

Dorsal and ventral striatal dopamine D1 and D2 receptors differentially modulate distinct phases of serial visual reversal learning

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Neuropsychopharmacology

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4 **Dorsal and ventral striatal dopamine D1 and D2 receptors differentially**
 5 **modulate distinct phases of serial visual reversal learning**

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7 Júlia Sala-Bayo¹; Leanne Fiddian¹; Simon R. O. Nilsson¹; Mona El-Sayed Hergig¹; Colin
 8 McKenzie¹; Alexis Mareschi¹; Maria Boulos¹; Peter Zhukovsky¹; Janet Nicholson²; Jeffrey W.
 9 Dalley^{1,3}; Johan Alsiö^{1*}; Trevor W. Robbins^{1,*†}

10

11

12 ¹Department of Psychology and Behavioural and Clinical Neuroscience Institute, University
 13 of Cambridge, Cambridge CB2 2EB, UK; ²Boehringer Ingelheim Pharma GmbH & Co. KG,
 14 Div. Research Germany, Biberach an der Riß, Germany; ³Department of Psychiatry,
 15 University of Cambridge, Cambridge CB2 2QQ, UK

16

17

18 * These authors have contributed equally

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20 **Running title:** Striatal dopamine receptors in behavioral flexibility.

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29 † Author for correspondence: Professor Trevor W. Robbins, Department of Psychology,
 30 University of Cambridge, Downing St, Cambridge CB2 3EB, UK. Tel. +44(0)1223 333563;
 31 Fax. +44(0)1223 333 564. Email. twr2@cam.ac.uk

32 **Abstract**

33 Impaired cognitive flexibility in visual reversal-learning tasks has been observed in a wide
34 range of neurological and neuropsychiatric disorders. Although both human and animal
35 studies have implicated striatal D₂-like and D₁-like receptors (D2R; D1R) in this form of
36 flexibility, less is known about the contribution they make within distinct sub-regions of the
37 striatum and the different phases of visual reversal learning. The present study investigated
38 the involvement of D2R and D1R during the early (perseverative) phase of reversal learning
39 as well as in the intermediate and late stages (new learning) after microinfusions of D2R and
40 D1R antagonists into the nucleus accumbens core and shell (NAcC; NAcS), the anterior and
41 posterior dorsomedial striatum (DMS) and the dorsolateral striatum (DLS) on a touchscreen
42 visual serial reversal-learning task. Reversal learning was improved after dopamine receptor
43 blockade in the nucleus accumbens; the D1R antagonist, SCH23390, in the NAcS and the
44 D2R antagonist, raclopride, in the NAcC selectively reduced early, perseverative errors. In
45 contrast, reversal learning was impaired by D2R antagonism, but not D1R antagonism, in the
46 dorsal striatum: raclopride increased errors in the intermediate phase after DMS infusions, and
47 increased errors across phases after DLS infusions. These findings indicate that D1R and D2R
48 modulate different stages of reversal learning through effects localised to different sub-
49 regions of the striatum. Thus, deficits in behavioral flexibility observed in disorders linked to
50 dopamine perturbations may be attributable to specific D1R and D2R dysfunction in distinct
51 striatal sub-regions.

52

53 **Keywords:** behavioral flexibility; striatum; raclopride; SCH23390; nucleus accumbens

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55

56 **Introduction**

57 Cognitive flexibility, the ability to adapt behavior to changes in the environment, is impaired
58 in a wide range of neurological and neuropsychiatric disorders, including schizophrenia [1],
59 obsessive-compulsive disorder (OCD) [2], Parkinson's disease (PD) [3] and substance use
60 disorder [4]. Such cognitive dysfunction can be evaluated in reversal-learning tasks.

61 Converging evidence from such tests implicates dopamine (DA) as an important modulator of
62 reversal learning. For instance, systemic blockade or agonism of D₂-like receptors (D2R)
63 impairs reversal learning in vervet monkeys and rats [5,6], while D2R knockout mice show
64 deficiencies in initial visual discrimination and in reversal learning [7]. In contrast,
65 pharmacological activation of D₁-like receptors (D1R) impaired early phases of reversal
66 learning [8], whereas D1R antagonism did not alter reversal learning performance [5]. In
67 healthy humans, repeat variations in the dopamine transporter gene, *DAT1*, have been linked
68 to performance during the early, perseverative phase of reversal learning, when prior beliefs
69 about the stimulus-reward outcomes still guide behavior, whereas accuracy during later
70 phases, when new learning takes place, showed no such link [9].

71 The main sub-regions of the dorsal striatum, namely the caudate nucleus and the putamen in
72 primates and the dorsomedial and dorsolateral striatum in rodents (DMS; DLS), have also
73 been differentially linked to reversal learning. Recent evidence suggests that pharmacological
74 inactivation of the putamen and caudate nucleus differentially affect serial visual reversal
75 learning in marmoset monkeys [10]. Furthermore, D2R availability in these sub-regions of
76 vervet monkeys is associated with reversal learning performance [11]. Importantly, the DMS
77 appears strongly linked to the early, perseverative phase of reversal, whereas the DLS
78 becomes engaged during later stages [12]. This is perhaps in line with the view that the DLS
79 mediates stimulus-response habits whereas the DMS – especially the anterior over the
80 posterior DMS (aDMS; pDMS; [13], but see [14])– is more strongly associated with goal

81 directed actions [15]. Both forms of control over instrumental behavior are likely necessary
82 for implementing a new strategy following contingency reversal, specifically the ability to
83 suppress prepotent, perhaps habitual, responding to the previously rewarded (and now
84 unrewarded) stimulus, and flexibly learn to select, via goal-directed behavior, the previously
85 unrewarded (now rewarded) option [16].

86 In the ventral striatum, previous studies have shown that increased dopaminergic tone in the
87 nucleus accumbens (NAc), or infusions of a D2R agonist (quinpirole) into this area impaired
88 reversal learning in rats [17], whereas infusions of a D1R agonist (SKF81297) disrupted set-
89 shifting by increasing perseverative behavior [17,18]. Lesions of the NAc disrupted initial
90 stimulus discrimination and reversal learning [19,20], including spatial, but not visual,
91 reversal learning in monkeys [21], and pharmacological inactivation impaired probabilistic
92 learning in rats [22]. However, other studies report no effect of NAc interventions on such
93 flexibility [23,24]. This discrepancy may be explained by the heterogeneity of the NAc with
94 the core and shell sub-regions (NAcC; NAcS) contributing differentially to attention [25,26]
95 and impulsivity-related behaviors [27–29], with these NAc sub-regions often being suggested
96 to play opposite roles in modulating behavior. For instance, inactivation of the NAcS
97 impaired probabilistic reversal performance in rats, identifying a key role for this nucleus in
98 using probabilistic reward feedback to facilitate discriminative learning and flexibility,
99 whereas inactivation of the NAcC, while not affecting performance accuracy did cause a
100 general slowing of approach toward the response levers [22].

101 Taken together, this evidence suggests a general pattern of impaired reversal learning when
102 DA activity is low in the dorsal striatum and when the dopaminergic tone is elevated in the
103 ventral striatum. However, there is no clear evidence of the role of D1R and D2R in different
104 sub-regions of the striatum in visual reversal learning or of their involvement in its different
105 learning phases.

106 We therefore sought to investigate whether D1R and D2R differentially affect reversal
107 learning both across different striatal sub-regions, including DLS, aDMS, pDMS, NAcC and
108 NAcS, and on the different phases of reversal learning by exploring the behavioral effects of
109 local administration of a D2R antagonist and a D1R antagonist using a recently established
110 touchscreen task for rats [30].

111

112 **Materials and methods**

113 **Subjects**

114 The subjects were 83 male Lister-Hooded rats (Charles River, UK) initially housed in groups
115 of up to 4 under humidity- and temperature-controlled conditions and a 12:12-h light-dark
116 cycle (lights off at 0700 h). Following implantation of guide cannulae, animals were singly
117 housed. Rats were \approx 300g at the beginning of training and were maintained at $>$ 85% of their
118 free-feeding weight by food restriction (19 g/day of Purina chow). Water was provided *ad*
119 *libitum*. The number of animals used for each experiment is shown in Table 1. The work was
120 carried out under a UK Home Office Project license (PPL 70/7548) in accordance with the
121 UK Animals (Scientific Procedures) Act 1986 and local ethical review at Cambridge
122 University.

123 **Experimental procedures**

124 Surgeries and microinfusions procedures are described in the Supplementary Materials and
125 Methods.

126 **Behavioral pre-training**

127 All software was written by Dr A.C. Mar [30]. Rats were initially trained to touch the screens
128 with daily sessions of 60 min or 100 trials. Pre-training consisted of 5 stages with gradually

5

129 increased difficulty (Fig. 1B). Briefly, in stage 1, a large white horizontal square ‘start-box’
130 (15 x 9 cm) was presented in the bottom center of the screen, and touching it was associated
131 with reward (45 mg sucrose pellet; TestDiet 5UTL; Sandown Scientific, Middlesex, UK). The
132 size of the ‘start box’ decreased throughout the stages until measuring 3 x 4 cm in stage 3.
133 Animals were moved to the next stage when reaching 100 responses/rewards per session. In
134 stage 4, touching the white box was not reinforced but led to the presentation of a visual
135 stimulus (vertical or horizontal bars) with a pseudo-random spatial placement, left or right.
136 The same stimulus was not displayed on the same side for more than three consecutive trials
137 to avoid side-biasing. Responding to the stimulus was reinforced, whereas the blank side led
138 to the illumination of the house-light for a 5 sec time-out (TO) period. After collecting the
139 reward, there was an inter-trial interval (ITI) of 5 sec. In stage 5, the stimuli were presented
140 slightly higher to avoid accidental touches e.g. with the tail. The criterion to move from stages
141 4 and 5 was reaching $\geq 80\%$ of correct responses per session.

142 **Visual discrimination training**

143 After the initial training stages, subjects were trained on a visual two-choice discrimination
144 task (Fig. 1). Touching the square ‘start-box’ triggered the simultaneous presentation of two
145 stimuli (vertical and horizontal bars), determined pseudo-randomly on either left or right side
146 of the screen [30]. The start-box procedure was used to ensure the central position of the
147 animal before the choice phase. Responses to one stimulus (CS+) were associated with reward
148 and collecting the reward initiated the next ITI. In contrast, responses to the other stimulus
149 (CS-) were not rewarded and led to a house light-signaled TO. The response window after
150 stimulus presentation was set to 10 s. After this time, the trial was considered as an omission
151 and led to a new ITI. The session ended after 250 trials, 150 rewards or 1 h, whichever came
152 first. Criterion for discrimination learning was set to 24 correct responses out of 30

153 consecutive trials. Once acquired within any session, rats were given a retention session with
154 the same reward contingencies to ensure they had reliably acquired the visual discrimination.

155 **Serial visual reversal learning**

156 Following acquisition of visual discrimination, animals were trained in serial visual reversal
157 learning (Fig. 1C). After the discrimination and retention sessions, contingencies reversed so
158 the previous CS+ was then CS- and *vice versa*. Rats were required to respond to the new CS+
159 until reaching the discrimination criterion ($\geq 24/30$ correct responses). After reaching
160 criterion, an extra retention session was run. Additional reversals were performed until the
161 rats were able to attain the criterion within 3 daily sessions. When this was met, rats
162 underwent surgery prior to testing. A retention session was run before each reversal and after
163 reaching the criterion (Fig. 1D), both in training and testing.

164 **Data analysis**

165 The main dependent variables were the number of errors and trials to criterion ($\geq 24/30$
166 correct responses). Omissions, latencies to respond and latencies to collect the reward were
167 additionally analyzed. Data from each reversal were collapsed over days. Trial outcomes were
168 classified in three different phases: early, mid or late, depending on the performance over a
169 running window of 30 consecutive trials [30,31]. If animals had a significant bias (binomial
170 distribution probabilities) towards the previously positive stimulus ($< 11/30$ correct
171 responses), performance was considered to belong to the early phase, in which animals
172 exhibited mainly perseverative responses. If their performance instead showed a significant
173 preference for the currently rewarded stimulus ($> 19/30$ correct responses) it was considered
174 as the late phase, in which animals moved closer to criterion for learning the reversed
175 contingency. Performance in-between these thresholds was classified as intermediate or mid-
176 phase, prior to acquisition of the new learned association. Data from all the trials after the rats

177 had reached the final learning criterion ($\geq 24/30$ correct responses) were excluded from the
178 analysis.

