

## Can biomarkers in umbilical cord blood predict atopic disease at school age?

**Cite this article as:** Andras Laszlo Soti, Jakob Usemann, Bianca Schaub, Urs Frey, Philipp Latzin and Oliver Fuchs, Can biomarkers in umbilical cord blood predict atopic disease at school age?, *Pediatric Research* doi:10.1038/s41390-019-0686-z

This Author Accepted Manuscript is a PDF file of an unedited peer-reviewed manuscript that has been accepted for publication but has not been copyedited or corrected. The official version of record that is published in the journal is kept up to date and so may therefore differ from this version.

Terms of use and reuse: academic research for non-commercial purposes, see here for full terms. <https://www.nature.com/authors/policies/license.html#AAMtermsV1>

Author accepted manuscript

1 **Can biomarkers in umbilical cord blood predict atopic disease at school age?**

2

3 **Authors:** Andras Laszlo Soti<sup>1,2</sup>, Jakob Usemann<sup>1,2,3</sup>, Bianca Schaub<sup>4</sup>, Urs Frey<sup>1,2</sup>, Philipp Latzin<sup>1,2</sup>, and Oliver Fuchs<sup>1,2</sup>, on behalf of the BILD  
4 Study group

5 1 Division of Respiratory Medicine, Department of Paediatrics, Inselspital, University of  
6 Bern, Bern, Switzerland

7 2 University Children's Hospital Basel (UKBB), Basel, Switzerland

8 3 Division of Respiratory Medicine, University Children's Hospital Zurich, Zurich,  
9 Switzerland

10 4 University's Children's Hospital Munich, Paediatric Allergology, Ludwig Maximilian's  
11 University of Munich (LMU), Munich, Germany; Member of CPC-M (German Lung  
12 Centre, DZL)

13 **Correspondence:**

14 PD Dr. Oliver Fuchs, MD, PhD

15 Division of Respiratory Medicine, Department of Paediatrics, Inselspital, University of Bern,  
16 Freiburgstrasse 15, 3010 Bern, Switzerland.

17 Phone: +41 31 632 29 11

18 E-mail: oliver.fuchs@insel.ch

19 **Funding:** Swiss National Science Foundation grant 320030\_163311

20 **Disclosure:** The authors declare no conflict of interest.

21 **Members of the BILD Study Group:** Urs Frey, MD, PhD; Oliver Fuchs, MD, PhD; Olga Gorlanova, MD; Insa Korten, MD; Claudia Kühni, MD;  
22 Philipp Latzin, MD, PhD; Martin Rösli, MD, PhD; Andràs László Soti, MD; Jakob Usemann, MD, PhD; each Bern, Basel.

23 **Authors' Contributions:** Conception and design: UF, PL, and OF. Acquisition of data, analysis and interpretation: AS, JU, BS, UF, PL, and OF.  
24 Drafting the manuscript for important intellectual content, final approval of the manuscript: AS, JU, BS, UF, PL, and OF. UF and PL are Principal  
25 Investigators of the BILD Cohort.

26 **Category of the study:** Letter to the Editor

27

Author accepted manuscript

28 *To the Editor*

29 The prevalence of atopic diseases including atopic dermatitis, allergic rhinitis, allergic conjunctivitis, or their combination, and allergic asthma has  
30 reached epidemic proportions in Western societies and is still growing.(1) In general; atopic diseases impact quality of life of individuals and  
31 contribute to a significant burden for national health care systems.(1)

32 In this context, many studies have tried to identify biomarkers in umbilical cord blood (UCB) in order to predict development of atopic disease and  
33 to identify populations at risk. As such, possible biomarkers for atopic disease may include interleukin 17 (IL17), chemokine (C-C motif) ligand 5  
34 (CCL5), interferon gamma (IFN- $\gamma$ ), and immunoglobulin E (IgE). While IL17 may be indicative especially in severe, uncontrolled asthma,(2) CCL5  
35 was found to be elevated in atopic and asthmatic patients in general.(2) Levels of IFN- $\gamma$  were inversely correlated with the development of  
36 allergy.(3) Also, total IgE (tIgE) levels can be used as a biomarker in this context.(2) Unfortunately, results were so far conflicting and especially  
37 evidence in prospective studies is lacking.

