30 (56%)	0.54
Methadone last trimester dose (mg)	61 ± 45	85 ± 45	0.28
Buprenorphine last trimester dose (mg)	14 ± 6	13±7	0.52
Maternal UDS positive for opiates	53 (90%)	58 (67%)	<0.01
Neonatal opioid withdrawal syndrome	24 (40%)	63 (70%)	<0.01
Male sex	38 (63%)	51 (57%)	0.42
Birth weight (g)	2949 ± 472	3016 ± 439	0.18
Infant UDS positive for opiates	35 (59%)	51 (59%)	0.93
Cord EDDP level (ng/g)	34 ± 45	41 ± 28	0.27
Cord methadone level (ng/g)	78 ± 84	98 ± 55	0.47
Morphine start day of life	3±2	2±1	0.21
Number of days receiving morphine	20 ± 15	23 ± 15	0.38
Morphine cumulative dose (mg)	20 ± 27	25 ± 26	0.25
Phenobarbital start day of life	17±13	15 ± 12	0.5
Number of days on phenobarbital	18±12	32 ± 17	0.02
Phenobarbital cumulative dose (mg)	413±373	536 ± 287	0.14
Length of stay (days)	15 ± 15	24 ± 17	<0.01
Discharge weight (g)	3231 ± 796	3511 ± 795	0.01

Data are presented as mean \pm SD and/or median (Q1, Q3) as appropriate.

N (%) number of infants and their percentage based on total number of infants with complete data, *OUD* opioid use disorder, *THC* tetrahydrocannabinol, *OMTP* opioid maintenance treatment program, *NOWS* neonatal opioid withdrawal syndrome, *UDS* urine drug screen, *EDDP* 2-ethylidene-1, 5-dimethyl-3, 3-dipheneylpyrrolidine (a primary metabolite of methadone).

Socioeconomic status and racial disparity in health care of mothers with OUD are among factors that may contribute to NOWS. Although 80% of our obstetric population were Black and 10% were White (10% were other racial group), the racial distribution of our mothers with OUD was 60% White and 40% Black. Our study population was unique since both Black and White mothers with OUD were socially and economically disadvantaged. They were unemployed and had TN-CARE Insurance (Medicaid). Our Black mothers had higher parity, higher THC and cocaine abuse, and higher positive urine drug screen compared to the White mothers. Our White mothers had more psychiatric disorders but attended OMTP more often than the Black mothers. Although all mothers were referred to OMTP by their Obstetricians, only 45% (67/150) attended the OMTP (63% of White and 22% of Black mothers). Not attending the OMTP was likely due to the economic barriers, since many treatment programs only accept cash payments, and only 20% may accept patients with Medicaid insurance.²⁵ It seems that some White mothers had more family support in order to afford the OMTP than most Black mothers.

Our Black mothers used THC more often than White mothers. We speculate that, by using THC, they may have used less opioids.

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Endogenous cannabinoid receptors and opioid receptors are codistributed in the peripheral and the central nervous system, and both are involved in providing analgesia and drug rewards.²⁶ THC is involved in the release of endogenous opioids. In a clinical study, when cannabinoids and opioids were co-administered, they produced synergistic pain relief in adults.²⁷ Some studies do suggest the use of medical cannabis as a treatment for OUD.²⁸ However, there are no reports on the effects of maternal combined opioids and THC use and the frequency of NOWS.

Although cocaine use was only 16% among our mothers with OUD (24/150), Black mothers used cocaine twice as often as White mothers. It is known that Black women more frequently use cocaine.²⁹ Cocaine causes uterine and placental vasoconstriction.³⁰ Thus cocaine and opioid co-abuse can potentially result in less opioids crossing the placenta and reaching the fetus. This may be associated with a lower incidence of severe NOWS in Black infants.

There are limited publications that associate race with NOWS. A retrospective study from the largest nationwide Pediatric database (KID 2016) using International Classification of Diseases (ICD) diagnostic codes showed that >80% of infants with NOWS were of White race.² However, it was not clear whether these infants required pharmacological intervention, supportive care, or no

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Table 2. Univariate analysis for variables associated with the development of severe NOWS.							
Characteristics	No or mild NOWS, $n = 63$	Severe NOWS, $n = 87$	p value	RR	95% CI		
White race	27 (30%)	63 (70%)	<0.01	1.75	1.25		
Maternal age (year)	29±5	29±5	0.92	1.00	0.97		
Gestational age	39±1	39±1	0.1	1.10	0.98		
Gravida (median, IQ)	4 (3, 5)	3 (2, 6)	0.75	0.99	0.94		
Para (median, IQ)	2 (1, 3)	2 (1, 3)	0.46	0.97	0.89		
Prenatal care	52 (90%)	79 (91%)	0.82	1.06	0.66		
C-section	27 (43%)	30 (34%)	0.31	0.86	0.64		
Maternal tobacco use	39 (63%)	53 (91%)	0.81	0.97	0.73		
Maternal THC use	19 (31%)	31 (36%)	0.52	1.10	0.83		
Maternal psychiatric disorder	21 (35%)	33 (38%)	0.71	1.05	0.80		
Maternal poly-substance abuse	49 (84%)	80 (92%)	0.23	1.42	0.80		
Attendance to OMTP	17 (29%)	50 (57%)	<0.01	1.57	1.20		
Maternal methadone as prescribed for treatment	6 (10%)	30 (34%)	<0.01	1.60	1.26		
Methadone last trimester dose (mg)	69 ± 54	84 ± 43	0.51	1.00	1.00		
Maternal buprenorphine as prescribed for treatment	10 (17%)	21 (24%)	0.29	1.17	0.88		
Buprenorphine last trimester dose (mg)	12±9	13±5	0.78	1.00	0.98		
Maternal UDS positive for opiates	46 (79%)	65 (75%)	0.39	1.17	0.81		
Male sex	39 (62%)	50 (57%)	0.58	0.93	0.70		
Birth weight (g)	2922 ± 474	3037 ± 431	0.13	1.00	1.00		
Umbilical cord methadone level (ng/g)	97 ± 63	95 ± 60	0.94	1.00	1.00		
Umbilical cord EDDP level (ng/g)	23 ± 18	44 ± 33	0.67	1.00	0.99		

Data are presented as mean \pm SD and/or median (Q1, Q3) as appropriate.