179 Statistical tests were performed with RStudio, version 1.2.1335 (RStudio, Inc). Errors were
180 square-root transformed and latencies log transformed to ensure normality. Data were then
181 subjected to Linear Mixed-Effects Model analysis with the lmer package in R. The model
182 contained three fixed factors (dose, phase, region) and one factor (subject) modelled as a
183 random slope to account for individual differences between rats across phases (i.e. individual
184 learning curves). Significance was considered at $\alpha = 0.05$. The normality of residuals was
185 confirmed with a quantile-quantile plot (QQ plot) and model fitting was tested with a Chi-
186 squared test. When significant three-way interactions were found, further analysis was
187 performed by conducting separate multilevel models on “dose” and phase” for each region. In
188 the absence of significant three-way interactions, two-way Dose \times Region interactions were
189 explored further. Analysis was followed by *post-hoc* Tukey’s corrected pairwise comparisons.

190 **Results**

191 **Histology**

192 The ventral-most locations of injectors are included in each of the data figures. Rats were
193 excluded from the study if the injector cannulas were positioned outside the target areas ($n = 3$
194 pDMS, $n = 5$ DLS and $n = 1$ NAcC. Final group sizes with verified injector positions for each
195 of the drug groups and targeted coordinates are shown in Table 1.

196 **Effects of intra-striatal infusions of the D2R antagonist raclopride and the D1R** 197 **antagonist SCH23390**

198 Across all behavioral variables we found no significant differences between the aDMS and
199 pDMS. We therefore combined these two regions as ‘DMS’ for subsequent analysis. Separate
200 data for each of these regions are given in the Supplementary Material online.

201 Figures 2 and 3 indicate that whereas local infusions of the D2R antagonist raclopride
202 improved early stages of reversal learning when administered into the NAcC, they impaired
203 reversal when given in the dorsal striatum, both in the DMS (mid-phase) and DLS (across
204 phases). In contrast, D1R antagonism in the NAcS improved the early phase of reversal
205 learning but did not affect then number of errors when administered into the NAcC.

206 Analysis for both raclopride and SCH23390 treatments substantiated that the effect of drugs
207 varied across regions and phases of the reversal task. For the number of errors committed we
208 found a significant Dose \times Phase \times Region interaction after both raclopride ($F_{12, 479.990} = 4.109$,
209 $p = 0.005$) and SCH23390 ($F_{6, 191.999} = 4.109$, $p < 0.001$) treatment. This was matched by
210 significant Dose \times Phase \times Region interactions in number of trials per phase after antagonists
211 administration (Raclopride: $F_{12, 407.990} = 5.300$, $p < 0.001$; SCH23390 $F_{6, 192.010} = 3.280$, $p =$
212 0.004). In addition, there was a significant Dose \times Phase \times Region interaction on omissions
213 after SCH23390 microinfusions ($F_{6, 232.089} = 11.512$, $p < 0.001$), whereas no such effect was
214 detected for raclopride (ns). On latencies, we observed no three-way interactions, but a
215 number of Dose \times Region interactions. Thus, we found a significant Dose \times Region
216 interaction in latencies to collect after infusions of Raclopride ($F_{6, 469.120} = 3.511$, $p = 0.002$),
217 and both in latencies to collect and to respond with administration of SCH23390 ($F_{3, 221.033} =$
218 19.275 , $p < 0.001$; $F_{3, 220.847} = 24.379$, $p < 0.001$, respectively).

219 *Effects of D1R and D2R antagonism in the ventral striatum*

220 Since the three-way interactions were significant, separate multilevel models were used to
221 ascertain the phase-dependency of the drug effects in each region separately. Thus, in the

222 NAcC there was a Dose \times Phase interaction on the number of errors after raclopride infusions
223 ($F_{4, 126.01} = 3.905$, $p = 0.005$). *Post-hoc* analysis revealed that raclopride selectively improved
224 performance during the early phase of reversal learning when infused in the NAcC at 0.1
225 $\mu\text{g}/\mu\text{l}$ and 1 $\mu\text{g}/\mu\text{l}$, compared to vehicle control ($p < 0.001$ and $p = 0.028$, respectively; Fig.
226 2B). In the NAcS, there was also a Dose \times Phase interaction for errors ($F_{4, 63.005} = 3.813$, $p =$
227 0.008), but pairwise comparisons revealed that no dose differed from the vehicle-control
228 group (ns). There was thus no significant effect of raclopride when infused into the NAcS.

229 In contrast, analysis on the number of errors committed after SCH23390 infusions identified a
230 significant Dose \times Phase interaction after NAcS infusions ($F_{2, 31.997} = 25.616$, $p < 0.001$). *Post-*
231 *hoc* analyses showed that D1R antagonism into the NAcS selectively decreased perseveration
232 in the early phase compared with the vehicle condition ($p < 0.001$; Fig. 2F). No main effect of
233 Dose or a Dose \times Phase interaction was observed after SCH23390 infusions into the NAcC
234 (ns).

235 The above results on the number of errors committed after infusions into the NAcC and NAcS
236 were similar when trials were analyzed instead. Specifically, the interactions Dose \times Phase
237 were significant for raclopride in the NAcC ($F_{4, 126} = 3.402$, $p = 0.011$); and for SCH23390 in
238 the NAcS ($F_{2, 32} = 20.328$, $p < 0.001$) but not the NAcC (ns).

239 Table 2B shows that in the NAcC, SCH23390 strongly affected the number of omissions
240 (Dose \times Phase: $F_{2, 58.492} = 11.838$, $p < 0.001$). *Post-hoc* analysis showed that SCH23390
241 selectively increased the number of omissions in the early phase ($p < 0.001$), with no
242 significant effect during the mid or late phases (ns). No such effect was detected after NAcS
243 infusions of SCH23390, or after raclopride infusions into either the NAcC or the NAcS (Table
244 2). SCH23390 infusions also prolonged the latencies to collect the reward and to respond to
245 the stimuli in both sub-regions regardless of the phase (Dose: in Collect, NAcC: $F_{1, 57.096} =$

246 85.205, $p < 0.001$, and NAcS: $F_{1, 31.062} = 99.382$, $p < 0.001$; in Respond, NAcC: $F_{1, 57.181} =$
247 64.593, $p < 0.001$, and NAcS: $F_{1, 31.082} = 7.838$, $p = 0.009$). Raclopride had no effect on these
248 variables in either NAcC or NAcS (Table 2A).

249 *Effects of D1R and D2R antagonism in the dorsal striatum*

250 The potential effects of drug infusions into the dorsal striatum were analysed next. There was
251 a phase-dependent effect of raclopride in the DMS (Dose \times Phase: $F_{4, 196.002} = 3.574$, $p =$
252 0.008). As can be seen in Fig. 3B, *post-hoc* analysis showed that, in this region, the high dose
253 (1.0 $\mu\text{g}/\mu\text{l}$) of raclopride marginally induced a significant impairment in the mid phase ($p =$
254 0.050) versus saline. There was no significant Dose \times Phase interaction after raclopride
255 infusions into the DLS (ns), although a main effect of Dose and Phase was observed (Phase:
256 $F_{2, 12.057} = 17.472$, $p < 0.001$; Dose: $F_{2, 70.008} = 3.764$, $p = 0.028$). We explored this further and
257 identified the main effect was driven by the low dose of raclopride across all the phases of
258 reversal learning (Fig. 3E). D1R antagonism with SCH23390 in the dorsal striatum did not
259 alter performance either in the DMS or in the DLS (Fig. 3). In all cases, the effects were
260 similar for trials to criterion.

261 Both SCH23390 and raclopride infusions increased latencies to collect the reward across all
262 phases when infused into the DMS (Dose: SCH23390, $F_{1, 113.493} = 33.828$, $p < 0.001$;
263 Raclopride, $F_{2, 192.771} = 14.706$, $p < 0.001$), but not the DLS (ns). Further analysis showed that
264 raclopride caused this effect at both the low and high doses ($p = 0.002$; $p < 0.001$,
265 respectively). Omissions or latencies to respond to the stimuli were not affected after
266 manipulation in any region of the dorsal striatum, neither by raclopride nor by SCH23390
267 infusions (Table 2).

268 **Discussion**

269 This study demonstrates dissociable effects on visual serial reversal learning of D2R and D1R
270 antagonists locally infused into the striatum, and shows that the effects of each drug differ
271 fundamentally based on the striatal sub-region targeted and the different learning phases of
272 the task (i.e. the early, perseverative phase versus new learning phases). An important overall
273 finding was that whereas DA receptor antagonism improved reversal-learning performance in
274 the ventral striatum, learning was impaired after drug infusions into the dorsal striatum,
275 clearly showing the different roles of DA signalling within these structures when stimulus-
276 reward contingencies change. This finding is in general consistent with previous data on
277 humans with PD [32,33] indicating that excess DA activity may often be detrimental for
278 reversal performance in the NAc, whereas intact DA function in the dorsal striatum is
279 necessary for efficient reversal learning, as supported by data from non-human primates
280 [11,34].

281 The effects of DA receptor blockade were highly dependent on the phases of reversal learning,
282 as defined by binomial distribution probabilities (cf. [31]) to indicate whether the rats were
283 still being guided by the previous and obsolete stimulus-reward contingencies (significant bias
284 to the previously correct stimulus; early phase; perseveration), at random performance (no
285 bias; mid phase), or had learned to respond in accordance with the new contingencies
286 (significant bias towards the new correct stimulus; late phase). These phases were previously
287 linked to defined brain circuits; e.g., inactivation of the lateral orbitofrontal cortex (OFC)
288 produces increased perseveration in the early phase of visual reversal learning in both
289 marmoset monkeys [35] and rats [36,37], whereas inactivation of the medial OFC decreases
290 perseveration in visual reversal learning without affecting the later phases of reversal [37,38].
291 In contrast, disrupted function in the medial prefrontal cortex of mice improves the later
292 phases of reversal learning [16], and excitotoxic lesions of the infralimbic cortex impairs late
293 learning in rats [36]. Since the above mentioned prefrontal cortical regions form distinct

294 circuitries and innervate dissociable terminal fields in the striatum [39], it is not unexpected
295 that striatal sub-regions also mediate specific phases of visual reversal learning, both in the
296 present work and from previous reports [12,40].

297 The improvements in reversal learning after NAc infusions depended on both the accumbal
298 sub-region and the sub-type of DA receptor, and they were selective for the early phase of
299 reversal learning. Whereas D1R antagonism in the NAcS decreased perseverative errors, this
300 effect was only observed after D2R antagonism in the NAcC. Such a double dissociation
301 refines previous reports showing e.g. that elevated dopaminergic states in the NAc are
302 detrimental for reversal learning [18], and that D2R agonism in the NAc impairs behavioral
303 flexibility [17,41]. This could be relevant for the DA overdose hypothesis of iatrogenic
304 cognitive impairments associated with dopaminergic drug treatment in PD [42], as our data
305 suggest that such effects are driven by D1R in the NAcS and D2R in the NAcC. However,
306 since the antagonists given here only block endogenous ligands (i.e. DA), our data also
307 suggest that DA signalling at D1R in the NAcS and D2R in the NAcC contribute to
308 perseverative responding in visual reversal learning, perhaps by inappropriately maintaining
309 the previous stimulus-reward association [43] or Pavlovian conditioned approach [44].
310 Inactivation of the NAcS can also improve various forms of behavioral flexibility, including
311 latent-inhibition [45], attentional set-shifting [26] and spatial reversal learning [22,23,46]; our
312 results suggest that such effects could be mediated by D1R-expressing neurons.

313 Additionally, blocking D1R in the NAcC disrupted performance overall by increasing
314 omissions. This effect is similar to what was previously reported after NAcC infusions of
315 higher doses of both raclopride and SCH23390 in rats trained on a visual reversal task [47].
316 However, it is noteworthy that rats treated with intra-NAcC SCH23390 in our task
317 consistently initiated trials but then failed to respond to either stimulus; again an effect only
318 noticeable in the early phase. While it is possible that D1R antagonism interferes with the

319 processing of visual cues, an alternative interpretation is therefore that such receptor blockade
320 selectively impairs learning from positive feedback by blunting the impact of positive
321 prediction errors, as theorised by Frank and colleagues [48]. Hence, rats in our task could
322 rapidly learn (from negative feedback) that the previously positive stimulus is now incorrect,
323 but, due to the NAcC D1R blockade, not be able to update the value they associate with the
324 previously incorrect, now rewarded stimulus. We recently found some evidence for such an
325 effect of systemic D1R antagonism in visual reversal learning [49].