38 Thus, our aim was to assess the association between IL17, CCL5, IFN- $\gamma$ , and tIgE levels in UCB and the presence of atopic diseases and allergic  
39 sensitization at school age. For this, we enrolled unselected, healthy, term born infants from the prospective Basel-Bern Infant Lung Development  
40 (BILD) cohort.(4) Midwives collected UCB at birth. After centrifugation for six minutes at 3000 rpm, serum was frozen at -80C for subsequent  
41 analyses. Cytokines were measured in duplicates on 96-well plates (50  $\mu$ l/well) pre-coated with cytokine-specific antibodies using the Human  
42 Cytokine Multiplex Assay Kit (IL-17, CCL5, and IFN- $\gamma$ ) according to manufacturer instructions (Bio-Rad, Munich, Germany). Detection limits  
43 (pg/ml) of the used assay were 1.55 for IL-17, 1.08 for CCL5, and 1.08 for IFN- $\gamma$ . For tIgE measurements, semi-quantitative ELISA analyses were

44 performed at the Institute of Immunology at the University's Hospital of Bern, Switzerland. The following strata were established for tIgE levels:  
45 group 1 (<0.5 IU/ml), group 2 (0.51-1.00 IU/ml), group 3 (1.01-5.00 IU/ml), and group 4 (5.01-15.00 IU/ml).

46 With regard to outcomes of interest and possible confounders, the sequential BILD study design includes several standardized data collections at  
47 different moments over time.(4) Parents completed a questionnaire collecting pre- and perinatal data, when the child was 4-6 weeks of age. A  
48 follow-up visit at six years of age includes another standardised and validated questionnaire (adapted from the *International Study of Asthma and*  
49 *Allergies in Childhood* – ISAAC)(4) collecting data on atopic diseases and respiratory symptoms. Secondly, a skin prick test against common local  
50 allergens (dog dander, cat dander, house dust mite *Dermatophagoides pteronyssinus*, mixed tree pollens, mixed grass pollens, *Alternaria alternata*,  
51 a positive control (histamine), and negative control (NaCl 0.9%) (Allergomed, Switzerland) was performed. Tests were determined positive in case  
52 of wheal diameters of any tested allergen was equal to or larger than three mm. Based on validated questionnaire data(4), we classified children as  
53 atopic in case of parent-reported atopic dermatitis, allergic rhinitis, allergic conjunctivitis (or their combination), allergic asthma, or any  
54 combination of these diseases.(5)

55 We were able to measure UCB tIgE levels in n=143 children and cytokine levels in n=163 children. As some cytokine levels were below detection  
56 limits (IL17: n=72/163, CCL5: n=1/163, IFN- $\gamma$ : n=73/163), this was handled with different approaches. In order to address distribution and  
57 censoring issues inherent in cytokine data, we used multiple imputation to generate random values for cytokine results under the detection limit,  
58 using normal, half-normal and uniform distributions, as well as assigning a fixed value or leaving non-detectable data as missing. In the final model,  
59 we included the half-normal distributed results, as the values of this approach were closest to the mean of all approaches, resulting in a normal

60 distribution. However, the other approaches mentioned above resulted in comparable results (results not shown). For descriptive statistics and  
61 regression analyses, STATA version 14.0 (STATA Corporation, College Station, USA) was used. In order to assess whether UCB biomarker levels  
62 could predict allergic sensitization or atopic disease at school age, we used logistic regression adjusted for known and potential confounders, in our  
63 case sex and parental atopy. Further possible confounders such as caesarean section, antibiotics during pregnancy, breast-feeding, and parental  
64 smoking were not significantly associated with outcomes in our models and were therefore not included in the final model. The Ethics Committee of  
65 the Canton Bern and the Research Ethics Committee of University Hospital Bern, Switzerland (Inselspital) approved the study. All parents provided  
66 written informed consent for this study.

67 In total, n=611 children were enrolled in our study from birth on (Table 1). Of these, n=416 have already reached follow-up and completed visits at  
68 age six; for n=326/416 we additionally had data on atopic disease. Among the n=115 children, for whom we had both data on UCB cytokine levels  
69 and atopic disease at age six, n=44 (38.3%) had atopic disease and n=21 (18.3%) had positive SPTs. Furthermore, among the n=143, for whom we  
70 had both data on tIgE levels and atopic disease at age six, n=54 (37.8%) had atopic disease and n=27 (18.9%) displayed positive SPTs. Included  
71 study participants were representative of the general BILD cohort population in terms of demographics, except for gestational age with a statistically  
72 significant, but unimportantly small difference, and presence of parental atopy (Table 1), however, the share of children with atopic disease is  
73 slightly higher when compared to all children with follow up at age six (Table 1), despite also being generally comparable to the Swiss population.  
74 Children with atopic disease were comparable to children without atopic disease except for parental atopy and, naturally, outcome measures (Table  
75 2a).

