

## COMMENT Applying causal diagrams in pediatrics to improve research, communication, and practice

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The process of inferring causal effects is at the core of both health research and the practice of medicine. In this era of evidencebased practice and policy, clinical care recommendations and policies would ideally be supported by rigorous research, which might also be used to inform individual people's choices.<sup>1,2</sup> While the randomized controlled trial may be considered the gold standard to determine causation,<sup>3</sup> many important clinical and policy questions are infeasible and/or unethical to evaluate in a clinical trial. Examples of such causal questions in pediatrics include: does current routine well-child care cause good pediatric health in long run?<sup>4</sup> Does increased screen time cause pediatric obesity?<sup>5</sup> Does e-cigarette use cause children, who would not otherwise have done so, to become tobacco smokers?<sup>6,7</sup> The translation of research into practice and policy recommendations is complicated by the fact that even findings from wellconducted research can be explained by factors other than causation (e.g., chance, or bias owing to errors in data collection or statistical analysis).

Therefore, many stakeholders in medicine and heath care would stand to benefit from improved research design and conduct. This means both improving the estimation of causal effects, and also acknowledging the existing study limitations that prevent causal inference (which is an ideal rather than a standard that can be guaranteed by a given method or study design<sup>8-10</sup>). The growing prominence of causal inference in modern health research has also been accompanied by (and accelerated by) the abundance and increased availability of data.<sup>11,12</sup> Large data resources and advanced methods together hold promise to advance our understanding of causation in health-and therefore to improve the evidence base for clinical practice. However, uptake of these advanced methods has been relatively slow in applied research to date, in part due to daunting terminology and the technical skills often required to choose among and implement these techniques. It is this barrier that Williams and colleagues address with their contribution in this edition of *Pediatric Research*.<sup>13</sup> The authors present a commendably clear introduction to causal diagrams that should become an important resource for researchers and practitioners in pediatrics, and in other fields of medicine as well.

Sound research must be based on a deep understanding of the content being analyzed; even the most sophisticated analytical plan will produce meaningless results if developed and conducted in the absence of subject matter knowledge. Williams and colleagues demonstrate how causal diagrams may be used by pediatric researchers and consumers of pediatric research to map out the subject matter of a given study, and to assess the appropriateness of analytical choices and the presence of biases. The authors ground these methods in real-world pediatric content matter, including screen time and obesity, obstetric and neonatal care, and breastfeeding's effects on pediatric cognitive development. The clearest lesson from this paper is exemplified by the hypothetical study on the effects of antenatal steroids on bronchopulmonary dysplasia (BPD). The authors use causal diagrams to show that some common control variables (e.g., disease severity and mechanical ventilation) are not confounders and actually introduce over-adjustment bias if adjusted for.<sup>13,14</sup> Evaluating which variables should and should not be adjusted for should be made on a case-by-case basis, but the overall message is clear: researchers must be cautious in designating variables as confounders, and causal diagrams are very useful in this process.<sup>15-18</sup> The authors demonstrate this clearly by showing that although mechanical ventilation should not be controlled in an analysis describing the association between antenatal steroids and BPD, receipt of antenatal steroids should be controlled in an analysis describing the association between mechanical ventilation and BPD.

Distilling real-world clinical content and decision-making processes into simple diagrams is a challenging task. For example, the association between screen time, physical activity, and obesity is a complex one that unfolds over long periods of time and has causal feedbacks;<sup>19,20</sup> the causation of preterm birth involves many factors including many that remain unknown.<sup>21–23</sup> Causal diagrams cannot overcome such limitations in our content knowledge or data granularity.<sup>23–26</sup> In light of this, the authors are appropriately cautious to note that the causal diagrams presented are simplified versions of more complex real-world associations. Nonetheless, this type of explicit communication about the interrelationships between the variables in a given study (or equivalently, its "causal structure") is an essential first step in conducting valid research and preventing erroneous analytical choices.

In the end, the question should drive the research approach, and no technique is certain to eliminate bias or ensure causal inference. All research is vulnerable to bias caused by data and analysis concerns. Williams et al. have shown how one specific tool—causal diagrams—can be used to formulate sound causal

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Commentary on "Directed Acyclic Graphs: a Tool for Causal Studies in Pediatrics," by Williams et al., forthcoming in Pediatric Research

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486

questions, design a corresponding analysis plan, and estimate associations that are more causal in nature. Because causal diagrams have great potential to improve research and accelerate translation of valid research into practice, we encourage pediatric researchers and clinicians to take the opportunity presented by this tutorial to become familiar with causal diagrams. We recommend not only reading the article, but marking up the margins by creating causal diagrams that correspond to the content from this article, and the content from your own research and clinical practice.

## **ADDITIONAL INFORMATION**

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