326 In the dorsal striatum, D2R antagonism was active in the DMS where it delayed the re-
327 learning of the new stimulus-reward contingencies (mid phase), but did not affect either early
328 or late phases; in the DLS, D2R antagonism impaired reversal learning overall, including the
329 initial (perseverative) phase and during subsequent learning. D1R antagonism showed a lack
330 of effect in both the DMS and the DLS at doses and infusion parameters routinely used in the
331 literature [50]. Hence, D2R antagonism in the DMS and DLS had almost complementary
332 effects with regard to the phase of reversal that was affected. It is plausible theoretically to
333 reconcile this dissociation with evidence that the DMS and DLS mediate different aspects of
334 instrumental learning in both rodents and humans [15]. Whereas the DMS is generally
335 associated with goal-directed behavior, the DLS is thought to mediate habitual, stimulus-
336 response behavior [13]. In this context, it is noteworthy that well-trained visual discrimination
337 may exhibit rule-like or habitual tendencies [51], which need surmounting for reversal
338 learning to proceed. Such top-down executive control over habitual tendencies may implicate
339 cortico-striatal projections. The present data suggest that striatal D2R might play an important
340 modulatory role in controlling habits. These findings for the rat DLS are consistent with
341 recent evidence that the putamen in primates also plays a key role in reversal learning [10,11].
342 By contrast, the DMS is implicated in DA-dependent goal-directed behavior and so the
343 modulation of the mid phase, characterised by new learning, by intra-DMS raclopride was

344 predictable. Our data on dorsal-striatal D2R and reversal learning is in accordance with the
345 positive relationship between behavioral flexibility and D2R availability in both caudate and
346 putamen, but not ventral striatum, of vervet monkeys trained in a visual reversal task [11].
347 This could be relevant also for human conditions such as OCD and substance-use disorder,
348 where reduced D2R binding has been reported [52,53]. For example, the mixed full/partial
349 D2R agonist pramipexole ameliorated deficits in reversal performance in chronic stimulant
350 abusers with a concomitant normalisation of on-task activation of the caudate nucleus [4].

351 These findings add to considerable data implicating DA receptors in reversal learning across
352 species by showing that D1R and D2R antagonism can both impair and improve reversal
353 according to the region of the striatum and at the stage of learning this occurs. Of particular
354 interest are two recent studies; Horst and colleagues found that a D2R agonist infused into the
355 caudate nucleus improved serial visual reversal learning at intermediate doses in marmoset
356 monkeys [54], whereas Verharen et al. reported that D1R and D2R agonists impaired
357 probabilistic spatial reversal learning in rats, both after systemic treatment and after local
358 infusions into the ventral striatum [41].

359 **Limitations**

360 A number of limitations should be borne in mind when interpreting the results from this set of
361 experiments. Firstly, all rats first completed the Latin Square-design experiment investigating
362 the impact of raclopride on reversal learning, and then received the SCH23390 infusions in a
363 cross-over experiment. It is possible that the additional training (three reversals minimum),
364 number of prior infusion events (average 12 infusions during the raclopride experiment) or
365 plastic changes in e.g. membrane presentation of receptors after exposure to a D2R antagonist
366 altered the impact of subsequent SCH23390 infusions. Next, all rats in this study were male,
367 and it is conceivable that future studies will reveal sex differences in the impact of D2R or
368 D1R antagonism on reversal learning. In addition, it must be noted that SCH23390, although

369 frequently used for experiments targeting the D1R, also shows affinity (as an agonist) at the
370 serotonin 5-HT_{2C} receptor [55], which could in theory contribute to the effects observed after
371 NAcC and NAcS infusions. However, previous reports have suggested no impact on reversal
372 learning after 5-HT_{2C} receptor manipulation in the NAcC [56].

373 Perhaps more importantly, the D2R antagonist drug employed also has strong dopamine D₃
374 receptors (D3R) antagonism properties and, so like many studies employing such drugs we
375 are unable clearly to distinguish between D2R and D3R actions. Furthermore, understanding
376 and dissecting the role of DA signalling is challenging due to the expression of D2R both in
377 pre- and post-synaptic striatal neurons, as well as on striatal GABAergic and cholinergic
378 interneurons [57,58].

379 In addition, although, the present findings imply that visual reversal learning involves
380 sequential processing in ventral striatal and then dorsal striatal domains but more direct
381 evidence would come from monitoring the involvement of all of these regions simultaneously
382 during the course of reversal learning [12].

383

384 **Conclusions**

385 The current study elucidates the involvement of DA in reversal learning and suggests that
386 striatal regions differentially modulate this form of behavioral flexibility. Using a serial visual
387 reversal learning task in touchscreen operant chambers, we show that infusions of D1R and
388 D2R antagonists in four striatal sub-regions (NAcC, NAcS, DMS, and DLS) differentially
389 affect distinct phases in reversal learning. These results enhance our understanding of the
390 neural circuits underlying visual reversal learning and could be relevant for cognitive
391 inflexibility in DA-related disorders, such as Parkinson's disease [32], OCD [52] or drug
392 addiction [53].

393

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589

590 **Figure legends**

591 **Figure 1.** Schematic representation of the task. A) Behavioral training and testing protocol.
592 The rewarded stimulus is represented as a + and the unrewarded stimulus as a -. Stimuli were
593 vertical or horizontal bars and were counterbalanced as CS+ or CS- across rats. B) Diagram of
594 pre-training stages, from 1 to 5. Stimulus presentation in stages 4 and 5 was preceded by the
595 same starting box from stage 3. Only one of the two stimuli appeared at any one time.
596 Position (i.e. left/right) was pseudo-randomized. C) Representation of the stimuli during
597 visual discrimination (VD) and reversal learning. Criterion was reached at a performance of \geq
598 24/30 correct responses, which represents a performance above 80%. After criterion was met
599 during both reversal learning and in two retention sessions, conditions changed again. D)
600 Flowchart of the testing procedure and phases of reversal learning. Phases depended on
601 performance within sessions. After reversal, during the early phase performance was lower
602 than 11 correct trials out of a set of 30 trials, as animals tended to perseverate on the
603 previously CS+, now CS-. After some trials, performance increased, and animals reached the
604 so-called mid, intermediate or random phase, before reaching the late or learning phase, in
605 which they have learnt the new CS+ (>19/30 correct responses) [30,31].

606

607 **Figure 2.** In the ventral striatum, reversal learning was modulated via D1R in the NAcC and
608 D2R in the NAcS during early stages of reversal learning. A) and D) injector tip placements.
609 Closed circles represent rats that received both raclopride and SCH23390; open circles
610 represent rats that received only raclopride. B) and E) errors to criterion by phase – early, mid
611 and late – after the D2R antagonist, raclopride, in the NAcC and NAcS, respectively. C) and F)
612 errors to criterion by phase – early, mid and late – after the D1R antagonist, SCH23390, in the
613 NAcC and NAcS, respectively. Errors until reaching criterion of a high performance (>24/30
614 correct responses) are collapsed over reversals. Data shown as mean \pm SEM. * $p < 0.05$. *** p
615 < 0.001 .

616

617 **Figure 3.** In the dorsal striatum, reversal learning was modulated via D2R in the DMS during
618 the intermediate phase, and in the DLS during across all the phases of reversal learning. A)
619 and D) injector tip placements. Closed circles represent rats that received both raclopride and
620 SCH23390; open circles represent rats that received only raclopride. B) and E) errors to
621 criterion by phase – early, mid and late – after the D2R antagonist, raclopride, in the DMS
622 and DLS, respectively. C) and F) errors to criterion by phase – early, mid and late – after the
623 D1R antagonist, SCH23390, in the DMS and DLS, respectively. Errors until reaching
624 criterion of a high performance (>24/30 correct responses) are collapsed over reversals. Data
625 shown as mean \pm SEM. # $p = 0.05$. * $p < 0.05$.

626

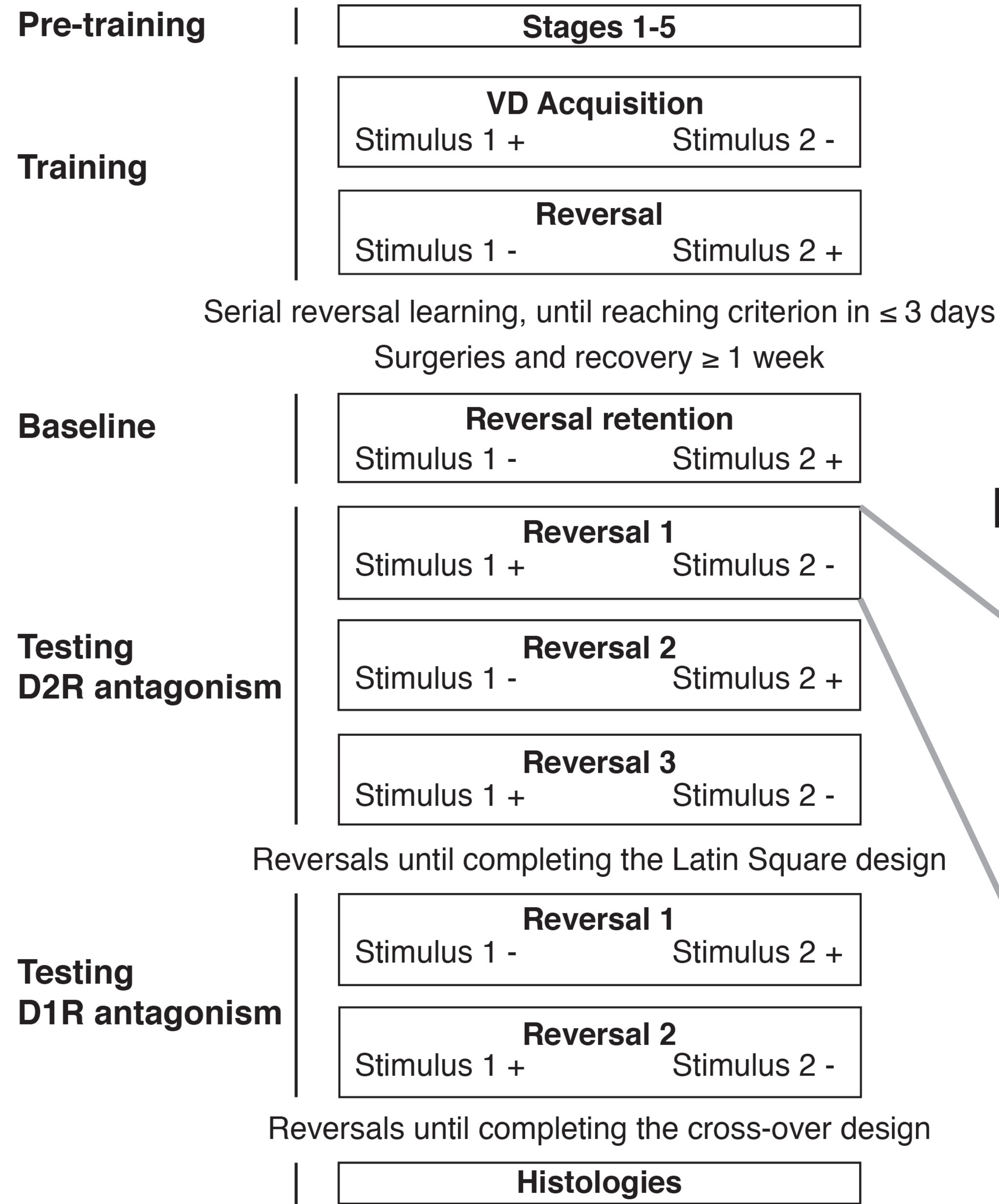
627 **Table 1.** Coordinates and group size for the different striatal sub-regions and DA receptors
628 antagonists, raclopride (D2R) and SCH23390 (D1R). AP and ML were measured from
629 bregma and DV from dura.