76 There were no differences between children with or without atopic disease at age six for suspected cytokine biomarkers at birth (Table 2a). There  
77 were also no differences between children with or without allergic sensitization at age six for tIgE levels irrespective of IgE groups (Table 2b),  
78 however, we found significant differences between children with or without atopic disease at age six for tIgE groups 1 and 2, but not 3 and 4 (Table  
79 2a/b). This has to be noted with caution however due to the small number of children in this category (Table 2a/b) and of sensitized children in total  
80 in our study population. When we pooled children of tIgE groups 2-4 due to small numbers, the noted differences were not significant for atopic  
81 sensitization, but for atopic disease at age six (Table 2b). Otherwise, there were no associations between concentrations of IL17 (odds ratio  
82 (OR):1.51, 95% confidence interval (95%CI) 0.80-2.87), CCL5 (1.00, 1.00-1.00), or IFN- $\gamma$  (0.98, 0.87-1.11) and atopic sensitization, similarly  
83 between IL17 (1.40, 0.75-2.58), CCL5 (1.00, 1.00-1.00), or IFN- $\gamma$  (OR: 0.99, CI: 0.92-1.07) and the presence of atopic disease at the age of six  
84 (Table 2b).

85 In conclusion, we could not detect any associations between IL-17, CCL5, or IFN- $\gamma$  in UCB and atopic sensitization or presence of atopic disease at  
86 age six in our population. However, we found a possible significant association of UCB tIgE after birth, which of note is not able to cross the  
87 placenta, with subsequent atopic disease at the age of six. As mentioned earlier, this has to be taken with caution due to the small number of children  
88 with atopic disease at age six in our study population. One major advantage of our study approach is the prospective birth cohort of healthy  
89 individuals. Worth mentioning, there are important limitations to our study. At birth, feasibility allowed for collection of serum from UCB only, but  
90 not for cellular stimulations. Thus, possible differences of cell-specific cytokine expression could not be assessed and this may have resulted in too  
91 small differences in biomarker levels between the groups, leading to non-significant associations. Another possible reason for our findings is the

92 relatively small sample size of sensitized children and of children with atopic disease in our study population reflecting the unselected healthy  
93 nature of the BILD cohort study participants. However, the subsample was representative for the whole BILD cohort population. Further studies  
94 with larger sample sizes and using stimulated blood samples for biomarker measurements are needed to re-evaluate these findings.  
95 (1,333 words)

Author accepted manuscript



96 **References**

- 97 1. Thomsen SF. Epidemiology and natural history of atopic diseases. *European clinical respiratory journal* 2015;2.
- 98 2. Zissler UM, Esser-von Bieren J, Jakwerth CA, Chaker AM, Schmidt-Weber CB. Current and future biomarkers in allergic asthma. *Allergy*  
99 2016;71:475-94.
- 100 3. Raedler D, Ballenberger N, Klucker E, et al. Identification of novel immune phenotypes for allergic and nonallergic childhood asthma. *The*  
101 *Journal of allergy and clinical immunology* 2015;135:81-91.
- 102 4. Fuchs O, Latzin P, Kuehni CE, Frey U. Cohort profile: the Bern infant lung development cohort. *International journal of epidemiology*  
103 2012;41:366-76.
- 104 5. Usemann J, Fuchs O, Anagnostopoulou P, et al. Predictive value of exhaled nitric oxide in healthy infants for asthma at school age. *The*  
105 *European respiratory journal* 2016;48:925-8.