RR risk ratio, *N* (%) number of infants and their percentage based on total number of infants with complete data, *OUD* opioid use disorder, *NOWS* neonatal opioid withdrawal syndrome, *Severe NOWS* infants with NOWS who required pharmacological intervention, *THC* tetrahydrocannabinol, *OMTP* opioid maintenance treatment program, *EDDP* 2-ethylidene-1, 5-dimethyl-3, 3-dipheneylpyrrolidine (a primary metabolite of methadone).

Table 3. Adjusted analysis for factors associated with the development of severe NOWS for mothers attending OMTP.							
	p value	aRR	95% CI				
White race	0.18	1.42	0.85	2.38			
Maternal methadone as prescribed for treatment	0.13	1.25	0.94	1.66			
OMTP opioid maintenance treatment program, aRR adjusted risk ratio.							

specific care, or the ICD code was just based on the maternal history of opioid use.² Also, in a retrospective case–control study on infants who developed signs of withdrawal (NOWS), Parikh et al.³¹ reported that White infants were more likely than Black infants to receive pharmacotherapy (84 vs. 70%, respectively). In that study, there was no description of maternal racial distribution (Black and White) or the characteristics of mothers with OUD whose infants did not show withdrawal signs. Since not all infants prenatally exposed to opioids would display signs of withdrawal, this information would help to evaluate the strength of White race as a factor potentially associated with the development of severe NOWS.

Among infants with severe NOWS, only 25–30% may require prolonged duration of treatment with several drugs and prolonged length of hospital stay.^{3,11} In these cases, maternal, infant, and placental genetic and epigenetic profiles may help in prediction of severe NOWS. Several studies showed that genetic variants in opioid receptor genes, genes involved in metabolism of drugs, and the stress response genes may be the reason for severe withdrawal in some infants.^{11,32,33} Wachman et al.³⁴ reported an association with both maternal and infant's opioid gene variants [μ opioid receptor, catechol-O-methyl transferase, and prepronociceptin] and the severity of NOWS. Cole et al.³⁵ showed that there are differences in racial/ethnic (European-American, African, and Latino) allele frequencies and associated single-nucleotide polymorphism in opioid receptor genes in neonates with NOWS phenotype

2.45 1.03 1.22 1.05 1.06 1.70 1.15

1.27 1.45

1.38

2.51 2.07

2.01

1.00

1.56

1.03 1.69 1.22 1.00

1.00

1.01

The study by Sadhasivam et al.³⁶ showed that a large interindividual variability exits in morphine pharmacokinetics that is believed to be due to genotype variants in organic cation transporter (OCT1). OCT1 is important for absorption, distribution, and elimination of morphine.³⁷ Black children have 23% higher morphine clearance than White children.³⁷ OCT1 transporter also involves in clearance of maternally acquired opioids. Lower clearance of opioids in Whites may result in prolonged exposure to opioids causing disruption of neuronal and glial maturation in the central nervous system of infants.³⁸ Therefore, it may have an impact on long-term neurological outcome of infants with NOWS.^{38,39}

LIMITATIONS AND STRENGTHS OF THE STUDY

There were several limitations to our study: (1) all scoring systems are subjective measures that can be influenced by the level of training and experience of the observer. Inter-observer variations in scoring may exist and the possibility of an unintentional bias in scoring cannot be ruled out;⁴⁰ (2) We did not present separately infants who had no withdrawal from those who had mild withdrawal signs but did not require pharmacotherapy; (3) We also did not have data on the amount, route (IV, PO, smoking), frequency, and the duration of opioids, tobacco, marijuana, and other co-drug usage; (4) There were only 13 Black mothers who attended OMTP and only 6 of their infants developed NOWS. This may explain the absence of an association between race and severe OUD in infants born to mothers who attended OMTP; (5) Differences in management strategies in the OMTP; and (6) Unknown genetic status of mothers and infants.

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The strengths of our study include: (1) its prospective nature; (2) similar socioeconomic status of our mothers (high poverty level), and (3) having data on infants who had no signs of withdrawal/mild withdrawal who did not require pharmacological interventions.

CONCLUSION

There is a significant association between race and development of severe NOWS. A prospective multicenter study with a large number of mothers with OUD and comparable racial distribution is needed to further delineate this association. Similar studies also are needed to study whether there are any racial differences on long-term neurodevelopmental outcome of intrauterine opioid-exposed infants.

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AUTHOR CONTRIBUTIONS

M.P. conceptualized and designed the study, coordinated and supervised data collection, initial analysis, drafted the initial manuscript, and reviewed and revised the final version of manuscript. M.T.E. and R.D. carried out the initial and final analysis and reviewed the final manuscript. D.R. designed the data collection, collected data, carried out the initial analysis, and critically reviewed the final manuscript. K.P.G. and L.D. collected data and critically reviewed the final manuscript. All authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

ADDITIONAL INFORMATION

Competing interests: The authors declare no competing interests.

Consent: Patient consent was required and was obtained per our IRB guidelines.

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