630

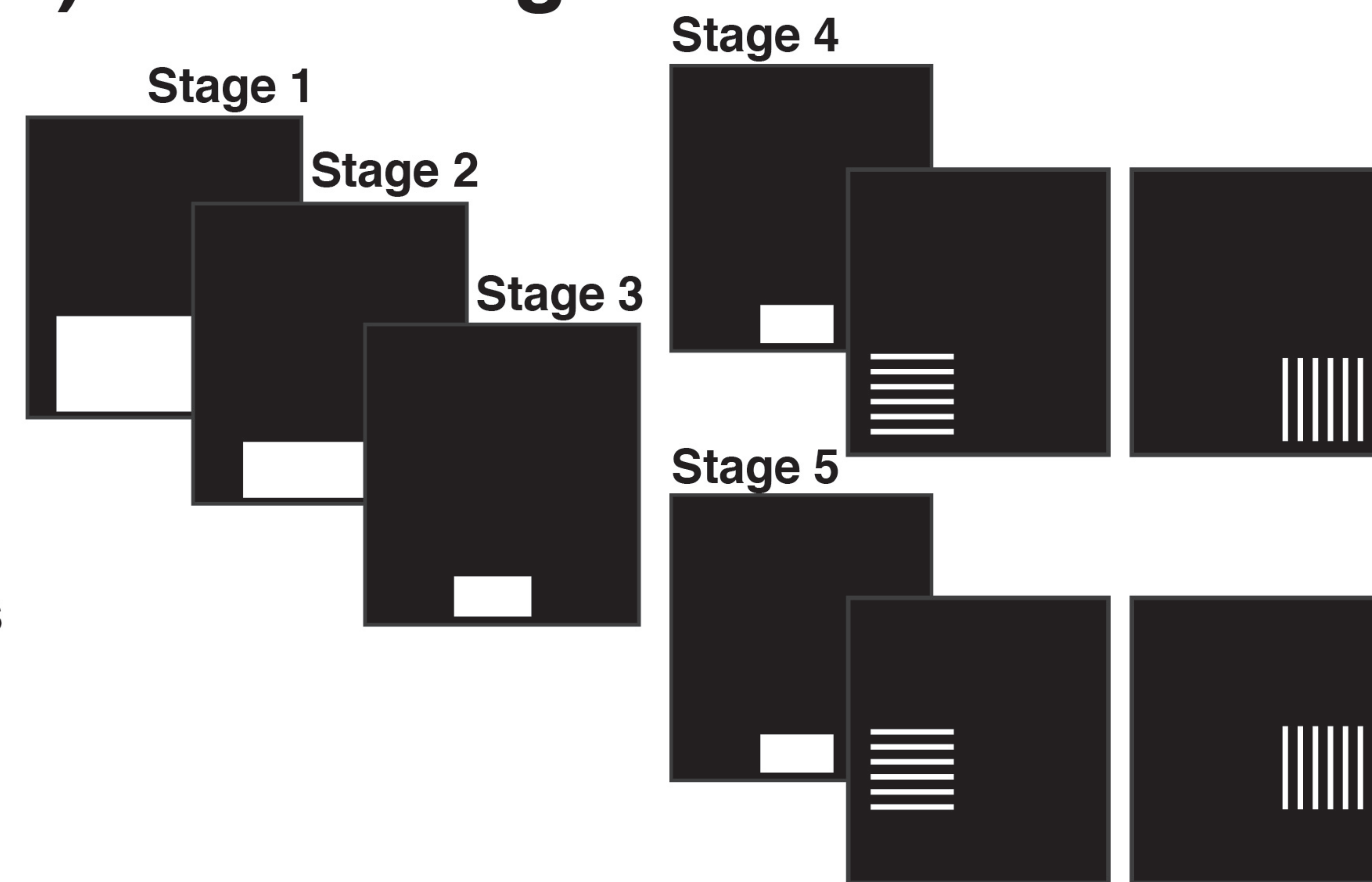
631 **Table 2.** D1R antagonism increased omissions when infused in the NAcC. Effects of
632 microinfusions of the A) D2R antagonist, raclopride (0, 0.1, 1 $\mu\text{g}/\mu\text{l}$) and B) D1R antagonist,
633 SCH23390 (0, 1 $\mu\text{g}/\mu\text{l}$), in the DMS, DLS, NAcC and NAcS during the different phases of
634 visual reversal learning (early, mid and late) as omissions, latencies to collect the reward and
635 latencies to respond. Data are mean \pm SEM. Latencies are presented as log-transformed. ^a $p <$
636 0.001 after significant Dose \times Phase \times Region. ^b $p < 0.01$ vs vehicle treatment, Tukey *post-*
637 *hoc* after significant Dose \times Region interaction. ^c $p < 0.001$ vs vehicle treatment, Tukey *post-*
638 *hoc* after significant Dose \times Region interaction.

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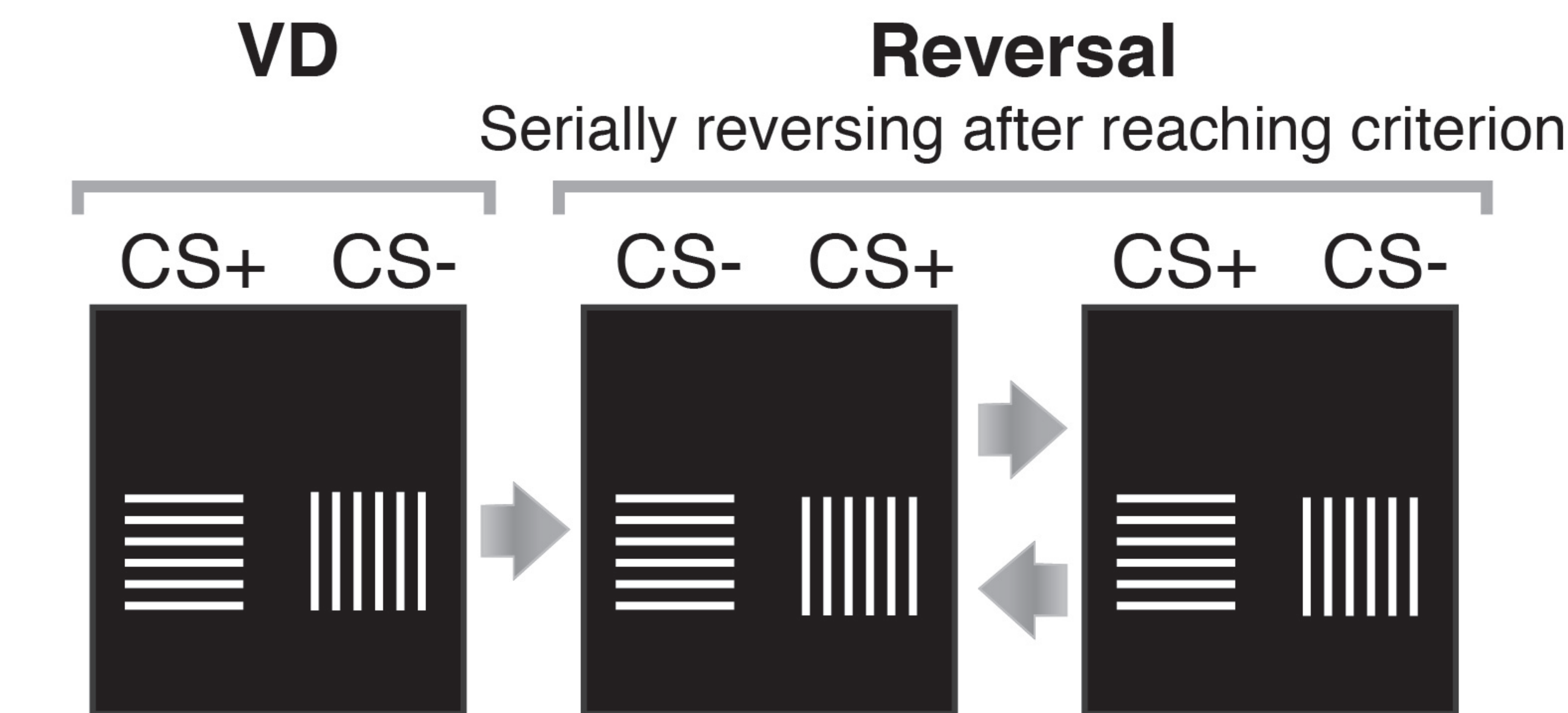
A) Schedule