106

107 **Acknowledgements:** The authors would like to thank all families for participating in the study, along with our study nurses Christine Becher,  
108 Monika Graf, Barbara Hofer, Sandra Lüscher, Fabienne Furrer, Sybille Thommen, Bettina Vessaz, and Linda Beul-Béguin (all Division of  
109 Respiratory Medicine, Department of Paediatrics, Inselspital, University of Bern, Bern, Switzerland), as well as Maya Weber, Kathrin Gerber-  
110 Windisch, Amelia Imolesi, Karine Landgren Hugentobler (all University Children's Hospital Basel (UKBB), Basel, Switzerland) for their support,  
111 Tatjana Netz and Isolde Schleich for laboratory support, and Isaac Gravestock for his help in statistics.

Author accepted manuscript

112

113

114

115

116

117

118

119

120

121

122

123

<b>Demographics</b>	Whole study population at age 4 weeks	Children with follow-up data at age 6	Children with both cytokine and follow-up data at age 6	Children with both tIgE and follow-up data at age 6	P	
<b>Birth</b>					General vs cytokine	General vs IgE
Number of participants	611	326	115	143		
Male (%)	328 (53.68%)	174 (53.37%)	59 (51.30%)	74 (51.75%)	0.57	0.6
Gestational age in weeks (SD)	39.63 (1.32)	39.61 (1.41)	39.94 (1.14)	39.78 (1.27)	<b>0.007</b>	0.14
Birth weight in kg (SD)	3.37 (0.45)	3.36 (0.47)	3.43 (0.44)	3.40 (0.43)	0.13	0.42
Birth length in cm (SD)	49.51 (2.07)	49.51 (2.10)	49.70 (1.94)	49.62 (1.92)	0.27	0.47
Parental atopy (%)	344 (56.30%)	188 (57.67%)	74 (64.35%)	90 (62.94%)	<b>0.059</b>	<b>0.075</b>
Parental smoking (%)	108 (17.68%)	43 (13.19%)	24 (20.87%)	43 (30.07%)	0.35	0.54
<b>Follow up</b>						
Age		6.04 (0.30)	6.07 (0.26)	6.08 (0.24)		
Body weight in kg (SD)		22.11 (3.27)	22.52 (3.27)	22.23 (3.21)		
Body length in m (SD)		1.17 (0.05)	1.17 (0.06)	1.17 (0.05)		
SPT positivity (%)		57 (18.63%)	21 (18.26%)	27 (18.88%)		
Any atopic disease (%)		93 (30.39%)	44 (38.26%)	54 (37.76%)		
<b>Symptoms</b>						
Atopic eczema (%)		27 (8.82%)	13 (11.30%)	14 (9.79%)		
Allergic conjunctivitis only (%)		32 (10.46%)	13 (11.30%)	18 (12.59%)		
Allergic rhinitis only (%)		72 (23.53%)	33 (28.70%)	41 (28.67%)		
Allergic rhinoconjunctivitis (%)		37 (12.09%)	17 (14.78%)	23 (16.08%)		
Asthma (%)		10 (3.27%)	4 (3.48%)	6 (4.20%)		

125 Demographics of the BILD cohort compared to the sub-population with follow-up at age six. If not mentioned otherwise, means and their standard  
126 deviation are displayed. Data only shown for participants with complete data. For children with cytokine data, there is also complete data on tIgE.  
127 SD: Standard Deviation, N: number of participants, tIgE: total immunoglobulin E.

Table 2a

	Atopic disease at age 6	No atopic disease at age 6	p-value
<b>Birth</b>			
Number of participants	96	230	
<b>Sex</b>			
Male (%)	57 (59.37%)	117 (50.87%)	0.16
Gestational age in weeks (SD)	39.73 (1.32)	39.55 (1.45)	0.29
Birth weight in kg (SD)	3.35 (0.48)	3.36 (0.47)	0.74
Birth length in cm (SD)	49.38 (2.12)	49.56 (2.09)	0.47
Parental atopy (%)	67 (69.79%)	121 (52.61%)	<b>0.0042</b>
Parental smoking (%)	16 (16.67%)	27 (11.74%)	0.23
<b>Biomarker levels in UCB</b>			
N	44	71	
IL17 in pg/ml (SD)	1.07 (1.03)	0.76 (1.04)	0.13
CCL5 in pg/ml (SD)	231.88 (146.55)	213.87 (105.08)	0.45
IFNG in pg/ml (SD)	2.06 (2.96)	6.40 (37.85)	0.45
N	54	89	
tIgE group 1 (<0.5 IU/ml) (%)	32 (59.26%)	69 (77.53%)	<b>0.02</b>
tIgE group 2 (0.51-1.00 IU/ml) (%)	14 (25.93%)	9 (10.11%)	<b>0.01</b>
tIgE group 3 (1.01-5.00 IU/ml) (%)	6 (11.11%)	7 (7.87%)	0.51
tIgE group 4 (5.01-15.00 IU/ml) (%)	2 (3.70%)	4 (4.49%)	0.82
<b>Follow up</b>			
Age in years (SD)	6.07 (0.26)	6.07 (0.25)	0.87
Body weight in kg (SD)	22.05 (3.32)	22.13 (3.25)	0.85
Body length in cm (SD)	116.71 (4.89)	117.59 (5.55)	0.21
SPT positivity (%)	24 (25.00%)	28 (12.17%)	<b>0.0006</b>
<b>Symptoms</b>			
Atopic eczema (%)	27 (28.13%)	0	
Allergic conjunctivitis only (%)	33 (34.38%)	0	
Allergic rhinitis only (%)	19 (19.79%)	0	
Allergic rhinoconjunctivitis (%)	39 (40.63%)	0	
Asthma (%)	10 (10.42%)	0	