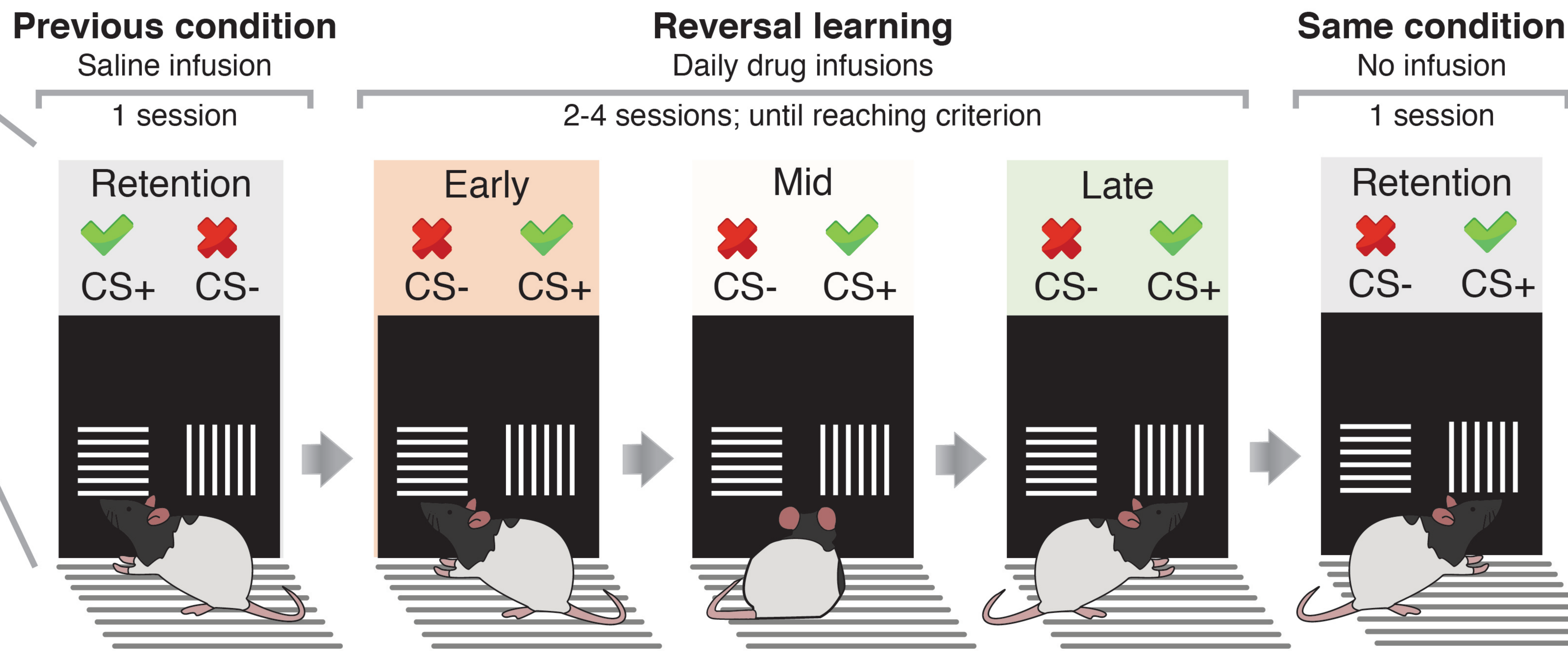
B) Pre-training



C) Training



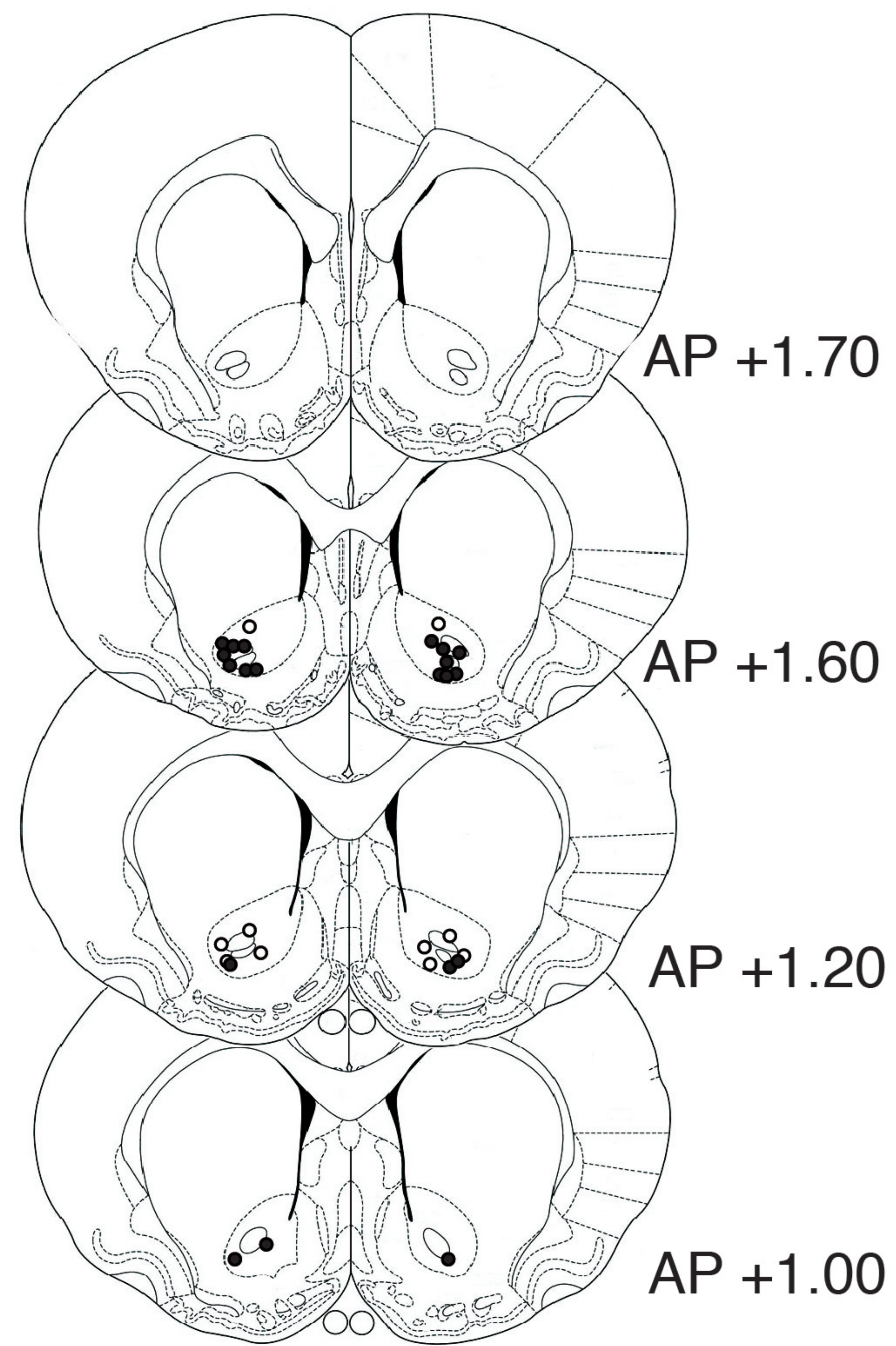
D) Testing



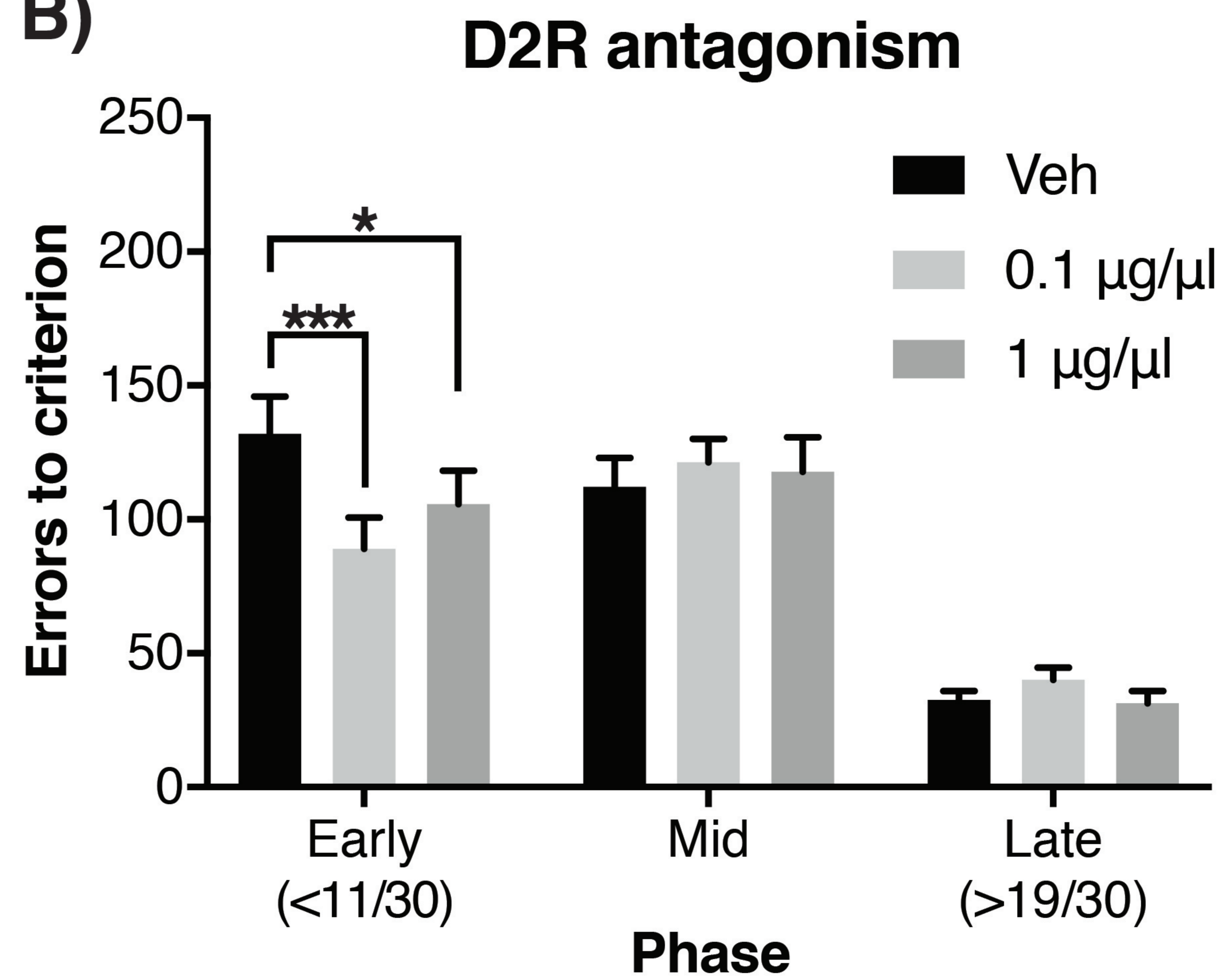
Ventral striatum

NAcC

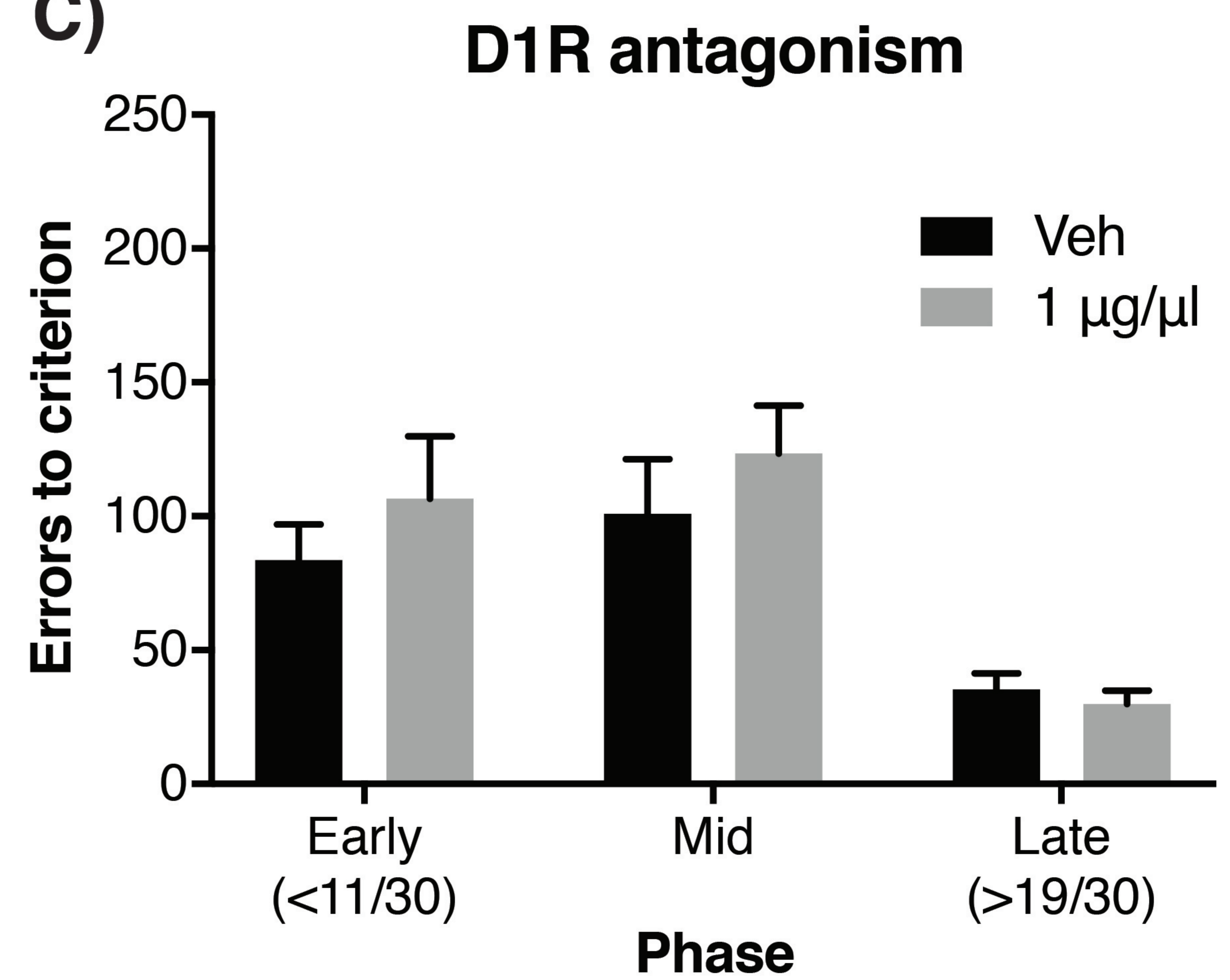
A)



B)

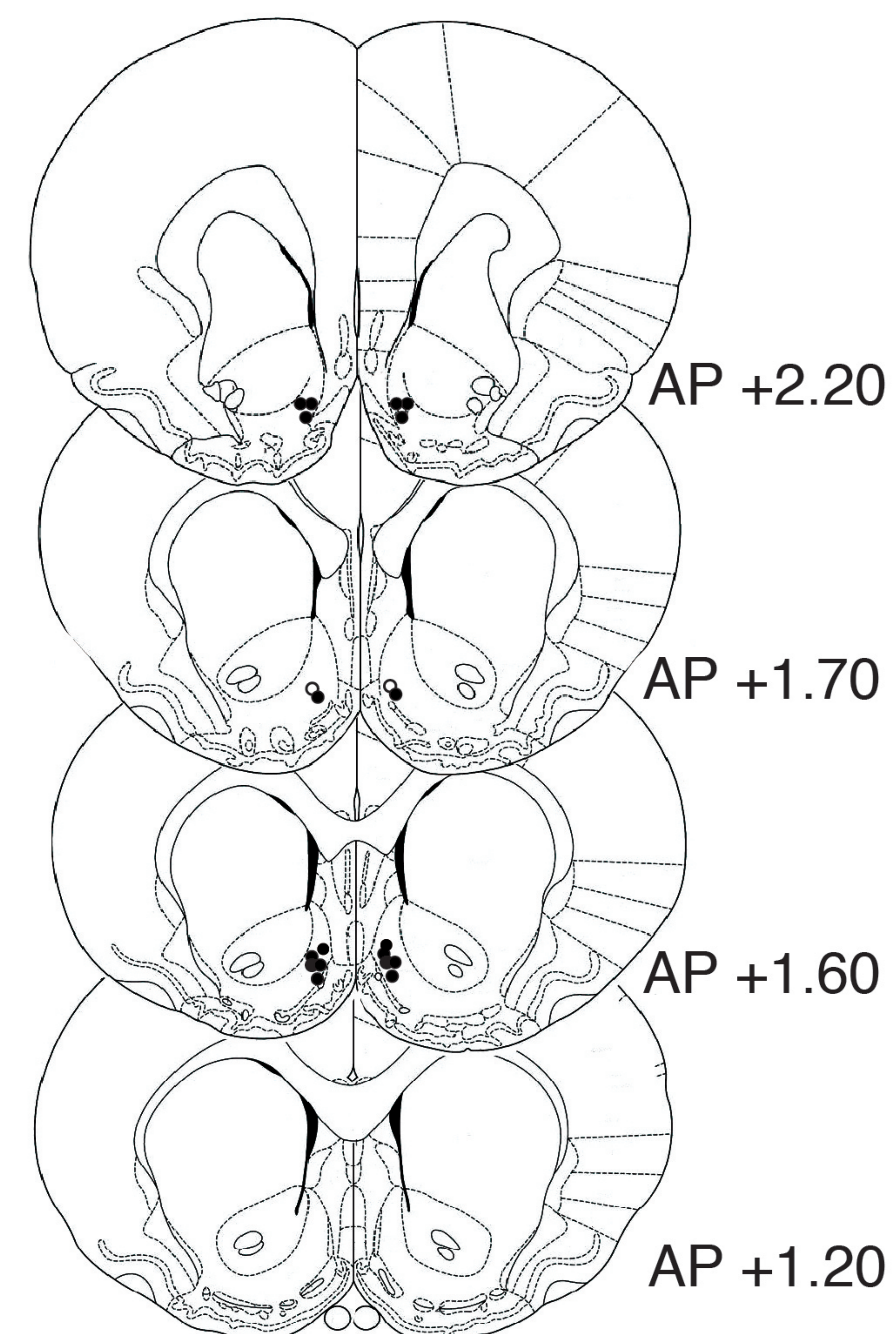


C)