Demographic characteristics of the children with and without atopic disease. If not mentioned otherwise, means and their standard deviation are displayed. For children with cytokine data, there is also complete data on tIgE. SD: Standard Deviation, N: number of participants, IL17: Interleukin 17, CCL5: chemokine (C-C motif) ligand 5, IFN- $\gamma$ : interferon gamma, tIgE: total immunoglobulin E, SPT: skin prick test, IU: international unit.

<b>Atopic sensitization at age 6</b>							
	n	<b>Raw results without imputation</b>		<b>Half-normal distribution</b>		<b>Mean across all approaches</b>	
		<b>OR</b>	<b>95% CI</b>	<b>OR</b>	<b>95% CI</b>	<b>OR</b>	<b>95% CI</b>
<b>IL-17</b>	140	1.22	0.82-1.81	1.51	0.80-2.87	1.38	0.83-3.90
<b>CCL5</b>	140	1.00	1.00-1.00	1.00	1.00-1.00	1.00	1.00-1.00
<b>IFN-<math>\gamma</math></b>	140	0.99	0.89-1.09	0.98	0.87-1.11	0.99	0.89-1.09
	<b>N</b>	<b>OR</b>	<b>95% CI</b>				
<b>tIgE group 1</b>	101	1	1				
<b>tIgE group 2</b>	23	1.17	0.42-3.28				
<b>tIgE group 3</b>	13	1.25	0.36-4.31				
<b>tIgE group 4</b>	6	4.70	0.83-26.50				
<b>tIgE groups 2-4 pooled</b>	42	1.37	0.61-3.06				
<b>Any atopic disease at age 6</b>							
	N	<b>Raw results without imputation</b>		<b>Half-normal distribution</b>		<b>Mean across all approaches</b>	
		<b>OR</b>	<b>95% CI</b>	<b>OR</b>	<b>95% CI</b>	<b>OR</b>	<b>95% CI</b>
<b>IL-17</b>	164	1.33	0.92-1.91	1.40	0.75-2.58	1.38	0.84-2.28
<b>CCL5</b>	164	1.00	1.00-1.00	1.00	1.00-1.00	1.00	1.00-1.00
<b>IFN-<math>\gamma</math></b>	164	0.99	0.94-1.04	0.99	0.92-1.07	0.99	0.93-1.06
	<b>N</b>	<b>OR</b>	<b>95% CI</b>				

<b>tIgE group 1</b>	101	1	1
<b>tIgE group 2</b>	23	<b>3.68</b>	<b>1.40-9.64</b>
<b>tIgE group 3</b>	13	1.80	0.53-6.10
<b>tIgE group 4</b>	6	1.39	0.22-8.80
<b>tIgE groups 2-4 pooled</b>	42	<b>2.60</b>	<b>1.21-5.58</b>

**Table 2b**

Odds Ratios (OR) and 95% confidence intervals (CI) for comparisons of biomarkers with allergic sensitization and presence of any atopic disease at the age of 6, displaying the model “half-normal distribution” and all models combined. N: number of participants, IL17: Interleukin 17, CCL5: chemokine (C-C motif) ligand 5, IFN- $\gamma$ : interferon gamma, tIgE: total immunoglobulin E, SPT: skin prick test.