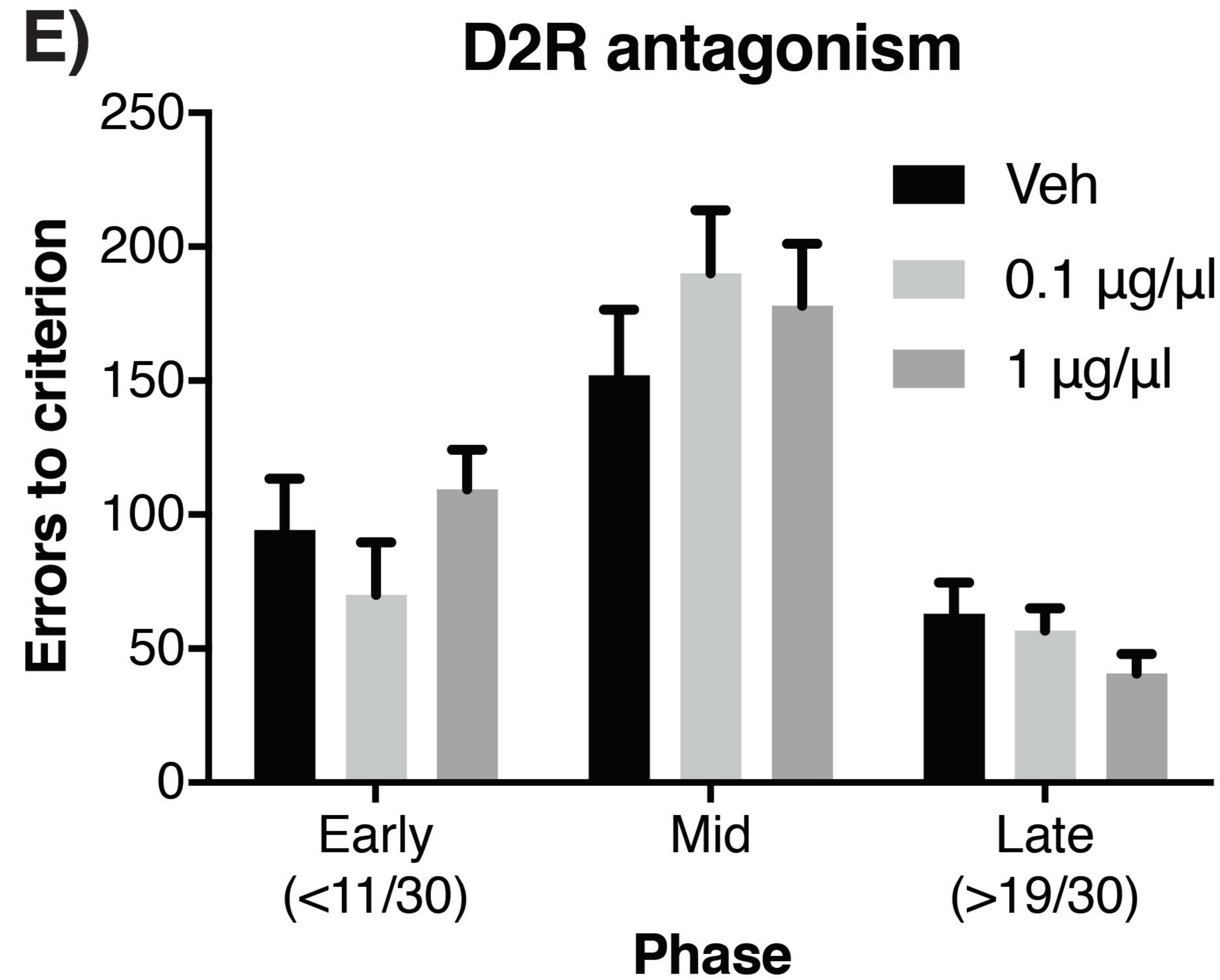


NAcS

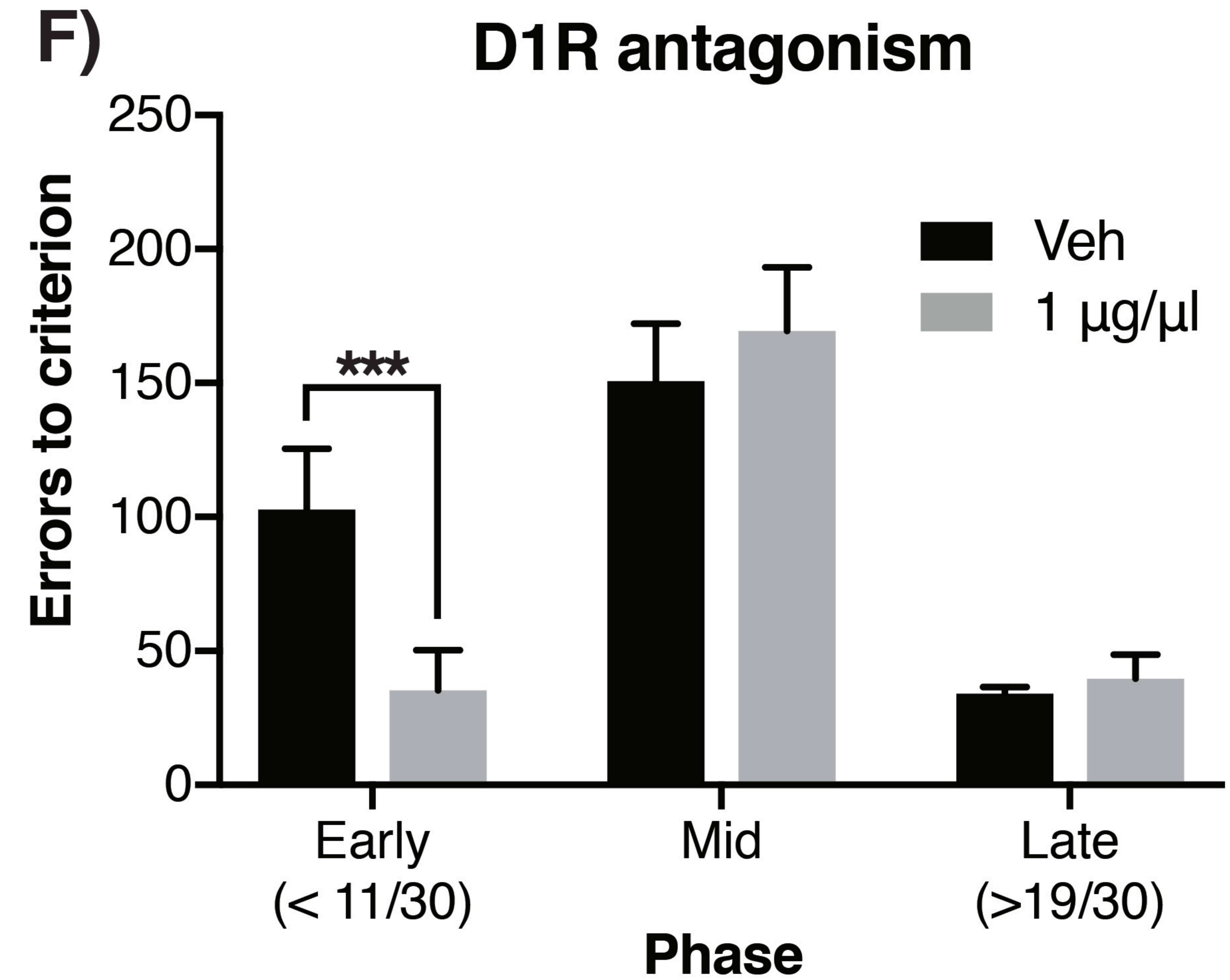
D)



E)

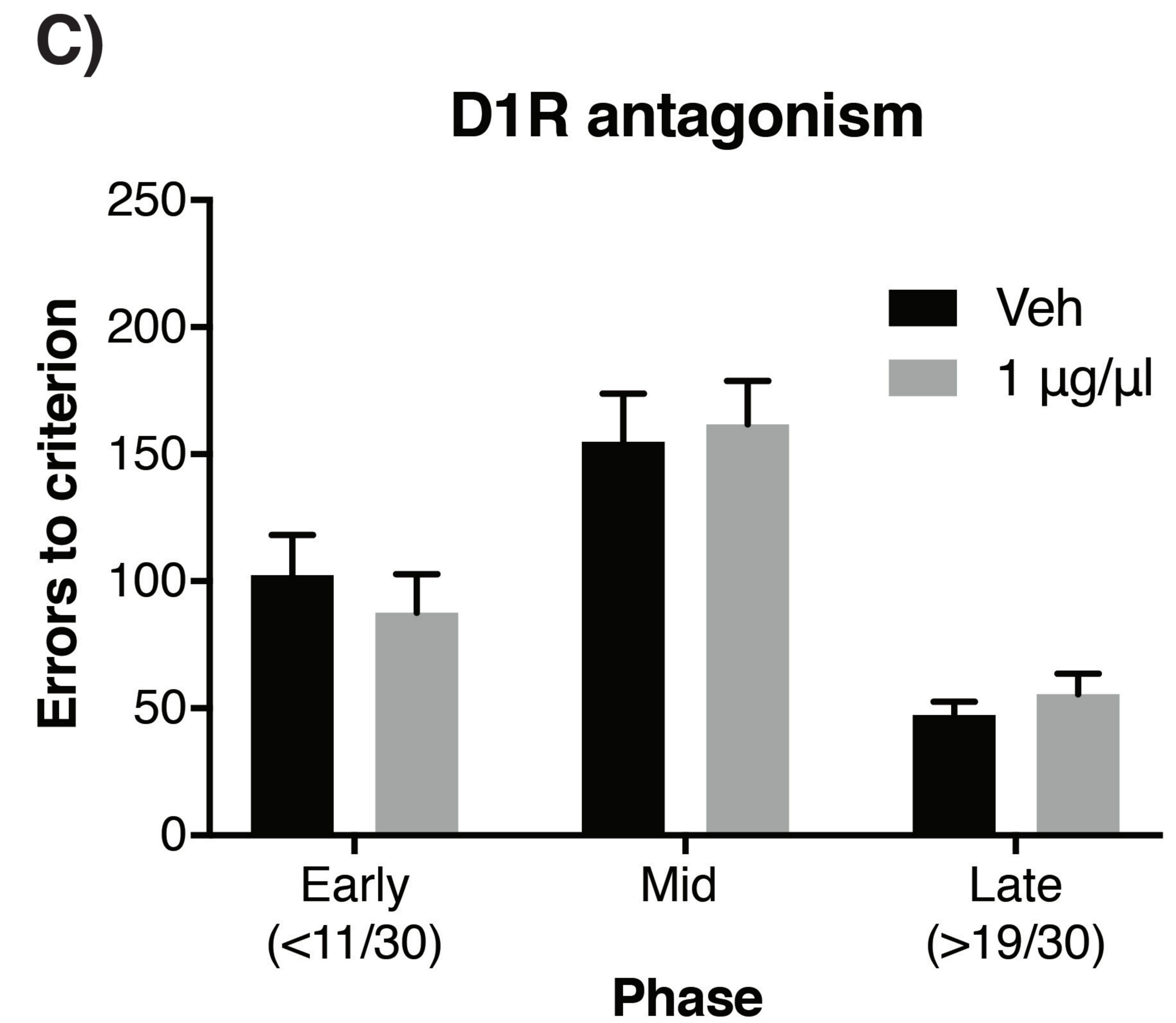
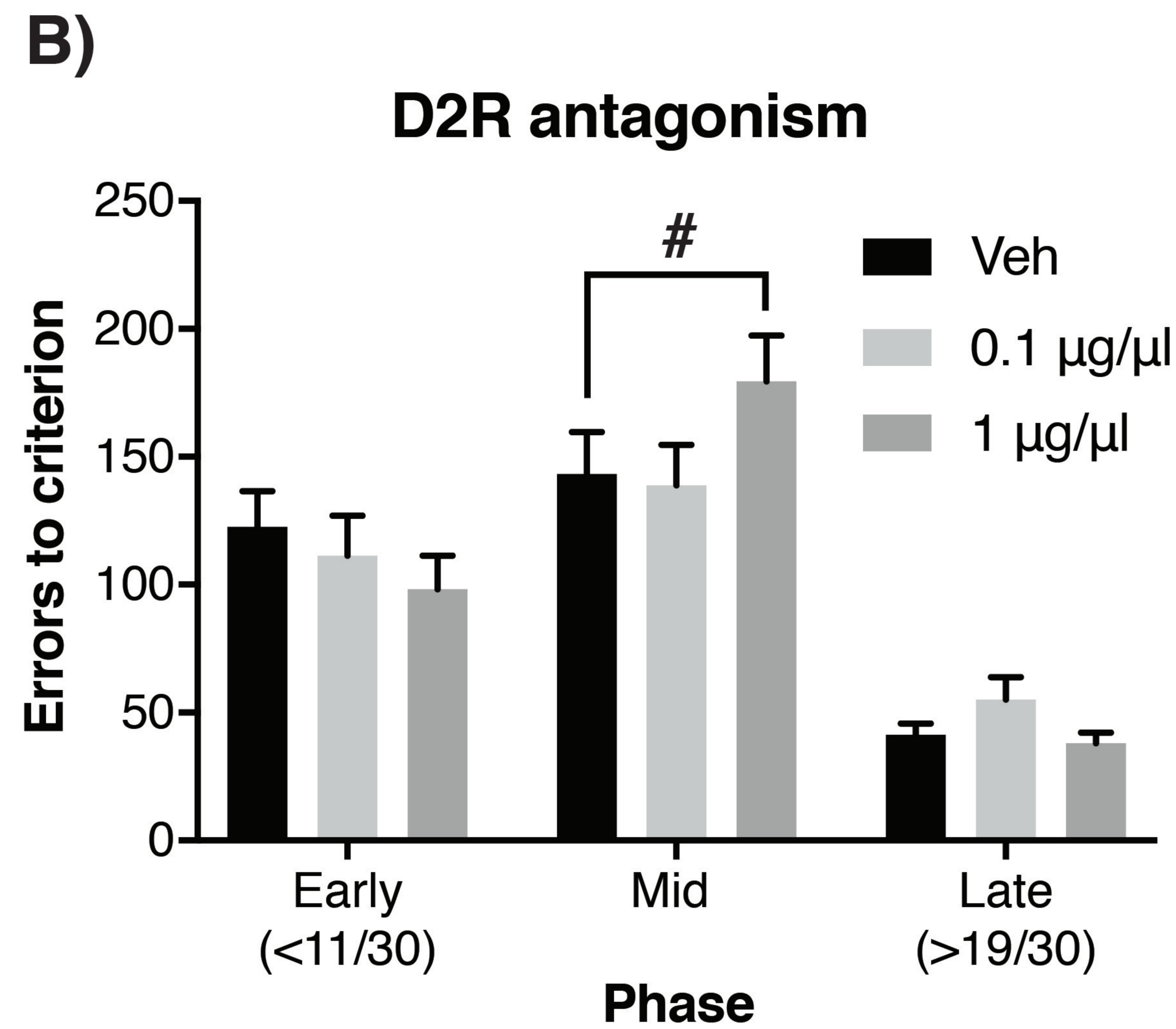
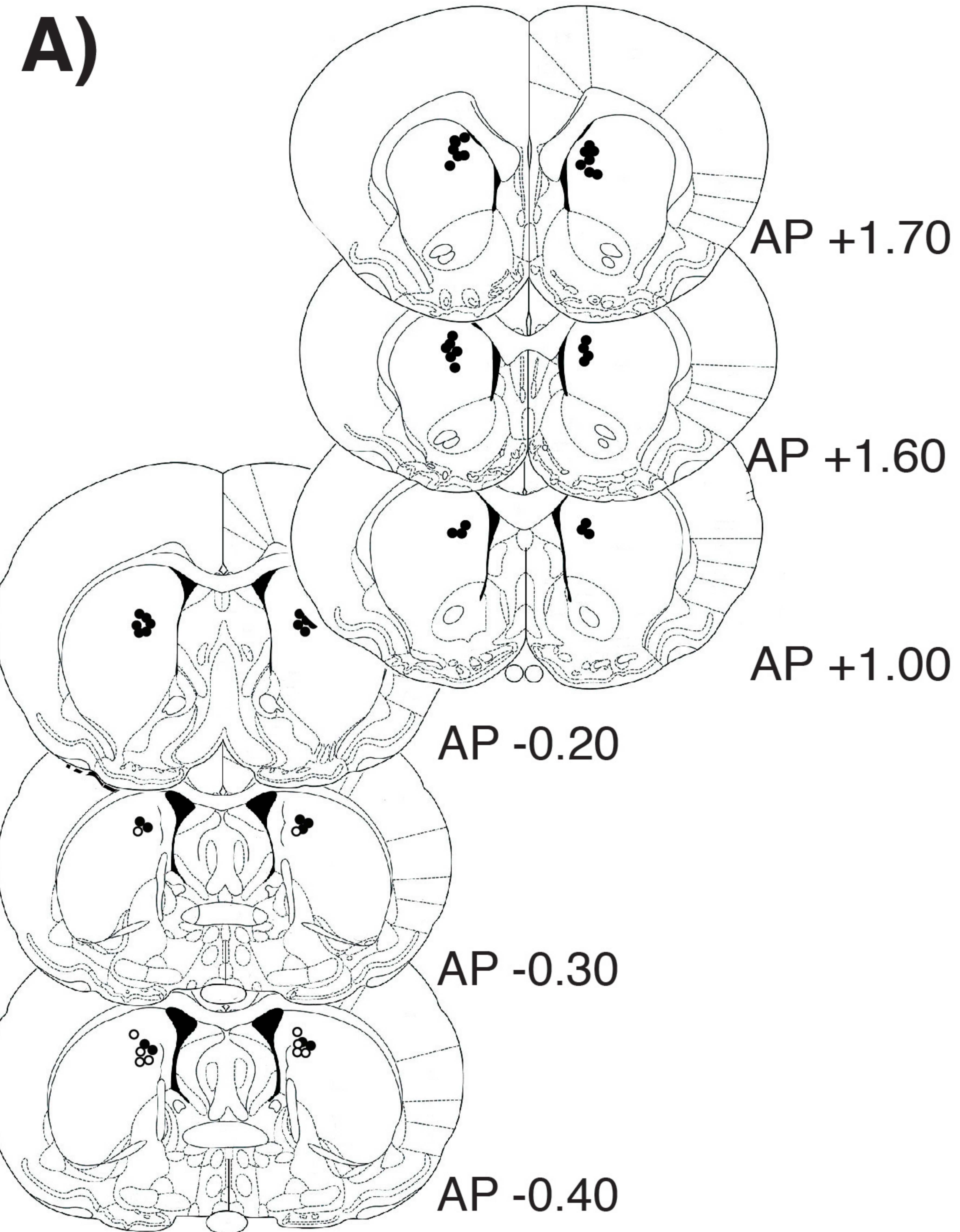


F)



Dorsal striatum

DMS



DLS

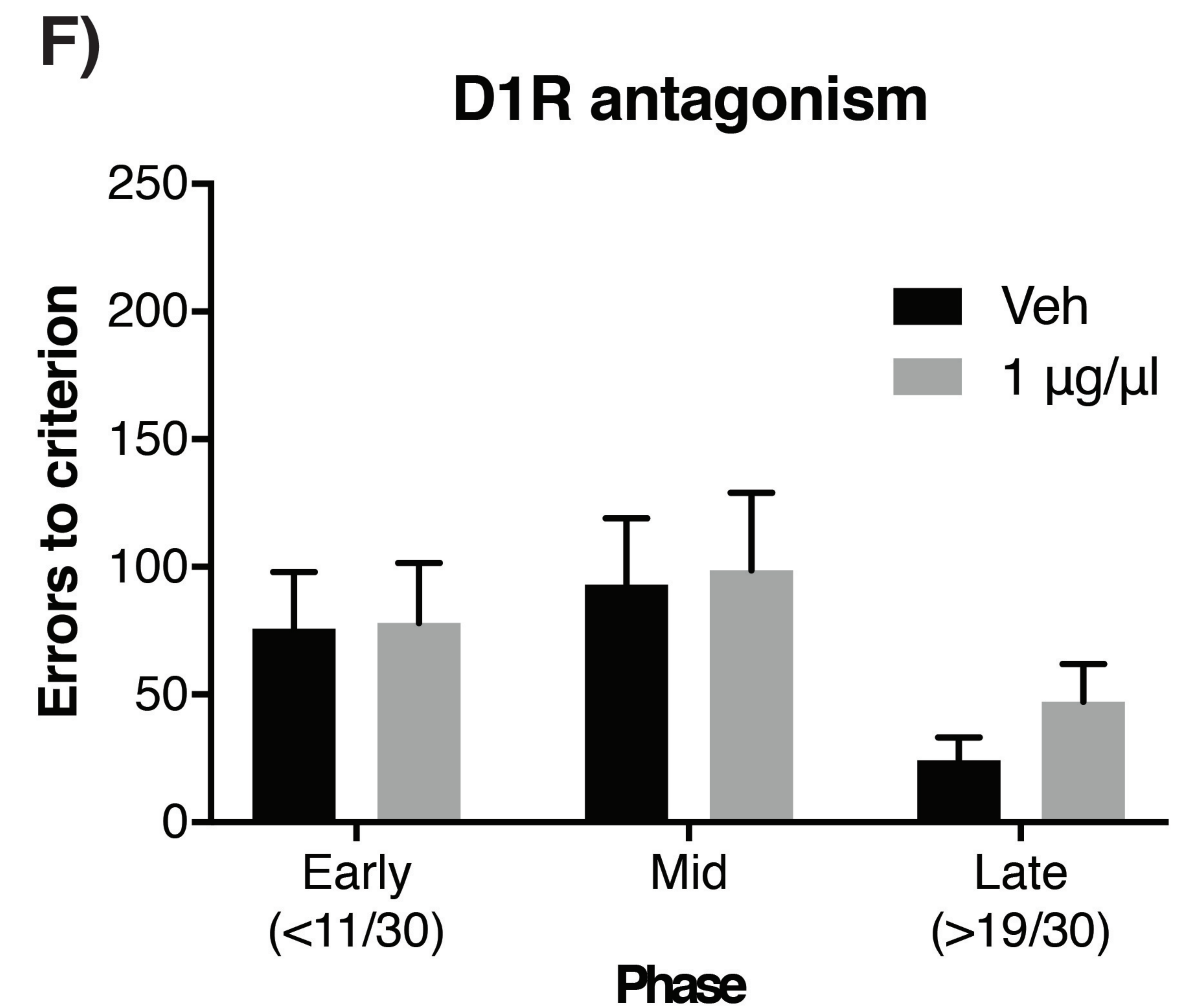
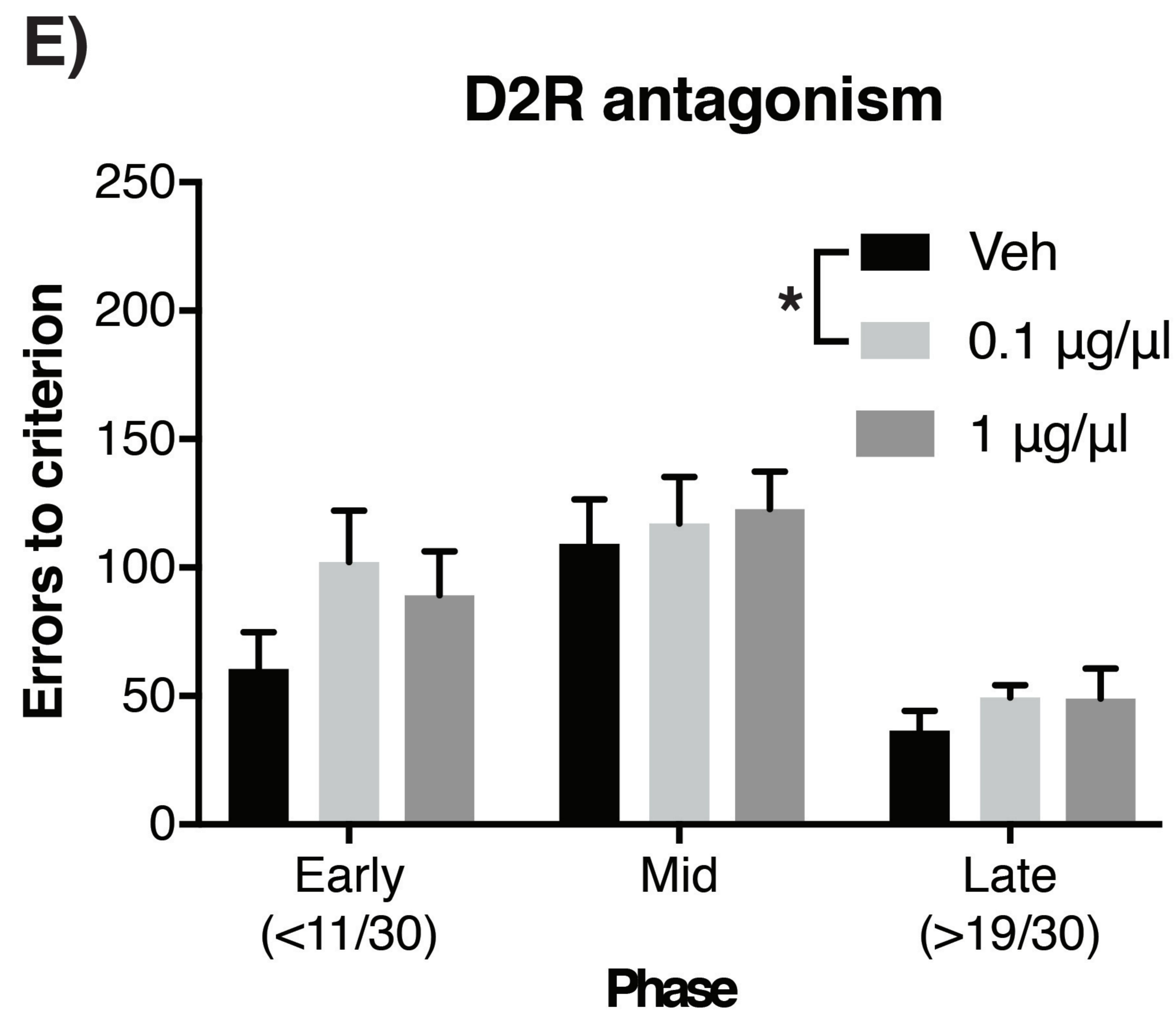
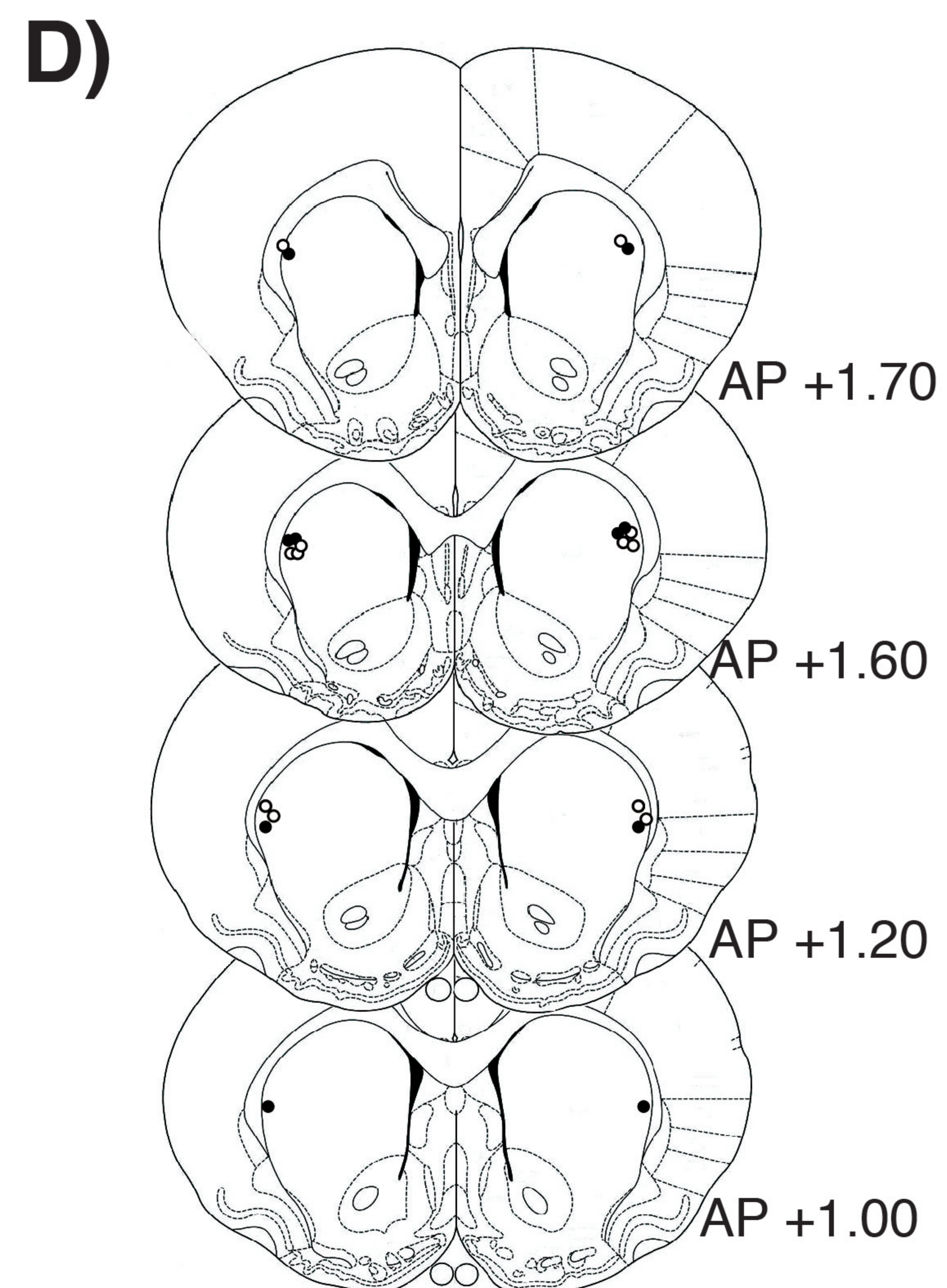


Table 1.

			NAcC	NAcS	aDMS	pDMS	aDLS
Coordinates	Guide cannulas	AP	+ 1.2	+ 1.6	+ 1.2	- 0.4	+ 1.2
		ML	\pm 1.9	\pm 0.75	\pm 1.9	\pm 2.6	\pm 3.5
		DV	- 1.9	- 1.9	- 1.9	- 2.4	- 2.4
	Injectors	DV	- 6.9	- 6.9	- 4.4	- 4.4	- 4.4
n	Raclopride		22	10	15	15	11
	SCH23390		13	9	15	10	5

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Table 2.

A) Raclopride

Region	Dose	Omissions			Latency to collect			Latency to respond		
		Early	Mid	Late	Early	Mid	Late	Early	Mid	Late
DMS	Veh	1.43 ± 0.43	1.33 ± 0.30	0.23 ± 0.12	3.06 ± 0.03	3.04 ± 0.05	2.91 ± 0.03	3.00 ± 0.02	3.00 ± 0.02	3.01 ± 0.02
	0.1	1.00 ± 0.39	1.20 ± 0.37	0.43 ± 0.18	3.12 ± 0.05 ^b	3.08 ± 0.04 ^b	2.95 ± 0.03 ^b	3.00 ± 0.02	3.00 ± 0.02	3.00 ± 0.02
	1	2.63 ± 0.83	2.83 ± 0.74	0.63 ± 0.22	3.23 ± 0.05 ^c	3.10 ± 0.03 ^c	3.01 ± 0.04 ^c	3.07 ± 0.02	3.04 ± 0.02	3.06 ± 0.02
DLS	Veh	0.60 ± 0.40	0.30 ± 0.21	0.50 ± 0.50	3.01 ± 0.04	2.93 ± 0.04	3.01 ± 0.09	3.02 ± 0.07	2.98 ± 0.02	2.99 ± 0.04
	0.1	0.50 ± 0.50	0.40 ± 0.13	0.10 ± 0.13	3.01 ± 0.06	2.96 ± 0.06	2.89 ± 0.07	2.99 ± 0.02	2.98 ± 0.02	2.96 ± 0.02
	1	0.90 ± 0.50	0.20 ± 0.13	0.20 ± 0.13	3.07 ± 0.06	3.03 ± 0.06	2.92 ± 0.07	3.04 ± 0.02	3.01 ± 0.02	2.99 ± 0.02
NAcC	Veh	2.91 ± 0.88	1.14 ± 0.33	0.36 ± 0.14	3.22 ± 0.05	3.09 ± 0.03	3.08 ± 0.04	3.08 ± 0.02	3.06 ± 0.02	3.07 ± 0.02
	0.1	2.05 ± 0.64	1.41 ± 0.40	0.86 ± 0.27	3.19 ± 0.06	3.09 ± 0.03	3.03 ± 0.04	3.10 ± 0.03	3.08 ± 0.02	3.09 ± 0.02
	1	3.68 ± 1.09	2.59 ± 0.89	0.36 ± 0.14	3.24 ± 0.04	3.15 ± 0.04	3.08 ± 0.50	3.13 ± 0.03	3.10 ± 0.03	3.08 ± 0.02
NAcS	Veh	1.00 ± 0.70	0.50 ± 0.27	0.10 ± 0.10	3.26 ± 0.05	3.17 ± 0.04	3.08 ± 0.05	2.98 ± 0.03	2.91 ± 0.03	2.90 ± 0.03
	0.1	0.30 ± 0.15	0.20 ± 0.22	0.10 ± 0.10	3.21 ± 0.05	3.15 ± 0.04	3.03 ± 0.05	2.90 ± 0.05	2.90 ± 0.05	2.91 ± 0.04
	1	0.30 ± 0.15	0.50 ± 0.22	0.10 ± 0.10	3.17 ± 0.05	3.13 ± 0.04	3.04 ± 0.05	2.97 ± 0.05	2.91 ± 0.05	2.91 ± 0.04

B) SCH23390

Region	Dose	Omissions			Latency to collect			Latency to respond		
		Early	Mid	Late	Early	Mid	Late	Early	Mid	Late
DMS	Veh	1.20 ± 0.54	1.56 ± 0.53	0.24 ± 0.09	3.15 ± 0.03	3.08 ± 0.03	2.99 ± 0.03	3.01 ± 0.02	3.00 ± 0.02	3.01 ± 0.02
	1	1.36 ± 0.55	2.00 ± 0.75	0.60 ± 0.33	3.27 ± 0.05 ^c	3.23 ± 0.05 ^c	3.15 ± 0.04 ^c	3.02 ± 0.02	3.02 ± 0.02	3.04 ± 0.02
DLS	Veh	0.00 ± 0.00	0.20 ± 0.20	0.00 ± 0.00	3.07 ± 0.08	3.03 ± 0.07	3.15 ± 0.09	2.99 ± 0.04	3.00 ± 0.05	2.99 ± 0.04
	1	1.40 ± 1.16	0.17 ± 0.17	0.00 ± 0.00	3.19 ± 0.06	3.16 ± 0.06	3.17 ± 0.11	3.00 ± 0.04	3.00 ± 0.03	3.02 ± 0.05
NAcC	Veh	0.64 ± 0.24	1.54 ± 0.71	0.69 ± 0.47	3.23 ± 0.09	3.12 ± 0.07	3.06 ± 0.06	3.04 ± 0.03	3.01 ± 0.03	3.05 ± 0.03
	1	30.08 ± 10.22 ^a	8.08 ± 2.63	1.92 ± 0.74	3.79 ± 0.07 ^c	3.57 ± 0.07 ^c	3.54 ± 0.10 ^c	3.23 ± 0.04 ^c	3.22 ± 0.05 ^c	3.25 ± 0.03 ^c
NAcS	Veh	0.13 ± 0.13	0.50 ± 0.38	0.00 ± 0.00	3.09 ± 0.04	3.05 ± 0.04	3.00 ± 0.05	2.99 ± 0.04	2.97 ± 0.04	2.97 ± 0.05
	1	0.00 ± 0.00	1.00 ± 0.42	0.88 ± 0.30	3.47 ± 0.07 ^c	3.34 ± 0.06 ^c	3.32 ± 0.06 ^c	3.01 ± 0.04 ^b	3.01 ± 0.04 ^b	3.07 ± 0.04 ^b